

CONSTRUCTION OF n -END CATENOIDS WITH PRESCRIBED FLUX

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1. Introduction

Let $X: \hat{C} - \{q_1, \dots, q_n\} \rightarrow \mathbf{R}^3$ be an n -end catenoid, that is, a complete minimal surface of genus 0 having n catenoid ends at q_i 's, where $\hat{C} := C \cup \{\infty\}$. Let $G: \hat{C} - \{q_1, \dots, q_n\} \rightarrow \mathbf{S}^2$ be its Gauss map which can be extended naturally on \hat{C} , and let $w(q_i)$ denote the weight of the end q_i , that is, the similitude ratio of the asymptotic catenoid of the end q_i to the standard catenoid ($g = -z$, $\eta = -z^{-2}dz$). Remark that $w(q_i)$ takes negative value if the orientation of the end q_i differs from that of the standard catenoid, and that $w(q_i)$ vanishes if the end q_i is a flat end or is removed. The vector $w(q_i)G(q_i)$ is called the flux vector of the end q_i and, it follows from the flux formula (cf. e. g. [2]) that $\sum_{i=1}^n w(q_i)G(q_i) = 0$. Now, conversely, we consider the following

PROBLEM. Given n unit vectors v_1, \dots, v_n in \mathbf{R}^3 and n non-zero real numbers a_1, \dots, a_n satisfying $\sum_{i=1}^n a_i v_i = 0$, is there an n -end catenoid $X: \hat{C} - \{q_1, \dots, q_n\} \rightarrow \mathbf{R}^3$ such that $G(q_i) = v_i$ and $w(q_i) = a_i$?

In this paper, we study the problem in the case when q_i coincides with $\sigma(v_i)$ for each i , where $\sigma: \mathbf{S}^2 \rightarrow \hat{C}$ is the stereographic projection from the north pole. Our main result is stated as follows.

THEOREM. Let v_1, \dots, v_n be unit vectors in \mathbf{R}^3 , and a_1, \dots, a_n non-zero real numbers satisfying $\sum_{i=1}^n a_i v_i = 0$. Set $p_i := \sigma(v_i)$ and

$$F_i(z) := \frac{\bar{p}_i z + 1}{z - p_i}.$$

Suppose there are complex numbers b_1, \dots, b_n satisfying

$$(1.1) \quad b_i \sum_{j \in N_i} b_j = a_i \quad i=1, \dots, n,$$

$$(1.2) \quad \sum_{j \in N_i} b_j F_i(p_j) = 0 \quad i=1, \dots, n$$

and $\sum_{i=1}^n b_i \neq 0$, where $N_i := \{j \in N \mid 1 \leq j \leq n, j \neq i\}$. Then there exists an n -end

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catenoid $X: \widehat{\mathcal{C}} - \{p_1, \dots, p_n\} \rightarrow \mathbf{R}^3$ such that $G(p_i) = v_i$ and $w(p_i) = a_i$.

In Section 2, we prove this theorem by giving explicit representation for the solution surface.

Except for the (2-end) catenoid, examples of n -end catenoids were first introduced by Jorge-Meeks [3]. These are the case with $p_i = \zeta_n^i$ and $a_i \equiv 1$, where ζ_n is a primitive root of the equation $z^n = 1$. (Throughout this paper, we keep this notation.) Subsequently, Karcher [4] constructed some new 4-end catenoids, and Lopez [5] classified all of the 3-end catenoids. In each of these examples, v_1, \dots, v_n lies on the same great circle in \mathbf{S}^2 .

Recently, Xu [9], Rossman [7] and Umehara-Yamada [8] constructed polyhedrally symmetric n -end catenoids and some less symmetric ones, in each of which v_1, \dots, v_n do not lie on the same great circle in \mathbf{S}^2 . For this purpose, Xu used directly the Enneper-Weierstrass representation. On the other hand, Rossman employed the conjugate surface method and constructed also higher genus examples (see also Berglund-Rossman [1]). Umehara and Yamada constructed polyhedrally symmetric ones as limits of those corresponding CMC- c surfaces in $\mathbf{H}^3(-c^2)$.

Each example of n -end catenoids in Jorge-Meeks [3] and Xu [9] has the ends of the same weight, and it is easy to observe that they are all described by the following special case of our theorem.

COROLLARY. *Let v_1, \dots, v_n be unit vectors satisfying $\sum_{i=1}^n v_i = 0$, and p_i, F_i and N_i as in Theorem. If*

$$\sum_{j \in N_i} F_j(p_j) = 0 \quad i=1, \dots, n,$$

then there exists an n -end catenoid $X: \widehat{\mathcal{C}} - \{p_1, \dots, p_n\} \rightarrow \mathbf{R}^3$ such that $G(p_i) = v_i$ and $w(p_i) \equiv 1$.

Finally, we emphasize that almost all of the known examples can be constructed by our theorem. In Section 3, we discuss this and also give far more new examples of families of n -end catenoids having ends of 2, 3 or 4 different weights.

