

## On infinite dimensional unitary representations of certain discrete groups

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### § 0. Introduction.

0.0. For the modular group  $SL_2(\mathbf{Z})$ , M. Saito [7] has constructed certain series of infinite dimensional unitary representations by classifying and decomposing the representations induced from unitary characters of Cartan subgroups of  $SL_2(\mathbf{Z})$ . The purpose of this note is to make a few remarks which either clarify the interconnection or generalize the results of Saito's construction.

0.1. Let  $G$  be a group, and  $\mathcal{A}$  a family of subgroups of  $G$ . The pair  $(G, \mathcal{A})$  is said to have Property  $(\mathcal{F})$ , if the following two requirements are fulfilled.

- ( $\mathcal{F}1$ ) For  $H_1, H_2 \in \mathcal{A}$ , and  $g \in G$ ,  
 $[H_1 : H_1 \cap g^{-1}H_2g] < \infty \Rightarrow H_1 \subset g^{-1}H_2g$ .
- ( $\mathcal{F}2$ ) For  $H \in \mathcal{A}$ , and  $g \in G$ ,  
 $g^{-1}Hg \subset H \Rightarrow g^{-1}Hg = H$ .

Now, suppose moreover that  $G$  is a locally compact topological group and any member  $H_i$  of  $\mathcal{A}$  is an open subgroup of  $G$ . Let  $\chi_i$  be an irreducible unitary representation of  $H_i$  and let  $U_i = \text{Ind}(\chi_i : H_i \uparrow G)$  denote the representation of  $G$  induced by  $\chi_i$ . The points of [7] can be summarized in the following (I)~(IV).

(I) Assume that  $\chi_i$  is one dimensional, then the following three conditions are mutually equivalent (Théorème 2 [7]).

- (i)  $U_1$  is equivalent to  $U_2$ .
- (ii)  $U_1$  is not disjoint from  $U_2$ .
- (iii) There exists  $g \in G$  such that  $H_2 = g^{-1}H_1g$  and  $\chi_2 = {}^g\chi_1$ , where  ${}^g\chi_1(x) = \chi_1(gxg^{-1})$  for  $x \in H_2$ .

(II) If  $U_1$  is not disjoint from  $U_2$  (hence we may assume  $H_1 = H_2 = H$  and  $\chi_1 = \chi_2 = \chi$ , and put  $N_\chi = \{g \in N_G(H) \mid {}^g\chi = \chi\}$ ), then the dimension of the space of all intertwining operators of  $U(\chi) = \text{Ind}(\chi : H \uparrow G)$  is given by the group index  $[N_\chi : H]$  (Théorème 1 [7]).

(III) If  $G = SL_2(\mathbf{Z})$  and  $\mathcal{A}$  is the set of all Cartan subgroups of  $G$ , then the pair  $(G, \mathcal{A})$  has Property  $(\mathcal{F})$ .

(IV) If  $G$  is a connected algebraic group defined over an arbitrary field  $k$ , and  $\mathcal{A}$  is the set of all connected algebraic subgroups of  $G$  defined over  $k$ , then the pair  $(G, \mathcal{A})$  has Property  $(\mathcal{F})$ .

0.2. The representations of  $SL_2(\mathbf{Z})$  constructed in [7] are precisely those obtained as the irreducible constituents of  $U_i$ 's by taking the pair  $(G, \mathcal{A})$  of (III), with discrete topology. Since, in this case, each  $H_i$  happens to be commutative, any irreducible representation  $\chi_i$  is one dimensional. Hence, by (I), the classification up to the equivalence of  $U_i$ 's reduces to the classification up to the conjugacy of Cartan subgroups  $H_i$ 's and their characters  $\chi_i$ 's.

Furthermore, each Cartan subgroup  $H$  has index 2 or 1 in its normalizer, hence the decomposition of  $U_i$  is carried out without much difficulty.

0.3. The purpose of this note is to make the following remarks (1)~(3).

(1) Starting with the pair  $(G, \mathcal{A})$  which has Property  $(\mathcal{F})$ , taking a subgroup  $G'$  of  $G$  and a subfamily  $\mathcal{B}$  of  $\mathcal{A}$ , and setting  $B' = \{H \cap G' \mid H \in \mathcal{B}\}$ , we can give a simple criterion for the new pair  $(G', \mathcal{B}')$  to have Property  $(\mathcal{F})$  (Proposition 1.7).

As an application we can associate to the group  $G(\mathbf{Z})$  of  $\mathbf{Z}$ -valued points of any connected algebraic group  $G$  over  $\mathbf{Q}$ , a family  $\mathcal{A}$  such that the pair  $(G(\mathbf{Z}), \mathcal{A})$  has Property  $(\mathcal{F})$  (Corollary 1.9). If  $G = SL_2$ , we show that  $\mathcal{A}$  is, up to commensurability, the set of all Cartan subgroups of  $SL_2(\mathbf{Z})$  (Corollary 2.2). Thus the case (III) and the case (IV), which appear at a glance of a quite different type, can be connected by our criterion.

(2) We prove the statement (I) without assuming  $\chi_i$  to be one dimensional (but still finite dimensional) (Theorem 3.3). This generalization is indispensable, since in the case of the pair  $(G(\mathbf{Z}), \mathcal{A})$  for any arbitrary connected algebraic group  $G$ , the family  $\mathcal{A}$  contains non-commutative subgroups in general.

(3) We can discuss to some extent the decomposition of the induced representation  $U_i$ , without any knowledge of the structure of  $H_i$ , but only on the basis of Property  $(\mathcal{F})$  (Corollary 3.8).

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## §1. General remarks on Property $(\mathcal{F})$ .

1.0. Let  $G$  be a group. Let  $\sim$  denote the commensurability relation in  $G$ , and for a subgroup  $H$  of  $G$ , let  $\mathcal{C}(H)$  denote the commensurability class of  $H$ , i. e.

- (1)  $H_1 \sim H_2 \Leftrightarrow [H_i : H_1 \cap H_2] < \infty$  for  $i=1, 2$ .
- (2)  $\mathcal{C}(H) = \{K \mid K \text{ is a subgroup of } G \text{ such that } K \sim H\}$ .

