

On the shape of decomposition spaces

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§1. Introduction.

In [2] K. Borsuk defined shapes of compacta (= compact metric spaces). He generalized in [3, 5] this concept to general metric spaces by defining their weak shapes. The notion of shape or weak shape gives a classification of metric spaces coarser than the homotopy type. For a pair of a metric space and its subset K , Borsuk [5] has introduced the concept of position which is closely related to its weak shape. In some sense a classification of pairs of spaces by the position is similar to one by the weak shape or the homotopy type but they are generally different. In a certain case the position gives a classification finer than the homotopy type (cf. [5, p. 150]). For 0-dimensional metric spaces, we shall show that a classification by the weak shape or the position is equal to one by the homeomorphism. The main theorem in this paper is the following.

THEOREM. *Let (X, A) and (Y, B) be pairs of metric spaces and arbitrary subsets respectively. Let $f: (X, A) \rightarrow (Y, B)$ be a perfect map such that $f(X-A) = Y-B$ and $f(A) = B$. If $\dim Y \leq n$ and $f^{-1}(y)$ is approximately k -connected for each $y \in Y$ and $k=0, \dots, n$, then $\text{Sh}_W(X) \geq \text{Sh}_W(Y)$ and $\text{Pos}(X, A) \geq \text{Pos}(Y, B)$. In addition, if $\dim X \leq n$, then the equalities in these relations hold.*

Notations and definitions are given in the next section. Since the position $\text{Pos}(X, A)$ is equal to the weak shape $\text{Sh}_W(X)$ in case $A = \emptyset$ and $\text{Sh}_W(X)$ is equal to the shape $\text{Sh}(X)$ for a compactum X , there are several corollaries for shapes among which are theorems by Borsuk [4, Theorem (6.1)] and Sher [10, Theorem 11]. The main theorem and the corollaries are proved in §3.

Throughout this paper all of spaces are metric and maps are continuous. By an AR and an ANR we mean always those for metric spaces.

§2. $\text{Sh}_W(X)$ and $\text{Pos}(X, A)$.

Let M and N be AR 's and let X and Y be closed subsets of M and N respectively. According to Borsuk [5, p. 142] a sequence of maps $f_k: M \rightarrow N$, $k=1, 2, \dots$, is said to be a W -sequence if it satisfies the following condition:

- (2.1) For every compactum $C \subset X$ there is a compactum $D \subset Y$ such that for every neighborhood V of D in N there is a neighborhood U of C in M such that $f_k|U \cong f_{k+1}|U$ in V for almost all k .

We denote this W -sequence by $\{f_k, X, Y\}_{M,N}$, or shortly by \underline{f} , and we write $\underline{f}: X \rightarrow Y$ in M, N . Every compactum D satisfying (2.1) is said to be \underline{f} -assigned to the compactum C .

A W -sequence $\underline{f} = \{f_k, X, Y\}_{M,N}$ is said to be generated by a map $f: X \rightarrow Y$ if $f_k(x) = f(x)$ for every $x \in X$ and $k = 1, 2, \dots$. If $X = Y$ and $M = N$ and if i is the identity map of X onto itself, then $\{i, X, X\}_{M,M}$ is the identity W -sequence for X in M and denoted by $\underline{i}_{X,M}$.

Two W -sequences $\underline{f} = \{f_k, X, Y\}_{M,N}$ and $\underline{f}' = \{f'_k, X, Y\}_{M,N}$ are said to be homotopic (notation: $\underline{f} \cong \underline{f}'$) if the following condition is satisfied:

- (2.2) For every compactum $C \subset X$ there is a compactum $D \subset Y$ such that for every neighborhood V of D in N there is a neighborhood U of C in M such that $f_k|U \cong f'_k|U$ in V for almost all k .

Every compactum D satisfying (2.2) is said to be $(\underline{f}, \underline{f}')$ -assigned to the compactum C .

LEMMA 1. If $\dim Y = 0$, then for every W -sequence $\underline{f} = \{f_k, X, Y\}_{M,N}$ there is a unique map $f: X \rightarrow Y$ which generates a W -sequence homotopic to \underline{f} .

PROOF. By (2.1), for every $x \in X$, there is a compactum D_x of Y which is \underline{f} -assigned to $\{x\}$. From the compactness of D_x and (2.1) it follows that the set $\{f_k(x) : k = 1, 2, \dots\}$ has an accumulation point in D_x . Since $\dim Y = 0$, if y_x is an arbitrary accumulation point of $\{f_k(x)\}$, then it is known by (2.1) that the sequence $\{f_k(x)\}$ converges to y_x and hence y_x is uniquely determined by the point x . Define $f: X \rightarrow Y$ by $f(x) = y_x$ for $x \in X$. To show the continuity of f , let W be an open neighborhood of $f(x)$ in N . Since $\dim Y = 0$, we can find disjoint open sets V_1 and V_2 of N such that $D_x \subset V_1 \cup V_2$, $D_x - W \subset V_1$ and $f(x) \in V_2 \subset \bar{V}_2 \subset W$. By (2.1) there is a neighborhood U of x such that $f_k|U \cong f_{k+1}|U$ in $V = V_1 \cup V_2$ for almost all k . Since $\{f_k(x)\}$ converges to $f(x) \in V_2$ and $V_1 \cap V_2 = \emptyset$, we know that there is a neighborhood $U' \subset U$ of x such that $f_k(U') \subset V_2 \subset W$ for almost all k . From (2.1) it follows that $f(U') \subset W$. Thus f is continuous. Let $f': M \rightarrow N$ be an extension of f and define $\underline{f}' = \{f'_k, X, Y\}$ by $f'_k = f'$ for every k . Then \underline{f}' is generated by f . For a compactum C of X , let D be a compactum of Y which is \underline{f} -assigned to C . By the definition of \underline{f}' and the compactness of D it is known that D is $(\underline{f}, \underline{f}')$ -assigned to C and $\underline{f} \cong \underline{f}'$. Let \underline{f}' and \underline{f}'' be W -sequences generated by maps f' and f'' respectively, and let $f' \cong f''$. Since $\dim Y = 0$, we know $f' = f''$ by (2.2). This completes the proof.