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2. Proof of Theorem

In this section, we prove our main theorem. First, we recall the following famous and significant

ENNEPER-WEIERSTRASS REPRESENTATION. (cf. [6]) *Let Σ be a Riemann*

surface, g a meromorphic function on Σ , and η a holomorphic 1-form on Σ . Define a map $X: \Sigma \rightarrow \mathbf{R}^3$ by

$$X(z) = \operatorname{Re} \int^z (1-g^2, \sqrt{-1}(1+g^2), 2g)\eta.$$

If

$$(2.1) \quad \operatorname{Re} \int_C (1-g^2, \sqrt{-1}(1+g^2), 2g)\eta = 0$$

for any closed curve C on Σ , then X is a conformal minimal branched immersion whose Gauss map is $\sigma^{-1} \circ g$. Moreover, the induced metric of Σ is given by

$$ds^2 = (1 + |g|^2)^2 |\eta|^2.$$

Proof of Theorem. First, we assume $p_i \neq \infty$ for any i . Set

$$(2.2) \quad f(z) := \sum_{i=1}^n \frac{b_i}{z-p_i}, \quad \beta := \sum_{i=1}^n b_i,$$

and

$$g(z) := z - \frac{\beta}{f(z)}, \quad \eta := -\{f(z)\}^2 dz.$$

We will show that the surface $X: \hat{\mathbf{C}} - \{q_1, \dots, q_n\} \rightarrow \mathbf{R}^3$ represented by these data is an n -end catenoid we want to construct.

Let (v_{i1}, v_{i2}, v_{i3}) be the orthogonal coordinate of the vector v_i . Then, by using the assumptions (1.1) and (1.2), we have, for any i ,

$$\begin{aligned} \operatorname{Res}_{z=p_i} \{-(1-g^2)f^2\} &= 2b_i \sum_{j \in N_i} b_j \frac{p_i p_j - 1}{p_i - p_j} \\ &= -2 \left\{ b_i(\beta - b_i) \frac{p_i + \bar{p}_i}{|p_i|^2 + 1} + \frac{b_i(p_i^2 - 1)}{|p_i|^2 + 1} \sum_{j \in N_i} b_j F_i(p_j) \right\} \\ &= -2a_i v_{i1} \in \mathbf{R}, \end{aligned}$$

$$\begin{aligned} \operatorname{Res}_{z=p_i} \{-\sqrt{-1}(1+g^2)f^2\} &= \frac{2b_i}{\sqrt{-1}} \sum_{j \in N_i} b_j \frac{p_i p_j + 1}{p_i - p_j} \\ &= -\frac{2}{\sqrt{-1}} \left\{ b_i(\beta - b_i) \frac{p_i - \bar{p}_i}{|p_i|^2 + 1} + \frac{b_i(p_i^2 + 1)}{|p_i|^2 + 1} \sum_{j \in N_i} b_j F_i(p_j) \right\} \\ &= -2a_i v_{i2} \in \mathbf{R}, \end{aligned}$$

$$\begin{aligned} \operatorname{Res}_{z=p_i} \{-2gf^2\} &= -2b_i \sum_{j \in N_i} b_j \frac{p_i + p_j}{p_i - p_j} \\ &= -2 \left\{ b_i(\beta - b_i) \frac{|p_i|^2 - 1}{|p_i|^2 + 1} - \frac{2b_i p_i}{|p_i|^2 + 1} \sum_{j \in N_i} b_j F_i(p_j) \right\} \\ &= -2a_i v_{i3} \in \mathbf{R}. \end{aligned}$$

Hence the condition (2.1) holds, and the surface X is well-defined. Moreover, since $\beta \neq 0$, the induced metric

$$ds^2 = (|f|^2 + |zf - \beta|^2) |dz|^2$$

is non-degenerate. By simple calculation, we get the following expansions around p_i .

$$g(z) = p_i - \frac{\beta - b_i}{b_i}(z - p_i) + O((z - p_i)^2),$$

$$\eta = \left\{ -\frac{b_i^2}{(z - p_i)^2} + O\left(\frac{1}{z - p_i}\right) \right\} dz.$$

Therefore, for any i , the surface X has a catenoid end at p_i such that $G(p_i) = \sigma^{-1} \circ g(p_i) = v_i$ and

$$w(p_i) = -\frac{\beta - b_i}{b_i}(-b_i^2) = b_i(\beta - b_i) = a_i.$$

On the other hand, it is easy to see that, even if $p_i = \infty$ for some i , the assertion of Theorem and the data (2.2) are valid in the sense that

$$F_i(p_j) = \frac{\bar{p}_i p_j + 1}{p_j - p_i} = \frac{\infty p_j + 1}{p_j - \infty} = -p_j,$$

$$F_j(p_i) = \frac{\bar{p}_j p_i + 1}{p_i - p_j} = \frac{\bar{p}_j \infty + 1}{\infty - p_j} = \bar{p}_j,$$

$$\frac{b_i}{z - p_i} = \frac{b_i}{z - \infty} = 0. \quad \text{q. e. d.}$$

Proof of Corollary. Apply Theorem to the case when $a_i \equiv 1$ and $b_i \equiv 1/\sqrt{n-1}$. q. e. d.