For a family  $\mathcal{A}$  of subgroups of  $G$ , let  $\mathcal{A}^*$  (resp.  $\bar{\mathcal{A}}$ ) denote the commensurability (resp. conjugacy) closure of  $\mathcal{A}$ , i. e.

- (3)  $\mathcal{A}^* = \{K \mid K \text{ is a subgroup of } G \text{ such that } K \sim H \text{ for some } H \in \mathcal{A}\}$ .
- (4)  $\bar{\mathcal{A}} = \{g^{-1}Hg \mid g \in G, H \in \mathcal{A}\}$ .

1.1. The following lemma can be easily checked.

LEMMA. (i) *If the pair  $(G, \mathcal{A})$  has Property  $(\mathfrak{F})$ , then for any subfamily  $\mathcal{B}$  of  $\mathcal{A}$ ,  $(G, \mathcal{B})$  has Property  $(\mathfrak{F})$ .*

(ii) *If  $(G, \mathcal{A})$  has Property  $(\mathfrak{F})$ , then  $(G, \bar{\mathcal{A}})$  has Property  $(\mathfrak{F})$ .*

(iii) *If  $\mathcal{A}$  is conjugacy closed, i.e.  $\mathcal{A} = \bar{\mathcal{A}}$ , then the property  $(\mathfrak{F}1)$  of §0 for  $(G, \mathcal{A})$  is equivalent to the following  $(\bar{\mathfrak{F}}1)$ .*

( $\bar{\mathfrak{F}}1$ ) *For  $H_1, H_2 \in \mathcal{A}$ ,  $[H_1 : H_1 \cap H_2] < \infty \Rightarrow H_1 \subset H_2$ .*

1.2. As is well known, the commensurability relation  $\sim$  is an equivalence relation, and we can consider the quotient set  $\mathcal{A}/\sim = \{Cl(H) \mid H \in \mathcal{A}\}$  with the canonical projection  $p$ .

(1)  $p : \mathcal{A} \rightarrow \mathcal{A}/\sim \quad p(H) = Cl(H)$ .

Furthermore, for the quotient set  $\mathcal{A}/\sim$ , we can define a structure of an ordered set by the following inclusion relation.

(2)  $Cl(H_1) \subset Cl(H_2) \Leftrightarrow \exists H'_i \in Cl(H_i)$ , where  $i=1, 2$ , such that  $H'_1 \subset H'_2$ .

Indeed the following two facts can be easily checked.

(3)  $Cl(H_1) \subset Cl(H_2), Cl(H_2) \subset Cl(H_1) \Rightarrow Cl(H_1) = Cl(H_2)$ .

(4)  $Cl(H_1) \subset Cl(H_2), Cl(H_2) \subset Cl(H_3) \Rightarrow Cl(H_1) \subset Cl(H_3)$ .

1.3. PROPOSITION. (i) *The following three conditions for  $(G, \mathcal{A})$  are mutually equivalent.*

(1)  *$(G, \mathcal{A})$  has the property  $(\mathfrak{F}1)$  of §0.*

(2)  *$(G, \bar{\mathcal{A}})$  has the property  $(\bar{\mathfrak{F}}1)$  of 1.1.*

(3)  *$\bar{\mathcal{A}}$  is an inclusion preserving section of the canonical projection  $p : (\bar{\mathcal{A}})^* \rightarrow (\bar{\mathcal{A}})^*/\sim$ , i.e. the restriction of  $p$  to  $\bar{\mathcal{A}}$  gives an isomorphism of  $\bar{\mathcal{A}}$  and  $(\bar{\mathcal{A}})^*/\sim$  as ordered sets with respect to the inclusion.*

(ii) *Suppose  $(G, \mathcal{A})$  has the property  $(\mathfrak{F}1)$ , then the following two conditions are mutually equivalent.*

(4)  *$(G, \mathcal{A})$  has the property  $(\mathfrak{F}2)$  of §0.*

(5)  *$(G, (\bar{\mathcal{A}})^*)$  has the following property  $(\mathfrak{F}^*2)$ .*

( $\mathfrak{F}^*2$ ) *For  $K \in (\bar{\mathcal{A}})^*$  and  $g \in G$ ,  $g^{-1}Kg \subset K \Rightarrow Cl(g^{-1}Kg) = Cl(K)$ .*

POOF. (i) (1) $\Leftrightarrow$ (2) is clear from 1.1. We show (3) $\Rightarrow$ (2). Suppose (3) holds. Take  $H_1, H_2 \in \bar{\mathcal{A}}$  such that  $[H_1 : H_1 \cap H_2] < \infty$ . Then  $H_1$  and  $H_1 \cap H_2$  are commensurable. Since  $H_1 \cap H_2 \subset H_2$ ,  $Cl(H_1) \subset Cl(H_2)$  by the definition (2) of 1.2. As  $H_1$  (resp.  $H_2$ ) is the image of  $Cl(H_1)$  (resp.  $Cl(H_2)$ ) by the inclusion preserving section of (3), we have  $H_1 \subset H_2$ . Conversely, suppose (2) holds. Take  $H_1, H_2 \in \bar{\mathcal{A}}$ . If  $H_1 \neq H_2$ , then  $H_1$  and  $H_2$  are not commensurable. Hence  $Cl(H) \rightarrow H$  (for  $H \in \bar{\mathcal{A}}$ ) defines the section of the canonical projection  $(\bar{\mathcal{A}})^* \rightarrow (\bar{\mathcal{A}})^*/\sim$ . We must show that this section preserves the inclusion. Suppose  $Cl(H_1) \subset Cl(H_2)$ . By the definition (2) of 1.2, there exist  $H'_i \in Cl(H_i)$  (for  $i=1, 2$ ) such that  $H'_1 \subset H'_2$ . By easy index calculation, we see that  $[H_1 : H_1 \cap H_2] < \infty$ . Hence  $H_1 \subset H_2$ , because

of (2).