Let X and Y be closed sets in AR 's M and N respectively. The sets X and Y are said to be W -equivalence in M and N (notation: $X \cong_w Y$ in M, N) if there exist two W -sequences $\underline{f} = \{f_k, X, Y\}_{M,N}$, $\underline{g} = \{g_k, Y, X\}_{N,M}$ satisfying the following conditions:

$$\underline{g}\underline{f} \cong \underline{i}_{X,M} \quad \text{and} \quad \underline{f}\underline{g} \cong \underline{i}_{Y,N}.$$

If only the condition $\underline{f}\underline{g} \cong \underline{i}_{Y,N}$ is satisfied, then we say that X W -dominates Y in M, N and we write $X \geq_w Y$ in M, N .

Let A and B be arbitrary subsets of X and Y respectively. We say that (X, A) and (Y, B) are W -similar in M and N (notation: $(X, A) \sim (Y, B)$ in M, N) if there exist two sequences of maps $f_k: M \rightarrow N$ and $g_k: N \rightarrow M$, $k=1, 2, \dots$, such that

$$(2.3) \quad \begin{aligned} \underline{f}' = \{f_k, A, B\}_{M,N}, \quad \underline{f}'' = \{f_k, X-A, Y-B\}_{M,N} \\ \underline{g}' = \{g_k, B, A\}_{N,M}, \quad \underline{g}'' = \{g_k, Y-B, X-A\}_{N,M} \end{aligned} \quad \text{are } W\text{-sequences}$$

and that

$$(2.4) \quad \underline{g}'\underline{f}' \cong \underline{i}_{A,M}, \quad \underline{g}''\underline{f}'' \cong \underline{i}_{(X-A),M},$$

$$(2.5) \quad \underline{f}'\underline{g}' \cong \underline{i}_{B,N}, \quad \underline{f}''\underline{g}'' \cong \underline{i}_{(Y-B),N}.$$

If only (2.3) and (2.5) are satisfied, then we say that (X, A) dominates W -similarly (Y, B) and we write $(X, A) \overline{w} (Y, B)$ in M, N .

Borsuk [5, Theorem 6.7] proved that the relations of W -equivalence, W -domination, W -similarity and W -similar domination of spaces do not depend on choice of AR 's containing those spaces as closed subsets. Thus we may write $(X, A) \overline{w} (Y, B)$ instead of $(X, A) \overline{w} (Y, B)$ in M, N . Similarly we omit in the relation " \overline{w} ", " \cong_w " and " \geq_w " the word "in M, N " respectively. The relations \overline{w} and \cong_w are equivalence relations [5, Theorem 7.1]. The position $\text{Pos}(X, A)$ of a pair of a metric space X and its subset A is defined as the equivalence class containing (X, A) under the relation \overline{w} . Similarly the weak shape $\text{Sh}_w(X)$ of a space X is the equivalence class containing X under \cong_w . If $(X, A) \overline{w} (Y, B)$ then we write $\text{Pos}(X, A) \geq \text{Pos}(Y, B)$. Similarly if $X \geq_w Y$ then we write $\text{Sh}_w(X) \geq \text{Sh}_w(Y)$. If A is empty, then $\text{Pos}(X, \emptyset)$ is equal to $\text{Sh}_w(X)$ and if we consider only the category of all compacta then $\text{Pos}(X, \emptyset) = \text{Sh}_w(X) = \text{Sh}(X)$, where $\text{Sh}(X)$ is the shape of a compactum X (cf. [2, 3]).

The following is known for shape of compact spaces [9, Theorem 20].

THEOREM 1. *Let (X, A) and (Y, B) be pairs of metric spaces and subsets and let $\dim X = \dim Y = 0$. Then $\text{Pos}(X, A) \geq \text{Pos}(Y, B)$ if and only if there is an imbedding $i: Y \rightarrow X$ and a retraction $r: X \rightarrow i(Y)$ such that $i(B) \subset A$ and*

$r(A)=i(B)$. In particular, $\text{Pos}(X, A)=\text{Pos}(Y, B)$ if and only if there is a homeomorphism of (X, A) onto (Y, B) , and $\text{Sh}_w(X)=\text{Sh}_w(Y)$ if and only if there is a homeomorphism of X onto Y .

The proof is obvious by Lemma 1.

According to Borsuk [4, p. 266] a compactum X is said to be *approximatively k -connected* if there is an imbedding i of X into an AR M satisfying the condition: For every neighborhood V of $i(X)$ in M there is a neighborhood V_0 of $i(X)$ such that every map of a k -sphere S^k into V_0 is null-homotopic in V . (In this definition we do not consider any base point.) As shown by Borsuk, it is known that the approximative k -connectedness of X does not depend on choice of an AR containing X and it is a shape invariant.

§3. Shape of a decomposition space.

Let X be a metric space lying in an AR M and let \mathfrak{D} be an upper semi-continuous decomposition of X consisting of compacta. By $X_{\mathfrak{D}}$ we mean the decomposition space of X by \mathfrak{D} . Let $f: X \rightarrow X_{\mathfrak{D}}$ be the decomposition map. Then f is a perfect map. By a *cover* of \mathfrak{D} we imply a collection \mathfrak{U} of open sets in M such that $X \subset \cup \{U: U \in \mathfrak{U}\}$ and for each element U of \mathfrak{U} $U \cap X$ is non-empty and saturated, i. e. $U \cap X = f^{-1}f(U \cap X)$. For two collections \mathfrak{U} and \mathfrak{B} of subsets of X or M , we mean by $\mathfrak{U} > \mathfrak{B}$ that \mathfrak{U} is a refinement of \mathfrak{B} and by $\mathfrak{U} >^* \mathfrak{B}$ that \mathfrak{U} is a star refinement of \mathfrak{B} .

