

## The degeneracy of systems and the exceptional linear combinations of entire functions

By Masakimi KATŌ and Nobushige TODA

(Received March 11, 1976)

### § 1. Introduction and preliminaries.

Let  $f=(f_0, \dots, f_n)$  ( $n \geq 1$ ) be a transcendental system in  $|z| < \infty$ . That is,  $f_0, \dots, f_n$  are entire functions without common zero and

$$\lim_{r \rightarrow \infty} \frac{T(r, f)}{\log r} = \infty,$$

where  $T(r, f)$  is the characteristic function of  $f$  defined by Cartan ([1]).

Let  $X = \{F_i; F_i = \sum_{j=0}^n a_{ij} f_j \neq 0\}_{i=0}^N$  ( $n \leq N \leq \infty$ ) where  $a_{ij}$  are constants and matrices  $(a_{i\nu})_{\nu=0, \dots, n}^{i=0, \dots, N}$  are regular for all  $n+1$  integers  $\{i_\nu\}_{\nu=0}^n$  ( $0 \leq i_\nu \leq N$ ) and  $\lambda$  be the maximum number of linearly independent linear relations among  $f_0, \dots, f_n$  over  $\mathbf{C}$ . ( $\mathbf{C}$  means the field of complex numbers.) We know that  $0 \leq \lambda \leq n-1$ . When  $\lambda > 0$ , we say that the system  $f$  is degenerate.

In this paper, we discuss some relations between the number “ $\lambda$ ” and exceptional linear combinations in  $X$ .

For  $F \in X$ , we set

$$\delta(F) = 1 - \limsup_{r \rightarrow \infty} \frac{N(r, 0, F)}{T(r, f)},$$

$$\delta_m(F) = 1 - \limsup_{r \rightarrow \infty} \frac{N_m(r, 0, F)}{T(r, f)} \quad (m \geq 1)$$

and  $m(F)$  = the minimum of multiplicities of all zeros of  $F$  ( $m(F) = \infty$  when  $F(z) \neq 0$ ), where

$$N_m(r, 0, F) = \sum_{|z_k| < r} \min(m_k, m) \log^+ \frac{r}{|z_k|} + \min(m_0, m) \log r,$$

$\{z_k\} = \{z \neq 0; F(z) = 0\}$  and  $m_k$  ( $\geq 1$ ) is the multiplicity of zero of  $F$  at  $z_k$  ( $k=1, 2, \dots$ ) and  $m_0$  ( $\geq 0$ ) is that of  $F$  at the origin.

Cartan ([1]) proved

THEOREM A. *If  $\lambda=0$ , then*

- 1)  $\sum_{F \in X} \delta_n(F) \leq n+1$ ,
- 2) for any  $n+2$  combinations  $\{F_i\}_{i=0}^{n+1}$  in  $X$ ,

$$\sum_{i=0}^{n+1} \frac{1}{m(F_i)} \geq \frac{1}{n}.$$

Further, the second author (Theorem B', [8]) proved the following

**THEOREM B.** *If there exist  $n+2$  combinations  $F, F_0, \dots, F_n$  in  $X$  such that*

- i) *arbitrary  $n-1$  combinations in  $\{F_i\}_{i=0}^n$  are linearly independent;*
- ii)  $\delta(F) + \sum_{i=0}^n \delta(F_i) > n+1$ ,

then

- 1)  $\lambda=1$ ,
- 2) *there exists an  $F_{i_0}$  ( $0 \leq i_0 \leq n$ ) such that  $F$  and  $F_{i_0}$  are proportional,*
- 3) *for any  $G$  in  $X - \{F, F_0, \dots, F_n\}$ ,  $\delta(G) + \sum_{i=0}^n \delta(F_i) \leq n+1$ .*

This is a refinement of results of Niino and Ozawa ([4]), Ozawa ([6]), Suzuki ([7]), Noguchi ([5]) and the first author ([2]).

In §3 we give some relations between the number " $\lambda$ " and the multiplicities of zeros of combinations in  $X$  (Theorems 1, 3) and a generalization of Theorem B (Theorem 2).

We use the symbols

$$T(r, f), m(r, a), N(r, a), N(r, f), \delta(a, f), S(r, f) \text{ etc.}$$

of the Nevanlinna theory of meromorphic functions (see [3]).

## §2. Lemmas.

Here we give some lemmas.

**LEMMA 1.** *For any  $F_1, \dots, F_k$  ( $2 \leq k \leq n+1$ ) in  $X$ ,*

$$m(r, \|F_1, \dots, F_k\| / F_1 \cdots F_k) = O(\log r T(r, f)) \quad (r \rightarrow \infty; r \notin E)$$

where  $E$  is a set of finite linear measure and  $\|F_1, \dots, F_k\|$  denotes the Wronskian of  $F_1, \dots, F_k$  (Cartan [1]).

