

# Condition for global existence of holomorphic solutions of a certain differential equation on a Stein domain of $\mathbf{C}^{n+1}$ and its applications

By Yukinobu ADACHI

(Received Jul. 1, 1998)

(Revised Jan. 26, 2000)

**Abstract.** We give a necessary and sufficient condition for the existence of global solutions of some partial differential equation which is locally solvable and give some applications in complex analysis of several variables.

## §0. Introduction.

In this paper, we deal with the problem on the existence of global holomorphic solutions of some partial differential equation on a Stein domain of  $\mathbf{C}^{n+1}$  which is locally solvable. About this problem Wakabayashi [9] in 1968 pointed out that equation  $\partial u/\partial x_1 = f$  has no global solution even in a simply connected Stein domain or a Runge domain in  $\mathbf{C}^n$  in general. In 1972, Suzuki [8] gave a necessary and sufficient condition for the existence of global solutions of the same equation for an arbitrary  $f$ . In 1981, Wakabayashi gave a necessary and sufficient condition for the existence of global solutions of equation  $Du = f$  for an arbitrary  $f$ , where  $D$  is an arbitrary nonsingular holomorphic vector field on a Stein manifold of dimension 2. The study was unpublished (see [10]).

Now we deal with an equation  $\partial(f_1, \dots, f_n, u)/\partial(x_1, \dots, x_{n+1}) = g$  which is more general than  $\partial u/\partial x_1 = f$  but less general than  $Du = f$ . The integral curves of this equation are prime sets of  $f = (f_1, \dots, f_n)$  (see Definition 1.2) and this equation is regarded as a family of holomorphic 1-forms on the prime sets of  $f$ . And we give a necessary and sufficient condition for the existence of global solutions of such equation for an arbitrary  $g$  (Theorem 2.1). This result include that of Suzuki as a special case. Finally, we give some applications to  $\text{Aut}(\mathbf{C}^{n+1})$  in algebraic category (Theorem 3.2 and 3.3) and the existence of an immersion of some Stein holomorphic family of open Riemann surfaces (Theorem 3.5).

### §1. Preliminaries.

Let  $X$  be a connected complex manifold of dimension  $n + 1$  and  $f_1, \dots, f_n$  be holomorphic functions on  $X$ . We set  $D = \{(y) = (y_1, \dots, y_n) \in \mathbf{C}^n; y = f(p), p \in X \text{ where } f = (f_1, \dots, f_n)\}$ .

DEFINITION 1.1. We say that the triple  $(X, f, D)$  satisfies condition  $(\alpha)$  if  $f^{-1}(y)$  is a pure 1 dimensional analytic subset of  $X$  for every  $y \in D$ .

In this paper, we consider only the triple  $(X, f, D)$  which satisfies condition  $(\alpha)$ .

DEFINITION 1.2. An irreducible component  $S$  of  $f^{-1}(y)$  will be called a prime set (of  $f$ ).

Let  $\{S_\nu\}_{\nu=1,2,\dots}$  be a sequence of mutually distinct prime sets, that is  $S_\nu \cap S_\mu \neq \emptyset$  ( $\nu \neq \mu$ ).

DEFINITION 1.3. The following set  $E$  will be called a limit set of  $\{S_\nu\}$ .  $E = \{p \in X; \text{ for every neighborhood } U(p) \text{ of } p \text{ in } X, U(p) \cap S_\nu \neq \emptyset \text{ for infinitely many } \nu\}$ .

H. Shiga showed the following

LEMMA 1.4 (Proposition 1 in [7]). *If the limit set  $E$  of  $\{S_\nu\}$  contains a point  $p_0$  of some prime set  $S_0$  such that there is no other prime set through  $p_0$ , then  $S_0 \subset E$ .*

REMARK 1.5. In case  $n = 1$ , above lemma is true even for a point  $p_0$  which is an intersection point with other prime sets by Lemma 1 of [5]. But if  $n \geq 2$ , it is not true any more. For example (see Example 1 in [7]), let  $X = \mathbf{C}(x_1, x_2, x_3)$ ,  $f_1 = x_1 x_2$  and  $f_2 = x_3$ . Then  $(X, f, \mathbf{C}^2)$  satisfies condition  $(\alpha)$ . Now let  $S_\nu = \{x_1 = 0, x_3 = 1/\nu\}$ ,  $S_0 = \{x_2 = x_3 = 0\}$ , then the limit set  $E$  of  $\{S_\nu\}_{\nu=1,2,\dots}$  contains  $(0, 0, 0) \in S_0$  and  $E \not\subset S_0$ .

DEFINITION 1.6. Let  $\{S_\nu\}_{\nu=1,2,\dots}$  be a sequence of mutually distinct prime sets and  $S_0$  a prime set. We say that the sequence  $S_\nu$  converges to  $S_0$  ( $S_\nu \rightarrow S_0$ ) if there is a point  $p_0 \in S_0$ , which is not an intersection point with other prime sets, such that  $\text{dist}(S_\nu, p_0) \rightarrow 0$  ( $\nu \rightarrow \infty$ ).

It is easy to see that  $S_\nu \rightarrow S_0$  independently of the choice of such a point  $p_0$  by Lemma 1.4.

