

LOCALIZATION OF THE COEFFICIENT THEOREM

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Abstract

Let f be holomorphic and univalent in $D = \{|z| < 1\}$ and set $K(z) = z/(1-z)^2$. We prove $|f^{(n)}(z)/f'(z)| \leq K^{(n)}(|z|)/K'(|z|)$ at each $z \in D$ and for each $n \geq 2$. This inequality at $z = 0$ is just the coefficient theorem of de Branges, the very solution of the Bieberbach conjecture. The equality condition is given in detail. In the specified case where $f(D)$ is convex we have again a similar and sharp result. We also consider $|f^{(n)}(z)/f'(z)|$ for f univalent in a hyperbolic domain Ω with the Poincaré density $P_\Omega(z)$ and the radius of univalence $\rho_\Omega(z)$ at $z \in \Omega$. We obtain the estimate $(\rho_\Omega(z)/P_\Omega(z))^{n-1} |f^{(n)}(z)/f'(z)| \leq n!4^{n-1}$ at $z \in \Omega$ for $n \geq 2$, together with the detailed equality condition on f, Ω , and z .

1. Introduction

Let \mathcal{U} be the family of functions holomorphic and univalent in $D = \{z; |z| < 1\}$. Writing $f_\gamma(z) = \bar{\gamma}f(\gamma z)$ for $f \in \mathcal{U}$ and for $\gamma \in \partial D \equiv \{z; |z| = 1\}$, we know that important members of \mathcal{U} are K_γ , the γ -rotations of the Koebe function $K(z) = z/(1-z)^2$. The coefficient theorem proved by L. de Branges [B] then reads as follows. For each $f \in \mathcal{U}$ and for each $n \geq 2$, the inequality

$$(1.1) \quad \left| \frac{f^{(n)}(0)}{f'(0)} \right| \leq n!n$$

holds. If the equality holds in (1.1) for an $n \geq 2$, then $f = f'(0)K_\gamma + f(0)$ for some $\gamma \in \partial D$. Conversely the equality holds in (1.1) for all $n \geq 2$ and for all $f = AK_\gamma + B$, where $A \neq 0, B$, and $\gamma \in \partial D$ are complex constants.

By induction we have

$$(1.2) \quad K_\gamma^{(n)}(z) \equiv (K_\gamma)^{(n)}(z) = \frac{\gamma^{n-1}n!(n+\gamma z)}{(1-\gamma z)^{n+2}} \quad (n \geq 1, \gamma \in \partial D),$$

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so that (1.1) is precisely

$$(1.3) \quad \left| \frac{f^{(n)}(0)}{f'(0)} \right| \leq \frac{K^{(n)}(0)}{K'(0)}.$$

We may therefore call the following a localization of the coefficient theorem.

THEOREM A. *For $f \in \mathcal{U}$ the estimate*

$$(1.4) \quad \left| \frac{f^{(n)}(z)}{f'(z)} \right| \leq \frac{K^{(n)}(|z|)}{K'(|z|)} = \frac{n!(n + |z|)}{(1 - |z|)^{n-1}(1 + |z|)}$$

holds for each $n \geq 2$ and at each $z \in D$. If the equality holds in (1.4) at a point z and for an $n \geq 2$, then

$$(1.5) \quad f(w) \equiv AK_{\beta}(w) + B,$$

where $A \neq 0, B$, and $\beta \in \partial D$ are all complex constants. Conversely for f of (1.5) the equality holds in (1.4) for all $n \geq 2$ and at all points of the radius

$$\Lambda(\beta) \equiv \{\bar{\beta}t; 0 \leq t < 1\}.$$

Furthermore, the inequality (1.4) is strict for all $n \geq 2$ and at all points of $D \setminus \Lambda(\beta)$.

Let \mathcal{S} be the family of $f \in \mathcal{U}$ with $f(0) = f'(0) - 1 = 0$. Supposing (1.1) the proof of which was unknown at that time, Z. J. Jakubowski [J, p. 67] proved that

$$(1.4J) \quad \left| \frac{f^{(n)}(z)}{f'(z)} \right| \leq \frac{n!(n + |z|)}{(1 - |z|)^{n-1}(1 + |z|)}$$

for $f \in \mathcal{S}, z \in D$, and $n \geq 2$, so that (1.4) is essentially due to him. However, Jakubowski never gave any equality condition for (1.4J) even for $f \in \mathcal{S}$. Under the condition that $f \in \mathcal{S}$, the equality condition for (1.4J) is the same as in Theorem A except for the restriction that $A = 1$ and $B = 0$ in (1.5). Actually, in Section 2 we shall propose Theorem 1 which may be called the first generalization of the coefficient theorem and which is a generalized form of Theorem A, in terms of the radius of univalence. In particular, the proof of (1.4) is different from Jakubowski's.

For each function

$$h(z) = z + \sum_{k=2}^{\infty} a_k z^k$$

of \mathcal{S} we know that

$$(1.6) \quad |h'(z)| \leq K'(|z|) = \frac{1 + |z|}{(1 - |z|)^3}$$

for all $z \in D$ [G, p. 65]. Applying (1.4) and (1.6) to h we now have

$$(1.7) \quad |h^{(n)}(z)| \leq K^{(n)}(|z|) = \frac{n!(n+|z|)}{(1-|z|)^{n+2}}$$

for all $n \geq 2$ and all $z \in D$, a known result in [L, Satz] and [M, (12)], where (1.1) is again supposed; see also [G, pp. 74 and 103]. This is also an immediate consequence of $|a_k| \leq k, k \geq 2$ for h because

$$|h^{(n)}(z)| \leq \sum_{k=n}^{\infty} k(k-1) \cdots (k-n+1)k|z|^{k-n} = K^{(n)}(|z|).$$

However, the proofs in [L] and [M] are not short. The equality condition is incompletely given in the cited three literatures, so that the following might be noteworthy. If the equality holds in (1.7) for an $n \geq 2$ and at a point $z \in D$, then $h = K_\beta$ for a $\beta \in \partial D$. Conversely, for $h = K_\beta, \beta \in \partial D$, the equality holds in (1.7) for all $n \geq 2$ and at all points of $\Lambda(\beta)$, whereas the inequality (1.7) is strict for all $n \geq 2$ and at all points of $D \setminus \Lambda(\beta)$.

