

## On the theory of ordinal numbers, II.

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In his former paper [2], the author formalized a system of axioms for the theory of ordinal numbers, which will be denoted by  $\Gamma_0$  in this paper. He proved in [2] that the consistency of the set theory follows from that of  $\Gamma_0$ . In this paper the proof for its converse is given, namely we shall show that  $\Gamma_0$  is consistent, if the set theory is consistent.

For this purpose we shall give three formalizations  $\Gamma_1, \Gamma_2$  and  $\Gamma_3$  of set theory. Among them  $\Gamma_1$  is the 'weakest' and the  $\Gamma_3$  the 'strongest' one, and Gödel's axiom system  $A, B, C, D$  and  $E$  lies between  $\Gamma_1$  and  $\Gamma_2$ .

More precisely, the system  $\Gamma_1$  is the system obtained from Gödel's axiom system  $A, B, C, D$  and  $E$  by replacing any class variable by a well formed formula of Gentzen's  $LK$  (cf. [6]). The system  $\Gamma_2$  is obtained again from Gödel's system by replacing any class variable by a variable for formulas. However, in  $\Gamma_2$  we use the logic  $HLC$  [3], which means the predicate logic of the second order and the first level. The system  $\Gamma_3$  is so constructed that it contains enough axioms for our purpose to reduce  $\Gamma_0$  to  $\Gamma_3$ . In  $\Gamma_3$  we use the logic  $FLC$  [2], which means the logic without variables for formulas but with bound variables for functions of any order.

We first prove the consistency of  $\Gamma_2$  under the assumption of the consistency of  $\Gamma_1$  (§2 of Chapter I). Then we show that  $\Gamma_3$  is consistent, if  $\Gamma_2$  is consistent (§3 of Chapter I). Finally, by using the restriction theory in the author's paper [4], we construct a model for  $\Gamma_0$  in the set theory  $\Gamma_3$  (§2 of Chapter II). Consequently the consistency of the ordinal number theory  $\Gamma_0$  is proved, provided that the set theory  $\Gamma_1$  is consistent (§2 of Chapter II).

### Chapter I. Three formalizations of set theory.

#### §1. The first formalization.

We give the first formalization of set theory by the following axioms  $\Gamma_1$  in  $LK$ .

- 1.1.  $\forall x \forall y (\forall z (z \in x \rightarrow z \in y) \rightarrow x = y)$
- 1.2.  $\forall x \forall y \forall z (z \in \{x, y\} \rightarrow x = z \vee y = z)$
- 1.3.  $\forall x \forall y (y \in U(x) \rightarrow \exists z (y \in z \wedge z \in x))$

1.4.  $\forall x \neg(x \in 0)$

1.5.  $0 \in \omega$

1.6.  $\forall x(x \in \omega \rightarrow x \cup \{x\} \in \omega)$

where  $\{a\}$  means  $\{a, a\}$  and  $a \cup b$  means  $U(\{a, b\})$ .

1.7.  $\forall x(\forall y(y \in x \rightarrow y \cup \{y\} \in x) \wedge 0 \in x \rightarrow \omega \subset x)$

where  $a \subset b$  means  $\forall x(x \in a \rightarrow x \in b)$ .

1.8.  $\forall x \forall y(y \in P(x) \rightarrow y \subset x)$

1.9.  $\forall x(\exists y(y \in x) \rightarrow h(x) \in x)$

1.10.  $\forall x \forall y(x = y \rightarrow h(x) = h(y))$

1.11.  $\forall \mathfrak{A} \forall x(\forall u \forall v \forall w(\mathfrak{A}(u, v) \wedge \mathfrak{A}(u, w) \rightarrow v = w) \rightarrow \exists y \forall z(z \in y \rightarrow \exists u(u \in x \wedge \mathfrak{A}(u, z))))$

(For the meaning of  $\forall \mathfrak{A}$ , see [3], § 1.)

1.12.  $\forall \mathfrak{A}(\exists x \mathfrak{A}(x) \rightarrow \exists x(\mathfrak{A}(x) \wedge \forall y \neg(y \in x \wedge \mathfrak{A}(y))))$

In these axioms the symbols or notations  $\in$ ,  $=$ ,  $\{a, b\}$ ,  $U(a)$ ,  $0$ ,  $\omega$  and  $P(a)$  correspond to  $\varepsilon$ ,  $=$ ,  $\{ab\}$ ,  $S(a)$  (the sum of  $a$ ),  $0$ ,  $\omega$ , and  $P(a)$  (the power class of  $a$ ) in Gödel's [1], and  $h(a)$  means the function of choice. 1.7, 1.9 with 1.10, 1.11, and 1.12 are the axioms corresponding to Group C, Axiom 1 (the axiom of infinity); Axiom *E* (the axiom of choice); Group C, Axiom 4 (the axiom of replacement); and Axiom *D* in Gödel's [1], respectively.

By Gödel [1], *M* 1, we see easily that if *A, B, C, D* and *E* in Gödel [1] are consistent, or if the set theory constructed under  $\Gamma_0$  in [2] is consistent, then  $\Gamma_1$  is consistent. In this sense  $\Gamma_1$  may be considered as the weakest formalization of set theory.

$\tilde{\Gamma}_1$  is the axiom system consisting of, besides those of  $\Gamma_1$ , the following axioms.

2.1.  $\forall x \forall y \forall z(x = y \rightarrow (abz(x, z) \rightarrow abz(y, z)))$

2.2.  $\forall x \forall y \forall z(y = z \rightarrow (abz(x, y) \rightarrow abz(x, z)))$

2.3.  $\forall x \exists y(y \in \omega \wedge abz(x, y))$

2.4.  $\forall x \forall y \forall z(abz(x, z) \wedge abz(y, z) \rightarrow x = y)$

where we restrict the notation  $\forall \mathfrak{A}$  in  $\tilde{\Gamma}_1$  only to the case that  $\mathfrak{A}$  has no predicate *abz* (cf. [5]).

## § 2. The second formalization.

In our former paper [3], § 1, we formalized the logic calculus *HLC*. The second formalization  $\Gamma_2$  of set theory is given by the system of the following axioms in *HLC*.

1.1–1.9 and

1.13.  $\forall \varphi \forall x \forall y(x = y \rightarrow (\varphi[x] \rightarrow \varphi[y]))$

1.14.  $\forall \varphi \forall x(\forall u \forall v \forall w(\varphi[u, v] \wedge \varphi[u, w] \rightarrow v = w) \rightarrow \exists y \forall z(z \in y \rightarrow \exists u(u \in x \wedge \varphi[u, z])))$

1.15.  $\forall \varphi(\exists x \varphi[x] \rightarrow \exists x(\varphi[x] \wedge \forall y \neg(y \in x \wedge \varphi[y])))$

1.14 and 1.15 are obtained from 1.11 and 1.12 respectively by replacing  $\mathfrak{A}$  by  $\varphi$  (cf. [3]).

$\tilde{\Gamma}_2$  is the axiom system consisting of the axioms of  $\Gamma_2$ , and 2.1–2.4. In case of  $\tilde{\Gamma}_2$ , we restrict the inference-figure  $\forall$  left on  $f$ -variable on *HLC* only to the case that in the variety  $V$  in the following inference

$$\frac{F(V), \Gamma \rightarrow \mathcal{A}}{\forall \varphi F(\varphi), \Gamma \rightarrow \mathcal{A}}$$

occurs neither  $\forall \varphi$ , nor  $\exists \varphi$ , nor the predicate *abz*.

Then by [3], §1, we have the following proposition.

**PROPOSITION 1.** *If  $\Gamma_1$  is consistent, then  $\Gamma_2$  is consistent. Moreover, if  $\tilde{\Gamma}_1$  is consistent, then  $\tilde{\Gamma}_2$  is consistent.*

### §3. The third formalization.

In our former paper [2], we defined the logical system *FLC*. We denote now by  $f, g, \dots$  free and bound functions if no confusion is to be feared. The third formalization  $\Gamma_3$  of set theory is given by the system of the following axioms in *FLC*.

1.1–1.8 and

- 1.16.  $\forall f \forall x \forall y (x=y \vdash f(x)=f(y))$
- 1.17.  $\forall f \forall x \exists y \forall z (z \in y \vdash \exists u (u \in x \wedge z=f(u)))$
- 1.18.  $\forall f (\exists x (f(x)=0) \vdash \exists x (f(x)=0 \wedge \forall y \neg (y \in x \wedge f(y)=0)))$
- 1.19.  $\exists f \forall x (\exists y (y \in x) \vdash f(x) \in x)$
- 1.20.  $\forall x (B(x)=0 \vdash \exists y \exists z (x = \langle y, z \rangle \wedge y \in z))$

where  $\langle a, b \rangle$  means  $\{a, \{a, b\}\}$ .