DEFINITION 1.7. A prime set  $S_0$  is regular if for every  $\{S_\nu\}$  such that  $S_\nu \rightarrow S_0$  ( $\nu \rightarrow \infty$ ) the limit set  $E$  of  $\{S_\nu\}$  is equal to  $S_0$ .

DEFINITION 1.8. If the matrix  $(\partial f_i / \partial x_j)_{(i=1, \dots, n; j=1, \dots, n+1)}$  is of rank  $n$  for every point  $p$  of  $X$ , where  $(x_1, \dots, x_n)$  is a local coordinate of  $p$  (we call it rank condition in short) and every prime set of  $f$  is regular, we say that  $(X, f, D)$  satisfies condition  $(\beta)$ .

We notice if  $(X, f, D)$  satisfies rank condition,  $(X, f, D)$  satisfies condition  $(\alpha)$ . We regard a prime set  $S$  as a point  $q$  and we denote by  $V$  the set of all such points. We shall define a neighborhood of  $q$  as follows: Let  $S_q$  be the prime set corresponding to  $q$ . From rank condition  $S_q$  does not intersect with other prime sets. Let the tube  $\Sigma_W$  be the all prime sets passing through a neighborhood  $W$  of an arbitrary point on  $S_q$  in  $X$  and  $U(q)$  be the points of  $V$  corresponding to the prime sets passing through  $\Sigma_W$ . It is easy to see that  $V$  is a topological space with the neighborhood system  $\{U(q); q \in V\}$ .

PROPOSITION 1.9. If  $(X, f, D)$  satisfies condition  $(\beta)$ ,  $V$  is regarded as an unramified Riemann domain over  $D$  and  $(X, f, V)$  is regarded as a fiber space whose fibers are irreducible.

PROOF. First we show  $V$  is a Hausdorff space. Let  $q$  and  $q'$  be points in  $V$  such that  $q \neq q'$ . We take a sufficiently small neighborhood  $W_1$  of some point on  $S_q$  in  $X$  such as  $S_{q'} \cap \overline{W_1} = \emptyset$ . Let  $U(q)$  be the points of  $V$  corresponding to the prime sets passing through  $W_1$ . Now we can take a neighborhood  $W_2$  of some point on  $S_{q'}$  in  $X$  sufficiently small such that each prime set passing through  $W_2$  does not pass through  $W_1$ . Because if not, there is a sequence of mutually distinct prime sets  $\{S_\nu\}$  such that the limit set  $E$  of  $\{S_\nu\}$  contains  $S_{q'}$  (by Lemma 1.4) and  $E \cap \overline{W_1} \neq \emptyset$ . It is a contradiction because  $E = S_{q'}$  since  $S_{q'}$  is regular and  $S_{q'} \cap \overline{W_1} \neq \emptyset$ . When we set  $U(q')$  to be the points of  $V$  corresponding to the prime sets passing through  $W_2$ , we conclude  $U(q) \cap U(q') = \emptyset$ .

Secondly we define a projection map of  $V$  to  $D$ , where  $D$  is a domain in  $\mathbf{C}^n$  (because  $f$  is an open map from condition  $(\alpha)$ ). From rank condition there is a neighborhood  $W$  in  $X$  at every point  $p \in X$ , an integer  $j$  and a biholomorphic map  $\Phi$  of  $W$  into  $D \times \mathbf{C}$  such as  $y_1 = f_1, \dots, y_n = f_n, y_{n+1} = x_j$ . For a point  $q' \in U(q)$  which is defined from  $\Sigma_W$  we correspond a point  $y = f(S_{q'})$ . Such map  $\pi: V \rightarrow D$  is well defined and locally homeomorphic.  $\square$

EXAMPLE 1.10 (see Example 3 in Fujita [3]). Let  $X = \{(x_1, x_2, x_3, x_4) \in \mathbf{C}^4; x_1 x_3 + x_2 x_4 - 1 = 0\}$ ,  $y_1 = f_1 = x_1$  and  $y_2 = f_2 = x_2$ . Then  $X$  is a Stein manifold and  $f_1$  and  $f_2$  are holomorphic functions on  $X$ . Then  $D = \mathbf{C}^2 - (0, 0)$  and for every point  $y \in D$   $f^{-1}(y)$  is an irreducible 1 dimensional analytic subset of

$X$ . It is easy to see that  $(X, f, D)$  satisfies condition  $(\beta)$ . We note that  $D$  is not pseudoconvex.

The following proposition follows from Definition 1.7.

**PROPOSITION 1.11.** *If  $(X, f, D)$  satisfies rank condition and  $V$  is a Hausdorff space, then  $(X, f, D)$  satisfies condition  $(\beta)$ .*

## §2. Main theorem.

In this section we assume that  $X$  is a Stein (univalent) domain of  $\mathbf{C}^{n+1}$  of  $n+1$  complex variables  $x_1, \dots, x_{n+1}$  and  $f_1, \dots, f_n$  are holomorphic functions on  $X$ . For a given holomorphic functions  $g(x)$  on  $X$ , we consider the following partial differential equation:

$$\frac{\partial(f_1, \dots, f_n, u)}{\partial(x_1, \dots, x_{n+1})} = g, \quad (1)$$

where  $u$  is an unknown function. We show the following

**THEOREM 2.1.** *The equation (1) has a global solution  $u$  for an arbitrary  $g$  on  $X$ , if and only if*

- (a)  $(X, f, D)$  satisfies condition  $(\beta)$ ;
- (b) every prime set of  $f$  is simply-connected;
- (c)  $V$  is a Stein manifold.