LEMMA 2. *Let X be a metric space and let M be an AR containing X as a closed set. Let \mathfrak{D} be an upper semicontinuous decomposition of X each element of which is a compactum and approximatively j -connected for $j=0, 1, \dots, k$. For every positive integer n and every cover \mathfrak{U} of \mathfrak{D} there is a cover \mathfrak{B} of \mathfrak{D} satisfying the following conditions:*

(3.1) *There is a sequence $\mathfrak{B}_0, \mathfrak{B}'_1, \mathfrak{B}_1, \dots, \mathfrak{B}_n, \mathfrak{B}'_{n+1}, \mathfrak{B}_{n+1}$ of covers of \mathfrak{D} such that $\mathfrak{B} = \mathfrak{B}_0, \mathfrak{U} = \mathfrak{B}_{n+1}, \mathfrak{B}_i > \mathfrak{B}'_{i+1}$ and $\mathfrak{B}'_{i+1} >^* \mathfrak{B}_{i+1}$.*

(3.2) *For each element V of $\mathfrak{B}_i, i=0, 1, \dots, n$, there is a $V' \in \mathfrak{B}'_{i+1}$ such that every map $f: S^j \rightarrow V, j=0, 1, \dots, k$, is null-homotopic in V' .*

For a cover \mathfrak{U} of \mathfrak{D} , a cover \mathfrak{B} satisfying the conditions of the lemma is said to be a (k, n) -refinement of \mathfrak{U} .

PROOF OF LEMMA 2. Let \mathfrak{U} be an open collection of M such that each element of \mathfrak{D} is contained in some element of \mathfrak{U} . It is enough to prove that there is a cover \mathfrak{B} of \mathfrak{D} such that $\mathfrak{B} >^* \mathfrak{U}$. Let \mathfrak{D}' be a decomposition of M consisting of all compacta in \mathfrak{D} and every point in $M-X$. Let $f: M \rightarrow M_{\mathfrak{D}'}$ be the decomposition map. Obviously f is a perfect map and hence $M_{\mathfrak{D}'}$ is

a metric space. The collection $\mathfrak{B} = \{M_{\mathfrak{D}}, -f(M-U) : U \in \mathfrak{U}\}$ is an open collection which covers $f(X)$. Let \mathfrak{B}' be an open collection of $M_{\mathfrak{D}}$ which is a star refinement of \mathfrak{B} and covers $f(X)$. Put $\mathfrak{B} = \{f^{-1}(V) : f^{-1}(V) \cap X \neq \emptyset, V \in \mathfrak{B}'\}$. Then \mathfrak{B} is a cover of \mathfrak{D} and $\mathfrak{B} \succ^* \mathfrak{U}$.

The following lemma is an immediate consequence of the definition of (n, n) -refinements.

LEMMA 3. *Under the same hypothesis as in Lemma 2, let \mathfrak{U} be a cover of \mathfrak{D} and let \mathfrak{B} be an (n, n) -refinement of \mathfrak{U} .*

(3.3) *Let K be an $(n+1)$ -dimensional simplicial complex and K^0 the set of its vertices. If $f: K^0 \rightarrow M$ is a map such that for each closed simplex σ of K there is a $V \in \mathfrak{B}$ containing $f(\sigma \cap K^0)$, then f has an extension $g: K \rightarrow M$ such that for closed simplex σ of K there is a $U \in \mathfrak{U}$ containing $g(\sigma)$.*

(3.4) *Let K be an n -dimensional simplicial complex. If f and g are maps of K into M such that for each closed simplex σ of K there is a $V \in \mathfrak{B}$ containing $f(\sigma) \cup g(\sigma)$ then there is a homotopy $H: K \times I \rightarrow M$ connecting f and g such that for each closed simplex σ $H(\sigma \times I)$ is contained in some $U \in \mathfrak{U}$.*

REMARK 1. In Lemmas 2 and 3, let $\{\mathfrak{B}_i, \mathfrak{B}'_i\}$ be covers in the definition of (n, n) -refinements such that $\mathfrak{B} = \mathfrak{B}_0$ and $\mathfrak{U} = \mathfrak{B}_{n+1}$. If σ is an i -simplex of K , then we can construct a map g and a homotopy H such that $g(\sigma)$ is in some element of \mathfrak{B}'_i and $H(\sigma \times I)$ is in some element of \mathfrak{B}'_{i+1} for each $i = 1, 2, \dots, n$. In particular, if σ is an n -simplex, then we can assume that $g(\sigma)$ is in some element of \mathfrak{B}'_n .

Let X and Y be spaces and \mathfrak{U} an open collection of Y . If $f, g: X \rightarrow Y$ are maps, then we say that f and g are \mathfrak{U} -close provided that for each $x \in X$ there exists a $U \in \mathfrak{U}$ which contains $f(x)$ and $g(x)$. If $H: X \times I \rightarrow Y$ is a homotopy, then we say that H is limited by \mathfrak{U} provided that for each $x \in X$ there exists a $U \in \mathfrak{U}$ which contains $H(\{x\} \times I)$. If $f, g: X \rightarrow Y$ and there is a homotopy connecting f and g which is limited by \mathfrak{U} , then we write $f \cong g: X \rightarrow Y \lim.\mathfrak{U}$ or, shortly $f \cong g \lim.\mathfrak{U}$. The following lemma is well known and easily proved.

