

## Scattering for one dimensional perturbed Kirchhoff equations

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**Abstract.** The aim of this work is to show the existence of the wave operator and its inverse among Kirchhoff equations and free wave equations.

### 1. Introduction.

We consider the Cauchy problem for perturbed Kirchhoff equation in one dimensional space,

$$\partial_t^2 u(t, x) - (1 + \varepsilon \|a(\cdot)u_x(t)\|_{L^2}^2) \partial_x(a(x)^2 \partial_x u(t, x)) = 0, \\ t \in (-\infty, \infty), \quad x \in \mathbb{R}^1, \quad (1.1)$$

$$u(0, x) = f(x), \quad u_t(0, x) = g(x), \quad x \in \mathbb{R}^1, \quad (1.2)$$

where  $\varepsilon > 0$  is a small parameter and the coefficient  $a(x) \in C^2(\mathbb{R}^1)$  satisfies

$$0 < a_0 \leq a(x) \leq a_1, \quad x \in \mathbb{R}^1 \quad (1.3)$$

and

$$|a^{(i)}(x)| \leq \delta(1 + |x|)^{-\sigma_0}, \quad x \in \mathbb{R}^1, \quad i = 1, 2. \quad (1.4)$$

First of all we shall state the existence of the time global of solutions of the above Cauchy problem (1.1)–(1.2), under the assumption that the initial data  $f \in C^2(\mathbb{R}^1) \cap L^2(\mathbb{R}^1)$ ,  $g \in C^1(\mathbb{R}^1)$  satisfy

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$$\left| \left( \frac{d}{dx} \right)^{i+1} f(x) \right| + \left| \left( \frac{d}{dx} \right)^i g(x) \right| \leq C(1 + |x|)^{-\sigma_1}, \quad x \in R^1, \quad i = 0, 1. \quad (1.5)$$

Namely we can prove the following theorem.

**THEOREM 1.1.** *Assume that  $a(x)$  satisfies (1.3)–(1.4) and the initial data  $(f, g) \in (C^2(R^1) \cap L^2(R^1)) \times C^1(R^1)$  satisfies (1.5). Moreover assume  $\sigma = \min\{\sigma_0, \sigma_1\} > 1$ . Then there are  $\varepsilon_0 > 0$  and  $\delta_0 > 0$  such that if  $0 < \delta \leq \delta_0$  is valid, for  $0 < \varepsilon \leq \varepsilon_0$  the Cauchy problem (1.1) and (1.2) has a unique solution  $u$  in  $C^2(R^2) \cap C^0(R^1; L^2(R^1))$  such that  $u_t(t, x), u_x(t, x) \in C^0(R^1; L^2(R^1))$ .*

Next we mention the scattering for the equation (1.1).

**THEOREM 1.2.** *Assume that  $a(x)$  satisfies (1.3)–(1.4) and  $\lim_{x \rightarrow \pm\infty} a(x) = a_\infty$  and that the initial data  $(f_0^-, g_0^-) \in (C^2(R^1) \cap L^2(R^1)) \times C^1(R^1)$  satisfies (1.5). Moreover assume  $\sigma = \min\{\sigma_0 - 1, \sigma_1\} > 1$ . Then there are  $\varepsilon_0 > 0$  and  $\delta_0 > 0$  such that if  $0 < \delta \leq \delta_0$  and  $0 < \varepsilon \leq \varepsilon_0$  are valid, there are  $u \in C^2(R^2) \cap C^0(R^1; L^2(R^1))$  a unique solution of (1.1) for  $t \in R^1, (f^+, g^+) \in C^2(R^1) \times C^1(R^1)$  and  $c_\infty > 0$  such that*

$$\|u_t(t) - u_{0t}^\pm(c_\infty^{-1}S(t))\|_{L^2} + \|u_x(t) - u_{0x}^\pm(c_\infty^{-1}S(t))\|_{L^2} = O(|t|^{-\sigma+1}), \quad t \rightarrow \pm\infty \quad (1.6)$$

where  $S(t) = \int_0^t (1 + \varepsilon \|a(\cdot)u_x(s)\|_{L^2}^2)^{\frac{1}{2}} ds$  and

$$(1 + \varepsilon \|a(\cdot)u_x(t)\|_{L^2}^2)^{\frac{1}{2}} - c_\infty = O(|t|^{-\sigma+1}), \quad t \rightarrow \pm\infty \quad (1.7)$$

where  $u^\pm(t, x) \in C^2(R^2)$  denote solutions of the following equations

$$u_{0tt}^\pm(t, x) = a_\infty^2 c_\infty^2 u_{0xx}^\pm(t, x), \quad u_0^\pm(0, x) = f_0^\pm(x), \quad u_{0t}^\pm(0, x) = g_0^\pm(x) \quad (1.8)$$

and  $\|\cdot\|_{L^2}$  stands for a norm of  $L^2(R^1)$ .

It should be remarked that in the case of the coefficient  $a(x) = 1$  Theorem 1.1 is proved essentially by Greenberg and Hu in [3] under the assumption  $\sigma_1 \geq 2$  and by D’Ancona and Spagnolo in [1] if  $\sigma_1 > 6$  and by Yamazaki [6] in the case of  $\sigma_1 > 1$ . Rzmowski in [5] treated the Cauchy problem (1.1)–(1.2) in the  $L^1$  framework. When  $\sigma_1 > 2$ , (1.6) in Theorem 1.2 is replaced by

$$\|u_t(t) - u_{0t}^\pm(t)\|_{L^2} + \|u_x(t) - u_{0x}^\pm(t)\|_{L^2} \rightarrow 0, \quad t \rightarrow \pm\infty, \quad (1.9)$$

because of  $c_\infty^{-1}S(t) - t = O(|t|^{2-\sigma})$ ,  $t \rightarrow \pm\infty$ . When  $a = 1$ , Ghisi [2] gets (1.9) in the case of  $t \rightarrow +\infty$  under the assumption  $\sigma_1 > 6$  and Yamazaki [6] under the assumption  $\sigma_1 > 2$  derived (1.9) in the both cases of  $t \rightarrow \pm\infty$ . On the other hand, Theorem 1 in Matsuyama [4] says that in general (1.9) in the case of  $t \rightarrow +\infty$  does not holds if  $\frac{1}{2} < \sigma_1 < 1$  and  $a(x) = 1$ . We can find many results for multi dimensional Kirchhoff type equations with constant coefficients. For example, see D’Ancona and Spagnolo [1], Yamazaki [6], Matsuyama [4] and their references.

We shall prove Theorem 1.1 and Theorem 1.2 by deriving the estimates of solutions of the equations (1.1) and (1.8) in  $L^\infty$  framework.

**2. Linear equation.**

In this section we transform our original equation into a two by two system of first order equations. We let  $A(t, x) = u_t + a(x)c(t)u_x$  and  $B(t, x) = u_t - a(x)c(t)u_x$ , where  $c(t)^2 = 1 + \varepsilon\|a(\cdot)u_x(t)\|_{L^2}^2$ . We write  $c' = \frac{dc(t)}{dt}$  and  $a'(x) = \frac{da}{dx}(x)$ . Then the equation (1.1) yields

$$\begin{aligned} A_t - a(x)c(t)A_x &= \frac{1}{2}\left(c(t)a'(x) + \frac{c'(t)}{c(t)}\right)(A - B), \\ B_t + a(x)c(t)B_x &= \frac{1}{2}\left(c(t)a'(x) - \frac{c'(t)}{c(t)}\right)(A - B). \end{aligned} \tag{2.1}$$

The initial conditions for  $A$  and  $B$  are computable in terms of  $f'$  and  $g$ . They are

$$A(0, x) = A_0(x); = g + a(x)c_0f', \quad B(0, x) = B_0(x); = g - a(x)c_0f', \tag{2.2}$$

where  $c_0 = c(0) = (1 + \varepsilon\|a(\cdot)f'\|_{L^2}^2)^{\frac{1}{2}}$ . The defining relation for  $c(t)$  becomes

$$c(t)^2 = 1 + \frac{\varepsilon}{4c(t)^2}\|A(t, \cdot) - B(t, \cdot)\|_{L^2}^2. \tag{2.3}$$

We now introduce the change of variable  $\tau = \int_0^t c(s)ds$ . Clearly,  $\tau$  is a strictly increasing function of  $t$ . We denote its inverse function by  $t = T(\tau)$  and regard  $A, B, c$  as functions of  $\tau$ , that is, we write  $A(\tau, x) = A(T(\tau), x)$ ,  $B(\tau, x) = B(T(\tau), x)$ ,  $c(\tau) = c(T(\tau))$  for simplicity of notation. Then by applying the change of variable to the equations (2.1), we get

$$A_\tau - a(x)A_x = \frac{1}{2}\left(a'(x) + \frac{c'}{c}\right)(A - B), \quad B_\tau + a(x)B_x = \frac{1}{2}\left(a'(x) - \frac{c'}{c}\right)(A - B), \tag{2.4}$$

and the initial condition is given by (2.2).

We introduce a functional space as follows

$$X_{\sigma,\delta,M} = \{c(\tau) \in C^1(R^1); c(0) = c_0, 1 \leq c(\tau) \leq M, |c'(\tau)| \leq \delta(1+|\tau|)^{-\sigma}, \tau \in R^1\}$$

with a norm  $|c|_X = \sup |c(\tau)| + \sup(1+|\tau|)^\sigma |c'(\tau)|$ . Let  $c$  be in  $X_{\sigma,\delta,M}$  and consider the linear Cauchy problem (2.2)–(2.4). We denote its solution by  $(A_c, B_c)$ . We define for  $c \in X_{\sigma,\delta,M}$

$$\Phi(c)^2(\tau) = 1 + \frac{\varepsilon}{4c(\tau)^2} \|A_c(\tau, \cdot) - B_c(\tau, \cdot)\|_{L^2}^2. \tag{2.5}$$

Then we can prove the following theorem.

**THEOREM 2.1.** *Assume that  $a(x)$  satisfies (1.3)–(1.4) and  $A_0, B_0 \in C^1(R^1)$  satisfy*

$$|A_0^{(i)}(x)| + |B_0^{(i)}(x)| \leq C(1+|x|)^{-\sigma_1}, \quad x \in R^1, \quad i = 0, 1. \tag{2.6}$$

*Then if  $\sigma = \min\{\sigma_0, \sigma_1\} > 1$  is valid, there is  $\varepsilon_0 > 0$  such that  $\Phi$  is a contraction mapping in  $X_{\sigma,\delta,M}$ , that is,*

$$|\Phi(c_1) - \Phi(c_2)|_X \leq C\varepsilon|c_1 - c_2|_X, \tag{2.7}$$

*for any  $c_1, c_2 \in X_{\sigma,\delta,M}$  and  $0 < \varepsilon \leq \varepsilon_0$ .*

The proof of this theorem will be given in the Section 3.

