

A REMARK ON THREE-SHEETED ALGEBROID SURFACES WHOSE PICARD CONSTANTS ARE FIVE

Dedicated to Professor Mitsuru Ozawa on his 70th birthday

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§1. Introduction

Let $\mathcal{M}(R)$ be the family of non-constant meromorphic functions on a Riemann surface R , and $P(f)$ be the number of values, which are not taken by $f \in \mathcal{M}(R)$. Then we put

$$P(R) = \sup_{f \in \mathcal{M}(R)} P(f),$$

which is called the Picard constant of R . In general $P(R) \geq 2$ for every open Riemann surface R .

An n -sheeted algebroid surface is a proper existence domain of an n -valued algebroid function, which is defined by the following equation:

$$S_0(z)y^n - S_1(z)y^{n-1} + \cdots + (-1)^{n-1}S_{n-1}(z)y + (-1)^n S_n(z) = 0,$$

where $S_i(z)$ ($i=0, 1, \dots, n$) are entire functions having no common zeros, all of which are not polynomials.

By Selberg's theory of algebroid functions [7], $P(R) \leq 2n$ for every n -sheeted algebroid surface R .

If $S_i(z)/S_0(z)$ (for all i) are of finite order, then we call that the surface is of finite order.

In the following we shall consider 3-sheeted algebroid surfaces, that is, the case of $n=3$, with $S_0(z) \equiv 1$.

In [5] Ozawa and the first author listed up all of the 3-sheeted algebroid surfaces with $P(y)=5$ and showed that "if R is of finite order" their Picard constants are equal to 5 with three exceptional cases.

In this paper we shall prove that the assumption:

"R is of finite order"

can be taken off in the above result.

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Let R be a Riemann surface defined by

$$(1) \quad y^3 - S_1(z)y^2 + S_2(z)y - S_3(z) = 0,$$

where $S_i(z)$ ($i=1, 2, 3$) are entire functions. If $P(y)=5$, then R must be one of the following three cases ([5]):

$$\text{CASE (i)} \quad \begin{cases} S_1(z) = y_1 \\ S_2(z) = y_0 e^H + y_2 \\ S_3(z) = y_3 \end{cases}$$

where $y_0 (\neq 0)$, y_1 , y_2 and $y_3 (\neq 0)$ are constants and H is a non-constant entire function with $H(0)=0$. Furthermore its discriminant D_R is

$$(2) \quad D_R = 4y_0^3 e^{3H} + \zeta_2 y_0^2 e^{2H} + \zeta_1 y_0 e^H + \zeta_0,$$

where ζ_2 , ζ_1 , $\zeta_0 (\neq 0)$ are constants, which are suitable polynomials of y_1 , y_2 and y_3 .

$$\text{CASE (ii)} \quad \begin{cases} S_1(z) = y_0 e^H + y_1 \\ S_2(z) = y_2 \\ S_3(z) = y_3 \end{cases}$$

where $y_0 (\neq 0)$, y_1 , y_2 and $y_3 (\neq 0)$ are constants and H is a non-constant entire function with $H(0)=0$. Furthermore its discriminant D_R is

$$D_R = 4y_0^3 e^{3H} + \zeta_2 y_0^2 e^{2H} + \zeta_1 y_0 e^H + \zeta_0,$$

where ζ_2 , ζ_1 , $\zeta_0 (\neq 0)$ are constants, which are suitable polynomials of y_1 , y_2 and y_3 .

$$\text{CASE (iii)} \quad \begin{cases} S_1(z) = 2a_3 + y_0 e^H \\ S_2(z) = a_3^2 + (a_1 + a_2)y_0 e^H \\ S_3(z) = a_1 a_2 y_0 e^H \end{cases}$$

where y_0 , a_1 , a_2 and a_3 are non-zero constants and H is a non-constant entire function with $H(0)=0$. Furthermore its discriminant D_R is

$$(3) \quad D_R = y_0 e^H (\zeta_3 y_0^3 e^{3H} + \zeta_2 y_0^2 e^{2H} + \zeta_1 y_0 e^H + \zeta_0)$$

where $\zeta_3 (\neq 0)$, ζ_2 , ζ_1 and $\zeta_0 (\neq 0)$ are constants, which are suitable polynomials of a_1 , a_2 and a_3 .