Remark 2.1. By the proof of Theorem, we can observe the flux formula from another point of view. Namely we see that

$$\sum_{i=1}^n a_i v_{i1} = \sum_{i=1}^n \text{Res}_{z=p_i} \left\{ \frac{(1-g^2)f^2}{2} \right\} = - \sum_{i,j=1; i \neq j}^n b_i b_j \frac{p_i p_j - 1}{p_i - p_j} = 0,$$

$$\sum_{i=1}^n a_i v_{i2} = \sum_{i=1}^n \text{Res}_{z=p_i} \left\{ \frac{\sqrt{-1}(1+g^2)f^2}{2} \right\} = - \frac{1}{\sqrt{-1}} \sum_{i,j=1; i \neq j}^n b_i b_j \frac{p_i p_j + 1}{p_i - p_j} = 0,$$

$$\sum_{i=1}^n a_i v_{i3} = \sum_{i=1}^n \text{Res}_{z=p_i} \{ g f^2 \} = - \sum_{i,j=1; i \neq j}^n b_i b_j \frac{p_i + p_j}{p_i - p_j} = 0.$$

3. Examples

First, we remark that the linear transformation $F_i(z)$ defined in Section 1 is identified with an isometry of the unit sphere $\mathbf{S}^2 = \sigma^{-1}(\widehat{\mathbf{C}})$ such that $F_i(p_i) = \infty$ and $F_i(-1/\bar{p}_i) = 0$. Therefore, if the subset $\{v_j\}_{j=1}^k$ of $\mathbf{S}^2 - \{\sigma^{-1}(p_i)\}$ is invariant under the action of some nontrivial subgroup of $SO(3)$ which fixes $\sigma^{-1}(p_i)$, then clearly $\sum_{j=1}^k F_i(\sigma(v_j)) = 0$ (cf. Xu [9, Lemma 4.6]). By this observation, we get the following example without any more computation.

Example 3.1. (Families of polyhedrally symmetric minimal surfaces) Let P be a regular polyhedron inscribed to the unit sphere \mathbf{S}^2 in \mathbf{R}^3 , $\{v_j\}_{j=1}^k$ the set of the vertices of P , $\{v'_j\}_{j=1}^{k'}$ the set of the centers of the edges of P , and $\{v''_j\}_{j=1}^{k''}$ the set of the varycenters of the faces of P . It is well-known that

$$(k, k', k'') = \begin{cases} (4, 6, 4) & \text{if } P \text{ is a regular tetrahedron,} \\ (8, 12, 6) & \text{if } P \text{ is a cube,} \\ (6, 12, 8) & \text{if } P \text{ is a regular octahedron,} \\ (20, 30, 12) & \text{if } P \text{ is a regular dodecahedron,} \\ (12, 30, 20) & \text{if } P \text{ is a regular icosahedron.} \end{cases}$$

Set $p_j := \sigma(v_j)$, $p'_j := \sigma(v'_j/|v'_j|)$, and $p''_j := \sigma(v''_j/|v''_j|)$. For any real numbers b , b' and b'' , define a surface $X_{(b, b', b'')} : \widehat{\mathbf{C}} - \{p_1, \dots, p_k, p'_1, \dots, p'_{k'}, p''_1, \dots, p''_{k''}\} \rightarrow \mathbf{R}^3$ by the data

$$f(z) := b \sum_{j=1}^k \frac{1}{z - p_j} + b' \sum_{j=1}^{k'} \frac{1}{z - p'_j} + b'' \sum_{j=1}^{k''} \frac{1}{z - p''_j}, \quad \beta := kb + k'b' + k''b''.$$

Then $\{X_{(b, b', b'')}\}$ is a 3-parameter family of minimal surfaces which are invariant under the action of the polyhedral group Γ_P corresponding to P . For a generic (b, b', b'') , $X_{(b, b', b'')}$ has $k + k' + k''$ catenoid ends whose weights take 3 different values. More precisely, by using Lemma A.1 in Appendix, we see that, for any positive numbers a , a' and a'' , there exists a Γ_P -invariant $(k + k' + k'')$ -end catenoid $X_{(b, b', b'')}$ such that

$$(3.1) \quad g(p_j) = p_j, \quad w(p_j) = a \quad j=1, \dots, k,$$

$$(3.2) \quad g(p'_j) = p'_j, \quad w(p'_j) = a' \quad j=1, \dots, k',$$

$$(3.3) \quad g(p''_j) = p''_j, \quad w(p''_j) = a'' \quad j=1, \dots, k''.$$

When one of b , b' and b'' vanishes, since k , k' or k'' ends are removed, it has $k' + k''$, $k + k''$ or $k + k'$ ends. By using Lemma A.2, we see that, for any non-zero real numbers a and a' , there exists a Γ_P -invariant $(k + k')$ -end catenoid $X_{(b, b', 0)} : \widehat{\mathbf{C}} - \{p_1, \dots, p_k, p'_1, \dots, p'_{k'}\} \rightarrow \mathbf{R}^3$ satisfying the conditions (3.1) and (3.2). Indeed, in the construction above, we may choose purely imaginary numbers b ,

b' and b'' in place of real numbers, and, by Lemma A.2, we get $X_{(b, b', 0)}$ as above. Of course, the same assertion holds also in the case with $b'=0$ or $b=0$.