To consider a convex version of Theorem A we recall the function $L(z) = z/(1-z)$ of \mathcal{S} for which

$$\frac{L^{(n)}(z)}{L'(z)} = \frac{n!}{(1-z)^{n-1}} \quad (n \geq 2);$$

note that $L(D)$ is a half-plane, so that this is convex.

THEOREM B. *Suppose that the image $f(D)$ of D by $f \in \mathcal{U}$ is convex. Then*

$$(1.8) \quad \left| \frac{f^{(n)}(z)}{f'(z)} \right| \leq \frac{L^{(n)}(|z|)}{L'(|z|)} = \frac{n!}{(1-|z|)^{n-1}}$$

for each $n \geq 2$ and at each $z \in D$. If the equality holds in (1.8) at a point z and for an $n \geq 2$, then

$$(1.9) \quad f(w) \equiv AL_\beta(w) + B,$$

where $A \neq 0, B$, and $\beta \in \partial D$ are all complex constants. Conversely for f of (1.9) the equality holds in (1.8) for all $n \geq 2$ and at all points of $\Lambda(\beta)$. Furthermore, the inequality (1.8) is strict for all $n \geq 2$ and at all points of $D \setminus \Lambda(\beta)$.

The inequality (1.8) at $z = 0$ is familiar [G, p. 117]. Jakubowski [J, p. 68] proved that

$$(1.8J) \quad \left| \frac{f^{(n)}(z)}{f'(z)} \right| \leq \frac{n!}{(1-|z|)^{n-1}}$$

for $f \in \mathcal{S}$ with convex $f(D)$ again without detailed equality condition as ours. Actually, in Section 3 we shall prove Theorem 2, a generalized form of Theorem

B, in terms of the radius of convexity. In particular, the proof of (1.8) is different from Jakubowski's.

Suppose that $h(D)$ is convex for $h \in \mathcal{S}$. Then

$$(1.10) \quad |h'(z)| \leq L'(|z|)$$

for all $n \geq 2$ and all $z \in D$ [G, p. 118]. Applying (1.8) and (1.10) to $h \in \mathcal{S}$ with convex $h(D)$, we have

$$(1.11) \quad |h^{(n)}(z)| \leq L^{(n)}(|z|)$$

for all $n \geq 2$ and all $z \in D$; this is a known result [G, p. 118] and also is a trivial consequence of the coefficient theorem [G, p. 117] in the convex case. The equality conditions like for (1.7) can easily be obtained.

In Section 4 we shall consider the inequalities containing $f', f'', \dots, f^{(n)}$, $n \geq 2$, at the same time; the equality conditions in Theorems 3 and 4 there are different from those in Theorems 1 and 2. One can regard Theorem 3 as the second localization of the coefficient theorem.

In Section 5 we shall prove Theorem 5, a version of Theorem A in a hyperbolic domain with the Poincaré density. Theorem 5 is sharp yet is not an extension of Theorem A.

2. Radius of univalence

Suppose that $f'(z) \neq 0$ at a point $z \in D$ for f holomorphic in D . Then there exists $\rho(z, f) > 0$, the greatest r such that $0 < r \leq 1$ and f is univalent in

$$(2.1) \quad \left\{ w; \left| \frac{w-z}{1-\bar{z}w} \right| < r \right\}$$

which is the non-Euclidean disk of center z and the non-Euclidean radius $\operatorname{arctanh} r$, and also is the disk of

$$\text{center } \mathcal{Z}(z, r) \equiv \frac{z(1-r^2)}{1-r^2|z|^2} \in D \text{ and radius } \mathcal{R}(z, r) \equiv \frac{r(1-|z|^2)}{1-r^2|z|^2} \leq 1 - |\mathcal{Z}(z, r)|.$$

We call $\rho(z, f)$ the radius of univalence of f at z .

A generalization of Theorem A is the following.

THEOREM 1. *Let f be holomorphic in D and suppose that $f'(z) \neq 0$ at a point $z \in D$, so that $\rho = \rho(z, f) > 0$. Then*

$$(2.2) \quad \left| \frac{f^{(n)}(z)}{f'(z)} \right| \leq \mathcal{R}(z, \rho)^{1-n} \frac{K^{(n)}(\rho|z|)}{K'(\rho|z|)} = \frac{n!(\rho|z|+1)^{n-2}(\rho|z|+n)}{\rho^{n-1}(1-|z|^2)^{n-1}}$$

for each $n \geq 2$. If the equality holds in (2.2) for an $n \geq 2$, then $\rho(z, f) = 1$, so that $f \in \mathcal{U}$. Furthermore, f is of the form (1.5). Conversely for f of (1.5) the equality holds (in (2.2), i.e.,) in (1.4) for all $n \geq 2$ and at all points of $\Lambda(\beta)$, whereas the inequality (1.4) is strict for all $n \geq 2$ and at all points of $D \setminus \Lambda(\beta)$.