LEMMA 4. *Let N be an ANR. Let Y be a subset of N and let \mathfrak{B} be an open collection in N which covers Y . Then there is an open collection \mathfrak{L} which covers Y , refines \mathfrak{B} and satisfies the following condition:*

(3.5) *If f, g are maps of a space T into N which are \mathfrak{L} -close then $f \cong g: T \rightarrow N \lim.\mathfrak{B}$.*

LEMMA 5. *Let M be an ANR and let \mathfrak{U} be an open cover of M . If f, g*

are maps of a closed set A of a space X into M such that $f \cong g: A \rightarrow M \text{ lim. } \mathfrak{U}$ and f has an extension $f': X \rightarrow M$, then there is an extension $g': X \rightarrow M$ of g such that $f' \cong g' \text{ lim. } \mathfrak{U}$.

This is proved by a standard technique (cf. [1, Chapter IV, (8.1)]).

THEOREM 2. *Let (X, A) and (Y, B) be pairs of metric spaces and subsets and let $f: (X, A) \rightarrow (Y, B)$ be a perfect map such that $f(X-A) = Y-B$ and $f(A) = B$. If $\dim Y \leq n$ and $f^{-1}(y)$ is approximately k -connected for each $y \in Y$ and $k = 0, 1, \dots, n$, then $\text{Sh}_w(X) \geq \text{Sh}_w(Y)$ and $\text{Pos}(X, A) \geq \text{Pos}(Y, B)$. In addition, if $\dim X \leq n$, then $\text{Sh}_w(X) = \text{Sh}_w(Y)$ and $\text{Pos}(X, A) = \text{Pos}(Y, B)$.*

PROOF. For the proof we shall use an argument as in the proof of [7, Theorem 1]. Let M and N be AR 's containing X and Y as closed sets respectively. Extend f to a map of M into N and denote it by f again. Since M is perfectly normal and X is closed in M , if necessary, by replacing $N \times [0, \infty)$ in place of N we can assume that

$$(3.6) \quad f^{-1}(Y) = X \quad \text{and} \quad f^{-1}(B) = A.$$

We denote by d a metric of M . Let \mathfrak{D} be the decomposition $\{f^{-1}(y): y \in Y\}$ of X . We take an arbitrary open cover $\mathfrak{S}_j, j = 1, 2, \dots$, of N such that

$$(3.7) \quad \text{mesh } \mathfrak{S}_j < 2^{-j}.$$

Let \mathfrak{T}_1 be an open collection of N such that $Y \subset \cup \{T: T \in \mathfrak{T}_1\}$ and \mathfrak{T}_1 satisfies the condition (3.5) of Lemma 4 for \mathfrak{S}_1 . Let \mathfrak{P}_1 be an open collection of N such that $Y \subset \cup \{P: P \in \mathfrak{P}_1\}$ and $\mathfrak{P}_1^* > \mathfrak{T}_1$. For each point $y \in Y$, take an open set V_y in M such that $f^{-1}(y) \subset V_y \cap X, d(f^{-1}(y), M - V_y) < 1/2$ and V_y is contained in some element of $f^{-1}(\mathfrak{P}_1)$ (cf. (3.6)). Let \mathfrak{U}_1 be a cover of \mathfrak{D} such that $\mathfrak{U}_1^* > \{V_y: y \in Y\}$ and put $M_1 = \cup \{U: U \in \mathfrak{U}_1\}$. Let \mathfrak{B}'_1 be a cover of \mathfrak{D} which is an (n, n) -refinement of \mathfrak{U}_1 (Lemma 2). Since $f|X$ is perfect and $\dim Y \leq n$, there is an open cover \mathfrak{B}'_1 of Y such that $f^{-1}\mathfrak{B}'_1^* > \mathfrak{B}'_1, \mathfrak{B}'_1^* > \mathfrak{P}_1$, $\text{mesh } \mathfrak{B}'_1 < 1/2$ and $\text{ord } \mathfrak{B}'_1 (= \text{the order of } \mathfrak{B}'_1) \leq n+1$. Since Y is a metric space, there exists an open collection \mathfrak{B}_1 of N such that

$$(3.8) \quad \mathfrak{B}_1 \cap Y = \mathfrak{B}'_1 \quad \text{and} \quad \mathfrak{B}_1^* > \mathfrak{P}_1,$$

$$(3.9) \quad \mathfrak{B}_1 \text{ is similar to } \mathfrak{B}'_1.$$

Put $\mathfrak{B}_1 = \mathfrak{B}'_1 \wedge f^{-1}(\mathfrak{B}_1)$. Then \mathfrak{B}_1 is an (n, n) -refinement of \mathfrak{U}_1 . Take an open neighborhood W_1 of Y in N such that $\overline{W}_1 \subset \cup \{W: W \in \mathfrak{B}_1\}$. Let K_1 be the nerve of $\mathfrak{B}_1 \cap \overline{W}_1$ with weak topology and $\phi_1: \overline{W}_1 \rightarrow K_1$ a canonical map. For each vertex w of K , choose a point $v \in f^{-1}(\phi_1^{-1}w \cap Y)$ and define $h'_1: K_1^0 \rightarrow X$ ($\subset M$) by $h'_1(w) = v$, where K_1^0 is the set of vertices of K_1 . If σ is a simplex

