

The l -class group of the \mathbf{Z}_p -extension over the rational field

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Abstract. Let p be an odd prime, and let \mathbf{B}_∞ denote the \mathbf{Z}_p -extension over the rational field. Let l be an odd prime different from p . The question whether the l -class group of \mathbf{B}_∞ is trivial has been considered in our previous papers mainly for the case where l varies with p fixed. We give a criterion, for checking the triviality of the l -class group of \mathbf{B}_∞ , which enables us to discuss the triviality when p varies with l fixed. As a consequence, we find that, if l does not exceed 13 and p does not exceed 101, then the l -class group of \mathbf{B}_∞ is trivial.

Introduction.

Let p be any odd prime number. Let \mathbf{Z}_p denote the ring of p -adic integers, and \mathbf{B}_∞ the \mathbf{Z}_p -extension over the rational field \mathbf{Q} , namely, the unique abelian extension over \mathbf{Q} , contained in the complex field \mathbf{C} , whose Galois group over \mathbf{Q} is topologically isomorphic to the additive group of \mathbf{Z}_p . The p -class group of \mathbf{B}_∞ is known to be trivial (cf. Iwasawa [7]). Let l be an odd prime different from p . In the present paper, we shall first give a sufficient condition for the triviality of the l -class group of \mathbf{B}_∞ by means of the reflection theorem on l -class groups (cf. Leopoldt [8]) together with some results obtained through algebraic study of the analytic class number formula (cf. Hasse [2], [4], Washington [11]). Discussing the sufficient condition with the help of a personal computer, we shall next see that, if $l \leq 13$ and $p \leq 101$, then the l -class group of \mathbf{B}_∞ is trivial. Although our numerical result is thus limited, our criterion for checking the triviality of the l -class group of \mathbf{B}_∞ seems to be widely applicable. In any case, the upper bounds for l and p in the above would become larger by further calculations.

As to the 2-class group of \mathbf{B}_∞ , we have given in [5] a sufficient condition for its triviality on the basis of some results in [3], [4]. Such a study motivates the investigation of the present paper; while, in connection with the contents of [3], [5], Ichimura and Nakajima have recently shown in [6] that, if $p < 500$, then the

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2-class group of B_∞ is trivial.

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1. Notations and Theorems.

For each positive integer a , we put

$$\xi_a = e^{2\pi i/p^a}.$$

Let P_∞ denote the composite, in \mathbf{C} , of the cyclotomic fields of p^b th roots of unity for all positive integers b , i.e., let

$$P_\infty = \bigcup_{b=1}^\infty Q(\xi_b) = B_\infty(\xi_1).$$

Let F be the decomposition field of l for the abelian extension P_∞/Q . We note that $P_\infty/F(\xi_1)$ is a \mathbf{Z}_p -extension. There exists a unique positive integer ν for which $Q(\xi_\nu)$ is an extension of F and the degree of $Q(\xi_\nu)/F$ divides $p - 1$:

$$F \subseteq Q(\xi_\nu), \quad [Q(\xi_\nu) : F] \mid p - 1.$$

In other words, ν is the positive integer determined by

$$l^{p-1} \equiv 1 \pmod{p^\nu}, \quad l^{p-1} \not\equiv 1 \pmod{p^{\nu+1}}.$$

Let \mathfrak{D} denote the ring of algebraic integers in F . Let S be the minimal set of non-negative integers less than $\varphi(p^\nu) = p^{\nu-1}(p - 1)$ such that the additive group in $Q(\xi_\nu)$ generated by ξ_ν^m for all $m \in S$ contains \mathfrak{D} , i.e.,

$$\mathfrak{D} \subseteq \sum_{m \in S} \mathbf{Z}\xi_\nu^m,$$

\mathbf{Z} being the ring of (rational) integers. Evidently, S is not empty: $0 < |S| \leq \varphi(p^\nu)$. Now, take any cyclic group Γ of order p^ν , and a generator γ of Γ ;

$$\Gamma = \{\gamma^m \mid m \in \mathbf{Z}, 0 \leq m < p^\nu\}.$$

Let S^* denote the minimal set of non-negative integers less than p^ν such that in $\mathbf{Z}[\Gamma]$, the group ring of Γ over \mathbf{Z} ,

$$(1 - \gamma^{p^{\nu-1}}) \sum_{m \in S} b_m \gamma^m \in \sum_{m' \in S^*} \mathbf{Z} \gamma^{m'}$$

for every sequence $\{b_m\}_{m \in S}$ of integers with $\sum_{m \in S} b_m \xi_\nu^m \in \mathfrak{O}$. We easily see that S^* does not depend on the choice of Γ or γ . It also follows that $0 < |S^*| \leq p^\nu$.

Let v be the number of distinct prime divisors of $(p - 1)/2$. Take the prime powers $q_1 > 1, \dots, q_v > 1$ satisfying

$$\frac{p - 1}{2} = q_1 \cdots q_v,$$

and let V denote the subset of the cyclic group $\langle e^{2\pi i/(p-1)} \rangle$ consisting of

$$e^{\pi i m_1/q_1} \cdots e^{\pi i m_v/q_v}$$

for all v -tuples (m_1, \dots, m_v) of integers with $0 \leq m_1 < q_1, \dots, 0 \leq m_v < q_v$. We understand that $V = \{1\}$ if $p = 3$. Furthermore, V is a complete set of representatives of the factor group $\langle e^{2\pi i/(p-1)} \rangle / \langle -1 \rangle$. Let Φ denote the family of maps from V to the set of non-negative integers at most equal to $l|S^*|$. We put

$$M = \max_{\kappa \in \Phi} \left| \mathfrak{N} \left(\sum_{\varepsilon \in V} \kappa(\varepsilon) \varepsilon - 1 \right) \right|,$$

where \mathfrak{N} denotes the norm map from $\mathbf{Q}(e^{2\pi i/(p-1)})$ to \mathbf{Q} . Clearly, M is a positive integer.

