

## ON THE PICK-NEVANLINNA PROBLEM

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### Introduction

Let there be given a finite number of points  $z_j$  in the unit disc  $\Delta$  and assigned data  $w_j$ ,  $|w_j| < 1$  at  $z_j$ ,  $j=1, \dots, N$ . The classical Pick-Nevanlinna (interpolation) problem asks whether there exist functions analytic, bounded by unity in  $\Delta$  and satisfying  $f(z_j)=w_j$ ,  $j=1, \dots, N$  (Pick [16], Nevanlinna [14], [15]). When this class of functions is found to be non-void, the set  $\{f(z_0)\}$ , called the "Wertevorrat" should be investigated [15] and the problem can be transformed into a linear extremal problem for the functional  $\operatorname{Re}(e^{i\theta} f(z_0))$  under the given data. The problem was generalized for multiply-connected domains and the linear extremal problem was solved by Garabedian [8]. He formulated a dual extremal problem for the Schwarz lemma there, which has been a useful tool for extremal problems.

Duality in a problem with side conditions as in the Pick-Nevanlinna problem was not known for a long time until Havinson [9] found a dual extremal problem for the general Carleman-Milloux problem. Recently a formulation of dual extremal problem for the general Pick-Nevanlinna problem was given by Gamelin [6], [7]. More recently Hejhal [12] has shown how the method of dual extremal problems can be applied to both problems.

In the present paper we are concerned with the Pick-Nevanlinna problem. We treat the problem under the formulation of Carathéodory-Fejér [3] i. e. minimize the norm of  $f$  among the functions with side conditions (e. g.  $f(z_j)=w_j$ ,  $j=1, \dots, N$ ). This formulation will allow us a symmetric treatment of the problem. By using a well-known duality in Banach spaces, the problem is reduced to a linear extremal problem for a single functional, which was investigated by Hejhal [11] a great deal. It should be noted that another duality relation was used by Lax [13] many years ago and that it was a fundamental technique for the case of regular regions in Hejhal [11]. Our duality, a counterpart of theirs, provides us with a conjugate differential conveniently. We also note that linear extremal problems in Gamelin [6], [7] and Hejhal [12] can be reduced to our formulation.

In §1 we shall show how the Pick-Nevanlinna problem under Carathéodory-Fejér's formulation is reduced to a linear extremal problem for a single functional. The relationship with Gamelin's formulations will be discussed there. We also show the uniqueness of extremal functions in the space of bounded functions.

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In §2, conjugate differentials will be obtained from the duality relation on a compact bordered Riemann surface. Here Royden's result [18], an extension of  $F$  and  $M$  Riesz's theorem, is useful.

§3 will be devoted to discussion of uniqueness of the extremal for the Pick-Nevanlinna problem in a more general situation. We shall need a sort of Cauchy kernel for differentials in order to apply Hejhal's method to a subdomain of a compact Riemann surface which does not belong to  $O_{AB}$  [21].

In §4 we shall treat the classical Pick-Nevanlinna problem for meromorphic or multiplicative functions. To this case, while the problem is transformed into the single-valued case, Hejhal's result [11] cannot be applied directly.

**§1. General principles, interpolation for bounded functions.**

1. *Problems.* Let  $\Omega$  be a subdomain of a compact Riemann surface, which does not belong to  $O_{AB}$  and let  $X(\Omega)$  be a Banach space of functions  $f$  analytic in  $\Omega$  with norms  $\|f\|$ . A general Pick-Nevanlinna interpolation problem for a finite number of data will be formulated in the following way: let there be given a finite number of linear functionals  $L_j$ , continuous with respect to the supnorm on compact subsets  $K_j$  of  $\Omega$ , each of which does not separate the boundary  $\partial\Omega$  of  $\Omega$  and the same number of data  $a_j, j=1, \dots, N$ . Do there exist functions of  $X(\Omega)$  which satisfy  $\|f\| \leq 1$  and  $L_j(f) = a_j, j=1, \dots, N$ ? In the classical Pick-Nevanlinna problem we just consider the Banach space  $AB(\Omega)$  of bounded analytic functions  $f$ , with supnorm  $\|f\| = \sup |f(z)|, z \in \Omega$  and take the values of  $f$  or more generally the values of successive derivatives at a finite number of points  $z_j, j=1, \dots, N$  as the data of the linear functionals. Here, for simplicity, we used  $z_j$  as a fixed value of a local parameter at a given point. The latter condition is equivalent to giving Taylor sections

$$(1) \quad D_j = \sum_{\nu=0}^{N_j} a_{\nu} (z - z_j)^{\nu} \text{ at } z_j, j=1, \dots, N.$$

Quite recently Heins [10] proved uniqueness of the extremal function  $f_0$  which maximizes  $\text{Re}(e^{i\theta} f(z_0))$  among the class of analytic functions  $f$  bounded by unity and with given Taylor sections (1) at  $z_j, z_j \neq z_0, j=1, \dots, N$  on a compact bordered Riemann surface  $\Omega$ . He also proved the extremal  $f_0$  maps  $\Omega$  onto a finite sheeted covering of the unit disc and gave a bound of the number of sheets called the *Garabedian bound*.

In No. 4 of this section we show uniqueness for extremal functions for the general Pick-Nevanlinna problems for the class of bounded functions on a subdomain  $\Omega$  of a compact Riemann surface. Other properties such as the Garabedian bound will be discussed in §2.

2. *Fundamental lemma.* We state a well-known lemma, a duality result in a Banach space  $X$ .

LEMMA 1. *Let  $X$  be a Banach space with norm  $\| \cdot \|$ . Let  $S$  be a closed subspace of  $X$ . Let  $S^\perp$  denote the annihilator of  $S$ , that is, the set of all con-*

tinuous linear functionals  $\phi$  such that  $\phi(x)=0$  for  $x \in S$ . Then for each fixed  $x \in X$ ,

$$\max_{\phi \in S^\perp, \|\phi\|=1} |\phi(x)| = \inf_{y \in S} \|x+y\|.$$

Here "max" indicates that the supremum is attained.

For a proof the reader is referred to Duren [4] p. 111.

3. *Relation to other formulations.* First of all we see that our Pick-Nevanlinna problem with finite data can be reduced to that with a single datum. We state it in the most general form.

PROPOSITION 1. *Let  $X$  be a Banach space of functions analytic in a open Riemann surface  $\Omega$  normed by  $\|\cdot\|$ . Let  $\{L_\nu\}_{\nu=1}^N$  be continuous linear functionals on  $X$ . Suppose that there exists an extremal function  $f_0$  which minimizes the norm  $\|f\|$  in the family  $\mathfrak{F}$  of functions  $f \in X$  satisfying  $L_\nu(f) = a_\nu$ ,  $\nu=1, \dots, N$ . Then there exists a linear combination*

$$\psi_0 = \sum_{\nu=1}^N c_\nu L_\nu$$

for which the  $f_0$  is an extremal function of the Pick-Nevanlinna problem with a single datum  $L_0(f) = \sum_{\nu=1}^N c_\nu a_\nu$ .

*Proof.* Let  $S$  be a closed subspace of  $X$  defined by  $S = \{f \in X, L_\nu(f) = 0, \nu=1, \dots, N\}$ .

By Lemma 1, we have

$$\max_{\|\phi\|=1, \phi \in S^\perp} |\phi(f_0)| = |\phi_0(f_0)| = \|f_0\|, \phi_0 \in S^\perp.$$

It is easy to show that  $\phi_0 \in S^\perp$  implies that  $\phi_0$  is a linear combination of  $\{L_\nu\}_{\nu=1}^N$  i. e.

$$\phi_0 = \sum_{\nu=1}^N c_\nu L_\nu.$$

Since  $\|\phi_0\|=1$ , for every  $f \in \mathfrak{F}$  we have  $\|f\| \geq |\phi_0(f)| = |\sum_{\nu=1}^N c_\nu L_\nu(f)| = |\sum_{\nu=1}^N c_\nu a_\nu| = \|f_0\|$ .

We may suppose that  $\phi_0(f_0) = |\phi_0(f_0)|$  multiplying by a constant. This means that the  $f_0$  is extremal for the Pick-Nevanlinna problem with a single datum  $\phi_0(f) = \sum_{\nu=1}^N c_\nu a_\nu$ .