- 1.21.  $\forall x (B(x)=0 \vee B(x)=1)$

where 1 means  $\{0\}$ .

- 1.22.  $\forall f \forall g \forall x (\text{Int}(f, g, x)=0 \vdash f(x)=0 \wedge g(x)=0)$
- 1.23.  $\forall f \forall g \forall x (\text{Int}(f, g, x)=0 \vee \text{Int}(f, g, x)=1)$
- 1.24.  $\forall f \forall x (G(f, x)=0 \vdash \exists y \exists z (x = \langle y, z \rangle \wedge z=f(y)))$
- 1.25.  $\forall f \forall x (G(f, x)=0 \vee G(f, x)=1)$
- 1.26.  $\forall f \forall x (C(f, x)=0 \vdash \neg f(x)=0)$
- 1.27.  $\forall f \forall x (C(f, x)=0 \vee C(f, x)=1)$
- 1.28.  $\forall f \forall x (D(f, x)=0 \vdash \exists y (f(\langle x, y \rangle)=0))$
- 1.29.  $\forall f \forall x (D(f, x)=0 \vee D(f, x)=1)$
- 1.30.  $\forall f \forall x (V(f, x)=0 \vdash \exists y \exists z (x = \langle y, z \rangle \wedge f(y)=0))$
- 1.31.  $\forall f \forall x (V(f, x)=0 \vee V(f, x)=1)$
- 1.32.  $\forall f \forall x (\text{Inv}(f, x)=0 \vdash \exists y \exists z (x = \langle y, z \rangle \wedge f(\langle z, y \rangle)=0))$
- 1.33.  $\forall f \forall x (\text{Inv}(f, x)=0 \vee \text{Inv}(f, x)=1)$
- 1.34.  $\forall f \forall x (\text{Cnv}_2(f, x)=0 \vdash \exists u \exists v \exists w (x = \langle u, v \rangle \wedge f(\langle v, w, u \rangle)=0))$

- 1.35.  $\forall f \forall x (\text{Cnv}_2(f, x) = 0 \vee \text{Cnv}_2(f, x) = 1)$   
 1.36.  $\forall f \forall x (\text{Cnv}_3(f, x) = 0 \rightarrow \exists u \exists v \exists w (x = \langle u, v, w \rangle \wedge f(\langle u, w, v \rangle) = 0))$   
 1.37.  $\forall f \forall x (\text{Cnv}_3(f, x) = 0 \vee \text{Cnv}_3(f, x) = 1)$   
 1.38.  $\forall f (\forall x \exists y (f(\langle x, y \rangle) = 0) \wedge \forall x \forall y \forall z (f(\langle x, y \rangle) = 0 \wedge f(\langle x, z \rangle) = 0 \rightarrow y = z) \rightarrow \forall x (f(\langle x, \text{Fon}(f, x) \rangle) = 0))$   
 1.39.  $\forall f (\neg (\forall x \exists y (f(\langle x, y \rangle) = 0) \wedge \forall x \forall y \forall z (f(\langle x, y \rangle) = 0 \wedge f(\langle x, z \rangle) = 0 \rightarrow y = z)) \rightarrow \forall x (\text{Fon}(f, x) = 0))$   
 1.40.  $\forall f \forall g \forall x (\text{K}(f, g, x) = 0 \rightarrow \exists y \exists z (x = \langle y, z \rangle \wedge f(y) \in g(z)))$   
 1.41.  $\forall f \forall g \forall x (\text{K}(f, g, x) = 0 \vee \text{K}(f, g, x) = 1)$   
 1.42.  $\forall f \forall x (\text{L}(f, x) = 0 \rightarrow \exists u \exists v \exists w (x = \langle u, v, w \rangle \wedge u \in f(v, w)))$   
 1.43.  $\forall f \forall x (\text{L}(f, x) = 0 \vee \text{L}(f, x) = 1)$

To characterize the set theory by the help of the notion  $\forall f$  instead of  $\forall \varphi$ , we have introduced here B, Int, C, Inv, etc., which mean the characteristic functions of E,  $\cdot$ ,  $\bar{\phantom{x}}$ , Cnv etc. in Gödel's [1].

$\tilde{\Gamma}_3$  is the axiom system consisting of the axioms of  $\Gamma_3$ , and 2.1–2.4.

In the rest of this section we shall prove the following proposition:

**PROPOSITION 2.** *If  $\Gamma_2$  is consistent, then  $\Gamma_3$  is consistent. Moreover, if  $\tilde{\Gamma}_2$  is consistent, then  $\tilde{\Gamma}_3$  is consistent.*

First we define \*-operation which transforms a formula in *FLC* to a formula in *HLC*, as in our former paper [3], §2. \*-operation is defined recursively as follows.

$$\begin{aligned} a^* & \text{ is } \{x\}(x=a). \\ (t_1 \in t_2)^* & \text{ is } \forall x \forall y (t_1^*(x) \wedge t_2^*(y) \rightarrow x \in y). \end{aligned}$$

(Remark. Since  $a=b$  is considered as  $\forall x (x \in a \rightarrow x \in b)$ ,  $(t_1 = t_2)^*$  is defined as  $(\forall x (x \in t_1 \rightarrow x \in t_2))^*$ .)

$$\begin{aligned} (\text{abz}(t_1, t_2))^* & \text{ is } \forall x \forall y (t_1^*(x) \wedge t_2^*(y) \rightarrow \text{abz}(x, y)). \\ (f(t_1, \dots, t_n))^*(a) & \text{ is } \forall x_1 \dots \forall x_n (t_1^*(x_1) \wedge \dots \wedge t_n^*(x_n) \rightarrow \bar{f}[x_1, \dots, x_n, a]), \end{aligned}$$

where  $\bar{f}[^*_{n+1}]$  is considered as free variable of type  $[0, \dots, 0]$ .

$$\begin{aligned} (\{t_1, t_2\})^*(a) & \text{ is } \forall x \forall y (t_1^*(x) \wedge t_2^*(y) \rightarrow a = \{x, y\}). \\ (\text{U}(t))^*(a) & \text{ is } \forall x (t^*(x) \rightarrow a = \text{U}(x)). \\ (\text{P}(t))^*(a) & \text{ is } \forall x (t^*(x) \rightarrow a = \text{P}(x)). \\ (\text{B}(t))^*(a) & \text{ is } \forall x (t^*(x) \rightarrow (\exists y \exists z (x = \langle y, z \rangle \wedge y \in z) \rightarrow a = 0) \\ & \quad \wedge (\neg \exists y \exists z (x = \langle y, z \rangle \wedge y \in z) \rightarrow a = 1)). \\ (\text{Int}(f, g, t))^*(a) & \text{ is } \forall x (t^*(x) \rightarrow (\bar{f}[x, 0] \wedge \bar{g}[x, 0] \rightarrow a = 0) \\ & \quad \wedge (\neg (\bar{f}[x, 0] \wedge \bar{g}[x, 0]) \rightarrow a = 1)). \\ (\text{G}(f, t))^*(a) & \text{ is } \forall x (t^*(x) \rightarrow (\exists y \exists z (x = \langle y, z \rangle \wedge \bar{f}[y, z]) \rightarrow a = 0) \\ & \quad \wedge (\neg \exists y \exists z (x = \langle y, z \rangle \wedge \bar{f}[y, z]) \rightarrow a = 1)). \\ (\text{C}(f, t))^*(a) & \text{ is } \forall x (t^*(x) \rightarrow (\neg \bar{f}[x, 0] \rightarrow a = 0) \wedge (\bar{f}[x, 0] \rightarrow a = 1)). \\ (\text{D}(f, t))^*(a) & \text{ is } \forall x (t^*(x) \rightarrow (\exists y \bar{f}[\langle x, y \rangle, 0] \rightarrow a = 0) \\ & \quad \wedge (\neg \exists y \bar{f}[\langle x, y \rangle, 0] \rightarrow a = 1)). \end{aligned}$$