We shall make use of the identity

$$(2.3) \quad \sum_{k=0}^m (k+1) \binom{m}{k} P^{m-k} Q^k = (P+Q)^{m-1} (P+(m+1)Q)$$

for complex numbers P, Q and for a natural number m . Actually, it follows from

$$k \binom{m}{k} = m \binom{m-1}{k-1}$$

for $1 \leq k \leq m$ that

$$\sum_{k=1}^m k \binom{m}{k} P^{m-k} Q^k = mQ(P+Q)^{m-1}.$$

Proof of Theorem 1. Since the function

$$(2.4) \quad g(w) = \frac{f\left(\frac{\rho w+z}{1+\bar{z}\rho w}\right) - f(z)}{\rho(1-|z|^2)f'(z)} = \sum_{k=1}^{\infty} b_k w^k$$

of $w \in D$ is in \mathcal{S} , since

$$f(\zeta) = \rho(1-|z|^2)f'(z)g(w) + f(z)$$

for

$$\zeta = \frac{\rho w+z}{1+\bar{z}\rho w} \quad \text{with} \quad d\zeta = \frac{\rho(1-|z|^2)}{(1+\bar{z}\rho w)^2} dw, \quad w \in D,$$

and since

$$\frac{(1+\bar{z}\rho w)^{n-1}}{w^{n+1}} = \sum_{k=0}^{n-1} \binom{n-1}{k} (\bar{z}\rho)^{n-1-k} w^{-k-2}, \quad w \neq 0,$$

for $n \geq 1$, it follows, after short computation, that

$$(2.5) \quad \begin{aligned} \frac{f^{(n)}(z)}{n!} &= \frac{1}{2\pi i} \int_{|\zeta-z|/(1-\bar{z}\zeta)|=\rho/2} \frac{f(\zeta)}{(\zeta-z)^{n+1}} d\zeta \\ &= \frac{f'(z)}{\rho^{n-1}(1-|z|^2)^{n-1}} \sum_{k=0}^{n-1} \binom{n-1}{k} (\bar{z}\rho)^{n-1-k} \frac{1}{2\pi i} \int_{|w|=1/2} \frac{g(w)}{w^{k+2}} dw \\ &= \frac{f'(z)}{\rho^{n-1}(1-|z|^2)^{n-1}} \sum_{k=0}^{n-1} \binom{n-1}{k} (\bar{z}\rho)^{n-1-k} b_{k+1}. \end{aligned}$$

Since $|b_{k+1}| \leq k+1$ for all $k \geq 1$ (with $b_1 = 1$), and since (2.3) for $m =$

$n - 1, P = \rho|z|$, and $Q = 1$ holds, it finally follows from (2.5) that

$$(2.6) \quad \frac{|f^{(n)}(z)|}{n!} \leq \frac{|f'(z)|(\rho|z| + 1)^{n-2}(\rho|z| + n)}{\rho^{n-1}(1 - |z|^2)^{n-1}},$$

or (2.2).

If the equality holds in (2.2) for an $n \geq 2$, then there exists a $\beta \in \partial D$ with $g = K_\beta$. If $\rho < 1$, then f has $(\rho\beta + z)/(1 + \bar{z}\rho\beta) \in D$ as a pole. This contradiction shows that $\rho = 1$, so that $f \in \mathcal{U}$. We thus have

$$\frac{f\left(\frac{w+z}{1+\bar{z}w}\right) - f(z)}{(1 - |z|^2)f'(z)} = K_\beta(w) = \sum_{k=1}^{\infty} k\beta^{k-1}w^k.$$

Furthermore, with the aid of (2.3) for $m = n - 1, P = \bar{z}$ and $Q = \beta$, (2.5) for $b_{k+1} = (k + 1)\beta^k, k = 1, 2, \dots$, is now reduced to

$$(2.7) \quad \frac{f^{(n)}(z)}{n!} = \frac{f'(z)}{(1 - |z|^2)^{n-1}}(\bar{z} + \beta)^{n-2}(\bar{z} + n\beta).$$

Since

$$|\bar{z} + \beta| = |z| + 1 \quad \text{and} \quad |\bar{z} + n\beta| = |z| + n,$$

if and only if $z \in \Lambda(\beta)$, we can conclude that $z \in \Lambda(\beta)$. Furthermore, for the present $z \in \Lambda(\beta)$, the equality holds in (2.2) for all $n \geq 2$.

Consequently, if the equality holds in (2.2) for an $n \geq 2$, then it holds for all $n \geq 2$, and, furthermore,

$$f(w) \equiv (1 - |z|^2)f'(z)K_\beta\left(\frac{w - z}{1 - \bar{z}w}\right) + f(z)$$

for a $\beta \in \partial D$ with $z \in \Lambda(\beta)$.

On the other hand, setting

$$A'(c) = \frac{(1 + \beta c)^3}{(1 - |c|^2)(1 - \beta c)} \quad \text{and} \quad B'(c) = \frac{c(1 + \beta c)}{(1 - |c|^2)(1 - \beta c)}$$

for c on the diameter

$$(2.8) \quad \Xi(\beta) = \{\bar{\beta}t; -1 < t < 1\}, \quad \beta \in \partial D,$$

one can prove that

$$(2.9) \quad K_\beta(w) \equiv A'(c)K_\beta\left(\frac{w - c}{1 - \bar{c}w}\right) + B'(c).$$

Since $z \in \Lambda(\beta) \subset \Xi(\beta)$, we have (1.5) with

$$A = \frac{(1 - |z|^2)f'(z)}{A'(z)} = \frac{(1 - \beta z)(1 - |z|^2)^2 f'(z)}{(1 + \beta z)^3} = \frac{(1 - |z|)^3 f'(z)}{1 + |z|}$$

and

$$B = f(z) - \frac{B'(z)}{A'(z)}(1 - |z|^2)f'(z) = f(z) - \frac{z(1 - |z|^2)f'(z)}{(1 + \beta z)^2} = f(z) - \frac{z(1 - |z|)f'(z)}{1 + |z|}.$$

Given f of (1.5) and $n \geq 2$ we have

$$\frac{n!|n + \beta z|}{|1 - \beta z|^{n-1}|1 + \beta z|} = \left| \frac{K_\beta^{(n)}(z)}{K_\beta'(z)} \right| = \left| \frac{f^{(n)}(z)}{f'(z)} \right| = \frac{K^{(n)}(|z|)}{K'(|z|)}$$

if and only if

$$1 - |z| = |1 - \beta z|, \quad 1 + |z| = |1 + \beta z|, \quad \text{and} \quad n + |z| = |n + \beta z|,$$

if and only if $\operatorname{Re}(\beta z) = |z|$, hence, if and only if $z \in \Lambda(\beta)$. The remaining part of the proof of Theorem 1 is now obvious.

