

## AN EXTREMAL PROBLEM ASSOCIATED WITH THE SPREAD RELATION II

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**Introduction.** One of the authors published in 1982 a paper with the same title [6], in which the following result was proved:

**THEOREM A.** *Let  $f(z)$  be meromorphic in the plane of order  $\rho \in (0, \infty)$ . Further, suppose that  $T(r, f)$  varies regularly in the sense of Karamata, i. e.,*

$$(1) \quad \lim_{r \rightarrow \infty} \frac{T(kr, f)}{T(r, f)} = k^\rho \quad (0 < k < \infty)$$

*holds uniformly for  $k$  in any interval  $A^{-1} \leq k \leq A$ ,  $A > 1$ . Let  $\Lambda(r)$  be a nonnegative function satisfying  $\Lambda(r) = o(T(r, f))$  ( $r \rightarrow \infty$ ). Then, if*

$$(2) \quad \delta(\infty, f) > 0$$

*and*

$$(3) \quad \limsup_{r \rightarrow \infty} \text{meas} \{ \theta ; \log |f(re^{i\theta})| > \Lambda(r) \} \leq \frac{4}{\rho} \sin^{-1} \sqrt{\frac{\delta(\infty, f)}{2}} \equiv 2\beta < 2\pi,$$

*there exist a very long set  $G$  and a function  $L(r)$  varying slowly on  $(0, \infty)$  such that  $T(r, f) = r^\rho L(r)$  ( $0 < r < \infty$ ) and*

$$(4) \quad \frac{T^*(re^{i\theta}, f)}{T(r, f)} \rightarrow \cos \rho(\beta - \theta) \quad (r \rightarrow \infty, r \in G; \text{uniformly for } \theta \in [0, \beta]),$$

*where  $T^*(z)$  denotes the Baernstein characteristic of  $f(z)$ .*

In the present paper we first discuss an improvement of Theorem A. The assumption (1) can be rewritten as  $T(r, f) = r^\rho L_1(r)$  ( $0 < r < \infty$ ) with a function  $L_1(r)$  varying slowly on  $(0, \infty)$ . Baernstein [3] proved that all the assumptions of Theorem A except (1) imply the existence of a very long set  $G$  and a function  $L(r)$  varying slowly on  $G$  such that  $T(r, f) = r^\rho L(r)$  ( $0 < r < \infty$ ) and

$$(5) \quad \frac{N(r, \infty, f)}{T(r, f)} \rightarrow \cos \beta \rho \quad (r \rightarrow \infty, r \in G).$$

We prove that in Theorem A the assumption (1) is unnecessary. (Therefore the

above conclusion (5) is contained in (4).) Next, we determine under the assumptions (2) and (3) the asymptotic behavior of  $\log|f(z)|$  for values of  $z$  whose absolute values lie in a suitable set  $G_0$  having logarithmic density 1 and of the arguments of almost all the zeros and the poles of  $f(z)$  in  $\{z; |z| \in G_0\}$ . The clue to our investigation is the following fact:

**A PRINCIPAL LEMMA.** *Let  $\rho \in (0, \infty)$  and  $L(r)$  be given, where  $L(r)$  is a slowly varying function on a very long set  $G_1$  such that  $H(r) \equiv r^\rho L(r) \neq O(\log r)$  ( $r \rightarrow \infty$ ) is a convex, increasing function of  $\log r$ . Then there exists a very long set  $G_2$  ( $\subset G_1$ ) with the property that any increasing unbounded sequence in  $G_2$  is a sequence of Pólya peaks of order  $\rho$  of  $H(r)$ .*

Let  $f(z)$  satisfy the assumptions (2) and (3). Then the spread relation [2] implies that  $f(z)$  has regular growth and Theorem 2 in [3] yields that  $T(r, f) = r^\rho L(r)$  with a very long set  $G_1$  and a function  $L(r)$  varying slowly on  $G_1$ . Using our principal lemma, we find a very long set  $G_2$  ( $\subset G_1$ ) with the property that any increasing unbounded sequence  $\{r_m\} \subset G_2$  is a sequence of Pólya peaks of order  $\rho$  of  $T(r, f)$ . Here we use the spread relation again to obtain

$$\lim_{m \rightarrow \infty} \text{meas} \{ \theta ; \log |f(r_m e^{i\theta})| > A(r_m) \} = 2\beta.$$

Hence  $f(z)$  is a meromorphic function of lower order  $\rho \in (0, \infty)$  satisfying the hypotheses ES at a sequence  $\{r_m\}$  of Pólya peaks of order  $\rho$  of  $T(r, f)$  (For the definition of the hypotheses ES, see [4, p. 69.]), and so we conclude that all the results in [4] and [5] are applicable to our  $f(z)$  with any increasing unbounded sequence  $\{r_m\} \subset G_2$ .

### 1. Statement of our theorems.

**THEOREM 1.** *Suppose  $f(z)$  is meromorphic in the plane of order  $\rho \in (0, \infty)$ . Let  $A(r)$  be a nonnegative function satisfying  $A(r) = o(T(r, f))$  ( $r \rightarrow \infty$ ). Then, if the assumptions (2) and (3) are fulfilled, there exist two very long sets  $G_1, G_2$  ( $G_2 \subset G_1$ ) and a function  $L(r)$  varying slowly on  $G_1$  such that  $T(r, f) = r^\rho L(r)$  ( $0 < r < \infty$ ),*

$$\frac{T^*(r e^{i\theta})}{T(r, f)} \rightarrow \cos \rho(\beta - \theta) \quad (r \rightarrow \infty, r \in G_2; \text{uniformly for } \theta \in [0, \beta]),$$

and

$$\frac{n(r, \infty, f)}{T(r, f)} \rightarrow \rho \cos \beta \rho \quad (r \rightarrow \infty, r \in G_2).$$

**THEOREM 2.** *Let the assumptions and notations of Theorem 1 be unchanged. It is then possible to find two sets  $G_3, J_1$  on the positive real axis and a real-valued function  $\varphi(u)$  satisfying the following conditions (i)-(vi).*

(i)  $G_3$  ( $\subset G_2$ ) is a very long set.