$$\begin{aligned}
(\forall(f, t))^*(a) & \text{ is } \forall x(t^*(x) \vdash (\exists y \exists z (x = \langle y, z \rangle \wedge \bar{f}[y, 0]) \vdash a = 0) \\
& \quad \wedge (\neg \exists y \exists z (x = \langle y, z \rangle \wedge \bar{f}[y, 0]) \vdash a = 1)). \\
(\text{Inv}(f, t))^*(a) & \text{ is } \forall x(t^*(x) \vdash (\exists y \exists z (x = \langle y, z \rangle \wedge \bar{f}[\langle z, y \rangle, 0]) \vdash a = 0) \\
& \quad \wedge (\neg \exists y \exists z (x = \langle y, z \rangle \wedge \bar{f}[\langle z, y \rangle, 0]) \vdash a = 1)). \\
(\text{Cnv}_2(f, t))^*(a) & \text{ is } \\
& \quad \forall x(t^*(x) \vdash (\exists u \exists v \exists w (x = \langle u, v, w \rangle \wedge \bar{f}[\langle v, w, u \rangle, 0]) \vdash a = 0) \\
& \quad \wedge (\neg \exists u \exists v \exists w (x = \langle u, v, w \rangle \wedge \bar{f}[\langle v, w, u \rangle, 0]) \vdash a = 1)). \\
(\text{Cnv}_3(f, t))^*(a) & \text{ is } \\
& \quad \forall x(t^*(x) \vdash (\exists u \exists v \exists w (x = \langle u, v, w \rangle \wedge \bar{f}[\langle u, w, v \rangle, 0]) \vdash a = 0) \\
& \quad \wedge (\neg \exists u \exists v \exists w (x = \langle u, v, w \rangle \wedge \bar{f}[\langle u, w, v \rangle, 0]) \vdash a = 1)). \\
(\text{Fon}(f, t))^*(a) & \text{ is } \\
& \quad \forall x(t^*(x) \vdash (\forall y \exists z (\bar{f}[\langle y, z \rangle, 0]) \\
& \quad \wedge \forall u \forall v \forall w (\bar{f}[\langle u, v \rangle, 0] \wedge \bar{f}[\langle u, w \rangle, 0] \vdash v = w) \vdash \bar{f}[\langle x, a \rangle, 0]) \\
& \quad \wedge (\neg \forall y \exists z (\bar{f}[\langle y, z \rangle, 0]) \\
& \quad \wedge \forall u \forall v \forall w (\bar{f}[\langle u, v \rangle, 0] \wedge \bar{f}[\langle u, w \rangle, 0] \vdash v = w) \vdash a = 0))). \\
(\text{K}(f, g, t))^*(a) & \text{ is } \\
& \quad \forall x(t^*(x) \vdash (\exists y \exists z \exists u \exists v (x = \langle y, z \rangle \wedge \bar{f}[y, u] \wedge \bar{g}[z, v] \wedge u \in v) \vdash a = 0) \\
& \quad \wedge (\neg \exists y \exists z \exists u \exists v (x = \langle y, z \rangle \wedge \bar{f}[y, u] \wedge \bar{g}[z, v] \wedge u \in v) \vdash a = 1)). \\
(\text{L}(f, t))^*(a) & \text{ is } \\
& \quad \forall x(t^*(x) \vdash (\exists y \exists z \exists u \exists v (\langle y, z, u \rangle = x \wedge \bar{f}[y, z, v] \wedge u \in v) \vdash a = 0) \\
& \quad \wedge (\neg \exists y \exists z \exists u \exists v (\langle y, z, u \rangle = x \wedge \bar{f}[y, z, v] \wedge u \in v) \vdash a = 1)). \\
(\{x\}t(x))^* & \text{ is } \{x, y\}(t(x))^*(y). \\
(\{x, y\}t(x, y))^* & \text{ is } \{x, y, z\}(t(x, y))^*(z). \\
t^* & \text{ is } \{x\}t^*(x). \\
(\forall x A(x))^* & \text{ is } \forall x (A(x))^*,
\end{aligned}$$

which is sometimes denoted by  $\forall x A^*(x)$ .

$$(A \wedge B)^* \text{ is } A^* \wedge B^*.$$

$$(\neg A)^* \text{ is } \neg A^*.$$

$$(\forall f A(f))^* \text{ is } \forall \bar{f} (\text{un}(\bar{f}) \vdash (A(f))^*),$$

where  $\text{un}(\bar{f})$  is defined as

$$\forall x \exists y (\bar{f}[x, y]) \wedge \forall x \forall y \forall z (\bar{f}[x, y] \wedge \bar{f}[x, z] \vdash y = z)$$

if the type of  $f$  is (0), and as

$$\forall x \forall y \exists z (\bar{f}[x, y, z]) \wedge \forall x \forall y \forall u \forall v (\bar{f}[x, y, u] \wedge \bar{f}[x, y, v] \vdash u = v)$$

if the type of  $f$  is (0, 0) (cf. [1]), and  $(A(f))^*$  is sometimes denoted by  $A^*(f)$ .

Now let  $A$  be a formula or a term in  $FLC$ .  $A$  is called *regular*, if and only if  $A$  satisfies the following conditions: Every special function or predicate contained in  $A$  is contained in  $\tilde{T}_3$ ; If  $A$  has a free function  $f$ , then  $f$  is of the type (0) or (0, 0). A functional  $\{x\}t(x)$  is called *regular*, if and only if  $t(a)$  is regular. Then we have the following propositions.

PROPOSITION 3. *Let  $t$  and  $T(a)$  be regular terms and all the free functions*

contained in them be  $f_1, f_2, \dots$ . Then the following sequences are provable.

$$\begin{aligned} \Gamma_2, \text{un}(\bar{f}_1), \text{un}(\bar{f}_2), \dots, t^*(a), t^*(b) &\rightarrow a=b \\ \Gamma_2, \text{un}(\bar{f}_1), \text{un}(\bar{f}_2), \dots &\rightarrow \exists x(t^*(x)) \\ \Gamma_2, \text{un}(\bar{f}_1), \text{un}(\bar{f}_2), \dots &\rightarrow \text{un}(\{\{x\}T(x)\}^*) \\ \Gamma_2, \text{un}(\bar{f}_1), \text{un}(\bar{f}_2), \dots &\rightarrow \text{un}(\{\{x, y\}T(x, y)\}^*). \end{aligned}$$

PROOF. We prove the proposition in the same way as in [3], §2. In case of a newly introduced special function, we denote the result of  $t^*(x)$  in the \*-operation by  $F(x, a)$ . Then we see easily  $c=d \rightarrow F(c, a) \vdash F(d, a)$  and  $F(c, a), F(c, b) \rightarrow a=b$ . So we can treat this also as in [3].

The following propositions 4–6 are also proved in the same way as in [3], §2.

PROPOSITION 4. Let  $A(t)$  and  $T(t)$  be a regular formula and a regular term respectively, and all the free functions contained in them be  $f_1, f_2, \dots$ . Then the following sequences are provable.

$$\begin{aligned} \Gamma_2, \text{un}(\bar{f}_1), \text{un}(\bar{f}_2), \dots &\rightarrow (A(t))^* \vdash \forall x(t^*(x) \vdash A^*(x)) \\ \Gamma_2, \text{un}(\bar{f}_1), \text{un}(\bar{f}_2), \dots &\rightarrow (T(t))^*(a) \vdash \forall x(t^*(x) \vdash (T(x))^*(a)). \end{aligned}$$

PROPOSITION 5. Let  $A(\{x\}t(x))$ ,  $A(\{x, y\}t(x, y))$ ,  $T(\{x\}t(x))$  and  $T(\{x, y\}t(x, y))$  be regular formulas and terms, and all the free functions contained in them be  $f_1, f_2, \dots$ . Then the following sequences are provable:

$$\begin{aligned} \Gamma_2, \text{un}(\bar{f}_1), \text{un}(\bar{f}_2), \dots &\rightarrow (A(\{x\}t(x)))^* \vdash A^*(f) \left( \frac{(\{x\}t(x))^*}{\bar{f}} \right) \\ \Gamma_2, \text{un}(\bar{f}_1), \text{un}(\bar{f}_2), \dots &\rightarrow (A(\{x, y\}t(x, y)))^* \vdash A^*(f) \left( \frac{(\{x, y\}t(x, y))^*}{\bar{f}} \right) \\ \Gamma_2, \text{un}(\bar{f}_1), \text{un}(\bar{f}_2), \dots &\rightarrow (T(\{x\}t(x)))^*(a) \vdash (T(f))^*(a) \left( \frac{(\{x\}t(x))^*}{\bar{f}} \right) \\ \Gamma_2, \text{un}(\bar{f}_1), \text{un}(\bar{f}_2), \dots &\rightarrow (T(\{x, y\}t(x, y)))^*(a) \vdash (T(f))^*(a) \left( \frac{(\{x, y\}t(x, y))^*}{\bar{f}} \right) \end{aligned}$$

where  $B\left(\frac{V}{\alpha}\right)$  denotes the formula obtained from the formula  $B$  by substituting the variety  $V$  whose type is same as that of  $\alpha$ , for the free  $f$ -variable  $\alpha$  in all the places in  $B$  where  $\alpha$  occurs. The precise definition is given in [4], §5.