Now we introduce again the change of variable with respect to  $x$  as follows. Let consider

$$\frac{dx}{d\tau} = \pm a(x), \quad x(0) = y \tag{2.8}$$

and we denote the solution by  $x_\pm(\tau, y)$ . Since  $x_\pm(\tau, y)$  are strictly increasing functions with respect to  $y$ , we get the inverse function  $y_\pm(\tau, x)$  as  $x_\pm(\tau, y_\pm(\tau, x)) = x$ . Hence we can define

$$\alpha_c(\tau, y) = A_c(\tau, x_-(\tau, y)), \quad \beta_c(\tau, y) = B_c(\tau, x_+(\tau, y)).$$

Then it holds

$$A_c(\tau, x) = \alpha_c(\tau, y_-(\tau, x)), \quad B_c(\tau, x) = \beta_c(\tau, y_+(\tau, x)). \tag{2.9}$$

Therefore we obtain the following integral equations from (2.2)–(2.4)

$$\alpha_c(\tau, y) = A_0(y) + \int_0^\tau F_c(s, y)ds, \quad \beta_c(\tau, y) = B_0(y) + \int_0^\tau G_c(s, y)ds \quad (2.10)$$

where the equation (2.1) and the relation (2.9) yield

$$F_c(s, y) = \frac{1}{2} \left( a'(x_-(s, y)) + \frac{c'(s)}{c(s)} \right) (\alpha_c(s, y) - \beta_c(s, y_+(s, x_-(s, y)))), \quad (2.11)$$

$$G_c(s, y) = \frac{1}{2} \left( a'(x_+(s, y)) - \frac{c'(s)}{c(s)} \right) (\alpha_c(s, y_-(s, x_+(s, y))) - \beta_c(s, y)). \quad (2.12)$$

To derive a priori estimates for (2.10), we introduce a norm in  $C^i(R^1)$  as

$$|f|_i = \sup_{x \in R^1, 0 \leq k \leq i} \langle x \rangle^\sigma |f^{(k)}(x)|, \quad i = 0, 1, \dots, \quad (2.13)$$

where  $\langle x \rangle = (1 + |x|^2)^{\frac{1}{2}}$ . Then we can prove the following proposition.

**PROPOSITION 2.1.** *Assume that the conditions of Theorem 2.1 are valid. Then we have*

$$|\alpha_c(\tau)|_i + |\beta_c(\tau)|_i \leq C(|A_0|_i + |B_0|_i), \quad \tau \in R^1, \quad i = 0, 1, \quad (2.14)$$

for  $c \in X_{\sigma, \delta, M}$  and

$$|\alpha_{c_1}(\tau) - \alpha_{c_2}(\tau)|_1 + |\beta_{c_1}(\tau) - \beta_{c_2}(\tau)|_1 \leq C(|A_0|_1 + |B_0|_1)|c_1 - c_2|_X, \quad \tau \in R^1, \quad (2.15)$$

for  $c_1, c_2 \in X_{\sigma, \delta, M}$ .

**PROOF.** Put

$$\gamma_i = \sup_{s \in R^1} \{ |\alpha_c(s)|_i + |\beta_c(s)|_i \}, \quad i = 0, 1.$$

Then we can see easily that  $F_c, G_c$  satisfies

$$\begin{aligned} |F_c(s, y)| &\leq \delta \gamma_0 (\langle x_-(s, y) \rangle^{-\sigma} + \langle s \rangle^{-\sigma}) \{ \langle y_+(s, x_-(s, y)) \rangle^{-\sigma} + \langle y \rangle^{-\sigma} \}, \\ |G_c(s, y)| &\leq \delta \gamma_0 (\langle x_+(s, y) \rangle^{-\sigma} + \langle s \rangle^{-\sigma}) \{ \langle y_-(s, x_+(s, y)) \rangle^{-\sigma} + \langle y \rangle^{-\sigma} \}. \end{aligned}$$

Put

$$h(s, y) = (\langle x_-(s, y) \rangle^{-\sigma} + \langle s \rangle^{-\sigma}) \{ \langle y_+(s, x_-(s, y)) \rangle^{-\sigma} + \langle y \rangle^{-\sigma} \} \\ + (\langle x_+(s, y) \rangle^{-\sigma} + \langle s \rangle^{-\sigma}) \{ \langle y_-(s, x_+(s, y)) \rangle^{-\sigma} + \langle y \rangle^{-\sigma} \}.$$

Taking account of  $x_{\pm}(s, y) = y \pm \int_0^s a(x_{\pm}(\rho, y)) d\rho$  and  $y_{\pm}(s, x_{\mp}(s, y)) = x_{\mp}(s, y) \mp \int_0^s a(x_{\pm}(\rho, y_{\pm}(s, x_{\mp}(s, y)))) d\rho$ , we can see easily that

$$\left| \int_0^{\tau} h(s, y) ds \right| \leq C \langle y \rangle^{-\sigma}, \quad \tau, y \in R^1 \quad (2.16)$$

holds. Hence we obtain from (2.10)

$$\gamma_0 \leq \gamma_0 \delta \sup_{\tau, y \in R^1} \langle y \rangle^{\sigma} \left| \int_0^{\tau} h(s, y) ds \right| + |A_0|_0 + |B_0|_0.$$

This yields (2.14) for  $i = 0$  and for  $0 < \delta \leq \delta_0$  together with (2.16) if  $\delta_0 > 0$  is sufficiently small. Next we shall prove (2.14) for  $i = 1$ . Differentiating (2.10) with respect to  $y$

$$\alpha_{cy}(\tau, y) = A'_0(y) + \int_0^{\tau} F_{cy}(s, y) ds, \quad \beta_{cy}(\tau, y) = B'_0(y) + \int_0^{\tau} G_{cy}(s, y) ds, \quad (2.17)$$

where  $F_{cy}(s, y)$  and  $G_{cy}(s, y)$  are given by

$$F_{cy}(s, y) = \frac{1}{2} \left( a'(x_-(s, y)) + \frac{c'(s)}{c(s)} \right) \\ \times \{ \alpha_{cy}(s, y) + \beta_{cy}(s, y_+(s, x_-(s, y))) y_{+x}(s, x_-(s, y)) x_{-y}(s, y) \} \\ + \frac{1}{2} a''(x_-(s, y)) x_{-y}(s, y) \{ \alpha_c(s, y) - \beta_c(s, y_+(s, x_-(s, y))) \}, \\ G_{cy}(s, y) = \frac{1}{2} \left( a'(x_+(s, y)) - \frac{c'(s)}{c(s)} \right) \\ \times \{ \alpha_{cy}(s, y_-(s, x_+(s, y))) y_{-y}(s, x_+(s, y)) x_{+y}(s, y) - \beta_{cy}(s, y) \} \\ + \frac{1}{2} a''(x_+(s, y)) x_{+y}(s, y) \{ \alpha_c(s, y_-(s, x_+(s, y))) - \beta_c(s, y) \}.$$

Taking account that  $y_{\pm x}$  and  $x_{\pm y}$  are bounded in  $R^2$  we see from the assumption

(1.4) that it holds

$$|F_{cy}(s, y)| \leq \delta (\langle x_-(s, y) \rangle^{-\sigma} + \langle s \rangle^{-\sigma}) \gamma_1 \{ \langle y_+(s, x_-(s, y)) \rangle^{-\sigma} + \langle y \rangle^{-\sigma} \} \\ + C \gamma_0 \langle x_-(s, y) \rangle^{-\sigma} \{ \langle y \rangle^{-\sigma} + \langle y_+(s, x_-(s, y)) \rangle^{-\sigma} \}$$

and

$$|G_{cy}(s, y)| \leq \delta (\langle x_+(s, y) \rangle^{-\sigma} + \langle s \rangle^{-\sigma}) \gamma_1 \{ \langle y_-(s, x_+(s, y)) \rangle^{-\sigma} + \langle y \rangle^{-\sigma} \} \\ + C \gamma_0 \langle x_+(s, y) \rangle^{-\sigma} \{ \langle y \rangle^{-\sigma} + \langle y_-(s, x_+(s, y)) \rangle^{-\sigma} \}.$$

Therefore we get from(2.17) by use of (2.16)

$$\gamma_1 \leq C(\delta\gamma_1 + \gamma_0) \sup_{y \in R^1} \langle y \rangle^\sigma \left| \int_0^\tau h(s, y) ds \right| + C(|A_0|_1 + |B_0|_1),$$

which implies (2.14) for  $i = 1$  together with the fact  $\gamma_0 \leq C(|A_0|_0 + |B_0|_0)$ , if  $\delta$  is small. Next we shall prove that (2.15) holds. Put

$$\rho_1 = \sup_{\tau, y \in R^1, k \leq 1} \langle y \rangle^\sigma (|\partial_y^k(\alpha_{c_1}(\tau, y) - \alpha_{c_2}(\tau, y))| + |\partial_y^k(\beta_{c_1}(\tau, y) - \beta_{c_2}(\tau, y))|).$$

Then  $\alpha_{c_j}, \beta_{c_j}, j = 1, 2$  satisfy for  $k = 0, 1$

$$\partial_y^k(\alpha_{c_1} - \alpha_{c_2}) = \int_0^\tau \partial_y^k(F_{c_1} - F_{c_2})(s, y) ds, \\ \partial_y^k(\beta_{c_1} - \beta_{c_2}) = \int_0^\tau \partial_y^k(G_{c_1} - G_{c_2})(s, y) ds \tag{2.18}$$

where

$$\partial_y^k(F_{c_1} - F_{c_2})(s, y) = \frac{1}{2} \left\{ \frac{c'_1}{c_1} - \frac{c'_2}{c_2} \right\} \partial_y^k \{ \alpha_{c_1}(s, y) - \beta_{c_1}(s, y_+(s, x_-(s, y))) \} \\ + \frac{1}{2} \partial_y^k \left[ \left( a'(x_-(s, y)) + \frac{c'_2}{c_2} \right) \right. \\ \left. \times \{ (\alpha_{c_1} - \alpha_{c_2})(s, y) - (\beta_{c_1} - \beta_{c_2})(s, y_+(s, x_-(s, y))) \} \right]$$

and

$$\begin{aligned} \partial_y^k(G_{c_1} - G_{c_2})(s, y) &= \frac{-1}{2} \left\{ \frac{c'_1}{c_1} - \frac{c'_2}{c_2} \right\} \partial_y^k \{ \alpha_{c_1}(s, y_-(s, x_+(s, y))) - \beta_{c_1}(s, y) \} \\ &\quad + \frac{1}{2} \partial_y^k \left[ \left( a'(x_+(s, y)) - \frac{c'_2}{c_2} \right) \right. \\ &\quad \left. \times \{ (\alpha_{c_1} - \alpha_{c_2})(s, y_-(s, x_+(s, y))) - (\beta_{c_1} - \beta_{c_2})(s, y) \} \right] \end{aligned}$$

hold. Since we can estimate for  $k = 0, 1$

$$\begin{aligned} |\partial_y^k(F_{c_1} - F_{c_2})(s, y)| &\leq (\delta + M)|c_1 - c_2|_X \gamma_1 \langle s \rangle^{-\sigma} \{ \langle y_+(s, x_-(s, y)) \rangle^{-\sigma} + \langle y \rangle^{-\sigma} \} \\ &\quad + \delta \rho_1 (\langle x_-(s, y) \rangle^{-\sigma} + \langle s \rangle^{-\sigma}) \{ \langle y_+(s, x_-(s, y)) \rangle^{-\sigma} + \langle y \rangle^{-\sigma} \} \end{aligned}$$

and

$$\begin{aligned} |\partial_y^k(G_{c_1} - G_{c_2})(s, y)| &\leq (\delta + M)|c_1 - c_2|_X \gamma_1 \langle s \rangle^{-\sigma} \{ \langle y_-(s, x_+(s, y)) \rangle^{-\sigma} + \langle y \rangle^{-\sigma} \} \\ &\quad + \delta \rho_1 (\langle x_+(s, y) \rangle^{-\sigma} + \langle s \rangle^{-\sigma}) \{ \langle y_-(s, x_+(s, y)) \rangle^{-\sigma} + \langle y \rangle^{-\sigma} \} \end{aligned}$$

we obtain from (2.18) and (2.16)

$$\rho_1 \leq (\delta + M)|c_1 - c_2|_X \sup_{\tau, y \in R^1} (1 + |y|)^{-\sigma} \left( \gamma_1 \left| \int_0^\tau h(s, y) ds \right| + \delta \rho_1 \left| \int_0^\tau h(s, y) ds \right| \right).$$