Now we prove the following

THEOREM. *Let R be the surface defined by (1) with $P(y)=5$, that is, one of the above three cases. If either $\zeta_2 \neq 0$ or $\zeta_1 \neq 0$ then $P(R)=5$.*

We assume that the reader is familiar with the Nevanlinna-Selberg theory of meromorphic functions and algebroid functions and notations, $T(r, f)$, $m(r, f)$, $N(r, 0, f)$, $N(r, \infty, f)$, $S(r, f)$ etc (cf. [1], [3], [7]).

§2. Transformation formula of discriminants

Let R be the surface defined by (1) with $P(y)=5$. We suppose that there exists an entire function f on R such that $P(f)=6$. Then we have the following

LEMMA 1 ([5]). *The above function f is representable as*

$$(4) \quad f = f_0 + f_1 y + f_2 y^2,$$

where f_0, f_1 and f_2 are meromorphic functions on C having poles at most at zeros of H' .

Then f satisfies the following equation ([5]):

$$f^3 - U_1(z)f^2 + U_2(z)f - U_3(z) = 0,$$

where $U_i(z)$ ($i=1, 2, 3$) are entire functions, which can be expressed by f_0, f_1, f_2, S_1, S_2 and S_3 . We denote the proper existence domain of f by S . Then Ozawa and the first author proved that the discriminant D_S of S is ([5]):

$$(5) \quad D_S = -b_1^2 x_0^4 e^{4L} + \eta_3 x_0^3 e^{3L} + \eta_2 x_0^2 e^{2L} + \eta_1 x_0 e^L + \eta_0$$

where $b_1 (\neq 0)$, $x_0 (\neq 0)$, $\eta_3, \eta_2, \eta_1, \eta_0 (\neq 0)$ are constants and L is a non-constant entire function with $L(0)=0$.

Furthermore the following equation holds (transformation formula of discriminants):

$$(6) \quad D_S = D_R \cdot G^2,$$

where

$$(7) \quad G = f_1^3 + 2S_1 f_1^2 f_2 + (S_1^2 + S_2) f_1 f_2^2 + (S_1 S_2 - S_3) f_2^3.$$

To prove the theorem, we need further investigation of the counting functions of poles and zeros of G .

§3. Poles and zeros of G

First of all, we consider the counting function of poles of G . We prove the following

LEMMA 2.

$$(8) \quad N(r, \infty, G) \leq 3N(r, 0, H') = O(\log T(r, e^H) + \log r)$$

as $r \rightarrow \infty, r \notin E$ (E : of finite linear measure)

Proof. Let z_0 be a pole of G of order p . Since D_R and D_S are entire functions on C , z_0 is a zero of D_R by (6). On the other hand D_R has the following form:

$$D_R = 4y_0^3(e^H - \delta_1)(e^H - \delta_2)(e^H - \delta_3),$$

if R is the surface of the case of (i), (ii),

and

$$D_R = \zeta_s y_0^4 e^H (e^H - \delta_1)(e^H - \delta_2)(e^H - \delta_3),$$

if R is the surface of the case of (iii).

Hence we may assume that z_0 is a zero of $e^H - \delta_1$. By the expression (7) of G , z_0 is a pole of either f_1 or f_2 . Therefore z_0 is a zero of H' by Lemma 1. This suggests

$$\bar{N}(r, \infty, G) \leq \bar{N}(r, 0, H').$$

And if z_0 is a zero of H' of order n , then

$$H(z) = H(z_0) + (z - z_0)^{n+1} h(z), \quad h(z_0) \neq 0$$

and

$$\begin{aligned} e^H - \delta_1 &= e^{H(z_0)} \{e^{(z-z_0)^{n+1} h(z)} - 1\} \\ &= (z - z_0)^{n+1} e^{H(z_0)} h(z_0) (1 + o(1)). \end{aligned}$$

Therefore D_R has the zero z_0 of order at most $3(n+1)$ by the form of D_R . Hence we have, by (6)

$$3(n+1) - 2p \geq 0$$

and

$$p \leq \frac{3}{2}(n+1) \leq 3n.$$

Therefore

$$N(r, \infty, G) \leq 3N(r, 0, H') = O(\log T(r, e^H) + \log r).$$

In the last estimation we need "the estimation of logarithmic derivatives".

