

RICCI CURVATURE AND ALMOST SPHERICAL MULTI-SUSPENSION

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Abstract. In this paper, we give a generalization of Cheeger-Colding’s suspension theorem for manifolds with almost maximal diameters. We also discuss a relationship between the eigenvalues of the Laplacian and the structure of tangent cones of non-collapsing limit spaces.

Introduction. We will study the structure of Riemannian manifolds with positive Ricci curvature satisfying an *almost maximal condition*. One of our main results of this paper is the following:

THEOREM 0.1. *Let M be an n -dimensional complete Riemannian manifold ($n \geq 2$) with $\text{Ric}_M \geq n - 1$. Given a sufficiently small positive number $\varepsilon > 0$, we assume that there exist k pairs $(p_1, q_1), \dots, (p_k, q_k)$ of points of M such that $|\overline{p_i, q_i} - \pi| < \varepsilon$ holds for each i , and that $|\overline{p_i, p_j} - \pi/2| < \varepsilon$ holds for $i \neq j$. Then we have the following:*

- (1) k is at most $n + 1$.
- (2) If $1 \leq k \leq n - 1$, then there exists a compact geodesic space Z with $\text{diam}(Z) \leq \pi$ such that $d_{GH}(M, \mathcal{S}^{k-1} * Z) < \Psi(\varepsilon; n)$.
- (3) If $k = n$ or $n + 1$, then $d_{GH}(M, \mathcal{S}^n) < \Psi(\varepsilon; n)$. In particular, M is diffeomorphic to \mathcal{S}^n .

Here, throughout the article, we denote by $\Psi(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k; c_1, c_2, \dots, c_l)$ (more simply, Ψ) some positive function on $\mathbf{R}_{>0}^k \times \mathbf{R}^l$ satisfying

$$\lim_{\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k \rightarrow 0} \Psi(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k; c_1, c_2, \dots, c_l) = 0$$

for each fixed c_1, c_2, \dots, c_l . In addition, $\mathcal{S}^{k-1} * Z$ denotes the k -fold spherical suspension of Z , d_{GH} is the Gromov-Hausdorff distance between compact metric spaces and $\overline{x, y}$ is the distance between x and y . In the last assertion, M is diffeomorphic to \mathcal{S}^n by the stability theorem of Cheeger-Colding (see [6, Theorem A.1.12]).

Let us review some related results. Let M be an n -dimensional complete Riemannian manifold with $\text{Ric}_M \geq n - 1$. Then, it is well-known that M is compact and satisfies

$$\text{diam}(M) \leq \pi, \quad \text{rad}(M) \leq \pi, \quad \text{vol}(M) \leq \text{vol}(\mathcal{S}^n).$$

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Moreover, M is isometric to S^n if and only if the equality holds in one of the above inequalities. A similar theorem described on the volume and the radius is proved by Colding.

THEOREM 0.2 (Colding [12, 13]). *Let M be an n -dimensional compact Riemannian manifold ($n \geq 2$) with $\text{Ric}_M \geq n - 1$. Given a sufficiently small positive number $\varepsilon > 0$, we assume that the inequality $\text{vol}(M) \geq \text{vol}(S^n) - \varepsilon$ (or $\text{rad}(M) \geq \pi - \varepsilon$) is satisfied. Then we have $d_{GH}(M, S^n) < \Psi(\varepsilon; n)$. In particular, M is diffeomorphic to S^n .*

We will give an alternative proof of Theorem 0.2 by using Theorem 0.1 (see Remark 1.19). An analogous statement for the diameter is known to be false (see [1] or [25] for examples). However, the following result is proved by Cheeger and Colding as one of *almost warped product theorems*.

THEOREM 0.3 (Cheeger-Colding [6, Theorem 5.12]). *Let M be an n -dimensional compact Riemannian manifold ($n \geq 2$) with $\text{Ric}_M \geq n - 1$. Given a sufficiently small positive number $\varepsilon > 0$, we assume that $\text{diam}(M) \geq \pi - \varepsilon$. Then there exists a compact geodesic space Z with $\text{diam}(Z) \leq \pi$ such that $d_{GH}(M, S^0 * Z) < \Psi(\varepsilon; n)$.*

Note that Theorem 0.3 corresponds to the case $k = 1$ of Theorem 0.1. In Section 1, we will give a simplified proof of Theorem 0.3. Then we will prove Theorem 0.1.