Therefore we obtain (2.15) analogously to the case of (2.14). □

### 3. Nonlinear equation.

In this section we shall prove Theorem 2.1 and Theorem 1.1. We can show that  $\Phi(c)$  belongs to  $X_{\sigma, \delta, M}$  for  $c \in X_{\sigma, \delta, M}$ . In fact we can see that for  $c \in X_{\sigma, \delta, M}$ ,  $1 \leq \Phi(c)^2 \leq 1 + \varepsilon(\|A\|_{L^2}^2 + \|B\|_{L^2}^2)/2 \leq 1 + \varepsilon C(|A_0|_0^2 + |B_0|_0^2)$  holds from (2.14). Hence if we take  $M > 0$ ,  $\varepsilon > 0$  suitably, then we see  $\Phi(c) \leq M$ . Besides  $\Phi(c)(0) = 1 + (\varepsilon/4c_0^2)\|A_c(0) - B_c(0)\|^2 = 1 + \varepsilon\|af'\|^2 = c_0^2$ . Here  $\|\cdot\|$  stands for a norm of  $L^2(R^1)$  and  $(\cdot, \cdot)$  an inner product of  $L^2(R^1)$ . Next we shall prove that  $|\Phi(c)'(\tau)| \leq \delta \langle \tau \rangle^{-\sigma}$ ,  $\tau \in R^1$ . Differentiating  $\Phi(c)^2$  with respect to  $\tau$ ,

$$2\Phi(c)\Phi(c)'(\tau) = \frac{-\varepsilon c'}{2c^3} \|A_c - B_c\|^2 + \frac{\varepsilon}{2c^2} \Re(A_{c\tau} - B_{c\tau}, A_c - B_c). \tag{3.1}$$

It follows from (2.14) that



$$\frac{\varepsilon|c'|}{2c^3} \|A_c - B_c\|^2 \leq \varepsilon \delta \langle \tau \rangle^{-\sigma} C(|A_0|_0 + |B_0|_0)^2. \tag{3.2}$$

On the other hand, taking account that

$$\Re(aA_{cx}, A_c) = -\frac{1}{2}(a'A_c, A_c), \quad \Re(aB_{cx}, B_c) = -\frac{1}{2}(a'B_c, B_c)$$

are valid, we can see

$$\begin{aligned} \Re(A_{c\tau} - B_{c\tau}, A_c - B_c) &= \Re(aA_{cx} + F_c + aB_{cx} - G_c, A_c - B_c) \\ &= -\Re(aA_{cx}, B_c) + \Re(aB_{cx}, A_c) + \Re(F_c - G_c, A_c - B_c) \\ &\quad - \frac{1}{2}(a'A_c, A_c) - \frac{1}{2}(a'B_c, B_c). \end{aligned} \tag{3.3}$$

The assumption (1.4) and Proposition 2.1 imply

$$\begin{aligned} |(a'A_c, A_c)| &\leq \int |a'(x)| |A_c(\tau, x)|^2 dx \leq C|A_0|_0^2 \int \langle x \rangle^{-\sigma_0} \langle y_-(\tau, x) \rangle^{-2\sigma} dx \\ &\leq C|A_0|_0^2 \langle \tau \rangle^{-\sigma}, \\ |(a'B_c, B_c)| &\leq \int |a'(x)| |B_c(\tau, x)|^2 dx \leq C|B_0|_0^2 \int \langle x \rangle^{-\sigma_0} \langle y_+(\tau, x) \rangle^{-2\sigma} dx \\ &\leq C|B_0|_0^2 \langle \tau \rangle^{-\sigma}, \\ |(aA_{cx}, B_c)| &\leq C|A_0|_1 |B_0|_0 \int \langle y_-(\tau, x) \rangle^{-\sigma} \langle y_+(\tau, x) \rangle^{-\sigma} dx \\ &\leq C|A_0|_1 |B_0|_0 \langle \tau \rangle^{-\sigma}, \\ |(aB_{cx}, A_c)| &\leq C|A_0|_0 |B_0|_1 \int \langle y_-(\tau, x) \rangle^{-\sigma} \langle y_+(\tau, x) \rangle^{-\sigma} dx \\ &\leq C|A_0|_0 |B_0|_1 \langle \tau \rangle^{-\sigma}, \end{aligned}$$

and moreover

$$\begin{aligned} |\Re(F_c, A_c - B_c)| &\leq C(|A_0|_0^2 + |B_0|_0^2) \int (\langle x \rangle^{-\sigma} + \langle \tau \rangle^{-\sigma}) (\langle y_-(\tau, x) \rangle^{-2\sigma} + \langle y_+(\tau, x) \rangle^{-2\sigma}) dx \\ &\leq C(|A_0|_0^2 + |B_0|_0^2) \langle \tau \rangle^{-\sigma} \end{aligned}$$

and analogously

$$|\Re(G_c, A_c - B_c)| \leq C(|A_0|_0^2 + |B_0|_0^2)\langle\tau\rangle^{-\sigma}.$$

Therefore we get

$$\frac{\varepsilon}{2c^2}|\Re(A_{c\tau} - B_{c\tau}, A_c - B_c)| \leq C\varepsilon\langle\tau\rangle^{-\sigma}$$

and consequently from (3.1)

$$|\Phi'(c)(\tau)| \leq C\varepsilon(|A_0|_0^2 + |B_0|_0^2)\langle\tau\rangle^{-\sigma} \leq \delta\langle\tau\rangle^{-\sigma}, \quad (3.4)$$

if  $\varepsilon > 0$  is chosen suitably. Finally we shall prove (2.7). Let  $c_1, c_2$  be in  $X_{\sigma, \delta, M}$ . We begin to prove

$$|\Phi(c_1)(\tau) - \Phi(c_2)(\tau)| \leq C\varepsilon|c_1 - c_2|_X, \quad \tau \in R^1. \quad (3.5)$$

The definition (2.5) of  $\Phi$  gives

$$\begin{aligned} & \Phi(c_1)^2(\tau) - \Phi(c_2)^2(\tau) \\ &= \frac{\varepsilon}{4} \left\{ \left( \frac{1}{c_1^2} - \frac{1}{c_2^2} \right) \|A_{c_1} - B_{c_1}\|^2 + \frac{\varepsilon}{4c_2^2} (\|A_{c_1} - B_{c_1}\|^2 - \|A_{c_2} - B_{c_2}\|^2) \right\}. \end{aligned}$$

Therefore noting that

$$\left| \frac{1}{c_1^2} - \frac{1}{c_2^2} \right| \leq 2|c_1 - c_2|_X$$

and

$$\begin{aligned} & | \|A_{c_1} - B_{c_1}\|^2 - \|A_{c_2} - B_{c_2}\|^2 | \\ & \leq (\|A_{c_1} - A_{c_2}\| + \|B_{c_1} - B_{c_2}\|)(\|A_{c_1}\| + \|B_{c_1}\| + \|A_{c_2}\| + \|B_{c_2}\|) \end{aligned}$$

we can get (3.5) by use of Proposition 2.1. Next we shall prove

$$|\Phi(c_1)'(\tau) - \Phi(c_2)'(\tau)| \leq C\varepsilon|c_1 - c_2|_X\langle\tau\rangle^{-\sigma}, \quad \tau \in R^1, \quad (3.6)$$

for  $c_1, c_2 \in X_{\sigma, \delta, M}$ . It follows from (3.1)

$$\begin{aligned}
 & 2\Phi(c_1)\Phi(c_1)'(\tau) - 2\Phi(c_2)\Phi(c_2)'(\tau) \\
 &= -\varepsilon\left(\frac{c'_1}{2c_1^3} - \frac{c'_2}{2c_2^3}\right)\|A_{c_1} - B_{c_1}\|^2 + \frac{\varepsilon c'_2}{2c_2^3}(\|A_{c_1} - B_{c_1}\|^2 - \|A_{c_2} - B_{c_2}\|^2) \\
 &+ \varepsilon\left(\frac{1}{2c_1^2} - \frac{1}{2c_2^2}\right)(\Re(A_{c_1\tau} - B_{c_1\tau}, A_{c_1} - B_{c_1})) \\
 &+ \frac{\varepsilon}{2c_2^2}(\Re(A_{c_1\tau} - B_{c_1\tau}, A_{c_1} - B_{c_1}) - \Re(A_{c_2\tau} - B_{c_2\tau}, A_{c_2} - B_{c_2})). \quad (3.7)
 \end{aligned}$$

Besides, it follows from (3.3)

$$\begin{aligned}
 & \Re(A_{c_1\tau} - B_{c_1\tau}, A_{c_1} - B_{c_1}) - \Re(A_{c_2\tau} - B_{c_2\tau}, A_{c_2} - B_{c_2}) \\
 &= -\Re(a(A_{c_1x} - A_{c_2x}), B_{c_1}) - \Re(a(B_{c_1x} - B_{c_2x}), A_{c_1}) \\
 &\quad - \Re(aA_{c_2x}, B_{c_1} - B_{c_2}) - \Re(aB_{c_2x}, A_{c_1} - A_{c_2}) \\
 &\quad - \frac{1}{2}(\Re(a'A_{c_1}, A_{c_1}) - \Re(a'A_{c_2}, A_{c_2})) - \frac{1}{2}(\Re(a'B_{c_1}, B_{c_1}) - \Re(a'B_{c_2}, B_{c_2})) \\
 &\quad + \Re(F_{c_1} - F_{c_2} - G_{c_1} + G_{c_2}, A_{c_1} - B_{c_1}) \\
 &\quad + \Re(F_{c_2} - G_{c_2}, A_{c_1} - A_{c_2} - (B_{c_1} - B_{c_2})).
 \end{aligned}$$

