

Derived equivalence of Ito–Miura–Okawa–Ueda Calabi–Yau 3-folds

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Abstract. We prove derived equivalence of Calabi–Yau threefolds constructed by Ito–Miura–Okawa–Ueda as an example of non-birational Calabi–Yau varieties whose difference in the Grothendieck ring of varieties is annihilated by the affine line.

In a recent paper [IMOU] there was constructed a pair of Calabi–Yau threefolds X and Y such that their classes $[X]$ and $[Y]$ in the Grothendieck group of varieties are different, but

$$([X] - [Y])[\mathbb{A}^1] = 0.$$

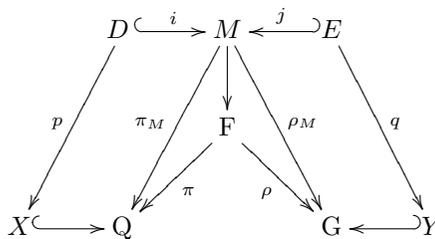
The goal of this short note is to show that these threefolds are derived equivalent

$$\mathbf{D}(X) \cong \mathbf{D}(Y).$$

In course of proof we will construct an explicit equivalence of the categories.

We denote by \mathbf{k} the base field. All the functors between triangulated categories are implicitly derived.

As explained in [IMOU] the threefolds X and Y are related by the following diagram



Here

- F is the flag variety of the simple algebraic group of type \mathbf{G}_2 ,
- Q and G are the Grassmannians of this group:

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- Q is a 5-dimensional quadric in $\mathbb{P}(V)$, where V is the 7-dimensional fundamental representation, and
- $G = \text{Gr}(2, V) \cap \mathbb{P}(W)$, where $W \subset \bigwedge^2 V$ is the 14-dimensional adjoint representation (this intersection is not dimensionally transverse!),
- $\pi: F \rightarrow Q$ and $\rho: F \rightarrow G$ are Zariski locally trivial \mathbb{P}^1 -fibrations,
- M is a smooth half-anticanonical divisor in F ,
- $\pi_M := \pi|_M: M \rightarrow Q$ is the blowup with center in the Calabi–Yau threefold X ,
- $\rho_M := \rho|_M: M \rightarrow G$ is the blowup with center in the Calabi–Yau threefold Y ,
- D and E are the exceptional divisors of the blowups,
- $p := \pi|_D: D \rightarrow X$ and $q := \rho|_E: E \rightarrow Y$ are the contractions.

We denote by h and H the hyperplane classes of Q and G , as well as their pullbacks to F and M . Then h and H form a basis of $\text{Pic}(F)$ in which the canonical classes can be expressed as follows:

$$K_Q = -5h, \quad K_G = -3H, \quad K_F = -2H - 2h, \quad K_M = -H - h. \tag{1}$$

The classes h and H are relative hyperplane classes for the \mathbb{P}^1 -fibrations $\rho: F \rightarrow G$ and $\pi: F \rightarrow Q$ respectively. We define rank 2 vector bundles \mathcal{K} and \mathcal{U} on Q and G respectively by

$$\pi_* \mathcal{O}_F(H) \cong \mathcal{K}^\vee, \quad \rho_* \mathcal{O}_F(h) \cong \mathcal{U}^\vee. \tag{2}$$

We also denote the pullbacks of \mathcal{K} and \mathcal{U} to F and M by the same symbols. Then

$$\mathbb{P}_Q(\mathcal{K}) \cong F \cong \mathbb{P}_G(\mathcal{U}).$$

It follows from (2) that $X \subset Q$ is the zero locus of a section of the vector bundle $\mathcal{K}^\vee(h)$ on Q and $Y \subset G$ is the zero locus of a section of the vector bundle $\mathcal{U}^\vee(H)$ on G .

