

## A generalization of the principal ideal theorem

By Fumiyuki TERADA

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The purpose of this paper is to give a cohomology-theoretical description of a generalized principal ideal theorem. The definitions and the notations in this paper are borrowed from C. Chevalley's lecture notes at Nagoya University [1].

1. Let  $G$  be a finite group, and  $S$  be an automorphism of the group  $G$ . The image of an element  $\sigma \in G$  by  $S$  will be denoted by  $S(\sigma)$ . Let  $H$  be the invariant subgroup of  $G$ , which is generated by all the elements  $S(\sigma)\sigma^{-1}, \sigma\tau\sigma^{-1}\tau^{-1}(\sigma, \tau \in G)$ . Then  $H$  is an  $S$ -invariant subgroup of  $G$ , and  $G/H$  is abelian.

Let  $A$  be a  $G$ -module. We shall denote a submodule of  $A$  which is generated by all the elements  $(1-\sigma)a$  ( $\sigma \in G, a \in A$ ) by  $I_G A$ . Especially, if  $A$  is the group ring  $Z(G)$  over the integral domain  $Z$  of all rational integers, the submodule  $I_G Z(G)$  will be denoted by  $I_G$ . We shall use analogous symbols concerning subgroups of  $G$ .

2. We shall consider, in this section, certain mappings of the cohomology groups of  $G$ .

Let  $x, y \in I_G$ , and  $n \in Z$ . Then,  $x \otimes y \otimes n \rightarrow x \otimes ny$  defines an isomorphism  $\psi_G: H^{-2}(G, Z) \rightarrow H^{-1}(G, I_G)$ . We have also an isomorphism  $\psi_H: H^{-2}(H, Z) \rightarrow H^{-1}(H, I_H)$ .

Let  $A$  be a  $G$ -module, and  $\tau \in G, a(\tau) \in A$  such that  $\sum a(\tau) = 0$ . Then,  $\sum \tau \otimes a(\tau) \rightarrow a(e)$ , where  $e$  is the unit element of  $G$ , induces an isomorphism  $H^{-1}(G, A) \rightarrow A^{G-0}/I_G A$ . Especially, if  $A = I_G$ , we have an isomorphism  $\varphi_G: H^{-1}(G, I_G) \rightarrow I_G/I_G I_G$ , and also,  $\varphi_H: H^{-1}(H, I_G) \rightarrow I_G^{H-0}/I_H I_G$ .

We have also an isomorphism  $\phi: H^{-1}(H, I_H) \rightarrow H^{-1}(H, I_G)$  (cf. [1] Theorem 7.1).

Let  $j_{-r}$  be the injection mapping  $H^{-r}(H, I_G) \rightarrow H^{-r}(G, I_G), r=1, 2$ . Then,  $\varphi_G j_{-1} \varphi_H^{-1}$  maps  $I_G^{H-0}/I_H I_G$  into  $I_G/I_G I_G$ , and the kernel is the subgroup  $(I_{G'}, I_H I_G)/I_H I_G$  of the group  $I_G^{H-0}/I_H I_G$ , where  $G'$  is the commutator subgroup of  $G$ .

The ideal  $I_G$  of  $Z(G)$  is generated by all the elements  $1-\sigma, \sigma \in G$ , and each element of  $I_G$  is described as  $\sum a(\sigma)(1-\sigma)$ , where  $a(\sigma) \in Z$ .

Then,  $\sum a(\sigma)(1-\sigma) \rightarrow \sum a(\sigma)(1-\sigma^{-1}S(\sigma))$  defines a homomorphism  $S^*$  of the module  $I_G$  into  $I_H$ . And when we consider the ideal  $I_H$  modulo  $(I_{G'}, I_H I_G)$ ,  $S^*$  induces a  $G$ -homomorphism of the  $G$ -module  $I_G$  into  $I_H/(I_{G'}, I_H I_G)$ . The kernel of this homomorphism contains  $I_G I_G$ . Thus we have a  $G$ -homomorphism  $S_1^* : I_G/I_G I_G \rightarrow I_G^{H \rightarrow 0}/(I_H I_G, I_{G'})$ , and combining with  $\psi, \varphi$ , we may define a homomorphism  $S_2^* : H^{-2}(G, Z) \rightarrow H^{-2}(H, Z)/(\text{kernel of } j_{-2})$ . More precisely,  $S_2^* = \psi_H^{-1} \cdot \Phi^{-1} \cdot \varphi_H^{-1} S_1^* \varphi_G \psi_G$ , and if  $\sum \sigma \otimes a(\sigma) \in (I_G \otimes I_G)^G$ ,  $a(\sigma) \in I_G$ ,  $\sum a(\sigma) = 0$ , then  $S_2^*$  maps  $\sum_{\sigma \in G} \sigma \otimes a(\sigma)$  to  $\sum_{h \in G} h \otimes h S^*(a(e)) \in (I_H \otimes I_H)^H$ .

