

L^p BOUNDEDNESS OF CARLESON TYPE MAXIMAL OPERATORS WITH NONSMOOTH KERNELS

YONG DING AND HONGHAI LIU

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Abstract. In this paper, the authors give the L^p boundedness of a class of the Carleson type maximal operators with rough kernel, which improves some known results.

1. Introduction. For $f \in L^2([-\pi, \pi])$ and $x \in [-\pi, \pi]$, the Carleson operator \mathcal{C}^* is defined by

$$(1.1) \quad \mathcal{C}^* f(x) = \sup_{\lambda \in \mathbf{R}} \left| \int_{-\pi}^{\pi} \frac{e^{-i\lambda t} f(t)}{x-t} dt \right|.$$

In 1966, using the weak type (2,2) of \mathcal{C}^* , Carleson [1] proved his celebrated theorem on almost everywhere convergence of Fourier series of L^2 functions on $[-\pi, \pi]$. Following that, Hunt [8] modified Carleson's proof and extended Carleson's theorem to L^p functions on $[-\pi, \pi]$ for $1 < p < \infty$.

In 1970, Sjölin [11] studied several variables analogue of the Carleson operator \mathcal{C}^* . Suppose that K is an appropriate Calderón-Zygmund kernel in \mathbf{R}^n , then the Carleson type maximal operator \mathcal{S}^* on \mathbf{R}^n is defined by

$$(1.2) \quad \mathcal{S}^*(f)(x) = \sup_{\lambda \in \mathbf{R}^n} \left| \int_{\mathbf{R}^n} e^{-i\lambda \cdot y} K(x-y) f(y) dy \right|,$$

where $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbf{R}^n$.

THEOREM A (Sjölin, [11]). *Let K satisfy the following conditions:*

- (a) $K(tx) = t^{-n} K(x)$, for $t > 0$;
- (b) $\int_{S^{n-1}} K(x') d\sigma(x') = 0$;
- (c) $K \in C^{n+1}(\mathbf{R}^n \setminus \{0\})$.

Then $\|\mathcal{S}^(f)\|_{L^p} \leq C_p \|f\|_{L^p}$ for $1 < p < \infty$.*

In 2001, Stein and Wainger [13] considered to extend Theorem A to a broader context. More precisely, the authors of [13] replaced the linear phase $\lambda \cdot y$ in the definition of \mathcal{S}^* by more general polynomial phase with a fixed degree. Let $P_\lambda(x) = \sum_{2 \leq |\alpha| \leq d} \lambda_\alpha x^\alpha$ be a

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polynomial in \mathbf{R}^n with real coefficients $\lambda := (\lambda_\alpha)_{2 \leq |\alpha| \leq d}$, where $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbf{Z}_+^n$ and $|\alpha| = \sum_{j=1}^n \alpha_j$. Define

$$T_\lambda(f)(x) = \int_{\mathbf{R}^n} e^{iP_\lambda(y)} K(y) f(x - y) dy.$$

Then the Carleson type maximal operator T^* is defined by

$$(1.3) \quad T^* f(x) = \sup_{\lambda} |T_\lambda(f)(x)|,$$

where the supremum is taken over all the real coefficients λ of P_λ . Stein and Wainger proved the following result:

THEOREM B (Stein-Wainger, [13]). *Suppose that $P_\lambda(x) = \sum_{2 \leq |\alpha| \leq d} \lambda_\alpha x^\alpha$ and K satisfies the following conditions:*

- (a) K is a tempered distribution and agrees with a C^1 function $K(x)$ for $x \neq 0$;
- (b) $\widehat{K} \in L^\infty$;
- (c) $|\partial_x^\gamma K(x)| \leq A|x|^{-n-|\gamma|}$ for $0 \leq |\gamma| \leq 1$.

Then the Carleson type maximal operator T^ defined in (1.3) is bounded on L^p for $1 < p < \infty$.*

In 2000, Prestini and Sjölin [9] gave the weighted analogue of Theorem A. Recently, we gave also a weighted variant of Theorem B under weaker conditions [4].

In this paper, we will study the L^p boundedness of the Carleson type maximal operators with rough kernels. Before giving our result, let us recall some definitions. Suppose that Ω is a measurable function on $\mathbf{R}^n \setminus \{0\}$ and satisfying the following conditions:

$$(1.4) \quad \Omega(tx) = \Omega(x) \quad \text{for any } x \in \mathbf{R}^n \setminus \{0\} \quad \text{and } t > 0;$$

$$(1.5) \quad \Omega \in L^1(S^{n-1}),$$

where S^{n-1} denotes the unit sphere in \mathbf{R}^n ($n \geq 2$) with area measure $d\sigma$;

$$(1.6) \quad \int_{S^{n-1}} \Omega(x') d\sigma(x') = 0.$$

Let $Q_\lambda(r) = \sum_{2 \leq k \leq d} \lambda_k r^k$ be a real-valued polynomial on \mathbf{R} and $\lambda = (\lambda_2, \dots, \lambda_d) \in \mathbf{R}^{d-1}$. With the notations above, the Carleson type maximal operator T^* associated to polynomial Q is defined by

$$(1.7) \quad T^*(f)(x) = \sup_{\lambda} |T_\lambda(f)(x)|,$$

where

$$(1.8) \quad T_\lambda(f)(x) = \int_{\mathbf{R}^n} e^{iQ_\lambda(|y|)} K(y) f(x - y) dy$$

and Ω satisfies (1.4) through (1.6). Our main result is following:

THEOREM 1.1. *Let T^* be given as in (1.7). If $\Omega \in H^1(S^{n-1})$, the Hardy space on S^{n-1} (see Section 2 for the definition of $H^1(S^{n-1})$), then for $1 < p < \infty$, there exists a constant $C > 0$ such that*

$$(1.9) \quad \|T^*(f)\|_{L^p} \leq C\|f\|_{L^p}.$$

Now we want to give two remarks on our main theorem.