PROPOSITION 6. Let  $\Gamma \rightarrow \Delta$  be provable in FLC and every formula in  $\Gamma$  and  $\Delta$  be regular, and the free functions contained in  $\Gamma$  and  $\Delta$  be  $f_1, f_2, \dots$ . Then the following sequence is provable in HLC:

$$\Gamma_2, \text{un}(\bar{f}_1), \text{un}(\bar{f}_2), \dots, \Gamma^* \rightarrow \Delta^*.$$

PROPOSITION 7. Let  $A$  be an arbitrary axiom of  $\Gamma_3$ . Then  $\Gamma_2 \rightarrow A^*$  is provable. Moreover, let  $A$  be an arbitrary axiom of  $\tilde{\Gamma}_3$ . Then  $\tilde{\Gamma}_2 \rightarrow A^*$  is provable.

PROOF. First we give some lemmas. Let a formula  $B$  and terms  $t, t_1$  and  $t_2$  contain no free function and consist of the predicates and the special

functions contained in  $\Gamma_2$  or  $\tilde{\Gamma}_2$ , respectively. Then we see easily the following lemmas.

LEMMA 1. *Under the above condition, the following sequences are provable:*

$$\begin{array}{l} \Gamma_2 \rightarrow B^* \vdash B \\ \Gamma_2 \rightarrow t^*(a) \vdash a=t, \\ \text{or} \quad \tilde{\Gamma}_2 \rightarrow B^* \vdash B \\ \tilde{\Gamma}_2 \rightarrow t^*(a) \vdash a=t, \end{array}$$

respectively.

LEMMA 2. *Under the above condition, we have the following sequences:*

$$\begin{array}{l} \Gamma_2, \text{un}(\bar{f}) \rightarrow (f(t)=a)^* \vdash \bar{f}[t, a] \\ \Gamma_2, \text{un}(\bar{f}) \rightarrow (f(t_1, t_2)=a)^* \vdash \bar{f}[t_1, t_2, a], \\ \text{or} \quad \tilde{\Gamma}_2, \text{un}(\bar{f}) \rightarrow (f(t)=a)^* \vdash \bar{f}[t, a] \\ \tilde{\Gamma}_2, \text{un}(\bar{f}) \rightarrow (f(t_1, t_2)=a)^* \vdash \bar{f}[t_1, t_2, a], \end{array}$$

respectively.

LEMMA 3. *Under the above condition, we have the following sequences:*

$$\begin{array}{l} \Gamma_2, \text{un}(\bar{f}) \rightarrow (f(t))^*(a) \vdash \bar{f}[t, a] \\ \Gamma_2, \text{un}(\bar{f}) \rightarrow (f(t_1, t_2))^*(a) \vdash \bar{f}[t_1, t_2, a], \\ \text{or} \quad \tilde{\Gamma}_2, \text{un}(\bar{f}) \rightarrow (f(t))^*(a) \vdash \bar{f}[t, a] \\ \tilde{\Gamma}_2, \text{un}(\bar{f}) \rightarrow (f(t_1, t_2))^*(a) \vdash \bar{f}[t_1, t_2, a], \end{array}$$

respectively.

The part of the proposition 7 containing the axioms which occur also in  $\Gamma_2$  or  $\tilde{\Gamma}_2$  is evident by means of the lemmas. To prove the remaining part, we use the following abbreviations:

$$\begin{array}{l} F(B)(a, b) \quad \text{for } (\exists x \exists y (a = \langle x, y \rangle \wedge x \in y) \vdash b = 0) \\ \quad \quad \quad \wedge (\neg \exists x \exists y (a = \langle x, y \rangle \wedge x \in y) \vdash b = 1), \\ F(\text{Int})(f, g, a, b) \text{ for } (f(a) = 0 \wedge g(a) = 0 \vdash b = 0) \wedge (\neg (f(a) = 0 \wedge g(a) = 0) \vdash b = 1), \\ F(G)(f, a, b) \quad \text{for } (\exists x \exists y (a = \langle x, y \rangle \wedge f(x) = y) \vdash b = 0) \\ \quad \quad \quad \wedge (\neg \exists x \exists y (a = \langle x, y \rangle \wedge f(x) = y) \vdash b = 1), \\ F(C)(f, a, b) \quad \text{for } (\neg f(a) = 0 \vdash b = 0) \wedge (f(a) = 0 \vdash b = 1), \\ F(D)(f, a, b) \quad \text{for } (\exists x (f(\langle a, x \rangle) = 0) \vdash b = 0) \wedge (\neg \exists x (f(\langle a, x \rangle) = 0) \vdash b = 1), \\ F(\text{Inv})(f, a, b) \text{ for } (\exists x \exists y (a = \langle x, y \rangle \wedge f(\langle y, x \rangle) = 0) \vdash b = 0) \\ \quad \quad \quad \wedge (\neg \exists x \exists y (a = \langle x, y \rangle \wedge f(\langle y, x \rangle) = 0) \vdash b = 1), \\ F(\text{Cnv}_2)(f, a, b) \text{ for } (\exists x \exists y \exists z (a = \langle x, y, z \rangle \wedge f(\langle y, z, x \rangle) = 0) \vdash b = 0) \\ \quad \quad \quad \wedge (\neg \exists x \exists y \exists z (a = \langle x, y, z \rangle \wedge f(\langle y, z, x \rangle) = 0) \vdash b = 1), \\ F(\text{Cnv}_3)(f, a, b) \text{ for } (\exists x \exists y \exists z (a = \langle x, y, z \rangle \wedge f(\langle x, z, y \rangle) = 0) \vdash b = 0) \\ \quad \quad \quad \wedge (\neg \exists x \exists y \exists z (a = \langle x, y, z \rangle \wedge f(\langle x, z, y \rangle) = 0) \vdash b = 1), \\ F(\text{Fon})(f, a, b) \text{ for } \\ \quad (\forall x \exists y (f(\langle x, y \rangle) = 0) \wedge \forall x \forall y \forall z (f(\langle x, y \rangle) = 0 \wedge f(\langle x, z \rangle) = 0 \vdash y = z) \\ \quad \quad \quad \vdash f(\langle a, b \rangle) = 0) \\ \wedge (\neg (\forall x \exists y (f(\langle x, y \rangle) = 0) \wedge \forall x \forall y \forall z (f(\langle x, y \rangle) = 0 \wedge f(\langle x, z \rangle) = 0 \vdash y = z)) \\ \quad \quad \quad \vdash b = 0), \end{array}$$

$$F(K)(f, g, a, b) \text{ for } (\exists x \exists y \exists u \exists v (a = \langle x, y \rangle \wedge f(x) = u \wedge g(y) = v \wedge u \in v) \vdash b = 0) \\ \wedge (\neg \exists x \exists y \exists u \exists v (a = \langle x, y \rangle \wedge f(x) = u \wedge g(y) = v \wedge u \in v) \vdash b = 1),$$

and

$$F(L)(f, a, b) \text{ for } (\exists x \exists y \exists z \exists u (a = \langle x, y, z \rangle \wedge f(x, y) = u \wedge z \in u) \vdash b = 0) \\ \wedge (\neg \exists x \exists y \exists z \exists u (a = \langle x, y, z \rangle \wedge f(x, y) = u \wedge z \in u) \vdash b = 1).$$