Since

$$\begin{aligned}
 & F_{c_1} - F_{c_2} - G_{c_1} + G_{c_2} \\
 &= \left(\frac{c'_1}{c_1^2} - \frac{c'_2}{c_2^2}\right)(A_{c_1} - B_{c_1}) + \frac{c'_2}{c_2^2}(A_{c_1} - A_{c_2} - B_{c_1} + B_{c_2})
 \end{aligned}$$

holds, it follows from Proposition 2.1 that we can show

$$\begin{aligned}
 & |\Re(A_{c_1\tau} - B_{c_1\tau}, A_{c_1} - B_{c_1}) - \Re(A_{c_2\tau} - B_{c_2\tau}, A_{c_2} - B_{c_2})| \\
 &\leq C(|A_0|_1 + |B_0|_1)|c_1 - c_2|_X.
 \end{aligned}$$

Moreover we can show using again Proposition 2.1

$$\begin{aligned}
 & \left|\frac{c'_1}{2c_1^3} - \frac{c'_2}{2c_2^3}\right|\|A_{c_1\tau} - B_{c_1\tau}\|^2 \leq C(|A_0|_1 + |B_0|_1)^2|c_1 - c_2|_X\langle\tau\rangle^{-\sigma}, \\
 & \left|\frac{c'_2}{2c_2^3}(\|A_{c_1} - B_{c_1}\|^2 - \|A_{c_2} - B_{c_2}\|^2)\right| \leq C(|A_0|_0 + |B_0|_0)|c_1 - c_2|_X\langle\tau\rangle^{-\sigma}.
 \end{aligned}$$

Therefore, taking account of the equality

$$\Phi'(c_1) - \Phi'(c_2) = \frac{(\Phi'(c_1)\Phi(c_1) - \Phi'(c_2)\Phi(c_2))}{\Phi(c_1)} + \frac{\Phi'(c_2)(\Phi(c_1) - \Phi(c_2))}{\Phi(c_2)}$$

we can obtain (3.6) from (3.5) and (3.7). Thus we have completed the proof of Theorem 2.1.

PROOF OF THEOREM 1.1. Theorem 2.1 assures the existence of solutions  $A, B, c$  of the equations (2.1)–(2.2) and (2.3). Put  $P = (A + B)/2$  and  $Q = (A - B)/2ac$ . Then we can find  $u$  such that  $u_t = P$  and  $u_x = Q$ , since  $(P, Q)$  is complete, that is,  $P_x = Q_t$ . In deed, we see

$$P_x = \frac{A_x + B_x}{2} = \frac{A_t - F - B_t + G}{2ac} = \frac{(A - B)_t - \frac{c'}{c}(A - B)}{2ac} = Q_t.$$

Put

$$u(t, x) = f(x) + \int_0^t \frac{(A + B)(s, x)}{2} ds$$

which solves (1.1) uniquely in  $C^0([0, \infty); L^2(R^1))$ .

#### 4. Scattering for Kirchhoff equations and perturbed linear equations.

In this section we shall show the existence of wave operators among Kirchhoff equation (1.1) and the following linear equations

$$u_{tt}^\pm(t, x) = c_\infty^2(a(x)^2u_x^\pm(t, x))_x, \quad u^\pm(0, x) = f^\pm(x), \quad u_t^\pm(0, x) = g^\pm(x), \\ \pm t \geq 0, \quad x \in R^1. \tag{4.1}$$

THEOREM 4.1. Assume that  $a(x)$  satisfies (1.3)–(1.4) and the initial data  $f^- \in C^2(R^1) \cap L^2(R^1)$  and  $g^- \in C^1(R^1)$  satisfy (1.5). Moreover assume  $\sigma = \min\{\sigma_0, \sigma_1\} > 1$ . Then there are  $\varepsilon_0 > 0$  and  $\delta_0 > 0$  such that if  $0 < \delta \leq \delta_0$  and  $0 < \varepsilon \leq \varepsilon_0$  are valid, there are  $u \in C^2(R^2)$  a solution of (1.1),  $c_\infty > 0$  and  $(f^+, g^+) \in C^2(R^1) \cap L^2(R^1) \times C^1(R^1)$  satisfying (1.5) such that

$$\|u_t(t) - u_t^\pm(c_\infty^{-1}S(t))\| + \|u_x(t) - u_x^\pm(c_\infty^{-1}S(t))\| = O(|t|^{-\sigma+1}), \quad t \rightarrow \pm\infty \tag{4.2}$$

and

$$(1 + \varepsilon \|a(\cdot)u_x(t)\|^2)^{\frac{1}{2}} - c_\infty = O(|t|^{-\sigma+1}), \quad t \rightarrow \pm\infty \tag{4.3}$$

where  $u^\pm(t, x) \in C^2(\mathbb{R}^2)$  are solutions of (4.1) and  $S(t) = \int_0^t (1 + \varepsilon \|a(\cdot)u_x(s)\|^2)^{\frac{1}{2}} ds$ .

PROOF. We let  $A_1(t, x) = u_t + a(x)c(t)u_x$  and  $B_1(t, x) = u_t - a(x)c(t)u_x$ , where  $c(t)^2 = 1 + \varepsilon \|a(\cdot)u_x(t)\|^2$  and  $A_1^-(t, x) = u_t^- + a(x)c_\infty u_x^-$  and  $B_1^-(t, x) = u_t^- - a(x)c_\infty u_x^-$ . Then the equation (1.1) yields

$$\begin{aligned} A_{1t} - a(x)c(t)A_{1x} &= \frac{1}{2} \left( c(t)a'(x) + \frac{c'(t)}{c(t)} \right) (A_1 - B_1), \\ B_{1t} + a(x)c(t)B_{1x} &= \frac{1}{2} \left( c(t)a'(x) - \frac{c'(t)}{c(t)} \right) (A_1 - B_1) \end{aligned} \tag{4.4}$$

and the equation (4.1) gives

$$\begin{aligned} A_{1t}^- - a(x)c_\infty A_{1x}^- &= \frac{1}{2} c_\infty a'(x) (A_1^- - B_1^-), \\ B_{1t}^- + a(x)c_\infty B_{1x}^- &= \frac{1}{2} c_\infty a'(x) (A_1^- - B_1^-). \end{aligned} \tag{4.5}$$

The initial data is given by

$$\begin{aligned} A_1^-(0, x) &= A_0^-(x); = g^-(x) + a(x)c_\infty (f^-)'(x), \\ B_1^-(0, x) &= B_0^-(x); = g^-(x) - a(x)c_\infty (f^-)'(x). \end{aligned} \tag{4.6}$$

Let  $T(\tau)$  be the inverse function of  $\tau = S(t)$ . Put  $A(\tau, x) = A_1(T(\tau), x)$ ,  $B(\tau, x) = B_1(T(\tau), x)$ ,  $A^-(\tau, x) = A_1^-(c_\infty^{-1}\tau, x)$ ,  $B^-(\tau, x) = B_1^-(c_\infty^{-1}\tau, x)$  and  $\gamma(\tau) = c(T(\tau))$ . Then (4.4) and (4.5) yield

$$\begin{aligned} A_\tau - a(x)A_x &= \frac{1}{2} \left( a'(x) + \frac{\gamma'(\tau)}{\gamma(\tau)} \right) (A - B), \\ B_\tau + a(x)B_x &= \frac{1}{2} \left( a'(x) - \frac{\gamma'(\tau)}{\gamma(\tau)} \right) (A - B) \end{aligned} \tag{4.7}$$

and

$$\begin{aligned} A_\tau^- - a(x)A_x^- &= \frac{1}{2}a'(x)(A^- - B^-), \\ B_\tau^- + a(x)B_x^- &= \frac{1}{2}a'(x)(A^- - B^-), \quad \tau, x \in R^1, \end{aligned} \quad (4.8)$$

respectively. Here we pose the condition below to solve (4.8)

$$\|A(\tau) - A^-(\tau)\| + \|B(\tau) - B^-(\tau)\| = O(|\tau|^{-\sigma+1}), \quad \tau \rightarrow -\infty, \quad (4.9)$$

which is equivalent to (4.2).  $\gamma$  is given by

$$\gamma(\tau)^2 = 1 + \frac{\varepsilon}{4\gamma(\tau)^2} \|A(\tau) - B(\tau)\|^2. \quad (4.10)$$

Then we note that (4.3) is equivalent to

$$\gamma(\tau)^2 - c_\infty^2 = 1 + \frac{\varepsilon}{4\gamma(\tau)^2} \|A(\tau) - B(\tau)\|^2 - c_\infty^2 = O(|\tau|^{-\sigma+1}), \quad \tau \rightarrow -\infty. \quad (4.11)$$

Denote by  $x_\pm(\tau, y)$  the solutions of the ordinary equations of (2.8) and by  $y_\pm(\tau, x)$  the inverse function of  $x_\pm(\tau, y) = x$ . If we put  $\alpha(\tau, y) = A^-(\tau, x_-(\tau, y))$ ,  $\beta(\tau, y) = B^-(\tau, x_+(\tau, y))$ , then we can prove analogously to the proof of Proposition 2.1 that  $\alpha(\tau, y)$  and  $\beta(\tau, y)$  satisfy (2.14). Therefore we can see

LEMMA 4.1. *Assume that  $a$  satisfies (1.3) and (1.4) and  $A_0^-(x)$ ,  $B_0^-(x)$  satisfies*

$$|A_0^{(i)}(x)| + |B_0^{(i)}(x)| \leq C(1 + |x|)^{-\sigma_1}, \quad x \in R^1, \quad i = 0, 1. \quad (4.12)$$

*Then if  $\sigma = \min\{\sigma_0, \sigma_1\} > 1$ , the solution  $A^-, B^-$  satisfies*

$$\begin{aligned} |\partial_y^i A^-(\tau, x)| &\leq C(1 + |y_-(\tau, x)|)^{-\sigma}, \quad |\partial_y^i B^-(\tau, x)| \leq C(1 + |y_+(\tau, x)|)^{-\sigma}, \\ \tau &\leq 0, \quad x \in R^1, \quad i = 0, 1. \end{aligned} \quad (4.13)$$

We continue to prove Theorem 4.1. First of all we define  $c_\infty$  as a positive root of the following equation

$$c_\infty^2 = 1 + \frac{\varepsilon}{4c_\infty^2} (\|g^-\|^2 + c_\infty^2 \|a(f^-)'\|^2), \quad (4.14)$$

which satisfies

$$c_\infty^2 = 1 + \frac{\varepsilon}{4c_\infty^2} (\|A_0^-\|^2 + \|B_0^-\|^2) \tag{4.15}$$

because of  $\|A_0^-\|^2 + \|B_0^-\|^2 = (\|g^-\|^2 + c_\infty^2 \|a(f^-)'\|^2)$ . On the other hand, noting that Lemma 4.1 implies

$$|(A^-(\tau), B^-(\tau))| \leq C \int_{-\infty}^{\infty} (1 + |y_+(\tau, x)|)^{-\sigma} (1 + |y_-(\tau, x)|)^{-\sigma} dx \leq C(1 + |\tau|)^{-\sigma},$$

$$\tau \leq 0$$

and taking account of the relation  $\|A^-(\tau)\|^2 + \|B^-(\tau)\|^2 = \|A_0^-\|^2 + \|B_0^-\|^2$  we can estimate

$$\| \|A^-(\tau) - B^-(\tau)\|^2 - \|A_0^-\|^2 - \|B_0^-\|^2 \| = 2|\Re(A^-(\tau), B^-(\tau))| \leq C(1 + |\tau|)^{-\sigma},$$

$$\tau \leq 0.$$

Therefore if  $(A(\tau), B(\tau))$ ,  $\gamma$  satisfies (4.7), (4.9) and (4.11) we get

$$|\gamma(\tau)^2 - c_\infty^2| = \left| \frac{\sqrt{1 + \varepsilon\|A(\tau) - B(\tau)\|^2} - \sqrt{1 + \varepsilon(\|A_0^-\|^2 + \|B_0^-\|^2)}}{2} \right|$$

$$\leq \frac{\varepsilon}{2} \{ \|A(\tau) - B(\tau)\|^2 - \|A_0^-\|^2 - \|B_0^-\|^2 \}$$

$$\leq \varepsilon \| \|A(\tau) - B(\tau)\|^2 - \|A^-(\tau) - B^-(\tau)\|^2 \| + \varepsilon |\Re(A^-(\tau), B^-(\tau))|$$

$$\leq C\varepsilon \{ (1 + |\tau|)^{-\sigma+1} + (1 + |\tau|)^{-\sigma} \}, \quad \tau \leq 0$$

which implies (4.11).