**LEMMA 2.2.** *If conditions (a), (b), (c) are satisfied, equation (1) has a global solution for an arbitrary  $g$ .*

**PROOF.** Let  $p = (x^0)$  be an arbitrary point of  $X$  and  $y^0 = f(x^0)$ . From rank condition there is an integer  $j$  such that

$$A_j = \det \begin{bmatrix} \frac{\partial f_1}{\partial x_1} & \cdots & \frac{\partial f_1}{\partial x_{j-1}} & \frac{\partial f_1}{\partial x_{j+1}} & \cdots & \frac{\partial f_1}{\partial x_{n+1}} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ \frac{\partial f_n}{\partial x_1} & \cdots & \frac{\partial f_n}{\partial x_{j-1}} & \frac{\partial f_n}{\partial x_{j+1}} & \cdots & \frac{\partial f_n}{\partial x_{n+1}} \end{bmatrix} \neq 0$$

at  $p$ . Then there is a sufficiently small neighborhood  $W$  of  $p$  such that  $\Phi: y_1 = f_1, \dots, y_n = f_n, y_{n+1} = x_j$  is a biholomorphic map of  $W$  to a neighborhood of  $(y^0, x_j^0)$ . We transform (1) by  $\Phi$  as follows:

$$\frac{\partial(y_1, \dots, y_n, u)}{\partial(y_1, \dots, y_n, y_{n+1})} \frac{\partial(y_1, \dots, y_n, y_{n+1})}{\partial(x_1, \dots, x_{n+1})} = g(x_1, \dots, x_{n+1}),$$

that is,

$$\det \begin{bmatrix} 1 & 0 & 0 & \dots & 0 & 0 \\ 0 & 1 & 0 & \dots & 0 & 0 \\ 0 & 0 & 1 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & 1 & 0 \\ \frac{\partial u}{\partial y_1} & \frac{\partial u}{\partial y_2} & \frac{\partial u}{\partial y_3} & \dots & \frac{\partial u}{\partial y_n} & \frac{\partial u}{\partial y_{n+1}} \end{bmatrix} \det \begin{bmatrix} \frac{\partial f_1}{\partial x_1} & \dots & \frac{\partial f_1}{\partial x_j} & \dots & \frac{\partial f_1}{\partial x_{n+1}} \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ \frac{\partial f_n}{\partial x_1} & \dots & \frac{\partial f_n}{\partial x_j} & \dots & \frac{\partial f_n}{\partial x_{n+1}} \\ 0 & \dots & 1 & \dots & 0 \end{bmatrix} = g.$$

Thus,

$$(-1)^{j+n+1} \Delta_j \frac{\partial u}{\partial y_{n+1}} = g.$$

Therefore, the restriction of equation (1) to a prime set  $S$  passing through  $p$  defines a holomorphic 1-form on  $S$  with local coordinate  $x_j$  ( $j = 1, \dots, n + 1$ ) of the form

$$du = \frac{g}{(-1)^{n+2} \Delta_1} dx_1 = \frac{g}{(-1)^{n+3} \Delta_2} dx_2 = \dots = \frac{g}{(-1)^{2n+2} \Delta_{n+1}} dx_{n+1}, \tag{2}$$

because  $S$  is a characteristic curve which satisfies  $dx_1/\Delta_1 = -dx_2/\Delta_2 = \dots = (-1)^n(dx_{n+1}/\Delta_{n+1})$ . So we can regard equation (1) as an analytic family of holomorphic 1-forms on  $V$ .

Now,  $(V, \pi, D)$  is an unramified Riemann domain by Proposition 1.9. By virtue of the Cauchy-Kovalevskaya theorem, for every point  $p \in X$  there is a neighborhood  $W$  and a local holomorphic solution  $u_W$  in  $W$  of (1). We take  $W$  small if necessary such that a neighborhood  $U(q)$  of  $q \in V$  corresponding to the prime set  $S_q$  passing through  $W$  is a univalent domain over  $D$ . We continue  $u_W$  analytically along each prime set passing through  $W$ . As equation (1) defines a holomorphic 1-form along each prime set and each prime set is simply-connected,  $u_W$  can be continued single-valued and analytically on  $\Sigma_W$  by Hartogs' theorem, that is, if a function  $h(x_1, \dots, x_{n+1})$  is holomorphic on  $|x_{n+1}| < R$  when we fix  $(x_1, \dots, x_n)$  in  $|x_i| < r$  ( $i = 1, \dots, n$ ) and holomorphic on  $|x_i| < r$  ( $i = 1, \dots, n + 1$ ) ( $r < R$ ), then  $h$  is holomorphic on  $|x_1| < r, \dots, |x_n| < r, |x_{n+1}| < R$ . We set  $u$  for  $u_W$ . We consider  $U' = U(q')$  similar as  $U = U(q)$  such that  $U(q) \cap U(q') \neq \emptyset$  and a single-valued holomorphic solution  $u'$  on  $\Sigma_{W'}$ . Since  $\partial(f_1, \dots, f_n, u - u')/\partial(x_1, \dots, x_{n+1}) = 0$  on  $\Sigma_W \cap \Sigma_{W'}$ , there is a holomorphic function  $\varphi$  on  $\pi(U) \cap \pi(U')$  such as  $u - u' = \varphi(f_1, \dots, f_n)$  on  $\Sigma_W \cap \Sigma'_{W'}$ . Let