We shall show now that  $(F(B)(a, B(a)))^*$ ,  $(F(\text{Int})(f, g, a, \text{Int}(f, g, a)))^*$ ,  $(F(G)(f, a, G(f, a)))^*$ ,  $(F(C)(f, a, C(f, a)))^*$ ,  $(F(D)(f, a, D(f, a)))^*$ ,  $(F(V)(f, a, V(f, a)))^*$ ,  $(F(\text{Inv})(f, a, \text{Inv}(f, a)))^*$ ,  $(F(\text{Cnv}_2)(f, a, \text{Cnv}_2(f, a)))^*$ ,  $(F(\text{Cnv}_3)(f, a, \text{Cnv}_3(f, a)))^*$ ,  $(F(\text{Fon})(f, a, \text{Fon}(f, a)))^*$ ,  $(F(K)(f, g, a, K(f, g, a)))^*$ , and  $(F(L)(f, a, L(f, a)))^*$  are provable under  $\Gamma_2$ , provided that  $\text{un}(\bar{f})$  and  $\text{un}(\bar{g})$  hold. Then the proposition 7 will follow immediately. Since every case can be treated similarly, we show here only the case of  $(F(K)(f, g, a, K(f, g, a)))^*$ . We have the following formula under  $\Gamma_2$ , provided that  $\text{un}(\bar{f})$  and  $\text{un}(\bar{g})$  hold:

$$(F(K)(f, g, a, K(f, g, a)))^* \\ \vdash ((\exists x \exists y \exists u \exists v (a = \langle x, y \rangle \wedge f(x) = u \wedge g(y) = v \wedge u \in v) \vdash K(f, g, a) = 0) \\ \wedge (\neg \exists x \exists y \exists u \exists v (a = \langle x, y \rangle \wedge f(x) = u \wedge g(y) = v \wedge u \in v) \vdash K(f, g, a) = 1))^* \\ \vdash \forall z ((K(f, g, a))^*(z) \vdash ((\exists x \exists y \exists u \exists v (a = \langle x, y \rangle \wedge f(x) = u \wedge g(y) = v \wedge u \in v \vdash z = 0) \\ \wedge (\neg \exists x \exists y \exists u \exists v (a = \langle x, y \rangle \wedge f(x) = u \wedge g(y) = v \wedge u \in v \vdash z = 1))^*))$$

(by Proposition 4).

We have only to show

$$\Gamma_2, \text{un}(\bar{f}), \text{un}(\bar{g}), (K(f, g, a))^*(b) \\ \rightarrow ((\exists x \exists y \exists u \exists v (a = \langle x, y \rangle \wedge f(x) = u \wedge g(y) = v \wedge u \in v) \vdash b = 0) \\ \wedge (\neg \exists x \exists y \exists u \exists v (a = \langle x, y \rangle \wedge f(x) = u \wedge g(y) = v \wedge u \in v) \vdash b = 1))^*.$$

By using the lemmas, we see the right side of the sequence is equivalent to

$$(\exists x \exists y \exists u \exists v (a = \langle x, y \rangle \wedge \bar{f}[x, u] \wedge \bar{g}[y, v] \wedge u \in v) \vdash b = 0) \\ \wedge (\neg \exists x \exists y \exists u \exists v (a = \langle x, y \rangle \wedge \bar{f}[x, u] \wedge \bar{g}[y, v] \wedge u \in v) \vdash b = 1),$$

which is  $(K(f, g, a))^*(b)$ .

q. e. d.

Proposition 2 follows now easily from Propositions 6 and 7.

## Chapter II. The consistency of the theory of ordinal numbers derived from that of $\Gamma_3$ .

### §1. Metatheorems on $\Gamma_3$ .

A formula or a term in *FLC* will be called *strictly regular*, if and only if every special function and predicate contained in it are contained in  $\Gamma_3$ , and every free and bound function contained in it is of type (0).

**PROPOSITION 8.** *Let  $A(f_1, \dots, f_n, a_1, \dots, a_m)$  be a strictly regular formula and have no free functions and variables other than  $f_1, \dots, f_n, a_1, \dots, a_m$  explicitly indicated in  $A(f_1, \dots, f_n, a_1, \dots, a_m)$ . Then there exists a regular formula  $B(f_1, \dots, f_n, a_1, \dots, a_m)$  containing neither special function, nor predicate other than  $\in$ , nor free*



functions and variables other than  $f_1, \dots, f_n, a_1, \dots, a_m$  explicitly indicated in  $B(f_1, \dots, f_n, a_1, \dots, a_m)$ , such that the following sequence is provable:

$$\Gamma_3 \rightarrow A(f_1, \dots, f_n, a_1, \dots, a_m) \vdash B(f_1, \dots, f_n, a_1, \dots, a_m).$$

PROOF. Since  $t_1=t_2$  may be substituted by  $\forall x(x \in t_1 \vdash x \in t_2)$ , we may assume that  $A(f_1, \dots, f_n, a_1, \dots, a_m)$  has no predicate other than  $\in$ . Clearly we have only to prove the proposition for the form  $t_1 \in t_2$  in  $A(f_1, \dots, f_n, a_1, \dots, a_m)$ . (Here we treat the bound functions and variables contained in  $t_1$  and  $t_2$  as free ones.) Then the proposition is proved by the usual method by the successive application of the following substitutions:

$$\begin{array}{ll} t_1=t_2 \vee t_1=t_3 & \text{for } t_1 \in \{t_2, t_3\}, \\ \exists x(\forall y(y \in x \vdash y=t_2 \vee y=t_3) \wedge x \in t_1) & \text{for } \{t_2, t_3\} \in t_1, \\ \exists x(x=t_2 \wedge t_1 \in f(x)) & \text{for } t_1 \in f(t_2) \\ \text{where } t_2 \text{ contains special functions,} & \\ \exists x(x=t_1 \wedge f(x) \in t_2) & \text{for } f(t_1) \in t_2 \\ \text{where } t_1 \text{ contains special functions,} & \\ \exists x(t_1 \in x \wedge x \in t_2) & \text{for } t_1 \in U(t_2), \\ \exists x(\forall y(y \in x \vdash \exists z(y \in z \wedge z \in t_1)) \wedge x \in t_2) & \text{for } U(t_1) \in t_2, \\ t_1 \subset t_2 & \text{for } t_1 \in P(t_2), \\ \exists x(\forall y(y \in x \vdash y \subset t_1) \wedge x \in t_2) & \text{for } P(t_1) \in t_2, \\ (\neg \exists x \exists y(t_2 = \langle x, y \rangle \wedge x \in y)) \wedge t_1 = 0 & \text{for } t_1 \in B(t_2), \\ (\exists x \exists y(t_1 = \langle x, y \rangle \wedge x \in y) \vdash 0 \in t_2) \wedge (\neg \exists x \exists y(t_1 = \langle x, y \rangle \wedge x \in y) \vdash 1 \in t_2) & \text{for } B(t_1) \in t_2, \\ t_1 = 0 \wedge \neg(T_1(t) = 0 \wedge T_2(t) = 0) & \text{for } t_1 \in \text{Int}(\{x\}T_1(x), \{x\}T_2(x), t), \\ (T_1(t) = 0 \wedge T_2(t) = 0 \wedge 0 \in t_1) \vee (\neg(T_1(t) = 0 \wedge T_2(t) = 0) \wedge 1 \in t_1) & \text{for } \text{Int}(\{x\}T_1(x), \{x\}T_2(x), t) \in t_1, \\ \neg \exists x \exists y(t = \langle x, y \rangle \wedge y = T(x)) \wedge t_1 = 0 & \text{for } t_1 \in G(\{x\}T(x), t), \\ (\exists x \exists y(t = \langle x, y \rangle \wedge y = T(x)) \wedge 0 \in t_1) \vee (\neg \exists x \exists y(t = \langle x, y \rangle \wedge y = T(x)) \wedge 1 \in t_1) & \text{for } G(\{x\}T(x), t) \in t_1, \\ t_1 = 0 \wedge T(t) = 0 & \text{for } t_1 \in C(\{x\}T(x), t), \\ (\neg(T(t) = 0) \wedge 0 \in t_1) \vee (T(t) = 0 \wedge 1 \in t_1) & \text{for } C(\{x\}T(x), t) \in t_1, \\ \neg \exists x(T(\langle t, x \rangle) = 0) \wedge t_1 = 0 & \text{for } t_1 \in D(\{x\}T(x), t), \\ (\exists x(T(\langle t, x \rangle) = 0) \wedge 0 \in t_1) \vee (\neg \exists x(T(\langle t, x \rangle) = 0) \wedge 1 \in t_1) & \text{for } D(\{x\}T(x), t) \in t_1, \\ \neg \exists x \exists y(t = \langle x, y \rangle \wedge T(x) = 0) \wedge t_1 = 0 & \text{for } t_1 \in V(\{x\}T(x), t), \\ (\exists x \exists y(t = \langle x, y \rangle \wedge T(x) = 0) \wedge 0 \in t_1) \vee (\neg \exists x \exists y(t = \langle x, y \rangle \wedge T(x) = 0) \wedge 1 \in t_1) & \text{for } V(\{x\}T(x), t) \in t_1, \\ \neg \exists x \exists y(t = \langle x, y \rangle \wedge T(\langle y, x \rangle) = 0) \wedge t_1 = 0 & \text{for } t_1 \in \text{Inv}(\{x\}T(x), t), \\ (\exists x \exists y(t = \langle x, y \rangle \wedge T(\langle y, x \rangle) = 0) \wedge 0 \in t_1) \vee & \\ (\neg \exists x \exists y(t = \langle x, y \rangle \wedge T(\langle y, x \rangle) = 0) \wedge 1 \in t_1) & \text{for } \text{Inv}(\{x\}T(x), t) \in t_1, \\ \neg \exists x \exists y \exists z(t = \langle x, y, z \rangle \wedge T(\langle y, z, x \rangle) = 0) \wedge t_1 = 0 & \end{array}$$