Next we consider the counting function of zeros of G . We have

LEMMA 3. *The function y , defined by (1) with $P(y) = 5$, is an entire function on S and has the following form:*

$$(9) \quad y = g_0 + g_1 f + g_2 f^2$$

where g_i ($i=0, 1, 2$) are meromorphic functions on C and every zero of G is a

pole of at least one of g_i ($i=0, 1, 2$).

Proof. By (1) and (4)

$$\begin{aligned} f^2 &= (f_0 + f_1 y + f_2 y^2)^2 \\ &= f_0^2 + 2f_1 f_2 S_3 + f_2^2 S_1 S_3 \\ &\quad + [2f_0 f_1 - 2f_1 f_2 S_2 + (S_3 - S_1 S_2) f_2^2] y \\ &\quad + [f_1^2 + 2f_0 f_2 + 2f_1 f_2 S_1 + (S_1^2 - S_2) f_2^2] y^2 \\ &= F_0 + F_1 y + F_2 y^2. \end{aligned}$$

Hence

$$\begin{bmatrix} 1 \\ f \\ f^2 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ f_0 & f_1 & f_2 \\ F_0 & F_1 & F_2 \end{bmatrix} \cdot \begin{bmatrix} 1 \\ y \\ y^2 \end{bmatrix} \equiv A \cdot \begin{bmatrix} 1 \\ y \\ y^2 \end{bmatrix}.$$

Then the determinant of A is

$$\begin{aligned} \det(A) &= f_1 F_2 - f_2 F_1 \\ &= f_1^3 + 2f_1^2 f_2 S_1 + (S_1^2 + S_2) f_1 f_2^2 + (S_1 S_2 - S_3) f_2^3. \end{aligned}$$

Therefore $\det(A)$ coincides the function G and $\det(A)$ ($=G$) is not identically equal to zero, by (6). Then

$$y = g_0 + g_1 f + g_2 f^2,$$

where

$$(10) \quad \begin{cases} g_0 = \frac{1}{G} (-f_0 F_2 + f_2 F_0) \\ g_1 = \frac{1}{G} F_2 \\ g_2 = -\frac{1}{G} f_2. \end{cases}$$

These expressions of g_i ($i=0, 1, 2$) hold for all $z \in \mathcal{C}$, since every zero of G is at most a pole of g_i ($i=0, 1, 2$).

Let us consider the expressions (10) more precisely. We assume that every zero of G is a regular point of g_i ($i=0, 1, 2$).

Let z_0 be a zero of G of order n . By $g_2 = -(1/G)f_2$, z_0 is a zero of f_2 . Then let m_2 be the order of the zero z_0 of f_2 . And by (7) z_0 is a zero of f_1 , too. Therefore the order of the zero z_0 of f_1 is denoted by m_1 . We may assume that $m_2 \geq n \geq 1$ and $m_1 \geq 1$.

CASE 1). We assume that $m_1 \geq m_2$. Then by

$$G=f_1^3+2f_1^2f_2S_1+(S_1^2+S_2)f_1f_2^2+(S_1S_2-S_3)f_2^3,$$

the order of the z_0 of G is $\geq 3m_2 > n$. This is a contradiction.

CASE 2). Next we assume that $m_1 < m_2$. Then the order of the zero z_0 of G is equal to $3m_1$ ($= n \leq m_2$).

On the other hand, if f_0 is regular at z_0 , then by

$$F_2=f_1^2+2f_0f_2+2f_1f_2S_1+(S_1^2-S_2)f_2^2,$$

the order of the zero z_0 of F_2 is equal to $2m_1$ ($< n$). This contradicts the regularity of $g_1=F_2/G$ at z_0 .

Therefore we assume that z_0 is a pole of f_0 of order p (≥ 1). Let us denote the order of the zero z_0 of F_2 by $\text{ord}(z_0, F_2)$, then

$$\text{ord}(z_0, F_2)=m_2-p < 2m_1 < n, \quad \text{if } m_2-p < 2m_1$$

and

$$\text{ord}(z_0, F_2)=2m_1 < n, \quad \text{if } m_2-p > 2m_1.$$

These contradict our assumption that g_1 is regular at z_0 .