3. Radius of convexity

Suppose that $f'(z) \neq 0$ at a point $z \in D$ for f holomorphic in D . Then there exists $\rho_c(z, f) > 0$, the greatest r such that $0 < r \leq 1$ and f is univalent in the disk of (2.1) the image of which by f is convex. We call $\rho_c(z, f)$ the radius of convexity of f at z . With the aid of the known theorem [G, p. 119] one can prove that

$$(2 - \sqrt{3})\rho(z, f) \leq \rho_c(z, f) \leq \rho(z, f).$$

As a generalized form of Theorem B we shall prove

THEOREM 2. *Let f be holomorphic in D and suppose that $f'(z) \neq 0$ at a point $z \in D$, so that $\rho_c = \rho_c(z, f) > 0$. Then*

$$(3.1) \quad \left| \frac{f^{(n)}(z)}{f'(z)} \right| \leq \mathcal{R}(z, \rho_c)^{1-n} \frac{L^{(n)}(\rho_c|z|)}{L'(\rho_c|z|)} = \frac{n!(\rho_c|z| + 1)^{n-1}}{\rho_c^{n-1}(1 - |z|^2)^{n-1}}$$

for each $n \geq 2$. If the equality holds in (3.1) for an $n \geq 2$, then $\rho_c(z, f) = 1$, so that $f \in \mathcal{U}$ and $f(D)$ is convex. Furthermore, f is of the form (1.9). Conversely for f of (1.9) the equality holds (in (3.1), i.e.,) in (1.8) for all $n \geq 2$ and at all points of $\Lambda(\beta)$, whereas the inequality (1.8) is strict for all $n \geq 2$ and at all points of $D \setminus \Lambda(\beta)$.

Proof. We have, this time,

$$(3.2) \quad \frac{f^{(n)}(z)}{n!} = \frac{f'(z)}{\rho_c^{n-1}(1 - |z|^2)^{n-1}} \sum_{k=0}^{n-1} \binom{n-1}{k} (\bar{z}\rho_c)^{n-1-k} b_{k+1},$$

where

$$(3.3) \quad g(w) = \frac{f\left(\frac{\rho_c w + z}{1 + \bar{z}\rho_c w}\right) - f(z)}{\rho_c(1 - |z|^2)f'(z)} = \sum_{k=1}^{\infty} b_k w^k$$

is in \mathcal{S} with convex $g(D)$. The well known coefficient theorem for g then reads that $|b_k| \leq 1$ for all $k \geq 2$; furthermore, if $|b_k| = 1$ for a $k \geq 2$, then

$$(3.4) \quad g(w) \equiv L_{\beta}(w) = \sum_{k=1}^{\infty} \beta^{k-1} w^k$$

for a $\beta \in \partial D$, so that $|b_k| = 1$ for all $k \geq 2$. Hence, (3.2) shows that

$$\frac{|f^{(n)}(z)|}{n!} \leq \frac{|f'(z)|}{\rho_c^{n-1}(1 - |z|^2)^{n-1}} (\rho_c|z| + 1)^{n-1},$$

from which follows (3.1).

If the equality holds in (3.1) for an $n \geq 2$, then g is of the form (3.4). Hence $\rho_c = 1$; otherwise, f has $(\rho_c\beta + z)/(1 + \bar{z}\rho_c\beta) \in D$ as a pole. We thus obtain

$$\frac{f^{(n)}(z)}{n!} = \frac{f'(z)}{(1 - |z|^2)^{n-1}} (\bar{z} + \beta)^{n-1},$$

because $b_{k+1} = \beta^k$. Note that $|\bar{z} + \beta| = 1 + |z|$ if and only if $z \in \Lambda(\beta)$.

Consequently, if the equality holds in (3.1) for an $n \geq 2$, then it holds for all $n \geq 2$, and furthermore

$$f(w) \equiv (1 - |z|^2)f'(z)L_{\beta}\left(\frac{w - z}{1 - \bar{z}w}\right) + f(z)$$

for a $\beta \in \partial D$ with $z \in \Lambda(\beta)$. By the similar reasoning as in the proof of Theorem 2 we have

$$A = \frac{(1 - |z|^2)^2 f'(z)}{(1 + \beta z)^2} = (1 - |z|)^2 f'(z)$$

and

$$B = f(z) - \frac{z(1 - |z|^2)f'(z)}{1 + \beta z} = f(z) - z(1 - |z|)f'(z)$$

for $z \in \Lambda(\beta) \subset \Xi(\beta)$ in (1.9) because

$$\frac{(1 + \beta c)^2}{1 - |c|^2} L_{\beta}\left(\frac{w - c}{1 - \bar{c}w}\right) + \frac{c(1 + \beta c)}{1 - |c|^2} \equiv L_{\beta}(w)$$

for $c \in \Xi(\beta)$. The rest of the proof is the same as that of Theorem 1 with K replaced by L .

4. Estimates containing $f', f'', \dots, f^{(n)}, n \geq 2$

Two sharp inequalities containing $f', f'', \dots, f^{(n)}$, at the same time will be proved.

For $z \in D$ and for $\beta \in \partial D$ we set

$$\Xi(z, \beta) = \left\{ \frac{\bar{\beta}t + z}{1 + \bar{z}\bar{\beta}t}; -1 < t < 1 \right\}.$$

The set $\Xi(z, \beta)$ is the non-Euclidean (geodesic) line in D ending at points $(z - \bar{\beta})/(1 - \bar{z}\bar{\beta})$ and $(z + \bar{\beta})/(1 + \bar{z}\bar{\beta})$ of ∂D , or, a circular arc in (possibly, a diameter of) D orthogonal to ∂D at the two points. Note that $\Xi(z, \beta) = \Xi(\beta)$ if and only if $z \in \Xi(\beta)$. In particular, $\Xi(\beta) = \Xi(0, \beta)$.