REMARK 1. There are the following containing relationship among the function spaces on S^{n-1} :

$$C^1(S^{n-1}) \subsetneq L^\infty(S^{n-1}) \subsetneq L^q(S^{n-1}) (1 < q < \infty) \subsetneq H^1(S^{n-1}) \subsetneq L^1(S^{n-1}).$$

Hence, in the sense of removing the smoothness assumption on the kernel function K , Theorem 1.1 improves Theorem B.

REMARK 2. We should point out that the study of a singular integral with oscillating factor $e^{iQ_\lambda(|y|)}$ has an important motivation. In fact, the operator T_λ defined in (1.8) is a generalization of the stronger singular convolution operator, which was first studied by C. Fefferman in [6].

The proof of Theorem 1.1 is based on an idea of linearizing maximal operators and Stein-Wainger’s TT^* method presented in [13]. However, because the kernel of our objective operator lacks smoothness on the unit sphere, we need some new ideas to overcome the roughness of the kernel. Namely we use Calderón-Zygmund’s rotation method.

2. Notations and Lemmas. Let us begin with recalling the definition of the Hardy space $H^1(S^{n-1})$.

$$H^1(S^{n-1}) = \left\{ \Omega \in L^1(S^{n-1}); \|\Omega\|_{H^1(S^{n-1})} = \left\| \sup_{0 < r < 1} \left| \int_{S^{n-1}} \Omega(y') P_{r(\cdot)}(y') d\sigma(y') \right| \right\|_{L^1(S^{n-1})} < \infty \right\},$$

where $P_{rx'}(y')$ denotes the Poisson kernel on S^{n-1} defined by

$$P_{rx'}(y') = \frac{1 - r^2}{|rx' - y'|^n}, \quad 0 \leq r < 1 \quad \text{and} \quad x', y' \in S^{n-1}.$$

See [2], [5] or [7] for the properties of $H^1(S^{n-1})$.

In the proof of Theorem 1.1, we will apply the 1-dimensional variant of Stein-Wainger’s results. For a real polynomial $P(t) = \sum_{1 \leq k \leq d} \lambda_k t^k$ on \mathbf{R} with real coefficients $\lambda := (\lambda_1, \lambda_2, \dots, \lambda_d)$, we denote

$$(2.1) \quad |\lambda| = \sum_{1 \leq k \leq d} |\lambda_k|.$$

LEMMA 2.1 ([13, Proposition 2.1]). *Assume that φ is a C^1 function defined in the unit interval $I = (-1, 1)$, V is any subinterval of I and $P(t) = \sum_{1 \leq k \leq d} \lambda_k t^k$ is a polynomial on*

\mathbf{R} of degree d . Then

$$\left| \int_V e^{iP(t)} \varphi(t) dt \right| \leq C |\lambda|^{-1/d} \sup_{t \in I} (|\varphi(t)| + |\varphi'(t)|).$$

The constant C depends on the degree d , but not on P , φ or V .

LEMMA 2.2 ([13, Proposition 2.2]). *With the same notation as above in Lemma 2.1,*

$$|\{t \in I; |P(t)| \leq \varepsilon\}| \leq C_d \varepsilon^{1/d} |\lambda|^{-1/d} \quad \text{for any } \varepsilon > 0.$$

The constant C_d does not depend on the coefficients of P , but on the degree d .

We also need the following L^p boundedness for a variant of the Hardy-Littlewood maximal operator.

LEMMA 2.3 ([13, Proposition 3.1]). *Let $I_2 = (-2, 2)$, E is the measurable subset of I_2 and χ_E denotes the characteristic function of E . For $\varepsilon > 0$, the maximal operator \mathcal{M}_ε is defined by*

$$(2.2) \quad \mathcal{M}_\varepsilon(f)(t) = \sup_{\substack{a>0 \\ |E| \leq \varepsilon}} |f| * (\chi_E)_a(t),$$

where $(\chi_E)_a(t) = a^{-1} \chi_E(t/a)$ for $a > 0$, and the supremum is taken over all subsets E in I_2 of measure less than ε . Then for $1 < p < \infty$, there exists a constant $c > 0$, independent of ε , such that

$$(2.3) \quad \|\mathcal{M}_\varepsilon(f)\|_{L^p(\mathbf{R})} \leq C \varepsilon^{1-1/p} \|f\|_{L^p(\mathbf{R})}.$$

3. The proof of main result. We now turn to the proof of the main result in this paper. It is obvious that

$$(3.1) \quad T^*(f)(x) = \sup_{\lambda} |T_\lambda(f)(x)| \leq \sup_{\lambda \neq \mathbf{0}} |T_\lambda(f)(x)| + |T_\Omega(f)(x)|,$$

where T_Ω denotes the singular integral operator, which is defined by

$$T_\Omega(f)(x) = \text{p.v.} \int_{\mathbf{R}^n} \frac{\Omega(y)}{|y|^n} f(x - y) dy.$$

Since $\Omega \in H^1(S^{n-1})$, by the L^p boundedness of T_Ω (see [3] and [10]), we may assume that the first supremum in (3.1) is taken over all the nonzero vectors $\lambda = (\lambda_2, \dots, \lambda_d)$.