(ii) To see (4) $\Rightarrow$ (5), take  $K \in (\bar{\mathcal{A}})^*$  and  $g \in G$  such that  $g^{-1}Kg \subset K$ . There exists  $H \in \bar{\mathcal{A}}$  such that  $H \sim K$  by the definition of  $(\bar{\mathcal{A}})^*$ , and then clearly  $g^{-1}Kg \sim g^{-1}Hg$ . The image of  $\mathcal{C}l(H) = \mathcal{C}l(K)$  (resp.  $\mathcal{C}l(g^{-1}Kg) = \mathcal{C}l(g^{-1}Hg)$ ) by the inclusion preserving section is  $H$  (resp.  $g^{-1}Hg$ ). Hence we have  $g^{-1}Hg \subset H$  from  $\mathcal{C}l(g^{-1}Kg) \subset \mathcal{C}l(H)$ . Therefore  $g^{-1}Hg = H$  by ( $\mathcal{F}2$ ). Thus we get  $\mathcal{C}l(g^{-1}Kg) = \mathcal{C}l(K)$ . Conversely, suppose (5) holds. Take  $H \in \bar{\mathcal{A}}$  and  $g \in G$  such that  $g^{-1}Hg \subset H$ . Since  $H \in (\bar{\mathcal{A}})^*$ ,  $g^{-1}Hg \in (\bar{\mathcal{A}})^*$  and  $g^{-1}Hg \subset H$ , we have  $\mathcal{C}l(H) = \mathcal{C}l(g^{-1}Hg)$ . Thus we have  $g^{-1}Hg = H$  as the images by the inclusion preserving section.

1.4. COROLLARY. Suppose  $\mathcal{A} = \bar{\mathcal{A}}$ .

(i) If  $(G, \mathcal{A})$  has the property ( $\mathcal{F}1$ ), then  $\mathcal{A}$  is the inclusion preserving section of the canonical projection  $p: \mathcal{A}^* \rightarrow \mathcal{A}^*/\sim$ .

(ii) Conversely, if  $\mathcal{B}$  is any conjugacy closed inclusion preserving section of the canonical projection  $p: \mathcal{A}^* \rightarrow \mathcal{A}^*/\sim$ , then the pair  $(G, \mathcal{B})$  has the property ( $\mathcal{F}1$ ).

1.5. EXAMPLE. Let  $k$  be a field,  $G$  an algebraic group defined over  $k$  and  $\mathcal{A}$  the set of all algebraic subgroups of  $G$  defined over  $k$ . Let  $\mathcal{A}_0$  denote the set of all connected algebraic subgroups of  $G$  defined over  $k$ . Any two elements of  $\mathcal{A}$  are commensurable if and only if they have the same connected component of the identity element. Therefore the pair  $(G, \mathcal{A}_0)$  has the property ( $\mathcal{F}1$ ). Since the dimension of any element of  $\mathcal{A}_0$  is invariant by the inner automorphisms of  $G$ , the pair  $(G, \mathcal{A}_0)$  has the property ( $\mathcal{F}2$ ).

Hence the pair  $(G, \mathcal{A}_0)$  has Property ( $\mathcal{F}$ ) and obviously  $\mathcal{A}_0^* = \mathcal{A}$  and  $\bar{\mathcal{A}}_0 = \mathcal{A}_0$ .

1.6. REMARK. (i) In view of 1.1, we may assume  $\mathcal{A} = \bar{\mathcal{A}}$  without important loss of generality for our purpose. However in the statement of 1.4, the assumption,  $\mathcal{A} = \bar{\mathcal{A}}$ , is essential. For example, if  $\mathcal{A}^*/\sim$  has only one point, say  $\mathcal{C}l(H)$ , then the assumption  $\mathcal{A} = \bar{\mathcal{A}}$  reduces the case to the trivial one where  $H$  is normal in  $G$ .

(ii)  $\mathcal{A}$  is not necessarily unique for a given  $\mathcal{A}^*$ . For example let  $\mathcal{A}^*$  be the set of all one dimensional algebraic subgroups of  $G$  of Example 1.5. Then any conjugacy closed section of the canonical projection  $p: \mathcal{A}^* \rightarrow \mathcal{A}^*/\sim$  preserves the inclusion, because there is no non-trivial order relation in  $\mathcal{A}^*/\sim$ .

1.7. PROPOSITION. Let  $(G, \mathcal{A})$  be a pair with Property ( $\mathcal{F}$ ). Suppose  $G$  has the topology such that the left and right translations are closed mappings. For a subgroup  $G'$  of  $G$ , put  $\mathcal{A}' = \{H \cap G' \mid H \in \mathcal{A} \text{ and } H \cap G' \text{ is dense in } H\}$ . Then the pair  $(G', \mathcal{A}')$  has Property ( $\mathcal{F}$ ).

PROOF. For a subset  $X$  of  $G$ , let  $\bar{X}$  denote its topological closure. If  $H'_i \in \mathcal{A}'$ , by our definition of  $\mathcal{A}'$ ,  $H'_i$  has the form  $H'_i = H_i \cap G'$  with  $H_i \in \mathcal{A}$  and  $\bar{H}'_i = H_i$ .

To see ( $\mathcal{F}1$ ), note that:

$$[H'_1: H'_1 \cap x^{-1}H'_2x] < \infty, \text{ where } x \in G'$$

$$\Leftrightarrow^3 g_j \in H'_1 \text{ for } j \leq N, H'_1 = \bigcup_{j=1}^N g_j(H'_1 \cap x^{-1}H'_2x),$$

where  $N$  is a suitable natural number

$$\Rightarrow H_1 = \overline{H'_1} = \overline{\bigcup_{j=1}^N g_j(H'_1 \cap x^{-1}H'_2x)}.$$

Now, the closedness of translations implies:

$$\begin{aligned} g_j(H'_1 \cap x^{-1}H'_2x) &\subset g_j(\overline{H'_1 \cap x^{-1}H'_2x}) \subset g_j(\overline{H'_1} \cap x^{-1}H'_2x) \subset g_j(\overline{H'_1} \cap x^{-1}\overline{H'_2x}) \\ &= g_j(H_1 \cap x^{-1}H_2x). \end{aligned}$$

Namely  $[H'_1 : H'_1 \cap x^{-1}H'_2x] < \infty$  implies  $[H_1 : H_1 \cap x^{-1}H_2x] < \infty$ , hence  $H_1 \subset x^{-1}H_2x$  and  $H_1 \subset x^{-1}H'_2x \cap G' = x^{-1}H'_2x$ .