We will discuss in Section 2 a relationship between the first eigenvalue of the Laplacian and Theorem 0.1. We will calculate the L^2 -inner product of cosine of distance functions (Proposition 2.1), and give alternative proofs of results by Aubry [2], Bertrand [3] and Petersen [28].

We will study the tangent cones on non-collapsing limit spaces in Sections 3 and 4. We prove that such tangent cones satisfy a similar property to Theorem 0.1, and study the topological structure of them. We also prove the following theorem which sharpens the conclusion in Theorem 0.1 when $k = n - 1$.

THEOREM 0.4. *We assume that the assumption in Theorem 0.1 holds with $k = n - 1$. Then, there exists $0 \leq r \leq 1$ such that $d_{GH}(M, S^{n-2} * S^1(r)) < \Psi(\varepsilon; n)$ holds. Here, we set $S^1(r) = \{x \in \mathbf{R}^2; |x| = r\}$ and the metric of $S^1(r)$ is the standard Riemannian metric.*

We will prove Theorem 0.4 by using Theorem 0.1 and a result about singularities of non-collapsing limit spaces.

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1. Proof of Theorem 0.1.

1.1. Preliminaries. For a positive number $\varepsilon > 0$, we use the following notation;

$$a = b \pm \varepsilon \Leftrightarrow |a - b| < \varepsilon.$$

For a metric space Z , a point $z \in Z$ and a positive number $r > 0$, we put

$$B_r(z) = \{w \in Z ; \overline{z, w} < r\}, \quad \bar{B}_r(z) = \{w \in Z ; \overline{z, w} \leq r\}, \quad \partial B_r(z) = \{w \in Z ; \overline{z, w} = r\}.$$

DEFINITION 1.1. We say that Z is a *geodesic space* if for each $z_1, z_2 \in Z$, there exists an isometric embedding $c : [0, \overline{z_1, z_2}] \rightarrow Z$ such that $c(0) = z_1, c(\overline{z_1, z_2}) = z_2$. Also, we say that c is a *minimal geodesic from z_1 to z_2* .

DEFINITION 1.2. We define the metric on $[0, \pi] \times Z/\sim$ as

$$\overline{(t_1, z_1), (t_2, z_2)} = \arccos(\cos t_1 \cos t_2 + \sin t_1 \sin t_2 \cos \min\{\overline{z_1, z_2}, \pi\}).$$

Here, \sim is the equivalence relation such that $\{0\} \times Z$ and $\{\pi\} \times Z$ go to a point, respectively. Then, this metric space is denoted by $S^0 * Z$, and we call it the *spherical suspension* of Z . We also define

$$S^k * Z = \overbrace{S^0 * (S^0 * (\dots * (S^0 * Z) \dots))}^{k+1}.$$

REMARK 1.3. If Z is compact, then $S^0 * Z$ is compact. Moreover if Z is a geodesic space, then $S^0 * Z$ is also a geodesic space. We put $\mathcal{M} = \{\text{isometry class of compact metric spaces}\}$, then $S^0 * : \mathcal{M} \rightarrow \mathcal{M}$ is uniformly continuous map for d_{GH} .

Next, we review the segment inequality by Cheeger and Colding. For an n -dimensional compact Riemannian manifold M ($n \geq 2$) with $\text{Ric}_M \geq n - 1$ and an integrable function $g : M \rightarrow \mathbf{R}_{\geq 0}$, we define $\mathcal{F}_g : M \times M \rightarrow \mathbf{R}_{\geq 0}$ as

$$\mathcal{F}_g(x, y) = \inf_{\gamma} \int_{\gamma} g(\gamma(t)) dt.$$

Here, the infimum runs over all minimal geodesics γ from x to y .