Let R be a set of positive integers smaller than p such that

$$R \cap \{p - a \mid a \in R\} = \emptyset, \quad R \cup \{p - a \mid a \in R\} = \{1, \dots, p - 1\}.$$

Given any integer $u \geq 0$, let R_u denote the set of integers b for which $b^{p-1} \equiv 1 \pmod{p^{u+1}}$, $0 < b < p^{u+1}$, and $b \equiv a \pmod{p}$ with some $a \in R$. It follows that $|R_u| = |R| = (p - 1)/2$; because, for each $a \in R$, there exists a unique $b \in R_u$ with $b \equiv a \pmod{p}$. For each positive integer n and each $\lambda \in \mathbf{Q}$ either relatively prime to p or in $p\mathbf{Z}$, we denote by $z_n(\lambda)$ the integer such that

$$z_n(\lambda) \equiv \lambda \pmod{p^n}, \quad 0 \leq z_n(\lambda) < p^n.$$

As easily seen, any $b \in R_u$, any integer c with $p \nmid c$, and any integer $u' > u$ satisfy

$$(z_{u'}(c^{-1})z_{u+1}(bc))^{p^{-1}} \equiv 1 \pmod{p^{u+1}},$$

whence

$$(z_{u'}(c^{-1})z_{u+1}(bc))^{p^{\nu}-1} \equiv 1 \pmod{p^{u+1}}.$$

We then define $w_{u,u'}(b, c)$ to be the least non-negative residue, modulo p^{ν} , of the integer $(1 - (z_{u'}(c^{-1})z_{u+1}(bc))^{p^{\nu}-1})p^{-u-1}$:

$$w_{u,u'}(b, c) = z_{\nu}((1 - (z_{u'}(c^{-1})z_{u+1}(bc))^{p^{\nu}-1})p^{-u-1}).$$

Let B_u denote the subfield of B_{∞} with degree p^u , and let h_u denote the class number of B_u . Since p is totally ramified for B_{∞}/Q , class field theory shows that $h_{n-1} \mid h_n$ for every positive integer n . One of our results is now stated as follows.

THEOREM 1. *Let n be an integer $\geq 2\nu - 1$, so that $n \geq \nu$. Assume that, for any positive integer $j \leq l - 2$ relatively prime to $l - 1$, there exists an integer c with $p \nmid c$ for which the algebraic integer*

$$\sum_{b \in R_{n-\nu}} \sum_{a=0}^{z_{\nu}(bc)-1} \sum_{r=0}^{l-1} (z_{n-\nu+1}(bc) + p^{n-\nu+1}r)^j \xi_{\nu}^{z_{\nu}(b^{-1}c^{-1})(la+r)+w_{n-\nu,n+1}(b,c)}$$

is relatively prime to l , i.e., does not belong to any prime ideal of $Q(\xi_{\nu})$ dividing l . Then l does not divide the integer h_n/h_{n-1} .

On the other hand, [4, Lemma 3] means that l does not divide $h_{n'}/h_{n'-1}$ for any integer $n' \geq 2\nu - 1$ satisfying $p^{n'-\nu+1} > M$. Hence the above theorem leads us to the following.

THEOREM 2. *Let n_0 be an integer $\geq 2\nu - 2$. Assume that $l \nmid h_{n_0}$ and that, for any positive integer $j \leq l - 2$ relatively prime to $l - 1$ and for any integer $n > n_0$ satisfying $p^{n-\nu+1} \leq M$, there exists an integer c with $p \nmid c$ for which*

$$\sum_{b \in R_{n-\nu}} \sum_{a=0}^{z_{\nu}(bc)-1} \sum_{r=0}^{l-1} (z_{n-\nu+1}(bc) + p^{n-\nu+1}r)^j \xi_{\nu}^{z_{\nu}(b^{-1}c^{-1})(la+r)+w_{n-\nu,n+1}(b,c)}$$

is relatively prime to l . Then the l -class group of B_{∞} is trivial.

For each pair (r, n) of positive integers, let $H_n(r)$ denote the set of positive integers a with $p \nmid a$ satisfying $a/p^{n+1} < r/l$, and for each integer b with $p \nmid b$, let $y_n(b)$ denote the least non-negative residue, modulo p^n , of the integer $(1 - b^{p^n - 1})/p$. The following result, independent of ν , is useful in checking the indivisibility $l \nmid h_n/h_{n-1}$ particularly for a positive integer $n \leq 2\nu - 2$.

THEOREM 3. *Let n be a positive integer. Assume that, for each positive integer $j \leq l - 2$ relatively prime to $l - 1$, the algebraic integer*

$$\sum_{r=1}^{(l-1)/2} \sum_{a \in H_n(r)} r^j \xi_n^{y_n(a)}$$

is relatively prime to l . Then l does not divide h_n/h_{n-1} .

2. Proofs of Theorems 1 and 3.

To prove Theorems 1 and 3, we shall first give some preliminaries. Let \mathbf{Z}_l , \mathbf{Q}_l , and Ω_l denote the ring of l -adic integers, the field of l -adic numbers, and an algebraic closure of \mathbf{Q}_l , respectively. All algebraic numbers in \mathbf{C} will also be regarded as elements of Ω_l through a fixed imbedding, into Ω_l , of the algebraic closure of \mathbf{Q} in \mathbf{C} . In the rest of the paper, we suppose every Dirichlet character to be primitive. Given any Dirichlet character χ , we denote by g_χ the order of χ , denote by f_χ the conductor of χ , put $\mu_\chi = e^{2\pi i/f_\chi}$, and define χ^* to be the homomorphism of the Galois group $\text{Gal}(\mathbf{Q}(\mu_\chi)/\mathbf{Q})$ into the multiplicative group of Ω_l such that, for each integer a relatively prime to f_χ , $\chi(a)$ is the image under χ^* of the automorphism in $\text{Gal}(\mathbf{Q}(\mu_\chi)/\mathbf{Q})$ sending μ_χ to μ_χ^a . Let K_χ denote the fixed field in $\mathbf{Q}(\mu_\chi)$ of the kernel of χ^* :

$$\text{Gal}(\mathbf{Q}(\mu_\chi)/K_\chi) = \text{Ker}(\chi^*).$$

Then K_χ is a cyclic extension over \mathbf{Q} of degree g_χ with conductor f_χ . Let

$$G_\chi = \text{Gal}(K_\chi/\mathbf{Q})$$

and let A_χ denote the l -class group of K_χ , which becomes a module over the group ring $\mathbf{Z}_l[G_\chi]$ in the obvious manner. Let $\tilde{\chi}$ denote the rational irreducible character of G_χ such that, for each $\mathfrak{s} \in \text{Gal}(\mathbf{Q}(\mu_\chi)/\mathbf{Q})$, the image under $\tilde{\chi}$ of the restriction of \mathfrak{s} to K_χ is the sum of $\chi^*(\mathfrak{s})^j$ for all positive integers $j \leq g_\chi$ relatively prime to g_χ . When l does not divide $g_\chi = [K_\chi : \mathbf{Q}]$, we can define an idempotent $\epsilon(\chi)$ of $\mathbf{Z}_l[G_\chi]$ by

