

SOME PROPERTIES OF CANONICAL PRODUCTS OF FINITE GENUS

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Introduction. Let $f(z)$ be a canonical product of finite order with only negative zeros. If $\lambda > 1$, then

$$\delta(0, f) > \frac{A}{1+A}$$

with an absolute constant $A > 0$. This result is due to Edrei, Fuchs and Hellerstein [1]; for $\delta(0, f)$ and other standard terminology and notations used below, see [2].

Recently Ozawa obtained a fairly improved bound of the above constant A [3]. But it still remains open to find the best possible bound of A .

We now set

$$h(\lambda) = \inf \delta(0, f)$$

$$l(\lambda) = \sup \overline{\lim}_{r \rightarrow \infty} \frac{N(r, 1/f)}{\log M(r, f)},$$

where f ranges over all canonical products of finite order λ , with only negative zeros. Then the above problem reduces to get the exact value of $h(\lambda)$. In this note we shall prove first the following

THEOREM 1. *If $1 \leq q \leq \lambda < q+1$, then we obtain*

$$h(\lambda) \leq 1 - \frac{1}{B(q)},$$

where

$$B(q) = 2(2q+1)(2 + \log(q+1)).$$

From the definitions it is clear that

$$1 - h(\lambda) \geq l(\lambda).$$

Hence Theorem 1 is contained in the following

THEOREM 2. *If $1 \leq q \leq \lambda < q+1$, then*

$$l(\lambda) \geq 1/B(q).$$

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Our proof of Theorem 2 depends on the construction of a canonical product $f(z)$ of order λ satisfying

$$\overline{\lim}_{r \rightarrow \infty} \frac{N(r, 1/f)}{\log M(r, f)} \geq 1/B(q).$$

On the other hand Shea conjectured [4] that for entire functions of order $\lambda > 1$

$$(1) \quad \overline{\lim}_{r \rightarrow \infty} \frac{N(r, 1/f)}{\log M(r, f)} \geq \frac{|\sin \pi \lambda|}{\pi \lambda}$$

and Williamson showed [5] that for canonical products with only negative zeros (1) is valid under suitable hypotheses. In this connection Williamson asked if canonical products $f(re^{i\theta})$ of genus $q \geq 2$ with only negative zeros asymptotically attain their maximum modulus for $|\theta| \leq \pi/2$. It will be shown here that this is not in general the case. In fact for a canonical product $f(re^{i\theta})$ if we denote by $S(\alpha)$ a set of r such that the maximum modulus of $f(z)$ on $|z|=r$ only attains for $|\theta - \pi| < \alpha$, our third result is

THEOREM 3. *There exists a canonical product $f(re^{i\theta})$ of genus $q \geq 1$ with only negative zeros such that for an arbitrarily given number $\epsilon > 0$ $S(\epsilon)$ has upper density 1.*

1. Constructions of functions $n(r)$ and $N(r)$. Consider a decreasing sequence $\{\epsilon_n\}$ ($n=1, 2, 3, \dots$) such that

$$\epsilon_n \rightarrow 0 \quad \text{as} \quad n \rightarrow \infty$$

and define an increasing unbounded sequence $\{r_n\}$ ($n=1, 2, 3, \dots$) satisfying

$$(1.1) \quad nr_n^{\lambda + \epsilon_n} < r_{n+1}^{\epsilon_{n+1}},$$

where λ is a positive constant. From the sequence $\{r_n\}$ we construct three sequences $\{t_n\}$, $\{s_n\}$ and $\{u_n\}$ by

$$(1.2) \quad u_n = \frac{r_n}{(\log r_n)^3}, \quad t_n = \frac{r_n}{(\log r_n)^2}, \quad s_n = \frac{r_n}{\log r_n},$$

for $r_n > e$, respectively.

Denoting by $[X]$ the integral part of X , we define

$$L(n, p) = \left[\left(\frac{r_n}{u_n} \right)^{1+p} \right] = [(\log r_n)^{8(1+p)}]$$

with a positive constant p .

Let

$$(1.3) \quad u_{n,k} = u_n k^{\frac{1}{1+p}} \quad (k=1, 2, 3, \dots, L(n, p))$$

and

$$(1.4) \quad m_{n,p} = \left[\frac{(1+p)r_n^{\lambda+\varepsilon_n}}{L(n,p)} \right].$$

We may assume, by renumbering of $\{r_n\}$ if necessary, that the following relations are satisfied :

$$(1.5) \quad \begin{aligned} u_{n,1} = u_n < t_n < s_n < u_{n,L(n,p)} \leq r_n, \\ r_n < u_{n+1}, \\ L(n,p) \geq 2, \\ m_{n,p} \geq 2 \end{aligned}$$

for $n=1, 2, 3, \dots$.