For any  $n+1$  combinations  $F_0, \dots, F_n$  in  $X$ , there exist  $n+1-\lambda$  combinations (say  $G_0, \dots, G_{n-\lambda}$ ) in  $\{F_i\}_{i=0}^n$  such that any element in  $X$  may be represented by  $G_0, \dots, G_{n-\lambda}$  with constant coefficients. We say that  $G_0, \dots, G_{n-\lambda}$  form a basis of  $X$ .

**LEMMA 2.** *Let  $\{G_i\}_{i=0}^{n-\lambda}$  be a basis of  $X$ , then  $G=(G_0, \dots, G_{n-\lambda})$  is a system in  $|z| < \infty$  and*

$$|T(r, f) - T(r, G)| < O(1).$$

This follows at once from the definitions of  $T(r, f)$ ,  $T(r, G)$  and  $G$ .

**LEMMA 3.** *Let  $\{G_i\}_{i=0}^{n-\lambda}$  be a basis of  $X$  and  $H_1, H_2$  in  $X$  be represented by  $G_0, \dots, G_{n-\lambda}$  as follows:*

$$H_1 = \alpha_0 G_0 + \dots + \alpha_{n-\lambda} G_{n-\lambda},$$

$$H_2 = \beta_0 G_0 + \dots + \beta_{n-\lambda} G_{n-\lambda}.$$

If i) there exists at least one  $i_0$  ( $0 \leq i_0 \leq n-\lambda$ ) such that  $\alpha_{i_0} \cdot \beta_{i_0} \neq 0$ , and  
 ii) for all  $i$  ( $0 \leq i \leq n-\lambda$ )  $|\alpha_i| + |\beta_i| \neq 0$ , then

$$T(r, f) \leq N(r, 0, H_1) + N(r, 0, H_2) + \sum_{j=0}^{n-\lambda} N(r, 0, G_j) + S(r),$$

where  $S(r) = o(T(r, f))$  for  $r \rightarrow \infty$  except for a set of finite linear measure.

PROOF. As  $H_i \neq 0$ , there are some non-zero elements in both  $\{\alpha_i\}_{i=0}^{n-\lambda}$  and  $\{\beta_i\}_{i=0}^{n-\lambda}$ . If there exists only one non-zero element in  $\{\alpha_i\}_{i=0}^{n-\lambda}$  or  $\{\beta_i\}_{i=0}^{n-\lambda}$ , this lemma is easily proved by using Theorem A. Therefore we will prove this lemma in the case that there exist at least two non-zero elements in both  $\{\alpha_i\}_{i=0}^{n-\lambda}$  and  $\{\beta_i\}_{i=0}^{n-\lambda}$ . We may assume that the non-zero elements of  $\{\alpha_i\}_{i=0}^{n-\lambda}$  are  $\alpha_k, \dots, \alpha_{n-\lambda}$  ( $0 \leq k \leq n-1-\lambda$ ) without loss of generality, so that

$$(1) \quad H_1 = \alpha_k G_k + \dots + \alpha_{n-\lambda} G_{n-\lambda}.$$

Next by the hypothesis ii), we know that all coefficients  $\{\beta_i\}_{i=0}^{k-1}$  are different from zero and moreover by hypothesis i) at least one of  $\{\beta_i\}_{i=k}^{n-\lambda}$  is different from zero. Hence we may assume that the non-zero elements of  $\{\beta_i\}_{i=0}^{n-\lambda}$  are  $\beta_0, \dots, \beta_{k+l}, 0 \leq l \leq n-k-\lambda$ , so that

$$(2) \quad H_2 = \beta_0 G_0 + \dots + \beta_k G_k + \dots + \beta_{k+l} G_{k+l}.$$

From (1), we have

$$\alpha_j G_j = H_1 \frac{\Delta'_j}{\Delta'_j} \quad (k \leq j \leq n-\lambda),$$

where

$$\Delta'_j = \|G_k, \dots, G_{j-1}, H_1, G_{j+1}, \dots, G_{n-\lambda}\| / G_k \dots G_{j-1} H_1 G_{j+1} \dots G_{n-\lambda},$$

$$\Delta'_j = \|G_k, \dots, G_{n-\lambda}\| / G_k \dots G_{n-\lambda}.$$

Consequently we have

$$(3) \quad \max_{k \leq j \leq n-\lambda} \log |G_j| \leq \log |H_1| + \sum_{j=k}^{n-\lambda} \log^+ |\Delta'_j| + \log^+ \left| \frac{1}{\Delta'_j} \right| + O(1).$$