THEOREM 3. *Let f be holomorphic in D and suppose that $f'(z) \neq 0$ at a point $z \in D$. Then*

$$(4.1) \quad \rho(z, f)^{n-1} \left| \sum_{k=1}^n \frac{1}{k!} \binom{n-1}{k-1} (-\bar{z})^{n-k} (1 - |z|^2)^{k-1} \frac{f^{(k)}(z)}{f'(z)} \right| \leq n$$

for each $n \geq 2$. If the equality holds in (4.1) for an $n \geq 2$, then f is of the form

$$(4.2) \quad f(w) \equiv AK_{\beta} \left(\frac{w - z}{1 - \bar{z}w} \right) + B,$$

where $A \neq 0, B$ and $\beta \in \partial D$ are constants. Conversely for f of (4.2) the equality holds in (4.1) (with $\rho(z, f) = 1$) for all $n \geq 2$ and at all points of $\Xi(z, \beta)$. The inequality (4.1) is, furthermore, strict for all $n \geq 2$ and at all points of $D \setminus \Xi(z, \beta)$.

THEOREM 4. *Let f be holomorphic in D and suppose that $f'(z) \neq 0$ at a point $z \in D$. Then*

$$(4.3) \quad \rho_c(z, f)^{n-1} \left| \sum_{k=1}^n \frac{1}{k!} \binom{n-1}{k-1} (-\bar{z})^{n-k} (1 - |z|^2)^{k-1} \frac{f^{(k)}(z)}{f'(z)} \right| \leq 1$$

for each $n \geq 2$. If the equality holds in (4.3) for an $n \geq 2$, then f is of the form

$$(4.4) \quad f(w) \equiv AL_{\beta} \left(\frac{w - z}{1 - \bar{z}w} \right) + B,$$

where $A \neq 0, B$ and $\beta \in \partial D$ are constants. Conversely for f of (4.4) the equality holds in (4.3) (with $\rho_c(z, f) = 1$) for all $n \geq 2$ and at all points of $\Xi(z, \beta)$. The inequality (4.3) is, furthermore, strict for all $n \geq 2$ and at all points of $D \setminus \Xi(z, \beta)$.

The proof of Theorem 4 is similar to that of Theorem 3, and hence is omitted.

Proof of Theorem 3. First of all we claim that, for a complex λ and $1 \leq k \leq n$, the expansion

$$(4.5) \quad \left(\frac{w}{1 + \lambda w}\right)^k = \sum_{n=k}^{\infty} (-\lambda)^{n-k} \binom{n-1}{k-1} w^n,$$

holds provided that $|\lambda w| < 1$. This identity follows immediately from

$$\frac{1}{(1 - \zeta)^k} = \sum_{n=0}^{\infty} \binom{n+k-1}{k-1} \zeta^n$$

for $|\zeta| < 1$ and $1 \leq k \leq n$.

Set $\rho = \rho(z, f)$ and consider g of (2.4). Set

$$\phi(w) = \frac{w}{1 + \rho \bar{z} w}$$

for $w \in D$ and

$$\begin{aligned} F(\zeta) &= \frac{f(\rho(1 - |z|^2)\zeta + z) - f(z)}{\rho(1 - |z|^2)f'(z)} \\ &= \sum_{k=1}^{\infty} \frac{f^{(k)}(z)}{k!} \frac{[\rho(1 - |z|^2)\zeta]^k}{\rho(1 - |z|^2)f'(z)}. \end{aligned}$$

Then

$$g(w) = F \circ \phi(w) = \frac{1}{f'(z)} \sum_{k=1}^{\infty} \frac{f^{(k)}(z)}{k!} [\rho(1 - |z|^2)]^{k-1} \phi(w)^k,$$

so that, with the aid of (4.5) for $\lambda = \rho \bar{z}$, we have

$$g(w) = \sum_{n=1}^{\infty} b_n w^n$$

with

$$b_n = \rho^{n-1} \sum_{k=1}^n \frac{1}{k!} \binom{n-1}{k-1} (-\bar{z})^{n-k} (1 - |z|^2)^{k-1} \frac{f^{(k)}(z)}{f'(z)}.$$

Applying the coefficient theorem $|b_n| \leq n, n \geq 2$, to $g \in \mathcal{S}$ we immediately have (4.1).

If the equality holds in (4.1) for an $n \geq 2$, then it holds for all $n \geq 2$, $\rho(z, f) = 1$, and f is of the form (4.2) with $A = (1 - |z|^2)f'(z)$ and $B = f(z)$.

Conversely, given f of (4.2) we suppose that the equality holds in (4.1) at $c \in D$ and for an (hence, all) $n \geq 2$. In particular, for $n = 2$ we have $|Q(c)| = 2$ for

$$Q(c) = -\bar{c} + \frac{1}{2}(1 - |c|^2) \frac{f''(c)}{f'(c)}.$$

Setting $\psi(w) = \beta(w - z)/(1 - \bar{z}w)$, $w \in D$, and recalling

$$1 - |\psi(c)|^2 = \frac{(1 - |z|^2)(1 - |c|^2)}{(1 - \bar{z}c)(1 - z\bar{c})},$$

we have that

$$Q(c) = \frac{\beta(1 - z\bar{c})}{1 - \bar{z}c} \left(-\overline{\psi(c)} + \frac{1}{2}(1 - |\psi(c)|^2) \frac{K''(\psi(c))}{K'(\psi(c))} \right).$$

Hence

$$(4.6) \quad \left| -\overline{\psi(c)} + \frac{1}{2}(1 - |\psi(c)|^2) \frac{K''(\psi(c))}{K'(\psi(c))} \right| = 2.$$

On the other hand,

$$\left| -\bar{\zeta} + \frac{1}{2}(1 - |\zeta|^2) \frac{K''(\zeta)}{K'(\zeta)} \right| = 2$$

for $\zeta \in D$ if and only if $1 - |\zeta|^2 = |1 - \zeta^2|$ or if and only if $\zeta \in \Xi(1) = (-1, 1)$. It then follows from (4.6) that $\psi(c) \in \Xi(1)$, so that $c \in \Xi(z, \beta)$. Given $c' \in \Xi(z, \beta)$ for f of (4.2) we may trace back the above argument on replacing c with c' to observing that the equality holds in (4.1) at c' for all $n \geq 2$. The remaining part of the proof is now obvious.

