

On ordinal diagrams

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G. Takeuti developed the theory of ordinal diagrams of order n (where n is a positive integer) in [2], and generalized it to the theory of ordinal diagrams constructed from well-ordered sets I , A , and S in [3]. It was necessary to consider S in order to prove the accessibility for $\text{Od}(I, A, S)$ (the system of ordinal diagrams constructed from I , A and S) given in [3]. But S did not serve to extend the system of ordinal diagrams. In fact, if we denote $\text{Od}(I, A, S)$ and $\text{O}(I, A, S)$ with empty S by $\text{Od}(I, A)$ and $\text{O}(I, A)$ respectively, we can embed $\text{Od}(I, A, S)$ (or $\text{O}(I, A, S)$) into $\text{Od}(\{*\} \cup I, A \cup S)$ (or $\text{O}(\{*\} \cup I, A \cup S)$), where $*$ is distinct from any element of I , A and S ; the notation $A \cup S$ means the well-ordered set obtained from A and S by keeping the orders in themselves and setting the elements of A before the elements of S . The embedding is defined as follows:

1. If $\alpha \in A$, then α^* is α .
2. If α is of the form (α_0, s) , then α^* is $(*, \alpha_0^*, s)$.
3. If α is of the form (i, α_1, α_2) , then α^* is $(i, \alpha_1^*, \alpha_2^*)$.
4. If α is of the form $\alpha_1 \# \alpha_2$, then α^* is $\alpha_1^* \# \alpha_2^*$.

Now we can simplify the proof of the accessibility of $\text{Od}(I, A, S)$ in a similar way as in § 2 of [2], whether S is empty or not (cf. § 2 of this paper). In this paper, we shall construct a system $\text{Od}(I)$, namely “the system of ordinal diagrams constructed from a well-ordered set I ” (in § 1), and prove that the system is well-ordered for the given orderings in a similar way as in [2] (in § 2). Then we shall show that the present system is a generalization of previous systems. In fact, $\text{Od}(I, A)$ is embedded into $\text{Od}(I \cup A)$ in § 3. By the way, we shall show that a formal theory of $\text{Od}(I, A)$ can be formalized in the system developed in [5] and is consistent.

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§ 1. Ordinal diagrams constructed from I .

Let I be a well-ordered set with the order $<^*$ and o be the first element of I . In this section, we shall construct a kind of system of ordinal diagrams, called *ordinal diagrams constructed from I* and denoted by $\text{Od}(I)$. Though

the word o. d. is used in [2] and in [3] to denote an element of ordinal diagrams developed there, we use it instead of 'an element of $\text{Od}(I)$ ' for simplification throughout this and the next sections.

1. $\text{Od}(I)$ is defined recursively as follows:
 - 1.1. If $i \in I$, then i is an o. d.
 - 1.2. If α and β are o. d.'s, then (α, β) is an o. d.
 - 1.3. If α and β are o. d.'s, then $\alpha \# \beta$ is an o. d.
2. An o. d. α is called a *c. o. d.* (connected ordinal diagram constructed from I), if and only if the operation used in the final step of construction of α is not $\#$.
3. Let α be an o. d. We define *components* of α recursively as follows:
 - 3.1. If α is a c. o. d., then α has exactly one component which is α itself.
 - 3.2. If α is an o. d. of the form $\alpha_1 \# \alpha_2$, then the components of α are the components of α_1 and of α_2 .
4. Let α and β be o. d.'s. We define $\alpha = \beta$ recursively as follows:
 - 4.1. Let $\alpha \in I$. Then $\alpha = \beta$, if β is an element of I and equal to α in I .
 - 4.2. Let α be of the form (α_0, α_1) . Then $\alpha = \beta$ if β is of the form (β_0, β_1) and $\alpha_0 = \beta_0$ and $\alpha_1 = \beta_1$.
 - 4.3. Let α have k components $\alpha_1, \dots, \alpha_k$ ($k > 1$). Then $\alpha = \beta$, if β has k -components, and β_1, \dots, β_k being these components, there exists a permutation (m_1, \dots, m_k) of $(1, \dots, k)$ such that $\alpha_n = \beta_{m_n}$ for $n = 1, \dots, k$.
 - 4.4. $\beta = \alpha$ if $\alpha = \beta$.
5. Let α be an o. d. The *rank* of α means the sum of the number of $(,)$ and $\#$ in α .
6. Let α, β and ξ be o. d.'s. We define the relations $\beta \sqsubset_{\xi} \alpha$ (to read: β is a ξ -section of α) and $\beta <_{\xi} \alpha$, $\beta <_{\infty} \alpha$ and 'index of α ' simultaneously as follows:
 - 6.1. If $\alpha, \beta \in I$, then $\beta <_{\xi} \alpha$ and $\beta <_{\infty} \alpha$ means $\beta <^* \alpha$.
 - 6.2. Let one (or both) of α and β be not a c. o. d., and the components of α and β be $\alpha_1, \dots, \alpha_h$ and β_1, \dots, β_k respectively. $\beta <_{\xi} \alpha$ holds if one of the following conditions is satisfied:
 - 6.2.1. There exists an α_m ($1 \leq m \leq h$) such that $\beta_n <_{\xi} \alpha_m$ holds for every n ($1 \leq n \leq k$).
 - 6.2.2. $h > 1$, $k = 1$ and $\beta_1 = \alpha_m$ for some m ($1 \leq m \leq h$).
 - 6.2.3. $h > 1$, $k > 1$ and there exist an α_m ($1 \leq m \leq h$) and a β_n ($1 \leq n \leq k$) such that $\alpha_m = \beta_n$ and

