

NOTES ON SOME 3- AND 4-DIMENSIONAL  
RIEMANNIAN MANIFOLDS

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**1. Introduction.** The Riemannian curvature tensor  $R$  of a locally symmetric Riemannian manifold  $(M, g)$  satisfies

$$(*) \quad R(X, Y) \cdot R = 0 \quad \text{for all tangent vectors } X \text{ and } Y,$$

where  $R(X, Y)$  operates on  $R$  as a derivation operator of the tensor algebra at each point of  $M$ . Conversely, does this algebraic condition  $(*)$  on the curvature tensor field  $R$  imply that  $\nabla R = 0$ ? Let  $R_1$  be the Ricci tensor of  $(M, g)$ . Then  $(*)$  implies in particular

$$(**) \quad R(X, Y) \cdot R_1 = 0 \quad \text{for all tangent vectors } X \text{ and } Y.$$

In the present paper, we shall show that if the covariant derivative of the curvature tensor satisfies some algebraic conditions at each point, then the Riemannian manifold is locally symmetric.

In general, according to [4], we have

PROPOSITION A. *Let  $(M, g)$  be an  $m(\geq 3)$ -dimensional real analytic Riemannian manifold. Assume that*

(1.1) *the restricted holonomy group is irreducible,*

$$(1.2) \quad R(X, Y) \cdot R = 0, \quad \text{that is } (*),$$

$$(1.3) \quad R(X, Y) \cdot \nabla^k R = 0, \quad \text{for } k=1, 2, \dots$$

*Then  $(M, g)$  is locally symmetric.*

In this note, we shall prove

THEOREM B. *Let  $(M, g)$  be a 3-dimensional real analytic Riemannian manifold. Assume (1.1), (1.2) and*

$$(1.4) \quad R(X, Y) \cdot \nabla R = 0 \quad (\text{or } R(X, Y) \cdot \nabla_z R = 0).$$

*Then  $(M, g)$  is a space of constant curvature.*

THEOREM C. *Let  $(M, g)$  be a 4-dimensional real analytic Riemannian manifold. Assume (1. 1), (1. 2) and (1. 4). Then  $(M, g)$  is locally symmetric.*

**2. 3-dimensional cases.** Let  $(M, g)$  be a 3-dimensional real analytic Riemannian manifold.  $R$  (resp.  $R_1$ ) denotes the curvature tensor (resp. the Ricci tensor) of  $(M, g)$ .  $R^1$  denotes a field of symmetric endomorphism satisfying  $R_1(X, Y) = g(R^1X, Y)$ . It is known that the curvature tensor  $R$  of  $(M, g)$  is given by

$$(2. 1) \quad R(X, Y) = R^1X \wedge Y + X \wedge R^1Y - \frac{\text{trace } R^1}{2} X \wedge Y$$

for all tangent vectors  $X$  and  $Y$ .

At each point  $p \in M$ , we may choose an orthonormal basis  $\{e_i\}$  such that  $R^1e_i = \lambda_i e_i$ ,  $1 \leq i, j, k, \dots \leq 3$ . Then, from (\*) (or equivalently (\*\*)) and (2. 1), we see that essentially only the following cases are possible:

- (I)  $\lambda_1 = \lambda_2 = \lambda_3 = \lambda, \quad \lambda \neq 0,$   
 (II)  $\lambda_1 = \lambda_2 = \lambda, \quad \lambda_3 = 0, \quad \lambda \neq 0,$   
 (III)  $\lambda_1 = \lambda_2 = \lambda_3 = 0.$

For (I), according to [3], we have

PROPOSITION 2. 1. *If the rank of the Ricci tensor  $R_1$  is 3 at least at one point of  $M$ , then  $(M, g)$  is a space of constant curvature.*