$$\mathfrak{e}(\chi) = \frac{1}{g_\chi} \sum_{\sigma \in G_\chi} \widetilde{\chi}(\sigma^{-1})\sigma,$$

and $A_\chi^{\mathfrak{e}(\chi)} = \{\alpha^{\mathfrak{e}(\chi)} \mid \alpha \in A_\chi\}$ is the $\mathbf{Z}_l[G_\chi]$ -submodule of A_χ consisting of all elements β of A_χ with $\beta^{\mathfrak{e}(\chi)} = \beta$. On the other hand, when χ is odd, i.e., $\chi(-1) = -1$, we put

$$h_\chi = \delta_\chi \prod_j \left(-\frac{1}{2f_\chi} \sum_{a=1}^{f_\chi} \chi^j(a)a \right).$$

Here, if f_χ is a power of an odd prime and $g_\chi = \varphi(f_\chi)$, then δ_χ denotes the prime divisor of f_χ ; otherwise, δ_χ denotes 1; and j ranges over the positive integers $< g_\chi$ relatively prime to g_χ . In this case, $4h_\chi$ is known to be a positive integer, so that $h_\chi \in \mathbf{Z}_l \setminus \{0\}$ (cf. [2, Sections 27–33]). Furthermore, unless f_χ is a power of a prime number, h_χ itself is a positive integer since

$$-\frac{1}{2f_\chi} \sum_{a=1}^{f_\chi} \chi(a)a$$

is an algebraic integer (cf. [2, Section 28]).

LEMMA 1. *Let χ be a Dirichlet character as above. Assume that χ is odd and $l \nmid g_\chi$. Then the order of $A_\chi^{\mathfrak{e}(\chi)}$ is equal to the l -part of h_χ , i.e., the highest power of l dividing h_χ .*

PROOF. Let A_χ^- denote the kernel of the homomorphism $A_\chi \rightarrow A_{\chi^2}$ induced by the norm map from the ideal class group of K_χ to that of K_{χ^2} . Since K_{χ^2} is the maximal real subfield of K_χ , A_χ^- is none other than the Sylow l -subgroup of the relative class group of K_χ . Naturally A_χ^- , as well as A_{χ^u} for each positive divisor u of g_χ , becomes a $\mathbf{Z}_l[G_\chi]$ -module. Let T be the set of positive odd divisors of g_χ . By the assumption $l \nmid g_\chi$, each $u \in T$ gives in $\mathbf{Z}_l[G_\chi]$ an idempotent $\mathfrak{e}_u = g_\chi^{-1} \sum_{\sigma \in G_\chi} \widetilde{\chi}^u(\sigma^{-1})\sigma$, A_χ^- is the direct product of its $\mathbf{Z}_l[G_\chi]$ -submodules $A_{\chi^u}^{\mathfrak{e}_u}$ for all $u \in T$, and the natural map $A_{\chi^u} \rightarrow A_\chi$ for each $u \in T$ induces an isomorphism $A_{\chi^u}^{\mathfrak{e}_u} = A_{\chi^u}^{\mathfrak{e}_u} \xrightarrow{\sim} A_{\chi^u}^{\mathfrak{e}_u}$ of $\mathbf{Z}_l[G_\chi]$ -modules. We therefore obtain

$$|A_\chi^-| = \prod_{u \in T} |A_{\chi^u}^{\mathfrak{e}_u}|.$$

The analytic class number formula implies, however, that $|A_{\bar{\chi}}^-|$ coincides with the *l*-part of $\prod_{u \in T} h_{\chi^u}$; in fact, the relative class number of K_{χ} is equal to $2^b \prod_{u \in T} h_{\chi^u}$ for some positive integer *b* (cf. [2, Satz 34]). Thus we can prove the lemma by induction on g_{χ} . □

We denote by ω the Teichmüller character modulo *l*, namely, the odd Dirichlet character of order $l - 1$ with conductor *l* such that, in Ω_l ,

$$\omega(a) \equiv a \pmod{l} \quad \text{for every } a \in \mathbf{Z}.$$

LEMMA 2. *Let n be a positive integer, and ψ a Dirichlet character of order p^n with conductor p^{n+1} . If $A_{\omega\psi^u}^{\epsilon(\omega\psi^u)}$ is trivial for every positive integer $u < p^n$ relatively prime to p , then $A_{\psi}^{\epsilon(\psi)}$ is trivial.*

PROOF. Let

$$\mathfrak{K} = K_{\omega}K_{\psi} = K_{\psi}(e^{2\pi i/l}),$$

and let $G_{\mathfrak{K}}$ denote the Galois group of the abelian extension \mathfrak{K}/\mathbf{Q} : $G_{\mathfrak{K}} = \text{Gal}(\mathfrak{K}/\mathbf{Q})$. We take any Dirichlet character χ with $K_{\chi} \subseteq \mathfrak{K}$. The composite of the restriction map $G_{\mathfrak{K}} \rightarrow G_{\chi}$ and $\tilde{\chi}$ defines a rational irreducible character of $G_{\mathfrak{K}}$, and an idempotent $e(\chi)$ of $\mathbf{Z}_l[G_{\mathfrak{K}}]$ is defined by

$$e(\chi) = \frac{1}{[\mathfrak{K} : \mathbf{Q}]} \sum_{\mathfrak{s} \in G_{\mathfrak{K}}} \tilde{\chi}(\mathfrak{s}_{\chi}^{-1})\mathfrak{s},$$