$$\beta_1 \# \dots \# \beta_{n-1} \# \beta_{n+1} \# \dots \# \beta_k <_{\xi} \alpha_1 \# \dots \# \alpha_{m-1} \# \alpha_{m+1} \# \dots \# \alpha_h.$$

$\beta <_{\infty} \alpha$ holds if one of 6.2.1-6.2.3 with ∞ in place of ξ is fulfilled.

- 6.3. If $\alpha \in I$, then $\beta \subset_{\xi} \alpha$ never holds.
 6.4. Let α be of the form (α_0, α_1) .
 6.4.1. If $\xi <_o \alpha_0$, then $\beta \subset_{\xi} \alpha$ if and only if $\beta \subset_{\xi} \alpha_1$.
 6.4.2. If $\xi = \alpha_0$, then $\beta \subset_{\xi} \alpha$ if and only if β is α_1 .
 6.4.3. If $\alpha_0 <_o \xi$, then $\beta \subset_{\xi} \alpha$ never holds.
 6.5. Let α be of the form $\alpha_1 \# \alpha_2$. Then $\beta \subset_{\xi} \alpha$ if and only if either $\beta \subset_{\xi} \alpha_1$ or $\beta \subset_{\xi} \alpha_2$ holds.

6.6. ξ is called an *index* of α , if α has a ξ -section.

In the following we shall simply say ' ξ is less (or greater) than η ' and ' ξ is the minimum (or maximum)' in place of ' ξ is less (or greater) than η in the sense of $<_o$ ' and ' ξ is the minimum (or maximum) in the sense of $<_o$ ', respectively.

6.7. Let α and β be c.o.d.'s. If there exists an index η of α and/or β such that $\xi <_o \eta$, then ξ^+ is defined to be the minimum of such indices; otherwise, ξ^+ is defined to be ∞ . Then $\beta <_{\xi} \alpha$, if and only if one of the following conditions is fulfilled:

6.7.1. There exists a ξ -section α_0 of α such that $\beta \leq_{\xi} \alpha_0$.

6.7.2. $\beta_0 <_{\xi} \alpha$ for every ξ -section β_0 of β and $\beta <_{\xi^+} \alpha$.

6.8. Let α and β be c.o.d.'s of the form (α_0, α_1) and (β_0, β_1) respectively. $\beta <_{\infty} \alpha$ if and only if one of the following conditions is fulfilled:

6.8.1. $\beta_0 <_o \alpha_0$.

6.8.2. $\beta_0 = \alpha_0$ and $\beta_1 <_{\alpha_0} \alpha_1$.

6.9. Let $\alpha \in I$ and β be a c.o.d. of the form (β_0, β_1) . $\alpha <_{\infty} \beta$ if $\alpha \leq_o \beta_0$, $\beta <_{\infty} \alpha$ if $\beta_0 <_o \alpha$.

Under these definitions the following propositions are easily proved.

PROPOSITION 1. $=$ is an equivalence relation between o.d.'s.

