ALMOST COMPLEX SURFACES IN THE NEARLY KÄHLER $S^3 \times S^3$

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Abstract. In this paper we initiate the study of almost complex surfaces in the nearly Kähler $S^3 \times S^3$. We show that on such a surface it is possible to define a global holomorphic differential, which is induced by an almost product structure on the nearly Kähler $S^3 \times S^3$. We also find a local correspondence between almost complex surfaces in the nearly Kähler $S^3 \times S^3$ and solutions of the general *H*-system equation introduced by Wente ([13]), thus obtaining a geometric interpretation of solutions of the general *H*-system equation. From this we deduce a correspondence between constant mean curvature surfaces in \mathbb{R}^3 and almost complex surfaces in the nearly Kähler $S^3 \times S^3$ with vanishing holomorphic differential. This correspondence allows us to obtain a classification of the totally geodesic almost complex surfaces. Moreover, we prove that almost complex topological 2-spheres in $S^3 \times S^3$ are totally geodesic. Finally, we also show that every almost complex surface with parallel second fundamental form is totally geodesic.

Introduction. Nearly Kähler manifolds have been studied intensively in the 1970's by Gray [9]. These nearly Kähler manifolds are almost Hermitian manifolds with almost complex structure *J* for which the tensor field ∇J is skew-symmetric. In particular, the almost complex structure is non-integrable if the manifold is non-Kähler. A well known example is the nearly Kähler 6-dimensional sphere, whose almost complex structure *J* can be defined in terms of the vector cross product on \mathbb{R}^7 . Recently it has been shown by Butruille [6] that the only homogeneous 6-dimensional nearly Kähler manifolds are the nearly Kähler 6-sphere, $S^3 \times S^3$, the projective space $\mathbb{C}P^3$ and the flag manifold $SU(3)/U(1) \times U(1)$. All these spaces are compact 3-symmetric spaces.

There are two natural types of submanifolds of nearly Kähler (or more generally, almost Hermitian) manifolds, namely almost complex and totally real submanifolds. Almost complex submanifolds are submanifolds whose tangent spaces are invariant under *J*. Almost complex submanifolds in the nearly Kähler manifold S^6 have been studied by many authors (see e.g. [2], [3], [4], [7], [8], [12]). Also in the nearly Kähler $\mathbb{C}P^3$ some results have been obtained in [14].

In this paper we initiate the study of almost complex submanifolds of $S^3 \times S^3$. Sixdimensional non-Kähler nearly Kähler manifolds do not admit 4-dimensional almost complex

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submanifolds ([11]), so the almost complex submanifolds are surfaces. The paper is organized as follows: the basics on nearly Kähler manifolds and submanifold theory will be recapitulated in the first section. In Section 2 we will discuss the nearly Kähler structure and an almost product structure P on $S^3 \times S^3$. Whereas in the previous works of a.o. [6] the structure is presented in terms of Lie groups, here we will present everything using the classical structure on $S^3 \times S^3$. This allows us to remark that the nearly Kähler metric, up to a constant factor, corresponds to the Hermitian metric associated to the standard metric on $S^3 \times S^3$. In Section 3 it will be shown that to every simply connected almost complex surface M in $S^3 \times S^3$ one can associate a surface in Euclidean 3-space. This associated surface ε satisfies the H-surface equation

$$\varepsilon_{uu} + \varepsilon_{vv} = -\frac{4}{\sqrt{3}}\varepsilon_u \times \varepsilon_v,$$

see [13]. Note that this correspondence works in both directions. This equation also implies that $g(P\phi_z, \phi_z) dz^2$ is a holomorphic differential. Furthermore, under the assumption that the holomorphic differential vanishes, which means that $PTM \subset T^{\perp}M$, the *H*-surface has constant mean curvature. These results enable us to prove the following theorems.

THEOREM. If M is an almost complex surface in $S^3 \times S^3$ with parallel second fundamental form, then M is totally geodesic.

THEOREM. An almost complex topological 2-sphere S^2 in the nearly Kähler $S^3 \times S^3$ is totally geodesic.

The latter result marks a difference from the case of the nearly Kähler 6-sphere: there exists an immersion from $S^2(1/6)$ in S^6 which is not totally geodesic (see [12, § 5, Example 2]).

In the final section, we give two examples of totally geodesic almost complex surfaces in $S^3 \times S^3$. In the first example P maps tangent vectors to tangent vectors; in the second one P maps tangent vectors into normal ones. Furthermore we show that any almost complex surface with parallel second fundamental form is locally congruent to one of these two examples.

1. Preliminaries. An almost Hermitian manifold (\tilde{M}, g, J) is a manifold endowed with an almost complex structure J that is compatible with the metric g, i.e., an endomorphism $J: T\tilde{M} \to T\tilde{M}$ such that $J_p^2 = -\text{Id}$ for every $p \in \tilde{M}$ and g(JX, JY) = g(X, Y) for all $X, Y \in T\tilde{M}$. A nearly Kähler manifold is an almost Hermitian manifold with the extra condition that the tensor field $G = \tilde{\nabla}J$ is skew-symmetric:

 $(\tilde{\nabla}_X J)Y + (\tilde{\nabla}_Y J)X = 0$ for every $X, Y \in T\tilde{M}$.

Here $\tilde{\nabla}$ stands for the Levi-Civita connection of the metric g. A number of properties hold for this tensor field ([1], [9]):

- (1) G(X, Y) + G(Y, X) = 0,
- (2) G(X, JY) + JG(X, Y) = 0,
- (3) g(G(X, Y), Z) + g(G(X, Z), Y) = 0,

(4)
$$\overline{\nabla}J = 0.$$

The canonical Hermitian connection $\overline{\nabla}$ is defined by $\overline{\nabla}_X Y = \widetilde{\nabla}_X Y + \frac{1}{2} (\widetilde{\nabla}_X J) J Y$.