- (ii)  $J_1$  has density zero.
- (iii)  $\log M(u, f) = \{\pi\rho \sin \beta\rho + o(1)\} u^\rho L(u)$  ( $u \rightarrow \infty, u \in G_3 - J_1$ ).
- (iv)  $|f(ue^{i\varphi(u)})| = M(u, f)$  ( $u \in G_3 - J_1$ ).
- (v)  $\lim_{\substack{ku, u \rightarrow \infty \\ u \in G_3 - J_1}} [\varphi(ku) - \varphi(u)] = 0$  ( $0 < k < \infty$ ) holds uniformly for  $k$  in any interval  $A^{-1} \leq k \leq A, A > 1$ .
- (vi) Let  $s > 0$  and  $\eta$  ( $0 < \eta < \beta$ ) be given. Denote by  $p(u) = p(u; s, \eta, \varphi(u))$  the number of poles of  $f(z)$  in the sector

$$\{te^{i\theta}; e^{-s}u \leq t \leq e^s u, \eta \leq |\theta - \varphi(u)| \leq \pi\}$$

and by  $z(u) = z(u; s, \eta, \varphi(u))$  the number of zeros of  $f(z)$  in the sector

$$\{te^{i\theta}; e^{-s}u \leq t \leq e^s u, |\theta - \varphi(u)| \leq \beta - \eta\}.$$

Then

$$p(u) + z(u) = o(u^\rho L(u)) \quad (u \rightarrow \infty, u \in G_3 - J_1).$$

**THEOREM 3.** Let the assumptions and notations of Theorems 1 and 2 be unchanged. It is then possible to find two sets  $G_4$  and  $J_2$  on the positive real axis satisfying the following properties (i)-(iii).

- (i)  $G_4 (\subset G_3)$  is a very long set.
- (ii)  $J_2$  has density zero.
- (iii) Let  $\eta$  ( $0 < \eta < (1/2)\min(\beta, \pi - \beta)$ ) be given. If  $u \in G_4 - J_1 - J_2$ , then

$$\log |f(ue^{i(\theta + \varphi(u))})| \leq o(T(u, f))$$

$$(u \rightarrow \infty; \text{uniformly for } \theta, \beta + \eta \leq |\theta| \leq \pi),$$

and

$$|\log |f(ue^{i(\theta + \varphi(u))})| - \pi\rho \sin \rho(\beta - |\theta|)T(u, f)| = o(T(u, f))$$

$$(u \rightarrow \infty; \text{uniformly for } \theta, \eta \leq |\theta| \leq \beta - \eta).$$

**THEOREM 4.** Let the assumptions and notations of Theorems 1 and 2 be unchanged, and assume that  $\pi - \beta > \pi/2\rho$ . Then it is possible to find four sets  $G_5, G_6, J_3$  and  $J_4$  on the positive real axis satisfying the following properties (i)-(iv).

- (i)  $G_5$  and  $G_6$  ( $G_6 \subset G_5 \subset G_2$ ) are very long sets.
- (ii)  $J_3$  and  $J_4$  have density zero.
- (iii) Let  $\eta$  ( $0 < \eta < (1/2)\min(\beta, \pi - \beta)$ ) and  $s > 0$  be given. Denote by  $\tilde{z}(u) = \tilde{z}(u; s, \eta, \varphi(u))$  the number of zeros of  $f(z)$  in the sector

$$\{te^{i\theta}; e^{-s}u \leq t \leq e^s u, \beta + \eta \leq |\theta - \varphi(u)| \leq \pi\}.$$

Then

$$\tilde{z}(u) = o(T(u, f)) \quad (u \rightarrow \infty, u \in G_5 - J_3).$$

- (iv) Let  $\eta$  ( $0 < \eta < (1/2)\min(\beta, \pi - \beta)$ ) be given. Then, if  $u \in G_6 - J_3 - J_4$ ,

$$\log |f(ue^{i(\theta+\varphi(u))})| \geq -\sigma(T(u, f))$$

$$(u \rightarrow \infty; \text{uniformly for } \theta, \beta + \eta \leq |\theta| \leq \pi).$$

**THEOREM 5.** *Let the assumptions and notations of Theorem 4 be unchanged. Then*

- (i)  $\frac{n(r, 0, f)}{T(r, f)} \rightarrow \rho \quad (r \rightarrow \infty, r \in G_6 - J_3 - J_4).$
- (ii) *Given  $\eta$  ( $0 < \eta < \beta$ ), denote by  $z^+(z)$  the number of zeros of  $f(z)$  in the sector*

$$0 < |z| \leq u, \quad \varphi(u) + \beta - \eta \leq \arg z \leq \varphi(u) + \beta + \eta$$

*and denote by  $z^-(u)$  the number of zeros of  $f(z)$  in the sector*

$$0 < |z| \leq u, \quad \varphi(u) - \beta - \eta \leq \arg z \leq \varphi(u) - \beta + \eta.$$

*Then we have for  $t \in (0, \sin \eta)$*

$$\frac{z^+(u(1+t)) - z^+(u(1-t))}{T(u, f)} \rightarrow \frac{\rho}{2} \{(1+t)^\rho - (1-t)^\rho\}$$

$$(u \rightarrow \infty; u(1+t), u(1-t), u \in G_6 - J_3 - J_4).$$

*This relation still holds if  $z^+$  is replaced by  $z^-$ .*

In §§ 2-4 we assume and use the assertion of our principal lemma.