Next, we assume that the rank of  $R_1$  is at most 2 on  $M$ . Then (II) or (III) is valid on  $M$ . If the rank of  $R_1$  is 2 at some point of  $M$ , then the rank of  $R_1$  is also 2 near the point. Thus, let  $W = \{p \in M; \text{the rank of } R_1 \text{ is 2 at } p\}$ , which is an open set of  $M$ . For any  $p_0 \in W$ , let  $W_0$  be the connected component of  $p_0$  in  $W$ . Then non-zero eigenvalue of  $R^1$ , say,  $\lambda$ , is a real analytic function on  $W_0$  and furthermore, we may take two real analytic distributions  $T_1$  and  $T_0$  corresponding to  $\lambda$  and 0 respectively on  $W_0$ . Thus, for any  $p \in W_0$ , we may choose a real analytic field of orthonormal basis  $\{E_i\}$  near  $p$  in such a way that  $\{E_a\}$  and  $\{E_b\}$  are bases for  $T_1$  and  $T_0$  respectively. Here  $a, b, c, \dots = 1, 2$ . From (2. 1) and (II), we have

LEMMA 2. 2. *With respect to the above basis  $\{E_i\}$ ,*

$$(2. 2) \quad R(E_1, E_2) = \lambda E_1 \wedge E_2,$$

*all the others being zero.*

In general, for a local real analytic field of orthonormal basis  $\{E_i\}$  on an open set  $U$  in a real analytic Riemannian manifold  $(M, g)$ , we may put

$$(2. 3) \quad \nabla_{E_i} E_j = \sum_{k=1}^m B_{ijk} E_k,$$

where  $m = \dim M$  and  $B_{ijk}$  ( $i, j, k, = 1, 2, \dots, m$ ) are real analytic functions on  $U$  satisfying  $B_{ijk} = -B_{ikj}$ .

From (2.2) and (2.3), we have

$$(\nabla_{E_1} R)(E_1, E_2) = (E_1 \lambda) E_1 \wedge E_2 + \lambda B_{123} E_1 \wedge E_3 + \lambda B_{131} E_2 \wedge E_3,$$

$$(\nabla_{E_2} R)(E_1, E_2) = (E_2 \lambda) E_1 \wedge E_2 - \lambda B_{213} E_2 \wedge E_3 - \lambda B_{232} E_1 \wedge E_3,$$

$$(\nabla_{E_3} R)(E_1, E_2) = (E_3 \lambda) E_1 \wedge E_2 + \lambda B_{331} E_2 \wedge E_3 + \lambda B_{323} E_1 \wedge E_3,$$

$$(\nabla_{E_1} R)(E_2, E_3) = \lambda B_{131} E_1 \wedge E_2,$$

$$(\nabla_{E_2} R)(E_3, E_1) = \lambda B_{232} E_1 \wedge E_2.$$

From above equations, we have the following:

$$(2.4) \quad E_3 \lambda + \lambda(B_{131} + B_{232}) = 0,$$

$$(2.5) \quad B_{313} = B_{323} = 0.$$

Furthermore, we have

$$(2.6) \quad \begin{aligned} & (R(E_1, E_2) \cdot \nabla_{E_1} R)(E_1, E_2) \\ &= [R(E_1, E_2), (\nabla_{E_1} R)(E_1, E_2)] - (\nabla_{E_1} R)(R(E_1, E_2) E_1, E_2) - (\nabla_{E_1} R)(E_1, R(E_1, E_2) E_2) \\ &= \lambda^2 B_{131} E_1 \wedge E_3 + \lambda^2 B_{132} E_2 \wedge E_3, \end{aligned}$$

and similarly

$$(R(E_1, E_2) \cdot \nabla_{E_2} R)(E_1, E_2) = \lambda^2 B_{232} E_2 \wedge E_3 + \lambda^2 B_{231} E_1 \wedge E_3.$$

Thus, from (1.4) and (2.6), we have

$$(2.7) \quad B_{131} = B_{132} = B_{231} = B_{232} = 0.$$

From (2.7), we see that  $T_1$  and  $T_0$  are parallel on  $W_0$  and hence the open subspace  $(W_0, g|_{W_0})$  is reducible. Since  $(M, g)$  is real analytic, we can conclude that  $(M, g)$  is reducible. Therefore, we have theorem B.