Therefore we consider the case that $m_2-p=2m_1$, that is, $p=m_2-2m_1$ ($m_2 > 2m_1$). By the form of F_2 ,

$$f_1^2+2f_0f_2=A_k(z-z_0)^k+\dots \quad (k \geq n),$$

since we have

$$\text{ord}(z_0, f_1^2)=2m_1 < n,$$

$$\text{ord}(z_0, 2f_0f_2)=m_2-p=2m_1 < n$$

and

$$\text{ord}\{z_0, 2f_1f_2S_1+(S_1^2-S_2)f_2^2\} \geq m_1+m_2 > 3m_1=n.$$

On the other hand by

$$\begin{aligned} -f_0F_2+f_2F_0 &= -f_0\{-f_0f_2+(2f_0f_2+f_1^2)\} \\ &\quad -\{2f_1f_2S_1+(S_1^2-S_2)f_2^2\}f_0 \\ &\quad +f_2^2(2f_1S_3+f_2S_1S_3), \end{aligned}$$

we have

$$\text{ord}(z_0, -f_0F_2+f_2F_0)=m_2-p-p=m_2-2p < n.$$

This contradicts the regularity of $g_0=(-f_0F_2+f_2F_0)/G$ at z_0 .

Above lemma suggests that R is conformally equivalent to S . if $P(R)=6$.

Next we need the following

LEMMA 4 ([5]). *Let g be an entire function on S . Then g must have the following form:*

$$g = g_0 + g_1 f + g_2 f^2,$$

where g_i ($i=0, 1, 2$) are meromorphic functions on C having poles at most at zeros of L' . (L is the function in the equation (5).)

Therefore every zero of G must be a zero of L' by Lemma 3 and Lemma 4, that is,

$$\bar{N}(r, 0, G) \leq \bar{N}(r, 0, L').$$

Now let z_0 be a zero of G of order q , then z_0 is a zero of D_S by (6).

On the other hand D_S has the form:

$$D_S = -b_1^2 x_0^4 (e^{L-\xi_1})(e^{L-\xi_2})(e^{L-\xi_3})(e^{L-\xi_4}).$$

Therefore we may assume that z_0 is a zero of $e^{L-\xi_1}$. Further if z_0 is a zero of L' of order n , then

$$e^{L-\xi_1} = (z-z_0)^{n+1} e^{L(z_0)} l(z_0) (1+o(1)),$$

where $l(z)$ is an entire function with $l(z_0) \neq 0$. Hence the order of the zero z_0 of D_S is at most $4(n+1)$ and by (6) we have

$$4(n+1) \geq 2q$$

and

$$q \leq 2(n+1) \leq 4n.$$

Therefore, using the estimation of logarithmic derivatives, we obtain the following

LEMMA 5.

$$(11) \quad N(r, 0, G) \leq 4N(r, 0, L') = O(\log T(r, e^L) + \log r)$$

as $r \rightarrow \infty$, $r \notin E$ (E is a set of r of finite linear measure.)

Then considering the counting functions of zeros of both sides of (6), we have

$$(12) \quad 4T(r, e^L) \sim N(r, 0, D_S) \sim N(r, 0, D_R) \sim 3T(r, e^H),$$

by (8) and (11).

§ 4. Representation of G in terms of its zeros and poles

We need the following

LEMMA 6 ([2]). Let $\{a_{\nu, \mu}\}$ be n sequences ($1 \leq \mu \leq n$) of complex numbers satisfying $1 \leq |a_{1, \mu}| \leq |a_{2, \mu}| \leq \dots$, $\lim_{\nu \rightarrow \infty} |a_{\nu, \mu}| = +\infty$ for each μ .

Then we can construct Weierstrass products P_μ of the $\{a_{\nu,\mu}\}$ ($1 \leq \mu \leq n$) with the following property: There exists a set Ω in $[1, \infty)$ of infinite linear measure such that

$$\frac{\sum_{\mu=1}^n \log^+ m(r, P_\mu)}{\sum_{\mu=1}^n N(r, 0, P_\mu)} \rightarrow 0 \quad \text{as } r \rightarrow +\infty, r \in \Omega.$$

Therefore we can construct the Weierstrass products P, Q of zeros and poles of G , respectively, satisfying

$$(13) \quad \lim_{\substack{r \rightarrow \infty \\ (r \in \Omega)}} \frac{\log^+ m(r, P) + \log^+ m(r, Q)}{N(r, 0, P) + N(r, 0, Q)} = 0,$$

where Ω is a set of r of infinite linear measure.