PROPOSITION 2. Let $\alpha_1, \alpha_2, \beta_1, \beta_2$ be o.d.'s. $\alpha_1 = \beta_1$ and $\alpha_2 = \beta_2$ imply $\alpha_1 \# \alpha_2 = \beta_1 \# \beta_2$.

PROPOSITION 3. Let $\alpha_1, \alpha_2, \beta_1, \beta_2$ be o.d.'s and γ be an o.d. or ∞ . Then $\alpha_1 = \beta_1$, $\alpha_2 = \beta_2$ and $\alpha_1 <_{\gamma} \alpha_2$ imply $\beta_1 <_{\gamma} \beta_2$.

PROPOSITION 4. Each of the relations $<_{\xi}$, where ξ is an o.d. or ∞ , defines a linear order between o.d.'s.

PROPOSITION 5. Let α and β be o.d.'s. Then $\beta <_{\xi} (\alpha, \beta)$ for every γ such that $\gamma \leq_o \alpha$.

§2. Accessibility of $\text{Od}(I)$.

Let S be a system with a linear order $<$. An element s of S is called 'accessible in S (or accessible for $<$)', if the subsystem of S consisting of elements, which are not greater than s in the sense of $<$, is well-ordered. S is called accessible, if the whole system is well-ordered by $<$.

1. Let α and β be o.d.'s. We define a relation $\beta \ll \alpha$ (to read; β is a *value* of α) as follows:

1.1. If $\alpha \in I$, then α has no value, that is, $\beta \ll \alpha$ never holds.

1.2. Let α be not a c.o.d. and have components $\alpha_1, \dots, \alpha_k$. Then $\beta \ll \alpha$, if $\beta \ll \alpha_m$ for some m ($1 \leq m \leq k$).

1.3. Let α be of the form (α_0, α_1) . Then $\beta \ll \alpha$, if β is α_0 or $\beta \ll \alpha_0$ or $\beta \ll \alpha_1$.

2. Let α and β be o.d.'s. β is called a (ξ_1, \dots, ξ_n) -*section* of α , if the following conditions are fulfilled:

2.1. $\xi_1 \leq_o \xi_2 \leq_o \dots \leq_o \xi_n$.

2.2. There exists a series of o.d.'s $\alpha = \alpha_0, \alpha_1, \dots, \alpha_n = \beta$ such that α_k is the maximal component of a ξ_k -section of α_{k-1} in the sense of $<_{\xi_k}$ for every k ($k=1, 2, \dots, n$).

3. Let ξ be an o.d. $\xi \# o$ is called the *successor* of ξ and sometimes denoted as ξ' . (It is clearly seen that no o.d. lies between ξ and $\xi \# o$ for $<_{\eta}$ where η is an o.d. or ∞). An o.d. ξ is called a *l.o.d.* (limit ordinal diagram constructed from I), if every component of ξ is different from o .

4. Let α be an o.d. and ξ be an o.d. accessible for $<_o$. We define ' α is a ξ -fan' and ' α is ξ -accessible' by transfinite induction on ξ for $<_o$ as follows:

4.1. An o.d., every value of which is accessible for $<_o$, is an o -fan.

4.2. α is ξ -accessible, if and only if α is a ξ -fan and accessible for $<_o$ in the system of ξ -fans.

4.3. α is $\xi \# o$ -fan, if and only if α is a ξ -fan and every ξ -section of α is ξ -accessible.

4.4. Let ξ be a l.o.d. α is a ξ -fan, if and only if α is an η -fan for every η satisfying $\eta <_o \xi$.

Let α be an o.d. α is called an ∞ -fan, if α is a ξ -fan for every o.d. ξ accessible for $<_o$, and is called to be ∞ -accessible, if α is an ∞ -fan and accessible for $<_{\infty}$ in the system of ∞ -fans.

The following propositions are easily proved.

PROPOSITION 1. Let α and ξ be o.d.'s. If every o.d. less than α in the sense of $<_{\xi}$ is accessible for $<_{\xi}$, then α is accessible for $<_{\xi}$.

PROPOSITION 2. Let α and ξ be o.d.'s. If α is accessible for $<_{\xi}$, then every o.d. less than α in the sense of $<_{\xi}$ is accessible for $<_{\xi}$.

PROPOSITION 3. Let $\alpha_1, \dots, \alpha_n$ and ξ be o.d.'s. If $\alpha_1, \dots, \alpha_n$ are accessible for $<_{\xi}$, then $\alpha_1 \# \dots \# \alpha_n$ is accessible for $<_{\xi}$.