Let $\psi \in C_0^\infty(\mathbf{R}_+)$ be a nonnegative function such that $\text{supp}(\psi) \subseteq \{1/4 < t < 1\}$ and

$$\sum_{j=-\infty}^\infty \psi_j(t) = 1 \quad \text{for } t > 0,$$

where $\psi_j(t) = \psi(2^{-j}t)$. Denote $K(y) = \Omega(y)|y|^{-n}$ and decompose the kernel K by

$$K(y) = \sum_{j=-\infty}^\infty K_j(y),$$

where $K_j(y) = \psi_j(|y|)K(y)$. For $\lambda \in \mathbf{R}^{d-1} \setminus \{\mathbf{0}\}$, let $j_0 \in \mathbf{Z}$ such that $2^{j_0} \leq 1/N(\lambda) < 2^{j_0+1}$, where $N(\lambda)$ is given by

$$N(\lambda) = \sum_{2 \leq k \leq d} |\lambda_k|^{1/k}.$$

Thus, we may write

$$(3.2) \quad T_\lambda f(x) = T_\lambda^- f(x) + T_\lambda^+ f(x),$$

where

$$(3.3) \quad T_\lambda^- f(x) = \sum_{j \leq j_0} \int_{\mathbf{R}^n} e^{iQ_\lambda(|y|)} K_j(y) f(x-y) dy \quad \text{and} \quad T_\lambda^+ f(x) = T_\lambda f(x) - T_\lambda^- f(x).$$

We first give the estimate of $\| \sup_\lambda |T_\lambda^-(f)| \|_{L^p}$. Note that $\sum_{j \leq j_0} K_j(y) = K(y)$ for $|y| \leq 2^{j_0-1}$ and $\psi \in C_0^\infty(\mathbf{R}^n)$. Thus

$$(3.4) \quad \begin{aligned} |T_\lambda^-(f)(x)| &\leq \left| \int_{|y| \leq 2^{j_0-1}} e^{iQ_\lambda(|y|)} K(y) f(x-y) dy \right| \\ &\quad + \int_{2^{j_0-1} \leq |y| \leq 2^{j_0}} \frac{|\Omega(y)|}{|y|^n} |f(x-y)| dy \\ &=: I + II. \end{aligned}$$

It is easy to see that

$$II \leq CM_\Omega f(x),$$

where $C = C(n)$ and M_Ω is the maximal operator with homogeneous kernel defined by

$$M_\Omega f(x) = \sup_{t>0} \frac{1}{t^n} \int_{|y| \leq t} |\Omega(y)| |f(x-y)| dy.$$

Now we consider the term I . Note that

$$|e^{iQ_\lambda(|y|)} - 1| \leq C \sum_{2 \leq k \leq d} |\lambda_k| |y|^k \leq C \sum_{2 \leq k \leq d} N(\lambda)^k |y|^k \leq CN(\lambda) |y|,$$

since $|\lambda_k| \leq N(\lambda)^k$ and $N(\lambda) |y| < 1$ for $|y| \leq 2^{j_0-1}$. Then, the term I can be dominated by

$$\begin{aligned} &\left| \int_{|y| \leq 2^{j_0-1}} \frac{\Omega(y)}{|y|^n} f(x-y) dy \right| + \left| \int_{|y| \leq 2^{j_0-1}} (e^{iQ_\lambda(|y|)} - 1) \frac{\Omega(y)}{|y|^n} f(x-y) dy \right| \\ &\leq \left| \int_{\mathbf{R}^n} \frac{\Omega(y)}{|y|^n} f(x-y) dy \right| + \sup_{\varepsilon>0} \left| \int_{|y| \geq \varepsilon} \frac{\Omega(y)}{|y|^n} f(x-y) dy \right| \\ &\quad + CN(\lambda) \int_{|y| \leq \frac{1}{2N(\lambda)}} \frac{|\Omega(y)|}{|y|^{n-1}} |f(x-y)| dy \\ &\leq |T_\Omega(f)(x)| + T_\Omega^*(f)(x) + CM_\Omega(f)(x), \end{aligned}$$

where the constant C is independent on λ and T_{Ω}^* denotes the truncated singular integral maximal operator with homogeneous kernel, which is defined by

$$T_{\Omega}^*(f)(x) = \sup_{\varepsilon > 0} \left| \int_{|y| \geq \varepsilon} \frac{\Omega(y)}{|y|^n} f(x - y) dy \right|.$$

Hence,

$$(3.5) \quad |T_{\lambda}^-(f)(x)| \leq |T_{\Omega}(f)(x)| + T_{\Omega}^*(f)(x) + CM_{\Omega}(f)(x).$$

Thus, by the L^p boundedness of T_{Ω} , T_{Ω}^* and M_{Ω} (see [3], [5] or [7]), we have

$$(3.6) \quad \left\| \sup_{\lambda} |T_{\lambda}^-(f)| \right\|_{L^p} \leq C \|f\|_{L^p},$$

where the constant C is independent of λ .

