

Ineffability of $\mathcal{P}_\kappa\lambda$ for λ with small cofinality

By Toshimichi USUBA

(Received Jul. 20, 2007)

(Revised Oct. 23, 2007)

Abstract. We study ineffability, the Shelah property, and indescribability of $\mathcal{P}_\kappa\lambda$ when $\text{cf}(\lambda) < \kappa$. We prove that if λ is a strong limit cardinal with $\text{cf}(\lambda) < \kappa$ then the ineffable ideal, the Shelah ideal, and the completely ineffable ideal over $\mathcal{P}_\kappa\lambda$ are the same, and that it can be precipitous. Furthermore we show that Π_1^1 -indescribability of $\mathcal{P}_\kappa\lambda$ is much stronger than ineffability if $2^\lambda = \lambda^{<\kappa}$.

1. Introduction.

Combinatorial principles for a cardinal, ineffability, and weak compactness were studied thoroughly in Baumgartner [4]. First we review some definitions:

DEFINITION 1.1. For a regular uncountable cardinal κ ,

- (1) κ is *weakly compact* if, for all $\langle a_\alpha : \alpha < \kappa \rangle$ with $a_\alpha \subseteq \alpha$, there exists $A \subseteq \kappa$ such that $\{\alpha < \kappa : A \cap \beta = a_\alpha \cap \beta\}$ is unbounded in κ for all $\beta < \kappa$,
- (2) κ is *ineffable* (respectively *almost ineffable*) if, for all $\langle a_\alpha : \alpha < \kappa \rangle$ with $a_\alpha \subseteq \alpha$, there exists $A \subseteq \kappa$ such that $\{\alpha < \kappa : A \cap \alpha = a_\alpha\}$ is stationary in κ (respectively unbounded in κ).

The definition of ineffability and almost ineffability is due to Jensen and Kunen. Weak compactness originated from the study of compactness of infinitary logic (see section 4 in Kanamori [18]). The above combinatorial definition (1) was found by Baumgartner [4]. Afterward ineffability was translated into $\mathcal{P}_\kappa\lambda$ -structures by Jech [13], where κ is a regular uncountable cardinal, $\lambda \geq \kappa$ is a cardinal, and $\mathcal{P}_\kappa\lambda = \{x \subseteq \lambda : |x| < \kappa\}$. Carr [8] defined the Shelah property, mild ineffability, and indescribability of $\mathcal{P}_\kappa\lambda$ as a generalization of weak compactness of a cardinal. These properties of $\mathcal{P}_\kappa\lambda$ have been widely studied when $\text{cf}(\lambda) \geq \kappa$, and it has been shown that ineffability, almost ineffability, and the Shelah property form a proper hierarchy. For instance, if κ is almost κ^+ -ineffable then there are stationary many $\alpha < \kappa$ such that α is α^+ -Shelah.

2000 *Mathematics Subject Classification.* Primary 03E55; Secondary 03E05.

Key Words and Phrases. $\mathcal{P}_\kappa\lambda$, ineffable, almost ineffable, the Shelah property, indescribable.

On the other hand, Abe [3] showed that ineffability of $\mathcal{P}_\kappa\lambda$ coincides with almost ineffability if $2^\lambda = \lambda^{<\kappa}$. Hence the above mentioned hierarchy can be collapsed if $\text{cf}(\lambda) < \kappa$. We will investigate ineffability, the Shelah property, and indescribability of $\mathcal{P}_\kappa\lambda$ when $\text{cf}(\lambda) < \kappa$.

We know $\lambda^{<\kappa}$ is the size of $\mathcal{P}_\kappa\lambda$. We also try to decide the size of $\mathcal{P}_\kappa\lambda$ under weaker assumptions than before. Solovay [20] proved $\lambda^{<\kappa} = \lambda^+$ if κ is λ -(super)compact and $\text{cf}(\lambda) < \kappa$, where λ^+ denotes the minimal cardinal greater than λ , and Johnson [15] showed that $\lambda^{<\kappa} = \lambda$ holds if κ is λ -Shelah and $\text{cf}(\lambda) \geq \kappa$. We extend this to the following:

THEOREM 1.2.

- (1) *If κ is mildly λ -ineffable and $\text{cf}(\lambda) \geq \kappa$, then $\lambda^{<\kappa} = \lambda$, and*
- (2) *if κ is λ -Shelah and $\text{cf}(\lambda) < \kappa$ then $\lambda^{<\kappa} = \lambda^+$.*

The following theorem can be seen as an extension of a theorem of Abe in [3]. This shows that ineffability, the Shelah property, and complete ineffability of $\mathcal{P}_\kappa\lambda$ can be the same when $\text{cf}(\lambda) < \kappa$, and the corresponding ideals can be precipitous. This contrasts with the fact that the completely ineffable ideal is not precipitous if $\text{cf}(\lambda) \geq \kappa$.

THEOREM 1.3. *Assume λ is a strong limit cardinal with $\text{cf}(\lambda) < \kappa$. Then*

- (1) $\text{NSh}_{\kappa\lambda} = \text{NAIn}_{\kappa\lambda} = \text{NIn}_{\kappa\lambda} = \text{NCIn}_{\kappa\lambda}$, and
- (2) *if κ is λ -ineffable and $\mu > \lambda$ is a Woodin cardinal, then, in $V^{\text{Col}(\lambda^+, <\mu)}$, κ remains λ -ineffable and $\text{NSh}_{\kappa\lambda} = \text{NAIn}_{\kappa\lambda} = \text{NIn}_{\kappa\lambda} = \text{NCIn}_{\kappa\lambda}$ is precipitous.*

$\text{NSh}_{\kappa\lambda}$, $\text{NAIn}_{\kappa\lambda}$, $\text{NIn}_{\kappa\lambda}$, and $\text{NCIn}_{\kappa\lambda}$ are ideals corresponding to the Shelah property, almost ineffability, ineffability, and complete ineffability respectively. To prove Theorem 1.2, we give a simple characterization of $\text{NIn}_{\kappa\lambda}$. Using this, we have the consistency of the statement that $\text{cf}(\lambda) < \kappa$ and κ is completely λ -ineffable but not mildly $\lambda^{<\kappa}$ -ineffable.

Baumgartner defined indescribability of $\mathcal{P}_\kappa\lambda$ and Carr [8] showed that Π_1^1 -indescribability is equivalent to the Shelah property if $\text{cf}(\lambda) \geq \kappa$. The next theorem shows that, if $\text{cf}(\lambda) < \kappa$, this equivalence can be false. Moreover Π_1^1 -indescribability can be much stronger than ineffability.

THEOREM 1.4. *Assume $2^\lambda = \lambda^{<\kappa}$. Then $\text{NIn}_{\kappa\lambda} \subseteq \Pi_{\kappa\lambda}$ holds, and if κ is λ -ineffable then $\text{NIn}_{\kappa\lambda} \subsetneq \Pi_{\kappa\lambda}$.*

$\Pi_{\kappa\lambda}$ is the ideal corresponding to Π_1^1 -indescribability.

Part (2) of Theorem 1.2 and Theorem 1.4 are answers to questions of Abe in [2].

2. Preliminaries.

We refer the reader to Kanamori [18] for general background and basic notation. Throughout this paper, κ denotes an inaccessible cardinal and λ denotes a cardinal equal to or greater than κ . In fact, the properties mentioned in this paper imply the inaccessibility of κ .

Recall that $\mathcal{P}_\kappa\lambda = \{x \subseteq \lambda : |x| < \kappa\}$.

In this paper, an *ideal* (respectively a *filter*) over $\mathcal{P}_\kappa\lambda$ means a κ -complete fine ideal (respectively filter) over $\mathcal{P}_\kappa\lambda$. That is, $I \subseteq \mathcal{P}(\mathcal{P}_\kappa\lambda)$ is called an ideal over $\mathcal{P}_\kappa\lambda$ if the following hold:

- (1) $\forall X \in I \forall Y \subseteq X (Y \in I)$,
- (2) $\forall \gamma < \kappa \forall \{X_\xi : \xi < \gamma\} \subseteq I (\bigcup_{\xi < \gamma} X_\xi \in I)$,
- (3) $\forall a \in \mathcal{P}_\kappa\lambda (\{x \in \mathcal{P}_\kappa\lambda : a \not\subseteq x\} \in I)$.

For an ideal I over $\mathcal{P}_\kappa\lambda$, I^* denotes the dual filter of I , and $I^+ = \mathcal{P}(\mathcal{P}_\kappa\lambda) \setminus I$. An element of I^+ is called an I -positive set. For $X \in I^+$, let $I|X = \{Y \subseteq \mathcal{P}_\kappa\lambda : Y \cap X \in I\}$. $I|X$ is the restriction of I to X .

An ideal I over $\mathcal{P}_\kappa\lambda$ is called *normal* if for every $X \in I^+$ and function $f : X \rightarrow \mathcal{P}_\kappa\lambda$ with $\forall x \in X (f(x) \in x)$, there exists $\alpha < \lambda$ such that $\{x \in X : f(x) = \alpha\} \in I^+$. In a trivial sense, the non-proper ideal is normal.

For a set $X \subseteq \mathcal{P}_\kappa\lambda$, X is *unbounded* if $\forall x \in \mathcal{P}_\kappa\lambda \exists y \in X (x \subseteq y)$. X is *closed* if for every $\gamma < \kappa$ and \subseteq -increasing sequence $\langle x_\xi : \xi < \gamma \rangle$ in X , $\bigcup_{\xi < \gamma} x_\xi \in X$. A closed and unbounded set is called *club*. A set $S \subseteq \mathcal{P}_\kappa\lambda$ is *stationary* if S intersects any club set.

The following fact is well-known:

FACT 2.1. For $X \subseteq \mathcal{P}_\kappa\lambda$, the following are equivalent:

- (1) X is stationary in $\mathcal{P}_\kappa\lambda$,
- (2) for every $f : \lambda \times \lambda \rightarrow \lambda$, there exists $x \in X$ such that $x \cap \kappa \in \kappa$ and $f''(x \times x) \subseteq x$, and
- (3) for every $f : \lambda \times \lambda \rightarrow \mathcal{P}_\kappa\lambda$, there exists $x \in X$ such that $\bigcup f''(x \times x) \subseteq x$.

The non-stationary ideal over $\mathcal{P}_\kappa\lambda$, $NS_{\kappa\lambda}$, is the set of all $X \subseteq \mathcal{P}_\kappa\lambda$ such that X is non-stationary in $\mathcal{P}_\kappa\lambda$.

FACT 2.2. $NS_{\kappa\lambda}$ is the minimal normal ideal over $\mathcal{P}_\kappa\lambda$.

DEFINITION 2.3. For $x, y \in \mathcal{P}_\kappa\lambda$, we define $x < y$ if $x \subseteq y$ and $|x| < |y \cap \kappa|$. For $X \subseteq \mathcal{P}_\kappa\lambda$, a function $f : X \rightarrow \mathcal{P}_\kappa\lambda$ is said to be *<-regressive* if $f(x) < x$ for every $x \in X$ with $x \cap \kappa \neq \emptyset$.

An ideal I over $\mathcal{P}_\kappa\lambda$ is *strongly normal* if the following condition is satisfied:

For every $X \in I^+$ and $<$ -regressive function $f : X \rightarrow \mathcal{P}_\kappa\lambda$, there exists $y \in \mathcal{P}_\kappa\lambda$ such that $\{x \in X : f(x) = y\} \in I^+$.

The non-proper ideal is trivially strongly normal.