**3. 4-dimensional cases.** Let  $(M, g)$  be a 4-dimensional real analytic Riemannian manifold satisfying the condition (\*). At each point  $p \in M$ , we may choose an orthonormal basis  $\{e_i\}$  such that  $R^1 e_i = \lambda_i e_i$ ,  $1 \leq i, j, k, \dots \leq 4$ . From (\*\*), by the similar arguments as in §2, we see that essentially only the following cases are possible:

$$(I) \quad \lambda_1 = \lambda_2 = \lambda_3 = \lambda_4 = \lambda, \quad \lambda \neq 0,$$

$$(II) \quad \lambda_1 = \lambda_2 = \lambda, \quad \lambda_3 = \lambda_4 = \mu, \quad \lambda, \mu \neq 0, \quad \lambda \neq \mu,$$

$$(III) \quad \lambda_1 = \lambda_2 = \lambda_3 = \lambda, \quad \lambda_4 = 0, \quad \lambda \neq 0,$$

$$(IV) \quad \lambda_1 = \lambda_2 = \lambda, \quad \lambda_3 = \lambda_4 = 0, \quad \lambda \neq 0,$$

$$(V) \quad \lambda_1 = \lambda_2 = \lambda_3 = \lambda_4 = 0.$$

First, for (I), according to [2], we have

PROPOSITION 3.1. *If  $(M, g)$  is a 4-dimensional Einstein space satisfying the condition (\*), then it is locally symmetric.*

Secondly, we assume that (II) is valid at some point of  $M$ . Then, (II) is also valid near the point. Thus, let  $W = \{p \in M; \text{(II) is valid at } p\}$ , which is an open set of  $M$ . For any  $p_0 \in W$ , let  $W_0$  be the connected component of  $p_0$  in  $W$ . Then non-zero eigenvalues of  $R^1$ , say,  $\lambda$  and  $\mu$ , are real analytic functions on  $W_0$  and we may take two real analytic distributions  $T_1$  and  $T_2$  corresponding to  $\lambda$  and  $\mu$  respectively on  $W_0$ . Thus, for any  $p \in W_0$ , we may choose a real analytic field of orthonormal basis  $\{E_i\}$  near  $p$  in such a way that  $\{E_a\}$  and  $\{E_u\}$  are bases for  $T_1$  and  $T_2$  respectively. Here  $a, b, c, \dots = 1, 2$  and  $u, v, w, \dots = 3, 4$ . From (\*) and (II), we have

LEMMA 3.2. *With respect to the above basis  $\{E_i\}$ ,*

$$(3.1) \quad R(E_1, E_2) = \lambda E_1 \wedge E_2, \quad R(E_3, E_4) = \mu E_3 \wedge E_4,$$

*all the other components being zero.*

From (2.3) and (3.1), we have

$$(\nabla_{E_u} R)(E_1, E_2) = (E_u \lambda) E_1 \wedge E_2 + \lambda \sum_{v=3}^4 B_{u1v} E_v \wedge E_2 + \lambda \sum_{v=3}^4 B_{u2v} E_1 \wedge E_v,$$

$$(\nabla_{E_1} R)(E_2, E_u) = -\mu \sum_{v=3}^4 B_{12v} E_v \wedge E_u + \lambda B_{1u1} E_1 \wedge E_2,$$

$$(\nabla_{E_2} R)(E_u, E_1) = -\mu \sum_{v=3}^4 B_{21v} E_u \wedge E_v + \lambda B_{2u2} E_1 \wedge E_2.$$

Thus, by the second Bianchi identity, we have

$$(3.2) \quad B_{uav} = 0, \quad a = 1, 2, \quad u, v = 3, 4.$$

Similarly we have

$$(3.3) \quad B_{aub} = 0, \quad a, b = 1, 2, \quad u = 3, 4.$$

From (3.2) and (3.3), we see that  $T_1$  and  $T_2$  are parallel on  $W_0$  and hence the open subspace  $(W_0, g|_{W_0})$  is reducible. Since  $(M, g)$  is real analytic, we can conclude that  $(M, g)$  is reducible. Thus we have

PROPOSITION 3.3. *If (II) is valid at some point of  $M$ , then  $(M, g)$  is a local product space of two 2-dimensional Riemannian manifolds.*