We consider the Garabedian-Hejhal-Gamelin formulation. Let  $B$  be the unit ball in  $X$ . Consider a linear extremal problem: maximize  $|L_0(f)|$ ,  $f \in B$  under the side condition  $L_\nu(f) = a_\nu$ ,  $\nu=1, \dots, N$ , where  $L_0$  is a given continuous linear functional which cannot be expressed by a linear combinations of  $\{L_\nu\}_{\nu=1}^N$ . Suppose that there exists an extremal function  $f_0$  for the linear extremal problem. Then the relation to the Pick-Nevanlinna problem is given by

PROPOSITION 2. *The extremal  $f_0$  is an extremal function for the Pick-Nevanlinna problem with  $N+1$  data  $L_\nu(f)=a_\nu, \nu=1, \dots, N$  and  $L_0(f)=L_0(f_0)=a_0$ .*

*Proof.* If  $f_0$  were not extremal for the Pick-Nevanlinna problem, there would be a function  $f_1$  with the same data and satisfying  $\|f_1\| < \|f_0\|$ . Since  $L_0$  is independent of  $\{L_\nu\}_{\nu=1}^N$ , there exists a function  $h \in X$  such that  $L_\nu(h)=0, \nu=1, \dots, N$  and  $L_0(h) \neq 0$ . Then  $f_1 + \varepsilon h \in B$  for sufficiently small  $\varepsilon$  and we get a contradiction that  $|L_0(f_1 + \varepsilon h)| > |L_0(f_0)|$  for a suitable  $\varepsilon$ .

4. *A uniqueness theorem.* In this section we consider the Banach space  $AB(\Omega)$  of bounded functions  $f$  analytic in an arbitrary plane domain  $\Omega \in O_{AB}$  normed by the supnorm  $\|f\| = \sup |f(z)|, z \in \Omega$ . Let  $\{L_\nu\}_{\nu=1}^N$  be linear functionals which are continuous with respect to the supnorm on a compact subset  $K$  of  $\Omega$ .

$$\|f\|_K = \sup_{z \in K} |f(z)|.$$

Let  $\mathfrak{F}$  be the family of functions  $f$  satisfying

$$L_\nu(f) = a_\nu, \nu = 1, \dots, N.$$

To avoid a trivial case, assume that  $\inf \|f\|, f \in \mathfrak{F}$ , is positive. There exists a minimal sequence  $\{f_n\}_{n=1}^\infty$  such that

$$\|f_n\| \longrightarrow \inf_{f \in \mathfrak{F}} \|f\| = M_0 \quad (n \longrightarrow \infty).$$

Since  $\{f_n\}$  forms a normal family, we may suppose that  $f_n \rightarrow f_0$  on every compact subset of  $\Omega$ .  $\liminf \|f_n\| \geq \|f_0\|$ . By continuity with respect to  $\|f\|_K, f_0 \in \mathfrak{F}$ . Hence  $f_0$  is an extremal function.

By using Fisher's method [5], we show uniqueness of the extremal function  $f_0$ .

THEOREM 1. *The extremal function  $f_0$  is unique.*

*Proof.* Suppose that there were another extremal function  $f_0^*$ . Set

$$g = (f_0 + f_0^*)/2, \quad h = (f_0 - f_0^*)/2.$$

Then we have

$$|g|^2 + |h|^2 = \frac{1}{2} (|f_0|^2 + |f_0^*|^2) \leq M_0^2.$$

and

$$|g| \leq M_0 - \frac{1}{2} \frac{|h|^2}{M_0}$$

Since  $g$  is an extremal function for the Pick-Nevanlinna problem with data  $L_\nu(f) = a_\nu, \nu = 1, \dots, N$ , by Proposition 1,  $g$  is an extremal function for the Pick-Nevanlinna problem with a datum  $\phi_0(f) = \sum_{\nu=1}^N c_\nu a_\nu$ . Since the problem is conformally invariant, we may assume  $\infty \in \Omega$ .

Let  $\{z_\nu\}_{\nu=1}^m$  be the totality of zero points of  $h^2$  on  $K$  counting multiplicity. Then

$$H(z) = \frac{\eta}{2} \frac{h^2}{M_0} \prod_{\nu=1}^m \frac{1}{(z-z_\nu)} \quad \text{with} \quad \left| \eta \prod_{\nu=1}^m \frac{1}{\zeta-z_\nu} \right| \leq 1, \quad \zeta \in \partial\Omega.$$

satisfies

$$|g(z)| + |H(z)| \leq M_0, \quad z \in \Omega$$

and

$$H(z) \neq 0, \quad z \in K.$$

By making use of a variation  $g + \varepsilon H$ ,  $|\varepsilon| = 1$ , we have  $\phi_0(H) = 0$ . Since  $|Hf/\|f\|| \leq |H|$ , we get  $\phi_0(Hf) = 0$ , for  $f \in AB(\Omega)$ .

We want to use Bishop's approximation theorem [2] p. 48. Every domain  $\Omega \in O_{AB}$  has a minimal prolongation for which every  $f \in AB(\Omega)$  can be analytically extended onto the prolongation (Rudin [20]). We denote it by the same  $\Omega$ . By adding relatively compact components of  $\Omega - K$  to  $K$ , we may assume that the ideal boundary of every connected component of  $\Omega - K$  does not belong to the class  $N_B$  (for definitions see Sario-Oikawa [21]). Then from Bishop's approximation theorem cited above, every analytic function on the compact set  $K$  is approximated by functions of  $AB(\Omega)$ . For an arbitrary function  $f \in AB(\Omega)$ ,  $f/H$  is analytic on  $K$ . Hence there exists a function  $f_\varepsilon$  such that

$$\|f/H - f_\varepsilon\|_K < \varepsilon.$$

Since  $\phi_0(Hf_\varepsilon) = 0$ , we have  $\phi_0(f) = 0$  which is a contradiction.

## § 2. Conjugate differentials.

5. *Duality relation.* In this section we consider a compact bordered Riemann surface  $\Omega$ . Let  $\chi(z)$  be a real valued function harmonic in  $\Omega$  and continuous on  $\bar{\Omega}$ . We define a norm with respect to  $\chi$  for analytic functions  $f$  by

$$(2) \quad \chi\text{-}\|f\| = \sup_{z \in \bar{\Omega}} |f(z)e^{-\chi(z)}|.$$

We denote by  $X$  the Banach space of analytic functions  $f$  on  $\Omega$  satisfying  $\chi\text{-}\|f\| < \infty$ . Let  $\{L_\nu\}_{\nu=1}^N$  be linear functionals on  $X$  continuous with respect to the supnorm  $\|\cdot\|_K$  on a compact set  $K$  on  $\Omega$ . We consider the Pick-Nevalinna problem under the conditions  $L_\nu(f) = a_\nu$ ,  $\nu = 1, \dots, N$ .

Since  $L_\nu$  is defined on a linear subspace  $X$  of the space  $C(K)$  of continuous functions on  $K$ , by the Hahn-Banach extension theorem it can be regarded as a continuous linear functional on  $C(K)$ . By the Riesz representation theorem there exists a finite Borel measure  $d\mu_\nu$  supported by  $K$  and such that

$$L_\nu(f) = \int_K f d\mu_\nu, \quad f \in C(K).$$

Let  $g(z, \zeta)$  be the Green's function of  $\Omega$ . For simplicity, we use the local parameters  $z, \zeta$  as the points of  $\Omega$  in this section. We define a transform of  $L_\nu$  by

$$(3) \quad l_\nu(z)dz = \frac{i}{\pi} \left( -\frac{\partial}{\partial z} \int_K g(z, \zeta) d\mu_\nu(\zeta) \right) dz, \quad z \in K,$$

$\frac{\partial}{\partial z}$  being  $\frac{1}{2} \left( \frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right)$ ,  $z = x + iy$ . Then we have

$$(4) \quad \int_{\partial\Omega} f(z) l_\nu(z) dz = L_\nu(f) \quad \text{for every } f \in X.$$

Here  $f(z)$  denotes the Fatou boundary value of  $f$  on  $\partial\Omega$ . Note that  $f$  is bounded on  $\Omega$ .