Since H and h are relative hyperplane classes for $F = \mathbb{P}_Q(\mathcal{K})$ and $F = \mathbb{P}_G(\mathcal{U})$ respectively, we have on F exact sequences

$$0 \rightarrow \omega_{F/Q} \rightarrow \mathcal{K}^\vee(-H) \rightarrow \mathcal{O}_F \rightarrow 0, \quad 0 \rightarrow \omega_{F/G} \rightarrow \mathcal{U}^\vee(-h) \rightarrow \mathcal{O}_F \rightarrow 0.$$

By (1) we have $\omega_{F/Q} \cong \mathcal{O}_F(3h - 2H)$ and $\omega_{F/G} \cong \mathcal{O}_F(H - 2h)$. Taking the determinants of the above sequences and dualizing, we deduce

$$\det(\mathcal{K}) \cong \mathcal{O}_Q(-3h), \quad \det(\mathcal{U}) \cong \mathcal{O}_G(-H). \tag{3}$$

Furthermore, twisting the sequences by $\mathcal{O}_F(H)$ and $\mathcal{O}_F(h)$ respectively, we obtain

$$0 \rightarrow \mathcal{O}_F(3h - H) \rightarrow \mathcal{K}^\vee \rightarrow \mathcal{O}_F(H) \rightarrow 0, \tag{4}$$

and

$$0 \rightarrow \mathcal{O}_F(H - h) \rightarrow \mathcal{U}^\vee \rightarrow \mathcal{O}_F(h) \rightarrow 0. \tag{5}$$

Derived categories of both \mathbb{Q} and \mathbb{G} are known to be generated by exceptional collections. In fact, for our purposes the most convenient collections are

$$\mathbf{D}(\mathbb{Q}) = \langle \mathcal{O}_\mathbb{Q}(-3h), \mathcal{O}_\mathbb{Q}(-2h), \mathcal{O}_\mathbb{Q}(-h), \mathcal{S}, \mathcal{O}_\mathbb{Q}, \mathcal{O}_\mathbb{Q}(h) \rangle, \tag{6}$$

where \mathcal{S} is the spinor vector bundle of rank 4, see [Kap], and

$$\mathbf{D}(\mathbb{G}) = \langle \mathcal{O}_\mathbb{G}(-H), \mathcal{U}, \mathcal{O}_\mathbb{G}, \mathcal{U}^\vee, \mathcal{O}_\mathbb{G}(H), \mathcal{U}^\vee(H) \rangle. \tag{7}$$

This collection is obtained from the collection of [Kuz, Section 6.4] by a twist (note that $\mathcal{U} \cong \mathcal{U}^\vee(-H)$ by (3)). In fact, for the argument below one even does not need to know that this exceptional collection is full; on a contrary, one can use the argument to prove its fullness, see Remark 6.

Using two blowup representations of M and the corresponding semiorthogonal decompositions

$$\langle \pi_M^*(\mathbf{D}(\mathbb{Q})), i_*p^*(\mathbf{D}(X)) \rangle = \mathbf{D}(M) = \langle \rho_M^*(\mathbf{D}(\mathbb{G})), j_*q^*(\mathbf{D}(Y)) \rangle \tag{8}$$

together with the above exceptional collections, we see that $\mathbf{D}(X)$ and $\mathbf{D}(Y)$ are the complements in $\mathbf{D}(M)$ of exceptional collections of length 6, so one can guess they are equivalent. Below we show that this is the case by constructing a sequence of mutations transforming one exceptional collection to the other.

We start with some cohomology computations:

LEMMA 1. (i) *Line bundles $\mathcal{O}_F(th - H)$ and $\mathcal{O}_F(tH - h)$ are acyclic for all $t \in \mathbb{Z}$.*

(ii) *Line bundles $\mathcal{O}_F(-2H)$ and $\mathcal{O}_F(2h - 2H)$ are acyclic and*

$$H^\bullet(F, \mathcal{O}_F(3h - 2H)) = \mathbf{k}[-1].$$

(iii) *Vector bundles $\mathcal{U}(-2H)$, $\mathcal{U}(-H)$, $\mathcal{U}(h - H)$, and $\mathcal{U} \otimes \mathcal{U}(-H)$ on F are acyclic and*

$$H^\bullet(F, \mathcal{U}(h)) = \mathbf{k}, \quad H^\bullet(F, \mathcal{U} \otimes \mathcal{U}(h)) \cong \mathbf{k}[-1].$$