On the other hand, from (2) we obtain

$$-H_2 + \beta_0 G_0 + \dots + \beta_{k-1} G_{k-1} = -\beta_k G_k - \dots - \beta_{k+l} G_{k+l},$$

and we have

$$\beta_m G_m = G \frac{\Delta''_m}{\Delta''_m} \quad (0 \leq m \leq k-1),$$

where

$$G = -\beta_k G_k - \dots - \beta_{k+l} G_{k+l},$$

$$A''_m = \|H_2, G_0, \dots, G_{m-1}, G, G_{m+1}, \dots, G_{k-1}\| / H_2 \cdot G_0 \cdots G_{m-1} G G_{m+1} \cdots G_{k-1},$$

$$A'' = \|H_2, G_0, \dots, G_{k-1}\| / H_2 \cdot G_0 \cdots G_{k-1}.$$

Consequently we obtain

$$(4) \quad \max_{0 \leq m \leq k-1} \log |G_m| \leq \log |G| + \sum_{m=0}^{k-1} \log^+ |A''_m| + \log^+ \left| \frac{1}{A''} \right| + O(1).$$

Moreover, by the inequality

$$|G| \leq \sum_{j=k}^{k+l} |\beta_j| |G_j| \leq K \max_{k \leq j \leq k+l} |G_j|, \quad K = \sum_{j=k}^{k+l} |\beta_j|,$$

we have

$$(5) \quad \log |G| \leq \max_{k \leq j \leq k+l} \log |G_j| + \log K.$$

By (3), (4) and (5), we have

$$\begin{aligned} \max_{0 \leq j \leq n-\lambda} \log |G_j| &\leq \log |H_1| + \sum_{j=k}^{n-\lambda} \log^+ |A'_j| + \sum_{m=0}^{k-1} \log^+ |A''_m| \\ &\quad + \log^+ \left| \frac{1}{A'} \right| + \log^+ \left| \frac{1}{A''} \right| + O(1), \end{aligned}$$

so that we obtain, using Lemma 2,

$$\begin{aligned} T(r, f) &\leq N(r, 0, H_1) + \sum_{j=k}^{n-\lambda} m(r, A'_j) + \sum_{m=0}^{k-1} m(r, A''_m) + m\left(r, \frac{1}{A'}\right) + m\left(r, \frac{1}{A''}\right) + O(1) \\ &\leq N(r, 0, H_1) + N_n(r, 0, H_2) + \sum_{j=0}^{n-\lambda} N_n(r, 0, G_j) + S(r), \end{aligned}$$

where  $S(r) = o(T(r, f))$  for  $r \rightarrow \infty$  except for a set of finite linear measure, because

$$m\left(r, \frac{1}{A'}\right) = N(r, A') + m(r, A') - N\left(r, \frac{1}{A'}\right) + O(1),$$

$$m\left(r, \frac{1}{A''}\right) = N(r, A'') + m(r, A'') - N\left(r, \frac{1}{A''}\right) + O(1),$$

$$N(r, A') \leq \sum_{j=k}^{n-\lambda} N_n(r, 0, G_j), \quad N(r, A'') \leq \sum_{m=0}^{k-1} N_n(r, 0, G_m) + N_n(r, 0, H_2).$$

Thus we have the desired result.

### § 3. Results.

Let  $f$ ,  $X$  and  $\lambda$  be as in § 1.

THEOREM 1. *If there exist  $n+2$  combinations  $F, F_0, \dots, F_n$  in  $X$  such that*

i) *arbitrary  $n-1$  combinations in  $\{F_i\}_{i=0}^n$  are linearly independent;*

ii)  $\sum_{i=0}^n \frac{1}{m(F_i)} < \frac{1}{n}$  *and  $\delta(F) = 1$ ,*

then  $\lambda=1$  and there exists an  $F_{i_0}$  in  $\{F_i\}_{i=0}^n$  such that  $F$  and  $F_{i_0}$  are proportional.

PROOF. By Theorem A and the hypotheses i), ii), we see easily  $\lambda=1$  or 2.

Suppose  $\lambda=2$ , then we may assume that  $F_0, \dots, F_{n-2}$  form a basis of  $X$  without loss of generality and so  $F_{n-1}$  is represented by  $\{F_i\}_{i=0}^{n-2}$  as follows:

$$F_{n-1} = \alpha_0 F_0 + \dots + \alpha_{n-2} F_{n-2},$$

where all coefficients  $\alpha_i$  ( $i=0, \dots, n-2$ ) are different from zero because of the hypothesis i). From this equation, we have, by Lemma 2 and the method used in the proof of the second fundamental theorem by Cartan (see [1]),

$$T(r, f) \leq \sum_{i=0}^{n-1} N_{n-2}(r, 0, F_i) + S(r),$$

where  $S(r) = O(\log r T(r, f))$  for  $r \rightarrow \infty$  except for a set of finite linear measure.

Using the inequality  $N_{n-2}(r, 0, F_i) \leq \frac{n-2}{m(F_i)} N(r, 0, F_i)$  ( $i=0, \dots, n-1$ ), we have

$$\frac{1}{n-2} \leq \sum_{i=0}^{n-1} \frac{1}{m(F_i)}$$

which is contradictory to the hypothesis ii). Therefore we have  $\lambda=1$ .