Let  $\mathfrak{F}$  be the family of functions  $f \in X$  satisfying  $L_\nu(f) = a_\nu$ ,  $\nu = 1, \dots, N$ . We state

**THEOREM 2.** *Suppose that  $K$  does not separate the boundary of  $\Omega$ . If*

$$M_0 = \inf_{f \in \mathfrak{F}} \chi - \|f\| > 0,$$

*then there exists a unique extremal function  $f_0 \in \mathfrak{F}$  satisfying  $\chi - \|f_0\| = M_0$ . Moreover, in a simply-connected neighborhood  $U$  of any boundary point of  $\Omega$ , the function  $f_0(z)e^{-(\chi(z) + i\chi^*(z))}$  can be analytically continued onto  $\partial\Omega \cap U$  and  $|f_0 e^{-\chi}|$  is identically equal to  $M_0$  on  $\partial\Omega$ . Here  $\chi^*$  is a conjugate harmonic function of  $\chi(z)$  in  $U$ .*

6. *Proof of Theorem 2.* As in No. 4, a routine use of the normal family arguments proves existence of an extremal function  $f_0$  for which  $\chi - \|f_0\| = M_0$ . Set

$$S = \{f \mid L_\nu(f) = 0, \nu = 1, \dots, N, f \in X\}.$$

From Lemma 1, we can deduce that there exists a  $\phi_0$  for which  $\phi_0(f_0) = \chi - \|f_0\|$  and

$$\max_{\phi \in S^\perp, \|\phi\|=1} |\phi(f_0)| = \phi_0(f_0), \quad \|\phi_0\| = 1, \quad \phi_0 \in S^\perp.$$

Let  $\bar{A}(\Omega)$  denote the class of functions analytic in  $\Omega$  and continuous on  $\bar{\Omega}$ . Clearly  $\bar{A}(\Omega)$  is a linear subspace of  $X$ . When we consider the restriction of  $\phi_0$  to  $\bar{A}(\Omega)$ , its norm  $\|\phi_0\|_{\bar{A}(\Omega)}$  never exceeds one. Transforming  $\bar{A}(\Omega)$  to  $\chi - \bar{A}(\Omega) = \{\chi - f \mid \chi - f = e^{-\chi} f, f \in \bar{A}(\Omega)\}$  and  $\phi_0$  to  $\chi - \phi_0 : e^{-\chi} f \rightarrow \phi_0(f)$ , we see from the Hahn-Banach extension theorem that  $\phi_0$  is extended to the space  $C(\partial\Omega)$  of continuous functions on  $\partial\Omega$  with norm  $\|\phi_0\|_{\bar{A}(\Omega)}$ . By the Riesz representation theorem, there exists a finite Borel measure  $d\mu$  supported by  $\partial\Omega$  such that

$$\phi_0(f) = \int_{\partial\Omega} f d\mu, \quad f \in C(\partial\Omega)$$

and that the total variation  $\|e^z d\mu\|$  of  $e^z d\mu$  is equal to  $\|\phi_0\|_{\bar{\Delta}(\Omega)}$ .

By Proposition 1,  $\phi_0 = \sum_{\nu=1}^N c_\nu L_\nu$  and we have from (4)

$$\int_{\partial\Omega} f(d\mu - \sum_{\nu=1}^N c_\nu L_\nu dz) = 0, \quad \text{for } f \in \bar{A}(\Omega).$$

From Royden's extension of F. and M. Riesz's theorem [18], we deduce that  $d\mu$  is of the form

$$d\mu = \left( \sum_{\nu=1}^N c_\nu L_\nu(z) + \phi(z) \right) dz, \quad z \in \partial\Omega,$$

where  $\phi_0 dz$  belongs to the class  $Q$ [18], that is, for a nonvanishing analytic differential  $\omega$  on  $\bar{\Omega}$ ,  $\phi dz/\omega$  is an analytic function of the Hardy class of index one and  $\phi_0(z)$  is considered as its Fatou boundary value. It is well known that  $\phi(z)$  is locally integrable under a boundary uniformizer and that for every bounded analytic function  $f$  on  $\Omega$

$$\int_{\partial\Omega} f \phi_0 dz = 0.$$

Hence we have

$$\phi_0(f_0) = \int_{\partial\Omega} f_0 \left( \sum_{\nu=1}^N c_\nu L_\nu + \phi_0 \right) dz.$$

Since

$$\|e^z d\mu\| = \int_{\partial\Omega} e^z \left| \sum_{\nu=1}^N c_\nu L_\nu + \phi \right| |dz| = \|\phi_0\|_{\bar{\Delta}(\Omega)} \leq 1$$

and

$$M_0 \leq \int_{\partial\Omega} |f_0 e^{-z}| e^z \left| \sum_{\nu=1}^N c_\nu L_\nu + \phi_0 \right| |dz|, \quad |f_0 e^{-z}| \leq M_0,$$

we have  $\|e^z d\mu\| = 1$ . By Riesz's uniqueness theorem  $\sum_{\nu=1}^N (c_\nu L_\nu + \phi_0) dz$  never vanishes except for a set of linear measure zero. We infer that

$$(5) \quad |f_0(z) e^{-z(z)}| = M_0 \quad \text{a. e. on } \partial\Omega$$

and

$$(6) \quad f_0(z) \left( \sum_{\nu=1}^N c_\nu L_\nu(z) + \phi_0(z) \right) dz \geq 0 \quad \text{a. e. on } \partial\Omega.$$

To show the boundary property of  $f_0$ , take a simply-connected neighborhood  $U$  of a boundary point  $\zeta$ .  $U$  is supposed to be represented as the demidisc  $V$ ,  $|z| < 1$ ,  $\text{Im } z \geq 0$  so that  $\partial\Omega \cap U$  corresponds to the interval  $(-1, 1)$  and  $\zeta$  to the origin. Take a conjugate harmonic function  $\chi^*$  of  $\chi$  in  $V$  and set  $E(z) = e^{-\chi(z) - i\chi^*(z)}$  in  $V$ . Then we have from (5), (6)

$$(7) \quad |f_0(x)E(x)| = M_0 \quad \text{a. e. } x \in (-1, 1)$$

and

$$(8) \quad f_0(x)E(x)E^{-1}(x) \left( \sum_{\nu=1}^N c_\nu l_\nu(x) + \varphi_0(x) \right) \geq 0$$

a. e.  $x \in (-1, 1)$ .

Since the left hand side of (8) belongs to the Hardy class of index one, it can be continued analytically to every  $x \in (-1, 1)$ . By Rudin's lemma [19], this together with (7) implies  $f_0E$  is continued analytically to  $(-1, 1)$  and clearly  $|f_0e^{-z}| = M_0$  on  $\partial\Omega$ .

We will show uniqueness. Let  $f_0^*$  be any extremal function. By the same argument, we have  $|f_0| = |f_0^*|$  on  $\partial\Omega$ . From (8) after being analytically continued we conclude that  $\arg f_0 = \arg f_0^*$ . We have  $f_0 = f_0^*$  on  $\partial\Omega$  whence  $f_0 \equiv f_0^*$ . This completes the proof.

*Remark.* If we drop the assumption that  $K$  does not separate the boundary, there might be components of  $\Omega - K$  for which  $(\sum_{\nu=1}^N c_\nu l_\nu + \varphi_0) dz \equiv 0$ . Then we have no information about the value of  $f_0$  on the part of  $\partial\Omega$  on those components except that  $|f_0(z)e^{-z(z)}| \leq M_0$ . However, since there is a component of  $\Omega - K$  for which the differential does not vanish identically the uniqueness of the extremal function still holds. Such an example does exist (Hejhal [11]).

The relation given by (5) and (6) is called a *duality relation* and the differential

$$(9) \quad d\Phi_0 = \left( \sum_{\nu=1}^N c_\nu l_\nu + \phi \right) dz \quad \text{with} \quad \int_{\partial\Omega} e^z |d\Phi_0| = 1$$

is called a *conjugate differential* of  $f_0$  in the Pick-Nevanlinna problem.