of K_1 and $w_i, i=0, \dots, k$, are its vertices, then $W_{i_0} \cap \dots \cap W_{i_k} \cap Y \neq \emptyset$ by (3.9) and hence $h'_1(\sigma \cap K_1^0) \subset \bigcup_{j=0}^k f^{-1}(W_{i_j}) \cap X \subset$ some element of \mathfrak{B}'_1 . Thus there is an extension $h_1: K_1 \rightarrow M_1$ of h'_1 such that $h_1(\sigma)$ is in some element of \mathfrak{U}_1 for each simplex σ of K_1 , where $M_1 = \cup \{U : U \in \mathfrak{U}_1\}$. Put $g_1 = h_1 \phi_1: \bar{W}_1 \rightarrow M_1$. Note that if $i_N: N \rightarrow N$ is the identity map then $i_N|_{\bar{W}_1}$ and fg_1 are \mathfrak{X}_1 -close and hence $i_N|_{\bar{W}_1} \cong fg_1: \bar{W}_1 \rightarrow N \lim. \mathfrak{S}_1$. Now, by induction, for each $j=1, 2, \dots$, we are going to construct a neighborhood M_j of X in M , covers \mathfrak{U}_j and \mathfrak{B}_j of \mathfrak{D} , open collections $\mathfrak{X}_j, \mathfrak{P}_j$ and \mathfrak{B}_j of N which cover Y , a neighborhood W_j of Y such that $\bar{W}_j \subset \cup \{W : W \in \mathfrak{B}_j\}$ and a map h_j of the nerve K_j of $\mathfrak{B}_j \cap \bar{W}_j$ into M_j , which satisfy the following conditions:

- (3.10) (i) \mathfrak{X}_j satisfies the condition (3.5) for $\mathfrak{B}_{j-1} \wedge \mathfrak{S}_j$ and $\mathfrak{X}_j > \mathfrak{B}_{j-1} \wedge \mathfrak{S}_j$.
- (ii) $\mathfrak{P}_j >^* \mathfrak{X}_j, \mathfrak{U}_j >^* f^{-1}\mathfrak{P}_j \wedge \mathfrak{B}_{j-1}$ and $\mathfrak{B}_j >^* \mathfrak{B}_{j-1} \wedge \mathfrak{P}_j$.
- (iii) $M_j = \cup \{U : U \in \mathfrak{U}_j\}$ and $\bar{M}_j \subset M_{j-1}$.
- (iv) For an arbitrary subset L of $Y, d(f^{-1}L, M - \cup \{U : U \cap f^{-1}(L) \neq \emptyset, U \in \mathfrak{U}_j\}) < 2^{j+1}$.
- (v) \mathfrak{B}_j is an (n, n) -refinement of \mathfrak{U}_j and $\mathfrak{B}_j >^* f^{-1}\mathfrak{B}_j$.
- (vi) \mathfrak{B}_j and $\mathfrak{B}_j \cap Y$ are similar, and $\text{ord } \mathfrak{B}_j \leq n+1$ and $f^{-1}(\mathfrak{B}_j \cap Y) >^* \mathfrak{U}_j$.
- (vii) $Y \subset W_j \subset \bar{W}_j \subset W_{j-1}$.
- (viii) Let $g_j = h_j, \phi_j: \bar{W}_j \rightarrow M_j$, where $\phi_j: \bar{W}_j \rightarrow K_j$ is a canonical map. For each element $W \in \mathfrak{B}_j \cap \bar{W}_j, g_j(W) \cap f^{-1}(W) \neq \emptyset$ and for each i and $j, 2 < i < j, g_i|_{\bar{W}_j} \cong g_j: \bar{W}_j \rightarrow M_{i-2} \lim. \mathfrak{U}_{i-2}$.
- (ix) $i_N|_{\bar{W}_j}$ and fg_j are \mathfrak{X}_j -close and hence $i_N|_{\bar{W}_j} \cong fg_j: \bar{W}_j \rightarrow N \lim. \mathfrak{S}_j$.
- (x) If $\dim X \leq n$ and $2 < j$, then there is a neighborhood U_j of X in M such that $\bar{U}_j \subset f^{-1}(W_j)$ and $i_M|_{\bar{U}_j} \cong g_j f|_{\bar{U}_j}: \bar{U}_j \rightarrow M_{j-2} \lim. \mathfrak{U}_{j-2}$, where i_M is the identity map of M .

We already constructed $\mathfrak{U}_j, \dots, h_j$ satisfying the conditions of (3.10) for $j=1$. Suppose that for each $j < k, k > 1, \mathfrak{U}_j, \dots, h_j$ satisfying the conditions of (3.10) are constructed. Let us construct $\mathfrak{U}_k, \dots, h_k$. By Lemma 4 there is a cover \mathfrak{X}_k of \mathfrak{D} satisfying (i) in (3.10). By the same argument as in the construction of \mathfrak{B}_1 and \mathfrak{U}_1 and by using Lemma 2 we can find open collections $\mathfrak{B}_k, \mathfrak{P}_k$ and \mathfrak{U}_k in N and M satisfying (ii), (iii), (iv), (v), (vi) and (vii) in (3.10) for $j=k$. Take an open neighborhood W_k of Y such that $\bar{W}_k \subset W_{k-1}$. Denote by K_k the nerve of $\mathfrak{B}_k \cap \bar{W}_k$ with weak topology. By a similar way to the construction of h_1 we can construct a map $h_k: K_k \rightarrow M_k$ such that $h_k(\sigma)$ is in some element of \mathfrak{U}_k for each simplex σ of K_k and $h_k \phi_k(W) \cap f^{-1}(W) \neq \emptyset$ for each $W \in \mathfrak{B}_k \cap \bar{W}_k$, where $M_k = \cup \{U : U \in \mathfrak{U}_k\}$ and $\phi_k: \bar{W}_k \rightarrow K_k$ is a canonical map. Let $2 < i < k$. Since \mathfrak{B}_k is a refinement of \mathfrak{B}_i , there is a projection