As  $\lambda=1$ , there exists a linear relation among  $F_0, \dots, F_n$  over  $C$ :

$$\beta_0 F_0 + \dots + \beta_n F_n = 0.$$

If all  $\beta_i$  are different from zero, similarly to the above we have

$$T(r, f) \leq \sum_{i=0}^n N_{n-1}(r, 0, F_i) + S(r),$$

where  $S(r) = O(\log r T(r, f))$  for  $r \rightarrow \infty$  except for a set of finite linear measure. Hence we have

$$\frac{1}{n-1} \leq \sum_{i=0}^n \frac{1}{m(F_i)}$$

as

$$N_{n-1}(r, 0, F_i) \leq \frac{n-1}{m(F_i)} N(r, 0, F_i) \quad (i=0, \dots, n),$$

which is contradictory to the hypothesis ii). Therefore at least one of  $\{\beta_i\}_{i=0}^n$  is equal to zero. We may assume  $\beta_n=0$  without loss of generality:

$$(6) \quad \beta_0 F_0 + \dots + \beta_{n-1} F_{n-1} = 0,$$

where all coefficients  $\beta_i$  ( $i=0, \dots, n-1$ ) are different from zero because of the hypothesis i). By the definition of  $X$ , we may represent  $F$  by  $F_0, \dots, F_n$  as follows:

$$F = p_0 F_0 + \dots + p_n F_n \quad (p_i \neq 0, i=0, \dots, n).$$

Eliminating  $F_0$  from this relation and (6), we obtain

$$(7) \quad F = p'_1 F_1 + \cdots + p'_{n-1} F_{n-1} + p_n F_n$$

where  $p'_i = p_i - p_0 \frac{\beta_i}{\beta_0}$  ( $i=1, \dots, n-1$ ).

If there are some coefficients in  $\{p'_i\}_{i=0}^{n-1}$  which are not equal to zero, applying Lemma 3 to (6) and (7), we have

$$T(r, f) \leq N(r, 0, F) + \sum_{i=0}^n N_n(r, 0, F_i) + S(r),$$

where  $S(r) = o(T(r, f))$  for  $r \rightarrow \infty$  except for a set of finite linear measure, as arbitrary  $n-1$  combinations in  $\{F_i\}_{i=0}^{n-1}$  and  $F_n$  form a basis. Hence we have, as  $\delta(F) = 1$  or  $\lim_{r \rightarrow \infty} \frac{N(r, 0, F)}{T(r, f)} = 0$ ,

$$\frac{1}{n} \leq \sum_{i=0}^n \frac{1}{m(F_i)},$$

which is contradictory to the hypothesis ii). Consequently we have

$$F = p_n F_n \quad (p_n \neq 0).$$

The proof is complete.

**THEOREM 2.** *If there exist  $n+3$  combinations  $F, G, F_0, \dots, F_n$  ( $n \geq 4$ ) in  $X$  such that*

i) *arbitrary  $n-2$  combinations in  $\{F_i\}_{i=0}^n$  are linearly independent;*

ii)  $\delta(F) + \delta_n(G) + \sum_{i=0}^n \delta_n(F_i) > n + 2$ ,

then

1)  $\lambda = 2$ ;

2) *there exists a combination  $F_{i_0}$  ( $0 \leq i_0 \leq n$ ) such that  $F, G$  and  $F_{i_0}$  are proportional;*

3) *for any  $H$  in  $X - \{F, G, F_0, \dots, F_n\}$ ,*

$$\delta(H) + \delta_n(G) + \sum_{i=0}^n \delta_n(F_i) \leq n + 2.$$

**PROOF.** 1). We will prove that  $\lambda = 2$ . By Theorem A and the hypotheses i), ii), we see easily  $1 \leq \lambda \leq 3$ .

(I). Suppose  $\lambda = 1$ . Then there exists a linear relation among  $F_0, \dots, F_n$  over  $C$ :

$$\gamma_0 F_0 + \cdots + \gamma_n F_n = 0.$$

If all  $\gamma_i$  are different from zero, applying Theorem A and Lemma 2, we have

$$\sum_{i=0}^n \delta_n(F_i) \leq n,$$

which is contradictory to the hypothesis ii). Therefore at least one of  $\{\gamma_i\}_{i=0}^n$

is equal to zero. We may assume  $\gamma_n=0$  without loss of generality, so that

$$(8) \quad \gamma_0 F_0 + \dots + \gamma_{n-1} F_{n-1} = 0.$$

In (8), there exists at most one coefficient which is equal to zero because of the hypothesis i).