To see (F2), take  $H' \in \mathcal{A}'$  and  $x \in G'$  such that  $x^{-1}H'x \subset H'$ . Since  $H'$  has the form  $H' = H \cap G'$  with  $H \in \mathcal{A}$  and  $\overline{H'} = H$ ,  $x^{-1}H'x \subset H'$  implies  $H = \overline{H'} \subset \overline{xH'x^{-1}} \subset x\overline{H'}x^{-1} = xHx^{-1}$ . Hence  $H = xHx^{-1}$  by the property (F2) for  $(G, \mathcal{A})$  and  $H' = H \cap G' = xHx^{-1} \cap G' = xH'x^{-1}$ .

1.8. COROLLARY. Let  $k$  be an infinite perfect field and  $G$  an algebraic group defined over  $k$ . Let  $\mathcal{A}$  be the set of all connected algebraic subgroups of  $G$  defined over  $k$  and let  $G' = G(k)$  the group of  $k$ -rational points of  $G$ , and  $\mathcal{A}' = \{H(k) \mid H \in \mathcal{A}\}$ . Then the pair  $(G', \mathcal{A}')$  has Property (F).

PROOF. Combine 1.5 and 1.7, and use the fact that if  $k$  is perfect and infinite, then  $H(k)$  is Zariski dense in  $H$  which is a connected algebraic group defined over  $k$  (Rosenlicht [6]).

1.9. COROLLARY. Let  $k, G$  and  $\mathcal{A}$  be as in 1.8. Let  $\mathcal{O}$  be a subring of  $k$  with the identity and  $G' = G(\mathcal{O})$ : the group of  $\mathcal{O}$ -valued points and put  $\mathcal{A}' = \{H(\mathcal{O}) \mid H \in \mathcal{A} \text{ and } H(\mathcal{O}) \text{ is Zariski-dense in } H\}$ . Then the pair  $(G', \mathcal{A}')$  has Property (F).

PROOF. It is immediate from 1.7.

This example will be discussed in more detail in the next section. In particular, it will be seen that the pair  $(G, \mathcal{A})$  of (III) in §0 is essentially a special case of our  $(G', \mathcal{A}')$ .

## § 2. Remarks on $SL_2(\mathbf{Z})$ .

2.0. Let  $G$  be  $SL_2$  and  $\mathcal{A}$  a family of connected algebraic subgroups of  $G$  defined over  $\mathbf{Q}$ . Let  $G'$  denote  $SL_2(\mathbf{Z})$  and  $\mathcal{B}$  denote the subfamily of  $\mathcal{A}$  such that  $H \in \mathcal{B}$  if and only if  $H \cap G'$  is Zariski dense in  $H$ .

2.1. PROPOSITION. (i)  $H \in \mathcal{A}$  belongs to  $\mathcal{B}$  if and only if  $H$  is equal to one of the following three.

- (1)  $H = G$ ,
- (2)  $H \cong G_m$  over the algebraic closure  $\overline{\mathbf{Q}}$  of  $\mathbf{Q}$  and  $|H(\mathbf{Z})| = \infty$ ,
- (3)  $H \cong G_a$  over  $\mathbf{Q}$ .

(ii) In the case (2), we have  $[N_G(H) : H] = 2$ , hence  $[N_{G'}(H') : H'] \leq 2$ ,

where  $H' = H(\mathbf{Z})$ .

(iii) In the case (3), we have  $H \cong \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix}$ . Then  $N_G(H) \cong B = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$ :

a Borel subgroup of  $G$ . Accordingly,  $H' \cong \begin{pmatrix} 1 & \mathbf{Z} \\ 0 & 1 \end{pmatrix}$  and  $N_{G'}(H') \cong B(\mathbf{Z}) = \pm \begin{pmatrix} 1 & \mathbf{Z} \\ 0 & 1 \end{pmatrix}$ .

PROOF. To see (i), take  $H \in \mathcal{A}$ . Since  $\dim SL_2 = 3$ ,  $\dim H \leq 3$ . If  $\dim H = 3$ , then  $H = SL_2$  by the connectedness of  $H$  and  $SL_2$ . Since  $SL_2(\mathbf{Z})$  is Zariski dense in  $SL_2$  by Borel [2], this is the case (1).

If  $\dim H \leq 2$ , then  $H$  is solvable over  $\bar{\mathbf{Q}}$  by Borel [1] (Theorem 11.6). So there exists a Borel subgroup defined over  $\bar{\mathbf{Q}}$  which contains  $H$ .

If  $\dim H = 2$ , then  $H$  itself is a Borel subgroup. Since  $H$  is defined over  $\mathbf{Q}$ , by the uniqueness of the minimal parabolic subgroup (Borel-Tits [3])  $H$  is a split Borel subgroup, i. e.  $H \cong \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$ . But  $H(\mathbf{Z}) \cong \pm \begin{pmatrix} 1 & \mathbf{Z} \\ 0 & 1 \end{pmatrix}$  is not Zariski dense in  $H$ .

If  $\dim H = 1$ , then by Borel [1] (Theorem 10.9)  $H$  is isomorphic to  $\mathbf{G}_m$  or  $\mathbf{G}_a$  over  $\bar{\mathbf{Q}}$ . If  $H$  is isomorphic to  $\mathbf{G}_m$ , then clearly  $H(\mathbf{Z})$  is Zariski dense in  $H$  if and only if  $|H(\mathbf{Z})| = \infty$ . This is the case (2). If  $H$  is isomorphic to  $\mathbf{G}_a$ , then  $H$  is isomorphic to  $\mathbf{G}_a$  over  $\mathbf{Q}$  by Borel [1] (remark after Theorem 10.9). Again, by the uniqueness of the minimal  $\mathbf{Q}$ -parabolic subgroup,  $H$  is isomorphic to the unipotent radical of a suitable split Borel subgroup. This is the case (3).

(i) In the case (2),  $H$  is the maximal torus of  $SL_2$ . Therefore  $N_G(H)/H$  is isomorphic to the Weyl group of  $SL_2$ , which is isomorphic to the symmetric group of degree 2. Thus we get (ii).

(iii) In the case (3), clearly  $N_{G'}(H') = G' \cap N_G(H) = B(\mathbf{Z})$ .