Following Garabedian [8], we state

COROLLARY 1. *The conjugate differential  $d\Phi_0$  minimizes the integral*

$$\int_{\partial\Omega} e^z |d\Phi_0 + \phi dz|, \quad \phi dz \in Q.$$

*Any duality relation for  $d\Phi_0$  in (9) characterizes the extremal function.*

*Proof.* The extremality of  $d\Phi_0$  follows from

$$1 = \int_{\partial\Omega} \frac{f_0}{M_0} (d\Phi_0 + \phi dz) \leq \int_{\partial\Omega} e^z |d\Phi_0 + \phi dz|.$$

Next for  $f \in \mathfrak{F}$ , we have

$$M_0 = \int_{\partial\Omega} f d\Phi_0 \leq \lambda - \|f\| \int_{\partial\Omega} |e^z d\Phi_0| = \lambda - \|f\|.$$



7. *The classical Pick-Nevalinna problem.* Let  $\Omega$  be a bordered Riemann surface. To discuss this problem, we give a datum at a point  $p_\nu$  in the following form. For a fixed local parameter  $z(p)$  around  $p_\nu$  with  $z(p_\nu)=0$ , take a finite Taylor section

$$D_\nu(z) = \sum_{j=0}^{n_\nu} a_j^{(\nu)} z^j.$$

For given  $N$  points  $\{p_\nu\}_{\nu=1}^N$  and associated Taylor sections  $\{D_\nu\}_{\nu=1}^N$ , consider the family  $\mathfrak{F}$  of analytic functions in  $\Omega$  satisfying that  $f(z)-D_\nu(z)$  has a zero of order at least  $n_\nu+1$  at  $p_\nu$ ,  $\nu=1, \dots, N$ . Then we show

THEOREM 3. *If*

$$M_0 = \inf_{f \in \mathfrak{F}} \|f\| > 0,$$

*there exists a unique extremal function  $f_0 \in \mathfrak{F}$  such that  $|f_0|=M_0$  on  $\partial\Omega$ . Here  $\| \cdot \|$  denotes the supnorm of  $f$ . If  $\Omega$  is of genus  $g$  and has  $h$  contours, then  $f_0$  maps  $\Omega$  onto an at most  $\sum_{\nu=1}^N (n_\nu+1) + 2g + h - 2$  sheeted disc  $|z| < M_0$ .*

*Proof.* Let  $K$  be the union of  $N$  mutually disjoint closed parameter discs  $\Delta_\nu$  of  $\{p_\nu\}_{\nu=1}^N$ . We may suppose that  $\Delta_\nu$  corresponds to  $|z| \leq 1$  with  $z(p_\nu)=0$ ,  $\nu=1, \dots, N$ . Let  $\{L_j\}_{j=1}^{N_0}$ ,  $N_0 = \sum_{\nu=1}^N (n_\nu+1)$ , be linear functionals defined by  $L_1(f)=f(p_1)$ ,  $L_2(f)=f'(p_1)$ ,  $\dots$ ,  $L_{N_0}(f)=f^{(n_N)}(p_N)$ , where derivatives are those with respect to the respective local parameters. Then the present problem is equivalent to the Pick-Nevalinna problem for those data. We remark that in this case  $L_j$  is explicitly expressed by a measure  $d\mu_j$  on  $\bar{\Delta}_j$ :  $d\mu_1=(2\pi iz)^{-1} dz$  on  $|z|=1$ ;  $=0$  in the interior of  $\Delta_1$ ,  $\dots$ ,  $d\mu_{N_0}=(2\pi iz^{n_{N+1}})^{-1} n_N! dz$  on  $|z|=1$ ;  $=0$  in the interior of  $\Delta_N$ . From Theorem 2, we obtain a unique extremal function  $f_0$  and its conjugate differential  $d\Phi_0$  satisfying

$$f_0 d\Phi_0 \geq 0 \quad \text{along } \partial\Omega.$$

We call the divisor  $\delta = p_1^{n_1} p_2^{n_2} \dots p_N^{n_N}$  the *interpolation divisor* of the (classical) Pick-Nevalinna problem and  $N_0$  the *degree* of  $\delta$ . It is easy to construct a function  $F(z)$  which is analytic on  $\bar{\Omega}$  and has the same divisor as  $\delta$  (Heins [10]). For every  $f \in \bar{A}(\Omega)$ ,  $fF \in S$  and

$$\int_{\partial\Omega} f F d\Phi_0 = 0.$$

Again by Royden's result [18] cited in No. 5,  $Fd\Phi_0$  is an analytic differential on  $\bar{\Omega}$ . Thus  $d\Phi_0$  is a meromorphic differential which is a multiple of  $\delta^{-1}$ .

Let  $\hat{\Omega}$  be the double of  $\Omega$ . Since  $f_0 d\Phi_0 \geq 0$  along  $\partial\Omega$ ,  $f_0 d\Phi_0$  can be analytically continued to  $\hat{\Omega}$ . The genus of  $\hat{\Omega}$  is equal to  $2g+h-1$ . Hence the degree of  $(f_0 d\Phi_0)$  is equal to  $2(2g+h-2)$ . Since  $f_0 d\Phi_0$  has possible poles at  $p_j$ , the sum of whose orders is equal to at most  $N_0$  in  $\bar{\Omega}$ , by symmetry the amount of the

orders of the poles  $f_0 d\Phi_0$  on  $\hat{\Omega}$  is not greater than  $2N_0$ . Hence the number of zeros on  $\Omega$  is at most  $2g+h-2+N_0$ .

§ 3. Generalizations.

8. *The Pick-Nevanlinna problem for arbitrary domains.* Let  $\Omega$  be a subdomain of a compact Riemann surface  $R$  of genus  $g$ . Suppose that  $\Omega$  does not belong to  $O_{AB}$ . We may suppose that the genus of  $\Omega$  is equal to  $g$ . Let  $\chi(z)$  be a real valued harmonic function in  $\Omega$ . As in No. 5, we define the  $\chi$ -norm by (2) and set

$$X = \{f | f \text{ is analytic in } \Omega \text{ and } \chi\text{-}\|f\| < \infty\}.$$

To avoid a trivial case  $\chi(z)$  is supposed to be taken so that  $X \neq \{0\}$ . We take a canonical exhaustion  $\{\Omega_n\}_{n=1}^\infty$  of  $\Omega$ . Let  $\chi\text{-}\|f\|_n$  denote the  $\chi$ -norm of  $f$  in  $\Omega_n$ . Let there be given  $N$  linear functionals  $\{L_\nu\}_{\nu=1}^N$ , each of which is continuous with respect to the supnorm  $\|f\|_K$  of  $f$  on a compact subset  $K$  of  $\Omega$ . We may suppose  $K \subset \Omega_1$ . Moreover we suppose that  $K$  does not separate the boundary of  $\Omega$ . Then each  $\Omega_n$  enjoys the same property with respect to  $\partial\Omega_n$  and  $K$ . Let  $\mathfrak{F}_n$  be the family of functions  $f$  analytic in  $\Omega_n$  and satisfying  $L_\nu(f) = a_\nu, \nu = 1, \dots, N$ . We denote by  $\mathfrak{F}$  the corresponding family in  $\Omega$ .

Suppose

$$0 < M_0 = \inf_{f \in \mathfrak{F}} \chi\text{-}\|f\| < \infty.$$

Then a normal family argument shows that there exists an extremal function  $f_0$  such that  $M_0 = \chi\text{-}\|f_0\|, L_\nu(f_0) = a_\nu, \nu = 1, \dots, N$ . By Proposition 1, we get a linear combination

$$\Psi_0 = \sum_{\nu=1}^N c_\nu L_\nu$$

such that

$$\Psi_0(f_0) = \max_{\|\Psi\|=1, \Psi \in S^\perp} |\Psi(f_0)| = \chi\text{-}\|f_0\|,$$

and that  $f_0$  is an extremal function for the Pick-Nevanlinna problem with a single datum  $\Psi_0(f) = \sum_{\nu=1}^N c_\nu a_\nu$ .

Now we consider the Pick-Nevanlinna problems for the norm  $\chi\text{-}\|f\|_n$  with a single datum  $\Psi_0(f) = \sum_{\nu=1}^N c_\nu a_\nu$  in each  $\Omega_n$ . Then there exists a unique extremal function  $F_n$  and its conjugate differential  $d\Phi_n$  satisfying  $|e^{-\chi(z)} F_n(z)| = M_n$  on  $\partial\Omega_n$ . On the other hand there exists a unique extremal function  $f_n$  satisfying

$$M_n' = \chi\text{-}\|f_n\| = \inf_{f \in \mathfrak{F}_n} \chi\text{-}\|f\|.$$

Those are direct results from Theorem 2. Clearly  $M_n \leq M_n'$ . We state

THEOREM 4. *The sequence  $\{f_n\}$  converges to a unique extremal function  $f_0$  uniformly on every compact subset of  $\Omega$ .*

9. *A Cauchy kernel.* Before proving Theorem 4 we prepare

LEMMA 2. *Let  $q$  be a non-Weierstrass point of  $R$  in  $\Omega$ . Then for a fixed parameter disc  $U$  of  $q$  there exists a meromorphic function  $C(p, r)$ ,  $r \in U$ , called a Cauchy kernel, satisfying the condition that  $C(p, r)$  is analytic apart from  $p=r$ , at which it has an expansion*

$$C(p, r) = \frac{1}{2\pi i(z(p) - \zeta)} + \text{regular terms, } p \in U, \zeta = z(r).$$

and that  $C(p, r)$  is uniformly bounded in a neighborhood of the boundary of  $\Omega$  if  $r$  lies in any compact subset of  $U$ .

