

A CHARACTERIZATION OF A COMPLEX PROJECTIVE SPACE BY THE SPECTRUM

BY SHUKICHI TANNO

0. Introduction

Let (M, g) be a compact m -dimensional Riemannian manifold and (S^m, g_0) be an m -sphere of constant curvature 1. We denote the spectrum of the Laplacian acting on functions on (M, g) by $\text{Spec}(M, g)$. If $m \leq 6$, $\text{Spec}(M, g) = \text{Spec}(S^m, g_0)$ implies that (M, g) is isometric to (S^m, g_0) (Berger [1], Tanno [8]). For $m \geq 7$ it is an open question if (S^m, g_0) is characterized by the spectrum. In [9] we proved the following.

THEOREM A (Tanno [9]). *Assume that $\text{Spec}(M, g) = \text{Spec}(S^m, g_0)$. If g is sufficiently close to constant curvature metric, then (M, g) is isometric to (S^m, g_0) .*

In this paper we give the Kähler version of Theorem A. Let (M, J, g) be a compact Kählerian manifold of dimension $m=2n$, and (CP^n, J_0, g_0) be a complex projective space with the Fubini-Study metric of constant holomorphic sectional curvature 4. Then, we get the following. (Cf. PROPOSITION 2.1.)

THEOREM B. *Assume that $\text{Spec}(M, J, g) = \text{Spec}(CP^n, J_0, g_0)$. If g is sufficiently close to constant holomorphic sectional curvature metric, then (M, J, g) is holomorphically isometric to (CP^n, J_0, g_0) .*

As for isospectral deformations of flat metrics, see [4], [5], and for inverse spectral results for negatively curved manifolds, see [2], [3].

1. Preliminaries

Let (M, J, g) be a Kählerian manifold, where $J=(J_j^i)$ denotes the complex structure tensor and $g=(g_{i\bar{j}})$ a Kähler metric. By $R=(R_{j\bar{k}i\bar{l}})$, $\rho=(R_{j\bar{l}})$ and S we denote the Riemannian curvature tensor, the Ricci curvature tensor and the scalar curvature of (M, J, g) , respectively. We put

$$R_{i\bar{j}}^* = R_{i\bar{r}r} J_j^{\bar{r}}.$$

Received December 5, 1980

Then we have the following classical relations:

$$\begin{aligned} 2R_{ij}^* &= R_{ijrs}J^{rs} = 2R_{irjs}J^{rs}, \\ R_{ijkl} &= J_i^r J_j^s R_{rskl}, \quad J_\tau^i R_j^r{}_{kl} = J_j^r R_\tau^i{}_{kl}, \\ R_{rs} J_i^r J_j^s &= R_{ij}, \quad R_{rs}^* J_i^r J_j^s = R_{ij}^*, \\ R_{ij}^* J^{ij} &= S, \quad R_{ij}^* R^{*ij} = R_{ij} R^{ij}. \end{aligned}$$

The Bochner curvature tensor $B = (B_{ijkl}^j)$ is by definition

$$\begin{aligned} B_{ijkl} &= R_{ijkl} - (g_{ik}R_{jl} - g_{il}R_{jk} + R_{ik}g_{jl} - R_{il}g_{jk} + J_{ik}R_{jl}^* - J_{il}R_{jk}^* \\ &\quad + R_{ik}^*J_{jl} - R_{il}^*J_{jk} + 2R_{ij}^*J_{kl} + 2J_{ij}R_{kl}^*)/(m+4) \\ &\quad + S(g_{ik}g_{jl} - g_{il}g_{jk} + J_{ik}J_{jl} - J_{il}J_{jk} + 2J_{ij}J_{kl})/(m+2)(m+4), \end{aligned}$$

where $m = 2n = \dim M$. Easily we get

$$\begin{aligned} g^{ik}B_{ijkl} &= 0, \quad J^{kl}B_{ijkl} = 0, \\ J^{ik}B_{ijkl} &= 0. \end{aligned}$$

We use the following notations:

$$\begin{aligned} (P, Q) &= P_{ijkl}Q^{ijkl}, \quad |P|^2 = (P, P), \\ (P, Q, T) &= P^{ij}{}_{kl}Q^{kl}{}_{rs}T^{rs}{}_{ij}, \\ (U; Q, T) &= U^{rs}Q_{rjkl}T_s{}^{ijkl}, \\ (U; V; T) &= U^{ik}V^{jl}T_{ijkl}, \\ (UVW) &= U^i{}_j V^j{}_k W^k{}_i, \end{aligned}$$

where P, Q , and T are tensor fields of type $(1, 3)$; and U, V , and W are tensor fields of type $(1, 1)$.