2.2. COROLLARY. Let  $\mathcal{B}' = \{H \cap G' \mid H \in \mathcal{B}, H \neq G\}$  and  $\mathcal{C}$  be the set of all Cartan subgroups of  $G'$ . Then  $(\mathcal{B}')^* = \mathcal{C}^*$ . Here, the definition of a Cartan subgroup  $C$  is in the sense of Chevalley characterized by the following.

(1)  $C$  is a maximal nilpotent subgroup, and

(2) every subgroup of finite index in  $C$  has finite index in its normalizer in  $G'$  (cf. Borel [1] p. 290).

PROOF. Let  $C \in \mathcal{C}$  and  $\bar{C}$  (resp.  $\bar{C}^0$ ) be the Zariski closure of  $C$  in  $SL_2$  (resp. the connected component of the identity of  $\bar{C}$ ).  $[\bar{C} : \bar{C}^0] < \infty$  and  $\bar{C}$  normalizes  $\bar{C}^0$ . Since  $\bar{C}^0$  is nilpotent and connected,  $\bar{C}^0$  is isomorphic to  $\mathbf{G}_a$  or  $\mathbf{G}_m$  over  $\bar{\mathbf{Q}}$ . By the nilpotency of  $\bar{C}$  and the maximality of  $C$ , it follows that  $C = \bar{C} \cap SL_2(\mathbf{Z})$ . Moreover  $|C| = \infty$  by the definition of a Cartan subgroup. Hence  $|\bar{C}^0(\mathbf{Z})| = |\bar{C}^0 \cap SL_2(\mathbf{Z})| = \infty$ . Therefore by a proper  $H$  of the type of (2) or (3) in  $\mathcal{B}$ , we have  $N_{G'}(H') \supset C \supset H'$ . In the case (2),  $N_{G'}(H')$  induces the action of the Weyl group on  $H'$ , i. e.  $nhn^{-1} = h^{-1}$  for  $h \in H'$  and  $n \in N_{G'}(H')$ ,  $n \in H'$ , hence  $N_{G'}(H')$  is not nilpotent. Thus  $C$  must be equal to  $H'$ .

In the case (3), by (3) of 2.1,  $N=N_G(H')$  is nilpotent. Since  $C$  is a maximal nilpotent subgroup,  $C$  must be equal to  $N$ .

Therefore we see that for any  $H \in \mathcal{B}'$  (resp.  $C \in \mathcal{C}$ ) there exists a suitable  $C \in \mathcal{C}$  (resp.  $H \in \mathcal{B}'$ ) such that  $H \sim C$ . Hence we have  $(\mathcal{B}')^* = \mathcal{C}^*$ .

In particular, if we denote by  $\mathcal{D}$  the set  $\{N_G(C) \mid C \in \mathcal{C}\}$ , then we have  $\mathcal{D}^* = \mathcal{C}^*$ , because  $[N_G(C) : C] < \infty$  by the definition of a Cartan subgroup.

2.3. REMARK. (i) In the view points of the construction of the representations of  $SL_2(\mathbf{Z})$  induced from the characters of a subgroup of  $SL_2(\mathbf{Z})$  as will be seen in § 3, the choice of an inclusion preserving section of the canonical projection  $\mathcal{C}^* = (\mathcal{B}')^* \rightarrow \mathcal{C}^* / \sim$  does not yield any essential difference.

(ii) Given an algebraic group defined over a field  $k$ , the problem of the classification of  $\mathcal{A}'$  in 1.9 can be very complicated. However there are some cases where such classifications are essentially known. For example, let  $G = SL_2$  and  $G' = \Gamma_0(N)$ . Then the classification is implicitly done in efforts to give an explicit formula for the traces of Hecke operators (cf. Hijikata [4]).

### § 3. Representations.

3.0. In this section, let  $G$  be a separable locally compact group, and  $\mathcal{A}$  be a conjugacy closed family of open subgroups of  $G$ . Suppose that the pair  $(G, \mathcal{A})$  has Property  $(\mathcal{F})$ .

Let  $K \in \mathcal{A}^*$  and  $\rho : K \rightarrow GL(V)$  be a finite dimensional unitary representation, where  $V$  denotes a finite dimensional vector space over the complex number field  $\mathbf{C}$  with the scalar product  $(,)$ .

Let  $U(\rho)$  denote the representation of  $G$  induced from  $\rho$ . By the definition of  $\mathcal{A}^*$ , there exists some  $H \in \mathcal{A}$  such that  $H \sim K$ . Since such  $H$  is unique by 1.3, let us denote this  $H$  by  $\mathbf{H}(K)$ . Let  $K'$  be another member of  $\mathcal{A}^*$  in the same commensurability class as  $K$ ,  $\mathbf{H}(K) = \mathbf{H}(K')$ . If  $K \supset K'$ , let  $\rho'$  be the restriction of  $\rho$  to  $K'$ . Then every irreducible constituent of  $U(\rho')$  is contained in  $U(\rho)$ . Hence in the view points of the construction of the representations we may restrict our attention to only large enough  $K$  in  $\mathcal{C}l(H)$ .

For example we may assume  $K \supset \mathbf{H}(K)$  without any important loss of generality.

3.1. LEMMA. Assume  $K \supset \mathbf{H}(K) = H$ , and put  $\chi = \rho|_H$  and  $N_\chi = \{g \in N_G(H) \mid \chi \sim^g \chi\}$ . Then  $K$  is a subgroup of  $N_\chi$ .

PROOF. If  $k \in K$ , then  $[H : H \cap k^{-1}Hk] \leq [K : k^{-1}Hk] = [K : H] < \infty$ . Hence  $H \subset k^{-1}Hk$  by the property  $(\mathcal{F}1)$  and then  $H = k^{-1}Hk$  by the property  $(\mathcal{F}2)$ . Since  $\chi(k^{-1}hk) = \rho(k^{-1}hk) = \rho(k)^{-1}\chi(h)\rho(k)$  for any  $k \in K$  and any  $h \in H$ , we have  $k \in N_\chi$ .