$\{U_i\}_{i=1,2,\dots}$  be a countable open covering of  $V$  and  $u_i$  be the solution on  $\Sigma_{W_i}$  as above. When  $U_i \cap U_j \neq \emptyset$  and  $u_i - u_j = \varphi_{ij}(f_1, \dots, f_n)$ ,  $\{\varphi_{ij} \circ \pi : U_i \cap U_j\}$  is a cocycle. Since  $V$  is a Stein manifold, such a cocycle is a coboundary, that is, there are holomorphic functions  $\varphi_i$  on  $U_i$  such that  $\varphi_i - \varphi_j = \varphi_{ij} \circ \pi$  on  $U_i \cap U_j \neq \emptyset$ . If we set  $u = u_i - \varphi_i \circ \pi^{-1}(f_1, \dots, f_n)$  on  $\Sigma_{W_i}$ ,  $u$  is a global solution of (1) in  $X$ .  $\square$

LEMMA 2.3. *If equation (1) has a global solution for an arbitrary  $g$ , condition (b) is satisfied.*

PROOF. Since equation (1) has a global solution for  $g \equiv 1$  by the assumption,  $(X, f, D)$  satisfies rank condition and condition  $(\alpha)$  consequently. Therefore we can consider (1) as a family of holomorphic 1-forms on prime sets as (2). If there is a prime set  $S_0$  which is not simply-connected, there is a holomorphic 1-form  $a_j(x_j) dx_j$  whose integral on  $S_0$  is multi-valued by the Behnke-Stein theorem in [1]. If we set

$$a_1(x_1)(-1)^{n+2}A_1 = a_2(x_2)(-1)^{n+3}A_2 = \dots = a_{n+1}(x_{n+1})(-1)^{2n+2}A_{n+1},$$

it represents a holomorphic function  $g_0$  on  $S_0$ . As a consequence of Cartan's Theorem B, there is a holomorphic function  $g$  on  $X$  such that  $g|_{S_0} = g_0$  because  $S_0$  is a nonsingular analytic subset of a Stein domain of  $X$ . It is easy to see that equation (1) for such  $g$  has not any single-valued holomorphic solution on  $X$ .  $\square$

We denote by  $\mathcal{O}$  the sheaf of holomorphic functions.

LEMMA 2.4 (cf. Suzuki [8]). *If  $(X, f, D)$  satisfies condition  $(\beta)$  and equation (1) has a global solution for an arbitrary  $g$ ,  $H^1(V, \mathcal{O}) = 0$  and  $V$  is a Cousin-I domain consequently.*

PROOF. Let  $L$  be a linear differential operator on  $X$  such that  $Lu = g$  means equation (1). We denote by  $\mathcal{O}^L$  the sheaf of local solutions of  $Lu = 0$  on  $X$ . Since  $Lu = g$  is locally solvable, the sequence of sheaves on  $X$

$$0 \rightarrow \mathcal{O}^L \xrightarrow{i} \mathcal{O} \xrightarrow{L} \mathcal{O} \rightarrow 0$$

is exact. Since  $X$  is a Stein domain of  $\mathbf{C}^{n+1}$ , we have  $H^p(X, \mathcal{O}) = 0$  for  $p \geq 1$  by Cartan's Theorem B. Then we have the exact sequence as follows:

$$0 \rightarrow \Gamma(X, \mathcal{O}^L) \xrightarrow{\tilde{i}} \Gamma(X, \mathcal{O}) \xrightarrow{\tilde{L}} \Gamma(X, \mathcal{O}) \rightarrow H^1(X, \mathcal{O}^L) \rightarrow 0.$$

Therefore  $\tilde{L}$  is onto if and only if  $H^1(X, \mathcal{O}^L) = 0$ . Since  $\tilde{L}$  is onto if and only if equation (1) has a global solution for an arbitrary  $g$ ,  $H^1(X, \mathcal{O}^L) = 0$ . Since we can consider naturally such as  $H^1(V, \mathcal{O}) \subset H^1(X, \mathcal{O}^L)$ ,  $H^1(V, \mathcal{O}) = 0$ .  $\square$