For $x \in \mathcal{P}_\kappa\lambda$, we denote the set $\{y \in \mathcal{P}_\kappa\lambda : y < x\}$ by $\mathcal{P}_{x \cap \kappa}x$. If $x \cap \kappa$ is a regular cardinal, then properties of $\mathcal{P}_\kappa\lambda$ correspond to the properties of $\mathcal{P}_{x \cap \kappa}x$. For example, $X \subseteq \mathcal{P}_{x \cap \kappa}x$ is stationary if for all $f : x \times x \rightarrow \mathcal{P}_{x \cap \kappa}x$ there exists $y \in X$ such that $\bigcup f''(y \times y) \subseteq y$.

For $f : \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda$, we let $C_f = \{x \in \mathcal{P}_\kappa\lambda : f''\mathcal{P}_{x \cap \kappa}x \subseteq \mathcal{P}_{x \cap \kappa}x\}$.

DEFINITION 2.4. $\text{WNS}_{\kappa\lambda} = \{X \subseteq \mathcal{P}_\kappa\lambda : \exists f : \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda (C_f \cap X = \emptyset)\}$.

FACT 2.5 (Carr-Levinski-Pelletier [10]).

- (1) $\text{WNS}_{\kappa\lambda}$ is the minimal strongly normal ideal over $\mathcal{P}_\kappa\lambda$.
- (2) $\text{WNS}_{\kappa\lambda}$ is a proper ideal if and only if κ is Mahlo.
- (3) $\{x \in \mathcal{P}_\kappa\lambda : x \cap \kappa \text{ is inaccessible and } x \text{ is } < x \cap \kappa\text{-closed}\} \in \text{WNS}_{\kappa\lambda}^*$.
- (4) If $\pi : \mathcal{P}_\kappa\lambda \rightarrow \lambda$ is a bijection, then $\text{WNS}_{\kappa\lambda} = \text{NS}_{\kappa\lambda} \setminus \{x \in \mathcal{P}_\kappa\lambda : \pi''\mathcal{P}_{x \cap \kappa}x = x\}$.

Fix a bijection $\pi : \mathcal{P}_\kappa\lambda \rightarrow \lambda^{<\kappa}$. We define $e : \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda^{<\kappa}$ by $e(x) = \pi''\mathcal{P}_{x \cap \kappa}x$. We say that e is a *canonical map from $\mathcal{P}_\kappa\lambda$ to $\mathcal{P}_\kappa\lambda^{<\kappa}$* . Note that a canonical map does not depend on the choice of π in the following sense: Let π' be another bijection and e' a canonical map induced by π' . Then $\{x \in \mathcal{P}_\kappa\lambda : e(x) = e'(x)\} \in \text{WNS}_{\kappa\lambda}^*$.

FACT 2.6 (Abe [1]).

- (1) $\{x \in \mathcal{P}_\kappa\lambda : e(x) \cap \lambda = x\} \in \text{WNS}_{\kappa\lambda}^*$.
- (2) $\{x \in \mathcal{P}_\kappa\lambda^{<\kappa} : e(x \cap \lambda) = x\} \in \text{WNS}_{\kappa\lambda^{<\kappa}}^*$.
- (3) For $X \subseteq \mathcal{P}_\kappa\lambda$, $X \in \text{WNS}_{\kappa\lambda}$ if and only if $e''X \in \text{WNS}_{\kappa\lambda^{<\kappa}}$.

Ineffability and the Shelah property of $\mathcal{P}_\kappa\lambda$ are defined in the following.

DEFINITION 2.7 (Carr [8], [9], Jech [13]). Let X be a subset of $\mathcal{P}_\kappa\lambda$.

- (1) X is *ineffable* (respectively *almost ineffable*) if, for all $\langle a_x : x \in X \rangle$ with $a_x \subseteq x$, there exists $A \subseteq \lambda$ such that $\{x \in X : A \cap x = a_x\}$ is stationary (respectively unbounded).
- (2) X has *the Shelah property*, or simply X is *Shelah* if, for all $\langle f_x : x \in X \rangle$ with $f_x : x \rightarrow x$, there exists $f : \lambda \rightarrow \lambda$ such that, for all $y \in \mathcal{P}_\kappa\lambda$, the set $\{x \in X : f \upharpoonright y = f_x \upharpoonright y\}$ is unbounded.
- (3) X is *mildly ineffable* if, for all $\langle a_x : x \in X \rangle$ with $a_x \subseteq x$, there exists $A \subseteq \lambda$ such that, for all $y \in \mathcal{P}_\kappa\lambda$, the set $\{x \in X : A \cap y = a_x \cap y\}$ is unbounded.

We say that κ is λ -ineffable (almost λ -ineffable, λ -Shelah, mildly λ -ineffable respectively) if $\mathcal{P}_\kappa\lambda$ is ineffable (almost ineffable, Shelah, mildly ineffable respectively).

Notice that the Shelah property implies mildly ineffability,

$\text{NIn}_{\kappa\lambda}$ (respectively $\text{NAIN}_{\kappa\lambda}$, $\text{NSh}_{\kappa\lambda}$) is the set of all $X \subseteq \mathcal{P}_\kappa\lambda$ such that X is not ineffable (respectively almost ineffable, Shelah).

FACT 2.8 (Carr [8], [9]).

- (1) κ is weakly compact $\iff \kappa$ is κ -Shelah $\iff \kappa$ is mildly κ -ineffable.
- (2) κ is ineffable (respectively almost ineffable) $\iff \kappa$ is κ -ineffable (respectively almost κ -ineffable).
- (3) $\text{NSh}_{\kappa\lambda}$, $\text{NAIN}_{\kappa\lambda}$, and $\text{NIn}_{\kappa\lambda}$ are normal ideals over $\mathcal{P}_\kappa\lambda$. Moreover these are strongly normal if $\text{cf}(\lambda) \geq \kappa$.
- (4) If κ is mildly λ -ineffable, then, for $X \subseteq \mathcal{P}_\kappa\lambda$, X is mildly ineffable if and only if X is unbounded.

FACT 2.9 (Carr [9]). For $X \subseteq \mathcal{P}_\kappa\lambda$, X is ineffable (almost ineffable) if and only if, for all $\langle f_x : x \in X \rangle$ with $f_x : x \rightarrow x$, there exists $f : \lambda \rightarrow \lambda$ such that $\{x \in X : f \upharpoonright x = f_x\}$ is stationary (unbounded). Hence $\text{NSh}_{\kappa\lambda} \subseteq \text{NAIN}_{\kappa\lambda} \subseteq \text{NIn}_{\kappa\lambda}$ holds.

The next fact follows from the normality of $\text{NSh}_{\kappa\lambda}$ and a standard coding argument.

FACT 2.10. For $X \subseteq \mathcal{P}_\kappa\lambda$, X is Shelah if and only if, for any $\langle f_x : x \in X \rangle$ with $f_x : x \rightarrow x$ and $\langle g_x : x \in X \rangle$ with $g_x : x \rightarrow x$, there exists $f : \lambda \rightarrow \lambda$ and $g : \lambda \rightarrow \lambda$ such that $\{x \in X : f \upharpoonright y = f_x \upharpoonright y, g \upharpoonright y = g_x \upharpoonright y\}$ is unbounded for all $y \in \mathcal{P}_\kappa\lambda$.

For an infinite set X , let $[X]^\omega$ be the set of all $x \subseteq X$ such that $|x| = \omega$. $F : [X]^\omega \rightarrow X$ is called an ω -Jonsson function for X if the following holds: There is no $Y \subsetneq X$ such that $F \upharpoonright [Y]^\omega \subseteq Y$ and $|Y| = |X|$. It is well-known that every infinite set X has an ω -Jonsson function for X (see Erdős-Hajnal [11]).

FACT 2.11 (Abe [2], Johnson [16]). Let μ be a cardinal with $\mu \leq \lambda$.

- (1) If $F : [\mu]^\omega \rightarrow \mu$ is an ω -Jonsson function for μ , then $\{x \in \mathcal{P}_\kappa\lambda : F \upharpoonright [x \cap \mu]^\omega \subseteq x \cap \mu \text{ and } F \upharpoonright [x \cap \mu]^\omega \text{ is } \omega\text{-Jonsson for } x \cap \mu\} \in \text{NSh}_{\kappa\lambda}^*$.
- (2) If μ is regular, then $\{x \in \mathcal{P}_\kappa\lambda : \text{ot}(x \cap \mu) \text{ is regular}\} \in \text{NSh}_{\kappa\lambda}^*$, where $\text{ot}(x)$ denotes the order type of x .

3. Basic properties of ineffabilities.

In this section, we will show some basic properties of ineffabilities of $\mathcal{P}_\kappa\lambda$.

First we prove the strong normality of $\text{NSh}_{\kappa\lambda}$, $\text{NIn}_{\kappa\lambda}$, and $\text{NIn}_{\kappa\lambda}$ without the condition that $\text{cf}(\lambda) \geq \kappa$.

PROPOSITION 3.1. *$\text{NSh}_{\kappa\lambda}$, $\text{NIn}_{\kappa\lambda}$, and $\text{NIn}_{\kappa\lambda}$ are strongly normal ideals.*

PROOF. We will only show the strong normality of $\text{NSh}_{\kappa\lambda}$. The others can be verified by a similar argument. Let $X \in \text{NSh}_{\kappa\lambda}^+$ and let $g : X \rightarrow \mathcal{P}_{\kappa}\lambda$ be a $<$ -regressive function. By the normality of $\text{NSh}_{\kappa\lambda}$, we may assume that there exists $\mu < \kappa$ such that $\text{ot}(g(x)) = \mu$ for all $x \in X$. Furthermore we may assume $\mu \subseteq x$ for all $x \in X$. For each $x \in X$, let $h_x : \mu \rightarrow x$ be an increasing enumerating map of $g(x)$.

Let $X_a = \{x \in X : g(x) = a\}$. Suppose $X_a \in \text{NSh}_{\kappa\lambda}$ for all $a \in \mathcal{P}_{\kappa}\lambda$. For each $a \in \mathcal{P}_{\kappa}\lambda$, let $\langle f_x^a : x \in X_a \rangle$ be a counterexample to the Shelah property of X_a . Consider the sequences $\langle f_x^{g(x)} : x \in X \rangle$ and $\langle h_x : x \in X \rangle$. By the Shelah property of X , there exist $f : \lambda \rightarrow \lambda$ and $h : \mu \rightarrow \lambda$ such that $\{x \in X : f|y = f_x^{g(x)}|y, h|y = h_x|y\}$ is unbounded for all $y \in \mathcal{P}_{\kappa}\lambda$. Let $b = h''\mu \in \mathcal{P}_{\kappa}\lambda$. We will prove that $\{x \in X_b : f|y = f_x^b|y\}$ is unbounded for all $y \in \mathcal{P}_{\kappa}\lambda$, which is a contradiction. Let $y \in \mathcal{P}_{\kappa}\lambda$. We may assume that $\mu \subseteq y$. Then $\{x \in X : f|y = f_x^{g(x)}|y, h|y = h_x|y\}$ is unbounded. Let $x \in X$ be such that $y \subseteq x$, $h|y = h_x|y$, and $f|y = f_x^{g(x)}|y$. Since $\mu \subseteq y$, we have $h = h|y = h_x|y = h_x$, and this means that $g(x) = b$. Therefore $f|y = f_x^{g(x)}|y = f_x^b|y$ holds. \square

Next we show a variation of $(\text{UP})_{\kappa\lambda X}$ in Carr [8] from mild ineffability. We will use this in the next section.

Recall that a filter over $\mathcal{P}_{\kappa}\lambda$ means a κ -complete fine filter.