3.2. We assume the quotient  $K \backslash G$  is denumerable for any  $K \in \mathcal{A}^*$ . Then recall that  $U(\rho)$  is realized on the Hilbert space

$$\mathcal{C}\mathcal{V} = \{f: \Theta \rightarrow V \mid \|f\|^2 = \sum_{x \in \Theta} |f(x)|^2 < \infty\}$$

by the action of  $g \in G$  as follows,

$$(U(\rho)(g)f)(x) = \rho(\eta(xg))f(\theta(xg)) \quad \text{for } f \in \mathcal{C}\mathcal{V} \text{ and } x \in \Theta.$$

Here  $\Theta$  denotes a system of representatives of the quotient  $K \backslash G$ ,  $|f(x)|^2 = (f(x), f(x))$ , and  $\theta$  is the section  $K \backslash G \rightarrow \Theta$ , and  $\eta(g) = g\theta(Kg)^{-1}$  is a mapping from  $G$  into  $K$ .

This action of  $g \in G$  is essentially independent of the choice of the system  $\Theta$ . For, if  $\Theta'$  denotes another system of representatives of the quotient  $K \backslash G$ , and  $\mathcal{C}\mathcal{V}'$  denotes another space with respect to  $\Theta'$ , then we can define a unitary operator  $I: \mathcal{C}\mathcal{V} \rightarrow \mathcal{C}\mathcal{V}'$  such that  $I \circ U(\rho)(g) = U(\rho)(g) \circ I$  for  $g \in G$  as follows.

$$(I(f))(x') = \rho(\eta(x'))f(\theta(x')) \quad \text{for } f \in \mathcal{C}\mathcal{V} \text{ and } x' \in \Theta'.$$

In particular, we may assume that the system  $\Theta$  contains the identity element of  $G$ .

3.3. THEOREM. *Let  $G$  be a separable locally compact group and  $\mathcal{A}$  be a family of open subgroups of  $G$  such that the pair  $(G, \mathcal{A})$  has Property  $(\mathfrak{F})$ . Let  $\mathcal{A}^*$  be a commensurability closure of  $\mathcal{A}$ . Let  $K_i \in \mathcal{A}^*$  and let  $H_i = \mathbf{H}(K_i)$  and assume  $K_i \supset H_i$ , where  $i=1, 2$ . Let  $\rho_i$  be a unitary representation of  $K_i$  acting on a finite dimensional vector space  $V_i$  over  $\mathbf{C}$ , and  $\chi_i = \rho_i|_{H_i}$  the restriction of  $\rho_i$  to  $H_i$ .*

*If  $\chi_i$ 's are irreducible, then*

(i)  *$U(\rho_1)$  and  $U(\rho_2)$  are disjoint from each other unless there exists  $g \in G$  such that  $H_2 = g^{-1}H_1g$  and  $\chi_2 = {}^g\chi_1$ .*

(ii) *If  $H_1 = H_2 = H$  and  $\chi_1 = \chi_2 = \chi$ , then the dimension of the space of all intertwining operators from  $U(\rho_2)$  to  $U(\rho_1)$  is not greater than the group index  $[N_\chi: K_1]$ .*

(iii) *In particular, if  $K_1 = K_2 = N_\chi$ , then  $U(\rho_1)$  and  $U(\rho_2)$  are equivalent to each other if and only if  $\rho_1$  and  $\rho_2$  are equivalent to each other.*

PROOF. We use the notations in 3.2 attaching the index  $i$  as  $\mathcal{C}\mathcal{V}_i, \theta_i, \eta_i$ , etc. for  $i=1, 2$ .

Suppose  $\dim V_i = n_i$  and let  $\{v_t \mid t=1, 2, \dots, n_1\}$  (resp.  $\{u_j \mid j=1, 2, \dots, n_2\}$ ) be a basis of  $V_1$  (resp.  $V_2$ ). We may assume these bases are orthonormal.

Then we can set, for any  $k_i \in K_i$ ,

$$\rho_2(k_2)u_j = \sum_{s=1}^{n_2} a_{j,s}(k_2)u_s \quad a_{j,s}(k_2) \in \mathbf{C}$$

and

$$\rho_1(k_1)v_t = \sum_{r=1}^{n_1} b_{t,r}(k_1)v_r \quad b_{t,r}(k_1) \in \mathbf{C}.$$

Let  $\varphi_x$  (resp.  $\psi_y$ ) denote the characteristic function on  $\Theta_2$  (resp.  $\Theta_1$ ) of  $x$  (resp.  $y$ ).

Under these notations, we have

$$U(\rho_2)(g)(u_j\varphi_x) = \sum_{s=1}^{n_2} a_{j,s}(\eta_2(\theta_2(xg^{-1})g))u_s\varphi_{\theta_2(xg^{-1})}$$

for any  $g \in G$ , where  $x \in \Theta_2$  and  $u_j\psi_x$  denotes the assignment  $x' \mapsto \varphi_x(x')u_j$  for  $x' \in \Theta_2$ .

3.4. LEMMA. Let  $\mathcal{E}(U(\rho_2), U(\rho_1))$  be the space of all intertwining operators from  $U(\rho_2)$  to  $U(\rho_1)$ . If there exists a non trivial  $M \in \mathcal{E}(U(\rho_2), U(\rho_1))$ , then  $H_2 \subset x^{-1}H_1x$  for any  $x \in \bigcup_{j=1}^{n_2} \text{Supp}\|M(u_j\varphi_e)\| \subset \Theta_1$ .

PROOF. Since we have

$$U(\rho_1)(k)M(u_j\varphi_e) = \sum_{s=1}^{n_2} a_{j,s}(k)M(u_s\varphi_e) \quad \text{for any } k \in K_1,$$

it holds that

$$\sum_{j=1}^{n_2} |U(\rho_1)(k)M(u_j\varphi_e)(x)|^2 = \sum_{j=1}^{n_2} |M(u_j\varphi_e)(x)|^2$$

for each  $x \in \Theta_1$ . On the other hand we have

$$\sum_{j=1}^{n_2} |U(\rho_1)(k)M(u_j\varphi_e)(x)|^2 = \sum_{j=1}^{n_2} |M(u_j\varphi_e)(\theta_1(xk))|^2$$

by the definition of  $U(\rho)$ . Hence we get

$$(1) \quad \sum_{j=1}^{n_2} |M(u_j\varphi_e)(x)|^2 = \sum_{j=1}^{n_2} |M(u_j\varphi_e)(\theta_1(xk))|^2$$

for each  $x \in \Theta_1$  and each  $k \in K_2$ .