Assume that equation (1) has a global solution  $u_0$  for  $g \equiv 1$ . Let  $\Phi$  be a locally biholomorphic map defined by  $y_1 = f_1, \dots, y_n = f_n, y_{n+1} = u_0$ . Let  $Y$  be an unramified Riemann domain over  $\mathbf{C}^{n+1}$  defined by  $\Phi$ . The domain  $Y$  is biholomorphic to  $X$  and a Stein manifold. Now we transform (1) by  $\Phi$ , then we have

$$\frac{\partial u}{\partial y_{n+1}} = g. \quad (3)$$

We can consider (3) as a family of holomorphic 1-forms on each prime set  $S$  with coordinate  $y_{n+1}$  with parameter  $t$ , where  $S$  is an irreducible component of  $\Phi^{-1}(t)$  and  $t = (y_1, \dots, y_n) \in D = \{(y) \in \mathbf{C}^n; y_1 = f_1, \dots, y_n = f_n\}$ , so that  $D$  is an unramified domain over  $\mathbf{C}^n$ . Let  $y_1 = \bar{f}_1 = y_1, \dots, y_n = \bar{f}_n = y_n$  and consider  $(Y, y, D) = (Y, \bar{f}, D)$ .

It is easy to see the following

**LEMMA 2.5.** *To prove that conditions (a), (b), (c) are satisfied when equation (1) has a global solution for an arbitrary  $g$ , it is enough to prove that conditions (a), (b), (c) for  $(Y, \bar{f}, D)$  and  $V$  are satisfied when equation (3) has a global solution for an arbitrary  $g$ .*

**LEMMA 2.6.** *If equation (3) has a global solution for an arbitrary  $g$ ,  $V$  is a Stein manifold.*

**PROOF.** We shall show the lemma by the following four steps 1), 2), 3) and 4).

1) We show  $(Y, \bar{f}, D)$  satisfies condition  $(\beta)$  in case  $n = 1$ . Assume that there are prime sets  $S_1$  and  $S_2$  and a sequence of mutually distinct prime sets  $\{S_v\}_{v=1,2,\dots}$  such that  $S_v$  converges to  $S_1$  and  $S_2$  simultaneously. Let  $p_i$  be a point of  $S_i$  ( $i = 1, 2$ ). We take a sufficiently small neighborhood  $W_1$  of  $p_1$  in  $Y$  such that  $\Sigma_{W_1}$  corresponds to an univalent domain of  $V$  over  $D$ . Let  $(y_1^0, y_2^0) = \Phi(p_1)$  and  $\Gamma_1 = \Phi^{-1}|_{y_2=y_2^0} \cap W_1$ . The line  $\Gamma_1$  is transversal to prime sets passing through  $W_1$ . We give initial values on  $\Gamma_1$  with a function  $1/(y_1 - y_1^0)$  where we regard  $y_1$  as a coordinate of  $\Gamma_1$  and continue the initial value constantly to each prime set passing through  $\Gamma_1$ . We denote such function by  $h_0$ . The function  $h_0$  is meromorphic on  $\Sigma_{W_1}$  and its pole divisor is  $S_1$ . We give a Cousin-I data such as  $h_0$  on  $\Sigma_{W_1}$  and 0 on  $Y - S_1$ . Since  $Y$  is a Stein manifold, there is a solution  $h$  of the Cousin-I problem. When we set  $g = \partial h / \partial y_2$ ,  $g$  is holomorphic on  $Y$  because  $h = h_0 + k$  on  $\Sigma_{W_1}$  where  $k$  is a holomorphic function and  $\partial h_0 / \partial y_2 = 0$ . Then equation  $\partial u / \partial y_2 = g$  has no global solution on  $Y$ . Because, if  $u$  is a global solution,  $\partial(u - h) / \partial y_2 = 0$  and  $(u - h)|_{S_v}$  is constant. It is a contradiction that  $\lim_{S_v \ni p_v \rightarrow p_1} |u - h| = \infty$  and  $\lim_{S_v \ni p'_v \rightarrow p_2} |u - h| < \infty$ . It is absurd because  $\partial u / \partial y_2 = g$  has a global solution for arbitrary  $g$  from the assumption. So  $(Y, \bar{f}, D)$

satisfies the condition  $(\beta)$  and  $V$  is regarded as an unramified Riemann domain over  $D \subset \mathbf{C}$  by Proposition 1.9. It is well known that  $V$  is a Stein manifold.

2) Now let  $n > 1$  and assume that  $V$  is a Hausdorff Stein manifold for  $Y$  of dimension  $n - 1$  such that for every hyperplane  $T$  in  $\mathbf{C}^n$ , any connected component  $Y'$  of  $\bar{f}^{-1}(D \cap T)$  is a Stein submanifold of  $Y$ . When we consider equation  $(3)'$  which is defined by restricting  $(3)$  to  $Y'$ , we can consider  $(3)'$  as a family of holomorphic 1-forms on the prime sets  $S_t$  with coordinate  $y_{n+1}$  where  $t = (y_1, \dots, y_n) \in D \cap T$ . Since  $(3)'$  has a global solution for an arbitrary  $g$  because  $(3)$  has a global solution for an arbitrary  $g$  and Cartan's Theorem B holds good for  $Y$  and  $Y'$ ,  $V'$  is a Hausdorff Stein manifold by the assumption of induction, where  $V'$  is a topological space defined from  $(Y', \bar{f}|_{Y'}, D \cap T)$ .