Following that, we will estimate $\| \sup_{\lambda} |T_{\lambda}^+(f)| \|_{L^p}$. For $\delta > 0$ and $\lambda = (\lambda_2, \dots, \lambda_d)$, we denote

$$\delta \circ \lambda = \sum_{2 \leq k \leq d} \delta^k \lambda_k.$$

Noticing that j_0 depends on λ and $N(2^j \circ \lambda) = 2^j N(\lambda)$, we have

$$(3.7) \quad \begin{aligned} \sup_{\lambda} |T_{\lambda}^+ f(x)| &= \sup_{\lambda} \left| \sum_{j > j_0} N(2^j \circ \lambda)^{-\delta_0} N(2^j \circ \lambda)^{\delta_0} T_{\lambda}^j f(x) \right| \\ &\leq \sup_{\lambda} \left(\sup_{j > j_0} |N(2^j \circ \lambda)^{\delta_0} T_{\lambda}^j f(x)| \right) \sum_{j=j_0+1}^{\infty} N(2^j \circ \lambda)^{-\delta_0} \\ &\leq C \sup_{\lambda} \sup_{2^j > 1/N(\lambda)} |N(2^j \circ \lambda)^{\delta_0} T_{\lambda}^j f(x)| \\ &= C \sup_j \sup_{N(2^j \circ \lambda) > 1} |N(2^j \circ \lambda)^{\delta_0} T_{\lambda}^j f(x)|, \end{aligned}$$

where δ_0 is a positive number which will be chosen later. It is trivial that, for $j \in \mathbf{Z}$,

$$Q_{\lambda}(|y|) = \sum_{2 \leq k \leq d} \lambda_k |y|^k = \sum_{2 \leq k \leq d} 2^{jk} \lambda_k |2^{-j} y|^k = Q_{2^j \circ \lambda}(|2^{-j} y|)$$

and

$$(3.8) \quad \begin{aligned} T_{\lambda}^j f(x) &= \int_{\mathbf{R}^n} e^{i Q_{\lambda}(|y|)} \psi_j(|y|) \frac{\Omega(y)}{|y|^n} f(x - y) dy \\ &= \int_{\mathbf{R}^n} e^{i Q_{2^j \circ \lambda}(|2^{-j} y|)} \psi(2^{-j} |y|) \frac{\Omega(y)}{|y|^n} f(x - y) dy. \end{aligned}$$

There exists a constant $C_0 > 0$, such that $N(\lambda) \leq C_0|\lambda|$ for any vector λ satisfying $N(\lambda) \geq 1$ (see [13, p. 797]). Then, by (3.8),

$$\begin{aligned}
 & \sup_j \sup_{N(2^j \circ \lambda) > 1} N(2^j \circ \lambda)^{\delta_0} |T_\lambda^j f(x)| \\
 & \leq \sup_{a > 0} \sup_{N(\lambda) > 1} N(\lambda)^{\delta_0} \left| \int_{\mathbf{R}^n} e^{iQ_\lambda(|ay|)} \psi(a|y|) \frac{\Omega(y)}{|y|^n} f(x-y) dy \right| \\
 (3.9) \quad & \leq C \sum_{l=0}^{\infty} 2^{l\delta_0} \sup_{\substack{N(\lambda) \geq 2^l \\ a > 0}} \left| \int_{\mathbf{R}^n} e^{iQ_\lambda(|ay|)} \psi(a|y|) \frac{\Omega(y)}{|y|^n} f(x-y) dy \right| \\
 & \leq C \sum_{l=0}^{\infty} 2^{l\delta_0} \sup_{\substack{|\lambda| \geq 2^l / C_0 \\ a > 0}} \left| \int_{\mathbf{R}^n} e^{iQ_\lambda(|ay|)} \psi(a|y|) \frac{\Omega(y)}{|y|^n} f(x-y) dy \right|.
 \end{aligned}$$

If we can show that there is a $\delta_p > 0$ such that

$$\begin{aligned}
 (3.10) \quad & \left(\int_{\mathbf{R}^n} \sup_{\substack{|\lambda| \geq 2^l / C_0 \\ a > 0}} \left| \int_{\mathbf{R}^n} e^{iQ_\lambda(|ay|)} \psi(a|y|) a^n K(ay) f(x-y) dy \right|^p dx \right)^{1/p} \\
 & \leq C 2^{-l\delta_p} \|f\|_{L^p},
 \end{aligned}$$

then taking $\delta_0 = \delta_p/2$ and by (3.7) and (3.9), we have

$$\left\| \sup_\lambda |T_\lambda^+(f)| \right\|_{L^p} \leq C \|f\|_{L^p}.$$

Thus, to complete the proof of Theorem 1.1, we just need to show inequality (3.10). It is easy to see that, to get (3.10), we need only to show for $t \geq 1/C_0$,

$$(3.11) \quad \left\| \sup_{\substack{|\lambda| \geq t \\ a > 0}} \left| \int_{\mathbf{R}^n} e^{iQ_\lambda(|ay|)} \psi(a|y|) \frac{\Omega(y)}{|y|^n} f(\cdot - y) dy \right| \right\|_{L^p} \leq C t^{-\delta_p} \|f\|_{L^p}.$$