Therefore if  $x \in \text{Supp}\|M(u_j\varphi_e)\|$  for some  $j$ , then the orbit of the action of  $K_2$  on  $\Theta_1$  containing  $x$  must be a finite set, because we have (1) and

$$\sum_{x \in \Theta_1} \sum_{j=1}^{n_2} |M(u_j\varphi_e)(x)|^2 = \sum_{j=1}^{n_2} \|M(u_j\varphi_e)\| < \infty.$$

In other words  $[K_2 : K_2 \cap x^{-1}K_1x] < \infty$ . This implies  $[H_2 : H_2 \cap x^{-1}H_1x] < \infty$  and hence  $H_2 \subset x^{-1}H_1x$  by the property (F1). This completes the proof of the lemma.

3.5. PROOF OF 3.3 (i). Suppose  $U(\rho_2)$  and  $U(\rho_1)$  are not disjoint. That is to say that there exist non trivial members  $M \in \mathcal{E}(U(\rho_2), U(\rho_1))$  and  $N \in \mathcal{E}(U(\rho_1), U(\rho_2))$ . Accordingly we have  $\bigcup_j \text{Supp}\|M(u_j\varphi_e)\| \neq \emptyset$  and  $\bigcup_t \text{Supp}\|N(v_t\psi_e)\| \neq \emptyset$ .

By the lemma of 3.4, there exists  $x \in \Theta_1$  and  $y \in \Theta_2$  such that  $H_2 \subset x^{-1}H_1x$  and  $H_1 \subset y^{-1}H_2y$ . Thus we get  $H_2 \subset x^{-1}H_1x \subset x^{-1}y^{-1}H_2yx$ , hence  $yx \in N_G(H_2)$  by the

property (2) and  $H_2 = x^{-1}H_1x$ . This shows the first part of (i).

To see the second part of (i), we may assume  $H_1 = H_2 = H$  by the first part of (i). Then it is clear that  $\bigcup_j \text{Supp} \|M(u_j\varphi_e)\| \subset N_G(H) \cap \Theta_1$ . So we get, for each  $j$ ,

$$M(u_j\varphi_e) = \sum_{\substack{1 \leq t \leq n_1 \\ x \in N_G(H) \cap \Theta_1}} \alpha_{j,t}(x)(v_t\psi_x), \quad \alpha_{j,t}(x) \in \mathcal{C}.$$

This is symbolically

$$(1) \quad {}^t(\cdots, M(u_j\varphi_e), \cdots) = \sum_{x \in N_G(H) \cap \Theta_1} (\alpha_{j,t}(x)) {}^t(\cdots, v_t\psi_x, \cdots)$$

where  $t$  on the left shoulder denotes the transposing symbol and  $(\alpha_{j,t}(x))$  is an  $n_2 \times n_1$  matrix.

Applying  $U(\rho_1)(h)$  ( $h \in H$ ) to the both sides of (1), we have

the left side =  ${}^t(\cdots, MU(\rho_2)(h)(u_j\varphi_e), \cdots)$

$$= {}^t(\cdots, M(\sum_s a_{j,s}(h)(u_s\varphi_e)), \cdots)$$

$$= (a_{j,s}(h)) {}^t(\cdots, M(u_s\varphi_e), \cdots)$$

$$(2) \quad = \sum_{x \in N_G(H) \cap \Theta_1} (a_{j,s}(h)) (\alpha_{j,t}(x)) {}^t(\cdots, v_t\psi_x, \cdots)$$

and

the right side =  $\sum_{x \in N_G(H) \cap \Theta_1} (\alpha_{j,t}(x)) {}^t(\cdots, U(\rho_1)(h)(v_t\psi_x), \cdots)$

$$(3) \quad = \sum_{x \in N_G(H) \cap \Theta_1} (\alpha_{j,t}(x)) (b_{t,\tau}(xhx^{-1})) {}^t(\cdots, v_\tau\psi_x, \cdots).$$

Since  $\{v_t\psi_x | j, x\}$  is a linearly independent subset of  $V_1$ , comparing (2) and (3) we get

$$(a_{j,s}(h)) (\alpha_{j,t}(x)) = (\alpha_{j,t}(x)) (b_{t,\tau}(xhx^{-1})).$$

Since  $M$  is non trivial, there exists some  $x \in N_G(H) \cap \Theta_1$  such that  $(\alpha_{j,t}(x)) \neq 0$ . Hence the irreducibility of  $\chi_i$ 's shows that  $n_1 = n_2$  and  $(\alpha_{j,t}(x))$  is invertible by the Schur's lemma. This implies  $\chi_2 \sim {}^x\chi_1$ , because  $\chi_2(h) = (a_{j,s}(h))$ , and  ${}^x\chi_1(h) = (b_{t,\tau}(xhx^{-1}))$  by the definition.

3.6. PROOF OF 3.3 (ii). We may assume  $\chi_2 = \chi_1 = \chi$  by (i). Since  $\chi \sim {}^x\chi$  for any  $x$  such that  $(\alpha_{j,t}(x)) \neq 0$ , it follows that if  $M \in \mathcal{E}(U(\rho_2), U(\rho_1))$ , then  $M(u_j\varphi_e)$  appears in

$$\langle v_t\psi_x | t=1, \cdots, n, x \in N_\chi \cap \Theta_1 \rangle_{\mathcal{C}}$$

for each  $j$ , where  $n = \dim \chi$  and  $\langle S \rangle_{\mathcal{C}}$  denotes the vector subspace spanned by the subset  $S$  of  $\mathcal{V}$  over  $\mathcal{C}$ . Since the action of  $G$  on  $\Theta_2$  is transitive,  $\{u_j\varphi_e | j\}$  generates the space  $\mathcal{V}_2$  as a  $G$ -space. Therefore, to define a member  $M$  in

$\mathcal{E}(U(\rho_2), U(\rho_1))$ , we must define a suitable linear mapping :

$$\langle u_j \varphi_e | j \rangle_{\mathcal{C}} \rightarrow \langle v_j \phi_x | j, x \in N_{\mathcal{X}} \cap \Theta_1 \rangle_{\mathcal{C}}.$$