Here if G has a finite number of zeros and poles, then P and Q are polynomials. In this case the formulation of Theorem returns back to the case in [5], hence we may assume that G has an infinite number of zeros or poles.

Now G must have the following form:

$$G = \frac{P}{Q} e^M,$$

where M is an entire function with $M(0)=0$. And then the transformation formula of discriminants (6) becomes

$$(6)' \quad D_S = D_R \cdot \frac{P^2}{Q^2} e^{2M},$$

where

$$D_S = -b_1^2 x_0^4 e^{4L} + \eta_3 x_0^3 e^{3L} + \eta_2 x_0^2 e^{2L} + \eta_1 x_0 e^L + \eta_0$$

and

$$D_R = 4y_0^3 e^{3H} + \zeta_2 y_0^2 e^{2H} + \zeta_1 y_0 e^H + \zeta_0 \quad \text{in the case of (i), (ii),}$$

$$D_R = y_0 e^H (\zeta_3 y_0^3 e^{3H} + \zeta_2 y_0^2 e^{2H} + \zeta_1 y_0 e^H + \zeta_0) \quad \text{in the case of (iii).}$$

For simplicity's sake we put the above equation (6)' by

$$(14) \quad \begin{aligned} &A_4 e^{4L} + A_3 e^{3L} + A_2 e^{2L} + A_1 e^L + A_0 \\ &= (B_3 e^{3H} + B_2 e^{2H} + B_1 e^H + B_0) \frac{P^2}{Q^2} e^{2M + \delta H}, \end{aligned}$$

where $\delta=0$ in the case of (i), (ii) and $\delta=1$ in the case of (iii), and $A_4 A_0 B_3 B_0 \neq 0$.

In the following we consider only the case of $\delta=0$. The same discussion holds for the case of $\delta=1$.

§ 5. Borel's unicity theorem

We need the following

LEMMA 7 ([8]). *Let $a_0(z), a_1(z), \dots, a_n(z)$ ($a_\nu(z) \neq 0, \nu \geq 1$) be meromorphic functions and $g_1(z), g_2(z), \dots, g_n(z)$ be non-constant entire functions satisfying*

$$\lim_{\substack{r \rightarrow \infty \\ (r \in \Omega)}} \frac{\sum_{\nu=1}^n \log T(r, a_\nu)}{\text{Max}_{1 \leq \nu \leq n} \log m(r, e^{g_\nu})} \leq 1,$$

where Ω is a set of infinite linear measure. Suppose that the identity

$$\sum_{\nu=1}^n a_\nu(z) e^{g_\nu(z)} \equiv a_0(z)$$

holds, then there exist n constants $(c_1, \dots, c_n) \neq (0, \dots, 0)$ such that

$$\sum_{\nu=1}^n c_\nu a_\nu(z) e^{g_\nu(z)} \equiv 0.$$

First of all we assume that $3H+2M \neq \text{constant}$, $2H+2M \neq \text{constant}$, $H+2M \neq \text{constant}$ and $2M \neq \text{constant}$. Then by Lemma 7 and (13), (14), there exist eight constants $(c_8, \dots, c_1) \neq (0, \dots, 0)$ such that

$$(15) \quad \begin{aligned} & c_8 A_4 e^{4L} + c_7 A_3 e^{3L} + c_6 A_2 e^{2L} + c_5 A_1 e^L \\ & = (c_4 B_3 e^{3H} + c_3 B_2 e^{2H} + c_2 B_1 e^H + c_1 B_0) \frac{P^2}{Q_2} e^{2M}. \end{aligned}$$

Here without loss of generality we may set the following condition (A):

$$\begin{aligned} & A: c_j = 0 \quad (j=7, 6, 5, 4, 3 \text{ and } 2), \\ & \text{if the corresponding coefficients } A_i \quad (i=3, 2, 1), \\ & B_k \quad (k=2, 1) \text{ are equal to } 0. \end{aligned}$$