Moreover, we shall consider the restriction mapping. We shall describe it in details (cf. [1], Chap. 7). Let  $\sigma_1=1, \sigma_2, \dots, \sigma_m$  be representatives of the quotient group  $G/H$ , and  $X$  be a submodule of the module  $I_G$  which is generated by  $\sigma_i - 1 (i=1, \dots, m)$ . Then  $I_G = I_H + U$  (direct), where  $U = \sum_{h \in H} hX$ . Let  $\alpha$  be the mapping of  $I_G$  onto  $I_H$  which maps  $U$  upon 0 and maps  $I_H$  identically. Then the mapping  $\alpha \otimes \alpha : I_G \otimes I_G \rightarrow I_H \otimes I_H$  defines the restriction mapping  $r_2 : H^{-2}(G, Z) \rightarrow H^{-2}(H, Z)$ . Also,  $\alpha \otimes 1 : I_G \otimes I_G \rightarrow I_H \otimes I_G$  defines the restriction mapping  $r_1 : H^{-1}(G, I_G) \rightarrow H^{-1}(H, I_G)$ , and we have a commutativity relation  $r_1 \cdot \psi_G = \Phi \cdot \psi_H \cdot r_2$ . Moreover,  $r = \varphi_H r_1 \varphi_G^{-1}$  is a mapping  $I_G/I_G I_G \rightarrow I_G^{H \rightarrow 0}/I_H I_G$  and by the definition of  $\alpha, r$  is a mapping which maps  $x \in I_G$  to  $\sum_{i=1}^m \sigma_i x \in I_G^{H \rightarrow 0}$ .

Finally, we shall describe these mappings in the following diagrams, which are commutative.

$$\begin{array}{ccccc}
 H^{-2}(G, Z) & \xrightarrow{\psi_G} & H^{-1}(G, I_G) & \xrightarrow{\varphi_G} & I_G/I_G I_G \\
 r_2 \downarrow & & r_1 \downarrow & & r \downarrow \\
 H^{-2}(H, Z) & \xrightarrow{\psi_H} & H^{-1}(H, I_H) & \xrightarrow{\Phi} & H^{-1}(H, I_G) \xrightarrow{\varphi_G} I_G^{H \rightarrow 0}/I_H I_G
 \end{array}$$
  

$$\begin{array}{ccccc}
 H^{-2}(G, Z) & \xrightarrow{\psi_G} & H^{-1}(G, I_G) & \xrightarrow{\varphi_G} & I_G/I_G I_G \\
 S_2^* \downarrow & & \downarrow & & S_1^* \downarrow \\
 H^{-2}(H, Z)/(\text{kernel of } j_{-2}) & \xrightarrow{\psi_H} & H^{-1}(H, I_H)/\ast & \xrightarrow{\Phi} & H^{-1}(H, I_G)/\ast \xrightarrow{\varphi_G} I_G^{H \rightarrow 0}/(I_H I_G, I_{G'})
 \end{array}$$

3. In this section, we shall prove the following proposition.

PROPOSITION. *Kernel of  $S_1^* \subset \text{kernel of } r$ .*

Let  $\tau_1, \dots, \tau_m$  be representatives of generators of the abelian group  $G/H$ , where we may assume that these elements generate the group  $G$ . This is accomplished by adding to them certain elements of  $H$ . Let  $e_1, \dots, e_m$  be the order of  $\tau_1, \dots, \tau_m \pmod H$ . Then,  $a_i = 1 - \tau_i (i=1, \dots, m)$  form an ideal base of  $I_G$ .

Let  $M$  be the direct sum  $\sum_{i=0}^{l-1} Z(G)\bar{S}^i$ , where  $\bar{S}$  is a symbol such that  $\bar{S}^l = 1$  and  $l$  is the order of the automorphism  $S$ . If we define  $\bar{S}(\sigma\bar{S}^i) = S^{-1}(\sigma)\bar{S}^{i+1}$ , then  $M$  will have the structure of a ring. Let  $I_M$  be the ideal of  $M$  generated by all the elements  $1 - \xi, \xi \in M$ . Then  $a_0 = 1 - \bar{S}$  and  $a_i (i=1, \dots, m)$  form an ideal base of  $I_M$ .