$\pi: K_k \rightarrow K_i$. Since for each $x \in \overline{W}_k$, $\pi\phi_k(x)$ and $\phi_i(x)$ are contained in some closed simplex of K_i , we know $h_i\pi\phi_k \cong h_i\phi_i|_{\overline{W}_k} \text{lim.}\mathfrak{U}_i$. Consider the maps h_k and $h_i\pi: K_k \rightarrow M_i$. By (ii), (iv), (v) and (vi), for each closed simplex σ of K_k there is a V of \mathfrak{B}_{i-1} containing $h_k(\sigma) \cup h_i\pi(\sigma)$. From Lemma 3 it follows that $h_k \cong h_i\pi \text{lim.}\mathfrak{U}_{i-1}$. Thus $h_i\phi_i|_{\overline{W}_k} \cong h_k\phi_k \text{lim.}\mathfrak{U}_{i-2}$ and (viii) of (3.10) holds for $j=k$. For each point $y' \in \overline{W}_k$, it is known by the construction of $\mathfrak{B}_k, \mathfrak{U}_k$ and g_k that there is a point $y \in Y$ such that the open star $\text{St}(y, \mathfrak{B}_k) = \cup \{P: P \in \mathfrak{B}_k, y \in P\}$ contains both the points y' and $fg_k(y')$. Thus $i_N|_{\overline{W}_k}$ and fg_k are \mathfrak{T}_k -close and hence (ix) is true for $j=k$. Finally, let $\dim X \leq n$. By using the same argument as in the construction of the map g_k , we can find an open collection \mathfrak{B}_k of M which covers X , an open neighborhood U_k of X in M such that $\overline{U}_k \subset \cup \{V: V \in \mathfrak{B}_k\}$, a map ξ_k from the nerve A_k of $\mathfrak{B}_k \cap \overline{U}_k$ into M satisfying the conditions:

- (3.11) (i) \mathfrak{B}_k and $\mathfrak{B}_k \cap X$ are similar, $\text{ord } \mathfrak{B}_k \leq n+1$, $\text{mesh } \mathfrak{B}_k < 2^{-k}$ and $\mathfrak{B}_k \stackrel{*}{>} f^{-1}\mathfrak{B}_k$.
 (ii) $i_M|_{\overline{U}_k} \cong \xi_k\phi_k: \overline{U}_k \rightarrow M \text{lim.}\mathfrak{U}_k$ and $g_k f \xi_k \phi_k \cong g_k f|_{\overline{U}_k} \text{lim.}\mathfrak{U}_k$, where $\phi_k: \overline{U}_k \rightarrow A_k$ is a canonical map.
 (iii) For each closed simplex σ of A_k there is a V of \mathfrak{B}_{k-1} containing both $\xi_k(\sigma)$ and $g_k f \xi_k(\sigma)$.

By Lemma 3 the condition (iv) means $\xi_k \cong g_k f \xi_k \text{lim.}\mathfrak{U}_{k-1}$. Thus $i_M|_{\overline{U}_k} \cong g_k f|_{\overline{U}_k}: \overline{U}_k \rightarrow M_{k-2} \text{lim.}\mathfrak{U}_{k-2}$ and hence (3.10) (x) holds for $j=k$. We proved that there exist $\mathfrak{U}_j, \dots, h_j$ for each $j=1, 2, \dots$ satisfying the conditions (i)–(x) in (3.10).

To complete the proof, for each $j, 3 < j$, consider the maps $g_j: \overline{W}_j \rightarrow M_j (\subset M_{j-3})$ and $g_{j-1}: \overline{W}_{j-1} \rightarrow M_{j-1} (\subset M_{j-3})$. Since $g_{j-1}|_{\overline{W}_j} \cong g_j: \overline{W}_j \rightarrow M_{j-3} \text{lim.}\mathfrak{U}_{j-3}$ by (3.10) (viii) and M_{j-3} is an ANR, by Lemma 5 there is a map $g_j^{j-1}: \overline{W}_{j-1} \rightarrow M_{j-3}$ such that $g_j^{j-1}|_{\overline{W}_j} = g_j, g_j^{j-1}|_{\overline{W}_{j-1}} = g_{j-1}$ and $g_{j-1} \cong g_j^{j-1} \text{lim.}\mathfrak{U}_{j-3}$. Define $\bar{g}_j: \overline{W}_3 \rightarrow M_1$ by $\bar{g}_j|_{\overline{W}_{i-1}} = W_i = g_i^{i-1}|_{\overline{W}_{i-1}} = W_i$ for each $i, 3 < i \leq j$. Note that, for each $i, \bar{g}_i|_{\overline{W}_i} \cong \bar{g}_{i+2}|_{\overline{W}_i} \text{lim } \text{St}(\mathfrak{U}_{i-2}, \mathfrak{U}_{i-1})$, where $\text{St}(\mathfrak{U}_{i-2}, \mathfrak{U}_{i-1}) = \{\text{St}(U, \mathfrak{U}_{i-1}): U \in \mathfrak{U}_{i-2}\}$. Hence, for each i and $j, 3 < i \leq j, \bar{g}_i|_{\overline{W}_i} \cong \bar{g}_j|_{\overline{W}_i} \text{lim.}\mathfrak{U}_{i-3}$. Extend $\bar{g}_j: \overline{W}_3 \rightarrow M_1, j=3, 4, \dots$, to a map of N into M and denote it by \bar{g}_j again. Let $\bar{g}_i, i=1, 2$, be an extension of g_i from N to M . Then the sequence $\{\bar{g}_k: k=1, 2, \dots\}$ of N into M satisfies the following conditions:

- (3.12) For each i and $j, 3 < i \leq j, \bar{g}_i|_{\overline{W}_i} \cong \bar{g}_j|_{\overline{W}_i} \text{lim.}\mathfrak{U}_{i-3}$.