$$\begin{aligned}
 & \text{for } t_1 \in \text{Cnv}_2(\{x\}T(x), t), \\
 & (\exists x \exists y \exists z (t = \langle x, y, z \rangle \wedge T(\langle y, z, x \rangle) = 0) \wedge 0 \in t_1) \vee \\
 & (\neg \exists x \exists y \exists z (t = \langle x, y, z \rangle \wedge T(\langle y, z, x \rangle) = 0) \wedge 1 \in t_1) \\
 & \text{for } \text{Cnv}_2(\{x\}T(x), t) \in t_1, \\
 & \neg \exists x \exists y \exists z (t = \langle x, y, z \rangle \wedge T(\langle x, z, y \rangle) = 0) \wedge t_1 = 0 \\
 & \text{for } t_1 \in \text{Cnv}_3(\{x\}T(x), t), \\
 & (\exists x \exists y \exists z (t = \langle x, y, z \rangle \wedge T(\langle x, z, y \rangle) = 0) \wedge 0 \in t_1) \vee \\
 & (\neg \exists x \exists y \exists z (t = \langle x, y, z \rangle \wedge T(\langle x, z, y \rangle) = 0) \wedge 1 \in t_1) \\
 & \text{for } \text{Cnv}_3(\{x\}T(x), t) \in t_1, \\
 & \forall x \exists y (T(\langle x, y \rangle) = 0) \wedge \forall x \forall y \forall z (T(\langle x, y \rangle) = 0 \wedge T(\langle x, z \rangle) = 0 \rightarrow y = z) \wedge \\
 & \exists x (T(\langle t, x \rangle) = 0 \wedge t_1 \in x) \text{ for } t_1 \in \text{Fon}(\{x\}T(x), t), \\
 & (\neg (\forall x \exists y (T(\langle x, y \rangle) = 0) \wedge \forall x \forall y \forall z (T(\langle x, y \rangle) = 0 \wedge T(\langle x, z \rangle) = 0 \rightarrow y = z)) \wedge \\
 & 0 \in t_1) \vee (\forall x \exists y (T(\langle x, y \rangle) = 0) \wedge \forall x \forall y \forall z (T(\langle x, y \rangle) = 0 \wedge T(\langle x, z \rangle) = 0 \\
 & \rightarrow y = z) \wedge (\exists x (T(\langle t, x \rangle) = 0 \wedge x \in t_1))) \text{ for } \text{Fon}(\{x\}T(x), t) \in t_1, \\
 & \neg \exists x \exists y (t = \langle x, y \rangle \wedge T_1(x) \in T_2(y)) \wedge t_1 = 0 \text{ for } t_1 \in \text{K}(\{x\}T_1(x), \{x\}T_2(x), t), \\
 & (\exists x \exists y (t = \langle x, y \rangle \wedge T_1(x) \in T_2(y)) \wedge 0 \in t_1) \vee \\
 & (\neg \exists x \exists y (t = \langle x, y \rangle \wedge T_1(x) \in T_2(y)) \wedge 1 \in t_1) \text{ for } \text{K}(\{x\}T_1(x), \{x\}T_2(x), t) \in t_1, \\
 & \neg \exists x \exists y \exists z (t = \langle x, y, z \rangle \wedge z \in T(x, y)) \wedge t_1 = 0 \text{ for } t_1 \in \text{L}(\{x, y\}T(x, y), t), \text{ and} \\
 & (\exists x \exists y \exists z (t = \langle x, y, z \rangle \wedge z \in T(x, y)) \wedge 0 \in t_1) \vee \\
 & (\neg \exists x \exists y \exists z (t = \langle x, y, z \rangle \wedge z \in T(x, y)) \wedge 1 \in t_1) \text{ for } \text{L}(\{x, y\}T(x, y), t) \in t_1.
 \end{aligned}$$

A formula is called *primitive*, if and only if it is strictly regular, and it has neither  $\forall f$  nor  $\exists f$ .

PROPOSITION 9. Let  $A(f_1, \dots, f_n, a_1, \dots, a_m)$  be primitive and all the free functions and variables in it be indicated in  $A(f_1, \dots, f_n, a_1, \dots, a_m)$ . Then there exists functional  $\{x\}T(x, f_1, \dots, f_n)$  containing no  $a_1, \dots, a_m$  such that the following sequence is provable.

$$\Gamma_3 \rightarrow A(f_1, \dots, f_n, a_1, \dots, a_m) \vdash \langle a_1, \dots, a_m \rangle \in \{x\}T(x, f_1, \dots, f_n)$$

where  $a \in \{x\}t(x)$  means  $t(a) = 0$ .

PROOF. By Proposition 8, we may assume that  $A(f_1, \dots, f_n, a_1, \dots, a_m)$  has neither special function nor predicate other than  $\in$ . Since  $f_{i_1}(\dots(f_{i_n}(a))\dots) \in f_{j_1}(\dots(f_{j_m}(b))\dots)$  is equivalent to  $\langle a, b \rangle \in \{x\}\text{K}(\{y\}f_{i_1}(\dots(f_{i_n}(y))\dots), \{y\}f_{j_1}(\dots(f_{j_m}(y))\dots), x)$  under  $\Gamma_3$ , this proposition is easily proved in the same way as in  $M1$  of Gödel [1].

PROPOSITION 10. Let  $A(f_1, \dots, f_n, a_1, \dots, a_m, a, b)$  be primitive and all the free functions and variables in it be indicated in  $A(f_1, \dots, f_n, a_1, \dots, a_m, a, b)$ , and the following sequences be provable:

$$\Gamma_3, \Gamma \rightarrow \forall x \exists y A(f_1, \dots, f_n, a_1, \dots, a_m, x, y)$$

$$\Gamma_3, \Gamma, A(f_1, \dots, f_n, a_1, \dots, a_m, a, b),$$

$$A(f_1, \dots, f_n, a_1, \dots, a_m, a, c) \rightarrow b = c.$$

Then there exists a functional  $\{x\}T(x, f_1, \dots, f_n, a_1, \dots, a_m)$  containing neither  $a$  nor

$b$  such that the following sequence is provable:

$$\Gamma_3, \Gamma \rightarrow A(f_1, \dots, f_n, a_1, \dots, a_m, a, T(a, f_1, \dots, f_n, a_1, \dots, a_m)).$$

PROOF. By Proposition 9 there exists a functional  $\{x\}T_0(x, f_1, \dots, f_n, a_1, \dots, a_m)$  containing neither  $a$  nor  $b$  such that the following sequence is provable:

$$\Gamma_3 \rightarrow A(f_1, \dots, f_n, a_1, \dots, a_m, a, b) \vdash \langle a, b \rangle \in \{x\}T_0(x, f_1, \dots, f_n, a_1, \dots, a_m).$$

If we define  $T(a, f_1, \dots, f_n, a_1, \dots, a_m)$  as

$$\text{Fon}(\{x\}T_0(x, f_1, \dots, f_n, a_1, \dots, a_m), a),$$

then we have easily the proposition.

In case of Proposition 10 we define a term  $T(a, f_1, \dots, f_n, a_1, \dots, a_m)$  by  $\iota x A(f_1, \dots, f_n, a_1, \dots, a_m, a, x)$ .