3) Now, we show that  $V$  is a Hausdorff space. Let  $q_1$  and  $q_2$  be distinct points of  $V$ . We must find disjoint neighborhoods  $U(q_1)$  and  $U(q_2)$ . Since  $\pi$ , which is the projection of  $V$  to  $D$  in Proposition 1.9, is a local homeomorphism, we need only to consider the case  $\pi(q_1) = \pi(q_2)$ . Choose  $U(q_1)$  and  $U(q_2)$  sufficiently small such that  $\pi|_{U(q_1)}$  and  $\pi|_{U(q_2)}$  are homeomorphisms of  $U(q_1)$  and  $U(q_2)$  onto an open ball  $B$  in  $\mathbf{C}^n$ . Suppose that there exists a point  $q_3 \in U(q_1) \cap U(q_2)$ . Let  $T$  be a hyperplane in  $\mathbf{C}^n$  containing  $\pi(q_1) = \pi(q_2)$  and  $\pi(q_3)$ . Since each connected component of  $\pi^{-1}(T)$  (that is  $V'$ ) is a Hausdorff space and  $B \cap T$  is connected, we conclude that  $U(q_1) \cap V' = U(q_2) \cap V'$  and  $q_1 = q_2$ . This is a contradiction.

4) Finally we shall prove the following statements.

*If  $V$  is an unramified Riemann domain over  $\mathbf{C}^n$  of  $n$  complex variables  $x_1, \dots, x_n$  with projection  $\pi$  and satisfies the following conditions:*

(1)  *$V$  is a Cousin-I domain;*

(2) *Each connected component of  $\pi^{-1}(T)$  is a Stein manifold where  $T$  is an arbitrary hyperplane in  $\mathbf{C}^n$ , then  $V$  is a Stein manifold.*

In fact, we shall prove this by use of the idea of Cartan [2]. If we assume that  $V$  is not a holomorphically convex domain, there is a boundary point of  $V$  such that every holomorphic function on  $V$  is continued analytically across such a point. We can choose such a boundary point  $p$  and a hyperplane  $T$  in  $\mathbf{C}^n$  that there is a connected component  $D$  of  $\pi^{-1}(T) \cap V$  whose boundary points contains  $p$ . We may assume  $T = \{x_n = 0\}$  without loss of generality. Since  $D$  is an unramified Stein Riemann domain over  $\mathbf{C}(x_1, \dots, x_{n-1})$ , there is a holomorphic function  $f$  on  $D$  which can not be continued analytically across every boundary point of  $D$ . The function  $f$  can be considered as a holomorphic function on a neighborhood  $U$  in  $V$  which contains  $D$  and sufficiently near to  $D$ . We give a Cousin-I data such as  $f/x_n$  in  $U$  and 0 in  $V - D$ . Since  $V$  is a Cousin-I domain, there is a solution  $g$  of the Cousin-I problem. Set  $h = x_n g$ . Then  $h$  is holomorphic on  $V$  and  $h|_D = f$ . It is absurd because  $h$  is continued



analytically across  $p$  by the assumption. So,  $V$  is a holomorphically convex domain.

To prove that  $V$  is a Stein manifold, it is sufficient to prove that if  $p_1$  and  $p_2$  are different points in  $V$ , then  $f(p_1) \neq f(p_2)$  for some  $f \in \mathcal{O}(V)$ . To prove this, it is sufficient to prove for the case  $\pi(p_1) \neq \pi(p_2)$ . If the connected component  $D$  of  $\pi^{-1}(T)$  which contains  $p_1$  contains  $p_2$  too,  $f(p_1) \neq f(p_2)$  for some  $f \in \mathcal{O}(D)$  because  $D$  is a Stein manifold. Since  $V$  is a Cousin-I domain, there is a holomorphic function  $h$  on  $V$  such that  $h|_D = f$  by the same way above. Then  $h(p_1) \neq h(p_2)$ . If  $D_i$  ( $i = 1, 2$ ) is the connected component of  $\pi^{-1}(T)$  which contains  $p_i$  and  $D_1 \cap D_2 = \emptyset$ , there is a holomorphic function on  $V$  such that  $h|_{D_i} = i$  by the same way above. Then  $h(p_1) \neq h(p_2)$   $\square$

PROOF OF THEOREM 2.1. If conditions (a), (b), (c) are satisfied, equation (1) has a global solution for an arbitrary  $g$  by Lemma 2.2. If equation has a global solution for an arbitrary  $g$ , condition (b) is satisfied by Lemma 2.3 and conditions (a), (c) are satisfied by Lemma 2.4, Lemma 2.5 and Lemma 2.6.  $\square$

### §3. Applications.

DEFINITION 3.1. If  $f_1, \dots, f_n$  are polynomials in  $\mathbf{C}^{n+1}$  of  $n+1$  complex variables  $x_1, \dots, x_{n+1}$  such that  $(\partial f_i / \partial x_j)_{(i=1, \dots, n; j=1, \dots, n+1)}$  is of rank  $n$  for every  $(x) \in \mathbf{C}^{n+1}$  and  $f^{-1}(y)$  is a simply-connected irreducible 1 dimensional analytic subset for every  $(y) = (y_1, \dots, y_n) \in \mathbf{C}^n$ , then we say  $(f_1, \dots, f_n)$  satisfies condition  $(\gamma)$ .