We now put

$$n(r) = 0, \quad (0 \leq r < u_1)$$

$$n(r) = \begin{cases} km_{1,p} & (u_{1,k} \leq r < u_{1,k+1} : k=1, 2, 3, \dots, L(1,p)-1) \\ L(1,p)m_{1,p} & (u_{1,L(1,p)} \leq r < u_2) \end{cases}$$

and for $n \geq 2$

$$(1.6) \quad n(r) = \begin{cases} n(r_{n-1}) + km_{n,p} & (u_{n,k} \leq r < u_{n,k+1} : k=1, 2, 3, \dots, L(n,p)-1) \\ n(r_{n-1}) + L(n,p)m_{n,p} & (u_{n,L(n,p)} \leq r < u_{n+1}). \end{cases}$$

Then we deduce from (1.1), (1.2) and (1.4) that

$$(1.7) \quad \frac{n(r_{n-1})}{n(r_n)} < \frac{(n-1)L(n-1,p)m_{n-1,p}}{L(n,p)m_{n,p}} \longrightarrow 0 \quad (n \rightarrow \infty)$$

and

$$(1.8) \quad n(r_n) = n(r_{n-1}) + L(n,p)m_{n,p} = (1+p)r_n^{\lambda+\varepsilon_n}(1+o(1)) \quad (n \rightarrow \infty).$$

We next notice that if $t_n \leq r \leq u_{n,L(n,p)}$, there is a k such that

$$u_{n,k} \leq r < u_{n,k+1}$$

namely,

$$k \leq \left(\frac{r}{u_n} \right)^{1+p} < k+1 \quad (1 \leq k \leq L(n,p))$$

and then, in view of (1.3) and (1.6),

$$(1.9) \quad \left[\left(\frac{r}{u_n} \right)^{1+p} \right] m_{n,p} + n(r_{n-1}) \leq n(r) < \left(\frac{r}{u_n} \right)^{1+p} m_{n,p} + n(r_{n-1}).$$

By (1.2), (1.4) and (1.6) we obtain for $t_n \leq r \leq r_n$

$$(1.10) \quad \left[\left(\frac{r}{u_n} \right)^{1+p} \right] m_{n,p} / \left(\frac{r}{u_n} \right)^{1+p} m_{n,p} = 1 + o(1) \quad (n \rightarrow \infty)$$

and by (1.4) and (1.8)

$$(1.11) \quad \left(\frac{r}{u_n} \right)^{1+p} m_{n,p} = n(r_n) \left(\frac{r}{r_n} \right)^{1+p} (1+o(1)) \quad (n \rightarrow \infty).$$

Hence, by (1.7) and (1.9)-(1.11)

$$(1.12) \quad n(r) = n(r_n) \left(\frac{r}{r_n} \right)^{1+p} (1+o(1)) \quad (n \rightarrow \infty)$$

for $t_n \leq r \leq r_n$.

We now set

$$N(r) = \int_0^r \frac{n(t)}{t} dt.$$

We deduce from (1.6) and (1.7) that if $s_n \leq r \leq r_n$,

$$\begin{aligned} N(r) &= N(t_n) + \int_{t_n}^r \frac{n(t)}{t} dt \\ &= N(t_n) + (1+o(1))n(r_n) \int_{t_n}^r \left(\frac{t}{r_n} \right)^{1+p} \frac{dt}{t} \\ &= N(t_n) + (1+o(1)) \frac{n(r_n)}{1+p} \left(\frac{r}{r_n} \right)^{1+p} \quad (n \rightarrow \infty). \end{aligned}$$

On the other hand, if $s_n \leq r \leq r_n$,

$$\begin{aligned} N(t_n) &= \int_0^{t_n} \frac{n(t)}{t} dt \leq n(t_n) \log t_n \\ &= (1+o(1))n(r_n) \left(\frac{r}{r_n} \right)^{1+p} \left(\frac{t_n}{r} \right)^{1+p} \log t_n \quad (n \rightarrow \infty) \\ &= o\left(n(r_n) \left(\frac{r}{r_n} \right)^{1+p} \right) \quad (n \rightarrow \infty) \end{aligned}$$

by (1.2) and (1.12).