By the definition of  $X$ , we may represent  $F$  and  $G$  by  $F_0, \dots, F_n$  as follows:

$$(9) \quad F = p_0 F_0 + \dots + p_n F_n \quad (p_i \neq 0, i=0, \dots, n)$$

$$(10) \quad G = q_0 F_0 + \dots + q_n F_n \quad (q_i \neq 0, i=0, \dots, n).$$

(I)-1. The case that  $\gamma_0 \neq 0, \dots, \gamma_{n-1} \neq 0$ . We have

$$(8)' \quad F_0 = -\frac{\gamma_1}{\gamma_0} F_1 - \dots - \frac{\gamma_{n-1}}{\gamma_0} F_{n-1}.$$

Eliminating  $F_0$  from (8)' and (9), we obtain

$$(11) \quad F = p'_1 F_1 + \dots + p'_{n-1} F_{n-1} + p_n F_n$$

where  $p'_i = p_i - p_0 \frac{\gamma_i}{\gamma_0}$  ( $i=1, \dots, n-1$ ). If there are some coefficients in  $\{p'_i\}_{i=1}^{n-1}$  which are not equal to zero, applying Lemma 3 to (8)' and (11), we have

$$\delta(F) + \sum_{i=0}^n \delta_n(F_i) \leq n+1$$

as arbitrary  $n-1$  combinations in  $\{F_i\}_{i=0}^{n-1}$  and  $F_n$  form a basis. This is a contradiction. Hence we have

$$(12) \quad F = p_n F_n \quad (p_n \neq 0).$$

Next, from (8)', (10) and (12) we obtain

$$(13) \quad F = q'_1 F_1 + \dots + q'_{n-1} F_{n-1} + \frac{p_n}{q_n} G \quad (p_n \cdot q_n \neq 0).$$

Remarking that  $F_1, \dots, F_{n-1}, G$  form a basis of  $X$ , we have as in the above

$$(14) \quad G = q_n F_n \quad (q_n \neq 0).$$

The two relations (12) and (14) mean  $\lambda \geq 2$ , which is absurd.

(I)-2. The case that one of  $\gamma_0, \dots, \gamma_{n-1}$  is equal to zero. Without loss of generality, we may assume  $\gamma_{n-1}=0$ . Then  $\gamma_0 \neq 0, \dots, \gamma_{n-2} \neq 0$  and we have

$$(8)'' \quad F_0 = -\frac{\gamma_1}{\gamma_0} F_1 - \dots - \frac{\gamma_{n-2}}{\gamma_0} F_{n-2}.$$

In this case, arbitrary  $n-2$  combinations in  $\{F_i\}_{i=0}^{n-2}$  and  $F_{n-1}, F_n$  form a basis

of  $X$  and as in (I)-1 we have from (8)" and (9)

$$(15) \quad F = p_{n-1}F_{n-1} + p_n F_n$$

Next, from (8)", (10) and (15) we obtain

$$(16) \quad F = q'_1 F_1 + \cdots + q'_{n-2} F_{n-2} + q'_{n-1} F_{n-1} + \frac{p_n}{q_n} G,$$

where  $q'_{n-1} = p_{n-1} - p_n \frac{q_{n-1}}{q_n}$  is not equal to zero because if  $q'_{n-1} = 0$ , (8)" and (16) imply  $\lambda \geq 2$ , which is absurd. Remarking that  $F_1, \dots, F_{n-1}, G$  form a basis of  $X$ , we have similarly

$$(17) \quad G = q_{n-1} F_{n-1} + q_n F_n.$$

The two relations (15) and (17) imply  $\lambda \geq 2$ , which is absurd. Therefore, we have  $\lambda \neq 1$ .

(II) Suppose  $\lambda = 3$ . Then there exist  $n-2$  combinations in  $\{F_i\}_{i=0}^n$  which form a basis of  $X$ . We may assume that  $F_0, \dots, F_{n-3}$  form a basis and the combination  $F_{n-2}$  is represented by this basis as follows:

$$F_{n-2} = \alpha_0 F_0 + \cdots + \alpha_{n-3} F_{n-3},$$

where all coefficients  $\alpha_i$  ( $i=0, \dots, n-3$ ) are different from zero because of the hypothesis i). From this equation we have, by Theorem A and Lemma 2,

$$\sum_{i=0}^{n-2} \delta_n(F_i) \leq n-2,$$

which is contradictory to the hypothesis ii).

From (I) and (II), it must be  $\lambda = 2$ .

2). We will prove the conclusion 2) of this theorem. As  $\lambda = 2$ , we may assume that  $F_0, \dots, F_{n-2}$  form a basis of  $X$  and so  $F_{n-1}, F_n$  are represented by  $\{F_i\}_{i=0}^{n-2}$  as follows:

$$(18) \quad F_{n-1} = \alpha_0 F_0 + \cdots + \alpha_{n-2} F_{n-2},$$

$$(19) \quad F_n = \beta_0 F_0 + \cdots + \beta_{n-2} F_{n-2}.$$

By the hypotheses i) and ii), applying Theorem A to (18) and (19), only one of  $\{\alpha_i\}_{i=0}^{n-2}$  and only one of  $\{\beta_i\}_{i=0}^{n-2}$  are equal to zero. We may consider the following two cases A) and B).