*Proof.* We take a parameter disc  $U$  whose closure contains no Weierstrass points. Then for  $r \in U$  there exists a meromorphic function  $Q(p, r)$  on  $R$  with a single pole of order  $g+1$  at  $p=r$ , where it has an expansion

$$Q(p, r) = \frac{1}{2\pi i(z(p) - \zeta)^{g+1}} + \frac{b_g}{(z(p) - \zeta)^g} + \dots + \frac{b_1}{z(p) - \zeta} \\ + \text{regular terms, } p \in U, \zeta = z(r)$$

Such a function is uniquely determined as a definite integral of a linear combination of normalized differentials of the first and the second kind. From the symmetry law [22], we can deduce that those differentials and their periods are continuous with respect to the parameter  $r$ .

Let  $f_0(p, r)$  be the Ahlfors function of  $\Omega$  which satisfies  $f_0(r, r) = 0$  and

$$\frac{d}{dz} f_0(p(z), r) \Big|_{z=\zeta} = \max |df(p(z))/dz|_{z=\zeta}, \\ |f| \leq 1, f(r) = 0.$$

It is easy to see the Ahlfors function is unique for such a Riemann surface  $\Omega$ . In fact, in order to use Fisher's method [5] we need a meromorphic function with a simple pole at  $r$ , analytic in  $\Omega - r$  and bounded near the boundary. Since  $\Omega \in O_{AB}$ , there exists a bounded function  $h(p)$  which has a zero of some order, say  $n$ , at  $r$ . On the compact Riemann surface  $R$  containing  $\Omega$ , there exist meromorphic functions  $g(p)$  with a single pole at  $r$ . The order of the pole can be taken as an arbitrary positive integer  $m$  greater than an integer  $m_0$ . Set  $m = nk + 1 > m_0$ . Then  $h^k g$  is a desired function. Write  $f_0(p, r) = f_0(z, \zeta)$ . We show that  $f_0'(\zeta, \zeta)$  is continuous with respect to  $\zeta$ ,  $r \in U$ . Since

$$\frac{f_0(z, \zeta') - f_0(\zeta, \zeta')}{1 - f_0(\zeta, \zeta') f_0(z, \zeta')}, \quad r' \in U, \zeta' = z(r')$$

denotes a competing function in the problem to determine the maximum at  $\zeta$ ,

we have

$$f_0'(\zeta, \zeta) \geq \frac{|f_0'(\zeta, \zeta')|}{1 - |f_0(\zeta, \zeta')|^2},$$

whence

$$f_0'(\zeta', \zeta') - f_0'(\zeta, \zeta) \leq f_0'(\zeta', \zeta') - \frac{|f_0'(\zeta, \zeta')|}{1 - |f_0(\zeta, \zeta')|^2}.$$

Similarly we have

$$f_0'(\zeta, \zeta) - f_0'(\zeta', \zeta') \leq f_0'(\zeta, \zeta) - \frac{|f_0'(\zeta', \zeta)|}{1 - |f_0(\zeta', \zeta)|^2}.$$

Since by uniqueness

$$\lim_{\zeta' \rightarrow \zeta} f_0(z, \zeta') = f_0(z, \zeta)$$

uniformly on  $\bar{U}$ , we get the continuity of  $f_0'(\zeta, \zeta)$  for  $r \in U$ .

Now set

$$C(p, r) = Q(p, r) f_0(p, r)^g / f_0'(\zeta, \zeta)^g, \quad r = r(\zeta) \in U$$

Then  $C(p, r)$  has the desired singularity and since  $f_0'(\zeta, \zeta)$  is continuous and positive [21],  $C(p, r)$  is uniformly bounded in a neighborhood of  $\partial\Omega$  if  $r$  lies in any compact subset of  $U$ .

10. *Proof of Theorem 4.* Under the notations in No. 8, we have  $M_n \leq M_n' \leq M_0$  and  $\lim M_n = M_0$ . Clearly  $\{F_n\}$  forms a normal family. If we establish the uniqueness of the extremal function, the proof of Theorem 4 is completed. Hence we may suppose that  $\{F_n\}$  tends to a function  $F_0$  uniformly on every compact subset of  $\Omega$ . It is easily verified that  $F_0$  is an extremal function of the original Pick-Nevanlinna Problem in  $\Omega$ .

Define a transform  $l_\nu$  of  $L_\nu$  by (3). For every  $f$  analytic in  $\Omega_n$  and with  $\chi - \|f\|_n < \infty$ , there holds

$$L_\nu(f) = \int_{\partial\Omega} f(z) l_\nu(z) dz, \quad \nu = 1, \dots, N.$$

Hence for a conjugate differential  $d\Phi_n$  of  $F_n$ , we have an expression

$$d\Phi_n = (k_n \sum_{\nu=1}^N c_\nu l_\nu(z) + \psi_n) dz \quad \text{along } \partial\Omega_n.$$

Here  $k_n$  is defined by

$$k_n = \left( \sum_{\nu=1}^N c_\nu a_\nu \right)^{-1} M_n = \frac{M_n}{M_0},$$

and  $\psi_n dz$  belongs to the class  $Q$  on  $\Omega_n$ .

We shall show that  $\{F_0 \psi_n dz\}$  forms a normal family in the following sense: for every compact  $B \subset \Omega$  and a nonvanishing analytic differential  $\omega_B$  on  $B$ ,  $\{F_0 \psi_n dz / \omega_B\}$  is normal on  $B$ .

Take a non-Weierstrass point  $p_0$  in  $\Omega - \bar{\Omega}_1$  and its parameter disc  $U$  in Lemma 2 and contained in  $\Omega - \bar{\Omega}_1$ . We have

$$\begin{aligned} & F_0(z)(\phi_n(z) + k_n \sum_{\nu=1}^N c_\nu l_\nu(z)) \\ &= \int_{\partial\Omega_n - \partial\Omega_1} F_0(t)(\phi_n(t) + k_n \sum_{\nu=1}^N c_\nu l_\nu(t))C(t, z)dt, \\ & C(q(t), p(z)) = C(t, z), p(z) \in U. \end{aligned}$$

By Lemma 2,  $|C(t, z)| \leq L$  on  $\partial\Omega_n \times U$  for sufficiently large  $n$ . We have

$$\left| \int_{\partial\Omega_n} F_0(\phi_n + k_n \sum_{\nu=1}^N c_\nu l_\nu)C(t, z)dt \right| \leq LM_0,$$

since  $|e^{-z}F_0| \leq M_0$  on  $\Omega$  and  $\int_{\partial\Omega_n} |\phi_n + k_n \sum_{\nu=1}^N c_\nu l_\nu| e^z |dt| = 1$ , and

$$\int_{\partial\Omega_1} F_0\phi_n C(t, z)dt = 0,$$

since  $C(q, p)$  is analytic in  $\Omega_1$ . Since  $k_n \rightarrow 1$  ( $n \rightarrow \infty$ ),  $\{F_0\phi_n\}$  is uniformly bounded on  $U$ . Since the set of all Weierstrass points of  $R$  is finite, we may suppose that  $\partial\Omega_n$ ,  $n=1, 2, \dots$  contains no Weierstrass points. Then  $\partial\Omega_n$ ,  $n \geq 2$  is covered by a finite number of parameter discs for which  $\{F_0\phi_n\}$  is uniformly bounded. Then for a non-vanishing analytic differential  $\omega_{\Omega_m}$  on  $\bar{\Omega}_m$  by the maximum principle  $\{F_0\phi_n dz/\omega_{\Omega_m}\}_{n=m}^\infty$  is uniformly bounded on  $\bar{\Omega}_m$ . Hence  $\{F_0\phi_n dz/\omega_{\Omega_m}\}$  forms a normal family. Changing notations we may suppose that  $\{F_0\phi_n dz/\omega_{\Omega_m}\}$  converges to  $F_0\phi_0 dz/\omega_{\Omega_m}$  uniformly on  $\bar{\Omega}_m$ . We say that  $\{F_0\phi_n dz\}$  converges to an analytic differential  $f_0\phi_0 dz$ . Since convergence of  $\{F_0\phi_n dz/\omega_{\Omega_m}\}$  implies that of  $\{\phi_n dz/\omega_{\Omega_m}\}$ ,  $\{\phi_n dz\}$  converges to an analytic differential  $\phi_0 dz$  uniformly on every compact subset of  $\Omega$ . Note that  $F_0 \neq 0$ . Thus  $d\Phi_n = (k_n \sum_{\nu=1}^N c_\nu l_\nu + \phi_n) dz$  tends to an analytic differential  $d\Phi_0 = (\sum_{\nu=1}^N c_\nu l_\nu + \phi_0) dz$  in  $\Omega - K$ .