In § 2 we deduce Theorem 1 from Theorems 1 and 2 in [4].

In § 3 we prove Theorem 2 using Theorem 1 and the same reasoning as in the proof of Theorem 3 in [4].

In § 4 we deduce Theorem 3 from Theorem 2 (v) and the first part of Theorem 4 in [5].

Finally, in § 5 we prove our principal lemma.

*Remarks.* (i) If the hypotheses of Theorem 3 are satisfied and  $\pi - \beta \leq \pi/2\rho$  holds, then the conclusions (iii) and (iv) of Theorem 4 need not be true. (See [5, p. 144].)

(ii) Theorem 4 is proved using Theorem 5 in [5] and the similar arguments as in §§ 2-3, so we omit the proof.

(iii) Theorem 5 is derived from Theorems 1, 3, 4 and the same reasoning as in § 13 in [5], so we omit the proof.

## 2. Proof of Theorem 1.

Let  $f(z)$  satisfy the assumptions (2) and (3). Then as we saw in the introduction  $T(r, f) = r^\rho L(r)$  holds with a very long set  $G_1$  and a function  $L(r)$  varying slowly on  $G_1$ , and further Theorems 1 and 2 in [4] are applicable to  $f(z)$  with any increasing unbounded sequence  $\{r_m\} \subset G_2$ , where  $G_2 (\subset G_1)$  is a

suitable very long set. Hence we deduce

$$\frac{T^*(re^{i\theta}, f)}{T(r, f)} \rightarrow \cos \rho(\beta - \theta) \quad (r \rightarrow \infty, r \in \tilde{G}_2; \text{uniformly for } \theta \in [0, \beta]),$$

and

$$\frac{n(r, \infty, f)}{T(r, f)} \rightarrow \rho \cos \beta \rho \quad (r \rightarrow \infty, r \in \tilde{G}_2),$$

where  $\tilde{G}_2 = \bigcup_{m=1}^{\infty} [e^{-1}r_m, er_m]$ . A suitable choice of  $\{r_m\} \subset G_2$  implies that  $G_2 \subset \tilde{G}_2$ . This completes the proof of Theorem 1.

### 3. Proof of Theorem 2.

**3.1. Construction of  $G_3$  and  $J_1$ .** We write  $G_2 = \bigcup_{j=1}^{\infty} [a'_j, b'_j]$  ( $b'_j < a'_{j+1}$ ;  $a'_j \rightarrow \infty, b'_j \rightarrow \infty, b'_j/a'_j \rightarrow \infty$  as  $j \rightarrow \infty$ ). Dropping, if necessary, a finite number of intervals and renumbering the remaining intervals  $[a'_j, b'_j]$  we may assume that  $b'_j/a'_j \geq e$  ( $j=1, 2, 3, \dots$ ). Let  $\{\varepsilon_n\}_1^{\infty} \downarrow 0$  be given. Choose  $\sigma_n \in (0, 1)$  ( $n=1, 2, 3, \dots$ ) small enough to imply

$$(3.1) \quad \rho \cos \beta \rho \left( 8e^{2\gamma+1} + 2\gamma \log \frac{1}{\sigma_n} \right) (e^{2\sigma_n \rho} - e^{-\sigma_n \rho}) < \varepsilon_n / 5 \quad (\gamma \equiv \pi / \beta).$$

For each  $\sigma_n$  ( $n=1, 2, 3, \dots$ ), define a sequence  $\{m_j\}_0^{\infty}$  ( $0 = m_0 < m_1 < m_2 < \dots; m_j = m_j(\sigma_n)$ ) of integers such that  $b'_j/a'_j = e^{(m_j - m_{j-1} + \alpha_j)\sigma_n}$  ( $\alpha_j = \alpha_j(\sigma_n) \in [0, 1)$ ), and then  $\{(r_n)_m\}_{m=1}^{\infty}$  by

$$(3.2) \quad \begin{aligned} (r_n)_{m_{j-1}+1} &= a'_j & (j=1, 2, 3, \dots), \\ (r_n)_{m+1}/(r_n)_m &= e^{\sigma_n} & (m_{j-1}+1 \leq m \leq m_j-1; j=1, 2, \dots). \end{aligned}$$

If  $f(z)$  satisfies the assumptions (2) and (3), Theorem 1 is valid. Hence we are able to find sequences  $\{(R'_n)_m\}_{m=1}^{\infty}, \{(R''_n)_m\}_{m=1}^{\infty}$  ( $n=1, 2, 3, \dots$ ) such that

$$(3.3) \quad \{1 + (\xi_n)_m\}^{-1} \{t/(r_n)_m\}^{\rho} < T(t)/T((r_n)_m) < \{1 + (\xi_n)_m\} \{t/(r_n)_m\}^{\rho},$$

$$(3.4) \quad \begin{aligned} \{\rho \cos \beta \rho - (\eta_n)_m\} \{t/(r_n)_m\}^{\rho} &< n(t, \infty)/T((r_n)_m) \\ &< \{\rho \cos \beta \rho + (\eta_n)_m\} \{t/(r_n)_m\}^{\rho}, \end{aligned}$$

and

$$(3.5) \quad \begin{aligned} \{\cos \beta \rho - (\zeta_n)_m\} \{t/(r_n)_m\}^{\rho} &< N(t, \infty)/T((r_n)_m) \\ &< \{\cos \beta \rho + (\zeta_n)_m\} \{t/(r_n)_m\}^{\rho} \end{aligned}$$

hold for  $(R'_n)_m \leq t \leq (R''_n)_m$ , where

$$\begin{aligned} (R'_n)_m \rightarrow \infty, \quad (r_n)_m / (R'_n)_m \rightarrow \infty, \quad (R''_n)_m / (r_n)_m \rightarrow \infty, \\ (\xi_n)_m (> 0) \rightarrow 0, \quad (\eta_n)_m (> 0) \rightarrow 0, \quad (\zeta_n)_m (> 0) \rightarrow 0 \end{aligned}$$

as  $m \rightarrow \infty$ . Now, for each  $\varepsilon_n$  and  $\sigma_n$  ( $n=1, 2, 3, \dots$ ) we select a positive integer