Now we shall find the solution  $(A, B)$  and  $\gamma$  satisfying (4.7), (4.9) and (4.10) by the similar way of the proof of Theorem 2.1. Let  $\sigma > 0$ ,  $\delta > 0$  and  $M > 0$  and introduce

$$X_{\sigma, \delta, M} = \{ \gamma(\tau) \in C^1((-\infty, 0]); 1 \leq \gamma(\tau) \leq M, |\gamma'(\tau)| \leq \delta(1 + |\tau|)^{-\sigma} \}.$$

For  $\gamma \in X_{\sigma, \delta, M}$  we consider the linear equation of (4.7) and (4.9). We change a unknown function  $(A, B)$  of (4.7) to  $(U, V)$  as  $U = A - A^-$ ,  $V = B - B^-$  which satisfies

$$U_\tau - a(x)U_x = \frac{1}{2} \left( a'(x) + \frac{\gamma'(\tau)}{\gamma(\tau)} \right) (U - V) + \frac{\gamma'(\tau)}{2\gamma(\tau)} W, \quad \tau \leq 0, \quad x \in R^1, \quad (4.16)$$

$$V_\tau + a(x)V_x = \frac{1}{2} \left( a'(x) - \frac{\gamma'(\tau)}{\gamma(\tau)} \right) (U - V) - \frac{\gamma'(\tau)}{2\gamma(\tau)} W, \quad \tau \leq 0, \quad x \in R^1, \quad (4.17)$$

where  $W = A^- - B^-$ . Moreover (4.9) gives

$$\|U(\tau)\| + \|V(\tau)\| \leq C(1 + |\tau|)^{-\sigma+1} \rightarrow 0, \quad \tau \rightarrow -\infty. \quad (4.18)$$

In stead of  $(A, B)$  we shall find  $(U, V)$  satisfying (4.16), (4.17) and (4.18). To do so, we need the following lemma in the argument below.

LEMMA 4.2. *Let  $\sigma = \min\{\sigma_0, \sigma_1\} > 1$ . Then there is a positive function  $\varphi_{\mp}(\tau, y)$  such that*

$$\begin{aligned} & \int_{I_{\mp}(\tau)} \{ (1 + |x_{\mp}(s, y)|)^{-\sigma_0} + (1 + |s|)^{-\sigma_0} \} \\ & \quad \times \{ (1 + |y_{\pm}(s, x_{\mp}(s, y))|)^{-\sigma_1} + (1 + |y|)^{-\sigma_1} \} ds \\ & \leq C\varphi_{\mp}(\tau, y)(1 + |y|)^{-\sigma}, \end{aligned} \quad (4.19)$$

and

$$\int_{R^1} \varphi_{\mp}(\tau, y)^2 (1 + |y|)^{-2\sigma} dy \leq C(1 + |\tau|)^{-2(\sigma-1)}, \quad \mp\tau \geq 0, \quad (4.20)$$

where  $I_-(\tau) = (-\infty, \tau)$ ,  $I_+(\tau) = (\tau, \infty)$  and  $\varphi_{\mp}(\tau, y)$  are bounded in  $R^2$ .

PROOF. Put

$$\varphi_{\mp}(\tau, y) = \int_{I_{\mp}} \{ (1 + |x_{\mp}(s, y)|)^{-\sigma_0} + (1 + |y_{\mp}(s, x_{\pm}(s, y))|)^{-\sigma_1} \} ds + (1 + |\tau|)^{1-\sigma_0}.$$

We can see easily that  $\varphi_{\mp}(\tau, y) \leq C$ . To show (4.19) it suffices to check

$$\begin{aligned} & (1 + |x_{\mp}(s, y)|)^{-\sigma} (1 + |y_{\pm}(s, x_{\mp}(s, y))|)^{-\sigma} \\ & \leq C(1 + |y|)^{-\sigma} \{ (1 + |x_{\mp}(s, y)|)^{-\sigma_0} + (1 + |y_{\pm}(s, x_{\mp}(s, y))|)^{-\sigma_1} \}, \end{aligned}$$

which can be showed easily. Next we can show, for example



$$\int_{-\infty}^{\infty} \left( \int_{-\infty}^{\tau} (1 + |x_{-}(s, y)|)^{-\sigma} ds \right)^2 (1 + |y|)^{-2\sigma} dy \leq C(1 + |\tau|)^{-2(\sigma-1)}, \quad \tau \leq 0. \tag{4.21}$$

In fact, in the case of  $x_{-}(\tau, y) \geq 0$  we can see easily

$$\int_{-\infty}^{\tau} (1 + |x_{-}(s, y)|)^{-\sigma} ds \leq C(1 + |x_{-}(\tau, y)|)^{-\sigma+1}, \quad \tau \leq 0.$$

Hence taking account of the inequality  $(1 + |x_{-}(\tau, y)|) \geq c_0(1 + |\tau|)(1 + |y|)^{-1}$  we get

$$\begin{aligned} & \int_{x_{-}(\tau, y) \geq 0} \left( \int_{-\infty}^{\tau} (1 + |x_{-}(s, y)|)^{-\sigma} ds \right)^2 (1 + |y|)^{-2\sigma} dy \\ & \leq (1 + |\tau|)^{-2(\sigma-1)} \int_{x_{-}(\tau, y) \geq 0} (1 + |y|)^{-2} dy \\ & \leq C(1 + |\tau|)^{-2(\sigma-1)}, \quad \tau \leq 0. \end{aligned}$$

In the case of  $x_{-}(\tau, y) \leq 0$ , noting that  $|y| \geq c_0|\tau|$  if  $\tau \leq 0$ , we see

$$\begin{aligned} \int_{x_{-}(\tau, y) \leq 0} (1 + |y|)^{-2\sigma} dy & \leq C \int_{|y| \geq c_0|\tau|} (1 + |y|)^{-2\sigma} dy \\ & \leq C(1 + |\tau|)^{-2\sigma+1}, \quad \tau \leq 0. \end{aligned}$$

Thus we get (4.21). Besides we can estimate the other terms by the same way.  $\square$

Now we can prove the following proposition.

**PROPOSITION 4.1.** *Let  $\sigma = \min\{\sigma_0, \sigma_1\} > 1$  and  $\gamma$  be in  $X_{\sigma, \delta, M}$ . Assume that  $a$  satisfies (1.3) and (1.4) and that  $(A_0^-, B_0^-)$  satisfies (4.12). Then there is  $\delta_0 > 0$  such that if  $\delta_0 \geq \delta > 0$ , (4.16)–(4.18) has a unique solution  $(U, V)$  satisfying*

$$\begin{aligned} |\partial_x^i U(\tau, x)| & \leq C(|A_0^-|_i + |B_0^-|_i)(1 + |y_{-}(\tau, x)|)^{-\sigma}, \\ |\partial_x^i V(\tau, x)| & \leq C(|A_0^-|_i + |B_0^-|_i)(1 + |y_{+}(\tau, x)|)^{-\sigma}, \end{aligned} \tag{4.22}$$

for  $\tau \leq 0$  and  $i = 0, 1$ , where we denote  $|A|_i = \sup_{x \in R^1, k \leq i} (1 + |x|)^{\sigma} |\partial_x^k A(x)|$ .

**PROOF.** Define  $\alpha(\tau, y) = U(\tau, x_{-}(\tau, y))$ ,  $\beta(\tau, y) = V(\tau, x_{+}(\tau, y))$  and put

$$e_i = \sup_{\tau \leq 0, x \in R^1} (1 + |y|)^\sigma (|\partial_y^i \alpha(\tau, y)| + |\partial_y^i \beta(\tau, y)|), \quad i = 0, 1.$$

Let  $(\alpha, \beta)$  be the solution of the following integral equation

$$\begin{aligned} \alpha(\tau, y) = \int_{-\infty}^{\tau} \left\{ \frac{1}{2} \left( a'(x_-(s, y)) + \frac{\gamma'(s)}{\gamma(s)} \right) (\alpha(s, y) - \beta(s, y_+(s, x_-(s, y)))) \right. \\ \left. + \frac{\gamma'(s)}{2\gamma(s)} W(s, x_-(s, y)) \right\} ds, \end{aligned} \quad (4.23)$$

$$\begin{aligned} \beta(\tau, y) = \int_{-\infty}^{\tau} \left\{ \frac{1}{2} \left( a'(x_+(s, y)) - \frac{\gamma'(s)}{\gamma(s)} \right) (\alpha(s, y_-(s, x_+(s, y))) - \beta(s, y)) \right. \\ \left. - \frac{\gamma'(s)}{2\gamma(s)} W(s, x_+(s, y)) \right\} ds \end{aligned} \quad (4.24)$$

solves. Then  $U(\tau, x) = \alpha(\tau, y_-(\tau, x))$  and  $V(\tau, x) = \beta(\tau, y_+(\tau, x))$  solves (4.16)–(4.17). Taking account that  $V(s, x_-(s, y)) = \beta(s, y_+(s, x_-(s, y)))$  and that (4.13) gives

$$\begin{aligned} |\partial_y^i W(s, x_-(s, y))| &= |\partial_y^i (B^- - A^-)(s, x_-(s, y))| \\ &\leq (|A_0^-|_i + |B_0^-|_i) \{ (1 + |y_+(s, x_-(s, y))|)^{-\sigma} + (1 + |y|)^{-\sigma} \}, \\ & \quad i = 0, 1, \end{aligned} \quad (4.25)$$

we get from (4.23) by use of (4.19) with  $-$ ,

$$\begin{aligned} |\partial_y^i \alpha(\tau, y)| &\leq \int_{-\infty}^{\tau} \left[ \frac{\delta}{2} \{ (1 + |x_-(s, y)|)^{-\sigma_0} + (1 + |s|)^{-\sigma} \} \right. \\ &\quad \times e_i \{ (1 + |y_+(s, x_-(s, y))|)^{-\sigma} + (1 + |y|)^{-\sigma} \} \\ &\quad + \delta (1 + |s|)^{-\sigma} (|A_0^-|_i + |B_0^-|_i) \\ &\quad \left. \times \{ (1 + |y_+(s, x_-(s, y))|)^{-\sigma} + (1 + |y|)^{-\sigma} \} \right] ds \\ &\leq C \{ \delta e_i + (|A_0^-|_i + |B_0^-|_i) \} (1 + |y|)^{-\sigma}, \quad i = 0, 1. \end{aligned}$$