An almost complex surface M of a nearly Kähler manifold \tilde{M} is a 2-dimensional submanifold such that the tangent bundle of M is invariant under the almost complex structure, i.e., JTM = TM. We denote the Levi-Civita connection on M by ∇ and the normal connection on the normal bundle $T^{\perp}M$ by ∇^{\perp} . The formulas of Gauss and Weingarten then are

$$\begin{aligned} \nabla_X Y &= \nabla_X Y + h(X, Y) \,, \\ \tilde{\nabla}_X \xi &= -A_{\xi} X + \nabla_X^{\perp} \xi \,, \end{aligned}$$

for tangent vectors X, Y and a normal vector ξ . The second fundamental form h and the shape operator A_{ξ} are related to each other by

$$g(h(X, Y), \xi) = g(A_{\xi}X, Y).$$

The Gauss and Weingarten formulas and the properties of G imply

(5)
$$\nabla_X J X = J \nabla_X X$$
, $h(X, JY) = Jh(X, Y)$,

(6)
$$A_{J\xi}X = JA_{\xi}X = -A_{\xi}JX, \qquad G(X,\xi) = \nabla_X^{\perp}J\xi - J\nabla_X^{\perp}\xi,$$

see e.g. [7] or [12]. As an immediate corollary, M itself is nearly Kähler and minimal. Moreover, since each tangent space T_pM is spanned by a unit vector X and JX, G(X, Y) = 0 for every $X, Y \in TM$ and thus M is Kähler.

We denote the curvature tensor of $\tilde{\nabla}$, ∇ and ∇^{\perp} by \tilde{R} , R and R^{\perp} respectively. The equations of Gauss, Codazzi and Ricci then are

$$R(X, Y)Z = \left(\tilde{R}(X, Y)Z\right)^{\top} + A_{h(Y,Z)}X - A_{h(X,Z)}Y,$$

$$(\nabla h)(X, Y, Z) - (\nabla h)(Y, X, Z) = \left(\tilde{R}(X, Y)Z\right)^{\perp},$$

$$g\left(R^{\perp}(X, Y)\xi, \eta\right) = g\left(\tilde{R}(X, Y)\xi, \eta\right) + g\left([A_{\xi}, A_{\eta}]X, Y\right),$$

where $X, Y, Z \in TM$, $\xi, \eta \in T^{\perp}M$ and ∇h is defined by $\nabla_X^{\perp}h(Y, Z) - h(\nabla_X Y, Z) - h(Y, \nabla_X Z)$. A submanifold is called parallel if ∇h is zero everywhere. The second derivative $\nabla^2 h$ of h is defined in a similar way by

$$(\nabla^2 h)(X, Y, Z, W) = \nabla_X^{\perp}(\nabla h)(Y, Z, W) - (\nabla h)(\nabla_X Y, Z, W) - (\nabla h)(Y, \nabla_X Z, W) - (\nabla h)(Y, Z, \nabla_X W).$$

The Ricci identity for $\nabla^2 h$ then says

$$\begin{split} (\nabla^2 h)(X,Y,Z,W) &- (\nabla^2 h)(Y,X,Z,W) = R^{\perp}(X,Y)h(Z,W) \\ &- h(R(X,Y)Z,W) - h(Z,R(X,Y)W) \,. \end{split}$$

Note that the left hand side vanishes if *M* is parallel.

2. The nearly Kähler structure on $S^3 \times S^3$. We consider the 3-sphere in \mathbb{R}^4 as the set of all unit quaternions. The vector fields X_1 , X_2 and X_3 given by

$$X_1(p) = pi = -x_2 + x_1i + x_4j - x_3k,$$

$$X_2(p) = pj = -x_3 - x_4i + x_1j + x_2k,$$

$$X_3(p) = -pk = x_4 - x_3i + x_2j - x_1k$$

at the point $p = x_1 + x_2i + x_3j + x_4k$ form a basis of tangent vector fields. Thus a tangent vector in $T_p S^3$ can be expressed as $p\alpha$ where α is an imaginary quaternion. Using the quaternion relations ij = k, jk = i and ki = j one shows that the Lie brackets are given by $[X_i, X_j] = -2\varepsilon_{ijk}X_k$. Here ε_{ijk} is the Levi-Civita symbol.

Using the natural identification $T_{(p,q)}(S^3 \times S^3) \cong T_p S^3 \oplus T_q S^3$, we will write a tangent vector at (p,q) as Z(p,q) = (U(p,q), V(p,q)) or simply Z = (U, V). Define the vector fields

$$E_1(p,q) = (pi,0), F_1(p,q) = (0,qi),
E_2(p,q) = (pj,0), F_2(p,q) = (0,qj),
E_3(p,q) = -(pk,0), F_3(p,q) = -(0,qk).$$

These vector fields are mutually orthogonal with respect to the usual product metric on $S^3 \times S^3$. The Lie brackets are $[E_i, E_j] = -2\varepsilon_{ijk}E_k$, $[F_i, F_j] = -2\varepsilon_{ijk}F_k$ and $[E_i, F_j] = 0$.

The almost complex structure J on $S^3 \times S^3$ is defined as

$$JZ(p,q) = \frac{1}{\sqrt{3}}(2pq^{-1}V - U, -2qp^{-1}U + V)$$

for $Z \in T_{(p,q)}(S^3 \times S^3)$ (see [6]). Furthermore, we define another metric g on $S^3 \times S^3$ by

$$g(Z, Z') = \frac{1}{2} \left(\langle Z, Z' \rangle + \langle JZ, JZ' \rangle \right)$$

= $\frac{4}{3} \left(\langle U, U' \rangle + \langle V, V' \rangle \right) - \frac{2}{3} \left(\langle p^{-1}U, q^{-1}V' \rangle + \langle p^{-1}U', q^{-1}V \rangle \right),$

where Z = (U, V) and Z' = (U', V'). In the first line $\langle \cdot, \cdot \rangle$ stands for the product metric on $S^3 \times S^3$ and in the second line $\langle \cdot, \cdot \rangle$ stands for the metric on S^3 . By definition the almost complex structure is compatible with the metric g. An easy calculation gives $g(E_i, E_j) =$ $4/3 \delta_{ij}, g(E_i, F_j) = -2/3 \delta_{ij}$ and $g(F_i, F_j) = 4/3 \delta_{ij}$. Note that this metric differs up to a constant factor from the one introduced in [6]. Here we set everything up so that it equals the Hermitian metric associated with the usual metric. In [6], the factor was chosen in such a way that the standard basis $E_1, E_2, E_3, F_1, F_2, F_3$ has volume 1.