$m_{k_{n-1}}$  ( $1 \leq k_1 < k_2 < \dots < k_n < \dots$ ) such that  $m \geq m_{k_{n-1}} + 1$  implies

$$(3.6) \quad (\xi_n)_m \pi \rho \cos \beta \rho \tan \frac{\beta \rho}{2} + (\eta_n)_m \left\{ 4e^\gamma + (e^{2\rho} + 1) \log \frac{2e^\gamma}{\gamma} + 4 \right. \\ \left. + (1 + e^{2\rho}) \log \frac{1}{\sigma_n} + 2\gamma \left( \frac{e^{2\gamma+\rho}}{\gamma-\rho} + \frac{e^{\gamma-\rho}}{\gamma+\rho} \right) \frac{1}{e^{2\sigma_n \rho} - 1} \right\} < \varepsilon_n / 5,$$

$$(3.7) \quad (\eta_n)_m (e^{2\rho} + 1) \left( 8e^{2\gamma+1} + 2\gamma \log \frac{1}{\sigma_n} \right) < \varepsilon_n / 5,$$

$$(3.8) \quad \{1 + (\xi_n)_m\} \{((R_n)'_m / (r_n)_m)^{\rho+\gamma} + 2e^\gamma ((r_n)_m / (R_n)''_m)^{\gamma-\rho}\} < \varepsilon_n / 5,$$

and

$$(3.9) \quad (\zeta_n)_m (1 - \cos \beta \rho) (\pi \rho / \sin \pi \rho) < \varepsilon_n / 5.$$

We then define  $G_3$  by

$$G_3 = \bigcup_{n=1}^{\infty} \left\{ \left( \bigcup_{m \geq m_{k_{n-1}+1}} [(r_n)_m, (r_n)_m e^{\sigma_n}] \right) \cap \left( \bigcup_{j=k_n}^{k_{n+1}-1} [a'_j, b'_j] \right) \right\}.$$

To construct  $J_1$  we proceed as follows: Let  $\{b_j\}$  be the poles of  $f(z)$  and put

$$\sum_1(u) = \sum_{(r_n)_m e^{-\sigma_n} < |b_j| \leq (r_n)_m e^{2\sigma_n}} H(|b_j|, u) \quad (u > 0),$$

where

$$H(t, u) = \log \frac{|t|^\gamma + u^\gamma}{|t|^\gamma - u^\gamma}.$$

Using Cartan's lemma, it is possible to exclude, from the interval  $(r_n)_m \leq u \leq (r_n)_m e^{\sigma_n}$ , an exceptional set  $\mathcal{E}_n(m)$  such that

$$(3.10) \quad \text{meas } \mathcal{E}_n(m) < (r_n)_m \sigma_n^2$$

and such that, for  $u \in [(r_n)_m, (r_n)_m e^{\sigma_n}] - \mathcal{E}_n(m)$ ,

$$(3.11) \quad \sum_1(u) \leq \left( 8e^{2\gamma+1} + 2\gamma \log \frac{1}{\sigma_n} \right) \{n((r_n)_m e^{2\sigma_n}, \infty) - n((r_n)_m e^{-\sigma_n}, \infty)\}.$$

Using  $\mathcal{E}_n(m)$ , we define  $J_1$  by

$$J_1 = \bigcup_{n=1}^{\infty} \left\{ \left( \bigcup_{m \geq m_{k_{n-1}+1}} \mathcal{E}_n(m) \right) \cap \left( \bigcup_{j=k_n}^{k_{n+1}-1} [a'_j, b'_j] \right) \right\}.$$

By the construction of  $G_3$  and  $J_1$ , it is easily verified that  $G_3 \subset G_2$  is a very long set and  $J_1$  has density zero.

**3.2. A further consequence of the assumptions (2) and (3).** Let  $f(z)$  be a meromorphic function satisfying the assumptions (2) and (3). Assume that the quantities  $\sigma_n, (r_n)_m, (R_n)'_m, (R_n)''_m, (\xi_n)_m, (\eta_n)_m, (\zeta_n)_m$  have been selected as in 3.1.. The aim of this section is to show that  $u \in [(r_n)_m, (r_n)_m e^{\sigma_n}] - \mathcal{E}_n(m)$

$(m \geq m_{k_n-1} + 1)$  implies

$$(3.12) \quad (Q_n)_m(u) \equiv \sum_{(R_n)'_m < |b_j| \leq (R_n)''_m} H(|b_j|, u) \leq T(u) \left( \pi \rho \cos \pi \rho \tan \frac{\beta \rho}{2} + \frac{3}{5} \varepsilon_n \right),$$

where  $\{b_j\}$  denote the poles of  $f(z)$ . Note that if  $\Sigma_0(u)$ ,  $\Sigma_2(u)$  are given by

$$\begin{aligned} \Sigma_0(u) &= \sum_{(R_n)'_m < |b_j| \leq (r_n)_m e^{-\sigma n}} H(|b_j|, u), \\ \Sigma_2(u) &= \sum_{(r_n)_m e^{2\sigma n} < |b_j| \leq (R_n)''_m} H(|b_j|, u), \end{aligned}$$

then  $(Q_n)_m(u) = \Sigma_0(u) + \Sigma_1(u) + \Sigma_2(u)$  holds.