Analogously

$$\begin{aligned}
 |\partial_y^i \beta(\tau, y)| &\leq \int_{-\infty}^{\tau} \left[ \frac{\delta}{2} \{ (1 + |x_+(s, y)|)^{-\sigma_0} + (1 + |s|)^{-\sigma} \} \right. \\
 &\quad \times e_i \{ (1 + |y_-(s, x_+(s, y))|)^{-\sigma} + (1 + |y|)^{-\sigma} \} \\
 &\quad + \delta (1 + |s|)^{-\sigma} (|A_0^-|_i + |B_0^-|_i) \\
 &\quad \left. \times \{ (1 + |y_-(s, x_+(s, y))|)^{-\sigma} + (1 + |y|)^{-\sigma} \} \right] ds \\
 &\leq C(\delta e_i + |A_0^-|_i + |B_0^-|_i)(1 + |y|)^{-\sigma}, \quad i = 0, 1.
 \end{aligned}$$

Thus we get

$$e_i \leq C\delta e_i + C(|A_0^-|_i + |B_0^-|_i),$$

which implies (4.22), if we take  $C\delta < 1$ . Next we prove (4.18) holds. In fact, we see from (4.23) and (4.24) by use of (4.22) and (4.20)

$$\begin{aligned}
 \|U(\tau)\|^2 + \|V(\tau)\|^2 &\leq C(\|\alpha(\tau)\|^2 + \|\beta(\tau)\|^2) \\
 &\leq C \int_{-\infty}^{\infty} \varphi(\tau, y)^2 (1 + |y|)^{-2\sigma} dy \\
 &\leq C(1 + |\tau|)^{-2(\sigma-1)} \rightarrow 0, \quad \tau \rightarrow -\infty,
 \end{aligned}$$

which implies (4.18).

Finally we shall show the existence of solutions of the integral equation (4.23)–(4.24). We seek a solution  $(\alpha, \beta)(\tau, y)$  as

$$\alpha(\tau, y) = \sum_{n=0}^{\infty} \alpha_n(\tau, y), \quad \beta(\tau, y) = \sum_{n=0}^{\infty} \beta_n(\tau, y),$$

where

$$\begin{aligned}
 \alpha_0(\tau, y) &= \int_{-\infty}^{\tau} \frac{\gamma(s)}{2\gamma'(s)} (A^- - B^-)(s, x_-(s, y)) ds, \\
 \beta_0(\tau, y) &= - \int_{-\infty}^{\tau} \frac{\gamma(s)}{2\gamma'(s)} (A^- - B^-)(s, x_+(s, y)) ds,
 \end{aligned}$$

and for  $n \geq 1$

$$\alpha_n(\tau, y) = \int_{-\infty}^{\tau} \frac{1}{2} \left( a'(x_-(s, y)) + \frac{\gamma'(s)}{\gamma(s)} \right) (\alpha_{n-1}(s, y) - \beta_{n-1}(s, y_+(s, x_-(s, y)))) ds$$

and

$$\beta_n(\tau, y) = \int_{-\infty}^{\tau} \frac{1}{2} \left( a'(x_+(s, y)) - \frac{\gamma'(s)}{\gamma(s)} \right) (\alpha_{n-1}(s, y_-(s, x_+(s, y))) - \beta_{n-1}(s, y)) ds.$$

We can show easily by induction

$$|\alpha_n(\tau, y)| + |\beta_n(\tau, y)| \leq C_1(|A_0^-|_0 + |B_0^-|_0)(C_2\delta)^n(1 + |y|)^{-\sigma},$$

for  $n = 0, 1, \dots$ .  $U(\tau, x) = \alpha(\tau, y_-(\tau, x))$  and  $V(\tau, x) = \beta(\tau, y_+(\tau, x))$  solves (4.16)–(4.18). Thus we have proved Proposition 4.1.  $\square$

The solution  $(U, V)$  of (4.16)–(4.18) depends on  $\gamma \in X_{\sigma, \delta, M}$ . So we denote it by  $(U_\gamma, V_\gamma)$ .

**PROPOSITION 4.2.** *Let  $\sigma = \min\{\sigma_0, \sigma_1\} > 1$  and  $\gamma_1, \gamma_2$  be in  $X_{\sigma, \delta, M}$ . Assume that  $(A_0^-, B_0^-)$  satisfies (4.12). Then there is  $\delta_0 > 0$  such that if  $\delta_0 \geq \delta > 0$ ,  $(U_{\gamma_1}, V_{\gamma_1})$  and  $(U_{\gamma_2}, V_{\gamma_2})$  satisfy*

$$\begin{aligned} & \|\partial_x^i(U_{\gamma_1}(\tau, \cdot) - U_{\gamma_2}(\tau, \cdot))\| + \|\partial_x^i(V_{\gamma_1}(\tau, \cdot) - V_{\gamma_2}(\tau, \cdot))\| \\ & \leq C(|A_0^-|_1 + |B_0^-|_1)|\gamma_1 - \gamma_2|_X, \quad i = 0, 1. \end{aligned} \tag{4.26}$$

**PROOF.** Put

$$\alpha(\tau, y) = (U_{\gamma_1} - U_{\gamma_2})(\tau, x_-(\tau, y)), \quad \beta(\tau, x) = (V_{\gamma_1} - V_{\gamma_2})(\tau, x_+(\tau, y)).$$

Then  $(\alpha, \beta)$  satisfies

$$\begin{aligned} \alpha(\tau, y) &= \int_{-\infty}^{\tau} (F_{\gamma_1} - F_{\gamma_2})(s, x_-(s, y)) ds, \\ \beta(\tau, y) &= \int_{-\infty}^{\tau} (G_{\gamma_1} - G_{\gamma_2})(s, x_+(s, y)) ds, \end{aligned} \tag{4.27}$$

where

$$F_\gamma(\tau, x) = \frac{1}{2} \left( a'(x) + \frac{\gamma'(\tau)}{\gamma(\tau)} \right) (U_\gamma - V_\gamma)(\tau, x) + \frac{\gamma'(\tau)}{2\gamma(\tau)} (A^- - B^-)(\tau, x) \tag{4.28}$$

and

$$G_\gamma(\tau, x) = \frac{1}{2} \left( a'(x) - \frac{\gamma'(\tau)}{\gamma(\tau)} \right) (U_\gamma - V_\gamma)(\tau, x) - \frac{\gamma'(\tau)}{2\gamma(\tau)} (A^- - B^-)(\tau, x). \quad (4.29)$$

Hence we see

$$\begin{aligned} & (F_{\gamma_1} - F_{\gamma_2})(s, x_-(s, y)) \\ &= \frac{1}{2} \left( \frac{\gamma'_1(s)}{\gamma_1(s)} - \frac{\gamma'_2(s)}{\gamma_2(s)} \right) (U_{\gamma_1} - V_{\gamma_1} - W)(s, x_-(s, y)) \\ & \quad + \frac{1}{2} \left( a'(x_-(s, y)) + \frac{\gamma'_2(s)}{\gamma_2(s)} \right) (U_{\gamma_1} - U_{\gamma_2} - V_{\gamma_1} + V_{\gamma_2})(s, x_-(s, y)) \end{aligned}$$

and

$$\begin{aligned} & (G_{\gamma_1} - G_{\gamma_2})(s, x_+(s, y)) \\ &= \frac{1}{2} \left( -\frac{\gamma'_1(s)}{\gamma_1(s)} + \frac{\gamma'_2(s)}{\gamma_2(s)} \right) (U_{\gamma_1} - V_{\gamma_1} - W)(s, x_+(s, y)) \\ & \quad + \frac{1}{2} \left( a'(x_+(s, y)) - \frac{\gamma'_2(s)}{\gamma_2(s)} \right) (U_{\gamma_1} - U_{\gamma_2} - V_{\gamma_1} + V_{\gamma_2})(s, x_+(s, y)). \end{aligned}$$

Define

$$e_i = \sup_{s \leq 0, y \in \mathbb{R}^1} (1 + |y|)^\sigma (|\partial_x^i \alpha(s, y)| + |\partial_x^i \beta(s, y)|), \quad i = 0, 1.$$

Noting that  $(V_{\gamma_1} - V_{\gamma_2})(s, x_-(s, y)) = \beta(s, y_+(s, x_-(s, y)))$  and  $(U_{\gamma_1} - U_{\gamma_2})(s, x_+(s, y)) = \alpha(s, y_-(s, x_+(s, y)))$  and taking account of Lemma 4.1, Proposition 4.1 and (4.19), we get from (4.27)

$$\begin{aligned} |\partial_y^i \alpha(\tau, y)| &\leq \int_{-\infty}^\tau \{ (1 + |x_-(s, y)|)^{-\sigma_0} + (1 + |s|)^{-\sigma} \} \\ & \quad \times ((1 + |y_+(s, x_-(s, y))|)^{-\sigma} + (1 + |y|)^{-\sigma}) ds \\ & \quad \times (\delta e_i + (|A_0^-|_0 + |B_0^-|_0) |\gamma_1 - \gamma_2|_X) \\ &\leq C \{ \delta e_i + (|A_0^-|_i + |B_0^-|_i) |\gamma_1 - \gamma_2|_X \} (1 + |y|)^{-\sigma} \end{aligned}$$

and analogously

$$|\partial_y^i \beta(\tau, y)| \leq C\{\delta e_i + (|A_0^-|_1 + |B_0^-|_1)|\gamma_1 - \gamma_2|_X\}(1 + |y|)^{-\sigma}$$

which imply that  $e_i \leq C|\gamma_1 - \gamma_2|_X$  if  $\delta$  is sufficiently small, that is, we get  $|\partial_y^i \alpha(\tau, y)| + |\partial_y^i \beta(\tau, y)| \leq C(|A_0^-|_1 + |B_0^-|_1)|\gamma_1 - \gamma_2|_X(1 + |y|)^{-\sigma}$ ,  $i = 0, 1$  which yields (4.26). □