LEMMA 2.1. The Levi-Civita connection $\tilde{\nabla}$ on $S^3 \times S^3$ with respect to the metric g is given by

$$\begin{split} \tilde{\nabla}_{E_i} E_j &= -\varepsilon_{ijk} E_k , \\ \tilde{\nabla}_{F_i} E_j &= \frac{\varepsilon_{ijk}}{3} (F_k - E_k) , \\ \tilde{\nabla}_{F_i} F_j &= -\varepsilon_{ijk} F_k . \end{split}$$

PROOF. Using the Koszul formula, one finds

$$\begin{split} g(\tilde{\nabla}_{E_i}E_j, E_k) &= -\frac{4}{3}\varepsilon_{ijk}, \\ g(\tilde{\nabla}_{E_i}E_j, F_k) &= \frac{2}{3}\varepsilon_{ijk}, \\ g(\tilde{\nabla}_{E_i}E_j, F_k) &= \frac{2}{3}\varepsilon_{ijk}, \\ g(\tilde{\nabla}_{E_i}F_j, F_k) &= -\frac{2}{3}\varepsilon_{ijk}, \\ g(\tilde{\nabla}_{E_i}F_j, F_k) &= -\frac{4}{3}\varepsilon_{ijk}. \end{split}$$

Elementary linear algebra then gives the equations hereabove.

Now one can verify that

$$\begin{split} (\tilde{\nabla}_{E_i} J)E_j &= -\frac{2}{3\sqrt{3}}\varepsilon_{ijk}(E_k + 2F_k) \,, \\ (\tilde{\nabla}_{E_i} J)F_j &= -\frac{2}{3\sqrt{3}}\varepsilon_{ijk}(E_k - F_k) \,, \\ (\tilde{\nabla}_{F_i} J)E_j &= -\frac{2}{3\sqrt{3}}\varepsilon_{ijk}(E_k - F_k) \,, \\ (\tilde{\nabla}_{F_i} J)F_j &= -\frac{2}{3\sqrt{3}}\varepsilon_{ijk}(2E_k + F_k) \,. \end{split}$$

(7)

The tensor field
$$G = \nabla J$$
 is skew-symmetric, hence $S^3 \times S^3$ with the metric g and almost complex structure J is nearly Kähler.

For unit quaternions *a*, *b* and *c*, the map $F: S^3 \times S^3 \to S^3 \times S^3$ given by $(p, q) \mapsto (apc^{-1}, bqc^{-1})$ is an isometry of $(S^3 \times S^3, g)$ (cf. remark after Lemma 2.2 in [11]). Indeed, *F* preserves the almost complex structure, since

$$JdF_{(p,q)}(v,w) = \frac{1}{\sqrt{3}} \left(2(apc^{-1})(cq^{-1}b^{-1})bwc^{-1} - avc^{-1}, -2(bqc^{-1})(cp^{-1}a^{-1})avc^{-1} + bwc^{-1} \right)$$
$$= dF_{(p,q)} \left(J(v,w) \right)$$

(see also [10, Proposition 3.1]) and F preserves the usual metric $\langle \cdot, \cdot \rangle$ as well.

Next, we introduce an almost product structure on $S^3 \times S^3$. For a tangent vector Z = (U, V) at (p, q), we define

$$PZ = (pq^{-1}V, qp^{-1}U).$$

It is easily seen that

(1) $P^2 = \text{Id},$

- (2) PJ = -JP,
- (3) *P* is compatible with the metric *g*, i.e., g(PZ, PZ') = g(Z, Z'). This also implies that *P* is symmetric with respect to *g*.

Note that $PE_i = F_i$ and $PF_i = E_i$. From these equations and Lemma 2.1 it follows that

(8)

$$(\tilde{\nabla}_{E_i} P) E_j = \frac{1}{3} \varepsilon_{ijk} (E_k + 2F_k),$$

$$(\tilde{\nabla}_{E_i} P) F_j = -\frac{1}{3} \varepsilon_{ijk} (2E_k + F_k),$$

$$(\tilde{\nabla}_{E_i} P) F_j = -\frac{1}{3} \varepsilon_{ijk} (E_k + 2F_k),$$

$$(\tilde{\nabla}_{F_i} P) F_j = \frac{1}{3} \varepsilon_{ijk} (2E_k + F_k).$$

Thus the endomorphism P is not a product structure, i.e., the tensor field $H = \tilde{\nabla} P$ does not vanish identically. However, the almost product structure P and tensor field H admit the following properties.

LEMMA 2.2. For tangent vectors X, Y of $S^3 \times S^3$ the following equations hold:

- (9) PG(X, Y) + G(PX, PY) = 0,
- (10) H(X, JY) = JH(X, Y),

(11)
$$G(X, PY) + PG(X, Y) = -2JH(X, Y),$$

(12)
$$H(X, PY) + PH(X, Y) = 0$$
,

(13) H(X, Y) + H(PX, Y) = 0,

(14)
$$\overline{\nabla}P = 0$$

PROOF. As all expressions are tensorial, one only has to verify them for the basis vectors E_i and F_j . The first equation can quickly be verified by (7) and the fact that $PE_i = F_i$. Similarly one can verify equation (10) using (8). Equation (11) follows from (10) since

$$G(X, PY) + PG(X, Y) = -H(X, JY) - JH(X, Y)$$
$$= -2JH(X, Y).$$

The remaining equations are consequences of (9) and (11). For instance for (12) we have

$$2(H(X, PY) + PH(X, Y)) = JG(X, Y) + JPG(X, Y)$$
$$+PJG(X, PY) + PJPG(X, Y)$$
$$= JG(X, Y) - JG(X, Y) = 0.$$

Equation (13) can be proven in a similar way. Finally, we have

$$(\overline{\nabla}_X P)Y = H(X, Y) - \frac{1}{2} \big(G(X, PJY) + PG(X, JY) \big)$$
$$= H(X, Y) + JH(X, JY) = 0.$$

Note that in the previous lemma, the most fundamental equations are respectively (9) and (11). The first one relates P and G, whereas the second one allows us to express $\tilde{\nabla}P$ as

a function of J, P and $\tilde{\nabla}J$. It is also elementary to check that P can be expressed in terms of the usual product stucture $Q: Z = (U, V) \mapsto Q(Z) = (-U, V)$ by

(15)
$$QJ(Z) = \frac{1}{\sqrt{3}}(-2PZ + Z).$$

Note however that the usual product structure is not compatible with the metric g and does not behave nicely with respect to the almost complex structure J.