A). There exists an  $i_0$  such that  $\alpha_{i_0} = 0, \beta_{i_0} = 0$  ( $0 \leq i_0 \leq n-2$ ). Eliminating  $F_{n-1}, F_n$  from (9) using (18) and (19), we have

$$(20) \quad F = p''_0 F_0 + \cdots + p''_{i_0-1} F_{i_0-1} + p_{i_0} F_{i_0} + p''_{i_0+1} F_{i_0+1} + \cdots + p''_{n-2} F_{n-2}.$$



If there are some coefficients in  $\{p''_i\}_{i=0}^{n-2} - \{p''_{i_0}\}$  which are not equal to zero, applying Lemma 3 to (18) and (20), we have

$$\sum_{i=0}^{n-1} \delta_n(F_i) + \delta(F) \leq n,$$

which is contradictory to the hypothesis ii). This means

$$(21) \quad F = p_{i_0} F_{i_0}.$$

Next, from (10), (18), (19) and (21) we have

$$(22) \quad F = q'_0 F_0 + \dots + q'_{i_0-1} F_{i_0-1} + \frac{p_{i_0}}{q_{i_0}} G + q'_{i_0+1} F_{i_0+1} + \dots + q'_{n-2} F_{n-2}.$$

Remarking that  $F_0, \dots, F_{i_0-1}, G, F_{i_0+1}, \dots, F_{n-2}$  form a basis of  $X$ , we have similarly

$$(23) \quad G = q_{i_0} F_{i_0}.$$

B). There exist  $i_1$  and  $i_2$  ( $i_1 \neq i_2$ ) such that  $\alpha_{i_1} = 0, \beta_{i_2} = 0$ . We will prove that this case does not happen. We have

$$(24) \quad F = a_0 F_0 + \dots + a_{n-2} F_{n-2},$$

where at least one of  $\{a_i\}_{i=0}^{n-2}$  is not equal to zero. In (24), coefficients  $a_{i_1}$  and  $a_{i_2}$  are equal to zero. Indeed if one of  $a_{i_1}, a_{i_2}$  (say  $a_{i_1}$ ) is not equal to zero, applying Lemma 3 to (18) and (24), we have

$$(25) \quad F = a_{i_1} F_{i_1} \quad (a_{i_1} \neq 0).$$

Applying Lemma 3 to (18) and the relation obtained by eliminating  $F_{i_1}$  from (19) and (25), we have

$$\delta(F) + \sum_{\substack{i=0 \\ i \neq i_1}}^{n-1} \delta_n(F_i) + \delta_n(F_n) \leq n,$$

which is contradictory to the hypothesis ii). Consequently  $F$  may be represented as follows:

$$(26) \quad F = a_0 F_0 + \dots + a_{i_1-1} F_{i_1-1} + a_{i_1+1} F_{i_1+1} + \dots + a_{i_2-1} F_{i_2-1} \\ + a_{i_2+1} F_{i_2+1} + \dots + a_{n-2} F_{n-2},$$

where there exists at least one non-zero element in  $\{a_i\}_{i=0}^{n-2} - \{a_{i_1}, a_{i_2}\}$ .

Next we have

$$(27) \quad G = b_0 F_0 + \dots + b_{i_1} F_{i_1} + \dots + b_{i_2} F_{i_2} + \dots + b_{n-2} F_{n-2},$$

where at least one of  $\{b_i\}_{i=0}^{n-2}$  is not equal to zero. Here  $b_{i_1} \cdot b_{i_2} \neq 0$ . Indeed, if  $b_{i_1} = 0$  or  $b_{i_2} = 0$ , then from (18) or (19), (26) and (27)  $\lambda \geq 3$ , which is absurd.

Now we consider the case that in (26) there exists only one non-zero element in  $\{a_i\}_{i=0}^{n-2} - \{a_{i_1}, a_{i_2}\}$ . In this case we have  $F = a_l F_l, a_l \neq 0, l \neq i_1, i_2$ . Eliminating  $F_l$  from this equation and (19), we have

$$(28) \quad F = \beta''_0 F_0 + \dots + \beta''_l F_n + \dots + \beta''_{i_1} F_{i_1} + \dots + \beta''_{i_2-1} F_{i_2-1} \\ + \beta''_{i_2+1} F_{i_2+1} + \dots + \beta''_{n-2} F_{n-2},$$

where all coefficients are not equal to zero. Applying Lemma 3 to (27) and (28), we have

$$\delta(F) + \delta_n(G) + \sum_{\substack{i=0 \\ i \neq l}}^{n-2} \delta_n(F_i) + \delta_n(F_n) \leq n,$$

which is contradictory to the hypothesis ii).

Therefore we may assume that there exist at least two non-zero coefficients in (26).

Now we will show that this case ends in a contradiction applying the methods in the proof of Lemma 3 to (19), (26) and (27).