Thirdly, we assume that the rank of  $R_1$  is at most 3 on  $M$  and 3 at some point of  $M$ . Then (III) is valid at the point and furthermore (III) is also valid near the point. Thus, let  $W = \{p \in M; \text{(III) is valid at } p\}$ , which is an open set of  $M$ . For any  $p_0 \in W$ , let  $W_0$  be the connected component of  $p_0$  in  $W$ . Then non-zero eigenvalue of  $R^1$ , say,  $\lambda$ , is a real analytic function on  $W_0$  and we may take two real analytic distributions  $T_1$  and  $T_0$  corresponding to  $\lambda$  and 0 respectively on  $W_0$ . Thus, for any  $p \in W_0$ , we may choose a real analytic field of orthonormal basis  $\{E_i\}$  near  $p$  in such a way that  $\{E_a\}$  and  $\{E_4\}$  are bases for  $T_1$  and  $T_0$  respectively. Here  $a, b, c, \dots = 1, 2, 3$ . From (\*), (2. 1) and (III), we have

LEMMA 3. 4. *With respect to the above basis  $\{E_i\}$ ,*

$$(3. 4) \quad R(E_a, E_b) = KE_a \wedge E_b,$$

*all the others being zero, where  $K = \lambda/2$ .*

From (2. 3) and (3. 4), we have

$$(3. 5) \quad (\nabla_{E_a} R)(E_b, E_c) = (E_a K)E_b \wedge E_c + KB_{a\ b4}E_4 \wedge E_c + KB_{a\ c4}E_b \wedge E_4,$$

$$(\nabla_{E_b} R)(E_c, E_a) = (E_b K)E_c \wedge E_a + KB_{b\ c4}E_4 \wedge E_a + KB_{b\ a4}E_c \wedge E_4,$$

$$(\nabla_{E_c} R)(E_a, E_b) = (E_c K)E_a \wedge E_b + KB_{c\ a4}E_4 \wedge E_b + KB_{c\ b4}E_a \wedge E_4,$$

$$(3. 6) \quad (\nabla_{E_4} R)(E_a, E_b) = (E_4 K)E_a \wedge E_b + KB_{4\ a4}E_b \wedge E_4 + KB_{4\ b4}E_a \wedge E_4,$$

$$(\nabla_{E_a} R)(E_b, E_4) = K \sum_{c=1}^3 B_{a\ 4c} E_c \wedge E_b,$$

$$(\nabla_{E_b} R)(E_4, E_a) = K \sum_{c=1}^3 B_{b\ 4c} E_a \wedge E_c.$$

From (3. 5) and (3. 6), we have the following:

$$(3. 7) \quad E_a K = 0, \quad a = 1, 2, 3,$$

$$(3. 8) \quad B_{a\ 4b} = 0, \quad a \neq b, \quad \text{and} \quad B_{4\ 4a} = 0, \quad a = 1, 2, 3,$$

$$(3. 9) \quad E_4 K + K(B_{a\ 4a} + B_{b\ 4b}) = 0, \quad a \neq b.$$

And furthermore, we have

$$(3. 10) \quad (R(E_a, E_b) \cdot \nabla_{E_c} R)(E_a, E_b) = K^2 B_{c\ 4a} E_a \wedge E_4 + K^2 B_{c\ 4b} E_b \wedge E_4.$$

Thus, from (1. 4) and (3. 10), we have

$$(3. 11) \quad B_{a\ b4} = 0, \quad a, b = 1, 2, 3.$$

From (3. 7), (3. 8), (3. 9) and (3. 11), we have

PROPOSITION 3. 5. *Assume that the rank of the Ricci tensor  $R_1$  of  $(M, g)$  is at*

most 3 on  $M$  and actually 3 at least at one point of  $M$ . If  $(M, g)$  satisfies (\*) and (1. 4), then  $(M, g)$  is a local product space of a 3-dimensional space of constant curvature and a 1-dimensional space.

Forthly, we assume that the rank of  $R_1$  is at most 2 on  $M$ . Then (IV) or (V) is valid on  $M$ . If the rank of  $R_1$  is 2 at some point of  $M$ , then the rank of  $R_1$  is also 2 near the point. Thus, let  $W = \{p \in M; \text{the rank of } R_1 \text{ is 2 at } p\}$ , which is an open set of  $M$ . For any  $p_0 \in W$ , let  $W_0$  be the connected component of  $p_0$  in  $W$ . Then non-zero eigenvalue of  $R^1$ , say,  $\lambda$ , is a real analytic function on  $W_0$  and we may take two real analytic distributions  $T_1$  and  $T_0$  corresponding to  $\lambda$  and 0 respectively on  $W_0$ . Thus, for any  $p \in W_0$ , we may choose a real analytic field of orthonormal basis  $\{E_i\}$  near  $p$  in such a way that  $\{E_u\}$  and  $\{E_v\}$  are bases for  $T_1$  and  $T_0$  respectively. Here  $a, b, c, \dots = 1, 2$  and  $u, v, w, \dots = 3, 4$ . Then, we have