For a regular uncountable cardinal θ , H_θ denotes the set of all x such that $|TC(x)| < \theta$ where $TC(x)$ is the minimal transitive set containing x . It is known that H_θ is a model of ZFC–Power Set Axiom.

PROPOSITION 3.2. *Let θ be a sufficiently large regular cardinal, and let N be any expansion of $\langle H_\theta, \in, \kappa, \lambda \rangle$. Let $X \subseteq \mathcal{P}_{\kappa}\lambda$ and $M \prec N$ be such that $X \in M$ and $|M| = \lambda \subseteq M$. Then X is mildly ineffable if and only if there exists a proper filter F over $\mathcal{P}_{\kappa}\lambda$ such that $X \in F$ and F is an M -ultrafilter. Here “ F is an M -ultrafilter” means that, for all $X \in M \cap \mathcal{P}(\mathcal{P}_{\kappa}\lambda)$, either $X \in F$ or $\mathcal{P}_{\kappa}\lambda \setminus X \in F$.*

PROOF. Assume X is mildly ineffable. We will construct an M -ultrafilter. Let $\langle X_\alpha : \alpha < \lambda \rangle$ be an enumeration of $\mathcal{P}(\mathcal{P}_{\kappa}\lambda) \cap M$. For each $x \in X$, let $a_x = \{\alpha \in x : x \in X_\alpha\}$. Then, by the mild ineffability of X , there exists $A \subseteq \lambda$ such that $\{x \in X : a_x \cap y = A \cap y\}$ is unbounded for all $y \in \mathcal{P}_{\kappa}\lambda$. Let F be the filter over $\mathcal{P}_{\kappa}\lambda$ generated by $\{X \cap \bigcap_{\alpha \in y} X_\alpha : y \in \mathcal{P}_{\kappa}A\}$, that is $Y \in F$ if and only if $X \cap \bigcap_{\alpha \in y} X_\alpha \subseteq Y$ for some $y \in \mathcal{P}_{\kappa}A$. It is clear that F is a κ -complete

filter over $\mathcal{P}_\kappa\lambda$ and $X \in F$. Notice that $X_\alpha \in F$ for all $\alpha \in A$. We check that F is a proper fine filter and an M -ultrafilter.

FINENESS. Let $\alpha < \lambda$. Since $\alpha \in \lambda \subseteq M$, there exists $\beta < \lambda$ such that $X_\beta = \{x \in \mathcal{P}_\kappa\lambda : \alpha \in x\}$. Take $x \in X$ such that $\alpha, \beta \in x$ and $A \cap \{\beta\} = a_x \cap \{\beta\}$. Since $\alpha \in x$, we have $x \in X_\beta$, so $\beta \in a_x$ and $\beta \in A$.

PROPERNESS. It is enough to show that $X \cap \bigcap_{\alpha \in y} X_\alpha \neq \emptyset$ for all $y \in \mathcal{P}_\kappa A$. For $y \in \mathcal{P}_\kappa A$, we can pick $x \in X$ such that $y \subseteq x$ and $a_x \cap y = A \cap y = y$. Then $x \in \bigcap_{\alpha \in a_x} X_\alpha \subseteq \bigcap_{\alpha \in y} X_\alpha$, thus $X \cap \bigcap_{\alpha \in y} X_\alpha \neq \emptyset$.

Now we check that F is an M -ultrafilter. Let $Y \in \mathcal{P}(\mathcal{P}_\kappa\lambda) \cap M$. Then there are $\alpha, \beta < \lambda$ such that $X_\alpha = Y$ and $X_\beta = \mathcal{P}_\kappa\lambda \setminus Y$. Take $x \in \mathcal{P}_\kappa\lambda$ such that $\alpha, \beta \in x$ and $A \cap \{\alpha, \beta\} = a_x \cap \{\alpha, \beta\}$. Then either $x \in X_\alpha$ or $x \in X_\beta$ hold, hence we have $\alpha \in a_x$ or $\beta \in a_x$. Thus $\alpha \in A$ or $\beta \in A$.

To show the converse, assume that there exists a proper M -ultrafilter F . By the elementarity of M , it is enough to show that, for all $\langle a_x : x \in \mathcal{P}_\kappa\lambda \rangle \in M$ with $a_x \subseteq x$, there exists $A \subseteq \lambda$ such that $\{x \in X : a_x \cap y = A \cap y\}$ is unbounded for all $y \in \mathcal{P}_\kappa\lambda$. Fix $\langle a_x : x \in \mathcal{P}_\kappa\lambda \rangle \in M$. Since $\lambda \subseteq M$ and F is an M -ultrafilter with $X \in F$, for each $\alpha < \lambda$, either $\{x \in X : \alpha \in a_x\} \in F$ or $\{x \in \mathcal{P}_\kappa\lambda : \alpha \notin a_x\} \in F$. Let $A = \{\alpha < \lambda : \{x \in X : \alpha \in a_x\} \in F\}$. Then it is not hard to see that $\{x \in X : a_x \cap y = A \cap y\} \in F$, so the set is unbounded for all $y \in \mathcal{P}_\kappa\lambda$. \square

4. The Shelah property, mild ineffability, and the size of $\mathcal{P}_\kappa\lambda$.

Johnson [16] showed that $\lambda^{<\kappa} = \lambda$ holds if κ is λ -Shelah and $\text{cf}(\lambda) \geq \kappa$. We see that the same result holds for mild ineffability, and moreover $\lambda^{<\kappa} = \lambda^+$ holds if κ is λ -Shelah and $\text{cf}(\lambda) < \kappa$.

PROPOSITION 4.1. *Assume κ is mildly λ -ineffable and $\text{cf}(\lambda) \geq \kappa$. Then $\lambda^{<\kappa} = \lambda$.*

PROOF. Mild ineffability is downward closed, that is, if $\mathcal{P}_\kappa\lambda$ is mildly ineffable and $\kappa \leq \lambda' < \lambda$ then $\mathcal{P}_\kappa\lambda'$ is mildly ineffable. Thus it is enough to prove the case when λ is regular. We will show that there exists an unbounded subset X of $\mathcal{P}_\kappa\lambda$ such that $|X| = \lambda$. If this can be shown, then $\mathcal{P}_\kappa\lambda = \bigcup\{\mathcal{P}(x) : x \in X\}$, which proves $\lambda^{<\kappa} \leq \lambda \cdot \kappa^{<\kappa} = \lambda$.

Let θ be a sufficiently large regular cardinal. Let $M \prec \langle H_\theta, \in, \kappa, \lambda \rangle$ be such that $\lambda \subseteq M$ and $|M| = \lambda$. Then, by Proposition 3.2, we can find a proper κ -complete fine M -ultrafilter F over $\mathcal{P}_\kappa\lambda$. M is not transitive, but we can take an ultrapower M by F in the usual way. Moreover it is not hard to see that Łoś's theorem holds between M and $\text{Ult}(M, F)$: For any formula φ and $f_1, \dots, f_n \in M \cap \mathcal{P}_\kappa\lambda M$, $\{x \in \mathcal{P}_\kappa\lambda : M \models \varphi(f_1(x), \dots, f_n(x))\} \in F$ if and only if $\text{Ult}(M, F)$

$\models \varphi([f_1], \dots, [f_n])$, where $[f]$ is an equivalence class of f . Since F is κ -complete in V , $\text{Ult}(M, F)$ is well-founded. Let N be the transitive collapse of $\text{Ult}(M, F)$. Now we identify N with $\text{Ult}(M, F)$. Let $j : M \rightarrow N$ be the corresponding elementary embedding. Since F is fine, we have that $j^{<\lambda} \subseteq [f_{\text{id}}]$, where f_{id} is the identity map on $\mathcal{P}_\kappa \lambda$. Furthermore F is κ -complete and $|[f_{\text{id}}]|^N < j(\kappa)$, hence the critical point of j is κ . Since $\text{sup}(j^{<\lambda}) \leq \text{sup}([f_{\text{id}}])$ and $\{x \in \mathcal{P}_\kappa \lambda : \text{sup}(x) < \lambda\} \in F$, we have $\text{sup}(j^{<\lambda}) < j(\lambda)$. Notice that we do not require that $j^{<\lambda} \in N$, but we have $j^{<\lambda} \in N$ for all $x \in \mathcal{P}_\kappa \lambda \cap M$.

We check that $j^{<\lambda}$ is $<\kappa$ -closed, that is, for all $c \subseteq j^{<\lambda}$, $\text{sup}(c) \in j^{<\lambda}$ if $\text{ot}(c) < \kappa$. Let $\alpha < \lambda$ be the minimal ordinal such that $\text{sup}(c) \leq j(\alpha)$. Then $\text{sup}(c) = \text{sup}(j^{<\alpha})$. Hence $\text{cf}(\alpha) < \kappa$. Take $d \in M$ such that $\text{ot}(d) = \text{cf}(\alpha)$ and d is unbounded in α . Then $j(\alpha) = \text{sup}(j(d)) = \text{sup}(j^{<d}) = \text{sup}(j^{<\alpha}) = \text{sup}(c)$. Therefore we have $\text{sup}(c) \in j^{<\lambda}$.

Now take an arbitrary stationary subset S of $\{\alpha < \lambda : \text{cf}(\alpha) < \kappa\}$ with $S \in M$.

CLAIM 4.2. $j(S) \cap \text{sup}(j^{<\lambda})$ is stationary in $\text{sup}(j^{<\lambda})$ in V .

PROOF OF THE CLAIM 4.2. Let C be a $<\kappa$ -club subset of $\text{sup}(j^{<\lambda})$. Since $j^{<\lambda}$ is also $<\kappa$ -closed, we may assume that $C \subseteq j^{<\lambda}$. Let $D = j^{-1}C$. Then D is unbounded in λ . Thus there exists $\alpha \in S$ such that $D \cap \alpha$ is unbounded in α . Since $\alpha \in M$, we can take an unbounded subset b of α such that $b \in M$ and $\text{ot}(b) = \text{cf}(\alpha)$. Then $j(\alpha) = \text{sup} j(b) = \text{sup}(j^{<b}) = \text{sup}(j^{<\alpha})$. $D \cap \alpha$ is unbounded in α , hence $j^{<(D \cap \alpha)} = j^{<D \cap j(\alpha)}$ is unbounded in $j(\alpha)$. Since $j^{<D} \subseteq C$, we have $j(\alpha) \in C$. Hence we have $j(\alpha) \in j(S) \cap C$. \square

Now fix pairwise disjoint stationary subsets $\langle S_\alpha : \alpha < \lambda \rangle$ of $\{\beta < \lambda : \text{cf}(\beta) < \kappa\}$ with $\langle S_\alpha : \alpha < \lambda \rangle \in M$. For $\beta < \lambda$ with $\omega < \text{cf}(\beta) < \kappa$, let $c_\beta = \{\alpha < \beta : S_\alpha \cap \beta \text{ is stationary in } \beta\}$. Since the S_α 's are pairwise disjoint, we have $|c_\beta| \leq \text{cf}(\beta) < \kappa$. Now let $X = \{c_\beta : \beta < \lambda, \omega < \text{cf}(\beta) < \kappa\}$. Then X is a subset of $\mathcal{P}_\kappa \lambda$ with $|X| = \lambda$. Finally we show that X is unbounded to complete the proof.