By eliminating $(P^2/Q^2)e^{2M}$ from (14) and (15),

$$\begin{aligned} & (A_4 e^{4L} + A_3 e^{3L} + A_2 e^{2L} + A_1 e^L + A_0)(c_4 B_3 e^{3H} + c_3 B_2 e^{2H} + c_2 B_1 e^H + c_1 B_0) \\ & = (c_8 A_4 e^{4L} + c_7 A_3 e^{3L} + c_6 A_2 e^{2L} + c_5 A_1 e^L)(B_3 e^{3H} + B_2 e^{2H} + B_1 e^H + B_0) \end{aligned}$$

and

$$(16) \quad \begin{aligned} & (c_4 - c_8) A_4 B_3 e^{4L+3H} + (c_4 - c_7) A_3 B_3 e^{3L+3H} + (c_4 - c_6) A_2 B_3 e^{2L+3H} \\ & + (c_4 - c_5) A_1 B_3 e^{L+3H} + c_4 A_0 B_3 e^{3H} + \dots + (c_1 - c_8) A_4 B_0 e^{4L} + (c_1 - c_7) A_3 B_0 e^{3L} \\ & + (c_1 - c_6) A_2 B_0 e^{2L} + (c_1 - c_5) A_1 B_0 e^L + c_1 A_0 B_0 = 0. \end{aligned}$$

Here we need the following

LEMMA 8 ([4]). *Let $\{\alpha_j\}$ be a set of non-zero constants and $\{g_j\}$ a set of functions satisfying*

$$\sum_{j=1}^p \alpha_j g_j = 1 .$$

Then

$$\sum_{j=1}^p \delta(0, g_j) \leq p - 1 ,$$

where $\delta(0, g_j)$ denotes the Nevanlinna-deficiency.

By the above lemma and (16), there exist only two possibilities :

CASE (1) all coefficients of left side of (16) vanish,
and

CASE (2) there exist two integers n, m ($n \cdot m \neq 0$) such that

$$n \cdot L + m \cdot H \equiv 0 . \quad (\text{Since } L(0) = 0 \text{ and } H(0) = 0.)$$

If the case of (2) holds, we have $4L \equiv \pm 3H$ because of (12). Hence let us consider the case of (1). Then we have

$$c_1 = c_8 \text{ by } A_4 B_0 \neq 0, \quad c_4 = c_8 \text{ by } A_4 B_3 \neq 0$$

and

$$c_1 = 0 \text{ by } A_0 B_0 \neq 0 .$$

Therefore we have $c_1 = c_4 = c_8 = 0$. In this case, by (15), we have

$$(17) \quad e^{L(c_7 A_3 e^{2L} + c_6 A_2 e^L + c_5 A_1)} = e^H (c_3 B_2 e^H + c_2 B_1) \frac{P^2}{Q^2} e^{2M} .$$

If $c_3 B_2 \cdot c_2 B_1 \neq 0$ then

$$N\left(r, 0, e^H (c_3 B_2 e^H + c_2 B_1) \frac{P^2}{Q^2} e^{2M}\right) \sim T(r, e^H) \quad (r \in E) .$$

On the other hand

$$N(r, 0, e^{L(c_7 A_3 e^{2L} + c_6 A_2 e^L + c_5 A_1)}) \sim \begin{cases} 2T(r, e^L) \\ T(r, e^L) \\ \equiv 0 . \end{cases}$$

This contradicts (12), whence follows either $c_3 B_2 = 0$ or $c_2 B_1 = 0$. In this case, by (17), we have either

$$N(r, 0, e^{L(c_7 A_3 e^{2L} + c_6 A_2 e^L + c_5 A_1)}) \equiv 0 ,$$

if $c_3 B_2 = 0, c_2 B_1 \neq 0$ or $c_3 B_2 \neq 0, c_2 B_1 = 0 ,$

or

$$e^L(c_7A_3e^{2L}+c_6A_2e^L+c_5A_1)\equiv 0, \quad \text{if } c_3B_2=c_2B_1=0.$$

In the former case we have that just one of c_7A_3 , c_6A_2 , c_5A_1 is not equal to 0 and the others vanish. Then we have $P^2/Q^2 \equiv \text{constant}$ and, by Lemma 8 and (14), we have at least one of $3H+2M$, $2H+2M$, $H+2M$, $2M$ must be a constant. In the latter case we have $c_3B_2=c_2B_1=c_7A_3=c_6A_2=c_5A_1=0$ and $c_1=c_4=c_8=0$. This contradicts the condition (A).