A straightforward, but rather tedious calculation now shows that the Riemann curvature tensor \tilde{R} on $(S^3 \times S^3, g)$ is given by

$$\begin{split} \tilde{R}(U,V)W &= \frac{5}{12} \big(g(V,W)U - g(U,W)V \big) \\ &+ \frac{1}{12} \big(g(JV,W)JU - g(JU,W)JV - 2g(JU,V)JW \big) \\ &+ \frac{1}{3} \big(g(PV,W)PU - g(PU,W)PV \\ &+ g(JPV,W)JPU - g(JPU,W)JPV \big) \,, \end{split}$$

and that the tensors $\tilde{\nabla}G$ and G satisfy

(16)
$$(\tilde{\nabla}G)(X,Y,Z) = \frac{1}{3}(g(X,Z)JY - g(X,Y)JZ - g(JY,Z)X),$$

(17)
$$g(G(X, Y), G(Z, W)) = \frac{1}{3}(g(X, Z)g(Y, W) - g(X, W)g(Y, Z) + g(JX, Z)g(JW, Y) - g(JX, W)g(JZ, Y)).$$

REMARK 2.3. Note that we expressed here the new metric g in terms of the standard metric of $S^3 \times S^3$. This can also be reversed. Indeed given g, J and P, we can define the usual product structure by (15) and we can check that the usual metric is given by

$$g(QZ, QZ') + g(Z, Z') = \frac{8}{3} \left(\langle U, U' \rangle + \langle V, V' \rangle \right)$$

Hence up to a constant factor the usual metric is the Q-compatible metric associated with g.

3. Almost complex surfaces in $S^3 \times S^3$. We start with some preparatory results. Let us begin by showing some identities that are similar to the equations (5) and (6) in the preliminaries.

LEMMA 3.1. Let M be an almost complex surface in $S^3 \times S^3$. If PTM = TM, the following expressions hold for tangent X, Y and normal ξ .

$$\begin{aligned} (\nabla_X P)Y &= 0 \,, & A_{P\xi}X &= PA_{\xi}X = A_{\xi}PX \,, \\ h(X, PY) &= Ph(X, Y) \,, & H(X, \xi) &= \nabla_X^{\perp}P\xi - P\nabla_X^{\perp}\xi \,. \end{aligned}$$

In particular, H(X, Y) = 0 and $H(X, \xi)$ is normal to M.

If $PTM \subset T^{\perp}M$, then the second fundamental form h is normal to PTM and H(X, Y) is a normal vector.

PROOF. First note that from (11) it follows that

(18)
$$H(X,Y) = \frac{1}{2} (JG(X,PY) + JPG(X,Y)).$$

We first assume that P maps tangent vectors to tangent vectors. In that case P maps normal vectors into normal vectors as well, as P is symmetric and compatible with the metric.

In Section 1 we noted that G(X, Y) = 0 for all $X, Y \in TM$. Applying the formula of Gauss to (18) together with this fact, we see that

$$0 = H(X, Y)$$

= $\tilde{\nabla}_X PY - P\tilde{\nabla}_X Y$
= $\nabla_X PY + h(X, PY) - P\nabla_X Y - Ph(X, Y)$

Taking tangent and normal parts gives the first two equations. The equation $A_{P\xi} = PA_{\xi} = A_{\xi}P$ then follows easily from the relation $g(h(X, Y), \xi) = g(A_{\xi}X, Y)$.

Equation (6) says that $G(X, \xi)$ is normal. Therefore, since J and P map normal vectors into normal vectors, equation (18) gives that $H(X, \xi)$ is normal as well. Using the Gauss and Weingarten formulas then gives $H(X, \xi) = \nabla_X^{\perp} P \xi - P \nabla_X^{\perp} \xi$. This completes the proof in this case.

Next we assume that $PTM \subset T^{\perp}M$. Applying the Gauss and Weingarten formulas to equation (10) and taking the inproduct with a vector $JZ \in TM$ gives

$$-g(A_{PJY}X, JZ) - g(PJh(X, Y), JZ) = -g(JA_{PY}X, JZ) + g(Ph(X, JY), JZ)$$
$$= g(A_{PJY}X, JZ) + g(PJh(X, Y), JZ).$$

Hence g(h(X, Z), PY) + g(h(X, Y), PZ) = 0. Since the second fundamental form is symmetric, we obtain g(h(X, Y), PZ) = 0. In a similar way as in the first case one can show that H(X, Y) is normal. This completes the proof of the lemma.

PROPOSITION 3.2. If M is a totally geodesic almost complex surface in $S^3 \times S^3$, then either

- (1) *P* maps the tangent space into the normal space and the Gaussian curvature *K* is 2/3.
- (2) *P* preserves the tangent space (and therefore also the normal space) and the Gaussian curvature is 0.

PROOF. Let $p \in M$ be a point of M and v a unit tangent vector to M at p. The Codazzi equation implies that $\tilde{R}(v, Jv)v$ is a tangent vector, thus it must be a multiple of Jv. By the Gauss equation, we have

$$R(v, Jv)v = \frac{2}{3} \left(-Jv + g(PJv, v)Pv - g(Pv, v)PJv \right).$$

Moreover, we can choose v such that g(v, Pv) is maximal for all unit vectors in p. This implies that g(Pv, Jv) = g(PJv, v) = 0. The Gauss equation simplifies to

(19)
$$R(v, Jv)v = -\frac{2}{3} \left(Jv + g(Pv, v)PJv \right).$$

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Now two cases can occur. In the first case, if g(Pv, v) = 0, the Gaussian curvature is 2/3. Using g(Pv, v) = g(Pv, Jv) = 0, PJ = -JP and the fact that v and Jv span T_pM we easily get that $PTM \subset T^{\perp}M$. In the second case, g(Pv, v) is non-zero. Then it follows from the Gauss equation (19) that g(Pv, v)PJv is a non-zero multiple of Jv. Thus $PJv = \pm Jv$, as P preserves the metric. We may assume PJv = -Jv by replacing v by Jv if necessary. Then, since JP = -PJ, we find that Pv = v and

$$R(v, Jv, v, Jv) = \frac{2}{3} (g(Pv, v)^2 - 1) = 0.$$

This completes the proof.