By a polar coordinate transformation, we have

$$\begin{aligned}
 & \sup_{\substack{|\lambda| \geq t \\ a > 0}} \left| \int_{\mathbf{R}^n} e^{iQ_\lambda(|ay|)} \psi(a|y|) \frac{\Omega(y)}{|y|^n} f(x-y) dy \right| \\
 & \leq \int_{S^{n-1}} |\Omega(y')| \sup_{\substack{|\lambda| \geq t \\ a > 0}} \left| \int_0^\infty e^{iQ_\lambda(ar)} \psi(ar) \frac{1}{r} f(x-ry') dr \right| d\sigma(y').
 \end{aligned}$$

By the above inequality and Minkowski's inequality, we have

$$\begin{aligned}
 & \left(\int_{\mathbf{R}^n} \sup_{\substack{|\lambda| \geq t \\ a > 0}} \left| \int_{\mathbf{R}^n} e^{iQ_\lambda(|ay|)} \psi(a|y|) \frac{\Omega(y)}{|y|^n} f(x-y) dy \right|^p dx \right)^{1/p} \\
 & \leq \left[\int_{\mathbf{R}^n} \left(\int_{S^{n-1}} |\Omega(y')| \sup_{\substack{|\lambda| \geq t \\ a > 0}} \left| \int_0^\infty e^{iQ_\lambda(ar)} \psi(ar) \frac{1}{r} f(x-ry') dr \right| d\sigma(y') \right)^p dx \right]^{1/p} \\
 (3.12) \quad & \leq \int_{S^{n-1}} |\Omega(y')| \left(\int_{\mathbf{R}^n} \sup_{\substack{|\lambda| \geq t \\ a > 0}} \left| \int_0^\infty e^{iQ_\lambda(ar)} \psi(ar) \frac{1}{r} f(x-ry') dr \right|^p dx \right)^{1/p} d\sigma(y') \\
 & = \int_{S^{n-1}} |\Omega(y')| \left(\int_{L_{y'}^\perp} \sup_{\substack{|\lambda| \geq t \\ a > 0}} \left| \int_0^\infty e^{iQ_\lambda(ar)} \psi(ar) \right. \right. \\
 & \quad \left. \left. \times \frac{1}{r} f(z+(s-r)y') dr \right|^p ds dz \right)^{1/p} d\sigma(y'),
 \end{aligned}$$

where for fixed $y' \in S^{n-1}$, $L_{y'}$ denotes the line through the origin containing y' . Thus for $x \in \mathbf{R}^n$, there are $s \in \mathbf{R}$ and $z \in L_{y'}^\perp$ such that $x = sy' + z$ and this decomposition is unique. Moreover, for fixed y' and $z \in L_{y'}^\perp$, denote $f(z + sy')$ by $f_{y',z}(s)$. It is obvious that

$$\begin{aligned}
 & \int_{\mathbf{R}} \sup_{\substack{|\lambda| \geq t \\ a > 0}} \left| \int_0^\infty e^{iQ_\lambda(ar)} \psi(ar) \frac{1}{r} f(z+(s-r)y') dr \right|^p ds \\
 & \leq \sum_{k=0}^\infty \int_{\mathbf{R}} \sup_{\substack{2^{k+1}t \geq |\lambda| \geq 2^k t \\ a > 0}} \left| \int_0^\infty e^{iQ_\lambda(ar)} \psi(ar) \frac{1}{r} f_{y',z}(s-r) dr \right|^p ds.
 \end{aligned}$$

Now, for $t \geq 1/C_0$, we define a maximal operator \mathcal{R}_t by

$$\mathcal{R}_t(g)(s) = \sup_{\substack{2t \geq |\lambda| \geq t \\ a > 0}} \left| \int_0^\infty e^{iQ_\lambda(ar)} \psi(ar) \frac{1}{r} g(s-r) dr \right|.$$

If we can show that there exists a $C > 0$ such that, for $t \geq 1/C_0$ and $g \in L^p(\mathbf{R})$ ($1 < p < \infty$),

$$(3.13) \quad \|\mathcal{R}_t(g)\|_{L^p(\mathbf{R})} \leq Ct^{-\delta_p} \|g\|_{L^p(\mathbf{R})},$$

then by (3.12),

$$\begin{aligned} & \left(\int_{\mathbf{R}^n} \sup_{\substack{|\lambda| \geq t \\ a > 0}} \left| \int_{\mathbf{R}^n} e^{iQ_\lambda(|ay|)} \psi(a|y|) \frac{\Omega(y)}{|y|^n} f(x-y) dy \right|^p dx \right)^{1/p} \\ & \leq \int_{S^{n-1}} |\Omega(y')| \left(\int_{L_{y'}^\perp} \sum_{k=0}^\infty \|\mathcal{R}_{2^k t}(f_{y',z}(\cdot))\|_{L^p(\mathbf{R})}^p dz \right)^{1/p} d\sigma(y') \\ & \leq Ct^{-\delta_p} \int_{S^{n-1}} |\Omega(y')| \left(\int_{L_{y'}^\perp} \sum_{k=0}^\infty 2^{-kp\delta_p} \int_{\mathbf{R}} |f_{y',z}(s)|^p ds dz \right)^{1/p} d\sigma(y') \\ & \leq Ct^{-\delta_p} \|\Omega\|_{L^1(S^{n-1})} \|f\|_{L^p(\mathbf{R}^n)}. \end{aligned}$$