THEOREM 1.4 (Cheeger-Colding [5, Theorem 2.15]). *With notation as above, we have*

$$\int_{M \times M} \mathcal{F}_g(x, y) dx dy \leq C(n) \text{vol}(M) \int_M g(x) dx.$$

Here, $C(n) > 0$ is a positive constant depending only on n .

REMARK 1.5. In fact, the theorem above is a special global case of the segment inequality that is proved by Cheeger and Colding. They prove a local statement on any complete Riemannian manifold M with $\text{Ric}_M \geq -(n - 1)$. However, the theorem above is sufficient to prove our main result.

1.2. Almost cosine formula (a proof of Theorem 0.3). In this subsection, we will give a comparatively easy proof of Theorem 0.3. Throughout this subsection, we fix an integer $n \geq 2$, a positive number $\varepsilon > 0$, an n -dimensional compact Riemannian manifold M with $\text{Ric}_M \geq n - 1$ and $p, q \in M$ such that $\overline{p, q} \geq \pi - \varepsilon$ holds. We put $f(x) = \cos \overline{p, x}$.

LEMMA 1.6 (Colding [12, Lemma 1.10]). *There exists a smooth function $\tilde{f} \in C^\infty(M)$ such that*

$$\begin{aligned} \frac{1}{\text{vol}(M)} \int_M |f(x) - \tilde{f}(x)|^2 dx &< \Psi(\varepsilon; n), \\ \frac{1}{\text{vol}(M)} \int_M |\nabla f - \nabla \tilde{f}|^2 dx &< \Psi(\varepsilon; n), \\ \frac{1}{\text{vol}(M)} \int_M |\text{Hess}_{\tilde{f}} + \tilde{f}g_M|^2 dx &< \Psi(\varepsilon; n). \end{aligned}$$

Here, g_M is the Riemannian metric on M .

LEMMA 1.7 (Grove-Petersen [20, Lemma 1]). *For every point x in M , we have*

$$\overline{p, \bar{x}} + \overline{q, \bar{x}} - \overline{p, \bar{q}} < \Psi(\varepsilon; n).$$

See [12], [20] for the proof of Lemmas 1.6 and 1.7.

LEMMA 1.8. *Let x be a point in M and t a number in $[-1, 1]$ satisfying $f^{-1}(t) \neq \emptyset$.*

(1) *If $f(x) \leq t$, then*

$$\overline{x, f^{-1}(t)} + \overline{p, f^{-1}(t)} - \overline{x, \bar{p}} = 0.$$

(2) *If $f(x) > t$, then*

$$\overline{p, \bar{x}} + \overline{x, f^{-1}(t)} - \overline{p, f^{-1}(t)} < \Psi(\varepsilon; n).$$

PROOF. (1) It is easy to see that there exists a point $y \in f^{-1}(t)$ such that $\overline{p, \bar{y}} + \overline{x, \bar{y}} = \overline{p, \bar{x}}$. On the other hand, for every point $z \in f^{-1}(t)$, we have $\overline{x, \bar{y}} = \overline{p, \bar{x}} - \overline{p, \bar{y}} = \overline{p, \bar{x}} - \overline{p, \bar{z}} \leq \overline{x, \bar{z}}$. Thus $\overline{x, \bar{y}} = \overline{x, f^{-1}(t)}$, and we have the claim.

(2) Without loss of generality, we may assume $f(q) \leq t$. Then, there exists a point $y \in f^{-1}(t)$ such that $\overline{x, \bar{y}} + \overline{y, \bar{q}} = \overline{x, \bar{q}}$. By Lemma 1.7, we have $\overline{p, \bar{x}} + \overline{x, \bar{y}} - \overline{p, \bar{y}} < \Psi$. Thus, for every point $z \in f^{-1}(t)$, we have $\overline{x, \bar{y}} \leq \overline{p, \bar{y}} - \overline{p, \bar{x}} + \Psi = \overline{p, \bar{z}} - \overline{p, \bar{x}} + \Psi \leq \overline{z, \bar{x}} + \Psi$. Therefore, $|\overline{x, \bar{y}} - \overline{x, f^{-1}(t)}| < \Psi$. This implies the claim. \square