The next theorem is a generalization of the previous proposition. The idea of the proof is the same as before, but now we apply the Ricci equation and Ricci identity as well.

THEOREM 3.3. Suppose M is an almost complex surface in $S^3 \times S^3$. If M has parallel second fundamental form, then PTM = TM or $PTM \subset T^{\perp}M$. Moreover,

- (1) If PTM = TM, then M is flat and totally geodesic.
- (2) If $PTM \subset T^{\perp}M$, then either M is totally geodesic with constant Gaussian curvature 2/3 or M has constant Gaussian curvature 5/18.

PROOF. Let $v \in T_p M$ be a unit tangent vector. By our assumption, Codazzi's equation says that $\tilde{R}(v, Jv)v$ is a multiple of Jv. Once again we choose v such that g(Pv, v) is maximal on the unit tangent space at p. Then g(Pv, Jv) = 0 and the Gauss equation becomes

$$R(v, Jv)v = -\frac{2}{3} \left(Jv + g(Pv, v)PJv \right) + 2JA_{h(v,v)}v$$

We now consider two cases.

CASE 1: $g(Pv, v) \neq 0$. By the Gauss equation PJv has to be tangent. As this vector is orthogonal to v, we conclude that PJv is a non-zero multiple of Jv and thus $g(PJv, Jv) = \pm 1$. But then g(Pv, v)PJv = -Jv and

$$K = -R(v, Jv, v, Jv) = -2||h(v, v)||^2.$$

Therefore $||A_{h(v,v)}v||^2 = ||h(v,v)||^4 = K^2/4$. Furthermore, since Pv and PJv are tangent vectors, one obtains

$$g(\tilde{R}(v, Jv)h(v, v), Jh(v, v)) = -\frac{1}{6} \|h(v, v)\|^2$$

Then Ricci's equation is

$$g(R^{\perp}(v, Jv)h(v, v), Jh(v, v)) = g(\tilde{R}(v, Jv)h(v, v), Jh(v, v)) + g(A_{h(v,v)}A_{Jh(v,v)}v, Jv) - g(A_{Jh(v,v)}A_{h(v,v)}v, Jv) = -\frac{1}{6} \|h(v, v)\|^2 - 2\|A_{h(v,v)}v\|^2 = \frac{1}{12}K - \frac{1}{2}K^2.$$

On the other hand the Ricci identity gives

$$g(R^{\perp}(v, Jv)h(v, v), Jh(v, v)) = 2g(h(R(v, Jv)v, v), Jh(v, v))$$

= -2Kg(Jh(v, v), Jh(v, v))
= K².

Combining the Ricci equation and Ricci identity gives the quadratic equation

$$\frac{3}{2}K^2 - \frac{1}{12}K = 0.$$

Hence K = 0 since $K = -2||h(v, v)||^2$ cannot be positive.

CASE 2: g(Pv, v) = 0. We shall proceed in a similar way as in the previous case. If g(Pv, v) = 0, then P clearly maps tangent vectors into normal ones. The Gauss equation gives

$$K = \frac{2}{3} - 2 \|h(v, v)\|^2.$$

The Ricci equation gives

$$g(R^{\perp}(v, Jv)h(v, v), Jh(v, v)) = -\frac{1}{6} ||h(v, v)||^2 - 2||A_{h(v,v)}v||^2 + \frac{2}{3} \left(g(PJv, h(v, v))^2 + g(Pv, h(v, v))^2 \right) = -\frac{1}{6} ||h(v, v)||^2 - 2||h(v, v)||^4 = -\frac{1}{2}K^2 + \frac{3}{4}K - \frac{5}{18}$$

by Lemma 3.1, and the Ricci identity becomes

$$g(R^{\perp}(v, Jv)h(v, v), Jh(v, v)) = -2K ||h(v, v)||^2 = K^2 - \frac{2}{3}K.$$

Thus we have the equation

$$\frac{3}{2}K^2 - \frac{17}{12}K + \frac{5}{18} = 0.$$

The roots are 2/3 and 5/18. This proves the theorem.

We note that both cases occuring in Theorem 3.3 will be improved by later results: Case 1 will be improved by Theorem 4.2 and Case 2 by Theorem 3.12.

Next we are going to study almost complex surfaces in $S^3 \times S^3$ more systematically. In order to do so we will use isothermal coordinates on the surface. We will use these coordinates amongst other tools to show that an almost complex submanifold M such that $PTM \subset T^{\perp}M$ locally corresponds to an associated constant mean curvature (CMC) surface in Euclidean 3-space \mathbb{R}^3 . Furthermore, the metrics on the almost complex surface and its associated CMC surface are equal up to a factor 2. This is the content of Theorem 3.10 and Corollary 3.11.

In the computations we will use that the product of two imaginary quaternions is

$$xy = -x \cdot y + x \times y,$$

where \cdot is the usual inner product on \mathbb{R}^3 and \times is the vector product on \mathbb{R}^3 .

Let $\phi: M \to S^3 \times S^3: (u, v) \mapsto (p(u, v), q(u, v))$ be an almost complex immersion, where (u, v) are isothermal coordinates on the surface M. We write $\phi_u = (p_u, q_u)$ and $\phi_v = (p_v, q_v)$. Since the coordinates are isothermal, we may assume that $\phi_v = J\phi_u$ by interchanging u and v, if necessary. Furthermore, as p and q have unit length, there are welldefined local functions $\tilde{\alpha}, \tilde{\beta}, \tilde{\gamma}$ and $\tilde{\delta}$ from M to \mathbb{R}^3 such that

(20)
$$p_u = p\tilde{\alpha}, \quad p_v = p\tilde{\beta}, \quad q_u = q\tilde{\gamma}, \quad q_v = q\tilde{\delta}.$$

Then $\phi_v = J\phi_u$ gives

$$(p\tilde{\beta},q\tilde{\delta}) = \frac{1}{\sqrt{3}} (p(2\tilde{\gamma}-\tilde{\alpha}),q(-2\tilde{\alpha}+\tilde{\gamma})),$$

or

(21)
$$\tilde{\gamma} = \frac{1}{2}\tilde{\alpha} + \frac{\sqrt{3}}{2}\tilde{\beta}, \quad \tilde{\delta} = -\frac{\sqrt{3}}{2}\tilde{\alpha} + \frac{1}{2}\tilde{\beta}.$$