We continue to prove Theorem 4.1. For  $\gamma \in X_{\sigma, \delta, M}$  we define

$$\Phi(\gamma)(\tau)^2 = 1 + \frac{\varepsilon}{4\gamma(\tau)^2} \|U_\gamma(\tau) - V_\gamma(\tau) + W(\tau)\|^2,$$

where  $(U_\gamma, V_\gamma)$  denotes the solution of (4.16)–(4.18) and  $W(\tau, x) = (A^- - B^-)(\tau, x)$ . We shall prove that  $\Phi(\gamma)$  is in  $X_{\sigma, \delta, M}$  by the similar way as that of the proof of Theorem 2.1. It is trivial that  $1 \leq \Phi(\gamma)(\tau)^2 \leq 1 + C(M)\varepsilon \leq M^2$ , if  $\varepsilon$  is small, because  $U_\gamma, V_\gamma$ , and  $W$  are bounded in  $L^2(R^1)$  from Proposition 4.1. Next we shall prove that  $|\Phi(\gamma)'(\tau)| \leq \delta(1 + |\tau|)^{-\sigma}$ . Differentiating  $\Phi^2(\gamma)(\tau)$  with respect to  $\tau$

$$\begin{aligned} 2\Phi(\gamma)(\tau)\Phi(\gamma)'(\tau) &= \frac{-\varepsilon\gamma'(\tau)}{2\gamma(\tau)^3} \|U_\gamma(\tau) - V_\gamma(\tau) + W(\tau)\|^2 \\ &\quad + \frac{\varepsilon}{2\gamma(\tau)^2} \Re((U_\gamma(\tau) - V_\gamma(\tau) + W(\tau))_\tau, U_\gamma(\tau) - V_\gamma(\tau) + W(\tau)). \end{aligned}$$

It follows from (4.16), (4.17)

$$\begin{aligned} &\Re((U_\gamma(\tau) - V_\gamma(\tau) + W(\tau))_\tau, U_\gamma(\tau) - V_\gamma(\tau) + W(\tau)) \\ &= \Re(a(x)(U_\gamma + V_\gamma)_x(\tau) + W(\tau)_\tau + F_\gamma - G_\gamma, U_\gamma(\tau) - V_\gamma(\tau) + W(\tau)) \\ &= \frac{1}{2} \{ \Re(a'(x)U_\gamma(\tau), U_\gamma(\tau)) - \Re(a'(x)V_\gamma(\tau), V_\gamma(\tau)) \} \\ &\quad - \Re(a(x)U_{\gamma x}(\tau), V_\gamma(\tau)) + \Re(a(x)V_{\gamma x}(\tau), U_\gamma(\tau)) \\ &\quad + \Re(W(\tau)_\tau + F_\gamma - G_\gamma, U_\gamma(\tau) - V_\gamma(\tau) + W(\tau)) \end{aligned}$$

where  $F_\gamma, G_\gamma$  is given by (4.28), (4.29). Using Proposition 4.1 and 4.2 we can estimate from (1.4)

$$\begin{aligned} &|F_\gamma(\tau, x)| + |G_\gamma(\tau, x)| \\ &\leq C\{(1 + |x|)^{-\sigma} + (1 + |\tau|)^{-\sigma}\} \{(1 + |y_-(\tau, x)|)^{-\sigma} + (1 + |y_+(\tau, x)|)^{-\sigma}\}. \end{aligned}$$

Therefore we can show  $|\Phi(\gamma)'(\tau)| \leq \delta(1 + |\tau|)^{-\sigma}$  analogously to (3.4), if we take  $\varepsilon > 0$  small. Moreover we can show similarly to (4.3)–(4.5) by use of Proposition 4.1 and Proposition 4.2,

$$|\Phi(\gamma_1) - \Phi(\gamma_2)|_X \leq C\varepsilon|\gamma_1 - \gamma_2|_X \tag{4.30}$$

for any  $\gamma_1, \gamma_2 \in X_{\sigma,\delta,M}$ , which implies that  $\Phi$  is a contraction mapping in  $X_{\sigma,\delta,M}$ , if  $\varepsilon$  is small. Denote by  $\gamma(\tau) \in X_{\sigma,\delta,M}$  the fixed point  $\Phi$  and by  $(U_\gamma, V_\gamma)(\tau, x)$  the solution of (4.16)–(4.18).

Define  $T(\tau) = \int_0^\tau \gamma(s)^{-1} ds$  and denote by  $S(t)$  the inverse function of  $t = T(\tau)$ . Put  $c(t) = \gamma(S(t))$ . Then we get the relation  $S(t) = \int_0^t c(s) ds$ . Moreover  $A(\tau, x) = U_\gamma + A^-(\tau, x)$  and  $B(\tau, x) = V_\gamma(\tau, x) + B^-(\tau, x)$  solve (4.7) and (4.9). Therefore  $A_1(t, x) = A(S(t), x)$ ,  $B_1(t, x) = B(S(t), x)$  solves (4.4) and (4.9) implies

$$\|A_1(t) - A_1^-(c_\infty^{-1}S(t))\| + \|B_1(t) - B_1^-(c_\infty^{-1}S(t))\| = O(|t|^{-\sigma+1}) \rightarrow 0, \quad t \rightarrow -\infty. \tag{4.31}$$

We define

$$u(t, x) = \int_{-\infty}^t \frac{A_1(s, x) + B_1(s, x)}{2} ds, \quad t \leq 0 \tag{4.32}$$

which solves (1.1) for  $t \leq 0$  and satisfies (4.2) and (4.3) for  $t \leq 0$  from (4.9) and (4.11) respectively. Moreover we can extend  $u(t, x)$  to  $t > 0$  by use of Theorem 1.1 as a solution of (1.1) for  $t \geq 0$ , because  $(u(0, x), u_t(0, x))$  satisfies the decay condition (1.5) from Lemma 4.1 and Proposition 4.1.

Next we shall prove that there is  $(f^+, g^+) \in C^2(R^1) \times C^1(R^1)$ , that is,  $u^+(t, x)$  a solution of (4.1) and (4.2). Let  $A_1 = u_t + acu_x$ ,  $B_1 = u_t - acu_x$ ,  $A_1^+ = u_t^+ + ac_\infty u_x^+$  and  $B_1^+ = u_t^+ - ac_\infty u_x^+$  as above and also define  $A(\tau, x) = A_1(T(\tau), x)$ ,  $B(\tau, x) = B_1(T(\tau), x)$ ,  $A^+(\tau, x) = A_1^+(c_\infty^{-1}\tau, x)$ ,  $B^+(\tau, x) = B_1^+(c_\infty^{-1}\tau, x)$ ,  $U = A^+ - A$ , and  $V = B^+ - B$ . Then  $(U, V)$  satisfies like (4.16) and (4.17)

$$U_\tau - a(x)U_x = \frac{1}{2} \left( a'(x) + \frac{\gamma'(\tau)}{\gamma(\tau)} \right) (U - V) - \frac{\gamma'(\tau)}{\gamma(\tau)} W, \quad \tau \geq 0, \quad x \in R^1, \tag{4.33}$$

$$V_\tau + a(x)V_x = \frac{1}{2} \left( a'(x) - \frac{\gamma'(\tau)}{\gamma(\tau)} \right) (U - V) + \frac{\gamma'(\tau)}{\gamma(\tau)} W, \quad \tau \geq 0, \quad x \in R^1, \tag{4.34}$$

where  $W = A - B$ . Moreover (4.2) is equivalent to

$$\|U(\tau)\| + \|V(\tau)\| \leq c(1 + |\tau|)^{-\sigma+1} \rightarrow 0, \quad \tau \rightarrow \infty. \tag{4.35}$$

Set  $U(\tau, x) = \alpha(\tau, y_-(\tau, x))$  and  $V(\tau, x_+(\tau, y)) = \beta(\tau, y_+(\tau, x))$ , where  $(\alpha, \beta)$  satisfies the following integral equation

$$\alpha(\tau, y) = - \int_{\tau}^{\infty} \left\{ \frac{1}{2} \left( a'(x_-(s, y)) + \frac{\gamma'(s)}{\gamma(s)} \right) (\alpha(s, y) - \beta(s, y_+(s, x_-(s, y)))) + \frac{\gamma'(s)}{2\gamma(s)} W(s, x_-(s, y)) \right\} ds, \tag{4.36}$$

$$\beta(\tau, y) = - \int_{\tau}^{\infty} \left\{ \frac{1}{2} \left( a'(x_+(s, y)) - \frac{\gamma'(s)}{\gamma(s)} \right) (\alpha(s, y_-(s, x_+(s, y))) - \beta(s, y)) - \frac{\gamma'(s)}{2\gamma(s)} W(s, x_+(s, y)) \right\} ds. \tag{4.37}$$

Since  $W = A - B$  satisfies the estimate (4.25) from (4.22), we can find similarly to the argument in proof of Proposition 4.1  $(\alpha, \beta)$  satisfying (4.36) and (4.37) and consequently we get  $(U, V)$  the solution of (4.33)–(4.34) satisfying (4.35). Then

$$u^+(t, x) = - \int_t^{\infty} \frac{A_1^+(s, x) + B_1^+(s, x)}{2} ds$$

solves (4.1) and moreover we can prove similarly that  $u$  and  $u^+$  satisfy (4.2) and (4.3) for  $t \geq 0$ . Thus we finished the proof of Theorem 4.1.

**5. Wave operators among linear perturbed equations and free equations.**

In this section we shall prove the existence of wave operators among the following linear equation

$$w_{tt} - c_{\infty}^2(a(x)^2w_x)_x = 0, \quad t, x \in R^1, \tag{5.1}$$

and the free equation (1.8). Let  $u_0^-(t, x)$  a solution of (1.8) with  $-$  and assume  $(f_0^-, g_0^-)$  satisfies (1.5). Then we shall show that there are  $w(t, x) \in C^2(R^2) \cap C^0((-\infty, \infty); L^2(R^1))$  a solution of (5.1) and  $u_0^+(t, x) \in C^2(R^2) \cap C^0([0, \infty); L^2(R^1))$  satisfying (1.8) such that

$$\|w_t(t) - u_{0t}^{\pm}(t)\| + \|w_x(t) - u_{0x}^{\pm}(t)\| = O(|t|^{-\sigma+1}), \quad \pm t \rightarrow \infty. \tag{5.2}$$

Let  $A^- = w_t + c_{\infty}a(x)w_x$ ,  $B^- = w_t - c_{\infty}a(x)w_x$  be a solution of the following



equations

$$\begin{aligned} A_t^- - c_\infty a(x)A_x^- &= \frac{1}{2}a'(x)(A^- - B^-), \\ B_t^- + c_\infty a(x)B_x^- &= \frac{1}{2}a'(x)(A^- - B^-) \end{aligned} \tag{5.3}$$

for  $t \leq 0$  and  $A_0^-(t, x) = u_{0t}^- + c_\infty a_\infty u_{0x}^-$  and  $B_0^-(t, x) = u_{0t}^- - c_\infty a_\infty u_{0x}^-$  which satisfy the following equations,

$$\begin{aligned} A_{0t}^- - a_\infty c_\infty A_{0x}^- &= 0, \quad A_0^-(0, x) = (g_0^- + a_\infty c_\infty f_0^{-'})(x), \\ B_{0t}^- + a_\infty c_\infty B_{0x}^- &= 0, \quad B_0^-(0, x) = (g_0^- - a_\infty c_\infty f_0^{-'})(x). \end{aligned}$$

Put  $U = A^- - A_0^-$ ,  $V = B^- - B_0^-$ . Then (5.2) is equivalent to

$$\|U(t)\| + \|V(t)\| = O(|t|^{-\sigma+1}), \quad t \rightarrow -\infty, \tag{5.4}$$

and  $(U, V)$  solves

$$U_t - a(x)c_\infty U_x = \frac{1}{2}a'(x)c_\infty(U - V + A_0^- - B_0^-) + c_\infty(a(x) - a_\infty)A_{0x}^-, \tag{5.5}$$