Hence, to get (3.11), it suffices to prove (3.13). Note that ψ is a smooth function supported on $(1/4, 1)$. It is trivial that

$$\left| \int_0^\infty e^{iQ_\lambda(ar)} \psi(ar) \frac{1}{r} g(s-r) dr \right| \leq 4a \int_{1/4a}^{1/a} |g(s-r)| dr \leq CM(g)(s),$$

where M denotes the Hardy-Littlewood maximal operator on \mathbf{R} . Thus, for $1 < p < \infty$,

$$(3.14) \quad \|\mathcal{R}_t(g)\|_{L^p(\mathbf{R})} \leq C \|g\|_{L^p(\mathbf{R})},$$

where C is independent of t . If we can prove that, for some $\delta_2 > 0$,

$$(3.15) \quad \|\mathcal{R}_t(g)\|_{L^2(\mathbf{R})} \leq Ct^{-\delta_2} \|g\|_{L^2(\mathbf{R})}$$

with C is independent of t , then (3.13) follows by using Marcinkiewicz interpolation theorem between (3.14) and (3.15) with $\delta_p = \min\{2/p, 2/p'\}\theta\delta_2$, where $0 < \theta < p/(2p-1)$.

We devote ourselves to the proof of (3.15) in the following. By the definition of \mathcal{R}_t , for fixed $s \in \mathbf{R}$, there are a nonzero vector $\lambda(s)$ in \mathbf{R}^{d-1} satisfying $t \leq |\lambda(s)| \leq 2t$ and a positive number $a(s)$ such that

$$(3.16) \quad \left| \int_0^\infty e^{iQ_{\lambda(s)}(a(s)r)} \psi(a(s)r) \frac{1}{r} g(s-r) dr \right| \geq \frac{1}{2} \mathcal{R}_t(g)(s).$$

For fixed vector valued function $\lambda(\cdot)$ and positive real valued function $a(\cdot)$, we define

$$\mathcal{L}_{\lambda,a}(g)(s) = \int_{\mathbf{R}} e^{iQ_{\lambda(s)}(a(s)r)} \psi(a(s)r) \frac{1}{r} g(s-r) dr.$$

Thus, by (3.16), to get (3.15) we just need to estimate the L^2 norm of $\mathcal{L}_{\lambda,a}(g)$. That is, we have to prove

$$(3.17) \quad \|\mathcal{L}_{\lambda,a}(g)\|_{L^2(\mathbf{R})} \leq Ct^{-\delta_2} \|g\|_{L^2(\mathbf{R})},$$

where C is independent of t and the choices of $\lambda(\cdot)$ and $a(\cdot)$.

For fixed $\lambda(\cdot)$ and $a(\cdot)$, $\mathcal{L}_{\lambda,a}^*$ denote the adjoint operator of $\mathcal{L}_{\lambda,a}$. Thus, $\mathcal{L}_{\lambda,a}^*$ can be represented as

$$\mathcal{L}_{\lambda,a}^*(h)(r) = \int_{\mathbf{R}} e^{-iQ_{\lambda(s)}(a(s)(s-r))} \psi(a(s)(s-r)) \frac{1}{s-r} h(s) ds.$$

We consider the L^2 norm of $\mathcal{L}_{\lambda,a}\mathcal{L}_{\lambda,a}^*(g)$. It is easy to verify that

$$\mathcal{L}_{\lambda,a}\mathcal{L}_{\lambda,a}^*(g)(s) = \int_{\mathbf{R}} \mathcal{K}(s, u)g(u)du ,$$

where

$$\begin{aligned} \mathcal{K}(s, u) &= \int_{\mathbf{R}} e^{iQ_{\lambda(s)}(a(s)r)} e^{-iQ_{\lambda(u)}(a(u)(u-s+r))} \psi(a(s)r) \frac{1}{r} \psi(a(u)(u-s+r)) \frac{1}{u-s+r} dr \\ &= \left(e^{iQ_{\lambda(s)}(a(s)\cdot)} \psi(a(s)\cdot) \frac{1}{\cdot} \right) * \left(e^{-iQ_{\lambda(u)}(-a(u)\cdot)} \psi(-a(u)\cdot) \frac{1}{(-\cdot)} \right) (s-u). \end{aligned}$$