First, we prove that

$$(3.13) \quad \begin{aligned} \Sigma_0(u) + \Sigma_2(u) &\leq T(u) \left( \pi \rho \cos \beta \rho \tan \frac{\beta \rho}{2} + \varepsilon_n / 5 \right) \\ &(u \in [(r_n)_m, (r_n)_m e^{\sigma n}], m \geq m_{k_n-1} + 1). \end{aligned}$$

From (3.4) we deduce

$$(3.14) \quad \begin{aligned} n(t, \infty) - \rho \cos \beta \rho T((r_n)_m)(t / (r_n)_m)^\rho \\ = (\eta_n)_m(t) T((r_n)_m)(t / (r_n)_m)^\rho = (\tilde{\eta}_n)_m(t) \end{aligned}$$

with  $|(\eta_n)_m(t)| < (\eta_n)_m$  ( $(R_n)'_m \leq t \leq (R_n)''_m$ ). Using Stieltjes integrals and (3.14), we obtain

$$(3.15) \quad \begin{aligned} \Sigma_2(u) &= \int_{(r_n)_m e^{2\sigma n+}}^{(R_n)'_m} H(t, u) dn(t, \infty) \\ &= \rho^2 \cos \beta \rho L((r_n)_m) \int_{(r_n)_m e^{2\sigma n+}}^{(R_n)'_m} H(t, u) t^{\rho-1} dt \\ &\quad + \int_{(r_n)_m e^{2\sigma n+}}^{(R_n)'_m} H(t, u) d(\tilde{\eta}_n)_m(t). \end{aligned}$$

An integration by parts yields

$$(3.16) \quad \left| \int_{(r_n)_m e^{2\sigma n+}}^{(R_n)'_m} H(t, u) d(\tilde{\eta}_n)_m(t) \right| < (\eta_n)_m T((r_n)_m) \left\{ ((R_n)''_m / (r_n)_m)^\rho H((R_n)''_m, u) \right. \\ \left. + e^{2\sigma n \rho} H((r_n)_m e^{2\sigma n}, u) + \int_{(r_n)_m e^{2\sigma n}}^{(R_n)'_m} (t / (r_n)_m)^\rho \left| \frac{\partial H}{\partial t} \right| dt \right\}.$$

Since

$$\frac{\partial H}{\partial t} = - \frac{2\gamma t^{\gamma-1} u^\gamma}{t^{2\gamma} - u^{2\gamma}} \quad (t \neq u),$$

we have

$$\left| \frac{\partial H}{\partial t} \right| \leq \frac{2\gamma}{1 - e^{-2\sigma n \gamma}} \cdot \frac{u^\gamma}{t^{\gamma+1}} \quad (u \leq t e^{-\sigma n}).$$

Hence

$$(3.17) \quad \int_{(r_n)_m e^{2\sigma n_+}}^{(R_n)'_m} (t/(r_n)_m)^\rho \left| \frac{\partial H}{\partial t} \right| dt \leq \frac{2\gamma u^\gamma}{1 - e^{-2\sigma n \gamma}} (r_n)_m^{-\rho} \int_u^\infty t^{\rho-\gamma-1} dt \leq \frac{2\gamma}{\gamma-\rho} \cdot \frac{e^{2\gamma+\rho}}{e^{2\sigma n \gamma} - 1}.$$

Taking into account the obvious estimates

$$0 < H((R_n)'_m, u) \leq 4(u/(R_n)'_m)^\gamma \quad (u \leq (R_n)'_m/2),$$

$$0 < H(t, u) \leq \log \frac{1}{\sigma_n} + \log \frac{2e^\gamma}{\gamma} \quad (u \leq te^{-\sigma n}),$$

we deduce from (3.16) and (3.17) that

$$\left| \int_{(r_n)_m e^{2\sigma n_+}}^{(R_n)'_m} H(t, u) d(\bar{\eta}_n)_m(t) \right| < (\eta_n)_m T(u) \left\{ 4e^\gamma + e^{2\rho} \log \frac{1}{\sigma_n} + e^{2\rho} \log \frac{2e^\gamma}{\gamma} + \frac{2\gamma}{\gamma-\rho} \cdot \frac{e^{2\gamma+\rho}}{e^{2\sigma n \gamma} - 1} \right\}.$$

Returning to (3.15), we obtain

$$(3.18) \quad \Sigma_2(u) \leq \rho^2 \cos \beta \rho L((r_n)_m) \int_u^\infty H(t, u) t^{\rho-1} dt + (\eta_n)_m \left\{ 4e^\gamma + e^{2\rho} \log \frac{1}{\sigma_n} + e^{2\rho} \log \frac{2e^\gamma}{\gamma} + \frac{2\gamma}{\gamma-\rho} \cdot \frac{e^{2\gamma+\rho}}{e^{2\sigma n \gamma} - 1} \right\} T(u).$$

In the same way, we have

$$(3.19) \quad \Sigma_0(u) \leq \rho^2 \cos \beta \rho L((r_n)_m) \int_0^u H(t, u) t^{\rho-1} dt + (\eta_n)_m \left\{ 4 + \log \frac{1}{\sigma_n} + \log \frac{2e^\gamma}{\gamma} + \frac{2\gamma}{\gamma+\rho} \cdot \frac{e^{\gamma-\rho}}{e^{2\sigma n \gamma} - 1} \right\} T(u).$$

Since

$$\int_0^\infty H(t, u) t^{\rho-1} dt = \frac{\pi u^\rho}{\rho} \tan(\beta \rho/2),$$

(3.13) follows from (3.18), (3.19), (3.3) and (3.6).