Now Hejhal's method [11] provides the uniqueness of the extremal functions. Let  $F_0^*$  be any extremal function of the Pick-Nevanlinna problem in  $\Omega$ . Let  $C(\Omega)$  be the Banach space of complex valued functions  $h$  continuous in  $\Omega$  and normed by the supnorm  $\|h\|$ . We define three sequences of linear functionals

$$T_n^{(1)}(h) = \int_{\partial\Omega_n} h F_n d\Phi_n,$$

$$T_n^{(2)}(h) = \int_{\partial\Omega_n} h \frac{M_n}{M_0} F_0 d\Phi_n,$$

$$T_n^{(3)}(h) = \int_{\partial\Omega_n} h \frac{M_n}{M_0} F_0^* d\Phi_n, n=1, 2, \dots$$

We have  $\|T_n^{(j)}\| \leq M_n \leq M_0, j=1, 2, 3$ . In fact

$$\int_{\partial\Omega_n} |F_n d\Phi_n| = \int_{\partial\Omega_n} F_n d\Phi_n = M_n,$$

and  $|F_0 e^{-z}|$  and  $|F_0^* e^{-z}|$  are bounded by  $M_0$  in  $\Omega$ . By Hejhal's lemma ([11] p. 102) for those three sequences we can find a subnet  $\{n\alpha\}$  of  $\{n\}$  for which they have a common weak star limit  $T_0$ .

We take a non-Weierstrass point  $p_0$  and its parameter disc  $U$  so near to the boundary  $\partial\Omega$  that there exists an Ahlfors function  $f_0(p, r)$  such that

$$\inf_U |f_0(p, r)| > \max_{\partial\Omega_1} |f_0(p, r)|$$

Since  $\Omega \in O_{AB}$  and since  $\sup_{\Omega} |f_0(p, r)| = 1$ , such a point  $p_0$  exists. We have

$$\begin{aligned} & \frac{M_n}{M_0} (F_0(p) - F_0^*(p)) \Phi_n'(p(z)) \\ &= \int_{\partial\Omega_n - \partial\Omega_1} \frac{M_n}{M_0} (F_0 - F_0^*) \left( \frac{f_0(q, r)}{f_0(p, r)} \right)^n C(q, p) d\Phi_n(q), \\ & \Phi_n'(p(z)) = k_n \left( \sum_{\nu=1}^N c_\nu l_\nu(z) + \psi_n(z) \right), \quad k_n = M_n / M_0. \end{aligned}$$

Taking the subnet  $\{n\alpha\}$  and letting it tend to  $\infty$ , the integral along  $\partial\Omega_1$  tends to zero. Since  $C(q, p)$  is regarded as the restriction of a bounded continuous function to a boundary neighborhood, the integral along  $\partial\Omega_{n\alpha}$  also tends to zero. We get  $(F_0(p) - F_0^*(p)) d\Phi_0(p) \equiv 0$  in  $U$ . Since  $\int_{\partial\Omega_1} F_0 d\Phi_0 = M_0 > 0, d\Phi_0 \neq 0$ . We get  $F_0 \equiv F_0^*$  in  $\Omega$  which completes the proof.

§ 4. Special problems

11. *Meromorphic functions.* In this section we consider the classical Pick-Nevanlinna problem for wider classes of functions. For simplicity we restrict ourselves to a plane domain  $\Omega$ . For a moment, suppose that  $\Omega$  is bounded by  $k$  analytic Jordan curves ( $k \geq 1$ ). Let  $t_j, j=1, \dots, l$ , be a finite number of mutually distinct points. We take  $\chi(z)$  as the superharmonic function

$$\chi(z) = \sum_{j=1}^l m_j g(z, t_j),$$

$m_j$  being positive integers and  $g(z, t)$  being the Green's function of  $\Omega$ . Let  $\{\zeta_\nu\}_{\nu=1}^N$  be mutually distinct points in  $\Omega$ . We give data at  $\zeta_\nu$  by Taylor or Laurent sections:

$$D_\nu(z) = \sum_{m=0}^{N_\nu} a_m^{(\nu)} (z - \zeta_\nu)^m, \quad \zeta_\nu \neq t_j, \tag{10}$$

$$D_\nu(z) = \sum_{m=-m_j}^{N_\nu} a_m^{(\nu)} (z - \zeta_\nu)^m, \quad \zeta_\nu = t_j.$$

Let  $\mathfrak{F}$  be the family of functions meromorphic in  $\Omega$  which have the given data at  $\zeta_\nu$ . Our problem is to minimize

$$\chi\text{-}\|f\| = \sup |f(z)e^{-\chi(z)}|.$$

Write  $M_0$  for the minimum and suppose  $M_0 > 0$ .

The set  $X$  of meromorphic functions  $f$  defined by

$$X = \{f \mid \chi\text{-}\|f\| < \infty\}$$

forms a Banach space. Every function  $f \in X$  has possible poles at  $t_j$  of order  $m_j$ .

Let  $h$  be a function analytic on  $\bar{\Omega}$  which vanishes at  $t_j$  precisely of order  $m_j$  and has no zeros other than  $t_j$ . We can set  $h = \prod_{j=1}^l (z - t_j)^{m_j} / (z - \xi)^{m_j}$ ,  $\xi \in \bar{\Omega}$ .

Then  $hf$  is a bounded analytic function if  $f \in X$ . Consider a Banach space  $X_h$  of bounded analytic functions  $f$  normed by  $\chi_h\text{-}\|f\| = \sup |f(z)h(z)^{-1}e^{-\chi(z)}|$ ,  $z \in \Omega$ . By this transformation we have the new data

$$(11) \quad D_\nu^*(z) = [h(z)D_\nu(z)]_{N_\nu + m_\nu},$$

where  $[ \ ]_{N_\nu + m_\nu}$  denotes a Taylor section up to order  $N_\nu + m_\nu$  with  $m_\nu = 0$  if  $\zeta_\nu \neq t_j$ . Since  $-\log|h| - \chi(z)$  is harmonic on  $\bar{\Omega}$ , Theorem 3 is applicable. We get

**THEOREM 5.** *There exists a unique extremal function  $f_0$  and its conjugate differential  $d\Phi_0$  for the Pick-Nevanlinna problem with data (10).  $f_0$  is meromorphic on  $\bar{\Omega}$  and  $|f_0|$  is equal to  $M_0$  on  $\partial\Omega$ .  $d\Phi_0$  is a meromorphic differential on  $\bar{\Omega}$  which is a multiple of the divisor  $\delta = \prod_{\nu=1}^N \zeta_\nu^{-N_\nu - m_\nu - 1} \prod t_j^{m_j}$ , when  $j$  runs over non-interpolation points  $t_j$ .  $d\Phi_0$  satisfies*

$$\int_{\partial\Omega} |d\Phi_0| = 1$$

and the duality relation

$$f_0 d\Phi_0 \geq 0 \quad \text{along } \partial\Omega,$$

which characterizes the extremal function  $f_0$ .