$\tau \leq 0, x \in R^1,$

$$V_t + a(x)c_\infty V_x = \frac{1}{2}a'(x)c_\infty(U - V + A_0^- - B_0^-) + c_\infty(a(x) - a_\infty)B_{0x}^-, \tag{5.6}$$

$\tau \leq 0, x \in R^1.$

Put  $\alpha(t, y) = U(t, x_{-, \infty}(t, y))$  and  $\beta(t, y) = V(t, x_{+, \infty}(t, y))$ , where  $x_{\pm, \infty}$  is a solution of  $\frac{dx}{dt} = \pm c_\infty a(x)$ ,  $x(0) = y$ . (5.4)–(5.6) yields

$$\begin{aligned} \alpha(t, y) = \int_{-\infty}^t \left\{ \frac{1}{2}a'(x_{-, \infty}(s, y))c_\infty(\alpha(s, y) - \beta(s, y_{+, \infty}(s, x_{-, \infty}(s, y)))) \right. \\ \left. + A_0^-(s, x_{-, \infty}(s, y)) - B_0^-(s, x_{-, \infty}(s, y)) \right. \\ \left. + c_\infty(a(x_{-, \infty}(s, y)) - a_\infty)A_0^-(s, x_{-, \infty}(s, y)) \right\} ds, \end{aligned}$$

$$\begin{aligned} \beta(t, y) = & \int_{-\infty}^t \left\{ \frac{1}{2} a'(x_{+, \infty}(s, y)) c_{\infty}(\alpha(s, y_{-}(s, x_{+, \infty}(s, y)))) - \beta(s, y) \right. \\ & + A_0^-(s, x_{+, \infty}(s, y)) - B_0^-(s, x_{+, \infty}(s, y)) \\ & \left. + c_{\infty}(a(x_{+, \infty}(s, y)) - a_{\infty}) B_0^-(s, x_{+, \infty}(s, y)) \right\} ds. \end{aligned}$$

Denote  $e_0 = \sup_{s \leq 0, y \in R^1} (1 + |y|)^{\sigma} (|\alpha(s, y)| + |\beta(s, y)|)$ . Taking account that it follows from the assumptions (1.4) and  $\lim_{x \rightarrow \pm \infty} a(x) = a_{\infty}$  that we have  $|a(x) - a_{\infty}| \leq C(1 + |x|)^{-\sigma_0 + 1}$  for  $x \in R^1$ , we see

$$\begin{aligned} |\alpha(t, y)| \leq & C \int_{-\infty}^t [\delta(1 + |x_{-, \infty}(s, y)|)^{-\sigma_0} \{e_0(1 + |y_+(s, x_{-, \infty}(s, y))|)^{-\sigma} + (1 + |y|)^{-\sigma} \\ & + C_0(1 + |x_{-, \infty}(s, y) - a_{\infty}s|)^{-\sigma} + (1 + |x_{-, \infty}(s, y) + a_{\infty}s|)^{-\sigma}\} \\ & + C_0(1 + |x_{-, \infty}(s, y)|)^{-\sigma_0 + 1}(1 + |x_{-, \infty}(s, y) - a_{\infty}s|)^{-\sigma}] ds, \end{aligned} \tag{5.7}$$

where  $C_0 = C(|A_0^-|_0 + |B_0^-|_0)$ . Put

$$\begin{aligned} \tilde{\varphi}_-(t, y) = & \int_{-\infty}^t \{ (1 + |x_{-, \infty}(s, y)|)^{-\sigma_0 + 1} + (1 + |x_{-, \infty}(s, y) - a_{\infty}s|)^{-\sigma} \\ & + (1 + |y_+(s, x_{-, \infty}(s, y))|)^{-\sigma} \} ds + (1 + |t|)^{-\sigma + 1}. \end{aligned}$$

Noting that it holds analogously to Lemma 4.2

$$\begin{aligned} & \int_{-\infty}^t [(1 + |x_{-, \infty}(s, y)|)^{-\sigma_0} \{ (1 + |y_+(s, x_{-, \infty}(s, y))|)^{-\sigma} + (1 + |y|)^{-\sigma} \\ & + (1 + |x_{-, \infty}(s, y) - a_{\infty}s|)^{-\sigma} + (1 + |x_{-, \infty}(s, y) + a_{\infty}s|)^{-\sigma} \} \\ & + (1 + |x_{-, \infty}(s, y)|)^{-\sigma_0 + 1}(1 + |x_{-, \infty}(s, y) - a_{\infty}s|)^{-\sigma}] ds \\ & \leq C \tilde{\varphi}_-(t, y)(1 + |y|)^{-\sigma} \leq C(1 + |y|)^{-\sigma}, \end{aligned} \tag{5.8}$$

because of  $\sigma_0 - 1 \geq \sigma > 1$  and  $|x_{-, \infty}(s, y) + a_{\infty}s| \geq c_0|y| - c_1$  for  $s \leq 0$ , we get

$$|\alpha(t, y)| \leq C(\delta e_0 + C_0)(1 + |y|)^{-\sigma}, \quad t \leq 0.$$

Similarly we have

$$|\beta(t, y)| \leq C(\delta e_0 + C_0)(1 + |y|)^{-\sigma}, \quad t \leq 0.$$

Therefore we obtain  $e_0 \leq C$  for  $t \leq 0$ , if  $\delta > 0$  is small. Moreover using again (5.7) and (5.8) we can show

$$\int_{-\infty}^{\infty} |\alpha(t, y)|^2 dy \leq C \int_{-\infty}^{\infty} \tilde{\varphi}_-(t, y)^2 (1 + |y|)^{-2\sigma} dy \leq c(1 + |t|)^{-2(\sigma-1)},$$

$t \rightarrow -\infty$

and  $\beta$  has also the same property as  $\alpha$ . Thus we showed (5.4) and therefore we obtain  $w(t, x) = \int_{-\infty}^t \frac{1}{2}(A^- + B^-)(s, x) ds \in C^2((-\infty, 0] \times R^1) \cap C^0((-\infty, 0]; L^2(R^1))$  satisfying (5.1) for  $t \leq 0$ . Now we can define the wave operator  $W_-(f^-, g^-) = (w(0), w_t(0))$ . We can define  $W_+$  analogously. Moreover it follows from Theorem 1.1 that we can extend  $w$  to  $[0, \infty)$  as a solution of (5.2), because  $(w(0), w_t(0))$  satisfies the decay condition (1.5). Thus we obtain  $w \in C^2(R^2) \cap C^0((-\infty, \infty); L^2(R^1))$  satisfying (5.1).

Next conversely we shall prove the existence of the inverse of the wave operator  $W_+$ . Let  $w(t, x) \in C^2(R^2) \cap C^0((-\infty, \infty); L^2(R^1))$  a solution of (5.1) such that  $(w(0, x), w_t(0, x))$  satisfies the decay condition (1.5). Then we shall show that there is  $u_0^+(t, x)$  a solution of (1.8) satisfying (5.2) instead of initial data. Let  $A_0^+ = u_{0t}^+ + c_\infty a_\infty u_{0x}^+$ ,  $B^+ = u_{0t}^+ - c_\infty a_\infty u_{0x}^+$  which satisfies

$$A_{0t}^+ - a_\infty c_\infty A_{0x}^+ = 0, \quad B_{0t}^+ + a_\infty c_\infty B_{0x}^+ = 0 \tag{5.9}$$

and denote by  $(A^+(t, x), B^+(t, x))$  a solution of the following equation,

$$A_t^+ - a(x)c_\infty A_x^+ = \frac{1}{2}c_\infty a'(x)(A^+ - B^+), \quad A^+(0, x) = (g^+ + a_\infty c_\infty f^{+'})(x),$$

$$B_t^+ + a(x)c_\infty B_x^+ = \frac{1}{2}c_\infty a'(x)(A^+ - B^+), \quad B^+(0, x) = (g^+ - a_\infty c_\infty f^{+'})(x).$$

Put  $U = A_0^+ - A^+, V = B_0^+ - B^-$ . We can show the existence of  $(A_0^+(t, x), B_0^+(t, x))$  satisfying

$$\|A^+ - A_0^+\| + \|B^+ - B_0^+\| = \|U(t)\| + \|V(t)\| = O(|t|^{-\sigma+1}), \quad t \rightarrow \infty, \tag{5.10}$$

if  $(f^+, g^+)$  satisfies (1.5). In fact,  $(U, V)$  solves

$$U_t - a_\infty c_\infty U_x = -\frac{1}{2} a'(x) c_\infty (U - V + A^+ - B^+) + c_\infty (a(x) - a_\infty) A_x^+,$$

$$t \geq 0, x \in R^1, \quad (5.11)$$

$$V_t + a_\infty c_\infty V_x = -\frac{1}{2} a'(x) c_\infty (U - V + A^+ - B^+) + c_\infty (a(x) - a_\infty) B_x^+,$$

$$t \geq 0, x \in R^1. \quad (5.12)$$

Taking account that  $|a(x) - a_\infty| \leq C(1 + |x|)^{-\sigma_0+1}$ ,  $|\partial_x^i A^+(t, x)| \leq C(1 + |y_-(t, x)|)^{-\sigma}$  and  $|\partial_x^i B^+(t, x)| \leq C(1 + |y_+(t, x)|)^{-\sigma}$  hold for  $i = 0, 1$ , we can show the existence of  $(U, V)$  satisfying (5.10), (5.11) and (5.12) analogously to the above argument and consequently we have  $A_0^+ = U + A^+$ ,  $B_0^+ = V + B_0^+$  the solution of (5.9)–(5.10). We define  $u_0^+(t, x) = -\int_t^\infty 1/2(A_0^+ + B_0^+)(s, x) ds$  which is in  $C^2([0, \infty) \times R^1) \cap C^0([0, \infty]; L^2(R^1))$  satisfying (1.8)–(5.2) with  $+$ . Therefore we can define the inverse  $W_+^{-1}(f^+, g^+) = (u_0^+(0), u_{0t}^+(0))$ . Thus we have proved the following theorem.

**THEOREM 5.1.** *Assume that  $a$  satisfies (1.3), (1.4) and  $\lim_{x \rightarrow \pm\infty} a(x) = a_\infty$ . Moreover suppose that  $(f_0^-, g_0^-)$  satisfies (1.5) and  $\sigma = \min\{\sigma_0 - 1, \sigma_1\} > 1$  is valid. Let  $u_0^- \in C^2((-\infty, 0] \times R^1) \cap C^0((-\infty, 0]; L^2(R^1))$  the solution of (1.8) with  $-$ . Then there are  $w \in C^2(R^2) \cap C^0((-\infty, \infty); L^2(R^1))$  a solution of (5.1) and  $u_0^+ \in C^2([0, \infty) \times R^1) \cap C^0([0, \infty); L^2(R^1))$  a solution of (1.8) with  $+$  satisfying (5.2).*

**PROOF OF THEOREM 1.2.** Theorem 4.1 and Theorem 5.1 imply Theorem 1.2 directly.

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