We claim that

$$(3.18) \quad |\mathcal{K}(s, u)| \leq C \left\{ t^{-2\delta_2} a(s) \chi_{I_2}(a(s)(s-u)) + a(s) \chi_{E_{\lambda(s)}}(a(s)(s-u)) \right. \\ \left. + t^{-2\delta_2} a(u) \chi_{I_2}(a(u)(s-u)) + a(u) \chi_{E_{\lambda(u)}}(a(u)(s-u)) \right\} ,$$

where $E_{\lambda(s)}$ and $E_{\lambda(u)}$ are subsets of $I_2 := (-2, 2)$ satisfying $|E_{\lambda(s)}|, |E_{\lambda(u)}| \leq t^{-4\delta_2}$ for $\delta_2 = (6d)^{-1}$. Once we verify (3.18), then (3.17) can be deduced from (3.18). In fact,

$$\begin{aligned} |(\mathcal{L}_{\lambda,a}\mathcal{L}_{\lambda,a}^*(g), \ell)| &\leq \int_{\mathbf{R}} \int_{\mathbf{R}} |\mathcal{K}(s, u)| |g(u)| |\ell(s)| duds \\ &\leq Ct^{-2\delta_2} \int_{\mathbf{R}} |\ell(s)| a(s) \int_{|s-u| \leq 2/a(s)} |g(u)| duds \\ &\quad + C \int_{\mathbf{R}} |\ell(s)| a(s) \int_{\mathbf{R}} \chi_{E_{\lambda(s)}}(a(s)(s-u)) |g(u)| duds \\ &\quad + Ct^{-2\delta_2} \int_{\mathbf{R}} |g(u)| a(u) \int_{|s-u| \leq 2/a(u)} |\ell(s)| dsdu \\ &\quad + C \int_{\mathbf{R}} |g(u)| a(u) \int_{\mathbf{R}} \chi_{E_{\lambda(u)}}(a(u)(s-u)) |\ell(s)| dsdu \\ &\leq Ct^{-2\delta_2} \int_{\mathbf{R}} |\ell(s)| M(g)(s) ds + C \int_{\mathbf{R}} |\ell(s)| \mathcal{M}_{\varepsilon}(g)(s) ds \\ &\quad + Ct^{-2\delta_2} \int_{\mathbf{R}} |g(u)| M(\ell)(u) du + C \int_{\mathbf{R}} |g(u)| \mathcal{M}_{\varepsilon}(\ell)(u) du , \end{aligned}$$

where $\varepsilon = t^{-4\delta_2}$. Using Hölder's inequality, the L^2 boundedness of M (see [12]) and Lemma 2.3, we get

$$(3.19) \quad |(\mathcal{L}_{\lambda,a}\mathcal{L}_{\lambda,a}^*g, \ell)| \leq Ct^{-2\delta_2} \|g\|_{L^2(\mathbf{R})} \|\ell\|_{L^2(\mathbf{R})} ,$$

and (3.17) follows from (3.19). Thus, in order to finish the proof of Theorem 1.1, it remains to verify the claim (3.18).

For fixed s, u and function $a(\cdot), \lambda(\cdot)$, let $w = s - u, \mu = \lambda(u), v = \lambda(s), a_1 = a(u), a_2 = a(s)$. Then, for fixed $s, u, \mathcal{K}(s, u)$ can be represented as

$$\mathcal{K}(s, u) = \int_{\mathbf{R}} e^{iQ_v(a_2r)} e^{-iQ_{\mu}(a_1(r-w))} \psi(a_2r) \frac{1}{r} \psi(a_1(r-w)) \frac{1}{r-w} dr .$$

First we assume that $a_2 \geq a_1$. Thus, $h = a_1/a_2 \leq 1$. By rescaling by a_1 , we obtain

$$\mathcal{K}(s, u) = \int_{\mathbf{R}} e^{iQ_\nu(r/h)} e^{-iQ_\mu(r-a_1w)} \psi(r/h) \frac{1}{r} \psi(r - a_1w) \frac{a_1}{r - a_1w} dr.$$

Hence, if we denote

$$\begin{aligned} \mathcal{F}_h^{\mu, \nu}(w) &= \int_{\mathbf{R}} e^{iQ_\nu(r/h)} e^{-iQ_\mu(r-w)} \psi(r/h) \frac{1}{r} \psi(r - w) \frac{1}{r - w} dr \\ &= \int_{\mathbf{R}} e^{iQ_\nu(r)} e^{-iQ_\mu(hr-w)} \psi(r) \frac{1}{r} \psi(hr - w) \frac{1}{hr - w} dr, \end{aligned}$$

then we have

$$\mathcal{K}(s, u) = a_1 \mathcal{F}_h^{\mu, \nu}(a_1w).$$

Assume that, for $t \leq |\mu|$, $|\nu| \leq 2t$ and $0 < h \leq 1$, there is a measurable set E_μ in I_2 with $|E_\mu| \leq t^{-4\delta_2}$ such that

$$(3.20) \quad |\mathcal{F}_h^{\mu, \nu}(w)| \leq C(t^{-2\delta_2} \chi_{I_2}(w) + \chi_{E_\mu}(w)).$$

Then when $a(s) \geq a(u)$,

$$\begin{aligned} |\mathcal{K}(s, u)| &\leq C(t^{-2\delta_2} a_1 \chi_{I_2}(a_1w) + a_1 \chi_{E_\mu}(a_1w)) \\ &= C[t^{-2\delta_2} a(u) \chi_{I_2}(a(u)(s - u)) + a(u) \chi_{E_{\lambda(w)}}(a(u)(s - u))]. \end{aligned}$$

By the symmetry of u and s , we can get similar inequality as above when $a(s) \leq a(u)$. Thus, (3.18) is proved under this assumption.