Xu [9], Rossman [7] and Umehara-Yamada [8] studied the special cases of this type when two of b , b' and b'' vanish.

The dihedral version of this type is the following

Example 3.2. (Families of D_k -invariant minimal surfaces) Let k be an integer greater than 1. For any real numbers b , b' and b'' , define a surface $X_{(b, b', b'')} : \widehat{\mathcal{C}} - \{1, \zeta_{2k}, \dots, \zeta_{2k}^{2k-1}, \infty, 0\} \rightarrow \mathbf{R}^3$ by the data

$$f(z) := b \frac{kz^{k-1}}{z^k - 1} + b' \frac{kz^{k-1}}{z^k + 1} + \frac{b''}{z}, \quad \beta := k(b+b') + 2b''.$$

Then $\{X_{(b, b', b'')}\}$ is a 3-parameter family of D_k -invariant minimal surfaces. For a generic (b, b', b'') , $X_{(b, b', b'')}$ has $2k+2$ catenoid ends whose weights take 3 different values. More precisely, by using Lemma A.1, we see that, for any positive numbers a , a' and a'' , there exists a D_k -invariant $(2k+2)$ -end catenoid $X_{(b, b', b'')}$ such that

$$(3.4) \quad g(\zeta_k^j) = \zeta_k^j, \quad w(\zeta_k^j) = a \quad j=0, \dots, k-1,$$

$$(3.5) \quad g(\zeta_{2k}^{2j-1}) = \zeta_{2k}^{2j-1}, \quad w(\zeta_{2k}^{2j-1}) = a' \quad j=1, \dots, k,$$

$$(3.6) \quad g(\infty) = \infty, \quad g(0) = 0, \quad w(\infty) = w(0) = a''.$$

When b'' (resp. b') = 0, it has $2k$ (resp. $k+2$) ends and the similar result as above also holds. It was partially obtained by Karcher [4] ($k=2$), Xu [9] and Rossman [7] ($k \geq 3$). More generally, by Lemma A.2 and the same consideration as in Example 3.1, we see that, for any non-zero real numbers a and a' , there exists a D_k -invariant $2k$ -end catenoid $X_{(b, b', 0)} : \widehat{\mathcal{C}} - \{1, \zeta_{2k}, \dots, \zeta_{2k}^{2k-1}\} \rightarrow \mathbf{R}^3$ satisfying the conditions (3.4) and (3.5), and that, for any non-zero real numbers a and a'' , there exists a D_k -invariant $(k+2)$ -end catenoid $X_{(b, 0, b'')} : \widehat{\mathcal{C}} - \{1, \zeta_k, \dots, \zeta_k^{k-1}, \infty, 0\} \rightarrow \mathbf{R}^3$ satisfying the conditions (3.4) and (3.6).

When $b'=b''=0$, we get the examples in Jorge-Meeks [3].

By the consideration in Examples 3.1-2, we can observe that there are essentially different n -end catenoids with the same data $v_1, \dots, v_n, a_1, \dots, a_n$. For example, in Example 3.2, applying Lemma A.2 for $(k, k') = (k, 2)$, we see that, for any non-zero real numbers a and a'' such that $k^2 a \neq 4a''$, there exist two D_k -invariant $(k+2)$ -end catenoids $X_{(b_{\pm}, 0, b''_{\pm})}$ satisfying the conditions (3.4) and (3.6). Since the metric of $X_{(b, 0, b'')}$ is given by

$$\frac{[|(kb+b'')z^k - b''|^2 + |z\{(kb+b'') - b''z^k\}|^2]^2}{|z(z^k - 1)|^4} |dz|^2$$

and $|b''_+| \neq |b''_-|$ if $k^2 a \neq 2a''$, $X_{(b_+, 0, b''_+)}$ and $X_{(b_-, 0, b''_-)}$ are not isometric with each

other for generic a and a'' .

We can deform the surfaces in Example 3.2 to the following

Example 3.3. (Families of C_k -invariant minimal surfaces) Let k be an integer greater than 1. For any non-zero real number p and real numbers b and b' , define the surface $X_{(p, b, b')} : \hat{C} - \{p, p\zeta_k, \dots, p\zeta_k^{k-1}, \infty, 0\} \rightarrow \mathbf{R}^3$ by the data

$$f(z) := b \frac{kz^{k-1}}{z^k - p^k} + \frac{b''}{z}, \quad \beta := kb + b' + b'',$$

where $b'' := (k-1)(p^2-1)b/2 + p^2b'$. Then $\{X_{(p, b, b')}\}$ is a 3-parameter family of C_k -invariant minimal surfaces.