Therefore we consider the cases:

- (i) $3H+2M \equiv 0$, (ii) $2H+2M \equiv 0$, (iii) $H+2M \equiv 0$ and (iv) $2M \equiv 0$.

CASE (i). $2M = -3H$. Then (14) reduces to

$$\begin{aligned} & \frac{P^2}{Q^2}(B_0e^{-3H}+B_1e^{-2H}+B_1e^{-H})-(A_4e^{1L}+A_3e^{3L}+A_2e^{2L}+A_1e^L) \\ & = A_0 - B_3 \frac{P^2}{Q^2}. \end{aligned}$$

By Lemma 7, there exist seven constants $(c_1, \dots, c_7) \neq (0, \dots, 0)$ such that

$$\frac{P^2}{Q^2}e^{-H}(c_1B_0e^{-2H}+c_2B_1e^{-H}+c_3B_2)=e^L(c_4A_4e^{3L}+c_5A_3e^{2L}+c_6A_2e^L+c_7A_1).$$

Then

$$N\left(r, 0, \frac{P^2}{Q^2}e^{-H}(c_1B_0e^{-2H}+c_2B_1e^{-H}+c_3B_2)\right) \sim \begin{cases} 2T(r, e^H) \\ T(r, e^H) \\ S(r, e^H), \end{cases}$$

and

$$N\left(r, 0, e^L(c_4A_4e^{3L}+c_5A_3e^{2L}+c_6A_2e^L+c_7A_1)\right) \sim \begin{cases} 3T(r, e^L) \\ 2T(r, e^L) \\ T(r, e^L) \\ S(r, e^L). \end{cases}$$

By (12) there exists only one possibility:

$$N\left(r, 0, \frac{P^2}{Q^2}e^{-H}(c_1B_0e^{-2H}+c_2B_1e^{-H}+c_3B_2)\right) = S(r, e^H)$$

and

$$N\left(r, 0, e^L(c_4A_4e^{3L}+c_5A_3e^{2L}+c_6A_2e^L+c_7A_1)\right) = S(r, e^L).$$

Here we may assume that

$$(c_1B_0, c_2B_1, c_3B_2, c_4A_4, c_5A_3, c_6A_2, c_7A_1) \neq (0, 0, \dots, 0)$$

because of the same reason as in the condition (A). Therefore we have only one possibility:

just two constants of c_1B_0, c_2B_1, c_3B_2 are equal to 0
 and
 just three constants of $c_4A_4, c_5A_3, c_6A_2, c_7A_1$ are equal to 0.

Hence, by (12), we have

$$\frac{P^2}{Q^2} \equiv \text{constant} = d \quad (\neq 0), \text{ say,}$$

$$c_1B_0 \neq 0, \quad c_2B_1 = c_3B_2 = 0, \quad c_4A_4 \neq 0, \quad c_5A_3 = c_6A_2 = c_7A_1 = 0$$

and

$$4L \equiv -3H.$$

Then (14) reduces to

$$\begin{aligned} & d(B_0e^{-3H} + B_1e^{-2H} + B_2e^{-H}) - (A_4e^{-3H} + A_3e^{-(9/4)H} + A_2e^{-(3/2)H} + A_1e^{-(3/4)H}) \\ & = A_0 - B_3d \end{aligned}$$

and

$$\begin{aligned} & (dB_0 - A_4)e^{-3H} + dB_1e^{-2H} + dB_2e^{-H} - A_3e^{-(9/4)H} - A_2e^{-(3/2)H} - A_1e^{-(3/4)H} \\ & = A_0 - B_3d. \end{aligned}$$

By Lemma 8 and $H \neq \text{constant}$, we have

$$dB_0 - A_4 = dB_1 = dB_2 = A_3 = A_2 = A_1 = A_0 - B_3d = 0,$$

that is,

$$A_1/B_0 = A_0/B_3 = d \quad (\neq 0) \quad \text{and} \quad A_1 = A_2 = A_3 = B_1 = B_2 = 0.$$