where \mathfrak{s}_{χ} denotes the restriction of each $\mathfrak{s} \in G_{\mathfrak{K}}$ to K_{χ} . Let $A_{\mathfrak{K}}$ denote the *l*-class group of \mathfrak{K} . We consider A_{χ} , as well as $A_{\mathfrak{K}}$, to be a $\mathbf{Z}_l[G_{\mathfrak{K}}]$ -module in the obvious manner. Since $l \nmid [\mathfrak{K} : K_{\chi}]$, the natural map $A_{\chi} \rightarrow A_{\mathfrak{K}}$ induces an isomorphism $A_{\chi}^{\epsilon(\chi)} \xrightarrow{\sim} A_{\mathfrak{K}}^{\epsilon(\chi)}$ of $\mathbf{Z}_l[G_{\mathfrak{K}}]$ -modules. Noting that $l \nmid g_{\chi}$, let $\dot{\chi}$ denote the *l*-adic irreducible character of G_{χ} such that, for each $\mathfrak{s} \in \text{Gal}(\mathbf{Q}(\mu_{\chi})/\mathbf{Q})$, the image under $\dot{\chi}$ of the restriction of \mathfrak{s} to K_{χ} is the sum of $\chi^*(\mathfrak{s})^{l^a}$ for all non-negative integers *a* smaller than the order of *l* modulo g_{χ} . We then define an idempotent $i(\chi)$ of $\mathbf{Z}_l[G_{\mathfrak{K}}]$ by

$$i(\chi) = \frac{1}{[\mathfrak{K} : \mathbf{Q}]} \sum_{\mathfrak{s} \in G_{\mathfrak{K}}} \dot{\chi}(\mathfrak{s}_{\chi}^{-1})\mathfrak{s}.$$

It follows that $e(\chi)i(\chi) = i(\chi)$ in $\mathbf{Z}_l[G_{\mathfrak{K}}]$.

Now, let H denote the set of positive integers $< p^n$ relatively prime to p . Assume that $A_{\omega\psi^u}^{e(\omega\psi^u)} = \{1\}$, i.e., $A_{\mathfrak{R}}^{e(\omega\psi^u)} = \{1\}$ with any $u \in H$. Then $A_{\mathfrak{R}}^{i(\omega\psi^u)} = A_{\mathfrak{R}}^{e(\omega\psi^u)i(\omega\psi^u)} = \{1\}$, while the reflection theorem (cf. [8, Section 3 Der Spiegelungssatz]) implies that the rank of (the finite abelian l -group) $A_{\mathfrak{R}}^{i(\psi^{-u})}$ does not exceed the rank of $A_{\mathfrak{R}}^{i(\omega\psi^u)}$. We thus obtain $A_{\mathfrak{R}}^{i(\psi^{-u})} = \{1\}$ for every $u \in H$. Furthermore, in $\mathbf{Z}_l[G_{\mathfrak{R}}]$, $e(\psi) = e(\psi^{-1})$ is the sum of all elements of $\{i(\psi^{-u}) \mid u \in H\}$. Hence $A_{\mathfrak{R}}^{e(\psi)} = \{1\}$, and consequently $A_{\psi}^{e(\psi)} = \{1\}$. \square

By means of the lemmas proved above, let us give

PROOF OF THEOREM 1. Take any Dirichlet character ψ of order p^n with conductor p^{n+1} . Then $K_{\psi} = \mathbf{B}_n$, $K_{\psi^p} = \mathbf{B}_{n-1}$, and the order of $A_{\psi}^{e(\psi)}$ is the l -part of the integer h_n/h_{n-1} . The present proof therefore concludes if the triviality of $A_{\psi}^{e(\psi)}$ can be shown. On the other hand, Lemmas 1 and 2 show that $A_{\psi}^{e(\psi)} = \{1\}$ if l does not divide the integer $h_{\omega\psi^j}$ for any Dirichlet character ψ^j of order p^n with conductor p^{n+1} . Hence it suffices to prove that l does not divide

$$h_{\omega\psi} = \prod_j \left(-\frac{1}{2lp^{n+1}} \sum_{a=1}^{lp^{n+1}} \omega^j(a)\psi^j(a)a \right),$$

where j ranges over all positive integers $< (l-1)p^n/\gcd(l-1, p^n)$ relatively prime to $(l-1)p$. We put

$$\Theta = -\frac{1}{2lp^{n+1}} \sum_{a=1}^{lp^{n+1}} \omega^j(a)\psi^j(a)a, \quad \eta = \psi^j(1 + p^{n-\nu+1}),$$

with any positive integer j relatively prime to $(l-1)p$. Note that Θ is an algebraic integer in $\mathbf{Q}(e^{2\pi i/(l-1)}, \xi_n)$, and η is a primitive p^ν th root of unity. We denote by \mathfrak{T} the trace map from $\mathbf{Q}(e^{2\pi i/(l-1)}, \xi_n)$ to $\mathbf{Q}(e^{2\pi i/(l-1)}, \xi_\nu)$. Since $F \subseteq \mathbf{Q}(\xi_\nu)$, we have

$$\begin{aligned} \mathbf{Q}(e^{2\pi i/(l-1)}, \xi_\nu) &\neq \mathbf{Q}(e^{2\pi i/(l-1)}, \xi_{\nu+1}), \\ \text{i.e., } [\mathbf{Q}(e^{2\pi i/(l-1)}, \xi_n) : \mathbf{Q}(e^{2\pi i/(l-1)}, \xi_\nu)] &= p^{n-\nu}. \end{aligned}$$

Recalling that $n \geq 2\nu - 1$, let c range over the integers not divisible by p . Arguments in the first part of [11, Section IV] then teach us that

$$\begin{aligned} \mathfrak{I}(-\psi^{-j}(c)\Theta) &= p^{n-\nu} \sum_{b \in R_{n-\nu}} \psi^{-j}(c)\psi^j(z_{n-\nu+1}(bc)) \\ &\quad \times \sum_{r=0}^{l-1} \omega^j(z_{n-\nu+1}(bc) + p^{n-\nu+1}r) \frac{\eta^{z_\nu(b^{-1}c^{-1})r}}{\eta^{z_\nu(b^{-1}c^{-1})l} - 1} \end{aligned}$$