The integrability condition $p_{uv} = p_{vu}$ yields

$$\tilde{\alpha}_v - \tilde{\beta}_u = 2\tilde{\alpha} \times \tilde{\beta}$$

The other integrability condition $q_{uv} = q_{vu}$ gives $\tilde{\gamma}_v - \tilde{\delta}_u = 2\tilde{\gamma} \times \tilde{\delta}$, which in terms of $\tilde{\alpha}$ and $\tilde{\beta}$ becomes

$$\tilde{\alpha}_u + \tilde{\beta}_v = \frac{2}{\sqrt{3}} \tilde{\alpha} \times \tilde{\beta} \,.$$

Now we write $\alpha = \cos \theta \tilde{\alpha} + \sin \theta \tilde{\beta}$ and $\beta = -\sin \theta \tilde{\alpha} + \cos \theta \tilde{\beta}$, where $\theta = 2\pi/3$; i.e., we rotate $\tilde{\alpha}$ and $\tilde{\beta}$ over $2\pi/3$ radians. The two previous equations become

(22)
$$\alpha_v = \beta_u \,,$$

(23)
$$\alpha_u + \beta_v = -\frac{4}{\sqrt{3}}\alpha \times \beta$$

LEMMA 3.4. The pull back of the one-form $\alpha du + \beta dv$ is a well-defined closed one form on M.

PROOF. The differential form $\alpha du + \beta dv$ is the composite of the form $p^{-1}dp$ preceded by rotation in the tangent spaces by $2\pi/3$, and as such its pullback is globally defined and hence the lemma holds.

Assume now that *M* is simply connected. In that case, we know that any closed 1-form is automatically exact. Hence there exists a function ε such that $\varepsilon_u = \alpha$, $\varepsilon_v = \beta$ and

(24)
$$\varepsilon_{uu} + \varepsilon_{vv} = -\frac{4}{\sqrt{3}}\varepsilon_u \times \varepsilon_v$$

This equation is known as the *H*-surface equation (cf. [13]). Of course, as we started with isothermal coordinates we must have that $\varepsilon_{\mu}^2 + \varepsilon_{\nu}^2 \neq 0$.

Note that the converse also holds. Indeed, given a solution of the *H*-surface equation, which can be seen as an equation on a surface (see [13, p. 501]), we can define $\alpha = \varepsilon_u$

and $\beta = \varepsilon_v$. By rotating α and β we get $\tilde{\alpha} = \cos(2\pi/3)\alpha - \sin(2\pi/3)\beta$ and $\tilde{\beta} = \sin(2\pi/3)\alpha + \cos(2\pi/3)\beta$. The relations (21) then give $\tilde{\gamma}$ and $\tilde{\delta}$. Finally by solving the linear first order system of differential equations (20) we get an almost complex surface in $S^3 \times S^3$.

Note also that changing the almost complex surface by an isometry $(p,q) \mapsto (apc^{-1}, bqc^{-1})$, where a, b, c are unit quaternions, implies that

$$\alpha^* = c\alpha c^{-1}$$
$$\beta^* = c\beta c^{-1},$$

where we denote the new objects by adding a *. Since S^3 is the double cover of SO(3) (see e.g. [5, p. 3]) we can represent every element of SO(3) as conjugation by a unit quaternion, determined up to a sign. Therefore α and β change by a rotation and after integration ε changes by an isometry of \mathbb{R}^3 .

Conversely, applying an Euclidean isometry to the surface ε gives $c\varepsilon c^{-1}+d$ for some unit quaternion c and an imaginary quaternion d. Deriving this expression with respect to u and vwe get $c\alpha c^{-1}$ and $c\beta c^{-1}$. Performing a rotation over $2\pi/3$ and using (21), we see that $\tilde{\alpha}, \tilde{\beta}, \tilde{\gamma}$ and $\tilde{\delta}$ change by conjugation with c. We obtain the value of c and then integrating the system of differential equations (20) will give solutions, up to the choice of initial conditions. This choice of initial conditions determines the unit quaternions a and b in the isometry $(p, q) \mapsto$ (apc^{-1}, bqc^{-1}) of $S^3 \times S^3$. Finally note that changing the sign of a, b and c does not change the almost complex surface, implying that the almost complex surface does not depend on the choice of the sign of c. Therefore, we have shown the following theorem:

THEOREM 3.5. There is a one-to-one correspondence between almost complex surfaces in $S^3 \times S^3$ and solutions of the general H-system equation. Moreover, two solutions are congruent in \mathbb{R}^3 if and only if the associated solutions in $S^3 \times S^3$ are congruent.

We now introduce the differential $\Lambda dz^2 = g(P\phi_z, \phi_z) dz^2$. Before proving our main results, we show that Λdz^2 is a globally defined holomorphic differential.

LEMMA 3.6. The following Cauchy-Riemann equations hold:

$$(\alpha \cdot \beta)_u = \frac{1}{2} (\alpha \cdot \alpha - \beta \cdot \beta)_v,$$

$$(\alpha \cdot \beta)_v = -\frac{1}{2} (\alpha \cdot \alpha - \beta \cdot \beta)_u.$$

PROOF. Multiplying equations (22) and (23) with α and β gives

$$\begin{aligned} \alpha_v \cdot \alpha - \beta_u \cdot \alpha &= 0, \\ \alpha_v \cdot \beta - \beta_u \cdot \beta &= 0, \end{aligned} \qquad \qquad \beta_v \cdot \alpha + \alpha_u \cdot \alpha &= 0, \\ \beta_v \cdot \beta + \alpha_u \cdot \beta &= 0. \end{aligned}$$

The proof immediately follows.

LEMMA 3.7. The pull back of Λdz^2 is a holomorphic differential which is globally defined on M.