THEOREM 3.2. If  $(f_1, \dots, f_n)$  satisfies condition  $(\gamma)$ , differential equation

$$\frac{\partial(f_1, \dots, f_n, u)}{\partial(x_1, \dots, x_{n+1})} = \varphi(f_1, \dots, f_n), \quad (4)$$

where  $\varphi(y_1, \dots, y_n)$  is an entire function such as  $\varphi \neq 0$  (at any point in  $\mathbf{C}^n$ ), has always a global solution  $u$  and the map  $y_1 = f_1, \dots, y_n = f_n, y_{n+1} = u$  is an automorphism of  $\mathbf{C}^{n+1}$ .

PROOF. Now equation (4) is a special case of (1) and  $(X, f, D) = (\mathbf{C}^{n+1}, f, \mathbf{C}^n)$ . Since  $V = \mathbf{C}^n$  is a Stein domain and  $(\mathbf{C}^{n+1}, f, \mathbf{C}^n)$  satisfies rank condition,  $(\mathbf{C}^{n+1}, f, \mathbf{C}^n)$  satisfies condition  $(\beta)$  from Proposition 1.11. Then equation has a global solution  $u$  by Lemma 2.2. From the proof in Lemma 2.2, if we restrict equation (4) to the prime set  $S = \{f^{-1}(y)\}$ , it defines a holomorphic 1-form  $du$  which does not take zero on  $S$ . Let  $\tilde{S}$  be the compactification of  $S$  and  $\infty = \tilde{S} - S$ . Then  $du$  can be considered as an Abel's differential on  $\tilde{S}$  and it has a pole of order 2 at  $\infty$  since degree of  $du = -2$  by the Riemann-Roch theorem. Then  $u|_S$  takes every value once by the residue theorem. So the map  $y_1 = f_1, \dots, y_n = f_n, y_{n+1} = u$  is an automorphism of  $\mathbf{C}^{n+1}$ .  $\square$

**THEOREM 3.3.** *Let  $(f_1, \dots, f_n)$  satisfy condition  $(\gamma)$  and  $u$  be an entire function. The map  $y_1 = f_1, \dots, y_n = f_n, y_{n+1} = u$  is an automorphism of  $\mathbf{C}^{n+1}$  if and only if  $u$  satisfies equation*

$$\frac{\partial(f_1, \dots, f_n, u)}{\partial(x_1, \dots, x_{n+1})} = \varphi(f_1, \dots, f_n), \quad (5)$$

where  $\varphi(y_1, \dots, y_n)$  is an entire function such as  $\varphi \neq 0$ .

**PROOF.** If  $(f_1, \dots, f_n)$  satisfies condition  $(\gamma)$ , there is a polynomial  $f_{n+1}$  such that the map  $y_1 = f_1, \dots, y_n = f_n, y_{n+1} = f_{n+1}$  is an automorphism of  $\mathbf{C}^{n+1}$  by Corollary of Theorem 4 in Fujita [3]. Then it is easy to see that if the map  $y_1 = f_1, \dots, y_n = f_n, y_{n+1} = u$  is an automorphism of  $\mathbf{C}^{n+1}$ ,  $u = \varphi(f_1, \dots, f_n)f_{n+1} + \psi(f_1, \dots, f_n)$ , where  $\psi$  is an arbitrary entire function and  $\varphi$  is an entire function such as  $\varphi \neq 0$ . Now,

$$\frac{\partial(f_1, \dots, f_n, u)}{\partial(x_1, \dots, x_{n+1})} = \varphi(f_1, \dots, f_n) \frac{\partial(f_1, \dots, f_{n+1})}{\partial(x_1, \dots, x_{n+1})} = c\varphi(f_1, \dots, f_n),$$

where  $c$  is a constant such as  $c \neq 0$ .

If  $(f_1, \dots, f_n, u)$  satisfies equation (5), the map  $y_1 = f_1, \dots, y_n = f_n, y_{n+1} = u$  is an automorphism of  $\mathbf{C}^{n+1}$  by Theorem 3.2.  $\square$

**DEFINITION 3.4.** Let  $X$  be a Stein manifold of dimension  $n + 1$  ( $n \geq 1$ ),  $D = \{|y_1| < r_1, \dots, |y_n| < r_n\}$  ( $r_i > 0$ ) and  $f = (f_1, \dots, f_n)$  be a holomorphic map of  $X$  onto  $D$  such that

- (1) for any  $(y) \in D, f^{-1}(y)$  is a one dimensional irreducible analytic subset of  $X$ ,
- (2)  $f$  satisfies rank condition and
- (3)  $(X, f, D)$  is homeomorphic preserving fibers to  $D \times R$  where  $R$  is an open Riemann surface.

Then we call  $(X, f, D)$  a Stein holomorphic family of open Riemann surfaces.