(cf., in particular, [11, (**)]). Each $\psi^{-j}(c)\psi^j(z_{n-\nu+1}(bc))$ above is a p^ν th root of unity, and an integer u with $\eta^u = \psi^{-j}(c)\psi^j(z_{n-\nu+1}(bc))$ satisfies

$$(z_{n+1}(c^{-1})z_{n-\nu+1}(bc))^{p-1} \equiv (1 + p^{n-\nu+1})^{(p-1)u} \pmod{p^{n+1}},$$

so that

$$(z_{n+1}(c^{-1})z_{n-\nu+1}(bc))^{p^\nu-1} \equiv (1 + p^{n-\nu+1})^{(p^\nu-1)u} \equiv 1 - p^{n-\nu+1}u \pmod{p^{n+1}},$$

and consequently

$$u \equiv w_{n-\nu, n+1}(b, c) \pmod{p^\nu}.$$

We thus obtain

$$\begin{aligned} \mathfrak{I}((1 - \eta^l)\psi^{-j}(c)\Theta) &= p^{n-\nu} \sum_{b \in R_{n-\nu}} \sum_{r=0}^{l-1} \omega^j(z_{n-\nu+1}(bc) + p^{n-\nu+1}r) \\ &\quad \times \eta^{w_{n-\nu, n+1}(b, c) + z_\nu(b^{-1}c^{-1})r} \frac{\eta^l - 1}{\eta^{z_\nu(b^{-1}c^{-1})l} - 1}. \end{aligned}$$

Furthermore we can take a prime ideal \mathfrak{l} of $\mathbf{Q}(e^{2\pi i/(l-1)}, \xi_n)$ which divides l and $\omega(a) - a$ for all $a \in \mathbf{Z}$. Hence $\mathfrak{I}((1 - \eta^l)\psi^{-j}(c)\Theta)$ is congruent to

$$p^{n-\nu} \sum_{b \in R_{n-\nu}} \sum_{a=0}^{z_\nu(bc)-1} \sum_{r=0}^{l-1} (z_{n-\nu+1}(bc) + p^{n-\nu+1}r)^{j_0} \eta^{z_\nu(b^{-1}c^{-1})(la+r) + w_{n-\nu, n+1}(b, c)}$$

modulo \mathfrak{l} in $\mathbf{Q}(e^{2\pi i/(l-1)}, \xi_n)$, where j_0 denotes the least positive residue of j modulo $l-1$. It follows from the definition of ν , however, that every prime ideal of $\mathbf{Q}(e^{2\pi i/(l-1)}, \xi_\nu)$ dividing l remains prime in $\mathbf{Q}(e^{2\pi i/(l-1)}, \xi_n)$. Therefore, in view of the assumption of the theorem, $(1 - \eta^l)\psi^{-j}(c)\Theta$ is not divisible by \mathfrak{l} for some c , and hence Θ is not divisible by \mathfrak{l} . This fact implies that \mathfrak{l} does not divide $h_{\omega\psi}$, namely, l does not divide $h_{\omega\psi}$. \square

We successively proceed to

PROOF OF THEOREM 3. Let ψ be any Dirichlet character of order p^n with conductor p^{n+1} . As in the proof of Theorem 1, put

$$\Theta = -\frac{1}{2lp^{n+1}} \sum_{a=1}^{lp^{n+1}} \omega^j(a)\psi^j(a)a, \quad \eta = \psi^j(1+p),$$

with any positive integer j relatively prime to $(l-1)p$. Then, for each $b \in \mathbf{Z}$ with $p \nmid b$, $\psi^j(b) = \eta^{y_n(b)}$ holds, because η is a primitive p^n th root of unity and an integer u with $\psi^j(b) = \eta^u$ satisfies $b^{p^n-1} \equiv (1+p)^{u(p^n-1)} \pmod{p^{n+1}}$. Let \mathfrak{l} be a prime ideal of $\mathbf{Q}(e^{2\pi i/(l-1)}, \xi_n)$ dividing l and $\omega(a) - a$ for all $a \in \mathbf{Z}$. It follows from [2, Section 28, (3)] that

$$\Theta = \omega^j(p^{n+1})\psi^j(l) \sum_{r=1}^{(l-1)/2} \sum_{b \in H_n(r)} \omega^j(r)\psi^j(b).$$

Thus, in $\mathbf{Q}(e^{2\pi i/(l-1)}, \xi_n)$,

$$\Theta \equiv \omega^j(p^{n+1})\psi^j(l) \sum_{r=1}^{(l-1)/2} \sum_{b \in H_n(r)} r^j \eta^{y_n(b)} \pmod{\mathfrak{l}}.$$

Hence, by the hypothesis of the theorem, Θ is relatively prime to \mathfrak{l} and, consequently, l does not divide $h_{\omega\psi}$. Lemmas 1 and 2 therefore show that $A_{\psi}^{\epsilon(\psi)}$ is trivial, namely, l does not divide h_n/h_{n-1} . \square

3. Supplementary results.

We add a simple result supplementary to Theorem 2.

LEMMA 3.

$$M < \left(\frac{(p-1)l|S^*|}{2} \right)^{\varphi(p-1)}.$$

PROOF. Take any $\kappa \in \Phi$. Then

$$\left| \Re \left(\sum_{\epsilon \in V} \kappa(\epsilon)\epsilon - 1 \right) \right| = \prod_{\rho} \left| \sum_{\epsilon \in V} \kappa(\epsilon)\epsilon^{\rho} - 1 \right|,$$

with ρ ranging over all automorphisms of $\mathbf{Q}(e^{2\pi i/(p-1)})$, and

$$\left| \sum_{\varepsilon \in V} \kappa(\varepsilon)\varepsilon^\rho - 1 \right| \leq |\kappa(1) - 1| + \sum_{\varepsilon \in V \setminus \{1\}} \kappa(\varepsilon) < \frac{p-1}{2} \cdot l|S^*|.$$

Therefore

$$\left| \mathfrak{N} \left(\sum_{\varepsilon \in V} \kappa(\varepsilon)\varepsilon - 1 \right) \right| < \left(\frac{(p-1)l|S^*|}{2} \right)^{\varphi(p-1)}. \quad \square$$