PROOF. Using $\phi_v = J\phi_u$, one gets

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$$4\Lambda = g(P\phi_u - iP\phi_v, \phi_u - i\phi_v)$$

= 2g(P\phi_u, \phi_u) - 2ig(P\phi_u, J\phi_u),

i.e., $2\Lambda = q(P\phi_u, \phi_u) - i q(P\phi_u, J\phi_u)$. Recall that

$$\phi_{u} = \left(p\tilde{\alpha}, q\left(\frac{1}{2}\tilde{\alpha} + \frac{\sqrt{3}}{2}\tilde{\beta}\right)\right)$$
$$J\phi_{u} = \phi_{v} = \left(p\tilde{\beta}, q\left(-\frac{\sqrt{3}}{2}\tilde{\alpha} + \frac{1}{2}\tilde{\beta}\right)\right).$$

A simple calculation using the definition of the metric q and P then gives the real and imaginary parts of Λ :

(25)
$$\operatorname{Re} \Lambda = \frac{1}{4} (\alpha \cdot \alpha - \beta \cdot \beta) + \frac{\sqrt{3}}{2} \alpha \cdot \beta,$$
$$\operatorname{Im} \Lambda = \frac{\sqrt{3}}{4} (\alpha \cdot \alpha - \beta \cdot \beta) - \frac{1}{2} \alpha \cdot \beta.$$

From Lemma 3.6 it follows that $(\operatorname{Re} \Lambda)_u = (\operatorname{Im} \Lambda)_v$ and $(\operatorname{Re} \Lambda)_v = -(\operatorname{Im} \Lambda)_u$. Hence the Cauchy-Riemann equations for $\Lambda = g(P\phi_z, \phi_z)$ hold, so Λdz^2 is indeed a holomorphic differential.

Changing isothermal coordinates, we deduce that it is independent of the choice of isothermal coordinates and therefore defines a global holomorphic differential on M. Note that M is not required to be simply connected. П

LEMMA 3.8. Let M be an almost complex surface in $S^3 \times S^3$. Then the following are *equivalent*:

(1) $PTM \subset T^{\perp}M;$ (2) $A dz^2 = 0: and$

(2)
$$\Lambda dz^2 = 0$$
; and

(3) $\alpha \cdot \alpha = \beta \cdot \beta$ and $\alpha \cdot \beta = 0$.

PROOF. The almost product structure P maps tangent vectors into normal vectors if and only if $g(P\phi_u, \phi_u)$ and $g(P\phi_u, \phi_v)$ are zero. But $2\Lambda = g(P\phi_u, \phi_u) - ig(P\phi_u, J\phi_u)$, thus the first and second assertion are equivalent. Furthermore, $g(P\phi_u, \phi_u) = 0$ and $g(P\phi_u, \phi_v) = 0$ if and only if the equations (25) are zero if and only if $\alpha \cdot \alpha = \beta \cdot \beta$ and $\alpha \cdot \beta = 0$. Thus all assertions are equivalent.

The following corollary follows immediately from the previous lemma and the fact that a holomorphic differential on a 2-sphere vanishes.

COROLLARY 3.9. If M is an almost complex 2-sphere in $S^3 \times S^3$, then $PTM \subset T^{\perp}M$. THEOREM 3.10. The coordinates (u, v) are isothermal on ε iff Λdz^2 vanishes. In this case ε corresponds to a surface in \mathbb{R}^3 with constant mean curvature $H = -2/\sqrt{3}$.

PROOF. Since $\varepsilon_u = \alpha$ and $\varepsilon_v = \beta$, the first assertion follows from Lemma 3.8. From equation (24) we know that

$$2H\varepsilon_u \times \varepsilon_v = \varepsilon_{uu} + \varepsilon_{vv} = -\frac{4}{\sqrt{3}}\varepsilon_u \times \varepsilon_v$$

This proves the theorem.

COROLLARY 3.11. Let g be the induced metric on an almost complex surface M in $S^3 \times S^3$ and g' the metric on the associated surface in \mathbb{R}^3 . If $\Lambda dz^2 = 0$, then g = 2g'.

PROOF. If g is the induced metric on M, then $g(\phi_u, \phi_u) = \alpha \cdot \alpha + \beta \cdot \beta$, which is equal to $2\alpha \cdot \alpha$ by our assumption. Recall that $\varepsilon_u = \alpha$, $\varepsilon_v = \beta$ and so the corollary follows.

Now we are able to prove the remaining main results.

THEOREM 3.12. If M is an almost complex surface of $S^3 \times S^3$ with parallel second fundamental form, then M is totally geodesic.

PROOF. Suppose *M* is not totally geodesic. Then the associated CMC surface ε has Gaussian curvature $\frac{5}{9}$ by Theorem 3.3 and Corollary 3.11. But this is not possible since a surface in \mathbb{R}^3 with constant curvature and constant mean curvature is either a plane, a circular cylinder or a sphere. The first two examples have curvature 0, whereas the last one is totally umbilical and therefore, by Theorem 3.10, has curvature $H^2 = \frac{4}{3}$. The corresponding almost complex surface then has constant curvature $\frac{2}{3}$.

THEOREM 3.13. An almost complex topological 2-sphere S^2 in the nearly Kähler $S^3 \times S^3$ is totally geodesic.

PROOF. By Lemma 3.8 the differential Λdz^2 vanishes, so we have a CMC 2-sphere in \mathbb{R}^3 . This is a round sphere (by a theorem of H. Hopf), hence it is totally umbilical. Therefore the Gauss curvature of the CMC 2-sphere is $H^2 = 4/3$. Hence the Gauss curvature of the almost complex sphere in $S^3 \times S^3$ is 2/3. The Gauss equation then says

$$2\|h(v,v)\|^2 = \frac{2}{3} - K = 0,$$

so the topological 2-sphere is totally geodesic.

REMARK 3.14. From this theorem it follows that a compact almost complex surface M with Gaussian curvature $K \ge 0$ has constant curvature 0 or $\frac{2}{3}$. Indeed, if the curvature on M is not identically zero then by the Gauss-Bonnet theorem M is a 2-sphere. Then by the previous theorem M is totally geodesic and has curvature $\frac{2}{3}$.

4. Examples. In this last section we discuss two examples of totally geodesic almost complex surfaces in $S^3 \times S^3$.