PROOF. Part (i) is easy since $\pi_*\mathcal{O}_F(-H) = 0$ and $\rho_*\mathcal{O}_F(-h) = 0$. For part (ii) we note that

$$\pi_*\mathcal{O}_F(-2H) \cong (\det \mathcal{X})[-1] \cong \mathcal{O}_\mathbb{Q}(-3h)[-1], \tag{9}$$

so acyclicity of $\mathcal{O}_F(-2H)$ and $\mathcal{O}_F(2h - 2H)$ and the formula for the cohomology of $\mathcal{O}_F(3h - 2H)$ follow. For part (iii) we push forward the bundles $\mathcal{U}(-2H)$, $\mathcal{U}(-H)$, $\mathcal{U}(h - H)$, and $\mathcal{U} \otimes \mathcal{U}(-H)$ to \mathbb{G} and applying (2) we obtain

$$\mathcal{U}(-2H), \mathcal{U}(-H), \mathcal{U} \otimes \mathcal{U}^\vee(-H), \mathcal{U} \otimes \mathcal{U}(-H).$$

Their acyclicity follows from orthogonality of $\mathcal{U}^\vee(H)$ to the collection $(\mathcal{O}_G(-H), \mathcal{U}, \mathcal{O}_Q, \mathcal{U}^\vee)$ in view of the exceptional collection (7). Analogously, pushing forward $\mathcal{U}(h)$ to G we obtain $\mathcal{U} \otimes \mathcal{U}^\vee$, and its cohomology is \mathbf{k} since \mathcal{U} is exceptional. Finally, using (5) we see that $\mathcal{U} \otimes \mathcal{U}(h)$ has a filtration with factors $\mathcal{O}_F(-h)$, $\mathcal{O}_F(h - H)$, and $\mathcal{O}_F(3h - 2H)$. The first two are acyclic by part (i) and the last one has cohomology $\mathbf{k}[-1]$ by part (ii). It follows that the cohomology of $\mathcal{U} \otimes \mathcal{U}(h)$ is also $\mathbf{k}[-1]$. \square

COROLLARY 2. *The following line and vector bundles are acyclic on M :*

$$\mathcal{O}_M(h - H), \mathcal{O}_M(3h - H), \mathcal{U}(h - H).$$

Moreover,

$$H^\bullet(M, \mathcal{U}(h)) = \mathbf{k}, \quad H^\bullet(M, \mathcal{U} \otimes \mathcal{U}(h)) = \mathbf{k}[-1].$$

PROOF. Since $M \subset F$ is a divisor with class $h + H$ we have a resolution

$$0 \rightarrow \mathcal{O}_F(-h - H) \rightarrow \mathcal{O}_F \rightarrow \mathcal{O}_M \rightarrow 0.$$

Tensoring it with the required bundles and using Lemma 1 we obtain the required results. \square

PROPOSITION 3. *We have an exact sequence on F and M :*

$$0 \rightarrow \mathcal{U} \rightarrow \mathcal{S}' \rightarrow \mathcal{U}^\vee(-h) \rightarrow 0, \tag{10}$$

where \mathcal{S}' is (the pullback to F or M of) a rank 4 vector bundle on Q .

Later we will identify the bundle \mathcal{S}' constructed as extension (10) with the spinor bundle \mathcal{S} on Q .

PROOF. We will construct this exact sequence on F , and then restrict it to M . First, note that by Lemma 1 we have $\text{Ext}^\bullet(\mathcal{U}^\vee(-h), \mathcal{U}) \cong H^\bullet(F, \mathcal{U} \otimes \mathcal{U}(h)) \cong \mathbf{k}[-1]$, hence there is a canonical extension of $\mathcal{U}^\vee(-h)$ by \mathcal{U} . We denote by \mathcal{S}' the extension, so that we have an exact sequence (10). Obviously, \mathcal{S}' is locally free of rank 4. We have to check that it is a pullback from Q .