LEMMA 3. 6. *With respect to the above basis  $\{E_i\}$ ,*

$$(3. 12) \quad R(E_1, E_2) = \lambda E_1 \wedge E_2,$$

*all the others being zero.*

From (2. 3) and (3. 12), we have

$$(3. 13) \quad (\nabla_{E_u} R)(E_1, E_2) = (E_u \lambda) E_1 \wedge E_2 + \lambda \sum_{v=3}^4 B_{uv1} E_2 \wedge E_v + \lambda \sum_{v=3}^4 B_{u2v} E_1 \wedge E_v,$$

$$(\nabla_{E_1} R)(E_2, E_u) = \lambda B_{1u1} E_1 \wedge E_2,$$

$$(\nabla_{E_2} R)(E_u, E_1) = \lambda B_{2u2} E_1 \wedge E_2,$$

$$(3. 14) \quad (\nabla_{E_1} R)(E_1, E_2) = (E_1 \lambda) E_1 \wedge E_2 + \lambda \sum_{v=3}^4 B_{1v1} E_2 \wedge E_v + \lambda \sum_{v=3}^4 B_{12v} E_1 \wedge E_v,$$

$$(\nabla_{E_2} R)(E_1, E_2) = (E_2 \lambda) E_1 \wedge E_2 + \lambda \sum_{v=3}^4 B_{2v1} E_2 \wedge E_v + \lambda \sum_{v=3}^4 B_{22v} E_1 \wedge E_v.$$

From (3. 13), we have

$$(3. 15) \quad B_{uva} = 0, \quad u, v = 3, 4,$$

$$(3. 16) \quad E_u \lambda + \lambda (B_{1u1} + B_{2u2}) = 0, \quad u = 3, 4.$$

From (3. 15), we see that  $T_0$  is involutive and from lemma 3. 6, each maximal integral submanifold of  $T_0$  in  $W_0$  is locally flat with respect to the induced metric. And, from (1. 4), (3. 14) and (3. 15), considering  $(R(E_1, E_2) \cdot \nabla_{E_1} R)(E_1, E_2) = 0$  and  $(R(E_1, E_2) \cdot \nabla_{E_2} R)(E_1, E_2) = 0$ , we have

PROPOSITION 3. 7. *Assume that the rank of the Ricci tensor  $R_1$  of  $(M, g)$  is at most 2 on  $M$  and actually 2 at least at one point of  $M$ . If  $(M, g)$  satisfies (\*) and (1. 4), then  $(M, g)$  is a local product space of 2-dimensional Riemannian manifold*

and a 2-dimensional locally flat space.

Thus, from Propositions 3. 1, 3. 3, 3. 5, 3. 7, we have theorem C. Furthermore, from (3. 7), (3. 8) and (3. 9), by the similar arguments as in [3], we have

PROPOSITION 3. 8. *Assume that the rank of the Ricci tensor  $R_1$  of  $(M, g)$  is at most 3 on  $M$  and actually 3 at least at one point of  $M$ . If  $(M, g)$  satisfies (\*) and is complete, then  $(M, g)$  is a local product space of a 3-dimensional space of constant curvature and a 1-dimensional space.*

Thus, from Propositions 3. 1, 3. 3, 3. 8, we have

THEOREM 3. 9. *Let  $(M, g)$  be a 4-dimensional complete and irreducible real analytic Riemannian manifold and the rank of the Ricci tensor  $R_1$  of  $(M, g)$  is 3 or 4 on  $M$ . If  $(M, g)$  satisfies (\*), then  $(M, g)$  is locally symmetric.*

REMARK. In 3-dimensional cases, we see that the condition (\*) is equivalent to (\*\*) and the condition (1. 4) is equivalent to

$$(1. 4)' \quad R(X, Y) \cdot \nabla_Z R_1 = 0.$$

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