Now, let us consider the case $\nu = 1$. We put $d = [F : \mathbf{Q}]$ for simplicity. It follows that $(p-1)/d$ is the order of l modulo p . Let χ be any Dirichlet character of order d with conductor dividing $p : g_\chi = d, f_\chi \mid p$. Let $\zeta = e^{2\pi i/d}$ and, for each non-negative integer $j < d$, let θ_j denote the sum of ξ_1^m for all positive integers $m < p$ with $\chi(m) = \zeta^j$, so that $\theta_j \in \mathfrak{D}$. Further, let I denote the set of non-negative integers less than d and other than $d/2$. By the fact that $\xi_1, \xi_1^2, \dots, \xi_1^{p-1}$ form a normal integral basis of $\mathbf{Q}(\xi_1)/\mathbf{Q}$, we see that $\theta_0, \dots, \theta_{d-1}$ form a normal integral basis of F/\mathbf{Q} . As $\theta_0 + \dots + \theta_{d-1} = -1$, (the additive group of) \mathfrak{D} is a free \mathbf{Z} -module over $\{1, \theta_1, \dots, \theta_{d-1}\}$ and, when $\chi(-1) = -1, d$ is even and \mathfrak{D} is a free \mathbf{Z} -module over $\{1\} \cup \{\theta_j \mid j \in I\}$. In particular, we have

$$S = \{m \in \mathbf{Z} \mid 0 \leq m \leq p-2, \chi(m) \neq \chi(-1)\}.$$

This implies that

$$|S| = 1 + (d-1)\frac{p-1}{d} = p - \frac{p-1}{d}.$$

LEMMA 4. *If $\nu = 1$, then*

$$|S^*| = p + 1 - \frac{p-1}{[F : \mathbf{Q}]} = |S| + 1.$$

PROOF. Assuming that $\nu = 1$, we let $d = [F : \mathbf{Q}]$ and $\zeta = e^{2\pi i/d}$ as before. Obviously, $S^* = \{0, 1\}$ if $F = \mathbf{Q}$; so we also assume $d > 1$. Let χ be any Dirichlet character of order d with conductor p . For any non-negative integer $j < d$, define N_j to be the number of positive integers $m \leq p-2$ which satisfy

$$\chi(m) = \chi(m+1) = \zeta^j.$$

Then

$$\begin{aligned}
 N_j &= \sum_{m=1}^{p-2} \left(\frac{1}{d} \sum_{a=0}^{d-1} \chi^a(m) \zeta^{-ja} \right) \left(\frac{1}{d} \sum_{b=0}^{d-1} \chi^b(m+1) \zeta^{-jb} \right) \\
 &= \frac{1}{d^2} \left(p-2 + \sum_{a=1}^{d-1} \zeta^{-ja} \sum_{m=1}^{p-2} \chi^a(m) + \sum_{b=1}^{d-1} \zeta^{-jb} \sum_{m=1}^{p-2} \chi^b(m+1) \right. \\
 &\quad \left. + \sum_{b=1}^{d-1} \zeta^{-j(d-b)-jb} \sum_{m=1}^{p-2} \chi^{d-b}(m) \chi^b(m+1) + \sum_{(a,b) \in W} \zeta^{-ja-jb} J_{a,b} \right),
 \end{aligned}$$

where W denotes the set of pairs (a, b) of positive integers less than d with $a+b \neq d$, and

$$J_{a,b} = \sum_{m=1}^{p-2} \chi^a(m) \chi^b(m+1) \quad \text{for each } (a, b) \in W.$$

Since

$$\begin{aligned}
 \sum_{m=1}^{p-2} \chi^a(m) &= -\chi^a(-1), & \sum_{m=1}^{p-2} \chi^b(m+1) &= -1, \\
 \sum_{m=1}^{p-2} \chi^{d-b}(m) \chi^b(m+1) &= \sum_{m=1}^{p-2} \chi^b(z_1(m^{-1}) + 1) &= -1
 \end{aligned}$$

in the above, it follows that

$$N_j = \frac{1}{d^2} \left(p-d-1 - \sum_{a=1}^{d-1} \chi^a(-1) \zeta^{-ja} - \sum_{b=1}^{d-1} \zeta^{-jb} + \sum_{(a,b) \in W} \zeta^{-j(a+b)} J_{a,b} \right).$$

Therefore we eventually obtain

$$\sum_{j=0}^{d-1} N_j = \frac{p-d-1}{d}. \tag{1}$$

Let us recall that Γ is a cyclic group of order p generated by γ . For each non-negative integer $j < d$, we denote by γ_j the sum of γ^m , in $\mathbf{Z}[\Gamma]$, for all positive integers $m \leq p-2$ with $\chi(m) = \zeta^j$. Meanwhile, for each positive integer $m < p$,

we denote by $\iota(m)$ the non-negative integer less than d such that $\chi(m) = \zeta^{\iota(m)}$.

Suppose now that $\chi(-1) = 1$. As already seen,

$$\mathfrak{D} = \mathbf{Z} \oplus \mathbf{Z}\theta_1 \oplus \cdots \oplus \mathbf{Z}\theta_{d-1}.$$

Given arbitrary integers s, t_1, \dots, t_{d-1} , take the integers c_0, \dots, c_{p-1} satisfying

$$c_0 + c_1\gamma + \cdots + c_{p-1}\gamma^{p-1} = (1 - \gamma) \left(s + \sum_{j=1}^{d-1} t_j\gamma_j \right).$$

Then $c_0 = s, c_1 = -s$, and for any positive integer $m \leq p - 2$,

$$c_{m+1} = \begin{cases} t_{\iota(m+1)} - t_{\iota(m)} & \text{if } \chi(m) \neq 1, \chi(m+1) \neq 1; \\ t_{\iota(m+1)} & \text{if } \chi(m) = 1, \chi(m+1) \neq 1; \\ -t_{\iota(m)} & \text{if } \chi(m) \neq 1, \chi(m+1) = 1; \\ 0 & \text{if } \chi(m) = \chi(m+1) = 1. \end{cases}$$

We therefore find that

$$|S^*| = p - \sum_{j=0}^{d-1} N_j.$$

Hence (1) yields

$$|S^*| = p - \frac{p-d-1}{d} = p + 1 - \frac{p-1}{d}.$$

We next suppose that $\chi(-1) = -1$. In this case, $2 \mid d$ and

$$\mathfrak{D} = \mathbf{Z} \oplus \left(\bigoplus_{j \in I} \mathbf{Z}\theta_j \right)$$

as already seen. Similarly to the case $\chi(-1) = 1$, let s be any integer and let t_j be any integer for each $j \in I$. Take the integers c'_0, \dots, c'_{p-1} satisfying

$$c'_0 + c'_1\gamma + \cdots + c'_{p-1}\gamma^{p-1} = (1 - \gamma) \left(s + \sum_{j \in I} t_j\gamma_j \right).$$