For $f \in \mathcal{U}$ at $z = 0$, the inequality (4.1) is just (1.1). One can call Theorem 3, therefore, the second localization of the coefficient theorem; similarly for Theorem 4.

The case $n = 2$ in (4.1) reads

$$\rho(z, f) \left| -\bar{z} + \frac{1}{2}(1 - |z|^2) \frac{f''(z)}{f'(z)} \right| \leq 2,$$

which is familiar in case $\rho(z, f) = 1$ or $f \in \mathcal{U}$; see [G, (5), p. 63].

5. Hyperbolic domain

A domain Ω in the plane $C = \{|z| < +\infty\}$ is called hyperbolic if $C \setminus \Omega$ contains at least two points. Let ϕ be a universal covering projection from D onto a hyperbolic domain Ω in C ; ϕ is holomorphic and ϕ' is zero-free in D . The Poincaré density P_Ω is then the function in Ω defined by

$$P_\Omega(z) = \frac{1}{(1 - |w|^2)|\phi'(w)|}, \quad z \in \Omega,$$

where $z = \phi(w)$; the choice of ϕ and w is immaterial as far as $z = \phi(w)$ is satisfied.

We next set $\rho_\Omega(z) = \rho(w, \phi)$ for $z = \phi(w) \in \Omega$. Again, $\rho_\Omega(z)$ is independent of the particular choice of ϕ and w as far as $z = \phi(w)$ is satisfied. We call $\rho_\Omega(z)$ the radius of univalence of Ω at z .

Let $\mathcal{U}(\Omega)$ be the family of all the functions holomorphic and univalent in Ω ; in particular, $\mathcal{U} = \mathcal{U}(D)$.

As another application of the coefficient theorem we propose

THEOREM 5. For $f \in \mathcal{U}(\Omega)$ of a hyperbolic domain $\Omega \subset \mathbf{C}$ the inequality

$$(5.1) \quad \left(\frac{\rho_\Omega(z)}{P_\Omega(z)} \right)^{n-1} \left| \frac{f^{(n)}(z)}{f'(z)} \right| \leq n!4^{n-1}$$

holds for each $n \geq 2$ and at each $z \in \Omega$. If the equality holds in (5.1) at a point $z \in \Omega$ and for an $n \geq 2$, then the following items (I) and (II) hold.

(I) There exist complex constants $Q \neq 0$ and R such that Ω is the slit domain

$$(5.2) \quad \Omega = \mathbf{C} \setminus \left\{ Qt + R; t \leq -\frac{1}{4} \right\};$$

in particular, $\rho_\Omega(z) \equiv 1$.

(II) The function f is of the form

$$(5.3) \quad f(w) = \frac{S(R - w)}{4w + Q - 4R} + T,$$

where $S \neq 0$ and T are complex constants.

Conversely, suppose that f of (5.3) is given in Ω of (5.2). Then the equality holds in (5.1) at each point of the half-line

$$\mathcal{L} = \left\{ Qt + R; t > -\frac{1}{4} \right\}$$

and for each $n \geq 2$, whereas the inequality (5.1) is strict at each point of $\Omega \setminus \mathcal{L}$ and for each $n \geq 2$.

The extremal function f of (5.3) maps Ω of (5.2) univalently onto the slit domain

$$\mathbf{C} \setminus \left\{ St + T; t \leq -\frac{1}{4} \right\}.$$

K. S. Chua [C, Theorem 1] proved (5.1) in case $\rho_\Omega(z) \equiv 1$, namely, in case Ω is a simply connected, proper subdomain of \mathbf{C} ; his equality condition is not complete enough. Chua actually proved that the equality holds in (5.1) at 0 for f of (5.3) with $Q = 1, R = 0, S = (-1)^n$, and $T = 0$ in Ω of (5.2) [C, p. 69]. In case $\Omega = D$ and $f \in \mathcal{U}$, the inequality (5.1) at $z = 0$ reads

$$(5.4) \quad \left| \frac{f^{(n)}(0)}{f'(0)} \right| \leq n!4^{n-1},$$

a worse result than (1.1) for $n \geq 2$. Theorem 5 is, in this sense, never an extension of Theorem A.

Theorem 5 for the fixed $n = 2$ is known; see [Y2, Théorème *et seq.*].

The inverse function of $h \in \mathcal{S}$ in $h(D)$ is always denoted by h^* . The function $h^{*k} \equiv (h^*)^k$, the k -th power of h^* , $k = 1, 2, \dots$, in $h(D)$, then has the expansion

$$h^{*k}(\zeta) = \sum_{n=k}^{\infty} B_{nk}(h)\zeta^n$$

in a neighborhood of $0 \in h(D)$ and $B_{kk}(h) = 1$. An important case is that $h = K$,

$$B_{nk}(K) = (-1)^{n-k} \frac{k}{n} \binom{2n}{n-k}, \quad 1 \leq k \leq n,$$

for which

$$\sum_{k=1}^n k|B_{nk}(K)| = \sum_{k=1}^n \frac{k^2}{n} \binom{2n}{n-k} = 4^{n-1};$$

see [C, (8) and (14)]. Moreover, for $\gamma \in \partial D$ one has

$$B_{nk}(K_\gamma) = B_{nk}(K)\gamma^{n-k}, \quad 1 \leq k \leq n.$$

Notice that

$$(K_\gamma)^*(\zeta) = \bar{\gamma}K^*(\gamma\zeta), \quad \zeta \in K_\gamma(D).$$