Let f be a function on $\mathcal{P}_\kappa \lambda$ such that $f \in M$ and $[f] = \text{sup}(j^{<\lambda})$. Since $j^{<\lambda} \subseteq [f_{\text{id}}]$, $[f_{\text{id}}] \cap [f]$ is unbounded in $[f]$. Because $|[f_{\text{id}}]|^N < j(\kappa)$, $\text{cf}^N([f]) < j(\kappa)$ and so $\{x \in \mathcal{P}_\kappa \lambda : \text{cf}(f(x)) < \kappa\} \in F$. Take an arbitrary $y \in \mathcal{P}_\kappa \lambda$. Let $\alpha \in y$. By Claim 4.2, $j(S_\alpha) \cap \text{sup}(j^{<\lambda})$ is stationary. Hence $\{x \in \mathcal{P}_\kappa \lambda : S_\alpha \cap f(x) \text{ is stationary in } f(x)\} \in F$. By the κ -completeness of F , we have $\{x \in \mathcal{P}_\kappa \lambda : \forall \alpha \in y (S_\alpha \cap f(x) \text{ is stationary in } f(x)), \text{cf}(f(x)) < \kappa\} \in F$. Therefore we can take $x \in \mathcal{P}_\kappa \lambda$ such that $\omega < \text{cf}(f(x)) < \kappa$ and $y \subseteq c_{f(x)} \in X$. This shows X is unbounded. \square

The proof of the above proposition shows that a simultaneous stationary reflection principle of $\{\alpha < \lambda : \text{cf}(\alpha) < \kappa\}$ follows from mild λ -ineffability. The

following is an extension of Johnson’s result [15]:

PROPOSITION 4.3. *Assume λ is regular and κ is mildly λ -ineffable. Let $\delta < \kappa$ and $\langle S_\alpha : \alpha < \delta \rangle$ be stationary subsets of $\{\beta < \lambda : \text{cf}(\beta) < \kappa\}$. Then, for every $\gamma < \kappa$, there exists $\beta < \lambda$ such that $\gamma < \text{cf}(\beta)$ and $S_\alpha \cap \beta$ is stationary in β for all $\alpha < \delta$.*

Now we prove that the Shelah property of $\mathcal{P}_\kappa\lambda$ with $\text{cf}(\lambda) < \kappa$ implies that $\lambda^{<\kappa} = \lambda^+$.

PROPOSITION 4.4. *Assume κ is λ -Shelah and $\text{cf}(\lambda) < \kappa$. Then $\lambda^{<\kappa} = \lambda^+$.*

PROOF. This proof is based on an argument of Tryba [21]. First we introduce a notion of *scale*. Fix an increasing sequence of regular cardinals $\langle \lambda_i : i < \text{cf}(\lambda) \rangle$ which converges to λ . We denote $\prod_{i < \text{cf}(\lambda)} \lambda_i$ by $\Pi\lambda_i$. For $f, g \in \Pi\lambda_i$, let $f <^* g$ if and only if $\{i < \text{cf}(\lambda) : f(i) \geq g(i)\}$ is bounded in $\text{cf}(\lambda)$. We say that $\langle f_\xi : \xi < \lambda^+ \rangle$ is a *scale* for $\Pi\lambda_i$ if the following hold:

- (1) $f_\xi \in \Pi\lambda_i$ for all $\xi < \lambda^+$,
- (2) for $\xi < \eta < \lambda^+$, $f_\xi <^* f_\eta$, and
- (3) for all $f \in \Pi\lambda_i$, there exists $\xi < \lambda^+$ such that $f <^* f_\xi$.

It is a basic fact of Shelah’s PCF-theory that there exists a sequence of regular cardinals $\langle \lambda_i : i < \text{cf}(\lambda) \rangle$ and a scale $\langle f_\xi : \xi < \lambda^+ \rangle$ for $\Pi\lambda_i$ (see Burke-Magidor [6] or Shelah [19]).

Now fix an increasing sequence of regular cardinals $\langle \lambda_i : i < \text{cf}(\lambda) \rangle$ which converges to λ and a scale $\langle f_\alpha : \alpha < \lambda^+ \rangle$ for $\Pi\lambda_i$. For each λ_i , fix an ω -Jonsson function $h_i : [\lambda_i]^\omega \rightarrow \lambda_i$. Let $e : \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda^{<\kappa}$ be a canonical map. Let $X \subseteq \mathcal{P}_\kappa\lambda$ be the set of all $x \in \mathcal{P}_\kappa\lambda$ such that:

- $x \cap \kappa$ is an inaccessible $> \text{cf}(\lambda)$,
- $\text{ot}(x \cap \lambda_i)$ is regular for all $i < \text{cf}(\lambda)$,
- $h_i \upharpoonright [x \cap \lambda_i]^\omega$ is ω -Jonsson for $x \cap \lambda_i$, and
- $e(x) \cap \lambda = x$.

By Fact 2.6, 2.11, and Proposition 3.1, we have $X \in \text{NSh}^*_{\kappa\lambda}$. We consider the set $e^{\text{``}}X = \{e(x) : x \in X\}$. Note that this set is a $\text{WNS}_{\kappa\lambda < \kappa}$ -positive set, so it is stationary in $\mathcal{P}_\kappa\lambda^{<\kappa}$. Fix a sufficiently large regular cardinal θ and let $C = \{M \cap \lambda^{<\kappa} : M \prec \langle H_\theta, \in \rangle, |M| < \kappa, M \cap \lambda^{<\kappa} \in e^{\text{``}}X, \{\{\lambda_i : i < \text{cf}(\lambda)\}, \langle f_\alpha : \alpha < \lambda^+ \rangle, \pi, e\} \subseteq M \text{ and } M \cap \lambda^{<\kappa} \text{ is } \sigma\text{-closed}\}$. Then C is stationary in $\mathcal{P}_\kappa\lambda^{<\kappa}$. Note that if $M \cap \lambda^{<\kappa} \in C$ then $M \cap \lambda \in X$. Moreover by the definition of e , we have that $[M \cap \lambda]^{<M \cap \kappa} \subseteq M$.

The following claim assures that $\{x \cap \lambda^+ : x \in C\}$ is an unbounded subset of $\mathcal{P}_\kappa\lambda^+$ with size λ^+ , which completes the proof.

CLAIM 4.5. *Let $M \cap \lambda^{<\kappa} \in C$ and $M' \cap \lambda^{<\kappa} \in C$. If $\sup(M \cap \lambda^+) = \sup(M' \cap \lambda^+)$, then $M \cap \lambda^+ = M' \cap \lambda^+$.*

PROOF OF THE CLAIM 4.5. Let $M \cap \lambda^{<\kappa}, M' \cap \lambda^{<\kappa} \in C$ be such that $\sup(M \cap \lambda^+) = \sup(M' \cap \lambda^+)$. Let $N = M \cap M'$. Note that $\sup(N \cap \lambda^+) = \sup(M \cap \lambda^+)$ and $N \cap \lambda_i$ is closed under h_i .

SUBCLAIM 4.6. *If $M \cap \lambda = N \cap \lambda$, then $M \cap \lambda^+ = N \cap \lambda^+$.*

PROOF OF THE SUBCLAIM 4.6. Choose any $\alpha \in (M \cap \lambda^+) \setminus \lambda$. We have $\beta \in N \cap \lambda^+$ such that $\alpha < \beta$. Let $\tau \in N$ be a bijection from λ to β . Since $\alpha < \beta$ and $\tau \in M$, there exists $\delta \in M \cap \lambda = N \cap \lambda$ such that $\pi(\delta) = \alpha$, hence $\alpha \in N \cap \lambda^+$. □

We show $M \cap \lambda = N \cap \lambda$. To show this, we need the following claim.

SUBCLAIM 4.7. *$\{i < \text{cf}(\lambda) : \sup(N \cap \lambda_i) < \sup(M \cap \lambda_i)\}$ is bounded in $\text{cf}(\lambda)$.*

PROOF OF THE SUBCLAIM 4.7. Assume otherwise. Then define $f \in \Pi \lambda_i$ by $f(i) \in (M \cap \lambda_i) \setminus \sup(N \cap \lambda_i)$ if $\sup(N \cap \lambda_i) < \sup(M \cap \lambda_i)$ and $f(i) = 0$ otherwise. Then $f \in M$ since $M \cap \lambda$ is closed under $<(M \cap \kappa)$ -sequences. Because $\langle f_\alpha : \alpha < \lambda \rangle$ is a scale for $\Pi \lambda_i$, there exists $\alpha \in M \cap \lambda^+$ such that $f <^* f_\alpha$, that is, $\{i < \text{cf}(\lambda) : f(i) \geq f_\alpha(i)\}$ is bounded in $\text{cf}(\lambda)$. Since $\sup(M \cap \lambda^+) = \sup(N \cap \lambda^+)$, there exists $\beta \in N \cap \lambda^+$ such that $\alpha < \beta$. $f < f_\alpha \leq^* f_\beta$, so we can take $i < \text{cf}(\lambda)$ such that $f(i) \in (M \cap \lambda_i) \setminus \sup(N \cap \lambda_i)$ and $f(i) < f_\beta(i)$. However $f_\beta \in N$, hence $f_\beta(i) \in N \cap \lambda_i$. This is a contradiction. □

We return to the proof of the Claim. Let $i < \text{cf}(\lambda)$ be such that $\sup(M \cap \lambda_i) = \sup(N \cap \lambda_i)$. Since $\text{ot}(M \cap \lambda_i)$ is regular, $\text{ot}(N \cap \lambda_i)$ is regular. Thus $|M \cap \lambda_i| = |N \cap \lambda_i|$. Since $h_i \upharpoonright [M \cap \lambda_i]^\omega$ is ω -Jonsson and $N \cap \lambda_i$ is closed under h_i , we have $M \cap \lambda_i = N \cap \lambda_i$. There are unboundedly many such i , hence $M \cap \lambda = N \cap \lambda$. We can show that $M' \cap \lambda = N \cap \lambda$ by the same argument. Thus $M \cap \lambda^+ = M' \cap \lambda^+$. □

The following question is natural, but the author cannot answer:

QUESTION 1. Does $\lambda^{<\kappa} = \lambda^+$ follow from κ is mildly λ -ineffable and $\text{cf}(\lambda) < \kappa$?

Of course $\lambda^{<\kappa} = \lambda^+$ follows from mild ineffability of $\mathcal{P}_\kappa \lambda^{<\kappa}$ when $\text{cf}(\lambda) < \kappa$. Unfortunately, however, mild ineffability of $\mathcal{P}_\kappa \lambda$ does not always lift up to that of $\mathcal{P}_\kappa \lambda^{<\kappa}$. (See the next section.)

5. The equivalence of the Shelah property and ineffability.

Abe [3] showed that ineffability and almost ineffability of $\mathcal{P}_\kappa\lambda$ are equivalent if $2^\lambda = \lambda^{<\kappa}$. We will see that if λ is strong limit and $\text{cf}(\lambda) < \kappa$ then ineffability and the Shelah property are equivalent. First we will check that such equivalence is impossible if $\text{cf}(\lambda) \geq \kappa$. Proposition 5.1 (3) was proved in Abe [3]. We present here a simple proof.

PROPOSITION 5.1. *Let X be a subset of $\mathcal{P}_\kappa\lambda$.*

- (1) *If X is Shelah, then $\{x \in X : X \cap \mathcal{P}_{x \cap \kappa}x \text{ is not Shelah in } \mathcal{P}_{x \cap \kappa}x\}$ has the Shelah property.*
- (2) *If X is almost ineffable, then $\{x \in X : X \cap \mathcal{P}_{x \cap \kappa}x \text{ is not almost ineffable in } \mathcal{P}_{x \cap \kappa}x\}$ is almost ineffable.*
- (3) *If X is ineffable, then $\{x \in X : X \cap \mathcal{P}_{x \cap \kappa}x \text{ is not ineffable in } \mathcal{P}_{x \cap \kappa}x\}$ is ineffable.*

PROOF. We will only show (3). (1) and (2) can be proved by a similar argument. Suppose $X \subseteq \mathcal{P}_\kappa\lambda$ is ineffable. We may assume that $x \cap \kappa$ is inaccessible for all $x \in X$. Let $Y = \{x \in X : X \cap \mathcal{P}_{x \cap \kappa}x \text{ is not ineffable in } \mathcal{P}_{x \cap \kappa}x\}$.