Indeed it is clear that the condition (1.2) holds with $p_i = \infty$ and 0, and we have only to check it with $p_i = p\zeta_k^l$ ($l=0, 1, \dots, k-1$). By direct computation,

$$\begin{aligned} & b \sum_{j=1}^{k-1} \frac{p\zeta_k^{-l} p\zeta_k^{l+j} + 1}{p\zeta_k^{l+j} - p\zeta_k^l} + b' \frac{p\zeta_k^{-l} \infty + 1}{\infty - p\zeta_k^l} + b'' \frac{p\zeta_k^{-l} 0 + 1}{0 - p\zeta_k^l} \\ &= b \sum_{j=1}^{k-1} \frac{p^2 \zeta_k^j + 1}{p\zeta_k^l (\zeta_k^j - 1)} + b' \frac{p}{\zeta_k^l} - b'' \frac{1}{p\zeta_k^l} \\ &= \frac{1}{p\zeta_k^l} \left\{ b \sum_{j=1}^{k-1} \frac{p^2 \zeta_k^j + 1}{\zeta_k^j - 1} + b' p^2 - b'' \right\} \\ &= \frac{1}{p\zeta_k^l} \left\{ \frac{(k-1)(p^2-1)b}{2} + p^2 b' - b'' \right\} \\ &= 0. \end{aligned}$$

Hence the surface $X_{(p, b, b')}$ is well-defined.

For a generic (p, b, b') , $X_{(p, b, b')}$ has $k+2$ catenoid ends whose weights take 3 different values. More precisely, by using Lemma A.3, we see that, for any non-zero real number p and positive numbers a, a' and a'' satisfying $a \sum_{j=0}^{k-1} \sigma^{-1}(p\zeta_k^j) + a' \sigma^{-1}(\infty) + a'' \sigma^{-1}(0) = 0$ (i. e. $ak(p^2-1)/(p^2+1) + a' - a'' = 0$), there exists a C_k -invariant $(k+2)$ -end catenoid $X_{(p, b, b')}$ such that

$$(3.7) \quad g(p\zeta_k^j) = \zeta_k^j, \quad w(p\zeta_k^j) = a \quad j=0, \dots, k-1,$$

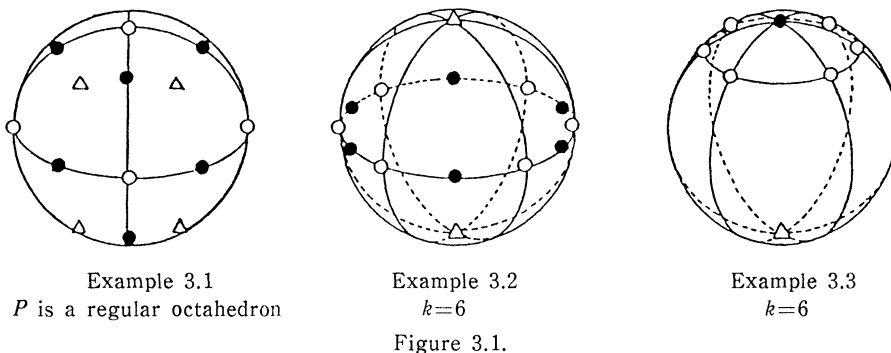
$$(3.8) \quad g(\infty) = \infty, \quad w(\infty) = a',$$

$$(3.9) \quad g(0) = 0, \quad w(0) = a''.$$

Karcher [4] constructed this example with $k=2$.

When $b'=0$, since the end ∞ is removed, it has $k+1$ ends. Xu [9] constructed this example with $(p, b') = (\sqrt{(k+1)/(k-1)}, 0)$. However, more generally, by using Lemma A.2, we see that, for any non-zero real number $p \neq \pm 1$ and non-zero real numbers a and a'' satisfying $a \sum_{j=0}^{k-1} \sigma^{-1}(p\zeta_k^j) + a'' \sigma^{-1}(0) = 0$ (i. e. $ak(p^2-1)/(p^2+1) - a'' = 0$), there exists a C_k -invariant $(k+1)$ -end catenoid $X_{(p, b, 0)} : \hat{C} - \{p, p\zeta_k, \dots, p\zeta_k^{k-1}, 0\} \rightarrow \mathbf{R}^3$ satisfying the conditions (3.7) and (3.9).

See Figure 3.1 for the arrangements of the ends. We point to the positions of the ends by the symbols \circ , \bullet and \triangle . Any two ends of different symbols may have weights different from each other.



By the similar computation as in Example 3.3, we get various examples of families of n -end catenoids, e. g. as follows.