Next, we estimate  $\Sigma_1(u)$  for  $u \in [(r_n)_m, (r_n)_m e^{\sigma n}] - \mathcal{E}_n(m) \equiv \mathcal{H}_n(m)$ . By (3.4)

$$\begin{aligned} & n((r_n)_m e^{2\sigma n}, \infty) - n((r_n)_m e^{-\sigma n}, \infty) \\ & < \rho \cos \beta \rho (e^{2\sigma n \rho} - e^{-\sigma n \rho}) T((r_n)_m) + (\eta_n)_m (e^{2\sigma n \rho} + e^{-\sigma n \rho}) T((r_n)_m) \\ & < \rho \cos \beta \rho (e^{2\sigma n \rho} - e^{-\sigma n \rho}) T(u) + (\eta_n)_m (e^{2\rho} + 1) T(u). \end{aligned}$$

Then from (3.11), (3.1) and (3.7) we deduce that

$$(3.20) \quad \Sigma_1(u) < \frac{2}{5} \varepsilon_n T(u) \quad (u \in \mathcal{H}_n(m)).$$



Thus (3.12) follows from (3.13) and (3.20).

**3.3. Completion of proof.** If  $f(z)$  satisfies (2) and (3), then as we saw in the introduction,  $f(z)$  is a meromorphic function of lower order  $\rho$  satisfying the hypotheses ES at a sequence  $\{r_m\}$  (, where  $\{r_m\}$  is any increasing unbounded sequence in  $G_2$ ,) of Pólya peaks of order  $\rho$  of  $T(r, f)$ . Applying Lemma 15.1 in [4] to  $f(z)$ , we obtain

$$(3.21) \quad \pi\rho \sin \beta\rho \leq \liminf_{\substack{r \rightarrow \infty \\ r \in G_2}} \frac{\log M(r, f)}{T(r, f)}.$$

On the other hand, using the same reasoning as in [4, § 16], we deduce from (3.8), (3.9) and (3.12) that

$$(3.22) \quad \log M(u, f) + K_1 z(u) + K_2 p(u) \leq \{\pi\rho \sin \beta\rho + \varepsilon_n\} T(u, f) \\ (u \in \mathcal{H}_n(m), m \geq m_{k_{n-1}} + 1),$$

where  $K_1, K_2$  are positive constants depending only on  $s (> 0)$ ,  $\gamma$  and  $\eta (> 0)$ . Hence the proofs of (iii) and (vi) follow at once from (3.21) and (3.22). Finally, assertion (v) is derived easily from (vi).

**4. Proof of Theorem 3.**

We write  $G_3 = \bigcup_{n=1}^{\infty} [a''_n, b''_n]$  ( $b''_n < a''_{n+1}$ ;  $a''_n \rightarrow \infty, b''_n/a''_n \rightarrow \infty$  as  $n \rightarrow \infty$ ). Dropping, if necessary, a finite number of intervals and renumbering the remaining intervals  $[a''_n, b''_n]$  we may assume that  $b''_n/a''_n \geq e^2$  ( $n=1, 2, 3, \dots$ ). Define a sequence  $\{m_j\}_0^{\infty}$  ( $0=m_0 < m_1 < m_2 < \dots$ ) of integers such that  $b''_n/a''_n = e^{2(m_n - m_{n-1} + \beta_n)}$  ( $\beta_n \in [0, 1)$ ), and then  $\{\tilde{r}_m\}$  by  $\tilde{r}_{m_{n-1}+1} = e a''_n, r_{m+1}/r_m = e^2$  ( $m_{n-1} + 1 \leq m \leq m_n - 1$ ). Now, we define  $G_4$  by

$$G_4 = \bigcup_{m=1}^{\infty} [e^{-1}\tilde{r}_m, e\tilde{r}_m].$$

It is easily verified that  $G_4 (\subset G_3)$  is a very long set. Next, choose a sequence  $\{u_m\}_1^{\infty}$  such that  $u_m \in [e^{-1}\tilde{r}_m, e\tilde{r}_m] - J_1$  and let  $\{\varepsilon_n\}_1^{\infty} \downarrow 0$  be given. Then by Theorem 4 in [5] we are able to find sets  $\mathcal{I}_m(\varepsilon_n) \subset [e^{-1}\tilde{r}_m, e\tilde{r}_m]$  such that

$$(4.1) \quad \text{meas } \mathcal{I}_m(\varepsilon_n) < \varepsilon_n e^{-1}\tilde{r}_m$$

and such that for  $u \in [e^{-1}\tilde{r}_m, e\tilde{r}_m] - \mathcal{I}_m(\varepsilon_n)$  ( $m \geq m_{l_{n-1}} + 1$ ;  $1 \leq l_1 < l_2 < l_3 < \dots$ )

$$\log |f(u e^{i(\theta + \varphi(u_m))})| \leq \varepsilon_n T(u) \quad (\beta - \eta/2 \leq |\theta| \leq \pi), \\ |\log |f(u e^{i(\theta + \varphi(u_m))})| - \pi\rho \sin \rho(\beta - |\theta|) T(u)| \leq \varepsilon_n T(u) \\ (\eta/2 \leq |\theta| \leq \beta - \eta/2).$$

Further by Theorem 2 (v) we may assume that for  $u \in [e^{-1}\tilde{r}_m, e\tilde{r}_m] - \mathcal{I}_m(\varepsilon_n) - J_1$  ( $m \geq m_{l_{n-1}} + 1$ )

$$(4.2) \quad \begin{cases} \log |f(ue^{i(\theta+\varphi(u))})| \leq \varepsilon_n T(u) & (\beta - \eta \leq |\theta| \leq \pi), \\ |\log |f(ue^{i(\theta+\varphi(u))})| - \pi \rho \sin \rho(\beta - |\theta|) T(u)| \leq \varepsilon_n T(u) & (\eta \leq |\theta| \leq \beta - \eta). \end{cases}$$

Using (4.1), it is easy to check that the set

$$J_2 = \bigcup_{n=1}^{\infty} \left\{ \bigcup_{m_{l_{n-1}+1} \leq m \leq m_{l_{n+1}-1}} \mathcal{E}_m(\varepsilon_n) \right\},$$

has density zero, and the assertion (ii) follows from (4.2).