Let $D = \mathcal{P}_\kappa\lambda \cup \{\lambda\}$. Then the relation $<$ on $\mathcal{P}_\kappa\lambda$ can be extended to D by identifying λ as the maximal element of D with respect to the relation $<$. We consider $\mathcal{P}_\kappa\lambda$ as $\mathcal{P}_{\lambda \cap \kappa}\lambda$. Note that the relation $<$ on D is well-founded. To show that Y is ineffable, we prove, by using induction on $<$, that, for any $x \in D \cap (X \cup \{\lambda\})$, $Y \cap \mathcal{P}_{x \cap \kappa}x$ is ineffable in $\mathcal{P}_{x \cap \kappa}x$ if $X \cap \mathcal{P}_{x \cap \kappa}x$ is ineffable. This is sufficient to show the proposition. Let $x \in X \cup \{\lambda\}$ and assume this claim is verified for all $y \in X$ with $y < x$. Suppose $X \cap \mathcal{P}_{x \cap \kappa}x$ is ineffable but $Y \cap \mathcal{P}_{x \cap \kappa}x$ is not ineffable. Let $\langle a_z : z \in Y \cap \mathcal{P}_{x \cap \kappa}x \rangle$ be a sequence which witnesses $Y \cap \mathcal{P}_{x \cap \kappa}x$ is not ineffable. Since $X \cap \mathcal{P}_{x \cap \kappa}x$ is ineffable but $Y \cap \mathcal{P}_{x \cap \kappa}x$ is not ineffable, $Z = (X \setminus Y) \cap \mathcal{P}_{x \cap \kappa}x$ is ineffable. For each $y \in Z$, $X \cap \mathcal{P}_{y \cap \kappa}y$ is ineffable in $\mathcal{P}_{y \cap \kappa}y$. Hence $Y \cap \mathcal{P}_{y \cap \kappa}y$ is ineffable by the induction hypothesis. Hence we can apply the ineffability of $Y \cap \mathcal{P}_{y \cap \kappa}y$ to $\langle a_z : z \in Y \cap \mathcal{P}_{y \cap \kappa}y \rangle$. So there exists $b_y \subseteq y$ such that $\{z \in Y \cap \mathcal{P}_{y \cap \kappa}y : b_y \cap z = a_z\}$ is stationary in $\mathcal{P}_{y \cap \kappa}y$. Since Z is ineffable, there exists $B \subseteq x$ such that $\{y \in Z : B \cap y = b_y\}$ is stationary in $\mathcal{P}_{x \cap \kappa}x$. We check that $\{z \in Y \cap \mathcal{P}_{x \cap \kappa}x : a_z = B \cap z\}$ is stationary, which is a contradiction. Take $f : x \times x \rightarrow x$. We want to find $z \in Y \cap \mathcal{P}_{x \cap \kappa}x$ such that $B \cap z = a_z$, $z \cap \kappa \in \kappa$, and $f''(z \times z) \subseteq z$. Since $\{y \in Z : B \cap y = b_y\}$ is stationary in $\mathcal{P}_{x \cap \kappa}x$, there exists $y \in Z$ such that $B \cap y = b_y$ and $f''(y \times y) \subseteq y$. Because $\{z \in Y \cap \mathcal{P}_{y \cap \kappa}y : b_y \cap z = a_z\}$ is stationary in $\mathcal{P}_{y \cap \kappa}y$, we can take $z \in Y \cap \mathcal{P}_{y \cap \kappa}y$ such that $a_z = b_y \cap z = B \cap z$, $z \cap \kappa \in \kappa$, and $f''(z \times z) \subseteq z$. This completes the proof. □

COROLLARY 5.2. Assume $\text{cf}(\lambda) \geq \kappa$.

- (1) If κ is λ -Shelah, then $\text{NSh}_{\kappa\lambda} \subsetneq \text{NAIN}_{\kappa\lambda}$.
- (2) If κ is almost λ -ineffable, then $\text{NAIN}_{\kappa\lambda} \subsetneq \text{NIn}_{\kappa\lambda}$.

PROOF.

(1). By Abe [3], $\{x \in \mathcal{P}_{\kappa}\lambda : x \cap \kappa \text{ is } x\text{-Shelah}\} \in \text{NAIN}_{\kappa\lambda}^*$. Hence by Proposition 5.1, $\{x \in \mathcal{P}_{\kappa}\lambda : x \cap \kappa \text{ is not } x\text{-Shelah}\}$ is Shelah but not almost ineffable.

(2). By Kamo [17], $\{x \in \mathcal{P}_{\kappa}\lambda : x \cap \kappa \text{ is almost } x\text{-ineffable}\} \in \text{NIn}_{\kappa\lambda}^*$. So $\{x \in \mathcal{P}_{\kappa}\lambda : x \cap \kappa \text{ is not almost } x\text{-ineffable}\}$ is almost ineffable but not ineffable. □

PROPOSITION 5.3. Assume λ is a strong limit cardinal with $\text{cf}(\lambda) < \kappa$ (so $2^\lambda = \lambda^{<\kappa}$ holds). Let $\langle A_x : x \in \mathcal{P}_{\kappa}\lambda \rangle$ be an enumeration of $\mathcal{P}(\lambda)$ and $X = \{x \in \mathcal{P}_{\kappa}\lambda : \forall a \subseteq x \exists y < x (a = A_y \cap x)\}$. Then $\text{NSh}_{\kappa\lambda} = \text{NIn}_{\kappa\lambda} = \text{NAIN}_{\kappa\lambda} = \text{WNS}_{\kappa\lambda}|X$. In particular the following are equivalent:

- (1) κ is λ -Shelah.
- (2) κ is almost λ -ineffable.
- (3) κ is λ -ineffable.
- (4) $X \in \text{WNS}_{\kappa\lambda}^+$.

PROOF. Since $\text{WNS}_{\kappa\lambda} \subseteq \text{NSh}_{\kappa\lambda} \subseteq \text{NAIN}_{\kappa\lambda} \subseteq \text{NIn}_{\kappa\lambda}$, it is enough to show that $X \in \text{NSh}_{\kappa\lambda}^*$ and $\text{NIn}_{\kappa\lambda} \subseteq \text{WNS}_{\kappa\lambda}|X$. First we show that $X \in \text{NSh}_{\kappa\lambda}^*$. Let $\langle B_\xi : \xi < \lambda \rangle$ be an enumeration of all bounded subsets of λ . First we claim that $Z = \{x \in \mathcal{P}_{\kappa}\lambda : \forall a \subseteq x (a \text{ is bounded in } \lambda \rightarrow \exists \xi \in x (a = B_\xi \cap x))\} \in \text{NSh}_{\kappa\lambda}^*$. Assume otherwise, then by the normality of $\text{NSh}_{\kappa\lambda}$, there exists $\alpha < \lambda$ such that $Y = \{x \in \mathcal{P}_{\kappa}\lambda : \exists a \subseteq x \cap \alpha \forall \xi \in x (a \neq B_\xi \cap x)\} \in \text{NSh}_{\kappa\lambda}^+$. For each $x \in Y$, let $a_x \subseteq x \cap \alpha$ be a witness to $x \in Y$. Let $f_x : x \cap \alpha \rightarrow 2$ be the characteristic function of a_x and $g_x : x \rightarrow x$ a function such that $g_x(\beta) \in a_x \Delta (B_\beta \cap x)$ for each $\beta \in x$. By the Shelah property of Z , there exist $f : \alpha \rightarrow 2$ and $g : \lambda \rightarrow \lambda$ such that $\{x \in Y : f_x|y = f|y \text{ and } g_x|y = g|y\}$ is unbounded for all $y \in \mathcal{P}_{\kappa}\lambda$. Let $B = f^{-1}\{1\}$. $B \subseteq \alpha$, so $B = B_\xi$ for some $\xi < \lambda$. Take $y \in \mathcal{P}_{\kappa}\lambda$ such that $\xi \in y$ and y is closed under g . Take $x \in Y$ such that $y < x$, $f_x|y = f|y$, and $g_x|y = g|y$. Then $B_\xi \cap x \neq a_x$ because $\xi \in y \subseteq x$. Since $f|x$ is the characteristic function of $B_\xi \cap x$, f_x is that of a_x , and $g_x(\xi) \in a_x \Delta (B_\xi \cap x)$, we have $f_x(g_x(\xi)) \neq f(g_x(\xi))$. Since $\xi \in y$ and y is closed under g , we have $g_x(\xi) = g(\xi) \in y$. But then $f_x(g(\xi)) = f(g(\xi))$, which is a contradiction.

Second we show that $X \in \text{NSh}_{\kappa\lambda}^*$. Fix an increasing sequence $\langle \lambda_i : i < \text{cf}(\lambda) \rangle$ which converges to λ . Assume $X \notin \text{NSh}_{\kappa\lambda}^*$. Then $Z' = \{x \in Z : \{\lambda_i : i < \text{cf}(\lambda)\} \subseteq x \text{ and } \exists a \subseteq x \forall y < x (a \neq A_y \cap x)\} \in \text{NSh}_{\kappa\lambda}^+$. For each $x \in Z'$,

let $a_x \subseteq x$ be a witness to $x \in Z'$. For $x \in Z'$ and $i < \text{cf}(\lambda)$, take $\xi_i^x \in x$ such that $a_x \cap \lambda_i = A_{\xi_i^x} \cap x$. Then, by the strong normality of $\text{NSh}_{\kappa\lambda}$, there exists $\langle \xi_i : i < \text{cf}(\lambda) \rangle$ such that $\{x \in Z' : \forall i < \text{cf}(\lambda) (\xi_i^x = \xi_i)\} \in \text{NSh}_{\kappa\lambda}^+$. Note that if $i < j < \text{cf}(\lambda)$, then $A_{\xi_i} = A_{\xi_j} \cap \lambda_i$. Thus we can define $A \subseteq \lambda$ by $A \cap \lambda_i = A_{\xi_i}$ for all $i < \text{cf}(\lambda)$. Take $y \in \mathcal{P}_\kappa\lambda$ such that $A = A_y$. It is easy to see that for $x \in Z'$, $a_x = A_y \cap x$ if $\xi_i^x = \xi_i$ for all $i < \text{cf}(\lambda)$, which is a contradiction. Thus we have $X \in \text{NSh}_{\kappa\lambda}^*$.

Last we show that $\text{NIn}_{\kappa\lambda} \subseteq \text{WNS}_{\kappa\lambda}|X$. Let $W \in (\text{WNS}_{\kappa\lambda}|X)^+$. We may assume $W \subseteq X$. We claim that W is ineffable. To see this, take an arbitrary sequence $\langle a_x : x \in W \rangle$ such that $a_x \subseteq x$ for all $x \in W$. By the definition of X , for each $x \in W$ there exists $y_x < x$ such that $a_x = A_{y_x} \cap x$. Since $W \in \text{WNS}_{\kappa\lambda}^+$, there exists $y \in \mathcal{P}_\kappa\lambda$ such that $W' = \{x \in W : y_x = y\} \in \text{WNS}_{\kappa\lambda}^+$. Then W' is stationary and it is clear that $a_x = A_y \cap x$ for all $x \in W'$. \square

REMARK. If we replace “ λ is strong limit” by “ $2^\lambda = \lambda^{<\kappa}$ ” in the assumption of the previous proposition, then we can obtain that $\text{NAIN}_{\kappa\lambda} = \text{NIn}_{\kappa\lambda} = \text{WNS}_{\kappa\lambda}|X$. The proof that $X \in \text{NAIN}_{\kappa\lambda}^*$ is easy, so we omit it.