It remains to prove that $\{f_k = f: k=1, 2, \dots\}$ and $\{\bar{g}_k: k=1, 2, \dots\}$ satisfy (2.2) and (2.4), and (2.3) in case $\dim X \leq n$. Let us prove that $\underline{g}'' = \{\bar{g}_k, Y-B, X-A\}_{N, M}$ is a W -sequence and the first relation of (2.4) $\underline{g}' f' \cong \underline{i}_{A, M}$ holds in case $\dim X \leq n$. The other parts are similarly proved. Let C be a compact set in $Y-B$. Put $D = f^{-1}(C)$. Then D is a compact set in $X-A$. We shall prove

that D is \underline{g}'' -assigned to C . Let G be an open neighborhood of D in M . Since $d(D, M-G) > 0$, by (3.10) (iv), there is an i such that $\text{St}(D, \mathfrak{U}_{i-4}) \subset G$. Put $H = \text{St}(D, \mathfrak{B}_i \cap \overline{W}_i)$. Then H is a neighborhood of D . From (3.12) it follows that for every j , $i \leq j$, $\underline{g}_i|_H \cong \underline{g}_j|_H$ in G . Thus \underline{g}'' is a W -sequence. To prove the first relation of (2.4), let C be a compact set of A . Put $D = f^{-1}f(C)$. We shall prove that D is $\underline{g}'\underline{f}'$ -assigned to C . Let G be an open neighborhood of D in M . Take an i such that $\text{St}(D, \mathfrak{U}_{i-5}) \subset G$ and put $H = \text{St}(D, \mathfrak{B}_i)$, where \mathfrak{B}_i is the open collection given in (3.11). Then for each j , $i \leq j$, $\underline{g}_j f|_H \cong i_M|_H$ in G , because $i_M|_H \cong \underline{g}_i f|_H \lim.\mathfrak{U}_{i-2}$ by (3.10) (x), $\underline{g}_i f|_H \cong \underline{g}_j f|_H \lim.\mathfrak{U}_{i-3}$ by (3.12) and hence $i_M|_H \cong \underline{g}_j f|_H \lim.\mathfrak{U}_{i-4}$ for each j , $i \leq j$. This completes the proof.

A simple example shows that we can not omit the perfectness of a map f in Theorem 2.

The shape of a set consisting of one point is said to be *trivial* and denoted by $\text{Sh}(1)$. As known by [4, 6, 8], a compactum is of *trivial shape* if and only if either X is an *FAR* in the sense of Borsuk [4] or there is an imbedding of X into the Hilbert cube Q whose image has a complete neighborhood system $\{X_k : k=1, 2, \dots\}$ in Q such that X_k is homeomorphic to Q .

The following corollary is a generalization of Borsuk [4, Theorem (6.1)].

COROLLARY 1. *An n -dimensional compactum X is of trivial shape if and only if X is approximatively k -connected for $k=0, 1, \dots, n$.*

For the proof, it is enough to assume in Theorem 2 that X is an n -dimensional compactum and Y is a point.

From Theorem 2 and Corollary 1 follows:

COROLLARY 2. *Let X and Y be finite dimensional metric spaces and let A and B be subsets of X and Y respectively. If there is a perfect map $f: (X, A) \rightarrow (Y, B)$ such that $f(X-A) = Y-B$ and $f(A) = B$ and $f^{-1}(y)$ is of trivial shape for each $y \in Y$, then $\text{Sh}_W(X) = \text{Sh}_W(Y)$ and $\text{Pos}(X, A) = \text{Pos}(Y, B)$.*

If X is compactum, then we have $\text{Sh}_W(X) = \text{Sh}(X)$. Hence, in case X is compact and $A = \emptyset$ in Corollary 2, we obtain the following theorem by Sher [10].

COROLLARY 3 (R. B. Sher). *If X and Y are finite dimensional compacta and f is a map of X onto Y such that $f^{-1}(y)$ is of trivial shape for each $y \in Y$, then $\text{Sh}(X) = \text{Sh}(Y)$.*

As seen in [10, Remark, p. 88], R. D. Anderson proved a strengthened version of Corollary 3 by weakening the hypothesis that X and Y are finite dimensional to the case in which X and Y are countable dimensional. It is open whether Corollary 2 is weakened in a similar form or not. The following is a generalization of Sher's theorem [10, Theorem 12].

COROLLARY 4. *Let (X, x_0) and (Y, y_0) be pointed metric spaces and let f be a perfect map of (X, x_0) onto (Y, y_0) . If $f^{-1}(y)$ is approximatively k -connected*

for each $y \in Y$ and $k=0, 1, \dots, n$, then the induced homomorphism $f_* : \underline{\pi}_k(X, x_0) \rightarrow \underline{\pi}_k(Y, y_0)$ is an isomorphism onto for $k=1, 2, \dots, n-1$, where $\underline{\pi}_*$ is the fundamental group defined by Borsuk [3, § 31].

Note that there are no assumptions for dimensionality of X and Y . The proof is given by a suitable modification of the proof of Theorem 2. For each $k=1, 2, \dots$, we construct $\mathfrak{U}_k, \dots, h_k$ satisfying (3.10) (i)–(vii) without regard to the order of collections \mathfrak{B}_k and we define h_k as a map from the n -skeleton K_k^n of K_k into M_k by the same way as in the proof of Theorem 2. If K is a finite polyhedron with $\dim K \leq n$ and $\eta : K \rightarrow \overline{W}_k$ is a map, then we can find a map $\xi : K \rightarrow K_k$ such that $f\xi$ and η are 2^{-k+1} -homotopic. In this case a map ξ is defined as a composition $h_k\xi'$ of maps $\xi' : K \rightarrow K_k^n$ and $h_k : K_k^n \rightarrow M_k$, where K_k^n is the n -skeleton of K_k . From this consideration it follows that the induced homomorphism $f_* : \underline{\pi}_i(X, x_0) \rightarrow \underline{\pi}_i(Y, y_0)$ is 1:1 for $i < n$. That f_* is onto is shown similarly.