Using exact sequences

$$0 \rightarrow \mathcal{O}_F(-h) \rightarrow \mathcal{U} \rightarrow \mathcal{O}_F(h - H) \rightarrow 0 \quad \text{and} \quad 0 \rightarrow \mathcal{O}_F(H - 2h) \rightarrow \mathcal{U}^\vee(-h) \rightarrow \mathcal{O}_F \rightarrow 0$$

(obtained from (5) by the dualization and a twist) and the cohomology computations of Lemma 1, we see that extension (10) is induced by a class in

$$\text{Ext}^1(\mathcal{O}_F(H - 2h), \mathcal{O}_F(h - H)) \cong H^\bullet(F, \mathcal{O}_F(3h - 2H)) = \mathbf{k}[-1].$$

By (4) the corresponding extension is $\mathcal{K}^\vee(-2h)$. It follows that the sheaf \mathcal{S}' has a 3-step filtration with factors being $\mathcal{O}_F(-h)$, $\mathcal{K}^\vee(-h)$, and \mathcal{O}_F . All these sheaves are pullbacks from Q , and since the subcategory $\pi^*(\mathbf{D}(Q)) \subset \mathbf{D}(F)$ is triangulated (because the functor π^* is fully faithful), it follows that \mathcal{S}' is also a pullback from Q . \square

Now we are ready to explain the mutations. We start with a semiorthogonal decomposition

$$\mathbf{D}(M) = \langle \mathcal{O}_M(-H), \mathcal{U}, \mathcal{O}_M, \mathcal{U}^\vee, \mathcal{O}_M(H), \mathcal{U}^\vee(H), \Phi_0(\mathbf{D}(Y)) \rangle, \tag{11}$$

$$\Phi_0 = j_* \circ q^* : \mathbf{D}(Y) \rightarrow \mathbf{D}(M), \tag{12}$$

obtained by plugging (7) into the right hand side of (8). Now we apply a sequence of mutations, modifying the functor Φ_0 .

First, we mutate $\Phi_0(\mathbf{D}(Y))$ two steps to the left:

$$\mathbf{D}(M) = \langle \mathcal{O}_M(-H), \mathcal{U}, \mathcal{O}_M, \mathcal{U}^\vee, \Phi_1(\mathbf{D}(Y)), \mathcal{O}_M(H), \mathcal{U}^\vee(H) \rangle, \tag{13}$$

$$\Phi_1 = \mathbf{L}_{\langle \mathcal{O}_M(H), \mathcal{U}^\vee(H) \rangle} \circ \Phi_0. \tag{14}$$

Here \mathbf{L} denotes the left mutation functor.

Next, we mutate the last two terms to the far left (these objects got twisted by $K_M = -h - H$):

$$\mathbf{D}(M) = \langle \mathcal{O}_M(-h), \mathcal{U}^\vee(-h), \mathcal{O}_M(-H), \mathcal{U}, \mathcal{O}_M, \mathcal{U}^\vee, \Phi_1(\mathbf{D}(Y)) \rangle.$$

Next, we mutate $\mathcal{O}_M(-h)$ and $\mathcal{U}^\vee(-h)$ one step to the right. As

$$\mathrm{Ext}^\bullet(\mathcal{U}^\vee(-h), \mathcal{O}_M(-H)) \cong H^\bullet(M, \mathcal{U}(h - H)) = 0,$$

and

$$\mathrm{Ext}^\bullet(\mathcal{O}_M(-h), \mathcal{O}_M(-H)) \cong H^\bullet(M, \mathcal{O}_M(h - H)) = 0$$

by Corollary 2, we obtain

$$\mathbf{D}(M) = \langle \mathcal{O}_M(-H), \mathcal{O}_M(-h), \mathcal{U}^\vee(-h), \mathcal{U}, \mathcal{O}_M, \mathcal{U}^\vee, \Phi_1(\mathbf{D}(Y)) \rangle.$$

Next, we mutate \mathcal{U} one step to the left. As

$$\mathrm{Ext}^\bullet(\mathcal{U}^\vee(-h), \mathcal{U}) \cong H^\bullet(\mathcal{U} \otimes \mathcal{U}(h)) \cong \mathbf{k}[-1]$$

by Corollary 2, the resulting mutation is an extension, which in view of (10) gives \mathcal{S}' . Thus, we obtain

$$\mathbf{D}(M) = \langle \mathcal{O}_M(-H), \mathcal{O}_M(-h), \mathcal{S}', \mathcal{U}^\vee(-h), \mathcal{O}_M, \mathcal{U}^\vee, \Phi_1(\mathbf{D}(Y)) \rangle.$$