Proof of Theorem 5. We first suppose that $0 \in \Omega$ and $\phi(0) = \phi'(0) - 1 = 0$ for a projection $\phi : D \rightarrow \Omega$. Then $P_\Omega(0) = 1$. Supposing further that $f(0) = f'(0) - 1 = 0$ we shall prove that

$$(5.5) \quad \rho^{n-1}|f^{(n)}(0)| \leq n!4^{n-1},$$

where $\rho = \rho_\Omega(0)$. The functions

$$\Phi(z) = \rho^{-1}\phi(\rho z) \quad \text{and} \quad F(z) = \rho^{-1}f(\phi(\rho z)) = \rho^{-1}f(\rho\Phi(z)) \quad \text{for } z \in D$$

both are in \mathcal{S} . Since

$$\rho^{-1}f(\rho\zeta) = F \circ \Phi^*(\zeta), \quad \zeta = \Phi(z) \in \Phi(D),$$

it follows from [T, Theorem 1, p. 220] for $F \circ \Phi^*$ defined in $\Phi(D)$ that

$$\rho^{-1} \frac{d^n}{d\zeta^n} f(\rho\zeta) = \sum_{k=1}^n A_{nk}(\zeta) F^{(k)}(\Phi^*(\zeta)),$$

where

$$A_{nk}(\zeta) = \frac{1}{k!} \sum_{j=1}^k (-1)^{k-j} \binom{k}{j} (\Phi^*)^{k-j}(\zeta) (\Phi^{*j})^{(n)}(\zeta), \quad n = 1, 2, \dots$$

Since $\Phi^*(0) = 0$ it then follows that

$$(5.6) \quad \rho^{n-1} f^{(n)}(0) = \sum_{k=1}^n n! B_{nk}(\Phi) \frac{F^{(k)}(0)}{k!}.$$

On the other hand, it follows from Chua's theorem [C, Theorem 2], applied to $\Phi \in \mathcal{S}$, that

$$(5.7) \quad |B_{nk}(\Phi)| \leq |B_{nk}(K)|, \quad 1 \leq k \leq n.$$

Recalling the coefficient theorem for $F \in \mathcal{S}$, one finally has (5.5) from (5.6). Observe that if $n \geq 2$ and if the equality holds in (5.7) for a pair, n, k with $k < n$, then $\Phi = K_\beta$ for a $\beta \in \partial D$, so that the equality holds in (5.7) for all pairs of n, k with $1 \leq k \leq n$.

Suppose that the equality holds in (5.5) for an $n \geq 2$. Then

$$F = K_\alpha \quad \text{and} \quad \Phi = K_\beta$$

for $\alpha, \beta \in \partial D$. If $\rho < 1$, then f has a pole $\phi(\rho\bar{\alpha}) \in \Omega$. This contradiction shows that $\rho = 1$, so that $\phi = \Phi = K_\beta$. Hence

$$\Omega = C \setminus \left\{ \bar{\beta}t; t \leq -\frac{1}{4} \right\},$$

so that $Q = \bar{\beta}$ and $R = 0$ in (5.2). On the other hand, it follows from (5.6) that

$$f^{(n)}(0) = n! \sum_{k=1}^n B_{nk}(K) \beta^{n-k} k \alpha^{k-1}$$

with $|f^{(n)}(0)| = n! 4^{n-1}$. Setting $\gamma = -\alpha\bar{\beta}$ and $C_{nk} = k|B_{nk}(K)|, 1 \leq k \leq n$, we now have

$$\left| \sum_{k=1}^n C_{nk} \gamma^k \right| = \frac{|f^{(n)}(0)|}{n!} = 4^{n-1} = \sum_{k=1}^n C_{nk},$$

so that, on squaring the left- and the right-most sides, we have

$$\sum C_{nk} C_{nl} (1 - \gamma^{k-l}) = 0 \quad \left(\sum \text{ for } k \neq l, 1 \leq k \leq n, 1 \leq l \leq n \right).$$

Since $\text{Re}(1 - \gamma^{k-l}) \geq 0$ and $C_{nk} C_{nl} > 0$, it follows that $\text{Re} \gamma^{k-l} = 1$ for $k \neq l, 1 \leq k \leq n, 1 \leq l \leq n$. We may choose $k = 2$, and $l = 1$, so that

$$(5.8) \quad 1 = \gamma = -\alpha\bar{\beta}.$$

Since

$$K^*(\zeta) = \frac{2\zeta + 1 - \sqrt{4\zeta + 1}}{2\zeta},$$

it follows that

$$-K(-K^*(\zeta)) = \frac{\zeta}{4\zeta + 1}, \quad \zeta \in K(D).$$

Consequently, for $w \in \Omega$, we have

$$f(w) = K_\alpha \circ (K_\beta)^*(w) = K_\alpha(\bar{\beta}K^*(\beta w)) = \bar{\alpha}K(-K^*(\beta w)) = \frac{\bar{\beta}w}{4w + \bar{\beta}}$$

by (5.8). Hence we have $S = -\bar{\beta}$ and $T = 0$ with $R = 0$ in (5.3).

To complete the proof of (5.1) at $z = a \in \Omega$ in the general case, we choose a projection ϕ with $\phi(0) = a$, and set

$$(5.9) \quad g(w) = \frac{f(a + \phi'(0)w) - f(a)}{\phi'(0)f'(a)}$$

for the variable w in the domain

$$\Sigma = \left\{ \frac{z - a}{\phi'(0)}; z \in \Omega \right\}$$

onto which $\psi = (\phi - a)/\phi'(0)$ is a projection with $\psi(0) = \psi'(0) - 1 = 0$. Since

$$g^{(n)}(0) = \frac{f^{(n)}(a)\phi'(0)^{n-1}}{f'(a)}, \quad \rho_\Sigma(0) = \rho_\Omega(a) \quad \text{and} \quad |\phi'(0)| = 1/P_\Omega(a),$$

it follows from (5.5) applied to g at 0 with $\rho = \rho_\Sigma(0)$ that

$$\left(\frac{\rho_\Omega(a)}{P_\Omega(a)} \right)^{n-1} \left| \frac{f^{(n)}(a)}{f'(a)} \right| = \rho_\Sigma(0)^{n-1} |g^{(n)}(0)| \leq n!4^{n-1}.$$

This is (5.1) for $z = a$.