### 5. Proof of the principal lemma.

#### 5.1. Preliminaries.

LEMMA 1. ([7]) *Let  $H(r)$  be given by*

$$H(r) = \text{const.} + \int_{\alpha}^r \phi(t) t^{-1} dt \quad (r \geq \alpha > 0),$$

where  $\phi(t)$  is nonnegative, nondecreasing, and unbounded. Then there exists a function  $\phi(t)$  ( $t \geq 1$ ) satisfying the following conditions (i)-(iv).

- (i)  $\phi(t)$  is a continuous function which is continuously differentiable off a discrete set  $D$  (where  $D$  has no finite accumulation points).
- (ii)  $\phi(t)$  is strictly increasing and unbounded.
- (iii)  $\phi(1) = 0$ .
- (iv)  $H_1(r) \equiv \int_1^r \phi(t) t^{-1} dt = H(r) + O(\log r) \quad (r \rightarrow \infty)$ .

LEMMA 2. *Let  $\rho$  ( $0 < \rho < \infty$ ) and  $L(r)$  be given, where  $L(r)$  is a slowly varying function on a very long set  $G$  such that  $H(r) \equiv r^\rho L(r) \neq O(\log r)$  is a convex, increasing function of  $\log r$ . Corresponding to  $H(r)$ , define  $\phi(t)$  ( $t \geq 1$ ) and  $H_1(r)$  ( $r \geq 1$ ) as in Lemma 1. Then*

$$(5.1) \quad \lambda(r) \equiv \frac{d \log(H_1(r)+1)}{d \log r} = \frac{\phi(r)}{H_1(r)+1} \rightarrow \rho \quad (r \rightarrow \infty, r \in G).$$

*Proof.* Put

$$(5.2) \quad H_1(r) = r^\rho L_1(r).$$

Then  $L_1(r)$  is a slowly varying function on  $G$  such that  $H_1(r) \neq O(\log r)$  is a convex, increasing function of  $\log r$ . Define  $h(r)$  by

$$(5.3) \quad \lambda(r) = \rho + h(r).$$

By the definition of  $\lambda(r)$  and the properties of  $\phi(r)$ ,  $\lambda(r)$  is a positive, continuous function for  $r > 1$ , which is continuously differentiable off a discrete set  $D$ , where

$D$  has no finite accumulation points. By (5.1), (5.2) and (5.3)

$$(5.4) \quad H_1(r)+1=r^\rho L_1(r)+1=\exp\left(\int_1^r \lambda(t)t^{-1}dt\right)=r^\rho \exp\left(\int_1^r h(t)t^{-1}dt\right).$$

Since  $H_1(r)$  is a convex, increasing function of  $\log r$ , we deduce from (5.3) and (5.4) that

$$(5.5) \quad (\lambda(r))^2+r h'(r)\geq 0 \quad (r\in D).$$

First, we prove  $\{h(r)\}^+\equiv\max\{h(r), 0\}\rightarrow 0$  ( $r\rightarrow\infty$ ,  $r\in G$ ). Suppose that there exists a sequence  $\{r_n\}$  ( $\subset G$ )  $\uparrow\infty$  such that  $h(r_n)=\delta$  for some  $\delta>0$ . Since  $L_1(r)$  is a slowly varying function on  $G$ , (5.4) implies

$$(5.6) \quad \int_r^{\sigma r} h(t)t^{-1}dt\rightarrow 0 \quad (r\rightarrow\infty, r\in G, 0<\sigma<\infty).$$

Thus for any fixed  $\sigma>1$  there is an  $s_n\in(r_n, \sigma r_n)$  such that  $h(s_n)=\delta/2$  ( $n\geq n_0(\sigma)$ ).

Now, for each  $r_n$  ( $n\geq n_0$ ) we define  $r'_n$  by  $r'_n=\inf\{s>r_n; h(s)=\delta/2\}$ . By the continuity of  $h(r)$ , we easily see that  $h(r'_n)=\delta/2$  and  $h(r)>\delta/2$  ( $r_n\leq r<r'_n$ ). It follows from this and (5.6) that

$$(5.7) \quad r'_n/r_n\rightarrow 1 \quad (n\rightarrow\infty).$$

Using the mean value theorem to  $\lambda(r)$ , we deduce from (5.5) and (5.3) that

$$(5.8) \quad -\delta/2=\lambda(r'_n)-\lambda(r_n)=h(r'_n)-h(r_n)\geq -\{\lambda(r''_n)\}^2(r''_n)^{-1}(r'_n-r_n) \\ (r_n<r''_n<r'_n).$$

By (5.7) and (5.8),  $\lambda(r''_n)\rightarrow\infty$  ( $n\rightarrow\infty$ ), which implies

$$(5.9) \quad h(r''_n)>2\delta \quad (n\geq n_1(\delta)).$$

(5.9) and the fact that  $h(r'_n)=\delta/2$  yield the existence of  $u_n\in(r''_n, r'_n)$  satisfying  $h(u_n)=\delta$ . Here, define  $r_n^{(3)}$  by  $r_n^{(3)}=\sup\{u<r'_n; h(u)=\delta\}$ . Then it is easily seen that  $h(r_n^{(3)})=\delta$  and

$$(5.10) \quad \delta/2<h(r)<\delta \quad (r_n^{(3)}<r<r'_n; n\geq n_1(\delta)).$$

On the other hand, as we stated above, the mean value theorem gives the existence of  $r_n^{(4)}\in(r_n^{(3)}, r'_n)$  such that  $h(r_n^{(4)})>2\delta$  for  $n\geq n_1$ . This contradiction gives

$$(5.11) \quad \{h(r)\}^+\rightarrow 0 \quad (r\rightarrow\infty, r\in G).$$

Next, we prove

$$(5.12) \quad \{h(r)\}^-\equiv\max\{-h(r), 0\}\rightarrow 0 \quad (r\rightarrow\infty, r\in G).$$