Next, we mutate $\mathcal{O}_M(-H)$ to the far right (this object got twisted by $-K_M = h + H$):

$$\mathbf{D}(M) = \langle \mathcal{O}_M(-h), \mathcal{S}', \mathcal{U}^\vee(-h), \mathcal{O}_M, \mathcal{U}^\vee, \Phi_1(\mathbf{D}(Y)), \mathcal{O}_M(h) \rangle.$$

Next, we mutate $\Phi_1(\mathbf{D}(Y))$ one step to the right:

$$\begin{aligned} \mathbf{D}(M) &= \langle \mathcal{O}_M(-h), \mathcal{S}', \mathcal{U}^\vee(-h), \mathcal{O}_M, \mathcal{U}^\vee, \mathcal{O}_M(h), \Phi_2(\mathbf{D}(Y)) \rangle, \\ \Phi_2 &= \mathbf{R}_{\mathcal{O}_M(h)} \circ \Phi_1. \end{aligned} \tag{15}$$

Here \mathbf{R} denotes the right mutation functor.

Next, we mutate simultaneously $\mathcal{U}^\vee(-h)$ and \mathcal{U}^\vee one step to the right. As $\text{Ext}^\bullet(\mathcal{U}^\vee(-h), \mathcal{O}_M) \cong \text{Ext}^\bullet(\mathcal{U}^\vee, \mathcal{O}_M(h)) = H^\bullet(M, \mathcal{U}(h)) = \mathbf{k}$ by Corollary 2, the resulting mutation is the cone of a morphism, which in view of (5) and its twist by $\mathcal{O}_M(-h)$ gives $\mathcal{O}_M(H - 2h)$ and $\mathcal{O}_M(H - h)$ respectively. Thus we obtain

$$\mathbf{D}(M) = \langle \mathcal{O}_M(-h), \mathcal{S}', \mathcal{O}_M, \mathcal{O}_M(H - 2h), \mathcal{O}_M(h), \mathcal{O}_M(H - h), \Phi_2(\mathbf{D}(Y)) \rangle.$$

Next, we mutate $\mathcal{O}_M(h)$ one step to the left. As

$$\text{Ext}^\bullet(\mathcal{O}_M(H - 2h), \mathcal{O}_M(h)) \cong H^\bullet(M, \mathcal{O}_M(3h - H)) = 0$$

by Corollary 2, we obtain

$$\mathbf{D}(M) = \langle \mathcal{O}_M(-h), \mathcal{S}', \mathcal{O}_M, \mathcal{O}_M(h), \mathcal{O}_M(H - 2h), \mathcal{O}_M(H - h), \Phi_2(\mathbf{D}(Y)) \rangle.$$

Next, we mutate $\Phi_2(\mathbf{D}(Y))$ two steps to the left:

$$\begin{aligned} \mathbf{D}(M) = \langle \mathcal{O}_M(-h), \mathcal{S}', \mathcal{O}_M, \mathcal{O}_M(h), \Phi_3(\mathbf{D}(Y)), \mathcal{O}_M(H - 2h), \mathcal{O}_M(H - h) \rangle, \\ \Phi_3 = \mathbf{L}_{(\mathcal{O}_M(H-2h), \mathcal{O}_M(H-h))} \circ \Phi_2. \end{aligned} \tag{16}$$

Finally, we mutate $\mathcal{O}_M(H - 2h)$ and $\mathcal{O}_M(H - h)$ to the far left:

$$\mathbf{D}(M) = \langle \mathcal{O}_M(-3h), \mathcal{O}_M(-2h), \mathcal{O}_M(-h), \mathcal{S}', \mathcal{O}_M, \mathcal{O}_M(h), \Phi_3(\mathbf{D}(Y)) \rangle. \tag{17}$$

Now we finished with mutations, and it remains to check that the resulting semiorthogonal decomposition provides an equivalence of categories. To do this, we first observe the following