CASE (ii). $2M = -2H$. Then (14) becomes

$$\begin{aligned} (18) \quad & \frac{P^2}{Q^2} (B_0e^{-2H} + B_1e^{-H} + B_3e^H) - (A_4e^{4L} + A_3e^{3L} + A_2e^{2L} + A_1e^L) \\ & = A_0 - B_2 \frac{P^2}{Q^2}. \end{aligned}$$

By $H, L \neq \text{constant}$ and Lemma 7, there exist seven constants $(c_1, \dots, c_7) \neq (0, \dots, 0)$ such that

$$\frac{P^2}{Q^2} e^{-2H} (c_3B_3e^{3H} + c_2B_1e^H + c_1B_0) = e^L (c_4A_4e^{3L} + c_5A_3e^{2L} + c_6A_2e^L + c_7A_1).$$

Hence we have

$$N\left(r, 0, \frac{P^2}{Q^2} e^{-2H} (c_3B_3e^{3H} + c_2B_1e^H + c_1B_0)\right) \sim \begin{cases} 3T(r, e^H) \\ 2T(r, e^H) \\ T(r, e^H) \\ S(r, e^H) \end{cases}$$

and

$$N(r, 0, e^L(c_4A_4e^{3L}+c_5A_3e^{2L}+c_6A_2e^L+c_7A_1)) \sim \begin{cases} 3T(r, e^L) \\ 2T(r, e^L) \\ T(r, e^L) \\ S(r, e^L). \end{cases}$$

By (12) we have

$$N\left(r, 0, \frac{P^2}{Q^2}e^{-2H}(c_3B_3e^{3H}+c_2B_1e^H+c_1B_0)\right) = S(r, e^H)$$

and

$$N(r, 0, e^L(c_4A_4e^{3L}+c_5A_3e^{2L}+c_6A_2e^L+c_7A_1)) = S(r, e^L).$$

Therefore we have

just two constants of c_3B_3, c_2B_1, c_1B_0 are equal to 0

and

just three constants of $c_4A_4, c_5A_3, c_6A_2, c_7A_1$ are equal to 0.

In this case we have $P^2/Q^2=d (\neq 0)$ and (18) gives

$$T(r, e^H) \sim m \cdot T(r, e^L)$$

with $m=4, 3, 2, 3/2, 1, 1/2$. This contradicts (12).

CASE (iii). $2M=-H$. Then we have, by Lemma 7,

$$\frac{P^2}{Q^2}e^{-H}(c_1B_0+c_2B_2e^{2H}+c_3B_3e^{3H}) = e^L(c_4A_4e^{3L}+c_5A_3e^{2L}+c_6A_2e^L+c_7A_1).$$

This gives us a contradiction by the same method as in the case of (ii).

CASE (iv). $M \equiv 0$. Then we have, by Lemma 7,

$$\frac{P^2}{Q^2}e^H(c_3B_3e^{2H}+c_2B_2e^H+c_1B_1) = e^L(c_4A_4e^{3L}+c_5A_3e^{2L}+c_6A_2e^L+c_7A_1)$$

with $(c_1, c_2, \dots, c_7) \neq (0, 0, \dots, 0)$. Then, by the same method as in the case of (i), there is only one possibility:

$$c_2B_2=c_1B_1=0, \quad c_5A_3=c_6A_2=c_7A_1=0$$

and

$$\frac{P^2}{Q^2} \equiv \text{constant} = d, \quad \text{say, } (d \neq 0), \quad 3H=4L.$$

Therefore, by the same method as in the case of (i), we have

$$A_4/B_3=A_0/B_0=d \quad \text{and} \quad A_1=A_2=A_3=B_1=B_2=0.$$

§6. Proof of Theorem

Let R be the surface defined by (1) with $P(y)=5$. If $P(R)=6$, then there exists an entire function f on R of $P(f)=6$. Then f defines a 3-sheeted algebroid surface with $P(f)=6$, S , say, and further the following equation

$$D_S = D_R \cdot G^2$$

holds. By the result in §5, we have $\zeta_2=0$ and $\zeta_1=0$ in the equation (2), (3). This result contradicts our assumption :

either $\zeta_1 \neq 0$ or $\zeta_2 \neq 0$.

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