COROLLARY 5.4. Assume $2^\lambda = \lambda^{<\kappa}$. For any $Y \in \text{NIn}_{\kappa\lambda}^+$ ($= \text{NAIN}_{\kappa\lambda}^+$) and $\langle a_x : x \in Y \rangle$ with $a_x \subseteq x$, there exists $A \subseteq \lambda$ such that $\{x \in Y : A \cap x = a_x\} \in \text{NIn}_{\kappa\lambda}^+$.

PROOF. By the above remark, $\text{NIn}_{\kappa\lambda} = \text{NAIN}_{\kappa\lambda} = \text{WNS}_{\kappa\lambda}|X$ holds, where X is as in Proposition 5.3. We can argue as in the proof of $\text{NIn}_{\kappa\lambda} \subseteq \text{WNS}_{\kappa\lambda}|X$ in Proposition 5.3. \square

Next we turn to completely ineffability of $\mathcal{P}_\kappa\lambda$.

DEFINITION 5.5. Let I be an ideal over $\mathcal{P}_\kappa\lambda$. \mathcal{W} is called an I -partition if the following hold:

- (1) $\mathcal{W} \subseteq I^+$,
- (2) $\forall Y \in I^+ \exists Z \in \mathcal{W} (Y \cap Z \in I^+)$, and
- (3) $\forall Y, Z \in \mathcal{W} (Y \neq Z \Rightarrow Y \cap Z \in I)$.

Let μ and ν be cardinals. An ideal I over $\mathcal{P}_\kappa\lambda$ is called (μ, ν) -distributive if, for every $X \in I^+$ and every $\langle \mathcal{W}_\alpha : \alpha < \mu \rangle$ where each \mathcal{W}_α is an I -partition with $|\mathcal{W}_\alpha| \leq \nu$, there exists $Y \in (I|X)^+$ such that Y satisfies the following:

For every $\alpha < \mu$, there exists $Z \in \mathcal{W}_\alpha$ such that $Y \setminus Z \in I$.

FACT 5.6 (Johnson [16]). Let I be an ideal over $\mathcal{P}_\kappa\lambda$. Then the following are equivalent:

- (1) I is normal and (λ, λ) -distributive.

- (2) For all $X \in I^+$ and $\langle a_x : x \in X \rangle$ with $a_x \subseteq x$, there exists $A \subseteq \lambda$ such that $\{x \in X : A \cap x = a_x\} \in I^+$.

We say that $X \subseteq \mathcal{P}_\kappa \lambda$ is *completely ineffable* if there exists a proper (λ, λ) -distributive normal ideal I such that $X \in I^+$, and that κ is *completely λ -ineffable* if $\mathcal{P}_\kappa \lambda$ is completely ineffable. Let $\text{NCIn}_{\kappa\lambda} = \{X \subseteq \mathcal{P}_\kappa \lambda : X \text{ is not completely ineffable}\}$. Then $\text{NCIn}_{\kappa\lambda}$ is the minimal normal (λ, λ) -distributive ideal and, equivalently, is the minimal normal ideal which satisfies (2) of the above fact. Clearly $\text{NIn}_{\kappa\lambda} \subseteq \text{NCIn}_{\kappa\lambda}$ holds.

PROPOSITION 5.7. *Assume $\text{cf}(\lambda) \geq \kappa$ and κ is λ -ineffable. Then $\text{NIn}_{\kappa\lambda} \subsetneq \text{NCIn}_{\kappa\lambda}$.*

PROOF. By Kamo [17], $\{x \in \mathcal{P}_\kappa \lambda : x \cap \kappa \text{ is } x\text{-ineffable}\} \in \text{NCIn}_{\kappa\lambda}^*$. Hence the assertion follows from Proposition 5.1. □

The next proposition can be easily verified by using Corollary 5.4 and Fact 5.6.

PROPOSITION 5.8. *Assume $2^\lambda = \lambda^{<\kappa}$. Then $\text{NIn}_{\kappa\lambda} = \text{NCIn}_{\kappa\lambda}$ holds. Thus κ is λ -ineffable if and only if κ is completely λ -ineffable under the assumption $2^\lambda = \lambda^{<\kappa}$.*

Now we show the preservation of ineffability under certain forcing methods. For a poset \mathbf{P} and an ordinal α , $\Gamma_\alpha(\mathbf{P})$ denotes the following 2-player game:

$$\begin{array}{l} \text{Player I : } p_0 \quad p_1 \quad \cdots \quad p_{\omega+1} \quad \cdots \\ \text{Player II : } \quad q_0 \quad q_1 \quad \cdots \quad q_\omega \quad \quad q_{\omega+1} \quad \cdots \end{array}$$

Player I and II choose elements of \mathbf{P} alternately such that $p_0 \geq q_0 \geq p_1 \geq q_1 \geq \cdots$. At limit stage η , only Player II moves and Player II chooses a lower bound q_η of $\{q_\xi : \xi < \eta\}$. Player II wins if this game can be continued to length α , that is, Player II can choose q_β for all $\beta < \alpha$. A poset \mathbf{P} is *α -strategically closed* if Player II has a winning strategy in $\Gamma_\alpha(\mathbf{P})$. It is well-known that α -strategically closed posets add no new $< \alpha$ -sequences.

PROPOSITION 5.9. *Assume λ is a strong limit cardinal with $\text{cf}(\lambda) < \kappa$. If κ is λ -ineffable (equivalently, λ -Shelah, almost λ -ineffable, or completely λ -ineffable), then $\Vdash_{\mathbf{P}}$ “ κ is λ -ineffable” for every λ^+ -strategically closed poset \mathbf{P} .*

PROOF. By Proposition 4.4, we have $2^\lambda = \lambda^{<\kappa} = \lambda^+$. Let $\langle A_x : x \in \mathcal{P}_\kappa \lambda \rangle$ be an enumeration of $\mathcal{P}(\lambda)$ and define X as in Proposition 5.3. Then $\text{NIn}_{\kappa\lambda}$

$= \text{WNS}_{\kappa\lambda}|X$.

Since λ^+ -strategically closed forcing adds no new subsets of λ , $\langle A_x : x \in \mathcal{P}_\kappa\lambda \rangle$ remains an enumeration of $\mathcal{P}(\lambda)$ in $V^{\mathbf{P}}$. Thus it is enough to show that $X \in \text{WNS}_{\kappa\lambda}^+$ in $V^{\mathbf{P}}$. Let $p \in \mathbf{P}$, and let \dot{f} be a \mathbf{P} -name such that $p \Vdash \dot{f} : \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda$. Let $\langle x_\alpha : \alpha < \lambda^+ \rangle$ be an enumeration of $\mathcal{P}_\kappa\lambda$. Using the λ^+ -strategic closedness of \mathbf{P} , we construct $\langle y_\alpha \in \mathcal{P}_\kappa\lambda : \alpha < \lambda^+ \rangle$ and a descending sequence $\langle p_\alpha \in \mathbf{P} : \alpha < \lambda^+ \rangle$ such that $p_0 \leq p$ and $p_\alpha \Vdash \dot{f}(x_\alpha) = y_\alpha$ for all $\alpha < \lambda^+$. Now define $g : \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda$ by $g(x_\alpha) = y_\alpha$. Since $X \in \text{WNS}_{\kappa\lambda}^+$, there exists $x \in X$ such that $g \restriction \mathcal{P}_{x \cap \kappa} x \subseteq \mathcal{P}_{x \cap \kappa} x$. Take a sufficiently large $\beta < \lambda^+$ such that $\mathcal{P}_{x \cap \kappa} x \subseteq \{x_\alpha : \alpha < \beta\}$. Then $p_\beta \Vdash \dot{f} \restriction \mathcal{P}_{x \cap \kappa} x = g \restriction \mathcal{P}_{x \cap \kappa} x$. Hence we conclude that $p_\beta \Vdash "x \in X \cap C_{\dot{f}}."$ \square

By Proposition 5.9, we have the following corollary:

COROLLARY 5.10. *Assume λ is a strong limit cardinal with $\text{cf}(\lambda) < \kappa$ and κ is λ -ineffable. Then there exists a poset which preserves all cofinalities and forces that κ remains completely λ -ineffable and $\{\alpha < \lambda^+ : \text{cf}(\alpha) < \kappa\}$ has a non-reflecting stationary subset.*

PROOF. Let \mathbf{P} be the standard forcing notion which adds a non-reflecting stationary subset of $\{\alpha < \lambda^+ : \text{cf}(\alpha) < \kappa\}$ (see Burgess [5]). This poset is λ^+ -strategically closed, hence, by Lemma 5.9, κ is completely λ -ineffable in $V^{\mathbf{P}}$. \square

Abe [3] proved that λ -ineffability does not imply $\lambda^{<\kappa}$ -ineffability if $\text{cf}(\lambda) < \kappa$. We can improve Abe's result to the following:

COROLLARY 5.11. *Relative to a certain large cardinal assumption, it is consistent that κ is completely λ -ineffable with $\text{cf}(\lambda) < \kappa$, but not mildly $\lambda^{<\kappa}$ -ineffable.*

PROOF. We suppose that κ is completely λ -ineffable with $\text{cf}(\lambda) < \kappa$ and that $\{\alpha < \lambda^+ : \text{cf}(\alpha) < \kappa\}$ has a non-reflecting stationary subset. This is consistent by Corollary 5.10. By Proposition 4.4, $\lambda^{<\kappa} = \lambda^+$ holds. By Proposition 4.3, κ is not mildly λ^+ -ineffable. Hence, in this model, κ is completely λ -ineffable but not mildly $\lambda^{<\kappa}$ -ineffable. \square

Now we investigate the precipitousness of $\text{NIn}_{\kappa\lambda}$.

DEFINITION 5.12. For an ideal I over $\mathcal{P}_\kappa\lambda$, I is said to be *precipitous* if, for every $X \in I^+$ and for every I -partitions $\langle \mathcal{W}_n : n < \omega \rangle$ such that $\forall n \in \omega \forall Y \in \mathcal{W}_{n+1} \exists Z \in \mathcal{W}_n (Y \subseteq Z)$, there exists a sequence $\langle X_n : n < \omega \rangle$ such that $X_n \in \mathcal{W}_n$ for all $n < \omega$, $X \supseteq X_0 \supseteq X_1 \supseteq \dots \supseteq X_n \supseteq \dots$, and $\bigcap_{n < \omega} X_n \neq \emptyset$.

For an information about precipitousness, see section 22 in Jech [14].

FACT 5.13 (Abe [3]). If $\text{cf}(\lambda) \geq \kappa$, then $\text{NCIn}_{\kappa\lambda}$ is not precipitous.