In the following calculations the methods are similar to ones in [9]. We obtain

$$\begin{aligned} (1.1) \quad (R, R, R) &= (R, B, B) + 8(\rho; R, B)/(n+2) + 8(\rho; \rho; B)/(n+2) \\ &\quad - 2S(R, B)/(n+1)(n+2) + 24(\rho\rho\rho)/(n+2)^2 \\ &\quad + 4(n+6)(\rho; \rho; R)/(n+2)^2 + 2(n-9)S(\rho, \rho)/(n+1)(n+2)^2 \\ &\quad - (n-1)S^3/(n+1)^2(n+2)^2, \end{aligned}$$

$$(1.2) \quad (\rho; R, B) = (\rho; B, B) + 4(\rho; \rho; B)/(n+2),$$

$$(1.3) \quad (\rho; R, R) = (\rho; R, B) + 4[(\rho\rho\rho) + (\rho; \rho; R)] / (n+2) - 2S(\rho, \rho) / (n+1)(n+2),$$

$$(1.4) \quad (R, B) = (B, B),$$

$$(1.5) \quad (\rho; \rho; R) = (\rho; \rho; B) + (2n+1)S(\rho, \rho) / 2(n+1)(n+2) + 2(\rho\rho\rho) / (n+2) - S^2 / 4(n+1)(n+2),$$

where we have used the following

$$B_{ijk} R^{*ij} R^{*kl} = 2(\rho; \rho; B)$$

etc.

Next we assume that M is compact. Let λ_i be the i -th eigenvalue of the Laplacian acting on functions on (M, g) and let $\text{Spec}(M, g)$ denote the spectrum of (M, g) . Then the asymptotic expansion by Minakshisundaram-Pleijel is

$$\sum e^{-\lambda_i t} \sim (4\pi t)^{-n} \sum_{\beta=0} a_{\beta} t^{\beta} \quad (t \downarrow 0),$$

where $a_{\beta} = a_{\beta}(M, g)$ and

$$(1.6) \quad a_0 = \text{Vol}(M, g),$$

$$(1.7) \quad a_1 = (1/6) \int_M S,$$

$$(1.8) \quad a_2 = (1/360) \int_M [2|R|^2 - 2|\rho|^2 + 5S^2],$$

$$(1.9) \quad a_3 = (1/6!) \int_M [-Z + 2S|R|^2/3 - 2S|\rho|^2/3 + 5S^3/9 + A],$$

where we have put

$$Z = |\nabla R|^2/9 + 26|\nabla\rho|^2/63 + 142|\nabla S|^2/63,$$

$$A = 8(R, R, R)/21 - 8(\rho; R, R)/63 + 20(\rho; \rho; R)/63 - 4(\rho\rho\rho)/7.$$

We put

$$G_{jl} = R_{jl} - Sg_{jl}/2n.$$

Then, $(g, G) = 0$ and

$$(1.10) \quad |G|^2 = |\rho|^2 - S^2/2n,$$

$$(1.11) \quad (\rho; \rho; B) = (G; G; B),$$

$$(1.12) \quad (\rho\rho\rho) = (\rho GG) + S|G|^2/n + S^3/4n^2.$$

Since

$$|B|^2 = |R|^2 - 8|\rho|^2/(n+2) + 2S^2/(n+1)(n+2),$$

we obtain (cf. [8])

$$(1.13) \quad 2|R|^2 - 2|\rho|^2 + 5S^2 = 2|B|^2 + 2(6-n)|G|^2/(n+2) \\ + (5n^2 + 4n + 3)S^2/n(n+1).$$