**THEOREM 3.5.** *If  $(X, f, D)$  is a Stein holomorphic family of open Riemann surfaces which is homeomorphic preserving fibers to  $D \times R$  where  $R$  is a simply connected open Riemann surface, then there is an immersion  $\Phi$  of  $(X, f, D)$  to  $D \times \mathbf{C}$ , that is, there is a holomorphic function  $u$  on  $X$  such that the rank of the transformation matrix of  $\Phi = (f, u)$  is  $n + 1$  for every point  $p$  of  $X$ .*

**PROOF.** Since  $X$  is a Stein manifold in which Cousin II problem is solvable, there is a global holomorphic section  $g$  of the canonical line bundle  $K_X$  such as  $g \neq 0$ . We consider differential equation on  $X$  such as

$$\frac{\partial(f_1, \dots, f_n, u)}{\partial(x_1, \dots, x_{n+1})} = g$$

where  $u$  is an unknown function and  $(x_1, \dots, x_{n+1})$  is a local coordinate of every point of  $X$ . Then by the same way as in Lemma 2.2, the above equation has a global solution.  $\square$

REMARK 3.6. If  $(X, f, D)$  is a Stein holomorphic family of open Riemann surfaces of type  $(g, n)$  where  $g$  is the genus of the fiber,  $n$  is the number of components of boundary of the fiber and  $g$  and  $n$  are independent of points of  $D$ , then the local immersion for  $D$  exists. See Nishimura [11].

COROLLARY 3.7. Let  $R$  be an arbitrary open Riemann surface and  $(X, f, D)$  be a Stein holomorphic family of open Riemann surfaces which is homeomorphic preserving fibers to  $D \times R$ . Then there is an immersion of  $\tilde{X}$ , which is an universal covering space of  $X$ , to  $D \times \mathbf{C}$ .

PROOF. Since  $(\tilde{X}, f, D)$  is a Stein holomorphic family of open Riemann surfaces which is homeomorphic to  $D \times \tilde{R}$  where  $\tilde{R}$  is an universal covering space of  $R$ , there is an immersion of  $(\tilde{X}, f, D)$  to  $D \times \mathbf{C}$  from Theorem 3.5.  $\square$

PROBLEM 3.8. Let  $X$  be a Stein domain of  $\mathbf{C}^{n+1}$  and equation (1) has a global solution for an arbitrary  $g$ . Then, is  $X$  biholomorphically equivalent to some domain in  $V \times \mathbf{C}$ ?

REMARK 3.9. Nishino [6] showed the following theorem. Let  $f(x_1, x_2)$  be an entire function on  $X$ ;  $D$  be a disk  $|y_1| < \rho$ ;  $(X, f, D)$  have a global holomorphic section such as a line which is transversal to fibers;  $\{f_{x_1} = f_{x_2} = 0\} = \emptyset$ ; and  $y_1 = f(x_1, x_2)$  for each  $y_1 \in D$  be irreducible and conformally equivalent to  $\mathbf{C}$ . Then  $X$  is biholomorphic to  $D \times \mathbf{C}$ . Therefore, in this case equation (1) has a global solution for an arbitrary  $g$ . Now if  $y_1 = f(x_1, x_2)$  is conformally equivalent to the unit disk for every  $y_1 \in D$  and other conditions are same as above ones, is  $X$  biholomorphic to a bounded domain in  $D \times \mathbf{C}$ ?

## References

- [1] H. Behnke and K. Stein, Entwicklung analytischer Funktionen auf Riemannschen Flächen, Math. Ann., **120** (1949), 430–461.
- [2] H. Cartan, Les problèmes de Poincaré et de Cousin pour les fonctions de plusieurs variables complexes, C.R. Acad. Sci. Paris, **199** (1934), 1284–1287.
- [3] O. Fujita, Sur les systèmes de fonctions holomorphes de plusieurs variables complexes, J. Math. Kyoto Univ., **19** (1979), 231–254.
- [4] L. Hörmander, An introduction to complex analysis in several complex variables, Princeton, N.J.: Van Nostrand 1966.
- [5] T. Nishino, Nouvelles recherches sur les fonctions entières de plusieurs variables complexes (I), J. Math. Kyoto Univ., **8** (1968), 49–100.
- [6] T. Nishino, Nouvelles recherches sur les fonctions entières de plusieurs variables complexes (II), J. Math. Kyoto Univ., **9** (1969), 221–274.

- [ 7 ] H. Shiga, On the parametrization of a family of analytic sets defined by an open holomorphic mapping, *Sci. Papers Coll. Gen. Ed. Univ. Tokyo*, **22** (1972), 103–112.
- [ 8 ] H. Suzuki, On the global existence of holomorphic solutions of the equation  $\partial u/\partial x_1 = f$ , *Sci. Rep. Tokyo Kyoiku Daigaku, Sect. A*, **11** (1972), 253–258.
- [ 9 ] I. Wakabayashi, Non-existence of holomorphic solutions of  $\partial u/\partial z_1 = f$ , *Proc. Japan Acad.*, **44** (1968), 820–822.
- [10] I. Wakabayashi, Equations différentielles linéaires sur des variétés de Stein, manuscript of a lecture at Toulouse Univ.
- [11] Y. Nishimura, Immersion analytique d'une famille de surface de Riemann ouverts, *Publ. Res. Inst. Math. Sci. Kyoto Univ.*, **14** (1978), 643–654.

Yukinobu ADACHI

12-29 Kurakuen 2ban-cho  
Nishinomiya-shi, Hyogo 662-0082  
Japan