Then $c'_0 = s$, $c'_1 = t_0 - s$, and for any positive integer $m \leq p - 2$,

$$c'_{m+1} = \begin{cases} t_{\nu(m+1)} - t_{\nu(m)} & \text{if } \chi(m) \neq -1, \chi(m+1) \neq -1; \\ t_{\nu(m+1)} & \text{if } \chi(m) = -1, \chi(m+1) \neq -1; \\ -t_{\nu(m)} & \text{if } \chi(m) \neq -1, \chi(m+1) = -1; \\ 0 & \text{if } \chi(m) = \chi(m+1) = -1. \end{cases}$$

Hence

$$|S^*| = p - \sum_{j=0}^{d-1} N_j$$

again so that, by (1),

$$|S^*| = p + 1 - \frac{p-1}{d}. \quad \square$$

4. Computational results.

Let s_0 be the least positive primitive root modulo p^2 . Let us take as R ($= R_0$) the set of $z_1(s_0^u)$ for all non-negative integers $u \leq (p - 3)/2$. Given any integer $n \geq 2\nu - 1$, any positive integer $j \leq l - 2$ relatively prime to $l - 1$, and any integer c with $p \nmid c$, we define

$$P_{n,j,c}(X) = \sum_{b \in R_{n-\nu}} \sum_{a=0}^{z_\nu(bc)-1} \sum_{r=0}^{l-1} (z_{n-\nu+1}(bc) + p^{n-\nu+1}r)^j X^{z_\nu(b^{-1}c^{-1})(la+r)+w_{n-\nu,n+1}(b,c)}$$

in $(\mathbf{Z}/l\mathbf{Z})[X]$, the polynomial ring in an indeterminate X over the residue field $\mathbf{Z}/l\mathbf{Z}$. Here, for each pair (m, u) of integers with $u \geq 0$, we understand that mX^u denotes the monomial in X of degree u with coefficient the class of m in $\mathbf{Z}/l\mathbf{Z}$ or denotes the zero element of $(\mathbf{Z}/l\mathbf{Z})[X]$ according to whether $l \nmid m$ or $l \mid m$. Note also that $R_{n-\nu}$ is the set of $z_{n-\nu+1}(s_0^{p^{n-\nu}u})$ for all non-negative integers $u \leq (p - 3)/2$. We denote by $Q_{n,j,c}(X)$ the greatest common divisor of $P_{n,j,c}(X)$ and the p^ν th cyclotomic polynomial in $(\mathbf{Z}/l\mathbf{Z})[X]$, with the leading coefficient of $Q_{n,j,c}(X)$ assumed to be the unity element of $\mathbf{Z}/l\mathbf{Z}$:

$$Q_{n,j,c}(X) = \gcd \left(P_{n,j,c}(X), \sum_{u=0}^{p-1} X^{p^{\nu-1}u} \right).$$

Since $\mathbf{Z}[\xi_\nu]$ is the ring of algebraic integers of $\mathbf{Q}(\xi_\nu)$, it then follows that

$$\sum_{b \in R_{n-\nu}} \sum_{a=0}^{z_\nu(bc)-1} \sum_{r=0}^{l-1} (z_{n-\nu+1}(bc) + p^{n-\nu+1}r)^j \xi_\nu^{z_\nu(b^{-1}c^{-1})(la+r)+w_{n-\nu,n+1}(b,c)}$$

is relatively prime to l if and only if

$$Q_{n,j,c}(X) = 1.$$

We keep this fact in mind from now on. To do most of calculations stated below, such as the calculation of each $Q_{n,j,c}(X)$ in question, we have used *Mathematica* on a personal computer.

First of all, let us deal with the case where $(l, p) \in \{(3, 11), (7, 5), (11, 71)\}$ so that $\nu = 2$. Let j be any positive integer $\leq l - 2$ relatively prime to $l - 1$, and let n be an integer not smaller than $2\nu - 1 = 3$. As $|S^*| \leq p^2$, the condition $p^{n-\nu+1} \leq M$ implies by Lemma 3 that

$$p^{n-1} < \left(\frac{l(p-1)p^2}{2} \right)^{\varphi(p-1)}, \quad \text{i.e.,} \quad n < \frac{\varphi(p-1) \log(l(p-1)/2)}{\log p} + 2\varphi(p-1) + 1.$$

Furthermore, we have checked that

$$Q_{n,j,1}(X) = 1, \quad \text{when } 3 \leq n < \frac{\varphi(p-1) \log(l(p-1)/2)}{\log p} + 2\varphi(p-1) + 1. \quad (2)$$

Now, let m be 1 or 2. Then, in $(\mathbf{Z}/l\mathbf{Z})[X]$, we have

$$\gcd \left(\sum_{r=1}^{(l-1)/2} \sum_{a \in H_m(r)} r^j X^{y_m(a)}, \sum_{u=0}^{p-1} X^{p^{m-1}u} \right) = 1,$$

namely, $\sum_{r=1}^{(l-1)/2} \sum_{a \in H_m(r)} r^j \xi_m^{y_m(a)}$ is relatively prime to l . Hence, by Theorem 3, l does not divide h_m/h_{m-1} . This implies $l \nmid h_2$, since $h_0 = 1$. Theorem 2 for $n_0 = 2$, together with (2), thus proves the following

LEMMA 5. *The l -class group of \mathbf{B}_∞ is trivial if (l, p) is either $(3, 11)$, $(7, 5)$*

or (11, 71).

In the rest of this section, we let n range over all positive integers less than

$$\frac{\varphi(p-1)}{\log p} \log \left(\frac{l(p-1)}{2} \left(p+1 - \frac{p-1}{[F:\mathbf{Q}]} \right) \right),$$

i.e., all positive integers such that

$$p^n < \left(\frac{l(p-1)}{2} \left(p+1 - \frac{p-1}{[F:\mathbf{Q}]} \right) \right)^{\varphi(p-1)}.$$

Suppose now that $l = 3$, $p \leq 173$, $p \neq 11$ and so $\nu = 1$. Then we can check not only that $Q_{n,1,1}(X) = 1$ if $(p, n) \notin \{(13, 3), (13, 4), (13, 5)\}$ but that, in the case $p = 13$,

$$Q_{3,1,2}(X) = Q_{4,1,4}(X) = Q_{5,1,2}(X) = 1.$$

Hence Theorem 2 for $n_0 = 0$ combined with Lemmas 3 and 4 shows the triviality of the 3-class group of \mathbf{B}_∞ . Therefore, by Lemma 5, we have