Suppose that the equality holds at $z = a$ in (5.1). Then, in (I) and (II), we can set, with the aid of g of (5.9),

$$Q = \bar{\beta}\phi'(0), \quad R = a, \quad S = -\bar{\beta}\phi'(0)f'(a), \quad \text{and} \quad T = f(a)$$

for a $\beta \in \partial D$.

Conversely, given f of (5.3) in Ω of (5.2) and $n \geq 2$ we have

$$f^{(n)}(z) = \frac{n!(-4)^{n-1}(-SQ)}{(4z + Q - 4R)^{n+1}},$$

so that

$$\frac{f^{(n)}(z)}{f'(z)} = \frac{n!(-4)^{n-1}}{(4z + Q - 4R)^{n-1}}, \quad z \in \Omega.$$

Since $z = QK(\zeta) + R$ maps D univalently onto Ω , it follows that

$$\frac{1}{P_\Omega(z)} = \frac{|Q|(1 - |\zeta|^2)|1 + \zeta|}{|1 - \zeta|^3}$$

and

$$\left| \frac{f^{(n)}(z)}{f'(z)} \right| = \frac{n!4^{n-1}}{|Q|^{n-1}} \left| \frac{1-\zeta}{1+\zeta} \right|^{2n-2},$$

so that

$$P_{\Omega}(z)^{1-n} \left| \frac{f^{(n)}(z)}{f'(z)} \right| = n!4^{n-1} \left(\frac{1-|\zeta|^2}{|1-\zeta^2|} \right)^{n-1}.$$

Hence, for $n \geq 2$,

$$P_{\Omega}(z)^{1-n} \left| \frac{f^{(n)}(z)}{f'(z)} \right| = n!4^{n-1}$$

if and only if $1-|\zeta|^2 = |1-\zeta^2|$ or if and only if $\zeta \in \Xi(1)$. In conclusion, the equality holds in (5.1) at $z \in \Omega$ if and only if z is on \mathcal{L} , the image of $\Xi(1)$ by $z = QK(\zeta) + R$.

Remark. Let ϕ be a universal covering projection from D onto Ω and let $z = \phi(w), w \in D$. Set

$$\Delta(z) = \phi \left(\left\{ \zeta; \left| \frac{\zeta-w}{1-\bar{w}\zeta} \right| < \rho_{\Omega}(z) \right\} \right);$$

possibly, $\Delta(z) = \Omega$. This simply connected domain is independent of the particular choice of ϕ and w as far as $z = \phi(w)$ is satisfied. We can replace, in Theorem 5, the condition on f that $f \in \mathcal{U}(\Omega)$ with the following weaker one. Namely, f is holomorphic in Ω and univalent in each $\Delta(z), z \in \Omega$.

6. Concluding remarks

For z of a hyperbolic domain Ω we set $\rho_{\Omega_c}(z) = \rho_c(w, \phi)$, where $z = \phi(w)$ is a universal covering projection. Then ρ_{Ω_c} is a function well defined in Ω and $\rho_{\Omega_c}(z)$ is called the radius of convexity of Ω at z .

Suppose that $\Phi \in \mathcal{S}$ and $\Phi(D)$ is convex. Then,

$$|B_{nk}(\Phi)| \leq \binom{n-1}{k-1}, \quad n-3 \leq k \leq n;$$

[C, Lemma 2]. Hence if $2 \leq n \leq 4, z \in \Omega$, and $f \in \mathcal{U}(\Omega)$ with Ω hyperbolic, then

$$(6.1) \quad \left(\frac{\rho_{\Omega_c}(z)}{P_{\Omega}(z)} \right)^{n-1} \left| \frac{f^{(n)}(z)}{f'(z)} \right| \leq (n+1)!2^{n-2}.$$

Note that

$$\sum_{k=1}^n k \binom{n-1}{k-1} = \sum_{k=0}^{n-1} (k+1) \binom{n-1}{k} = (n+1)2^{n-2},$$

the case $m = n - 1$ and $P = Q = 1$ in (2.3). In view of the ρ_{Ω_c} version of (5.6) the proof of (6.1) is now obvious. One can loosen the condition $f \in \mathcal{U}(\Omega)$ for (6.1) on only supposing that f is univalent in each domain

$$\Delta_c(z) \equiv \phi \left(\left\{ \zeta; \left| \frac{\zeta - w}{1 - \bar{w}\zeta} \right| < \rho_{\Omega_c}(z) \right\} \right), \quad z = \phi(w) \in \Omega.$$

Chua proved in [C, Theorem 3] that for $f \in \mathcal{U}(\Omega)$ with Ω convex,

$$(6.2) \quad \left| \frac{f^{(n)}(z)}{f'(z)} \right| \leq (n+1)! 2^{n-2} P_{\Omega}(z)^{n-1}, \quad z \in \Omega; n = 2, 3, 4,$$

and if $f(\Omega)$ is convex further, then

$$(6.3) \quad \left| \frac{f^{(n)}(z)}{f'(z)} \right| \leq n! 2^{n-1} P_{\Omega}(z)^{n-1}, \quad z \in \Omega; n = 2, 3, 4.$$

We note that some results of Chua in the specified case $n = 2$ are proved already in [Y1]. First, the estimate (4) for $n = 2$ in [C, Theorem 1] is exactly $\|A\|_{CS} \leq 6$ in [Y1, Théorème 1]. The case $n = 2$ in (6.2) and (6.3) are known; see $\|A\|_S \leq 6$ and $\|A\|_{CS} \leq 4$ in [Y1, Théorème 2]. If $\rho_{\Omega_c}(z) = 1$ in (6.1), then we have (6.2).

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