These propositions remain correct, if we replace 'o.d. ξ ', 'o.d.'s $\alpha, \alpha_1, \dots, \alpha_n$ ' and 'accessible for $<_{\xi}$ ' by 'o.d. ξ accessible for $<_o$ ', ' ξ -fans $\alpha, \alpha_1, \dots, \alpha_n$ ' and ' ξ -accessible', respectively. We refer to thus replaced propositions as Propo-

sitions 1*-3*.

PROPOSITION 4. *Let ξ be an o.d. accessible for $<_o$. If α is $\xi\#_o$ -accessible, then α is ξ -accessible.*

PROOF. α is a ξ -fan by the definition. We may assume that every ξ' -fan β satisfying $\beta <_{\xi'} \alpha$ is ξ -accessible. We shall prove that every ξ -fan β such that $\beta <_{\xi} \alpha$ is ξ' -fan and ξ -accessible by induction on the rank of β . Let β be a ξ -fan such that $\beta <_{\xi} \alpha$. If β has a ξ -section β_0 , β_0 is a ξ -fan and $\beta_0 <_{\xi} \alpha$. Then β_0 is ξ -accessible by the hypothesis of induction. We see that β is a ξ' -fan, whether β has a ξ -section or not. Then one of the following conditions holds:

- (1) $\beta <_{\xi'} \alpha$.
- (2) There exists a ξ -section α_0 of α such that $\beta \leq_{\xi} \alpha_0$.

In the former case, β is ξ -accessible by our assumption. In the latter case, since α_0 is ξ -accessible, ξ -accessibility of β follows from Proposition 1*, q. e. d.

PROPOSITION 5. *Let ξ be a l.o.d. accessible for $<_o$, and the following condition (C) be satisfied:*

(C) *For any η, ζ such that $\eta <_o \zeta <_o \xi$, every ζ -accessible ξ -fan is η -accessible. Then ' α is ξ -accessible' implies ' α is η -accessible' for every η less than ξ .*

PROOF. Let the condition (C) be satisfied and α be ξ -accessible. Let ξ_0 be the successor of the greatest index less than ξ . We have only to prove that α is η -accessible for every η such that $\xi_0 \leq_o \eta \leq_o \xi$. We shall prove this by transfinite induction for $<_{\xi}$ on α . We may assume that every ξ -fan such that $\beta <_{\xi} \alpha$ is ζ -accessible for every ζ less than ξ . For the proof we define an auxiliary notion ' γ is the n -th η -branch of β with respect to ζ_0 and ζ_1 ' recursively as follows:

5.1. If $\zeta_0 \leq_o \eta <_o \zeta_1$ and $\gamma \subset_{\eta} \beta$, γ is the 1st η -branch of β with respect to ζ_0 and ζ_1 .

5.2. Let $\gamma \subset_{\eta} \delta$ and δ be the n -th ζ -branch of β with respect to ζ_0 and ζ_1 . If $\zeta_0 \leq_o \eta <_o \zeta$, then γ is the n -th η -branch of β . If $\zeta \leq_o \eta <_o \zeta_1$ then γ is the $n+1$ -st η -branch of β with respect to ζ_0 and ζ_1 .

Let η satisfy $\xi_0 \leq_o \eta <_o \xi$, and β be an η -fan and $\beta <_{\eta} \alpha$. We shall prove that β is a ξ -fan and ζ -accessible of every ζ such that $\xi_0 \leq_o \zeta <_o \xi$ by induction on the number of branches of β with respect to ξ_0 and ξ . Let β_0 be an arbitrary ζ_0 -branch of β ($\xi_0 \leq_o \zeta_0 <_o \xi$). Using the hypothesis of induction, we see that β_0 is a ξ -fan. $\beta_0 <_{\xi} \alpha$ holds by means of $\beta <_{\eta} \alpha$. Then β_0 is ζ_0 -accessible by the hypothesis of transfinite induction for $<_{\xi}$. Thus we may consider β as a ξ -fan. $\beta <_{\xi} \alpha$ holds by means of $\beta <_{\eta} \alpha$. Then β is ζ -accessible for every ζ less than ξ by the hypothesis of transfinite induction. From this our proposition follows by Proposition 1*. q. e. d.