Example 3.4. (Example 3.2 plus $2k$ ends) Let k be an integer greater than 1. For any non-zero complex number ξ such that $\xi^{2k} \neq 1$, and any real numbers b, b', b'' and b''' satisfying

$$(3.10) \quad 2k \{(\xi^k + |\xi|^2)(\xi^k + 1)b + (\xi^k - |\xi|^2)(\xi^k - 1)b'\} \\ = 2(|\xi|^2 - 1)(\xi^{2k} - 1)b'' + \{(k-1)(|\xi|^2 \xi^{2k} + 1) - (3k-1)(\xi^{2k} + |\xi|^2)\} b''' ,$$

define a surface $X_{(\xi, b, b', b'', b''')} : \widehat{\mathbb{C}} - \{1, \zeta_{2k}, \dots, \zeta_{2k}^{2k-1}, \infty, 0, \xi^{\pm 1}, \xi^{\pm 1}\zeta_k, \dots, \xi^{\pm 1}\zeta_k^{k-1}\} \rightarrow \mathbb{R}^3$ by the data

$$f(z) := b \frac{kz^{k-1}}{z^k - 1} + b' \frac{kz^{k-1}}{z^k + 1} + \frac{b''}{z} + b''' \left(\frac{kz^{k-1}}{z^k - \xi^k} + \frac{kz^{k-1}}{z^k - \xi^{-k}} \right), \quad \beta := k(b + b' + 2b''') + 2b'' .$$

Since we assume (3.10), the condition (1.2) in Theorem is satisfied and $\{X_{(\xi, b, b', b'', b''')}\}$ is a family of D_k -invariant minimal surfaces. For a generic (ξ, b, b', b'', b''') satisfying the condition (3.10), $X_{(\xi, b, b', b'', b''')}$ has $4k+2$ catenoid ends whose weights take 4 different values. However, when some of b, b' and b'' vanish, it has $4k, 3k+2, 3k, 2k+2$ or $2k$ ends.

Here we claim that, for any ξ , there are infinitely many $(b, b', b'', b''') \in \mathbb{R}^4$ satisfying the condition (3.10).

CASE 1. When $\xi^k \in \mathbb{R}$ i. e. $\xi = p\zeta_{2k}^j$ for some non-zero real number p ($\neq \pm 1$) and some integer j , the condition (3.10) is satisfied if and only if the following condition holds:

$$2kp^2 [\{p^{k-2} + (-1)^j\} \{p^k + (-1)^j\} b + \{p^{k-2} - (-1)^j\} \{p^k - (-1)^j\} b'] \\ = 2(p^2 - 1)(p^{2k} - 1)b'' + \{(k-1)(p^{2k+2} + 1) - (3k-1)p^2(p^{2k-2} + 1)\} b''' .$$

Hence the claim above is justified. In this case, $X_{(\xi, b, b', b'', b''')}$ has the symmetry of a regular k -angular prism.

CASE 2. If we set $p := |\xi|$ and $r := \operatorname{Re} \xi^k / |\xi|^k$, then, by using the equality $\xi^{2k} = 2rp^k \xi^k - p^{2k}$, we can rewrite the condition (3.10) as follows.

$$\begin{aligned} & 2k [\{ (2rp^k + p^2 + 1)\xi^k - p^2(p^{2k-2} - 1) \} b + \{ (2rp^k - p^2 - 1)\xi^k - p^2(p^{2k-2} - 1) \} b'] \\ & = 2(p^2 - 1) \{ 2rp^k \xi^k - (p^{2k} + 1) \} b'' \\ & \quad + [(k-1) \{ 2rp^{k+2} \xi^k - (p^{2k+2} - 1) \} - (3k-1) \{ 2rp^k \xi^k - p^2(p^{2k-2} - 1) \}] b'''. \end{aligned}$$

Therefore, when $\xi^k \notin \mathbf{R}$, the condition (3.10) is satisfied if and only if both of the following conditions hold:

$$\begin{aligned} (3.11) \quad & 2kp^2(p^{2k-2} - 1)(b + b') \\ & = 2(p^2 - 1)(p^{2k} + 1)b'' + \{ (k-1)(p^{2k+2} - 1) - (3k-1)p^2(p^{2k-2} - 1) \} b'''; \end{aligned}$$

$$\begin{aligned} (3.12) \quad & k \{ (2rp^k + p^2 + 1)b + (2rp^k - p^2 - 1)b' \} \\ & = rp^k [2(p^2 - 1)b'' + \{ (k-1)p^2 - (3k-1) \} b''']. \end{aligned}$$

Hence, also in this case, the claim above is justified. Let us observe this case more concretely.

(1) When $\xi^k \in \sqrt{-1}\mathbf{R}$ i. e. $\xi = p\zeta_{4k}^{2j-1}$ for some non-zero real number p and some integer j , the condition (3.10) is satisfied if and only if both of the following conditions hold:

$$\begin{aligned} & 4kp^2(p^{2k-2} - 1)b \\ & = 2(p^2 - 1)(p^{2k} + 1)b'' + \{ (k-1)(p^{2k+2} - 1) - (3k-1)p^2(p^{2k-2} - 1) \} b'''; \\ & b = b'. \end{aligned}$$

In this case, $X_{(\xi, b, b', b'', b''')}$ has the symmetry of a regular k -angular antiprism.