By (1.1)~(1.5), we obtain

$$(1.14) \quad A = 8(R, B, B)/21 + 8(22-n)(\rho; B, B)/63(n+2) \\ - 16S|B|^2/21(n+1)(n+2) + p \cdot (\rho; \rho; B) \\ + q \cdot (\rho\rho\rho) + uS|\rho|^2 + vS^3,$$

where

$$(1.15) \quad p = 4(5n^2 + 76n + 420)/63(n+2)^2,$$

$$(1.16) \quad q = 8(n^2 + 92n + 276)/63(n+2)^3 - 4/7 \\ = -4(9n^3 + 52n^2 - 76n - 480)/63(n+2)^3,$$

$$(1.17) \quad u = 2(10n^3 + 109n^2 + 196n - 252)/63(n+1)(n+2)^3,$$

$$(1.18) \quad v = -(5n^3 + 65n^2 + 208n + 100)/63(n+1)^2(n+2)^3.$$

LEMMA 1.1. a_3 and A are expressed as follows:

$$(1.19) \quad a_3 = (1/6!) \int_M [-Z + 2S|B|^2/3 + 2(6-n)S|G|^2/3(n+2) \\ + (5/9 - (n-3)/3n(n+1))S^3 + A],$$

$$(1.20) \quad A = 8(R, B, B)/21 + 8(22-n)(\rho; B, B)/63(n+2) \\ - 16S|B|^2/21(n+1)(n+2) + p \cdot (G; G; B) \\ + q \cdot (\rho GG) + (q/n + u)S|G|^2 + (q/4n^2 + u/2n + v)S^3.$$

Proof. Eliminating $S(|R|^2 - |\rho|^2)$ from (1.9) by (1.13) we get (1.19). By (1.10)~(1.12) and (1.14) we obtain (1.20). Q. E. D.

A Kählerian manifold (M, J, g) is of constant holomorphic sectional curvature 4, if and only if

$$(1.21) \quad R_{ijkl} = g_{ik}g_{jl} - g_{il}g_{jk} + J_{ik}J_{jl} - J_{il}J_{jk} + 2J_{ij}J_{kl}.$$

We define E as the space of all $(0, 4)$ -tensor fields T satisfying the following conditions;

$$T_{ijkl} = T_{klij}, \quad T_{ijkl} = -T_{jikl}, \\ T_{ijkl}J_r^iJ_s^j = T_{rskl}, \quad J^{ij}T_{ijkl} = 0.$$

The Bochner curvature tensor B belongs to E .

If (M, J, g) is of constant holomorphic sectional curvature 4, then we obtain

$$(R, T, T) = 4(T, T)$$

for any T in E . This follows from (1.21) and the definition of E .

A Kählerian manifold (M, J, g) is of constant holomorphic sectional curvature, if and only if $B = G = 0$.

2. Kähler metrics close to constant holomorphic sectional curvature metric

Let (CP^n, J_0, g_0) be a complex projective space with the Fubini-Study metric of constant holomorphic sectional curvature 4. Then the scalar curvature S_0 is equal to $4n(n+1)$. We put $a_\beta^0 = a_\beta(CP^n, J_0, g_0)$

PROPOSITION 2.1. *There exists a positive number $\delta = \delta(n) < 1$ with the following property: Assume that a compact Kählerian manifold (M, J, g) , $\dim M = 2n$, satisfies the following conditions;*

- (i) $(R, T, T) \leq 4(1 + \delta)(T, T)$ for $T \in E$,
- (ii) $2(n+1)(1 - \delta) < \text{Ricci curvature} < 2(n+1)(1 + \delta)$,
- (iii) $|B| < \delta$,
- (iv) $a_\beta = a_\beta^0$, $\beta = 0, 1, 2, 3$.

Then (M, J, g) is holomorphically isometric to (CP^n, J_0, g_0) .