By Propositions 4 and 5, we see easily

PROPOSITION 6. *Let ξ be an o.d. accessible for $<_o$ and the condition (C) hold. Then for every η less than ξ , ' α is ξ -accessible' implies ' α is η -accessible'.*

PROPOSITION 7. *The condition (C) holds for an arbitrary o.d. ξ accessible for $<_o$.*

PROOF. We prove this by transfinite induction on ξ . Suppose now the proposition holds for every ξ_0 less than ξ . If ξ is a l.o.d., our assertion is clear by the definition of ξ -fan. If $\xi = \zeta_0 \#_o$, our assertion holds for ζ less than ζ_0 by the hypothesis of induction and for $\zeta = \zeta_0$ by Proposition 6.

From Propositions 6 and 7 follows

PROPOSITION 8. *Let ξ be an o.d. accessible for $<_o$, α be ξ -accessible and $\eta <_o \xi$. Then α is η -accessible.*

From Proposition 8 follows

PROPOSITION 9. *For any o.d.'s η, ζ accessible for $<_o$ and $\eta <_o \zeta$ every ζ -accessible ∞ -fan is η -accessible.*

PROPOSITION 10. *If α is ∞ -accessible, then α is ξ -accessible for every o.d. ξ accessible for $<_o$.*

PROOF. Following the proof of Proposition 5, we can prove this by the help of Proposition 9.

By transfinite induction over I , we have

PROPOSITION 11. *Every ∞ -fan is ∞ -accessible.*

From Propositions 10 and 11, we see easily

PROPOSITION 12. *Every ∞ -fan is ξ -accessible for every ξ accessible for $<_o$.*

PROPOSITION 13. *Every o-fan is ξ -accessible where ξ is an arbitrary o.d. accessible for $<_o$ or ξ is ∞ .*

We see easily the following proposition.

PROPOSITION 14. *Let α and β be c.o.d.'s and ξ an o.d. If $\alpha <_\xi \beta$, then $\alpha <_\infty \beta$ or there exists a (ξ_1, \dots, ξ_n) -section β_0 of β such that $\xi \leq_o \xi_1$ and $\alpha \leq_\infty \beta_0$.*

Then we have

PROPOSITION 15. *Every value of an o.d. α is less than α .*

PROPOSITION 16. *Let α be an o.d. and not an o-fan. Then there exists an o-fan β such that $\beta <_o \alpha$ and β is not accessible for $<_o$.*

PROOF. We prove this by induction on the rank of α . By the hypothesis of the proposition, there exists a value α_0 of α not accessible for $<_o$. We have $\alpha_0 <_o \alpha$ by Proposition 15. If α_0 is an o-fan, we can take α_0 as β . If α_0 is not an o-fan, there exists an o-fan β such that $\beta <_o \alpha_0$ and β is not accessible for $<_o$ by the hypothesis of induction. Then β has the required property.

q. e. d.

PROPOSITION 17. *Every o-fan is accessible for $<_o$.*

PROOF. We prove this by transfinite induction for $<_o$ on the system of o-fans (cf. Proposition 13). Let α be an o-fan. We may assume that every

o -fan β less than α is accessible for $<_o$. Under this hypothesis and Proposition 16, we see easily that, if $\gamma <_o \alpha$ then γ is an o -fan. Then we have the proposition by Proposition 1.

PROPOSITION 18. *Every o.d. is an o-fan.*

PROPOSITION 19. *Every o.d. is accessible for $<_o$.*

THEOREM. *Every o.d. is accessible for $<_\xi$, where ξ is an arbitrary o.d. or ∞ .*

PROOF. It follows from Propositions 18, 19 and 13.

§ 3. **Relations between $\text{Od}(I, A)$ and $\text{Od}(I)$.**

In this section we shall show that $\text{Od}(I, I)$ is embedded into $\text{Od}(J)$, where J is a union of two sets isomorphic to I .

1. Let I be well-ordered, $<$ be the well-ordering of I , and the first element of I be denoted by o .

We define \tilde{I} to be a set consisting of all the i and \tilde{i} where $i \in I$. $\tilde{<}$ is a well-ordering of \tilde{I} , which is defined as follows:

- 1.1. If $i < j$, then $i \tilde{<} j$.
- 1.2. If $i \in I$ and $j \in I$, then $i \tilde{<} \tilde{j}$.
- 1.3. If $i < j$, then $\tilde{i} \tilde{<} \tilde{j}$.