(2) When $\xi^{2k} \notin \mathbf{R}$ i. e. $\xi^k \notin \mathbf{R} \cup \sqrt{-1}\mathbf{R}$, the condition (3.10) is satisfied if and only if both of the following conditions hold:

$$\begin{aligned} & 2(k-1)rp^k(p^2 - 1)(b + b') + \{ (k-1)(p^{2k+2} - 1) - (3k-1)p^2(p^{2k-2} - 1) \} (b - b') \\ & = 4rp^k(p^2 - 1)b''; \\ & (2rp^k + p^{2k} + 1)b + (2rp^k - p^{2k} - 1)b' + 2rp^k b'' = 0. \end{aligned}$$

In this case, if $|\xi| \neq 1$ and $b'' \neq 0$, then $X_{(\xi, b, b', b'', b''')}$ has neither the symmetry of a regular k -angular prism nor the symmetry of a regular k -angular antiprism.

(3) When $|\xi| = 1$, the condition (3.11) is automatically satisfied. Therefore the condition (3.10) is satisfied if and only if the following condition holds:

$$(r+1)b + (r-1)b' + rb'' = 0.$$

In this case, we can choose b'' independently.

See Figure 3.2 for the arrangements of the additional ends $\xi^{\pm 1}\zeta_k'$ in each case above.

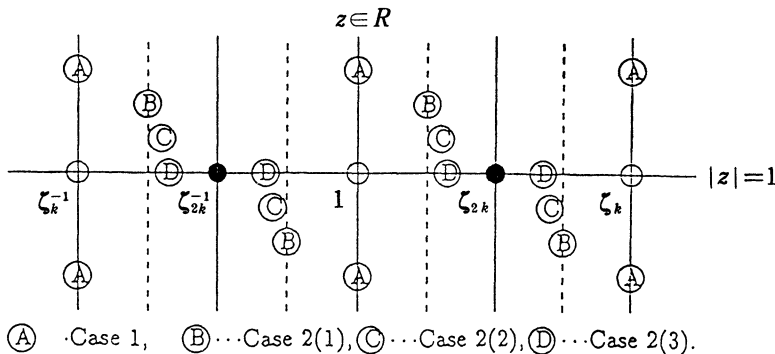


Figure 3.2.

Now we will describe a less symmetric

Example 3.5. (All of the 3-end catenoids) For any non-zero real numbers p and p' such that $(p-p')(pp'+1) \neq 0$, and any non-zero real or purely imaginary number b , define a surface $X_{(p,p',b)}: \hat{\mathbf{C}} - \{p, p', 0\} \rightarrow \mathbf{R}^3$ by the data

$$f(z) := b \left\{ \frac{p(p-p')}{z-p} + \frac{p'(p'-p)}{z-p'} + \frac{pp'(pp'+1)}{z} \right\}, \quad \beta := b(p^2p'^2 + p^2 + p'^2 - pp').$$

This representation gives the affirmative answer to our problem in the case when $n=3$ and v_i 's are different from each other. Conversely, all of the 3-end catenoids are described by this. Lopez [5] recently proved this result by somewhat different but essentially the same representation.

In the case $n \geq 4$, our problem is still open.

Appendix

Here we prove three lemmas which were used in the previous section.

LEMMA A.1. *Let n be an integer greater than 1, and a_1, \dots, a_n positive numbers. If there are two indices i_1 and i_2 such that $a_{i_1} = a_{i_2} = \max_{1 \leq i \leq n} a_i$, then there are positive numbers, b_1, \dots, b_n satisfying*

$$(1.1) \quad b_i \sum_{j \in N_i} b_j = a_i \quad i=1, \dots, n.$$

In particular, if $a_i = a_j$ for some i and j , then we can choose b_i and b_j as the same value.

Proof. We may assume $i_1=1$ and $i_2=2$ without loss of generality. Set

$$\varphi(t) := (n-2)t - \sum_{i=1}^n \sqrt{t^2 - 4a_i}.$$

It is clear that $\varphi(t)$ is a continuous function on $[2\sqrt{a_1}, +\infty)$ and $\lim_{t \rightarrow +\infty} \varphi(t) < 0$. On the other hand, by the assumption, we have

$$\begin{aligned} \varphi(2\sqrt{a_1}) &= 2(n-2)\sqrt{a_1} - 2 \sum_{i=1}^n \sqrt{a_1 - a_i} \\ &= 2 \sum_{i=3}^n (\sqrt{a_1} - \sqrt{a_1 - a_i}) \\ &\geq 0. \end{aligned}$$