## § 2. Construction of a model of the theory of ordinal numbers under $\Gamma_3$ .

Now we construct a model of the theory of ordinal numbers under  $\Gamma_3$ . In this section, we use the abbreviated notation  $\Gamma \rightarrow \Delta$  for  $\Gamma_3, \Gamma \rightarrow \Delta$ .

$\text{ord}(a)$  is defined as

$$\forall x \forall y (x \in a \wedge y \in a \vdash x \in y \vee x = y \vee y \in x) \wedge \forall x (x \in a \vdash x \subset a).$$

We have easily

$$\rightarrow \text{ord}(0)$$

$$\text{ord}(a), \text{ord}(b) \rightarrow a \in b \vdash a \subsetneq b$$

where  $a \subsetneq b$  means  $a \subset b \wedge \neg a = b$  as usual,

$$\text{ord}(a), \text{ord}(b) \rightarrow a \in b, a = b, b \in a$$

$$\text{ord}(a) \rightarrow 0 \in a, a = 0$$

$$\text{ord}(a), b \in a \rightarrow \text{ord}(b)$$

$$\text{ord}(a) \rightarrow \text{ord}(a \cup \{a\})$$

$$\rightarrow \text{ord}(\omega)$$

$$\text{ord}(a), \text{ord}(b), a \cup \{a\} = b \cup \{b\} \rightarrow a = b$$

$$\text{ord}(a), b \in a \rightarrow b \cup \{b\} \subset a$$

$$\exists x (\text{ord}(x) \wedge x \in f) \rightarrow \exists x (\text{ord}(x) \wedge x \in f \wedge \forall y (\text{ord}(y) \wedge y \in f \vdash x \subset y)).$$

We define  $\text{Max}(a)$  by

$$\iota z (\exists x \exists y (\text{ord}(x) \wedge \text{ord}(y) \wedge a = \langle x, y \rangle \wedge (x \subset y \vdash z = y) \wedge (y \in x \vdash z = x)))$$

and  $\text{max}(a, b)$  by  $\text{Max}(\langle a, b \rangle)$ . Then we have

$$\text{ord}(a), \text{ord}(b) \rightarrow \text{ord}(\text{max}(a, b))$$

$$\text{ord}(a), b \in a \rightarrow a = \text{max}(a, b)$$

$$\text{ord}(b), a \in b \rightarrow b = \text{max}(a, b)$$

$$\text{ord}(a) \rightarrow a = \text{max}(a, a)$$

In the same way, we can define  $N, \text{Iq}, \text{Eq}$ , and  $\delta$  satisfying the following conditions (cf. [2], Chapter II, §1 for their definition):

$$\text{ord}(a), 0 \in a \rightarrow N(a) = 0$$

$$\rightarrow N(0) = 1$$

$$\begin{aligned}
 & \text{ord}(b), a \in b \rightarrow \text{Iq}(a, b) = 0 \\
 & \text{ord}(a), \text{ord}(b) \rightarrow \text{ord}(\text{Iq}(a, b)) \\
 & \text{ord}(a), \text{ord}(b), \text{Iq}(a, b) = 0 \rightarrow a \in b \\
 & \text{ord}(a) \rightarrow \text{Eq}(a, a) = 0 \\
 & \text{ord}(a), \text{ord}(b) \rightarrow \text{ord}(\text{Eq}(a, b)) \\
 & \text{ord}(a), \text{ord}(b), \text{Eq}(a, b) = 0 \rightarrow a = b \\
 & \text{ord}(a) \rightarrow \delta(a') = a
 \end{aligned}$$

where  $a'$  means  $a \cup \{a\}$ .

$$\begin{aligned}
 & \text{ord}(a) \rightarrow \text{ord}(\delta(a)) \\
 & \text{ord}(a), \text{ord}(b), a \in b \rightarrow \delta(a) \subset \delta(b) \\
 & a \in \omega \rightarrow (\delta(a))' = a, a = 0.
 \end{aligned}$$

$\text{cl}(f)$  is defined as  $\forall x(\text{ord}(x) \vdash \text{ord}(f(x)))$  if the type of  $f$  is (0), and  $\forall x \forall y(\text{ord}(x) \wedge \text{ord}(y) \vdash \text{ord}(f(x, y)))$  if the type of  $f$  is (0, 0).

We define  $\text{sup}(f, a)$  by

$$\begin{aligned}
 & \iota z(\text{ord}(z) \wedge (\text{cl}(f) \wedge \text{ord}(a) \vdash (\forall x(x \in a \vdash f(x) \in z) \\
 & \quad \wedge \forall x(\text{ord}(x) \wedge \forall y(y \in a \vdash f(y) \in x) \vdash z \subset x))).
 \end{aligned}$$

We see easily the following sequences :

$$\begin{aligned}
 & \rightarrow \text{ord}(\text{sup}(f, a)) \\
 & \text{cl}(f), \text{ord}(a), b \in a \rightarrow f(b) \in \text{sup}(f, a) \\
 & \text{cl}(f), \text{ord}(a), \text{ord}(b), \forall x(x \in a \vdash f(x) \in b) \rightarrow \text{sup}(f, a) \subset b.
 \end{aligned}$$

In the same way we can define  $\text{Min}$ (Minimum),  $\text{Con}$ (Contraction),  $\text{S}$ (Sum) and  $\text{mg}$ (minimum gap) so as to satisfy the following conditions :

$$\begin{aligned}
 & \text{cl}(f) \rightarrow \text{ord}(\text{Min}(f)) \\
 & \text{cl}(f), \text{ord}(a), a \in f \rightarrow f(\text{Min}(f)) = 0 \wedge \text{Min}(f) \subset a \\
 & \text{cl}(f), \text{ord}(a), \text{ord}(b) \rightarrow \text{ord}(\text{Con}(f, a, b)) \\
 & \text{cl}(f), \text{ord}(a), b \in a \rightarrow \text{Con}(f, a, b) = f(b) \\
 & \text{cl}(f), \text{ord}(a), \text{ord}(b), a \subset b \rightarrow \text{Con}(f, a, b) = 0 \\
 & \text{cl}(f), \text{cl}(g), \text{cl}(h), \text{ord}(a) \rightarrow \text{ord}(\text{S}(f, g, h, a)) \\
 & \text{cl}(f), \text{cl}(g), \text{cl}(h), \text{ord}(a), f(a) = 0 \rightarrow \text{S}(f, g, h, a) = g(a) \\
 & \text{cl}(f), \text{cl}(g), \text{cl}(h), \text{ord}(a), 0 \in f(a) \rightarrow \text{S}(f, g, h, a) = h(a) \\
 & \text{cl}(f), \text{ord}(a) \rightarrow \text{ord}(\text{mg}(f, a)) \\
 & \text{cl}(f), \text{ord}(a), b \in a, f(b) = \text{mg}(f, a) \rightarrow \\
 & \text{cl}(f), \text{ord}(a), \text{ord}(b), \forall x(x \in a \vdash \neg f(x) = b) \rightarrow \text{mg}(f, a) \subset b.
 \end{aligned}$$

$\text{cl}(\mathbf{f})$  is defined as  $\forall f \forall x(\text{cl}(f) \wedge \text{ord}(x) \vdash \text{ord}(\mathbf{f}(f, x)))$  if the type of  $\mathbf{f}$  is ((0), 0).