Let  $\bar{I}_G = MI_G, \bar{I}_H = MI_H$ , and  $\bar{I}_{G'} = MI_{G'}$ . In this section, we shall consider the module  $\bar{I}_G$  modulo  $\bar{I}_H I_M$ , where  $\bar{I}_H I_M$  is an ideal of  $M$  generated by all the elements  $(1-h)(1-m), h \in H, m \in M$ . Then,  $\bar{I}_H I_M \subset \bar{I}_H$ , and  $\bar{I}_H$  is generated by the following elements (1), (2) modulo  $\bar{I}_H I_M$ .

$$(1 - \tau_j)a_j - (1 - \tau_i)a_i \quad (i, j = 1, \dots, m) \quad (1)$$

$$(1 - \bar{S})a_i - (1 - \tau_i)a_0 \quad (i = 1, \dots, m) \quad (2)$$

And  $(\bar{I}_{G'}, \bar{I}_H I_M)$  is generated by elements (1) modulo  $\bar{I}_H I_M$ . It is shown easily that  $xya_j \equiv yxa_j \pmod{\bar{I}_H I_M}$ , ( $j=0, 1, \dots, m$ ), where  $x, y \in G$  or  $x = \bar{S}$  or  $y = \bar{S}$ . In other words, we can calculate coefficients of  $a_i$  commutatively, when we consider in  $\bar{I}_G$  modulo  $\bar{I}_H I_M$ . And it is shown that

$$\bar{I}_H I_M \cap I_G^{H \rightarrow 0} \subset I_H I_G \quad (3)$$

Now let  $a = \sum_{i=1}^m \gamma_i a_i \in I_G$ . Then

$$\begin{aligned} S^* a &= S^* \sum \gamma_i a_i \equiv \sum \gamma_i \cdot S^* a_i = \sum \gamma_i (1 - \tau_i^{-1} \bar{S}^{-1} \tau_i \bar{S}) \\ &= \sum \gamma_i \tau_i^{-1} \bar{S}^{-1} ((1 - \bar{S})a_i - (1 - \tau_i)a_0) \quad \text{mod } (I_{G'}, I_H I_G). \end{aligned}$$

On the other hand  $ra = \sum_{\sigma_i \in G/H} \sigma_i \cdot \sum_{i=1}^m \gamma_i a_i$ . Let  $f_i = 1 + \tau_i + \dots + \tau_i^{e_i-1}$ . Then,  $\tau_i^{-1} f_1 \dots f_m a_i \equiv f_1 \dots f_m a_i \pmod{I_H \cdot I_G}$ , and

$$\begin{aligned} \bar{S}^{-1}(r \cdot a) &= \bar{S}^{-1} f_1 \dots f_m \sum \gamma_i a_i \equiv \bar{S}^{-1} \sum \gamma_i f_1 \dots f_m a_i \\ &\equiv \sum \gamma_i \tau_i^{-1} \bar{S}^{-1} f_1 \dots f_m a_i. \end{aligned}$$

Let  $\eta_i = \gamma_i \tau_i^{-1} \bar{S}^{-1}$ , then our proposition is reduced to the following:

“ If  $\sum \eta_i ((1 - \bar{S}) a_i - (1 - \tau_i) a_0) \in (\bar{I}_G, \bar{I}_H I_M)$ , then

$$\sum \eta_i f_1 \dots f_m a_i \in \bar{I}_H I_M ”. \quad (\text{cf. (3)})$$

PROOF OF THE PROPOSITION. As  $\tau_i^{e_i} \in H, 1 - \tau_i^{e_i} \in I_H$  is expressed by (1), (2) as follows.

$$1 - \tau_i^{e_i} = \sum_{k>l}^{1, \dots, m} P_{kl}^{(i)} ((1 - \tau_l) a_k - (1 - \tau_k) a_l) + \sum_{k=1}^m P_{k0}^{(i)} ((1 - S) a_k - (1 - \tau_k) a_0).$$

In this formula,  $1 - \tau_i^{e_i} = f_i a_i$ ; and if we rewrite

$$- \sum P_{kl}^{(i)} ((1 - \tau_l) a_k - (1 - \tau_k) a_l) - \sum P_{k0}^{(i)} (1 - \bar{S}) a_k = \sum Q_k^{(i)} a_k \tag{4}$$

$$- \sum P_{k0}^{(i)} (1 - \tau_k) a_0 = R_i a_0, \tag{5}$$

we have

$$f_i a_i + \sum_{k=1}^m Q_k^{(i)} a_k = R_i a_0 \quad (i = 1, \dots, m)$$

By the elimination formula, we have

$$D_l a_k = D_k a_l \quad (k, l = 0, 1, \dots, m) \tag{6}$$

where

$$D_0 = \begin{vmatrix} f_1 + Q_1^{(1)} & \dots & Q_m^{(1)} \\ \vdots & & \vdots \\ Q_1^{(m)} & \dots & f_m + Q_m^{(m)} \end{vmatrix}, \quad D_k = \begin{vmatrix} f_1 + Q_1^{(1)} & \dots & R_1^{(k)} & \dots & Q_m^{(1)} \\ \vdots & & \vdots & & \vdots \\ Q_1^{(m)} & \dots & R_m^{(k)} & \dots & f_m + Q_m^{(m)} \end{vmatrix}$$