PROPOSITION 1. *If $p \leq 173$, then the 3-class group of \mathbf{B}_∞ is trivial.*

REMARK 1. In the case $(l, p) = (3, 13)$,

$$\begin{aligned} Q_{3,1,1}(X) &= X^3 + X^2 + X + 2, & Q_{4,1,1}(X) &= X^3 + 2X + 2, \\ Q_{4,1,2}(X) &= X^6 + 2X^4 + 2X^3 + 2X^2 + 1, & Q_{4,1,3}(X) &= X^3 + 2X^2 + 2X + 2, \\ Q_{5,1,1}(X) &= X^3 + 2X^2 + 2X + 2. \end{aligned}$$

Suppose next that $l = 5$, $p \leq 137$, and hence $\nu = 1$. We then have $Q_{n,1,1}(X) = 1$ unless $(p, n) = (71, 35)$; we also have $Q_{n,3,1}(X) = 1$ unless $(p, n) = (31, 4)$ or $(p, n) = (31, 5)$. Furthermore,

$$\begin{aligned} Q_{35,1,2}(X) &= 1 && \text{when } p = 71; \\ Q_{4,3,2}(X) &= Q_{5,3,2}(X) = 1 && \text{when } p = 31. \end{aligned}$$

Therefore Theorem 2 for $n_0 = 0$, together with Lemmas 3 and 4, gives the following result.

PROPOSITION 2. *If $p \leq 137$, then the 5-class group of \mathbf{B}_∞ is trivial.*

REMARK 2. When $(l, p) = (5, 71)$,

$$Q_{35,1,1}(X) = X^5 + 3X^4 + 3X^3 + 2X^2 + 4X + 4;$$

when $(l, p) = (5, 31)$,

$$Q_{4,3,1}(X) = X^3 + 2X^2 + 4X + 4, \quad Q_{5,3,1}(X) = X^3 + X^2 + X + 4.$$

Assume that $l = 7$ and $p \leq 131$. To see the triviality of the 7-class group of \mathbf{B}_∞ , we may also assume by Lemma 5 that $p \neq 5$ so that $\nu = 1$. We then have $Q_{n,1,1}(X) = 1$; further, unless $(p, n) = (3, 1)$, we have $Q_{n,5,1}(X) = 1$. In the case $p = 3$, it is well known that $h_1 = 1$. Therefore, letting $n_0 = 0$ or $n_0 = 1$ in Theorem 2 according to whether $p > 3$ or $p = 3$, we obtain the following result from the theorem, Lemma 3, and Lemma 4.

PROPOSITION 3. *If $p \leq 131$, then the 7-class group of \mathbf{B}_∞ is trivial.*

REMARK 3. In the case $(l, p) = (7, 3)$, one has $\{h_{\omega\psi}, h_{\omega\psi^2}\} = \{1, 7\}$ for a Dirichlet character ψ of order 3 with conductor 9 (cf. [2, Tafel II, p. 168]), whence the proofs of Theorems 1 and 3 tell us that neither of the hypotheses of the theorems is satisfied for $n = 1$.

Assume that $l = 11$ and $p \leq 109$. Let us prove the triviality of the 11-class group of \mathbf{B}_∞ . By Lemma 5, we may further assume that $p \neq 71$ so that $\nu = 1$. We let j range over the integers in $\{1, 3, 7, 9\}$. Unless $(p, n, j) = (5, 1, 9)$, our computations show that $Q_{n,j,c}(X) = 1$ for some $c \in \mathbf{Z}$ with $p \nmid c$. Precise results are as follows: $Q_{n,j,1}(X) = 1$ unless

$$(p, n, j) \in \{(5, 4, 1), (5, 2, 3), (5, 3, 3), (5, 2, 7), (5, 5, 7), (5, 1, 9), (5, 4, 9)\};$$

and, when $p = 5$,

$$\begin{aligned} Q_{4,1,1}(X) &= X^2 + 9X + 3, & Q_{4,1,2}(X) &= 1, & Q_{2,3,1}(X) &= X + 7, \\ Q_{2,3,2}(X) &= 1, & Q_{3,3,1}(X) &= Q_{3,3,2}(X) = Q_{3,3,3}(X) = X + 2, & Q_{3,3,4}(X) &= 1, \\ Q_{2,7,1}(X) &= X + 6, & Q_{2,7,2}(X) &= 1, & Q_{5,7,1}(X) &= X + 6, & Q_{5,7,2}(X) &= 1, \\ Q_{4,9,1}(X) &= X + 8, & Q_{4,9,2}(X) &= X + 6, & Q_{4,9,3}(X) &= X^2 + 4X + 1, \\ Q_{4,9,4}(X) &= X^2 + 8X + 1, & Q_{4,9,6}(X) &= 1. \end{aligned}$$

It is also known that $h_1 = 1$ when $p = 5$ (cf. Bauer [1], Masley [9]). Therefore, once we set $n_0 = 0$ or $n_0 = 1$ in Theorem 2 according as $p \neq 5$ or $p = 5$, the following result is deduced from the theorem, Lemma 3, and Lemma 4.

PROPOSITION 4. *If $p \leq 109$, then the 11-class group of \mathbf{B}_∞ is trivial.*

REMARK 4. In the case $(l, p) = (11, 5)$, the relative class numbers of just two fields in $\{K_{\omega\psi}, K_{\omega\psi^2}, K_{\omega\psi^3}, K_{\omega\psi^4}\}$ are equal to 55 for a Dirichlet character ψ of order 11 with conductor 121 (cf. Schrutka von Rechtenstamm [10, p. 45]) so that neither of the hypotheses of Theorems 1 and 3 is satisfied for $n = 1$.

Assume finally that $l = 13$, $p \leq 101$, and hence $\nu = 1$. Let j vary through $\{1, 5, 7, 11\}$. Then $Q_{n,j,1}(X) = 1$ except that $Q_{3,5,1}(X) = X^2 + X + 1$ in the case $p = 3$. Furthermore, when $p = 3$, we have $Q_{3,5,2}(X) = 1$. Therefore Theorem 2, together with Lemmas 3 and 4, gives

PROPOSITION 5. *If $p \leq 101$, then the 13-class group of \mathbf{B}_∞ is trivial.*

Still for several cases of (l, p) not treated in this section, the triviality of the l -class group of \mathbf{B}_∞ can be verified along the same lines as we have discussed it so far, but we omit the details here.

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