2. In the following some notations (e. g. $\#, \infty$) are used in both $\text{Od}(I, I)$ and $\text{Od}(\tilde{I})$.

Let α be an element of $\text{Od}(I, I)$. α^* is defined recursively as follows:

- 2.1. If $\alpha \in I$, then α^* is $\tilde{\alpha}$.
- 2.2. If α is of the form (i, α_0, α_1) , then α^* is $(\alpha_0^*, (i, \alpha_1^*))$.
- 2.3. If α is of the form $\alpha_1 \# \alpha_2$, then α^* is $\alpha_1^* \# \alpha_2^*$.

We see easily the following propositions.

PROPOSITION 1. *If α is an element of $\text{Od}(I, I)$, then α^* is an element of $\text{Od}(\tilde{I})$.*

PROPOSITION 2. *Let α and β be elements of $\text{Od}(I, I)$, $\alpha^* = \beta^*$ if and only if $\alpha = \beta$*

PROPOSITION 3. *If i and α belong to I and $\text{Od}(I, I)$ respectively, then $i <_\xi \alpha^*$ where ξ is an arbitrary element of $\text{Od}(\tilde{I})$ or ∞ .*

PROOF. We prove this by induction on the rank of α . If $\alpha \in I$, then it is clear by 1.2. If α is of the form (j, α_1, α_2) then α^* is $(\alpha_1^*, (j, \alpha_2^*))$. By the hypothesis of induction $i <_o \alpha_1^*$, whence follows $i <_\infty \alpha^*$. Then $i <_\xi \alpha^*$ for every $\xi \geq_o \alpha_1^*$. Since α^* contains no ξ -section such that $j <_o \xi <_o \alpha_1^*$, this implies $i <_\xi \alpha^*$ for $j <_o \xi <_o \alpha_1^*$. Since $i <_j \alpha_2^*$ holds by the hypothesis of induction, $i <_j \alpha^*$ holds. From this we see easily the proposition.

PROPOSITION 4. *Let α and β be elements of $\text{Od}(I, I)$ and $i \in I$. β^* is an i -section of α^* , if and only if β is an i -section of α .*

PROOF. We see easily the proposition by induction on the rank of α and Proposition 3.

PROPOSITION 5. *Let α and β be elements of $\text{Od}(I, I)$. If $\alpha <_i \beta$, then $\alpha^* <_i \beta^*$ where $i \in I$ or i is ∞ .*

PROOF. We shall prove this by double induction on the sum of ranks of α and β and the number of indices greater than i in α and/or β .

First we shall prove the case $i = \infty$. We have only to prove $\alpha <_\infty \beta$ implies $\alpha^* <_\infty \beta^*$ under the following hypothesis of induction :

(H1) Let γ and δ be any elements of $\text{Od}(I, I)$, and the sum of the ranks of γ, δ be less than the sum of the ranks of α and β . Then $\gamma <_j \delta$ implies $\gamma^* <_j \delta^*$ where $j \in I$ or j is ∞ .

To show this we separate the cases according to the forms of α and β . Since other cases are easily treated, we treat here only the case that α and β are of the form (i, α_0, α_1) and (j, β_0, β_1) respectively. If $\alpha_0 <_o \beta_0$, then $\alpha_0^* <_o \beta_0^*$ by (H1), which implies $\alpha^* <_\infty \beta^*$. If $\alpha_0 = \beta_0$, then we have only to prove $(i, \alpha_1^*) <_{\alpha_0^*} (j, \beta_1^*)$ (by Proposition 2), which follows from $(i, \alpha_1^*) <_\infty (j, \beta_1^*)$ (by Proposition 3). $(i, \alpha_1^*) <_\infty (j, \beta_1^*)$ follows from $i < j$, or $i = j$ and $\alpha_1^* <_i \beta_1^*$ according as $i < j$, or $i = j$ and $\alpha_1 <_i \beta_1$.

Then we prove that $\alpha <_i \beta$ implies $\alpha^* <_i \beta^*$ for $i \in I$ under (H1) and the following hypothesis of induction :

(H2) $\alpha <_j \beta$ implies $\alpha^* <_j \beta^*$ for every j such that the number of indices greater than j in α and/or β is less than the number of indices greater than i in α and/or β .