Since we can calculate the coefficients of  $a_k$  commutatively, we

have from (4),

$$\sum Q_k^{(i)}(1-\tau_k)a_l = -\sum P_{k0}^{(i)}(1-\bar{S})(1-\tau_k)a_l = (1-\bar{S})R_i a_0.$$

Also we have  $(1-\tau_k)f_k a_l = 0$ . Therefore, after multiplying the first row of  $D_0$  by  $1-\tau_1, \dots$ , the last row of  $D_0$  by  $1-\tau_m$ , we have the following formula by adding each row to the  $k$ -th row:

$$(1-\tau_k)D_0 a_l = (1-\bar{S})D_k a_l \quad (l=0, 1, \dots, m; \quad k=1, \dots, m) \quad (7)$$

Thus we get elements  $D_k$  of  $M$ , which satisfy (6) and (7). Since  $(1-\bar{S})(1+\bar{S}+\dots+\bar{S}^{l-1})=0$ , we may assume that the  $\bar{S}$ -degree of  $D_k$  ( $k=1, \dots, m$ ; that is, except  $D_0$ ) is at most  $l-2$ .

Since  $M$  is the direct sum of  $Z(G)$  and  $M(1-\bar{S})$ ,  $D_0$  can be described as  $D_0 = D' + D(1-\bar{S})$ , where  $D' \in Z(G)$  and the  $\bar{S}$ -degree of  $D$  is at most  $l-2$ . Therefore, we have from (7)

$$(1-\tau_k)D' a_l = (1-\bar{S})(D_k - (1-\tau_k)D) a_l$$

Since the left-hand side of this equality is an element of  $Z(G)$ , and the  $\bar{S}$ -degree of  $D_k - (1-\tau_k)D$  is at most  $l-2$ , we have the following two relations.

$$D_k a_l = (1-\tau_k)D a_l \quad (l=0, 1, \dots, m; \quad k=1, \dots, m) \quad (8)$$

$$(1-\tau_k)D' a_l = 0$$

From the second formula, we have  $D' a_l = f_1 \cdots f_m D'' a_l$ , and then

$$D_0 a_l = D(1-\bar{S})a_l - f_1 \cdots f_m D'' a_l \quad (l=1, \dots, m)$$

When we consider this relation modulo  $I_M I_M$ , we have  $e_1 \cdots e_m a_l = e_1 \cdots e_m D''(1) a_l$ , and this implies  $D''(1) = 1$ . Since  $D'' \in Z(G)$ ,  $D''(1) = 1$  means  $f_1 \cdots f_m D'' a_l = f_1 \cdots f_m a_l$ . Therefore, we get the following relation

$$D_0 a_l = D(1-\bar{S})a_l - f_1 \cdots f_m a_l \quad (l=1, \dots, m) \quad (9)$$

Thus we have an element  $D$  which satisfies (8) and (9).

Now let us compute  $\sum \eta_i f_1 \cdots f_m a_i$ . It is performed by (6)~(9).

Let  $\sum_{i=1}^m \eta_i a_i$  be an element of  $I_G$ , which satisfies

$$\sum \eta_i ((1 - \bar{S})a_i - (1 - \tau_i)a_0) = \sum_{i,j=1}^m f_{ij} ((1 - \tau_i)a_j - (1 - \tau_j)a_i).$$

Then

$$\begin{aligned} \sum \eta_i f_1 \cdots f_m a_i &= \sum \eta_i (D_0 a_i - D(1 - \bar{S})a_i), && \text{by (9)} \\ &= \sum \eta_i (D(1 - \tau_i)a_0 - D(1 - \bar{S})a_i), && \text{by (6) and (8)} \\ &= D \cdot \sum f_{ij} ((1 - \tau_j)a_i - (1 - \tau_i)a_j), && \text{by the assumption} \\ &= 0 && \text{by (6),} \end{aligned}$$

which proves our proposition.

Since  $\psi$  and  $\varphi$  are isomorphisms, our proposition implies that the kernel of  $S_2^*$  is contained in the kernel of  $r_2$ .