Hence we obtain

$$(1.13) \quad N(r) = (1+o(1)) \frac{n(r_n)}{1+p} \left(\frac{r}{r_n} \right)^{1+p} \quad (n \rightarrow \infty)$$

for $s_n \leq r \leq r_n$

and

$$(1.14) \quad N(r_n) = (1+o(1)) \frac{n(r_n)}{1+p} \quad (n \rightarrow \infty).$$

Finally we notice that both $n(r)$ and $N(r)$ have the same order λ .

2. Proof of Theorem 2. Let $q (\geq 1)$ be an integer. Put

$$E(u, q) = (1-u) \exp \left(u + \frac{u^2}{2} + \dots + \frac{u^q}{q} \right).$$

Let $\lambda (\geq 1)$ be a positive number and choose the integer q satisfying $q+1 > \lambda \geq q$.

We consider the canonical product

$$(2.1) \quad \prod_{n=1}^{\infty} \prod_{k=1}^{L(n,p)} E \left(-\frac{z}{u_{n,k}}, q \right)^{m_{n,p}} = f(z),$$

where p is a positive constant and $L(n, p)$, $u_{n,k}$ and $m_{n,p}$ are the ones defined in Section 1. By the construction of $n(r)$ we have

$$\begin{aligned} \sum_{n=1}^{\infty} \sum_{k=1}^{L(n,p)} \frac{m_{n,p}}{u_{n,k}^{q+1}} &= \int_0^{\infty} \frac{dn(r)}{r^{q+1}} \\ &= (q+1) \int_0^{\infty} \frac{n(r)}{r^{q+2}} dr < \infty \end{aligned}$$

and, since $u_{n,L(n,p)} \leq r_n$,

$$\begin{aligned} \sum_{k=1}^{L(n,p)} \frac{m_{n,p}}{u_{n,k}^q} &\geq L(n, p) \frac{m_{n,p}}{u_{n,L(n,p)}^q} \\ &\geq \frac{(1+p)r_n^{\lambda+\varepsilon n}}{r_n^q} (1+o(1)) \quad (n \rightarrow \infty). \end{aligned}$$

In view of (1.1) these inequalities show that the product in (2.1) converges absolutely and uniformly in any bounded part of the plane to an integral function $f(z)$ having the order λ and the genus q . Further $f(z)$ satisfies an inequality

$$(2.2) \quad \log |f(z)| \leq (q+1)A(q+1) \left\{ r^q \int_0^r \frac{n(t)}{t^{q+1}} dt + r^{q+1} \int_r^{\infty} \frac{n(t)}{t^{q+2}} dt \right\}$$

where $A(q+1) = 2(2 + \log(q+1))$ and $|z| = r$ [2].

We now put $p=2q$ in (1.4) and $|z|=r=r_n$ in (2.2). To estimate the first integral part of (2.2) we set

$$r_n^q \int_0^{r_n} \frac{n(t)}{t^{q+1}} dt = r_n^q \int_0^{s_n} \frac{n(t)}{t^{q+1}} dt + r_n^q \int_{s_n}^{r_n} \frac{n(t)}{t^{q+1}} dt.$$

By (1.12) we find

$$\begin{aligned} (2.4) \quad r_n^q \int_{s_n}^{r_n} \frac{n(t)}{t^{q+1}} dt &= (1+o(1)) r_n^q n(r_n) \int_{s_n}^{r_n} \left(\frac{t}{r_n} \right)^{1+2q} \frac{dt}{t^{q+1}} \\ &= (1+o(1)) \frac{n(r_n)}{q+1} \quad (n \rightarrow \infty). \end{aligned}$$

Suppose that

$$\begin{aligned} r_n^q \int_0^{s_n} \frac{n(t)}{t^{q+1}} dt &= r_n^q \int_0^{u_n} \frac{n(t)}{t^{q+1}} dt + r_n^q \int_{u_n}^{t_n} \frac{n(t)}{t^{q+1}} dt + r_n^q \int_{t_n}^{s_n} \frac{n(t)}{t^{q+1}} dt \\ &= I_1 + I_2 + I_3, \quad \text{say.} \end{aligned}$$