Let  $\{a_{i_{h(\nu)}}\}_{\nu=1}^k$  ( $2 \leq k \leq n-3$ ) be not equal to zero. Then we obtain

$$(29) \quad a_{i_{h(\nu)}} F_{i_{h(\nu)}} = F \frac{\mathcal{A}_1^\nu}{\mathcal{A}_1} \quad (a_{i_{h(\nu)}} \neq 0)$$

where  $\mathcal{A}_1 = \|F_{i_{h(1)}}, \dots, F_{i_{h(k)}}\| / F_{i_{h(1)}} \dots F_{i_{h(k)}}$  and  $\mathcal{A}_1^\nu$  is what  $F_{i_{h(\nu)}}$  is changed by  $F$  in  $\mathcal{A}_1$ . From (29), we have

$$(30) \quad \max_{a_i \neq 0} \log |F_i| \leq \log |F| + \log^+ \left| \frac{1}{\mathcal{A}_1} \right| + \sum_{\nu=1}^k \log^+ |\mathcal{A}_1^\nu| + O(1).$$

From (19) we set

$$(31) \quad H_1 \equiv - \sum_{\substack{a_i \neq 0 \\ \beta_i \neq 0}} \beta_i F_i = -F_n + \sum_{\substack{a_i = 0 \\ \beta_i \neq 0}} \beta_i F_i.$$

Let  $\{\beta_{i_{j(\nu)}}\}_{\nu=1}^l$  be the non-zero coefficients which appear in the right side of (31). Then we have

$$\beta_{i_{j(\nu)}} F_{i_{j(\nu)}} = H_1 \frac{\mathcal{A}_2^\nu}{\mathcal{A}_2} \quad (\beta_{i_{j(\nu)}} \neq 0)$$

and hence

$$(32) \quad \max_{\substack{a_i = 0 \\ \beta_i \neq 0}} \log |F_i| \leq \log |H_1| + \log^+ \left| \frac{1}{\mathcal{A}_2} \right| + \sum_{\nu=1}^l \log^+ |\mathcal{A}_2^\nu| + O(1),$$

where

$$\mathcal{A}_2 = \|F_n, F_{i_{j(1)}}, \dots, F_{i_{j(l)}}\| / F_n F_{i_{j(1)}} \dots F_{i_{j(l)}}$$

and  $\mathcal{A}_2^\nu$  is what  $F_{i_{s(\nu)}}$  is changed by  $H_1$  in  $\mathcal{A}_2$ . By (31) we obtain

$$(33) \quad \log |H_1| \leq \max_{a_i \neq 0} \log |F_i| + O(1).$$

From (27) we set

$$(34) \quad H_2 \equiv - \sum_{\substack{b_i \neq 0 \\ a_i \neq 0 \text{ or } \beta_i \neq 0}} b_i F_i = -G + \sum_{\substack{a_i = 0 \\ \beta_i = 0, b_i \neq 0}} b_i F_i.$$

Let  $\{b_{i_{s(\nu)}}\}_{\nu=1}^m$  be the non-zero coefficients which appear in the right side of (34). Then we have

$$b_{i_{s(\nu)}} F_{i_{s(\nu)}} = H_2 \frac{\mathcal{A}_3^\nu}{\mathcal{A}_3} \quad (b_{i_{s(\nu)}} \neq 0)$$

and hence

$$(35) \quad \max_{\substack{a_i = 0 \\ \beta_i = 0, b_i \neq 0}} \log |F_i| \leq \log |H_2| + \log^+ \left| \frac{1}{\mathcal{A}_3} \right| + \sum_{\nu=1}^m \log^+ |\mathcal{A}_3^\nu| + O(1),$$

where  $\mathcal{A}_3 = \|G, F_{i_{s(1)}}, \dots, F_{i_{s(m)}}\| / GF_{i_{s(1)}} \dots F_{i_{s(m)}}$  and  $\mathcal{A}_3^\nu$  is what  $F_{i_{s(\nu)}}$  is changed by  $H_2$  in  $\mathcal{A}_3$ . By (34) we have

$$(36) \quad \log |H_2| \leq \max_{a_i \neq 0 \text{ or } \beta_i \neq 0} \log |F_i| + O(1).$$