4. Let  $\bar{\mathcal{Q}}/\mathcal{Q}$  be a finite normal extension of an algebraic number field  $\mathcal{Q}$ ,  $G$  be the Galois group of  $\bar{\mathcal{Q}}/\mathcal{Q}$ , and  $S$  be an automorphism of the group  $G$ .

Let  $H$  be the invariant subgroup of  $G$  which is considered in 1.,  $K$  be the corresponding intermediate field of  $\bar{\mathcal{Q}}/\mathcal{Q}$ .

Let  $C_{\bar{\mathcal{Q}}}$ ,  $C_K$ , and  $C_{\mathcal{Q}}$  be the idele class groups of  $\bar{\mathcal{Q}}$ ,  $K$ , and  $\mathcal{Q}$ , respectively. Let  $\xi$  be the canonical class of  $H^2(G, C_{\bar{\mathcal{Q}}})$ . Then  $\xi \rightarrow \xi \otimes \zeta$  ( $\zeta \in H^{-2}(G, Z)$ ) induces an isomorphism of  $H^{-2}(G, Z)$  with  $H^0(G, C_{\bar{\mathcal{Q}}})$ . And, combining with this isomorphism,  $S_2^*$  induces a homomorphism  $S_0^*$  of  $H^0(G, C_{\bar{\mathcal{Q}}})$  into  $H^0(H, C_{\bar{\mathcal{Q}}})/(\text{kernel of } j_0)$ . Then,  $j_0 S_0^* = S^*$  defines an endomorphism of the class group  $C_{\mathcal{Q}}/N_{\bar{\mathcal{Q}}/\mathcal{Q}}C_{\bar{\mathcal{Q}}}$ .

On the other hand, the restriction mapping  $r_0$  of the group  $H^0(G, C_{\bar{\mathcal{Q}}})$  into  $H^0(H, C_{\bar{\mathcal{Q}}})$  induces the injection mapping of  $C_{\mathcal{Q}}/N_{\bar{\mathcal{Q}}/\mathcal{Q}}C_{\bar{\mathcal{Q}}}$  into  $C_K/N_{\bar{\mathcal{Q}}/K}C_{\bar{\mathcal{Q}}}$ . Therefore, we have the following theorem from the preceding proposition.

**THEOREM.** *The kernel of the endomorphism  $j_0 S_0^*$  of the group  $C_{\mathcal{Q}}/N_{\bar{\mathcal{Q}}/\mathcal{Q}}C_{\bar{\mathcal{Q}}}$  is contained in  $N_{\bar{\mathcal{Q}}/K}C_{\bar{\mathcal{Q}}}$ , when it is considered in  $C_K$ .*

A special case of this theorem is the generalised principal ideal theorem which was obtained by Prof. Tannaka and the author. Now, let  $k$  be a finite algebraic number field and  $K$  be the absolute class

field (generally a ray class field) over  $k$ . Let  $\mathcal{Q}/k$  be a cyclic intermediate field of  $K/k$ , and  $S$  be a generator of the (cyclic) Galois group of  $\mathcal{Q}/k$ . Let  $\overline{\mathcal{Q}}/K$  be the absolute class field of  $K$ , and  $G$  be the Galois group of  $\overline{\mathcal{Q}}/\mathcal{Q}$ . Then  $S$  induces an automorphism of the group  $G$ , and  $K$  is just the intermediate field of  $\overline{\mathcal{Q}}/\mathcal{Q}$  which is considered in the preceding theorem. It is easy to see that the homomorphism  $j_0 S_0^*$  in the theorem is the endomorphism such that  $c \rightarrow c^{1-s}$ , where  $c \in C_{\mathcal{Q}}$  and  $c^s$  means the image of  $c$  by the element  $S$  of the Galois group of  $\mathcal{Q}/k$ . Thus we have the following theorem.

**THEOREM.** *Let  $k$  be an algebraic number field,  $K$  the absolute class field over  $k$ , and  $\mathcal{Q}/k$  a cyclic intermediate field of  $K/k$ . Let  $S$  be a generator of the Galois group of the cyclic extension  $\mathcal{Q}/k$ . Then all ambiguous classes in  $\mathcal{Q}$  (i. e. idele class  $c$  such that  $c^{1-s} = 1$ ) are contained in  $N_{\overline{\mathcal{Q}}/K} C_{\overline{\mathcal{Q}}}$ , when considered in  $K$ .*

By the usual correspondence which exists between ideles and ideals we have an analogous result which was obtained in [2] and [3]. The description of our theorem by an automorphism is due to a suggestion by K. Masuda.

Tōhoku University

## References

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