Hence, by the intermediate value theorem, there is a positive number $\tau \geq 2\sqrt{a_1}$ such that $\varphi(\tau) = 0$. Set

$$b_i := \frac{\tau - \sqrt{\tau^2 - 4a_i}}{2} \quad i=1, \dots, n.$$

Then, for any i , b_i is positive and

$$\begin{aligned} b_i \sum_{j \in N_i} b_j &= \frac{\tau - \sqrt{\tau^2 - 4a_i}}{2} \sum_{j \in N_i} \frac{\tau - \sqrt{\tau^2 - 4a_j}}{2} \\ &= \frac{\tau - \sqrt{\tau^2 - 4a_i}}{2} \times \frac{(n-1)\tau - \{(n-2)\tau - \sqrt{\tau^2 - 4a_i}\}}{2} \\ &= a_i, \end{aligned}$$

namely, b_1, \dots, b_n satisfy the condition (1.1).

q. e. d.

LEMMA A.2. *Let k be an integer greater than 1, k' a positive integer, and a and a' non-zero real numbers. Then there is at least one (b, b') which belongs to either \mathbf{R}^2 or $(\sqrt{-1}\mathbf{R})^2$ and satisfies*

$$(A.1) \quad \begin{cases} b \{(k-1)b + k'b'\} = a, \\ b' \{kb + (k'-1)b'\} = a' \end{cases}$$

and $kb + k'b' \neq 0$, if $k' > 1$, or if $k' = 1$ and $a' \neq ka$, k^2a .

Proof. When $k' > 1$, solving the system of quadric equations (A.1), we get a solution $(b, b') = (b_{\pm}, b'_{\pm})$, where

$$b_{\pm} := \sqrt{\frac{k^2 a^2 - k'^2 a'^2 - D \pm 2k' a' \sqrt{D}}{4(k-1)(k+k'-1)a'}}, \quad b'_{\pm} := \frac{a - (k-1)b_{\pm}^2}{k'b_{\pm}}$$

and

$$D := k^2 a^2 + 2(kk' - 2k - 2k' + 2)aa' + k'^2 a'^2.$$

By the assumption and direct computation, we have $D > 0$ and $k^2 a^2 - k'^2 a'^2 - D \pm 2k'a'\sqrt{D} \neq 0$. Therefore, we can easily see that (b_{\pm}, b'_{\pm}) belongs to either \mathbf{R}^2 or $(\sqrt{-1}\mathbf{R})^2$. Moreover, since

$$kb_{\pm} + k'b'_{\pm} = \frac{a + b_{\pm}^2}{b_{\pm}} = \frac{a' + b'_{\pm}{}^2}{b'_{\pm}},$$

we have $kb_{+} + k'b'_{+} \neq 0$ or $kb_{-} + k'b'_{-} \neq 0$. Indeed, if $k^2 a \neq k'^2 a'$ (resp. $k^2 a = k'^2 a'$), then each (resp. one) of (b_{\pm}, b'_{\pm}) satisfies $kb_{\pm} + k'b'_{\pm} \neq 0$.

On the other hand, when $k'=1$ and $a' \neq ka$, $k^2 a$, solving the system of quadric equations (A.1), we get a solution

$$(b, b') := \left(\sqrt{\frac{k a - a'}{k(k-1)}}, \frac{a'}{kb} \right).$$

We can easily see that (b, b') belongs to either \mathbf{R}^2 or $(\sqrt{-1}\mathbf{R})^2$. Moreover, we have

$$kb + b' = \frac{k^2 a - a'}{k(k-1)b} \neq 0.$$

q. e. d.

LEMMA A.3. *Let k be an integer greater than 1, and a, a' and a'' positive numbers. If $\max\{a, a''\} \leq a' < ka + a''$ or $\max\{a, a'\} \leq a'' < ka + a'$, then there are positive numbers b, b' and b'' satisfying*

$$(A.2) \quad \begin{cases} b\{(k-1)b + b' + b''\} = a, \\ b'(kb + b'') = a', \\ b''(kb + b') = a''. \end{cases}$$

Proof. Solving the system of quadric equations (A.2), we get a solution (b, b', b'') , where

$$\begin{aligned} b &:= \sqrt{\frac{\sqrt{D} - k(a' + a'' - a)}{k(k^2 - 1)}}, \\ b' &:= \frac{k^2 a + (2k + 1)a' - a'' - \sqrt{D}}{2k(k + 1)b}, \\ b'' &:= \frac{k^2 a + (2k + 1)a'' - a' - \sqrt{D}}{2k(k + 1)b} \end{aligned}$$

and

$$D := k^4 a^2 + a'^2 + a''^2 - 2k^2(a' + a'')a + 2(2k^2 - 1)a'a''.$$

By the assumption and direct computation, we have $D > 0$ and

$$0 < k(a' + a'' - a) < \sqrt{D} < \min\{k^2 a + (2k + 1)a' - a'', k^2 a + (2k + 1)a'' - a'\}.$$

Therefore, we can easily see that b, b' and b'' are positive numbers. q. e. d.

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