If there exists an i -section β_0 of β such that $\alpha \leq_i \beta_0$, then β_0^* is an i -section of β^* and $\alpha^* \leq_i \beta_0^*$ by Proposition 4 and (H1). Let $\alpha_0 <_i \beta$ for every i -section α_0 of α and $\alpha <_j \beta$ where j is defined as follows: If there exists an index of α and/or β greater than i , then j is defined to be the minimum of such indices; otherwise, j is defined to be ∞ . Then $\alpha_0^* <_i \beta^*$ for every i -section α_0^* of α^* and $\alpha^* <_j \beta^*$ by Proposition 4 and (H2). From this follows $\alpha^* <_i \beta^*$ by Proposition 4.

From these propositions follows

THEOREM 1. *$\text{Od}(I, I)$ is embedded into $\text{Od}(\tilde{I})$.*

We define a subsystem $O(I)$ of $\text{Od}(I)$ recursively as follows:

- 3.1. If $i \in I$ then $i \in O(I)$.
- 3.2. If $i \in I$ and $\alpha \in O(I)$, then $(i, \alpha) \in O(I)$.
- 3.3. If $\alpha \in O(I)$ and $\beta \in O(I)$, then $\alpha \# \beta \in O(I)$.

Then we have

COROLLARY 1. *$O(I, I)$ is embedded into $O(\tilde{I})$.*

Let I and A be well-ordered. We have the following theorem in the same way as above.

THEOREM 2. *If I and A have no element in common, $\text{Od}(I, A)$ is embedded into $\text{Od}(I \cup A)$.*

COROLLARY 2. *If I and A have no element in common, $\text{O}(I, A)$ is embedded into $\text{O}(I \cup A)$.*

§ 4. On a formal theory of $\text{Od}(I, A)$.

In [5], G. Takeuti proved the consistency of a logical system. We shall consider the following slight modification of this system: Let $I(a)$, $A(a)$, $a <^* b$ and $a \dot{<} b$ be primitive recursive predicates, and $<^*$ and $\dot{<}$ well-orderings of I and A , where I and A are $\{a | I(a)\}$ and $\{a | A(a)\}$ respectively.

1. Every beginning sequence is of the form $D \rightarrow D$ or of the form $a = b$, $F(a) \rightarrow F(b)$ or a 'mathematische Grundsequenz' in Gentzen [1], or one of the following forms:

$$\begin{aligned} I(a), A_m(a, b) &\rightarrow G_m(a, b, \{x, y\}(A_m(x, y) \wedge x <^* a)); \\ I(a), G_m(a, b, \{x, y\}(A_m(x, y) \wedge x <^* a)) &\rightarrow A_m(a, b); \\ A(a), B_n(a, b) &\rightarrow H_n(a, b, \{x, y\}(B_n(x, y) \wedge x \dot{<} a)); \\ A(a), H_n(a, b, \{x, y\}(B_n(x, y) \wedge x \dot{<} a)) &\rightarrow B_n(a, b); \end{aligned}$$

where $m, n = 0, 1, 2, \dots$, A_0, A_1, \dots , B_0, B_1, \dots are symbols for predicate and G_m and H_n are arbitrary formulas satisfying the following conditions:

(a) $G_m(a, b, \alpha)$ and $H_n(a, b, \alpha)$ do not contain $A_m, A_{m+1}, A_{m+2}, \dots, B_0, B_1, B_2, \dots$ and $B_n, B_{n+1}, B_{n+2}, \dots$ respectively.

(b) If $G_m(a, b, \alpha)$ or $H_n(a, b, \alpha)$ contains a formula of the form $\forall \varphi F(\varphi)$, then $F(\beta)$ contains no bound f -variable.

2. The following inference 'induction' is added:

$$\frac{F(a), \Gamma \rightarrow \Delta, F(a+1)}{F(0), \Gamma \rightarrow \Delta, F(t)}$$

where a is contained in none of $F(0)$, Γ and Δ , and t is an arbitrary term.

3. The inference \forall left on f -variable

$$\frac{F(V), \Gamma \rightarrow \Delta}{\forall \varphi F(\varphi), \Gamma \rightarrow \Delta}$$

is restricted by the condition that $F(\beta)$ contains no bound f -variable.