Now assume κ is a Mahlo cardinal. Let $e : \mathcal{P}_{\kappa}\lambda \rightarrow \mathcal{P}_{\kappa}\lambda^{<\kappa}$ be a canonical map and $X = \{x \in \mathcal{P}_{\kappa}\lambda : x \cap \kappa \text{ is inaccessible, } e(x) \cap \lambda = x\}$. Then $X \in \text{WNS}_{\kappa\lambda}^*$ and $e^*X \in \text{WNS}_{\kappa\lambda^{<\kappa}}^*$. For each $Y \subseteq X$, $Y \in \text{WNS}_{\kappa\lambda}$ if and only if $e^*Y \in \text{WNS}_{\kappa\lambda^{<\kappa}}^*$. Furthermore it is easy to see that $e|X$ is a bijection from X to e^*X . Using this, we can easily verify the following lemma:

LEMMA 5.14. *Let κ be a Mahlo cardinal and let $e : \mathcal{P}_{\kappa}\lambda \rightarrow \mathcal{P}_{\kappa}\lambda^{<\kappa}$ be a canonical map. Then, for $X \in \text{WNS}_{\kappa\lambda}^+$, $\text{WNS}_{\kappa\lambda}|X$ is precipitous if and only if $\text{WNS}_{\kappa\lambda^{<\kappa}}|e^*X$ is precipitous. In particular $\text{WNS}_{\kappa\lambda}$ is precipitous if and only if $\text{WNS}_{\kappa\lambda^{<\kappa}}$ is precipitous.*

PROPOSITION 5.15. *Assume λ is a strong limit cardinal with $\text{cf}(\lambda) < \kappa$ and κ is λ -Shelah (and so is λ -ineffable, etc.). Let μ be a Woodin cardinal greater than λ . Then $\Vdash_{\text{Col}(\lambda^+, <\mu)}$ “ $\text{NSh}_{\kappa\lambda} = \text{NAIN}_{\kappa\lambda} = \text{NIn}_{\kappa\lambda} = \text{NCIn}_{\kappa\lambda}$ is precipitous”, where $\text{Col}(\lambda^+, <\mu)$ is the standard λ^+ -closed poset which collapses μ to λ^{++} .*

PROOF. Let G be a $(V, \text{Col}(\lambda^+, <\mu))$ -generic filter and work in $V[G]$. $\text{Col}(\lambda^+, <\mu)$ is λ^+ -strategically closed. Hence κ is λ -Shelah, and $\text{NSh}_{\kappa\lambda} = \text{NAIN}_{\kappa\lambda} = \text{NIn}_{\kappa\lambda} = \text{NCIn}_{\kappa\lambda} = \text{WNS}_{\kappa\lambda}|X$ for some X in $V[G]$. It is well-known that $\text{NS}_{\kappa\lambda^+}$ is precipitous in $V[G]$ (see Goldring [12]). Since $(\lambda^+)^{<\kappa} = \lambda^+$, $\text{WNS}_{\kappa\lambda^+} = \text{NS}_{\kappa\lambda^+}|Y$ for some Y . Thus $\text{WNS}_{\kappa\lambda^+}$ is also precipitous. By the previous lemma, we have that $\text{WNS}_{\kappa\lambda}$ is precipitous. Hence $\text{WNS}_{\kappa\lambda}|X = \text{NSh}_{\kappa\lambda} = \text{NAIN}_{\kappa\lambda} = \text{NIn}_{\kappa\lambda} = \text{NCIn}_{\kappa\lambda}$ is precipitous. \square

QUESTION 2. Can $\text{NSh}_{\kappa\lambda}$, $\text{NAIN}_{\kappa\lambda}$, and $\text{NIn}_{\kappa\lambda}$ be precipitous even if $\text{cf}(\lambda) \geq \kappa$? Furthermore can these ideals be λ^+ -saturated?

6. Relationship between Π_1^1 -indescribability and ineffability.

The indescribability of $\mathcal{P}_{\kappa}\lambda$ was introduced by Baumgartner and Carr [8] as a generalization of the indescribability of a cardinal. First we explain some basic notation. A sentence φ is a Π_1^1 -sentence if φ is of the form $\forall X_0 \forall X_1 \cdots \forall X_n \psi(X_0, X_1, \dots, X_n)$, where X_0, X_1, \dots, X_n are type 2 variables, and $\psi(X_0, X_1, \dots, X_n)$ is a first order sentence with language $\{\in, =, X_0, \dots, X_n\}$ where X_i is a unary predicate symbol. In the intended semantics, if D is the domain of a structure, type 2 variables will range over $\mathcal{P}(D)$.

DEFINITION 6.1. An uncountable cardinal κ is Π_1^1 -indescribable if, for any $R \subseteq V_{\kappa}$ and Π_1^1 -sentence φ over the structure $\langle V_{\kappa}, \in, R \rangle$ (that is, φ is a Π_1^1 -sentence with language $\{\in, =, R\}$),

$$\langle V_\kappa, \in, R \rangle \models \varphi \Rightarrow \exists \alpha < \kappa (\langle V_\alpha, \in, R \cap V_\alpha \rangle \models \varphi),$$

where V_α is the set of all sets with rank less than α .

FACT 6.2. An uncountable cardinal κ is weakly compact if and only if κ is Π_1^1 -indescribable.

Baumgartner defined the following:

DEFINITION 6.3. Let S be a set with $\kappa \subseteq S$. Define $V_\alpha(\kappa, S)$ by induction on $\alpha \leq \kappa$ in the following way:

- $V_0(\kappa, S) = S$,
- $V_{\alpha+1}(\kappa, S) = V_\alpha(\kappa, S) \cup \mathcal{P}_\kappa(V_\alpha(\kappa, S))$, and
- $V_\alpha(\kappa, S) = \bigcup_{\beta < \alpha} V_\beta(\kappa, S)$ if α is a limit ordinal.

For $X \subseteq \mathcal{P}_\kappa S$, we say that X is Π_1^1 -indescribable if, for every $R \subseteq V_\kappa(\kappa, S)$ and Π_1^1 -sentence φ over the structure $\langle V_\kappa(\kappa, S), \in, R \rangle$, the following holds:

If $\langle V_\kappa(\kappa, S), \in, R \rangle \models \varphi$, then there exists $x \in X$ such that $|x \cap \kappa| = x \cap \kappa$ and φ reflects to x , that is,

$$\langle V_{x \cap \kappa}(x \cap \kappa, x), \in, R \cap V_{x \cap \kappa}(x \cap \kappa, x) \rangle \models \varphi.$$

Let $\Pi_{\kappa\lambda}$ be the set of all $X \subseteq \mathcal{P}_\kappa \lambda$ such that X is not Π_1^1 -indescribable.

FACT 6.4 (Abe [2], Carr [8]).

- (1) $\Pi_{\kappa\lambda}$ is a strongly normal ideal over $\mathcal{P}_\kappa \lambda$.
- (2) $\text{NSh}_{\kappa\lambda} \subseteq \Pi_{\kappa\lambda}$.
- (3) If $\text{cf}(\lambda) \geq \kappa$, then $\text{NSh}_{\kappa\lambda} = \Pi_{\kappa\lambda}$.

For further general background about indescribability of $\mathcal{P}_\kappa \lambda$, see Abe [2] and Carr [8].

We will use the following combinatorial characterization of Π_1^1 -indescribability.

FACT 6.5 (Abe [2]). For $X \subseteq \mathcal{P}_\kappa \lambda$, the following are equivalent:

- (1) X is Π_1^1 -indescribable.
- (2) $e \text{''} X$ is Shelah in $\mathcal{P}_\kappa \lambda^{<\kappa}$, where e is a canonical map from $\mathcal{P}_\kappa \lambda$ to $\mathcal{P}_\kappa \lambda^{<\kappa}$.
- (3) For all $\langle f_x : x \in X \rangle$ with $f_x : \mathcal{P}_{x \cap \kappa} x \rightarrow \mathcal{P}_{x \cap \kappa} x$, there exists $f : \mathcal{P}_\kappa \lambda \rightarrow \mathcal{P}_\kappa \lambda$ such that $\{x \in X : f|_{\mathcal{P}_{y \cap \kappa} y} = f_x|_{\mathcal{P}_{y \cap \kappa} y}\}$ is unbounded for all $y \in \mathcal{P}_\kappa \lambda$.

First we show that Π_1^1 -indescribability implies a reflection principle for

$\text{WNS}_{\kappa\lambda}$ -positive sets.

LEMMA 6.6. *Assume $\mathcal{P}_\kappa\lambda$ is Π_1^+ -indescribable. Then, for each $X \in \text{WNS}_{\kappa\lambda}^+$, $\{x \in \mathcal{P}_\kappa\lambda : x \cap \kappa \text{ is regular, } X \cap \mathcal{P}_{x \cap \kappa} x \in \text{WNS}_{x \cap \kappa, x}^+\} \in \Pi_{\kappa\lambda}^*$.*

PROOF. Assume otherwise. Then $Y = \{x \in \mathcal{P}_\kappa\lambda : x \cap \kappa \text{ is regular and } X \cap \mathcal{P}_{x \cap \kappa} x \in \text{WNS}_{x \cap \kappa, x}^+\} \in \Pi_{\kappa\lambda}^+$. For each $x \in Y$, let $f_x : \mathcal{P}_{x \cap \kappa} x \rightarrow \mathcal{P}_{x \cap \kappa} x$ be a function which witnesses $X \cap \mathcal{P}_{x \cap \kappa} x \in \text{WNS}_{x \cap \kappa, x}$. By Fact 6.5, we can take $f : \mathcal{P}_\kappa\lambda \rightarrow \mathcal{P}_\kappa\lambda$ such that, for all $y \in \mathcal{P}_\kappa\lambda$, $\{x \in Y : f|_{\mathcal{P}_{y \cap \kappa} y} = f_x|_{\mathcal{P}_{y \cap \kappa} y}\}$ is unbounded. Since $X \in \text{WNS}_{\kappa\lambda}^+$, there exists $y \in X$ such that $f^{\text{``}}\mathcal{P}_{y \cap \kappa} y \subseteq \mathcal{P}_{y \cap \kappa} y$. Take $x \in Y$ such that $y < x$ and $f|_{\mathcal{P}_{y \cap \kappa} y} = f_x|_{\mathcal{P}_{y \cap \kappa} y}$. Then $y \in X \cap \mathcal{P}_{x \cap \kappa} x$ and $f_x^{\text{``}}\mathcal{P}_{y \cap \kappa} y = f^{\text{``}}\mathcal{P}_{y \cap \kappa} y \subseteq \mathcal{P}_{y \cap \kappa} y$, thus $y \in (X \cap \mathcal{P}_{x \cap \kappa} x) \cap C_{f_x}$. This is a contradiction. \square

We have another proof since “ $X \in \text{WNS}_{\kappa\lambda}^+$ ” can be stated in a Π_1^+ -sentence over $\langle V_\kappa(\kappa, \lambda), \in, X \rangle$. Also note that, for every $X \in \text{NS}_{\kappa\lambda}^+$, $\{x \in \mathcal{P}_\kappa\lambda : x \cap \kappa \text{ is regular, } X \cap \mathcal{P}_{x \cap \kappa} x \in \text{NS}_{x \cap \kappa, x}^+\} \in \text{NSh}_{\kappa\lambda}^*$.

The next proposition shows that Π_1^+ -indescribability of $\mathcal{P}_\kappa\lambda$ can be much stronger than ineffability if $\text{cf}(\lambda) < \kappa$.

PROPOSITION 6.7. *Assume $2^\lambda = \lambda^{<\kappa}$. Then the following hold:*

- (1) $\text{NIn}_{\kappa\lambda} \subseteq \Pi_{\kappa\lambda}$. Hence κ is λ -ineffable if $\mathcal{P}_\kappa\lambda$ is Π_1^+ -indescribable.
- (2) If $Y \subseteq \mathcal{P}_\kappa\lambda$ is ineffable, then $\{x \in \mathcal{P}_\kappa\lambda : Y \cap \mathcal{P}_{x \cap \kappa} x \text{ is ineffable}\} \in \Pi_{\kappa\lambda}^*$.
- (3) If κ is λ -ineffable, then $\text{NIn}_{\kappa\lambda} \subsetneq \Pi_{\kappa\lambda}$.