By (30), (32), (33), (35) and (36), we have

$$(37) \quad \max_{\substack{a_i \neq 0 \text{ or } \beta_i \neq 0 \\ \text{or } b_i \neq 0}} \log |F_i| \leq \log |F| + \sum_{j=1}^3 \log^+ \left| \frac{1}{\mathcal{A}_j} \right| + \sum_{\nu=1}^k \log^+ |\mathcal{A}_1^\nu| \\ + \sum_{\nu=1}^l \log^+ |\mathcal{A}_2^\nu| + \sum_{\nu=1}^m \log^+ |\mathcal{A}_3^\nu| + O(1),$$

so that we obtain, as in the proof of Lemma 3,

$$T(r, f) \leq N(r, 0, F) + \sum_{\nu=1}^k m(r, \mathcal{A}_1^\nu) + \sum_{\nu=1}^l m(r, \mathcal{A}_2^\nu) + \sum_{\nu=1}^m m(r, \mathcal{A}_3^\nu) + \sum_{j=1}^3 m\left(r, \frac{1}{\mathcal{A}_j}\right) + O(1) \\ \leq N(r, 0, F) + N_n(r, 0, G) + \sum_{i=0}^{n-2} N_n(r, 0, F_i) + N_n(r, 0, F_n) + S(r),$$

where  $S(r) = o(T(r, f))$  for  $r \rightarrow \infty$  except for a set of finite linear measure. Hence we have

$$\delta(F) + \delta_n(G) + \sum_{i=0}^{n-2} \delta_n(F_i) + \delta_n(F_n) \leq n + 1,$$

which is contradictory to the hypothesis ii).

Thus we have the conclusion 2) of this theorem.

3). If there exists a combination  $H$  in  $X - \{F, G, F_0, \dots, F_n\}$  such that

$$\delta(H) + \delta_n(G) + \sum_{i=0}^n \delta_n(F_i) > n + 2,$$

then by 2),  $F, G, H$  and  $F_{i_0}$  are proportional. This implies  $\lambda=3$ , which is absurd.

Hence we have the conclusion 3).

In the same way as we obtained Theorem 2 by generalizing Theorem B, we generalize Theorem 1 as follows.

**THEOREM 3.** *If there exist  $n+3$  combinations  $F, G, F_0, \dots, F_n$  ( $n \geq 4$ ) in  $X$  such that*

i) *arbitrary  $n-2$  combinations in  $\{F_i\}_{i=0}^n$  are linearly independent;*

ii)  $\frac{1}{m(G)} + \sum_{i=0}^n \frac{1}{m(F_i)} < \frac{1}{n}$  and  $\delta(F)=1$ ,

*then  $\lambda=2$  and there exists an  $F_{i_0}$  in  $\{F_i\}_{i=0}^n$  such that  $F, G$  and  $F_{i_0}$  are proportional.*

Applying the method of the proof of Theorem 1 to that of Theorem 2, we obtain this result easily.

**COROLLARY.** *In Theorem 2 or 3, if  $F \equiv 1$ , then  $G$  and at least one combination in  $\{F_i\}_{i=0}^n$  are lacunary.*

**REMARK 1.** When  $n=3$ , Theorems 2 and 3 change into the following:

“If there exist 6 combinations  $F, G, F_0, \dots, F_3$  in  $X$  such that

$$\delta(F) + \delta_3(G) + \sum_{i=0}^3 \delta_3(F_i) > 5$$

or

$$\frac{1}{m(G)} + \sum_{i=0}^3 \frac{1}{m(F_i)} < \frac{1}{3} \quad \text{and} \quad \delta(F)=1,$$

then

1)  $\lambda=2$ ;

2)  $\{F, G, F_0, \dots, F_3\}$  are divided into two classes each of which consists of three elements being proportional”.

**REMARK 2.** Can one replace  $\delta(F)$  by  $\delta_n(F)$  in Theorem 2 ?

## References

- [ 1 ] H. Cartan, Sur les zéros des combinaisons linéaires de  $p$  fonctions holomorphes données, *Mathematica*, 7 (1933), 5-31.
- [ 2 ] M. Katō, On exceptional linear combinations of entire functions, *Proc. Japan Acad.*, 49 (1973), 700-704.
- [ 3 ] R. Nevanlinna, Le théorème de Picard-Borel et la théorie des fonctions méromorphes, Gauthier-Villars, Paris, 1929.
- [ 4 ] K. Niino and M. Ozawa, Deficiencies of an entire algebroid function, *Kōdai Math. Sem. Rep.*, 22 (1970), 98-113.
- [ 5 ] J. Noguchi, On the deficiencies and the existence of Picard's exceptional values of entire algebroid functions, *Kōdai Math. Sem. Rep.*, 26 (1975), 294-303.
- [ 6 ] M. Ozawa, Deficiencies of an entire algebroid function, III, *Kōdai Math. Sem. Rep.*, 23 (1971), 486-492.

- [ 7 ] T. Suzuki, On deficiencies of an entire algebroid function, *Kōdai Math. Sem. Rep.*, **24** (1972), 62-74.
- [ 8 ] N. Toda, Sur quelques combinaisons linéaires exceptionnelles au sense de Nevanlinna, IV, *Nagoya Math. J.*, **59** (1975), 77-86.

Masakimi KATŌ  
Department for Liberal Arts  
Shizuoka University  
Ōya, Shizuoka  
Japan

Nobushige TODA  
Mathematical Institute  
Nagoya University  
Furo-cho, Chikusa-ku  
Nagoya, Japan