For a compactum X , denote by $\square(X)$ the set of all components of X . We consider $\square(X)$ as the decomposition space of X . Then it is a compactum. As an application of Theorem 2 we obtain a simple proof of the following theorem by Borsuk [3, Theorem (8.1)].

COROLLARY 5 (K. Borsuk). *Let X and Y be compacta in the Hilbert cube Q . Then for every fundamental sequence $\underline{f} : X \rightarrow Y$ there is a unique (continuous) map $A_f : \square X \rightarrow \square Y$ such that for every component X_0 of X $\underline{f} : X_0 \rightarrow A_f(X_0)$ is a fundamental sequence. Moreover A_f depends only on the fundamental class \underline{f} and this dependence is covariant, that is, if $\underline{g} : Y \rightarrow Z$ is a fundamental sequence then $A_{g \circ \underline{f}} = A_g \circ A_f$.*

PROOF. Let $\pi_X : X \rightarrow \square X$ and $\pi_Y : Y \rightarrow \square Y$ be the decomposition maps. Since $\pi_X^{-1}(x)$ is a continuum for each $x \in \square X$, it is approximatively 0-connected. Since $\dim \square X = 0$, by Theorem 2 there is a fundamental sequence $\underline{h} : \square X \rightarrow X$ such that $\underline{\pi}_X \underline{h} \cong i_{\square X, Q}$, where $\underline{\pi}_X$ is the fundamental sequence generated by π_X . Consider $\underline{\pi}_Y \underline{f} \underline{h} : \square X \rightarrow \square Y$. By Lemma 1 $\underline{\pi}_Y \underline{f} \underline{h}$ is generated by a map $A_f : \square X \rightarrow \square Y$. Obviously A_f satisfies the corollary.

By the proof it is known that Corollary 5 holds in metric spaces X and Y for which the decomposition maps $\pi_X : X \rightarrow \square X$ and $\pi_Y : Y \rightarrow \square Y$ are perfect.

For a metric space X the weak fundamental dimension $Fd_w(X)$ is defined as the minimum of dimensions of all metric spaces Y with $\text{Sh}_w(Y) \geq \text{Sh}_w(X)$, that is, $Fd_w(X) = \text{Min} \{ \dim Y : \text{Sh}_w(Y) \geq \text{Sh}_w(X) \}$ (cf. [3, p. 31]). The following corollary follows from Theorem 2.

COROLLARY 6. *If f is a perfect map of a space X onto an n -dimensional space Y and $f^{-1}(y)$ is approximatively k -connected for each $y \in Y$ and $k=0, 1, \dots, n$, then $Fd_w(X) \geq Fd_w(Y)$. In addition, if $\dim X \leq n$, then $Fd_w(X) = Fd_w(Y)$.*

Let (X, x_0) and (Y, y_0) be pointed spaces. According to Borsuk [3, § 33],

by a *sum* of (X, x_0) and (Y, y_0) we mean a pointed space (Z, z_0) such that $Z = Z' \cup Z''$, where Z', Z'' are closed subsets of Z such that $Z' \cap Z'' = \{z_0\}$ and that there exist two homeomorphisms: $h' : (X, x_0) \rightarrow (Z', z_0)$ and $h'' : (Y, y_0) \rightarrow (Z'', z_0)$. We write $(Z, z_0) = (X, x_0) +_{\text{top}} (Y, y_0)$. By [3, Theorem (33.1)] it is known that the weak shape of the pointed space $(Z, z_0) = (X, x_0) +_{\text{top}} (Y, y_0)$ depends only on the weak shapes of pointed spaces (X, x_0) and (Y, y_0) . We write $\text{Sh}_W(Z, z_0) = \text{Sh}_W(X, x_0) + \text{Sh}_W(Y, y_0)$. A weak shape $\text{Sh}_W(X, x_0)$ is said to be *simple* if $\text{Sh}_W(X, x_0) = \text{Sh}_W(X', x'_0) + \text{Sh}_W(X'', x''_0)$ means either $\text{Sh}_W(X', x'_0)$ is trivial or $\text{Sh}_W(X', x'_0) = \text{Sh}_W(X, x_0)$.

COROLLARY 7. *Let (X, x_0) be a finite dimensional pointed space whose weak shape is simple. Suppose that there is a finite dimensional compactum N of X with trivial shape such that $X - N$ is a union of non-empty and disjoint open sets U and V and $x_0 \in N$. Then either $\text{Sh}_W(X - V, x_0)$ or $\text{Sh}_W(X - U, x_0)$ is trivial.*

PROOF. Denote the quotient spaces $X/N, X - V/N$ and $X - U/N$ by Y, Y_1 and Y_2 respectively. Let y_0 be the point of Y corresponding to N . Obviously Y is finite-dimensional. From the proof of Theorem 2 we can find that $\text{Sh}_W(X, x_0) = \text{Sh}_W(Y, y_0)$, $\text{Sh}_W(X - V, x_0) = \text{Sh}_W(Y_1, y_0)$ and $\text{Sh}_W(X - U, x_0) = \text{Sh}_W(Y_2, y_0)$. Since $\text{Sh}_W(Y, y_0) = \text{Sh}_W(Y_1, y_0) + \text{Sh}_W(Y_2, y_0)$, we have $\text{Sh}_W(X, x_0) = \text{Sh}_W(X - V, x_0) = \text{Sh}_W(X - U, x_0)$. This completes the proof.

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