PROOF. Take X and $\langle A_x : x \in \mathcal{P}_\kappa\lambda \rangle$ as in Proposition 5.3.

(1). By the remark after Proposition 5.3, $\text{NIn}_{\kappa\lambda} = \text{WNS}_{\kappa\lambda}|X$ holds. Since $\text{WNS}_{\kappa\lambda} \subseteq \text{NSh}_{\kappa\lambda} \subseteq \Pi_{\kappa\lambda}$, it is enough to show that $X \in \Pi_{\kappa\lambda}^*$. Assume otherwise. Then $Y = \{x \in \mathcal{P}_\kappa\lambda : \exists a_x \subseteq x \forall y < x (a_x \neq A_y \cap x)\} \in \Pi_{\kappa\lambda}^+$. For each $x \in Y$, let $a_x \subseteq x$ be a witness to $x \in Y$. Now define $f_x : x \rightarrow 2$ and $g_x : \mathcal{P}_{x \cap \kappa} x \rightarrow x$ as follows: f_x is the characteristic function of a_x and $g_x(y) \in a_x \Delta (A_y \cap x)$. Then there exist $f : \lambda \rightarrow 2$ and $g : \mathcal{P}_\kappa\lambda \rightarrow \lambda$ such that $\{x \in Y : f_x|_y = f|_y, g_x|_{\mathcal{P}_{y \cap \kappa} y} = g|_{\mathcal{P}_{y \cap \kappa} y}\}$ is unbounded for all $y \in \mathcal{P}_\kappa\lambda$. Let $A = f^{-1}\{1\}$. Then $A = A_z$ for some $z \in \mathcal{P}_\kappa\lambda$. Take $y \in \mathcal{P}_\kappa\lambda$ such that $z < y$ and $g^{\text{``}}\mathcal{P}_{y \cap \kappa} y \subseteq y$. Then we can find $x \in Y$ such that $y < x$, $f|_y = f_x|_y$, and $g_x|_{\mathcal{P}_{y \cap \kappa} y} = g|_{\mathcal{P}_{y \cap \kappa} y}$. Since $z < y < x$, $a_x \neq A_z \cap x$. Since $g_x(z) = g(z)$, we have that $g(z) \in a_x \Delta (A_z \cap x)$. However $g(z) \in y$, thus $f(g(z)) = f_x(g(z))$, which contradicts to $g(z) \in a_x \Delta (A_x \cap x)$.

(2). Let $Z \subseteq \mathcal{P}_\kappa\lambda$ be ineffable. Since $\text{NIn}_{\kappa\lambda} = \text{WNS}_{\kappa\lambda}|X$, we may assume that $Z \subseteq X$. Let $x \in X$ such that $x \cap \kappa$ is regular. By the definition of X , $\langle A_y \cap x : y < x \rangle$ can be seen as an enumeration of $\mathcal{P}(x)$ which is indexed by elements of $\mathcal{P}_{x \cap \kappa} x$. Let $X' = \{y \in \mathcal{P}_{x \cap \kappa} x : \forall a \subseteq y \exists z < y (a = A_z \cap y)\}$.

Then $X' = X \cap \mathcal{P}_{x \cap \kappa} x$. By the proof of Proposition 5.3, we see that, for $x \in X$ such that $x \cap \kappa$ is regular, $Z \cap \mathcal{P}_{x \cap \kappa} x$ is ineffable if $Z \cap \mathcal{P}_{x \cap \kappa} x \in \text{WNS}_{x \cap \kappa, x}^+$. It is clear that $\{x \in X : x \cap \kappa \text{ is regular, } Z \cap \mathcal{P}_{x \cap \kappa} x \in \text{WNS}_{x \cap \kappa, x}^+\} \in \Pi_{\kappa\lambda}^*$ by Lemma 6.6.

(3). By (2), it is enough to show that $\{x \in \mathcal{P}_{\kappa\lambda} : x \cap \kappa \text{ is not } x\text{-ineffable}\} \in \text{NIn}_{\kappa\lambda}^+$. This follows from Proposition 5.1. \square

Assume $\lambda = \kappa^{+\omega}$, $2^\lambda = \lambda^{<\kappa}$, and $\mathcal{P}_{\kappa\lambda}$ is Π_1^1 -indescribable. Then $\{x \in \mathcal{P}_{\kappa\lambda} : \text{ot}(x) = (x \cap \kappa)^{+\omega}\} \in \Pi_{\kappa\lambda}^*$. By the above proposition, we have $\{x \in \mathcal{P}_{\kappa\lambda} : \text{ot}(x) = x \cap \kappa^{+\omega} \text{ and } x \cap \kappa \text{ is } x\text{-ineffable}\} \in \Pi_{\kappa\lambda}^*$, thus we can show that $\{\alpha < \kappa : \alpha \text{ is } \alpha^{+\omega}\text{-ineffable}\}$ is stationary in κ . In particular, under GCH, if $\kappa = \min\{\alpha : \alpha \text{ is } \alpha^{+\omega}\text{-ineffable}\}$, then $\mathcal{P}_{\kappa\kappa^{+\omega}}$ is not Π_1^1 -indescribable. Hence, the assumption that $\text{cf}(\lambda) \geq \kappa$ in (3) of Fact 6.4 cannot be dropped.

LEMMA 6.8. *Let $X \subseteq \mathcal{P}_{\kappa\lambda}$ be Π_1^1 -indescribable. Then $\{x \in X : X \cap \mathcal{P}_{x \cap \kappa} x \text{ is not } \Pi_1^1\text{-indescribable}\}$ is Π_1^1 -indescribable.*

PROOF. Let $Y = \{x \in X : X \cap \mathcal{P}_{x \cap \kappa} x \text{ is not } \Pi_1^1\text{-indescribable}\}$, $R \subseteq V_\kappa(\kappa, \lambda)$, and φ be a Π_1^1 -sentence such that $\langle V_\kappa(\kappa, \lambda), \in, R \rangle \models \varphi$. We show that there exists $x \in Y$ such that φ reflects to x . Take $x \in X$ such that x is a $<$ -minimal element of $\{y \in X : \varphi \text{ reflects to } y\}$. Then φ holds in $\langle V_{x \cap \kappa}(x \cap \kappa, x), \in, R \cap V_{x \cap \kappa}(x \cap \kappa, x) \rangle$ but there is no $y \in X \cap \mathcal{P}_{x \cap \kappa} x$ such that φ reflects to y by the minimality of x . Hence x is an element of Y . \square

As an immediate corollary, we have the following:

COROLLARY 6.9. *Assume $2^\lambda = \lambda^{<\kappa}$ and $\mathcal{P}_{\kappa\lambda}$ is Π_1^1 -indescribable. Then $\{x \in \mathcal{P}_{\kappa\lambda} : x \cap \kappa \text{ is } x\text{-ineffable but } \mathcal{P}_{x \cap \kappa} x \text{ is not } \Pi_1^1\text{-indescribable}\} \in \Pi_{\kappa\lambda}^+$.*

Thus, for instance, $\{\alpha < \kappa : \alpha \text{ is } \alpha^{+\omega}\text{-ineffable but } \mathcal{P}_\alpha \alpha^{+\omega} \text{ is not } \Pi_1^1\text{-indescribable}\}$ is stationary in κ if $\mathcal{P}_{\kappa\kappa^{+\omega}}$ is Π_1^1 -indescribable.

QUESTION 3. In this paper, we frequently used the assumptions that “ λ is a strong limit cardinal” or “ $2^\lambda = \lambda^{<\kappa}$ ”. Can we eliminate these assumptions?

ACKNOWLEDGMENTS. We would like to thank the referee for many useful comments. The author also thanks Yo Matsubara for his encouragement and support.

References

[1] Y. Abe, Saturation of fundamental ideals on $P_{\kappa\lambda}$, J. Math. Soc. Japan, **48** (1996), 511–524.

- [2] Y. Abe, Combinatorial characterization of Π_1^1 -indescribability in $P_\kappa\lambda$, *Arch. Math. Logic*, **37** (1998), 261–272.
- [3] Y. Abe, Notes on subtlety and ineffability in $P_\kappa\lambda$, *Arch. Math. Logic*, **44** (2005), 619–631.
- [4] J. E. Baumgartner, Ineffability properties of cardinals I, *Infinite and finite sets Vol. I*, Colloq. Math. Soc. Janos Bolyai, **10**, North-Holland, Amsterdam, 1975, pp. 109–130.
- [5] J. P. Burgess, Forcing, *Handbook of mathematical logic*, (ed. J. Barwise), Studies in Logic and the Foundations of Mathematics, **90**, North-Holland Publishing Co., Amsterdam-New York-Oxford, 1977.
- [6] M. R. Burke, M. Magidor, Shelah’s pcf theory and its applications, *Ann. Pure Appl. Logic*, **50** (1990), 207–254.
- [7] D. M. Carr, The minimal normal filter on $P_\kappa\lambda$, *Proc. Amer. Math. Soc.*, **86** (1982), 316–320.
- [8] D. M. Carr, $P_\kappa\lambda$ -generalizations of weak compactness, *Z. Math. Logik Grundlag. Math.*, **31** (1985), 393–401.
- [9] D. M. Carr, The structure of ineffability properties of $P_\kappa\lambda$, *Acta Math. Hungar.*, **47** (1986), 325–332.
- [10] D. M. Carr, J.-P. Levinski, D. H. Pelletier, On the existence of strongly normal ideals over $P_\kappa\lambda$, *Arch. Math. Logic*, **30** (1990), 59–72.
- [11] P. Erdős, A. Hajnal, On a problem of B. Jonsson, *Bull. Acad. Polon. Sci. Ser. Sci. Math. Astronom. Phys.*, **14** (1966), 19–23.
- [12] N. Goldring, The entire NS ideal on $P_\gamma\mu$ can be precipitous, *J. Symbolic Logic*, **62** (1997), 1161–1172.
- [13] T. J. Jech, Some combinatorial problems concerning uncountable cardinals, *Ann. Math. Logic*, **5** (1973), 165–198.
- [14] T. J. Jech, *Set theory*, The third millennium edition, revised and expanded, Springer Monographs in Mathematics, Springer-Verlag, Berlin, 2003.
- [15] C. A. Johnson, On ideals and stationary reflection, *J. Symbolic Logic*, **54** (1989), 568–575.
- [16] C. A. Johnson, Some partition relations for ideals on $P_\kappa\lambda$, *Acta Math. Hungar.*, **56** (1990), 269–282.
- [17] S. Kamo, Remarks on $P_\kappa\lambda$ -combinatorics, *Fund. Math.*, **145** (1994), 141–151.
- [18] A. Kanamori, *The higher infinite, Large cardinals in set theory from their beginnings*, Perspectives in Mathematical Logic, Springer-Verlag, Berlin, 1994.
- [19] S. Shelah, *Cardinal arithmetic*, Oxford Logic Guides, **29**, Oxford Science Publications, The Clarendon Press, Oxford University Press, New York, 1994.
- [20] R. Solovay, Strongly compact cardinals and the GCH, *Proceedings of the Tarski Symposium (Proc. Sympos. Pure Math., XXV, Univ. California, Berkeley, Calif., 1971)*, pp. 365–372.
- [21] J. Tryba, Rowbottom-type properties and a cardinal arithmetic, *Proc. Amer. Math. Soc.*, **96** (1986), 661–667.

Toshimichi USUBA

Graduate School of Science

Tohoku University

Sendai, 980-8578, Japan

E-mail: usuba@math.tohoku.ac.jp