If $n \leq 6$, $\text{Spec}(M, J, g) = \text{Spec}(CP^n, J_0, g_0)$ implies that (M, J, g) is holomorphically isometric to (CP^n, J_0, g_0) (cf. [8]). Therefore in the proof of the Proposition 2.1 we can assume that $n \geq 7$. We assume that (M, J, g) satisfies (i)~(iv) and show that δ can be determined so that (M, J, g) is holomorphically isometric to (CP^n, J_0, g_0) . $a_0 = a_0^0$ means that $\text{Vol}(M, J, g) = \text{Vol}(CP^n, J_0, g_0)$. $a_1 = a_1^0$ implies that $\int S = 4n(n+1) \text{Vol}(CP^n, J_0, g_0)$.

LEMMA 2.2. *We have $S > 0$ and*

$$(2.1) \quad |S - 4n(n+1)| < 4n(n+1)\delta,$$

$$(2.2) \quad \int_M [S^2 - S_0^2] \geq 0,$$

$$(2.3) \quad 0 \leq \int_M [S^3 - S_0^3] \leq (3 + \delta)4n(n+1) \int_M [S^2 - S_0^2].$$

Proof. $S > 0$ and (2.1) follow from (ii). As for (2.2) and (2.3) see Lemma 3 and Lemma 6 in [9]. Q. E. D.

By (1.13) and $a_2 = a_2^0$ we get

$$(2.4) \quad 2(n-6) \int_{\mathcal{M}} |G|^2 = 2(n+2) \int_{\mathcal{M}} |B|^2 + [(n+2)(5n^2+4n+3)/n(n+1)] \int_{\mathcal{M}} [S^2 - S_0^2].$$

By Lemma 1.1 and $a_3 = a_3^0$ we obtain

$$\begin{aligned} D : &= \int_{\mathcal{M}} [-Z + 8(R, B, B)/21 + 8(22-n)(\rho; B, B)/63(n+2) \\ &\quad + [2/3 - 16/21(n+1)(n+2)]S|B|^2 + p \cdot (G; G; B) \\ &\quad + q \cdot (\rho GG) + [q/n + u - 2(n-6)/3(n+2)]S|G|^2 \\ &\quad + [q/4n^2 + u/2n + v + 5/9 - (n-3)/3n(n+1)](S^3 - S_0^3) \\ &= 0. \end{aligned}$$

LEMMA 2.3. *We put $\mu = 1$ if $22 > n$ and $\mu = -1$ if $22 < n$. Then*

$$(2.5) \quad (22-n)(\rho; B, B) \leq (22-n)2(n+1)(1+\mu\delta)|B|^2,$$

$$(2.6) \quad 2/3 - 16/21(n+1)(n+2) > 0, \quad p > 0, \quad v < 0,$$

$$(2.7) \quad q/n + u - 2(n-6)/3(n+2) < 0,$$

$$(2.8) \quad q/4n^2 + u/2n + v + 5/9 - (n-3)/3n(n+1) > 0,$$

$$(2.9) \quad q \cdot (\rho GG) \leq 2q(n+1)(1-\delta)|G|^2,$$

$$(2.10) \quad (G; G; B) \leq \delta|G|^2.$$

Proof. (2.5) follows from (ii). (2.6) is trivial. To prove (2.8) first we get

$$(2.11) \quad q/4n^2 + u/2n + v = -4(n^2 - 2n - 15)/63n^2(n+1)^2.$$

Then (2.8) is clear. (2.9) follows from (ii). (2.10) follows from (iii) (cf. Lemma 7 in [9]). Q. E. D.

Applying (i), (ii), Lemma 2.2 and Lemma 2.3 to D we get

$$(2.12) \quad \begin{aligned} D \leq & \int_{\mathcal{M}} [-Z + 32(1+\delta)|B|^2/21 \\ & + 16(22-n)(n+1)(1+\mu\delta)|B|^2/63(n+2) \\ & + [2/3 - 16/21(n+1)(n+2)]4n(n+1)(1+\delta)|B|^2 \\ & + p\delta|G|^2 + 2(n+1)(1-\delta)q|G|^2 \\ & + [q/n + u - 2(n-6)/3(n+2)]4n(n+1)(1-\delta)|G|^2 \\ & + [q/4n^2 + u/2n + v + 5/9 - (n-3)/3n(n+1)](3+\delta)4n(n+1)(S^2 - S_0^2)]. \end{aligned}$$