EXAMPLE 4.1. Consider the immersion

$$f: \mathbb{R}^2 \to S^3 \times S^3: (s, t) \mapsto (\cos s + i \sin s, \cos t + i \sin t).$$

Then we have

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$$f_{s} = (-\sin s + i\cos s, 0),$$

$$f_{t} = (0, -\sin t + i\cos t),$$

$$Jf_{s} = \frac{1}{\sqrt{3}} (\sin s - i\cos s, 2(\sin t - i\cos t)),$$

$$Jf_{t} = \frac{1}{\sqrt{3}} (-2(\sin s - i\cos s), -\sin t + i\cos t)$$

Hence the immersion f is almost complex. Furthermore, $Pf_s = f_t$, so the almost product structure maps tangent vector to tangent vectors. Also, $g(f_s, f_s) = g(f_t, f_t) = \frac{4}{3}$ and $g(f_s, f_t) = -\frac{2}{3}$ are constant, so f is flat. A calculation gives $\tilde{R}(f_s, f_t, f_t, f_s) = 0$, so that by the Gauss equation and equation (5) this immersion is totally geodesic as well.

We now show that the above example is the only almost complex surface for which the almost product structure P maps tangent vectors to tangent vectors.

THEOREM 4.2. Let M be an almost complex surface for which P preserves the tangent space. Then M is locally congruent with the immersion

$$f: \mathbb{R}^2 \to S^3 \times S^3: (s, t) \mapsto (\cos s + i \sin s, \cos t + i \sin t).$$

PROOF. The endomorphism P maps tangent vectors to tangent vectors, is symmetric and compatible with the metric and anti-commutes with J. From this, it follows that P at every point of M has two different eigenvalues, so we can construct a global orthonormal frame e_1, e_2 such that

$$Pe_1 = e_1$$
$$Pe_2 = -e_2.$$

However it now follows that

$$0 = (\nabla_X P)e_1 = \nabla_X e_1 - P \nabla_X e_1 = 2 \nabla_X e_1.$$

In the last equation we used that $g(\nabla_X e_1, e_1) = 0$ and $Pe_2 = -e_2$. Hence $\nabla_{e_i} e_j = 0$, and we know that the immersion is flat and we can choose flat coordinates u and v such that $e_1 = \partial_u$ and $e_2 = \partial_v$. As these coordinates are flat we can use the previous formulas.

As $P\phi_u = \phi_u$, we must have that

$$\tilde{\alpha} = \sqrt{3}\tilde{\beta}$$
.

Hence, $\alpha = 0$ and $\beta = -2\tilde{\beta}$. As e_1 and e_2 are orthonormal we also have that β has constant unit length.

We now fix the initial condition by a rotation in \mathbb{R}^3 (or equivalently a conjugation by a unit quaternion c in $S^3 \times S^3$) in such a way that $\varepsilon_v(0, 0) = \beta(0, 0) = (1, 0, 0)$. Note that $\alpha = \varepsilon_u = 0$. We then see that the differential equation for the *H*-system implies that β is constant. We also choose initial conditions such that p(0, 0) = (1, 0, 0, 0) and q(0, 0) = (1, 0, 0, 0).

It follows that

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$$\begin{split} \tilde{\alpha} &= \left(\frac{\sqrt{3}}{2}, 0, 0\right), \qquad \qquad \tilde{\beta} &= \left(-\frac{1}{2}, 0, 0\right) \\ \tilde{\gamma} &= (0, 0, 0), \qquad \qquad \tilde{\delta} &= (-1, 0, 0). \end{split}$$

So we get that $q_u = 0$ and $q_v = -qi$, implying that $q = (\cos v, -\sin v, 0, 0)$. Similarly, $p_u = p \frac{\sqrt{3}i}{2}$ and $p_v = -\frac{i}{2}p$ has as solution

$$p(u,v) = \left(\cos\left(\frac{\sqrt{3}}{2}u - \frac{1}{2}v\right), \sin\left(\frac{\sqrt{3}}{2}u - \frac{1}{2}v\right), 0, 0\right)$$

A change of variable now completes the proof of the theorem.

EXAMPLE 4.3. Define

$$f: S^2 \subset \operatorname{Im} \mathbb{H} \to S^3 \times S^3: x \mapsto \frac{1}{2}(1 - \sqrt{3}x, 1 + \sqrt{3}x).$$

In order to do an explicit calculation we choose

 $x(u, v) = (\sin u \cos v, \sin u \sin v, \cos u)$

as a parametrization for S^2 . Also note that, if we write f(u, v) = (p(u, v), q(u, v)), we have $p(u, v)(q(u, v))^{-1} = -q(u, v)$ and $q(u, v)(p(u, v))^{-1} = -p(u, v)$. A calculation then gives

$$f_{u} = \frac{\sqrt{3}}{2}(-x_{u}, x_{u}), \qquad f_{v} = \frac{\sqrt{3}}{2}(-x_{v}, x_{v}),$$
$$Jf_{u} = \frac{\sqrt{3}}{2}(-xx_{u}, xx_{u}), \qquad Jf_{v} = \frac{\sqrt{3}}{2}(-xx_{v}, xx_{v}).$$

From the parametrization of x it follows that $xx_u = \sin u x_v$ and $xx_v = -\sin u x_u$. Thus $Jf_u = \sin u f_v$ and $M = f(S^2)$ is an almost complex surface. Furthermore using the very definition of P and the metric g we obtain $g(Pf_u, f_u) = 0$ and $g(Pf_u, f_v) = 0$, thus P maps tangents vector into normal vectors. Therefore it follows from the expression of the curvature tensor \tilde{R} that the sectional curvature of the plane spanned by f_u and f_v is $\frac{2}{3}$. From the main theorem it follows that M is totally geodesic, so M has constant curvature $\frac{2}{3}$.

We now put our results together to conclude with the following theorem.

THEOREM 4.4. Any almost complex surface with parallel second fundamental form is locally congruent to one of the above two examples.

PROOF. If *P* maps tangent vectors to tangent vectors, we obtain the first example by Theorem 4.2. So we may assume that *P* maps tangent vectors into normal vectors and that *M* has constant curvature $\frac{2}{3}$ by Theorems 3.3 and 3.12. Furthermore, by Theorem 3.10 and Corollary 3.11, we can locally associate to *M* a surface in \mathbb{R}^3 with constant Gaussian curvature $\frac{4}{3}$ and constant mean curvature $H = -\frac{2}{\sqrt{3}}$. Hence these surfaces are totally umbilical and therefore mutually congruent. The correspondence theorem (Theorem 3.5) now completes the proof.

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