*Proof.* It is easy to see that the extremal function  $F_0$  for the transformed Pick-Nevanlinna problem with the data (11) in  $\chi_h$  yields the solution  $f_0 = F_0 h^{-1}$ .  $\sup |F_0(z)h^{-1}(z)e^{-\chi(z)}| = M_0$  on  $\partial\Omega$  and  $e^{-\chi(z)} = 1$  on  $\partial\Omega$ . Thus  $|f_0| = M_0$  on  $\partial\Omega$ . As in the proof of Theorem 2, there exists a conjugate differential  $d\Phi_1$  for  $F_0$  satisfying

$$\int_{\partial\Omega} |h e^\chi d\Phi_1| = 1$$

and  $F_0 d\Phi_1 \geq 0$  along  $\partial\Omega$ . Put  $d\Phi_0 = h d\Phi_1$ . We have

$$\int_{\partial\Omega} |d\Phi_0| = 1$$

and  $f_0 d\Phi_0 \geq 0$  along  $\partial\Omega$ . As in the proof of Theorem 3  $d\Phi_0$  is a multiple of  $\prod_{\nu=1}^N \zeta_\nu^{-N\nu-1}$ . Hence  $d\Phi_0 = h d\Phi_1$  has the desired property concerning the divisor of  $d\Phi_0$ . The uniqueness of  $f_0$  and its characterization follow from Theorem 2 and Corollary 1.

Many years ago R. M. Robinson [17] proved a generalization of the maximum principle for meromorphic function with one pole in an annulus  $\Omega, R^{-1} < |z| < R (R > 1)$ . It follows from a solution of our extremal problem. We state it as the following

**COROLLARY 2.** *Let  $f(z)$  be meromorphic in an annulus  $\Omega, R^{-1} < |z| < R (R > 1)$ , which has possibly one simple pole at  $z = -t, R^{-1} < t < R$ . If  $\overline{\lim}_{z \rightarrow \partial\Omega} |f(z)| \leq 1$ , then  $|f(x)| \leq 1$  for  $R^{-1} < x < R$ .*

*Proof.* The Green's function  $g(z, x)$  of  $\Omega$  yields a positive differential

$$d\Phi_0 = \frac{i}{\pi} \frac{\partial}{\partial z} g(z, x) dz > 0 \quad \text{along } \partial\Omega.$$

Since  $g(z, x)$  is symmetric with respect to the real axis, it has a critical point  $t_0$  on  $(-R, -R^{-1})$ . By the argument principle there exists only one critical point. Set  $d\omega = idz/z$  which is positive along  $|z| = R^{-1}$  and negative along  $|z| = R$ . We construct positive differentials

$$d\Phi_\lambda = d\Phi_0 + \lambda d\omega,$$

where the real parameter  $\lambda$  runs on a interval  $(-\lambda_1, \lambda_2), \lambda_1, \lambda_2 > 0$  so that  $d\Phi_\lambda$  remains positive along  $\partial\Omega$ . The zero of  $d\Phi_\lambda$  moves from  $t_0$  to  $-R$  and from  $t_0$  to  $-R^{-1}$  as  $\lambda$  moves from 0 to  $\lambda_2$  and from 0 to  $-\lambda_1$  respectively. There exists a  $\lambda_t$  such that  $d\Phi_{\lambda_t} = 0$  at  $z = -t$ .

Consider the Pick-Nevanlinna problem with single datum  $f(x) = 1$  for the class of meromorphic functions with possible pole at  $-t$ . Here the norm of  $f$  is given by  $\chi - \|f\| = \sup |f(z)e^{-g(z,t)}|, z \in \Omega, \chi = g(z, t)$ . Clearly  $f_0(z) \equiv 1$  is an extremal function. Indeed

$$\int_{\partial\Omega} d\Phi_{\lambda_t} = \int_{\partial\Omega} |d\Phi_{\lambda_t}| = 1$$

and

$$f_0 d\Phi_{\lambda_t} > 0 \quad \text{along } \partial\Omega$$

is a duality relation. If  $|f(x)| > 1$  we get a contradiction  $\chi - \|f/f(x)\| < 1$ .

12. *General domains.* Let  $\Omega$  be a plane domain which does not belong to  $O_G$ . For the Green's function  $g(z, t)$  of  $\Omega$  we set as before

$$\chi(z) = \sum_{j=1}^l m_j g(z, t_j), \quad t_j \in \Omega.$$

We consider the Pick-Nevanlinna problem with data (10) for the same as in



No. 11. We take a canonical exhaustion  $\{\Omega_n\}_{n=1}^\infty$  such that all  $t_j$  and  $\zeta_\nu \in \Omega_1$ . Then from Theorem 5 we obtain a sequence of extremal functions  $\{f_n\}$  and conjugate differentials  $\{d\Phi_n\}$  with  $\chi_n = \sum_{j=1}^l m_j g_n(z, t_j)$ ,  $g_n(z, t_j)$  being the Green's function of  $\Omega_n$ . We show

THEOREM 6. *If*

$$0 < M_0 = \inf_{f \in \mathfrak{F}} \chi \cdot \|f\| < \infty,$$

*the sequence of extremal functions  $\{f_n\}$  converges to a unique extremal function  $f_0$  uniformly on every compact subset of  $\Omega$ . Here  $\mathfrak{F}$  is as in No. 11.*

*Proof.* Consider the Pick-Nevalinna problem with the same data (10) for the norm  $\chi \cdot \|f\|_n = \sup |f(z)e^{-\chi(z)}|$ ,  $z \in \Omega_n$ , in  $\Omega_n$ . Let  $\mathfrak{F}_n$  be the corresponding family for the problem.

Then  $M_n = \inf_{f \in \mathfrak{F}_n} \chi \cdot \|f\|_n > 0$  and is increasing with respect to  $n$ . In fact, if  $M_n = 0$ , all the data vanish and  $M_0 = 0$ . The monotonicity of  $M_n$  follows from the fact that  $\mathfrak{F}_{n+1} \subset \mathfrak{F}_n$ . Similarly as in the proof of Theorem 5, there exists a unique extremal function  $\tilde{f}_n$  and its conjugate differential  $d\tilde{\Phi}_n$  which satisfy

$$(12) \quad |\tilde{f}_n e^{-\chi(z)}| = M_n, \quad z \in \partial\Omega_n,$$

$$(13) \quad \tilde{f}_n d\tilde{\Phi}_n \geq 0 \quad \text{along } \partial\Omega_n,$$

and

$$(14) \quad M_n = \int_{\partial\Omega_n} \tilde{f}_n d\tilde{\Phi}_n, \quad \text{with } \int_{\partial\Omega_n} e^\chi |d\tilde{\Phi}_n| = 1.$$

$d\tilde{\Phi}_n$  is a multiple of  $\prod_{\nu=1}^N \zeta_\nu^{-N\nu-1-m\nu} \prod t_j^{m_j}$ , where  $j$  runs over non-interpolation points  $t_j$ . Since  $|\tilde{f}_n e^{-\chi(z)}|$  satisfies the maximum principle,  $|\tilde{f}_n e^{-\chi(z)}|$  is uniformly bounded. Then we may suppose that  $\{\tilde{f}_n\}$  tends to a meromorphic function  $f_0$  uniformly on every compact subset of  $\Omega$ . Here spherical distances are taken in the convergence. It is easy to see that  $f_0$  is one of the extremal functions in  $\mathfrak{F}$ . On the other hand, by the same reason,  $|f_n e^{-\chi_n}|$  is also bounded and every infinite subsequence of  $\{f_n\}$  contains a subsequence converging to an extremal function. If we show that the extremal function  $f_0$  is unique, the proof is complete.

Let  $S$  be a subspace of  $X$  consisting of meromorphic functions with vanishing data, that is,  $D_\nu(z) \equiv 0$ ,  $\nu = 1, 2, \dots, N$ .

If  $S = \{0\}$ , then the extremal  $f_0$  is unique. Next suppose that  $h \neq 0$  belongs to  $S$ . For the sequence of conjugate differentials  $\{d\tilde{\Phi}_n\}$ , we have

$$\int_{\partial\Omega_n} |h d\tilde{\Phi}_n| = \int_{\partial\Omega_n} |h e^{-\chi} \cdot e^\chi d\tilde{\Phi}_n| \leq \chi \cdot \|h\|.$$

Since

$$h(z)\tilde{\Phi}_n'(z) = \frac{1}{2\pi i} \int_{\partial\Omega_n} \frac{h(\zeta)d\tilde{\Phi}_n(\zeta)}{\zeta - z},$$

$\{h(z)\tilde{\Phi}'_n(z)\}$  is locally uniformly bounded. Hence we may suppose that  $\{\tilde{\Phi}'_n\}$  tends to a meromorphic function  $\tilde{\Phi}'_0(z)$  uniformly on every compact subset of  $\Omega$ . Since

$$M_n = \int_{\partial\Omega_n} \tilde{f}_n d\tilde{\Phi}_n$$

from (14),  $\tilde{\Phi}_0(z) \neq 0$ .