We use  $A(\mathbf{f}, a, b)$  for the abbreviation of

$$\begin{aligned}
 & \text{ord}(a) \wedge \exists x(\forall u \forall v \forall w(\langle u, v \rangle \in x \wedge \langle u, w \rangle \in x \vdash v = w \wedge \text{ord}(v)) \\
 & \quad \wedge \forall y(y \subset a \wedge \text{ord}(y) \vdash \exists z(\langle y, z \rangle \in x)) \\
 & \quad \wedge \forall y \forall z(y \subset a \wedge \text{ord}(y) \wedge \langle y, z \rangle \in x \vdash \\
 & \quad \quad z = \mathbf{f}(\{u\} \text{Con}(\{v\} \text{Fon}(\{w\} B(\langle w, x \rangle), v), y, u, y)) \\
 & \quad \wedge \langle a, b \rangle \in x).
 \end{aligned}$$

We define  $T_0(\mathbf{f}, x, y)$  as  $\mathbf{f}(\{u\}\text{Con}(\{v\}\text{Fon}(\{w\}B(\langle w, x \rangle), v), y, u), y)$ . Then clearly we can define the functionals  $A_1, A_2, A_3$  and  $A_4$  satisfying the following formula :

$$\begin{aligned} A(\mathbf{f}, a, b) \vdash \langle a, b \rangle \in A_1 \\ \wedge \exists x(\langle a, b, x \rangle \in A_2 \wedge \forall y \forall z(\langle a, b, x, y, z \rangle \in A_3 \vdash z = T_0(\mathbf{f}, x, y)) \\ \wedge \langle a, b, x \rangle \in A_4). \end{aligned}$$

Now, we can define  $\{x\}T_1(\mathbf{f}, x)$  which satisfies the following formula under  $\Gamma_3$ :

$$\begin{aligned} z = T_0(\mathbf{f}, x, y) \vdash \forall u(u \in z \vdash u \in T_0(\mathbf{f}, x, y)) \\ \vdash \forall u(u \in z \vdash \langle x, y, u \rangle \in \{v\}L(\{w_1, w_2\}T_0(\mathbf{f}, w_1, w_2), v)) \\ \vdash \langle x, y, z \rangle \in \{u\}T_1(\mathbf{f}, u). \end{aligned}$$

From this it follows that we can define  $\{x\}T_2(\mathbf{f}, x)$  such that the following formula holds under  $\Gamma_3$ :

$$A(\mathbf{f}, a, b) \vdash \langle a, b \rangle \in \{x\}T_2(\mathbf{f}, x).$$

We define  $\text{Rec}(\mathbf{f}, a)$  (read as "the value for the argument  $a$  of the function recursively generated by  $\mathbf{f}$ ") as  $\text{Fon}(\{x\}T_2(\mathbf{f}, x), a)$ . Then we have the following sequences :

$$\begin{aligned} \text{cl}(\mathbf{f}), \text{ord}(a) \rightarrow \text{ord}(\text{Rec}(\mathbf{f}, a)) \\ \text{cl}(\mathbf{f}), \text{ord}(a) \rightarrow \text{Rec}(\mathbf{f}, a) = \mathbf{f}(\{u\}\text{Con}(\{v\}\text{Rec}(\mathbf{f}, v), a, u), a). \end{aligned}$$

$R(a, b, c, d)$  is defined as

$$\begin{aligned} \text{ord}(a) \wedge \text{ord}(b) \wedge \text{ord}(c) \wedge \text{ord}(d) \\ \wedge (\max(a, b) < \max(c, d) \vee (\max(a, b) = \max(c, d) \wedge (b < d \vee (b = d \wedge a < c)))) \end{aligned}$$

where  $a < b$  means  $a \in b$  if  $\text{ord}(a)$  and  $\text{ord}(b)$ . We have easily the following sequences :

$$\begin{aligned} \text{ord}(a), \text{ord}(b), \text{ord}(c), \text{ord}(d) \\ \rightarrow R(a, b, c, d), R(c, d, a, b), a = c \wedge b = d ; \\ R(a, b, c, d), R(c, d, a, b) \rightarrow ; \\ \exists x \exists y (\text{ord}(x) \wedge \text{ord}(y) \wedge \langle x, y \rangle \in f) \\ \rightarrow \exists x \exists y (\text{ord}(x) \wedge \text{ord}(y) \wedge \langle x, y \rangle \in f \\ \wedge \forall u \forall v (\text{ord}(u) \wedge \text{ord}(v) \wedge \langle u, v \rangle \in f \vdash (x = u \wedge y = v) \vee R(x, y, u, v))). \end{aligned}$$

We define  $J(a)$  by

$$\begin{aligned} r(\exists x \exists y \exists z (\text{ord}(x) \wedge \text{ord}(y) \wedge a = \langle x, y \rangle \\ \wedge \forall u \forall v (R(u, v, x, y) \vee (x = u \wedge y = v) \vdash \exists s (\text{ord}(s) \wedge \langle u, v, s \rangle \in z)) \\ \wedge \forall s (s \in r \vdash \exists u \exists v (\text{ord}(u) \wedge \text{ord}(v) \wedge \langle u, v, s \rangle \in z)) \\ \wedge \forall u \forall v \forall s \forall u_0 \forall v_0 \forall t (\langle u, v, s \rangle \in z \wedge \langle u_0, v_0, t \rangle \in z \\ \vdash (R(u, v, u_0, v_0) \vdash s \in t))) \\ \wedge \langle 0, 0, 0 \rangle \in z \wedge \langle x, y, r \rangle \in z) \wedge \text{ord}(r)) \end{aligned}$$

and define  $j(a, b)$  by  $J(\langle a, b \rangle)$  which corresponds to  $J_0' \langle ab \rangle$  in Gödel's [1]. We have easily the following sequences :

$$\text{ord}(a), \text{ord}(b) \rightarrow \text{ord}(j(a, b));$$

$$\begin{aligned} &R(a, b, c, d) \rightarrow j(a, b) \in j(c, d); \\ &j(a, b), j(c, d), \text{ord}(a), \text{ord}(b), \text{ord}(c); \text{ord}(d) \rightarrow R(a, b, c, d); \\ &\text{ord}(a) \rightarrow \exists x \exists y (a = j(x, y)). \end{aligned}$$

We define  $g_1(a)$  by  $\iota x \exists y (a = j(x, y) \wedge \text{ord}(x))$  and  $g_2(a)$  by  $\iota x \exists y (a = j(y, x) \wedge \text{ord}(x))$ . Then we have easily the following sequences:

$$\begin{aligned} &\text{ord}(a) \rightarrow \text{ord}(g_1(a)); \\ &\text{ord}(a) \rightarrow \text{ord}(g_2(a)); \\ &\text{ord}(a) \rightarrow j(g_1(a), g_2(a)) = a; \\ &\text{ord}(a), \text{ord}(b) \rightarrow g_1(j(a, b)) = a; \\ &\text{ord}(a), \text{ord}(b) \rightarrow g_2(j(a, b)) = b. \end{aligned}$$

By the usual method we have the following sequence:

$$\begin{aligned} &\text{ord}(a) \rightarrow \exists x (\text{ord}(x) \wedge \forall y (\forall u (\text{ord}(u) \vdash \exists v (\text{ord}(v) \wedge \langle u, v \rangle \in y)) \\ &\quad \wedge \forall u \forall v \forall w (\langle u, v \rangle \in y \wedge \langle u, w \rangle \in y \vdash v = w) \\ &\quad \vdash \exists z (z \in x \wedge \forall u \neg (\langle u, z \rangle \in y \wedge u \in a))). \end{aligned}$$

Let us denote this sequence shortly by

$$\text{ord}(a) \rightarrow \exists x B(x, a).$$

Further we define  $\chi(a)$  by  $B(b, a) \wedge \forall x (B(x, a) \vdash b \subset x)$ . We see clearly

$$\forall f \forall x (\text{ord}(x) \wedge \text{cl}(f) \vdash \text{mg}(f, x) < \chi(x))$$

and

$$\forall x \forall y (\text{ord}(x) \wedge \text{ord}(y) \wedge \forall f (\text{cl}(f) \vdash \text{mg}(f, x) < y) \vdash \chi(x) \subset y).$$

By the restriction theory, [4], § 7, we have then the following proposition.

PROPOSITION 11. *If  $\Gamma_3$  is consistent, then  $\Gamma_0$  and the following axioms are consistent.*

$$\begin{aligned} &\forall f \forall x \forall y (y < x \vdash f(y) < \text{sup}(f, x)), \\ &\forall f \forall x \forall y (\forall z (z < x \vdash f(z) < y) \vdash \text{sup}(f, x) \leq y), \\ &\forall f \forall x \forall y (\text{mg}(f, x) = f(y) \vdash y \geq x), \\ &\forall f \forall x \forall y (\forall z (y = f(z) \vdash z \geq x) \vdash \text{mg}(f, x) \leq y), \\ &\forall f \forall x (\text{mg}(f, x) < \chi(x)) \\ &\forall x \forall y (\forall f (\text{mg}(f, x) < y) \vdash \chi(x) \leq y). \end{aligned}$$

$\Gamma_0$  and these axioms are simply denoted by  $\Gamma_{00}$ .  $\Gamma_{00}$  and the axioms 2.1, 2.2, 2.3 and 2.4 are denoted by  $\tilde{\Gamma}_{00}$ . Then we see easily the following proposition by [5].

PROPOSITION 12. *If  $\Gamma_0$  or  $\Gamma_1$  is consistent, then both  $\tilde{\Gamma}_{00}$  and  $\tilde{\Gamma}_3$  are consistent.*

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