Following that, we just need to verify the existence of E_μ with the inequality (3.20). The discussion will be divided into two cases: h is near the origin and away from the origin.

CASE 1. $0 < h \leq \eta \ll 1$, where η will be chosen later. If we denote $\nu_1 = 0$, $\binom{k}{j} = k \cdot (k - 1) \cdots (k - j + 1)/j!$ and $\binom{k}{j} = 0$ if $k < j$, by a trivial calculation we have

$$\begin{aligned} (3.21) \quad Q_\nu(r) - Q_\mu(hr - w) &= \sum_{j=2}^d \nu_j r^j - \left[Q_\mu(-w) + \sum_{j=1}^d h^j r^j \sum_{k=2}^d \binom{k}{j} \mu_k (-w)^{k-j} \right] \\ &= \sum_{j=1}^d r^j \left(\nu_j - h^j \sum_{k=2}^d \binom{k}{j} \mu_k (-w)^{k-j} \right) - Q_\mu(-w). \end{aligned}$$

If r and $hr - w$ are in $\text{supp}(\psi) \subseteq \{1/4 < r \leq 1\}$, then we have $|w| \leq |hr - w| + hr \leq 1 + h \leq 2$ and

$$\begin{aligned} \sum_{j=1}^d \left| \nu_j - h^j \sum_{k=2}^d \binom{k}{j} \mu_k (-w)^{k-j} \right| &\geq \sum_{j=2}^d |\nu_j| - \sum_{j=1}^d h^j \sum_{k=2}^d \binom{k}{j} |\mu_k| |w|^{k-j} \\ &\geq \sum_{j=2}^d |\nu_j| - Ch \sum_{k=2}^d |\mu_k|. \end{aligned}$$

If η is chosen small enough, since $t \leq |\mu|$, $|v| \leq 2t$, we get

$$\sum_{j=1}^d \left| v_j - h^j \sum_{k=2}^d \binom{k}{j} \mu_k (-w)^{k-j} \right| \geq \sum_{j=2}^d |v_j| - C\eta \sum_{k=2}^d |\mu_k| \geq C \sum_{j=2}^d |v_j| \geq Ct.$$

By Lemma 2.1, we have

$$(3.22) \quad |\mathcal{F}_h^{\mu,v}(w)| \leq Ct^{-1/d} \chi_{I_2}(w).$$

CASE 2. $\eta < h \leq 1$ and η is fixed now. We consider the term of degree 1 in r in the phase $Q_v(r) - Q_\mu(hr - w)$. Since there is no first order term in r in $Q_v(r)$, by (3.21), the first order term of the above is

$$-rh \sum_{k=2}^d k \mu_k (-w)^{k-1}.$$

Since $h > \eta$, by Lemma 2.1, we get

$$|\mathcal{F}_h^{\mu,v}(w)| \leq C \left| \sum_{k=2}^d k \mu_k (-w)^{k-1} \right|^{-1/d} \chi_{I_2}(w).$$

We define

$$E_\mu = \left\{ w \in I_2 ; \left| \sum_{k=2}^d k \mu_k (-w)^{k-1} \right| \leq \rho \right\},$$

and ρ will be chosen later. For $w \in (E_\mu)^c$, it is obvious that

$$(3.23) \quad |\mathcal{F}_h^{\mu,v}(w)| \leq C\rho^{-1/d} \chi_{I_2}(w).$$

By Lemma 2.2, we obtain

$$|E_\mu| \leq C \left(\sum_{k=2}^d k |\mu_k| \right)^{-1/d} \rho^{1/d}.$$

Note that

$$\sum_{k=2}^d k |\mu_k| \geq \sum_{k=2}^d |\mu_k| = |\mu| \geq t.$$

Thus for $w \in E_\mu$, we have

$$(3.24) \quad |\mathcal{F}_h^{\mu,v}(w)| \leq C \chi_{E_\mu}(w),$$

with $|E_\mu| \leq C(\rho/t)^{1/d}$.

Specially, we take $\rho = \bar{c}t^{1/3}$ with \bar{c} appropriately small. Since $t \geq 1/C_0 > 0$ and $\delta_2 = 1/6d$, it follows from (3.22), (3.23) and (3.24) that

$$|\mathcal{F}_h^{\mu,v}(w)| \leq C(t^{-2\delta_2} \chi_{I_2}(w) + \chi_{E_\mu}(w))$$

with $|E_\mu| \leq t^{-4\delta_2}$, that is, the estimate (3.20) is satisfied for E_μ .

Thus, we complete the proof of Theorem 1.1.

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SCHOOL OF MATHEMATICAL SCIENCES
LABORATORY OF MATHEMATICS AND COMPLEX SYSTEMS (BNU)
MINISTRY OF EDUCATION, CHINA
BEIJING NORMAL UNIVERSITY
BEIJING 100875
CHINA

E-mail address: dingy@bnu.edu.cn

SCHOOL OF MATHEMATICS AND INFORMATION SCIENCE
HENAN POLYTECHNIC UNIVERSITY
JIAOZUO 454000
CHINA

E-mail address: hhliu@hpu.edu.cn