Then, we have

$$\begin{aligned} (2.5) \quad I_1 &= r_n^q \int_0^{u_n} \frac{n(t)}{t^{q+1}} dt \leq r_n^q \int_0^{u_{n-1}} \frac{n(t)}{t^{q+1}} dt + r_n^q \int_{u_{n-1}}^{u_n} \frac{n(t)}{t^{q+1}} dt \\ &= o(n(r_n)) \quad (n \rightarrow \infty) \end{aligned}$$

in view of (1.1) and (1.8). Similarly, by (1.12)

$$(2.6) \quad I_2 = r_n^q \int_{u_n}^{t_n} \frac{n(t)}{t^{q+1}} dt \leq n(t_n) r_n^q \frac{1}{q} \left(\frac{1}{u_n^q} - \frac{1}{t_n^q} \right) \\ = o(n(r_n)) \quad (n \rightarrow \infty)$$

and

$$(2.7) \quad I_3 = r_n^q \int_{t_n}^{s_n} \frac{n(t)}{t^{q+1}} dt \leq n(s_n) r_n^q \frac{1}{q} \left(\frac{1}{t_n^q} - \frac{1}{s_n^q} \right) \\ = o(n(r_n)) \quad (n \rightarrow \infty).$$

Hence we deduce from (2.4)-(2.7) that

$$r_n^q \int_0^{r_n} \frac{n(t)}{t^{q+1}} dt = (1 + o(1)) \frac{n(r_n)}{q+1} \quad (n \rightarrow \infty).$$

We find next that, since the order of $n(r)$ is λ , for all sufficiently large n

$$r_n^{q+1} \int_{r_n}^{\infty} \frac{n(t)}{t^{q+2}} dt = r_n^{q+1} \int_{r_n}^{u_{n+1}} \frac{n(t)}{t^{q+2}} dt + r_n^{q+1} \int_{u_{n+1}}^{\infty} \frac{n(t)}{t^{q+2}} dt \\ \leq \frac{n(r_n)}{q+1} + \frac{r_n^{q+1}}{(q+1 - (\lambda + \varepsilon)) u_{n+1}^{q+1 - (\lambda + \varepsilon)}},$$

where ε ($< q+1-\lambda$) is a positive constant. Hence, (1.1) yields

$$r_n^{q+1} \int_{r_n}^{\infty} \frac{n(t)}{t^{q+1}} dt = \frac{n(r_n)}{q+1} (1 + o(1)) \quad (n \rightarrow \infty).$$

We now obtain from (2.2), (2.8), (2.9) and (1.14)

$$\log M(r_n, f) \leq (q+1)A(q+1)(1+o(1))N(r_n)(2q+1) \frac{1}{q+1} \quad (n \rightarrow \infty)$$

which leads to

$$\overline{\lim}_{r \rightarrow \infty} \frac{N(r, 1/f)}{\log M(R, f)} \geq \frac{1}{2(2q+1)A(q+1)}.$$

The assertion of Theorem 2 now follows from this inequality.

3. Proof of Theorem 3. We shall adopt the functions and the notations of Section 2. For m sufficiently large we consider R such that

$$(3.1) \quad r_m \log r_m \leq R \leq r_m (\log r_m)^2 < u_{n+1}.$$

Let

$$G_q(R) = \begin{cases} \sum_{n=1}^m m_{n,p} \sum_{k=1}^{L(n,p)} \left\{ \frac{1}{2} \left(\frac{R}{u_{n,k}} \right)^2 + \dots + \frac{1}{q} \left(\frac{R}{u_{n,k}} \right)^q \right\} & (q \geq 2) \\ 0 & (q=1) \end{cases}$$

and

$$F(R, \theta) = \sum_{n=1}^m m_{n,p} \sum_{k=1}^{L(n,p)} \left\{ \frac{1}{2} \log \left| 1 + \left(\frac{R}{u_{n,k}} \right)^2 + 2 \frac{R}{u_{n,k}} \cos \theta \right| - \frac{R}{u_{n,k}} \cos \theta \right\}.$$