Then we have the following

THEOREM. *This system is consistent.*

PROOF. Let J be $I \cup A$, $<$ be a well-ordering of J defined as follows:

1. If $i <^* j$, then $i < j$.
2. If $i \in I$ and $a \in A$, then $i < a$.
3. If $a \dot{<} b$, then $a < b$.

Then the proof is performed as in [5] considering J as I .

We see easily from the proof of §2, that the proof for accessibility of $\text{Od}(I, A)$ can be given in a similar way as in §2 of [2]. We can develop a formal theory of $\text{Od}(I, A)$ in a subsystem of the above system such that $m=0, 1$ and $n=0$. It is noticed that for the consistency-proof for this subsystem, we have only to use $\{\infty\} \cup J_0 \cup J_1$ instead of J_∞ . We shall not give an exact treatment of the formal theory here, but show how to develop it. First we give all the necessary concepts concerning the construction of $\text{Od}(I, A)$ as the mathematische Grundsequenzen in the same way as in [4]. Let $I(a), A(a), a <^* b, a \dot{<} b, O(a), <(i, a, b), \sqsubset(i, a, b)$ and $\ll(a, b)$ be the formal counterparts of ‘ $a \in I$ ’, ‘ $a \in A$ ’, ‘ a is less than b in I ’, ‘ a is less than b in A ’, ‘ $a \in \text{Od}(I, A)$ ’, ‘ $a <_i b$ ’, ‘ $a \sqsubset_i b$ ’ and ‘ $a \ll b$ ’, respectively. We use further the following abbreviations:

$$\begin{aligned}
 J^*(a) & \text{ for } \forall \varphi (\forall x (I(x) \wedge \forall y (y <^* x \vdash \varphi[y]) \vdash \varphi[x]) \vdash \varphi[a]); \\
 D^*(a, \alpha) & \text{ for } \forall x (x <^* a \vdash \alpha[x]) \vdash J^*(a); \\
 \check{J}(a) & \text{ for } \forall \varphi (\forall x (A(x) \wedge \forall y (y \dot{<} x \vdash \varphi[y]) \vdash \varphi[x]) \vdash \varphi[a]); \\
 \dot{D}(a, \alpha) & \text{ for } \forall x (x \dot{<} a \vdash \alpha[x]) \vdash \check{J}(a); \\
 A(i, \alpha, a) & \text{ for } \forall \varphi (\forall x (\alpha[x] \wedge \forall y (\alpha[y] \wedge <(i; y, x) \vdash \varphi[y]) \vdash \varphi[x]) \vdash \varphi[a]); \\
 A(i, a) & \text{ for } A(i, \{x\} O(x), a); \\
 \tilde{O}(a) & \text{ for } O(a) \wedge \forall x (\ll(x, a) \vdash A(1, x)), \text{ where } 1 \text{ stands for the formal} \\
 & \text{counterpart of the first element of } I; \\
 B(i, a, \alpha) & \text{ for} \\
 I(i) \wedge \tilde{O}(a) \wedge \forall x (x <^* i \vdash \alpha[x, a] \wedge \forall y (\sqsubset(x; y, a) \vdash A(x, \{u\} \alpha[x, u], y))); \\
 \tilde{I}(i) & \text{ for } I(i) \wedge i = 0, \text{ where } 0 \text{ stands for the formal counterpart of } \infty.
 \end{aligned}$$

Then the following sequences are also used as beginning sequences of our system:

- 1.1. $I(i), C^*(i) \rightarrow D^*(i, \{x\} (C^*(x) \wedge x <^* i))$.
- 1.2. $I(i), D^*(i, \{x\} (C^*(x) \wedge x <^* i)) \rightarrow C^*(i)$.
- 1.3. $A(a), \check{C}(a) \rightarrow \dot{D}(a, \{x\} (\check{C}(x) \wedge x \dot{<} a))$.
- 1.4. $A(a), \dot{D}(a, \{x\} (\check{C}(x) \wedge x \dot{<} a)) \rightarrow \check{C}(a)$.
- 1.5. $I(i), F(i, a) \rightarrow B(i, a, \{x, y\} (F(x, y) \wedge x <^* i))$.
- 1.6. $I(i), B(i, a, \{x, y\} (F(x, y) \wedge x <^* i)) \rightarrow F(i, a)$.

We can prove that the sequence $O(a), \tilde{I}(i) \rightarrow A(i, a)$ is provable in our system. This is done similarly as in [4], using the above proof of accessibility.

References

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