Next applying (2.4) to $|G|^2$ in (2.12) we obtain

$$(2.13) \quad D \leq \int_M [-Z - (U - P\delta)|B|^2 - (V - Q\delta)(S^2 - S_0^2)],$$

where we have put

$$\begin{aligned} U &= -32/21 - 16(22-n)(n+1)/63(n+2) + 64n/21(n+2) \\ &\quad - 2(n+1)(n+2)(3q+2nu)/(n-6), \\ P &= 32/21 + 16(22-n)(n+1)\mu/63(n+2) \\ &\quad + [4/3 - 16/21(n+1)(n+2)]4n(n+1) \\ &\quad + (n+1)(n+2)(p/(n+1) - 6q - 4nu)/(n-6), \\ V &= -12n(n+1)[q/4n^2 + u/2n + v + 5/9 - (n-3)/3n(n+1)] \\ &\quad - (n+2)(5n^2 + 4n + 3)[3q + 2nu - 4n(n-6)/3(n+2)]/n(n-6), \\ Q &= 4n(n+1)[q/4n^2 + u/2n + v + 5/9 - (n-3)/3n(n+1)] \\ &\quad + (n+2)(5n^2 + 4n + 3)[p/(n+1) - 6q - 4nu + 8n(n-6)/3(n+2)]/2n(n-6). \end{aligned}$$

By calculations we get

$$(2.14) \quad 3q + 2nu = -(68n^2 + 24n - 1440)/63(n+1)(n+2),$$

$$(2.15) \quad U = 2(76n^4 + 144n^3 - 960n^2 - 3136n - 2496)/63(n+2)^2(n-6).$$

Therefore we see that U is positive. Since $q/4n^2 + u/2n + v$ is negative by (2.11) and $3q + 2nu$ is negative by (2.14), we get

$$\begin{aligned} V &> -12n(n+1)[5/9 - (n-3)/3n(n+1)] + 4(5n^2 + 4n + 3)/3 \\ &= 8(n-3)/3. \end{aligned}$$

Therefore V is also positive. Since P and Q are positive, we can define δ by

$$(2.16) \quad \delta = \min(U/P, V/Q, 99/100).$$

Proof of Proposition 2.1. By the definition of δ , (2.13) shows that $Z = B = 0$ and $S = S_0$. Furthermore (2.4) shows that $G = 0$, and hence (M, J, g) is of constant holomorphic sectional curvature 4. So (M, J, g) is holomorphically isometric to (CP^n, J_0, g_0) . Q. E. D.

REFERENCES

- [1] M. BERGER, Le spectre des variétés riemanniennes, Rev. Roum. Math. Pure Appl., **13** (1969), 915-931.

- [2] V. GUILLEMIN AND D. KAZHDAN, Some inverse spectral results for negatively curved 2-manifolds, *Topology*, **19** (1980), 301-312.
- [3] V. GUILLEMIN AND D. KAZHDAN, Some inverse spectral results for negatively curved n -manifolds, *Proc. Symp. Pure Math.* **36** (1980), 135-180.
- [4] R. KUWABARA, On isospectral deformations of Riemannian metrics, *Compositio Math.*, **40** (1980), 319-324.
- [5] R. KUWABARA, On the characterization of flat metrics by the spectrum, to appear.
- [6] H. F. MCKEAN AND I. M. SINGER, Curvature and the eigenvalues of the Laplacian, *Journ. Diff. Geom.*, **1** (1967), 43-69.
- [7] T. SAKAI, On eigenvalues of Laplacian and curvature of Riemannian manifold, *Tôhoku Math. Journ.*, **23** (1971), 589-603.
- [8] S. TANNO, Eigenvalues of the Laplacian of Riemannian manifolds, *Tôhoku Math. Journ.*, **25** (1973), 391-403.
- [9] S. TANNO, A characterization of the canonical spheres by the spectrum, *Math. Zeit.*, **175** (1980), 267-274.

DEPARTMENT OF MATHEMATICS
TOKYO INSTITUTE OF TECHNOLOGY