LEMMA 4. *The bundle \mathcal{S}' is isomorphic to the spinor bundle \mathcal{S} on \mathbf{Q} .*

PROOF. The first six objects in (17) are pullbacks from \mathbf{Q} by π_M . Since π_M^* is fully faithful, the corresponding objects on \mathbf{Q} are also semiorthogonal. In particular, the bundle \mathcal{S}' on \mathbf{Q} is right orthogonal to $\mathcal{O}_\mathbf{Q}$ and $\mathcal{O}_\mathbf{Q}(h)$ and left orthogonal to $\mathcal{O}_\mathbf{Q}(-3h)$, $\mathcal{O}_\mathbf{Q}(-2h)$, and $\mathcal{O}_\mathbf{Q}(-h)$. By (6) the intersection of these orthogonals is generated by the spinor bundle \mathcal{S} . Therefore, \mathcal{S}' is a multiple of the spinor bundle \mathcal{S} . Since the ranks of both \mathcal{S}' and \mathcal{S} are 4, the multiplicity is 1, so $\mathcal{S}' \cong \mathcal{S}$. \square

Thus the first six objects of (17) generate $\pi_M^*(\mathbf{D}(\mathbf{Q}))$. Comparing (17) with (6) and (8), we conclude that the last component $\Phi_3(\mathbf{D}(Y))$ coincides with $i_*p^*(\mathbf{D}(X))$. Altogether, this proves the following

THEOREM 5. *The functor*

$$\Phi_3 = \mathbf{L}_{(\mathcal{O}(H-2h), \mathcal{O}(H-h))} \circ \mathbf{R}_{\mathcal{O}(h)} \circ \mathbf{L}_{(\mathcal{O}(H), \mathcal{U}^\vee(H))} \circ j_* \circ q^* : \mathbf{D}(Y) \rightarrow \mathbf{D}(M)$$

is an equivalence of $\mathbf{D}(Y)$ onto the triangulated subcategory of $\mathbf{D}(M)$ equivalent to $\mathbf{D}(X)$ via the embedding $i_ \circ p^* : \mathbf{D}(X) \rightarrow \mathbf{D}(M)$. In particular, the functor*

$$\Psi = p_* \circ i^! \circ \mathbf{L}_{\langle \mathcal{O}(H-2h), \mathcal{O}(H-h) \rangle} \circ \mathbf{R}_{\mathcal{O}(h)} \circ \mathbf{L}_{\langle \mathcal{O}(H), \mathcal{U}^\vee(H) \rangle} \circ j_* \circ q^* : \mathbf{D}(Y) \rightarrow \mathbf{D}(X)$$

is an equivalence of categories.

REMARK 6. Let us sketch how the arguments above can be also used to prove fullness of (7). Denote by \mathcal{C} the orthogonal to the collection (7) in $\mathbf{D}(G)$. Then we still have a semiorthogonal decomposition (11), with $\Phi_0(\mathbf{D}(Y))$ replaced by $\langle \mathcal{C}, \Phi_0(\mathbf{D}(Y)) \rangle$. We can perform the same sequence of mutations, keeping the subcategory \mathcal{C} together with $\mathbf{D}(Y)$. For instance, in (13) we write $\langle \mathbf{L}_{\langle \mathcal{O}_M(H), \mathcal{U}^\vee(H) \rangle}(\mathcal{C}), \Phi_1(\mathbf{D}(Y)) \rangle$ instead of just $\Phi_1(\mathbf{D}(Y))$ and so on. In the end, we arrive at (17) with $\Phi_3(\mathbf{D}(Y))$ replaced by $\langle \mathcal{C}', \Phi_3(\mathbf{D}(Y)) \rangle$ with \mathcal{C}' equivalent to \mathcal{C} . Comparing it with (6) and (8), we deduce that $\mathbf{D}(X)$ has a semiorthogonal decomposition with two components equivalent to \mathcal{C} and $\mathbf{D}(Y)$. But X is a Calabi–Yau variety, hence its derived category has no non-trivial semiorthogonal decompositions by [Bri]. Therefore $\mathcal{C} = 0$ and so exceptional collection (7) is full.

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