Since, by (1.1), $R/u_{m+1} < 1/2$ for sufficiently large R , we have

$$\sum_{n>m} m_{n,p} \sum_{k=1}^{L(n,p)} \left| \log E\left(-\frac{Re^{i\theta}}{u_{n,k}}, q\right) \right| \leq 2 \sum_{n>m} m_{n,p} \sum_{k=1}^{L(n,p)} \left(\frac{R}{u_{n,k}}\right)^{q+1} \\ \leq 4n(r_m),$$

which yields

$$\log |f(Re^{i\pi})| = \sum_{n=1}^m m_{n,p} \sum_{k=1}^{L(n,p)} \log \left| E\left(-\frac{Re^{i\pi}}{u_{n,k}}, q\right) \right| \\ + \sum_{n>m} m_{n,p} \sum_{k=1}^{J(n,p)} \log \left| E\left(-\frac{Re^{i\pi}}{u_{n,k}}, q\right) \right| \\ (3.2) \quad \geq F(R, \pi) + G_q(R) - 4n(r_m) \quad (R > R_0)$$

and

$$(3.3) \quad \log |f(Re^{i\theta})| \leq F(R, \theta) + G_q(R) + 4n(r_m) \quad (R > R_0).$$

Now the construction of $n(r)$ implies that

$$G_q(R) = \sum_{l=2}^q \left\{ R^l \int_0^{r_m} \frac{n(t)}{t^{l+1}} dt + n(r_m) \frac{1}{l} \left(\frac{R}{r_m}\right)^l \right\}$$

and hence, by (2.4)-(2.7)

$$(3.4) \quad G_q(R) = n(r_m)(1+o(1)) \sum_{l=2}^q \left(\frac{1}{2q-l+1} + \frac{1}{l} \right) \left(\frac{R}{r_m}\right)^l \quad (R \rightarrow \infty).$$

On the other hand, since

$$F(R, \theta) = \sum_{n=1}^m m_{n,p} \sum_{k=1}^{L(n,p)} \left\{ \log \frac{R}{u_{n,k}} + \frac{1}{2} \log \left| 1 + \frac{u_{n,k}}{R} \left(2 \cos \theta + \frac{u_{n,k}}{R} \right) \right| \right. \\ \left. - \frac{R}{u_{n,k}} \cos \theta \right\},$$

we have

$$\left| F(R, \theta) - \left(\int_0^R \log \frac{R}{t} dn(t) - R \cos \theta \int_0^R \frac{dn(t)}{t} \right) \right| < n(R) \log 2 \quad (R > R_0)$$

which implies that

$$(3.5) \quad \left| F(R, \theta) - \left\{ N(R) - \cos \theta \left(R \int_0^{r_m} \frac{n(t)}{t^2} dt + n(r_m) \frac{R}{r_m} \right) \right\} \right| \\ < n(r_m) \log 2 \quad (R > R_0).$$

If $q \geq 2$, by (3.2), (3.4), (3.5) and (2.4)-(2.7) we obtain

$$(3.6) \quad \log |f(Re^{i\pi})| \geq N(R) + G_q(R) + (1+o(1)) \left(n(r_m) \frac{R}{r_m} + R \int_0^{r_m} \frac{n(t)}{t^2} dt \right) \quad (R \rightarrow \infty).$$

Similarly (3.3) and (3.5) yield

$$(3.7) \quad \log |f(Re^{i\theta})| \leq N(R) + G_q(R) - (\cos \theta + o(1)) \left(n(r_m) \frac{R}{r_m} + R \int_0^{r_m} \frac{n(t)}{t^2} dt \right) \quad (R \rightarrow \infty).$$

By (3.4)

$$n(r_m) \frac{R}{r_m} / G_q(R) \longrightarrow 0 \quad (R \rightarrow \infty)$$

and hence, from (3.6) and (3.7) we deduce that

$$\frac{\log |f(Re^{i\theta})|}{\log |f(Re^{i\pi})|} \leq 1 - (1 + \cos \theta + o(1))C(R) \quad (R \rightarrow \infty)$$

where

$$C(R) = \frac{R \int_0^{r_m} \frac{n(t)}{t^2} dt + n(r_m) \frac{R}{r_m}}{N(R) + G_q(R)} < 0.$$

This inequality holds for each R satisfying (3.1) if m is sufficiently large and the assertions of Theorem 3 become obvious for $q \geq 2$.

If $q=1$, $G_q(R)=o$ in (3.6) and (3.7), and hence, since

$$N(R)/n(r_m) \frac{R}{r_m} \longrightarrow 0 \quad (R \rightarrow \infty),$$

we obtain

$$\frac{\log |f(Re^{i\theta})|}{\log |f(Re^{i\pi})|} \leq -\cos \theta + o(1) \quad (R \rightarrow \infty).$$

This completes the proof of Theorem 3.

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