Clearly  $\langle v_j \phi_x | j, x \rangle_{\mathcal{C}} = \bigoplus_x \langle v_j \phi_x | j \rangle_{\mathcal{C}}$  (direct sum of vector spaces). We can easily check that  $\langle u_j \varphi_e | j \rangle_{\mathcal{C}}$  (resp.  $\langle v_j \phi_x | j \rangle_{\mathcal{C}}$ ) is closed under the action of  $H$  by  $U(\rho_2)(H)$  (resp.  $U(\rho_1)(H)$ ) and is isomorphic to  $V_2$  (resp.  $V_1$ ) as an  $H$ -space. We note that  $V_1$  and  $V_2$  are isomorphic to each other as  $H$ -spaces by our assumption. Thus, since  $V_i$ 's are irreducible  $H$ -spaces, we have

$$\dim \text{Hom}_H(\langle u_j \varphi_e | j \rangle_{\mathcal{C}}, \langle v_j \phi_x | j \rangle_{\mathcal{C}}) = 1.$$

Therefore  $\dim \mathcal{E}(U(\rho_2), U(\rho_1))$  is not greater than the cardinality  $|N_{\mathcal{X}} \cap \Theta_1|$ . Since  $|N_{\mathcal{X}} \cap \Theta_1| = [N_{\mathcal{X}} : K_1]$ , we get (ii).

3.7. PROOF OF 3.3 (iii). Since  $K_1 = K_2 = N_{\mathcal{X}} \subset N_G(H)$ , we may assume that  $\Theta_1 = \Theta_2$ ,  $v_j = u_j$  for each  $j$ , and  $\varphi_e = \phi_e$ . Then we have, for each  $j$ ,

$$M(u_j \varphi_e) = \sum_{t=1}^n \alpha_{j,t}(u_t \varphi_e) \quad \alpha_{j,t} \in \mathcal{C}.$$

(Note that  $N_G(H) \cap \Theta_1 = \{e\}$ .) This is symbolically

$$(1) \quad {}^t(\dots, M(u_j \varphi_e), \dots) = (\alpha_{j,t}) {}^t(\dots, u_t \varphi_e, \dots).$$

Applying  $U(\rho_1)(k)$  ( $k \in K_1 = K_2 = N_{\mathcal{X}}$ ) to the both side of (1), we get

$$(a_{j,t}(k)) (\alpha_{j,t}) {}^t(\dots, u_t \varphi_e, \dots) = (\alpha_{j,t}) (b_{j,t}(k)) {}^t(\dots, u_t \varphi_e, \dots).$$

Since  $\{u_j \varphi_e | j\}$  is a linearly independent subset of  $\mathcal{C}\mathcal{V}$ , we have  $(a_{j,t}(k)) (\alpha_{j,t}) = (\alpha_{j,t}) (b_{j,t}(k))$ . If  $U(\rho_1)$  and  $U(\rho_2)$  are not disjoint, then there exists non trivial member  $M \in \mathcal{E}(U(\rho_2), U(\rho_1))$ , and then  $(\alpha_{j,t}) \neq 0$ . Since  $\rho_i$ 's are irreducible,  $(\alpha_{j,t})$  is invertible. That is to say  $\rho_1 \sim \rho_2$ . This completes the proof.

3.8. Under the same notations as in 3.3, let  $W(\rho_2, \rho_1)$  be the set of all  $x \in N_{\mathcal{X}} \cap \Theta_1$  which satisfy the following two condition.

- (1)  $x$  is fixed by  $K_2$ , i. e.  $x = \theta_1(xk)$  for  $\forall k \in K_2$ .
- (2)  $\rho_2 = {}^x \rho_1$  on  $x^{-1}K_1x \cap K_2$ .

COROLLARY. If  $\dim \mathcal{X} = 1$  in 3.3 (ii), then

$$|W(\rho_2, \rho_1)| \leq \dim \mathcal{E}(U(\rho_2), U(\rho_1)) \leq |K_1 \backslash N_{\mathcal{X}} / K_2|.$$

PROOF. From Mackey [5] Theorem 3', we have

$$\dim \mathcal{E}(U(\rho_2), U(\rho_1)) = \sum_{D \in \mathcal{D}_f} \dim \mathcal{E}(\rho_2, \rho_1 : D),$$

where  $\mathcal{D}_f$  denote the set of all double cosets, namely  $D = K_1 x K_2$  ( $x \in G$ ), such that  $K_2$  and  $x^{-1}K_1x$  are commensurable, and  $\mathcal{E}(\rho_2, \rho_1 : D)$  denotes the space of all intertwining operators between the restrictions of  $\rho_2$  and  ${}^x \rho_1$  to  $x^{-1}K_1x \cap K_2$ .

The dimension of  $\mathcal{E}(\rho_2, \rho_1: D)$  is independent of the choice of the representative  $x$  of  $D=K_1xK_2$ .

If  $D=K_1xK_2 \in \mathcal{D}_f$ , then the commensurability of  $x^{-1}K_1x$  and  $K_2$  shows  $x \in N_G(H)$  by Property (F) for  $(G, \mathcal{A})$ . Moreover  $\dim \mathcal{E}(\rho_2, \rho_1: D)=1$  or  $0$ , because  $\dim \rho_2=\dim \rho_1=1$ . If this value is equal to 1, then  $x \in N_\chi$ . Thus we have

$$\dim \mathcal{E}(U(\rho_2), U(\rho_1)) \leq |K_1 \backslash N_\chi / K_2|.$$

On the other hand, if  $x \in W(\rho_2, \rho_1)$ , then  $K_2 \subset x^{-1}K_1x$  from  $x=\theta_1(xk)$  for any  $k \in K_2$ , and then we can define a member of  $\mathcal{E}(U(\rho_2), U(\rho_1))$  by setting  $\varphi_e \rightarrow \varphi_x$  from  $\rho_2 = {}^x \rho_1$ . So we get  $|W(\rho_2, \rho_1)| \leq \dim \mathcal{E}(U(\rho_2), U(\rho_1))$ .

3.9. REMARK. In 3.8, if we take  $K_2=K_1=H_2=H_1=H$  and  $\rho_1=\rho_2=\chi_1=\chi_2=\chi$ , then it holds that  $\dim \mathcal{E}(U(\chi), U(\chi))=|N_\chi/H|$ . This is the result of Théorème 1 of Saito [7].

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