Let  $f_0^*$  be any other extremal function. We define three sequences of measures,

$$d\mu_n = \begin{cases} \frac{\tilde{f}_n d\tilde{\Phi}_n}{M_n} & \text{on } \partial\Omega_n \\ 0 & \text{otherwise} \end{cases}$$

$$d\tilde{\mu}_n = \begin{cases} \frac{f_0 d\tilde{\Phi}_n}{M_0} & \text{on } \partial\Omega_n \\ 0 & \text{otherwise} \end{cases}$$

and

$$d\mu_n^* = \begin{cases} \frac{f_0^* d\tilde{\Phi}_n}{M_0} & \text{on } \partial\Omega_n \\ 0 & \text{otherwise.} \end{cases}$$

We have  $\|d\mu_n\|=1$  from (13) and (14),  $\|d\tilde{\mu}_n\|\leq 1$  and  $\|d\mu_n^*\|\leq 1$ , since  $|f_0 e^{-z_n}| \leq 1$ ,  $|f_0^* e^{-z_n}| \leq 1$  on  $\partial\Omega_n$ .

By taking subsequences, we may suppose that

$$\{d\mu_n\} \rightarrow d\mu_0, \{d\tilde{\mu}_n\} \rightarrow d\tilde{\mu}_0, \{d\mu_n^*\} \rightarrow d\mu_0^*$$

in weak star convergence as  $n \rightarrow \infty$ . Since  $f_n, f_0$  and  $f_0^*$  have the same data, we find by the calculus of residues

$$\|d\mu_n\| = \int d\mu_n = 1$$

and

$$\int d\tilde{\mu}_n = \int d\mu_n^* = \frac{M_n}{M_0}$$

Clearly  $|d\tilde{\mu}_n| \leq d\mu_n$  and  $|d\mu_n^*| \leq d\mu_n$ . Since  $M_n/M_0 \rightarrow 1$  as  $n \rightarrow \infty$ , we have  $d\mu_0 = d\tilde{\mu}_0 = d\mu_0^*$ . For  $h = f_0 - f_0^* \in S$

$$(f_0(z) - f_0^*(z))\tilde{\Phi}'_n(z) = \frac{1}{2\pi i} \int \frac{1}{\zeta - z} (d\tilde{\mu}_n - d\mu_n^*) \rightarrow 0$$

as  $n \rightarrow \infty$ , since  $1/(\zeta - z)$  is continuous in a neighborhood of  $\partial\Omega$ .

$$\tilde{\Phi}'_0(z) = \lim \tilde{\Phi}'_n(z) \neq 0.$$

We have  $f_0(z) \equiv f_0^*(z)$ .

*Remark.* The case where  $S = \{0\}$  does exist. For example, suppose that  $\Omega$  belongs to  $O_{AB} - O_G$ . Set  $\chi(z) = -g(z, t)$ ,  $t \in \Omega$ . We give a datum  $D_t(z) = (z-t)^{-1}$  so that  $f(z) - D_t(z) = 0$  at  $z-t \neq \infty$ . Then the extremal function is  $(z-t)^{-1}$  and  $S = \{0\}$ .

13. *Function with characters.* Extremal problems for a class of multiple-valued functions were recently dealt with by Widom [23]. Let  $f$  be a multiple-valued function whose modulus is single-valued. Then continuation of a function element of  $f$  along a closed curve  $c$  results in multiplication by a constant  $\Gamma_f(c)$ .  $\Gamma_f(c)$  depends on the homotopy class containing  $c$ . Since  $\Gamma_f(c)$  is given by

$$\Gamma_f(c) = e^{\int_c (f'/f) dz},$$

$\Gamma_f(c)$  is a character on the homotopy group  $\pi(\Omega)$  of  $\Omega$  or the homology group  $H_1(\Omega)$ . We consider the classical Pick-Nevanlinna problem with fixed  $\Gamma(c)$ .

Let  $\Omega$  be a plane domain bounded by  $k$  analytic curves. In this case we fix a point  $\zeta_0 \in \Omega$ . We give  $N$  data at  $\{\zeta_\nu\}_{\nu=1}^N$ ,  $\zeta_\nu \in \Omega$

$$(15) \quad D_\nu(z) = \sum_{j=0}^{n_\nu} a_j^{(\nu)} (z - \zeta_\nu)^j$$

and fix a system of curves  $\{\gamma_\nu\}_{\nu=1}^N$  such that  $\gamma_\nu$  connects  $\zeta_0$  with  $\zeta_\nu$ . Let  $\mathfrak{F}_\Gamma$  be a family of functions  $f$  multiple-valued, analytic, with character  $\Gamma$ , and such that the analytic continuation of a fixed element of  $f$  along  $\gamma_\nu$  has the same Taylor section (15).

Then we show

**THEOREM 7.** *Under the nontriviality condition*

$$M_0 = \inf_{f \in \mathfrak{F}_\Gamma} \|f\| > 0,$$

there exists a unique extremal function  $f_0$  which minimizes  $\|f\|$ .  $|f_0| = M_0$  on  $\partial\Omega$  and  $f_0$  has at most

$$\sum_{\nu=1}^N (n_\nu + 1) + k - 2$$

zeros.

*Proof.* It is easy to transform the problem into that for single-valued functions. Let  $\{C_j\}_{j=1}^{k-1}$  be the boundary contours except  $C_k$ , which form a homology basis. Let  $\omega_j(z)$  be the harmonic measure of  $C_j$ . We construct a linear combination  $\chi(z) = \sum_{j=1}^{k-1} x_j \omega_j(z)$  so that

$$\int_{c_i} \sum_{j=1}^{k-1} x_j d\omega_j^* = -\Gamma(C_i), \quad i=1, \dots, k-1.$$

This has clearly a solution [1]. Set  $E(z) = e^{\chi(z) + \nu \chi^*(z)}$  where  $\chi^*(z)$  is a con-

jugate harmonic function of  $\chi(z)$ . Then for  $f \in \mathfrak{F}_T$ ,  $fE(z)$  is single-valued. By taking Taylor sections of  $D_\nu(z)E(z)$  up to  $n_\nu$ , we get the new data,

$$(16) \quad D_\nu^*(z) = \sum_{j=0}^{n_\nu} b_j^{(\nu)}(z - \zeta_\nu)^j.$$

We consider the Pick-Nevanlinna problem with data (16) for the Banach space of analytic functions  $f$  normed by  $\chi - \|f\| = \sup |f(z)e^{-\chi(z)}|$ ,  $z \in \Omega$ . Then Theorem 2 is applicable and we obtain a unique extremal function  $F_0$  with  $|F_0(z)e^{-\chi(z)}| = M_0$  on  $\partial\Omega$ . Now it is easy to check that  $f_0 = F_0/E$  is the desired extremal function.

To examine the number of zero points of  $f_0$ , take a conjugate differential  $d\Phi_0$  of  $F_0$ , which has poles of order at most  $n_\nu + 1$  at  $\zeta_\nu$ . Since  $F_0 d\Phi_0 \geq 0$  along  $\partial\Omega$ , it is continued analytically onto the double  $\hat{\Omega}$  of  $\Omega$ . Similarly as in the proof of Theorem 3, we get the bounds of the number of zeros of  $F_0$ ,  $\sum_{\nu=1}^N (n_\nu + 1) + k - 2$ . Since  $E \neq 0$ , the proof is complete.

Let  $\Omega$  be an arbitrary domain  $\in O_G$ . Let a character  $\Gamma$  on  $H_1(\Omega)$  be given. We consider the classical Pick-Nevanlinna problem with data (15). We take an exhaustion  $\{\Omega_n\}$  on  $\Omega$  such that  $\Omega_1$  contains  $\{\zeta_\nu\}_{\nu=1}^N, \zeta_0$  and  $\{\gamma_\nu\}_{\nu=1}^N$ . The restriction of  $\Gamma$  to  $\Omega_n$ , denoted by  $\Gamma_n$ , is a character on  $H_1(\Omega_n)$  and the Pick-Nevanlinna problem with the same data has a unique solution  $f_n(z)$ . We state

THEOREM 8. *If*

$$0 < M_0 = \inf_{f \in \mathfrak{F}_\Gamma} \|f\| < \infty,$$

*the sequence of extremal functions  $\{f_n\}$  converges to a unique extremal function  $f_0$  uniformly on every compact subset of  $\Omega$ .*

The proof is verbatim of that of Theorem 6 and will be omitted.

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