Suppose that there exists a sequence  $\{R_n\}$  ( $\in G$ )  $\uparrow\infty$  such that  $h(R_n)=-\delta'$  for some  $\delta'>0$ . Using (5.6), we see that  $I_n\equiv\{s<R_n; h(s)=-\delta'/2\}$  is not empty

for  $n \geq n_2(\delta')$ . Then, if we put  $R'_n = \sup I_n$ ,  $h(R'_n) = -\delta'/2$  and  $R_n/R'_n \rightarrow 1$  ( $n \rightarrow \infty$ ). It follows from these and (5.5) that for some  $R''_n \in (R'_n, R_n)$

$$(5.13) \quad \{\lambda(R''_n)\}^2 > (\delta'/2)(R_n/R'_n - 1)^{-1} \rightarrow \infty \quad (n \rightarrow \infty).$$

Since  $\lambda(r) > 0$  ( $r > 1$ ),  $\lambda(R''_n) = \rho + h(R''_n) \rightarrow \infty$  ( $n \rightarrow \infty$ ) by (5.13). However, the definition of  $R'_n$  implies that  $h(r) < -\delta'/2$  for  $R'_n < r \leq R_n$ . This contradiction proves (5.12). Combining (5.11) and (5.12), we have the desired result.

**5.2. Completion of proof.** We write  $G = \bigcup_{n=1}^{\infty} [a_n, b_n]$  ( $b_n < a_{n+1}$ ,  $a_n \rightarrow \infty$ ,  $b_n/a_n \rightarrow \infty$ ), and put  $a'_n = \lambda_n a_n$ ,  $b'_n = b_n/\lambda_n$ , where  $\lambda_n = \min(a_n^{\delta_n}, (b_n/a_n)^{\delta_n})$  with a positive sequence  $\{\delta_n\}$  satisfying  $\delta_n (< 1/2) \rightarrow 0$ ,  $a_n^{\delta_n} \rightarrow \infty$ ,  $(b_n/a_n)^{\delta_n} \rightarrow \infty$  ( $n \rightarrow \infty$ ). Then  $G' = \bigcup_{n=1}^{\infty} [a'_n, b'_n]$  ( $\subset G$ ) is a very long set. Now, let  $\{r_m\} \subset G'$  be any increasing, unbounded sequence. We prove that  $\{r_m\}$  is a sequence of Pólya peaks of order  $\rho$  for  $H_1(r) + 1$ . To do this, we follow Bearnstein's procedure in [1, p. 94].

If  $h(t) = 0$  for all sufficiently large  $t \in G$ , this assertion is trivial. Otherwise,  $\delta(x) = \sup_{\substack{t \geq x \\ t \in G}} |h(e^t)|$  ( $h(u) \equiv \lambda(u) - \rho$ ) is strictly positive and nonincreasing for  $x \geq 0$ . Further, by Lemma 2,  $\delta(x) \rightarrow 0$  as  $x \rightarrow \infty$ . Define sequences  $\{B_m\}$  and  $\{b_m\}$  by

$$\log B_m = \int_{\log r_{m-1}}^{\log r_m} \delta(x)^{-1/2} dx,$$

$$\log b_m = -\min\left(\int_{\frac{1}{2}\log r_{m-1}}^{\frac{1}{2}\log r_m} \delta(x)^{-1/2} dx, \frac{1}{2}\log r_m\right).$$

It is easily verified that

$$\lim_{m \rightarrow \infty} b_m = 0, \quad \lim_{m \rightarrow \infty} B_m = \lim_{m \rightarrow \infty} b_m r_m = +\infty.$$

For each  $m$ , define  $n$  by  $a_n \leq r_m \leq b_n$ , then  $n \rightarrow \infty$  as  $m \rightarrow \infty$ . Assume that  $b_m r_m \leq r \leq B_m r_m$ ,  $a_n \leq r \leq b_n$ . Then

$$\begin{aligned} \left| \int_{r_m}^r \frac{h(u)}{u} du \right| &= \left| \int_{\log r_m}^{\log r} h(e^t) dt \right| \leq \left| \int_{\log r_m}^{\log r} \delta(t) dt \right| \\ &\leq \max\left(\int_{\log r_m}^{\log B_m r_m} \delta(t) dt, \int_{\log b_m r_m}^{\log r_m} \delta(t) dt\right) \\ &\leq \max((\log B_m) \delta(\log r_m), (-\log b_m) \delta(\log b_m r_m)) \\ &\leq \max\left(\frac{1}{\sqrt{\delta(\log r_m)}} \delta(\log r_m), \frac{1}{\sqrt{\delta(\frac{1}{2}\log r_m)}} \delta\left(\frac{1}{2}\log r_m\right)\right) \\ &= \sqrt{\delta\left(\frac{1}{2}\log r_m\right)} \equiv \log(1 + \varepsilon_m). \end{aligned}$$

Hence

$$\frac{H_1(r) + 1}{H_1(r_m) + 1} = (r/r_m)^\rho \exp\left(\int_{r_m}^r h(u) u^{-1} du\right) < (1 + \varepsilon_m)(r/r_m)^\rho$$

$$(b_m r_m \leq r \leq B_m r_m, a_n \leq r \leq b_n),$$

which is the defining inequality for Pólya peaks of order  $\rho$  for  $H_1(r)+1$ . However, since  $H(r)=H_1(r)+O(\log r)=(1+o(1))(H_1(r)+1)$  ( $r \rightarrow \infty$ ),  $\{r_m\}$  is also a sequence of Pólya peaks of order  $\rho$  for  $H(r)$ . This completes the proof of our principal lemma.

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