LEMMA 1.9. *We take $\tilde{f} \in C^\infty(M)$ as in Lemma 1.6. Then, for every points $x, y, z \in M$, there exist $\hat{x}, \hat{y}, \hat{z} \in M$ with the following properties.*

(1) $\overline{x, \hat{x}} < \Psi(\varepsilon; n)$, $\overline{y, \hat{y}} < \Psi(\varepsilon; n)$, $\overline{z, \hat{z}} < \Psi(\varepsilon; n)$, $|f(\hat{x}) - \tilde{f}(\hat{x})| < \Psi(\varepsilon; n)$, $|f(\hat{y}) - \tilde{f}(\hat{y})| < \Psi(\varepsilon; n)$, $|f(\hat{z}) - \tilde{f}(\hat{z})| < \Psi(\varepsilon; n)$.

(2) $\hat{x} \notin C_{\hat{y}}$, $\hat{y} \notin C_{\hat{z}}$, $\hat{z} \notin C_{\hat{x}}$. Here, C_m is the cut locus of $m \in M$.

(3) *There exists an open set $U \subset [0, \hat{x}, \hat{y}]$ satisfying the following conditions:*

(a) $\mathcal{H}^1([0, \hat{x}, \hat{y}] \setminus U) = 0$. Also, for all $u \in U$, there exists a unique minimal geodesic $\tau_u : [0, l(u)] \rightarrow M$ ($l(u) = \hat{z}, \sigma(u)$) from \hat{z} to $\sigma(u)$. Here, \mathcal{H}^1 is the one-dimensional Hausdorff measure and σ is the minimal geodesic from \hat{x} to \hat{y} .

(b) *We have*

$$\begin{aligned} \int_U |f(\sigma(u)) - \tilde{f}(\sigma(u))|^2 du &< \Psi(\varepsilon; n), \\ \int_U |\nabla \tilde{f}(\sigma(u)) - \sin \overline{p, \sigma(u)}|^2 du &< \Psi(\varepsilon; n), \end{aligned}$$

$$\int_U \int_0^{l(u)} |\text{Hess}_{\tilde{f}} + \tilde{f}g_M|(\tau_u(s)) ds du < \Psi(\varepsilon; n).$$

PROOF. By Theorem 1.4 applied twice and Lemma 1.6, we have

$$\frac{1}{(\text{vol}(M))^3} \int_{M^3} \mathcal{F}_{h_c}(a, b) da db dc < \Psi(\varepsilon; n).$$

Here, $h_c = \mathcal{F}_{|\text{Hess}_{\tilde{f}} + \tilde{f}g_M|}(c, \cdot)$. Therefore, by the Tchebychev inequality, there exists a subset $\tilde{M} \subset M^3$ such that for all $(a, b, c) \in \tilde{M}$,

- $a \notin C_b, b \notin C_c, c \notin C_a$ and, for a minimal geodesic $\sigma : [0, \overline{a, b}] \rightarrow M$ from a to b , $\mathcal{H}^1(\text{Image}(\sigma) \cap C_c) = 0$;
- $|f(a) - \tilde{f}(a)| < \Psi(\varepsilon; n), |f(b) - \tilde{f}(b)| < \Psi(\varepsilon; n), |f(c) - \tilde{f}(c)| < \Psi(\varepsilon; n)$ and

$$\mathcal{F}_{h_c}(a, b) < \Psi(\varepsilon; n);$$

- for a minimal geodesic $\sigma : [0, \overline{a, b}] \rightarrow M$ from a to b , we have

$$\int_0^{\overline{a, b}} |f(\sigma(t)) - \tilde{f}(\sigma(t))|^2 dt < \Psi(\varepsilon; n),$$

$$\int_0^{\overline{a, b}} \left| |\nabla \tilde{f}(\sigma(t))| - \sin \overline{p, \sigma(t)} \right|^2 dt < \Psi(\varepsilon; n);$$

- $\text{vol}(\tilde{M}) \geq (1 - \Psi(\varepsilon; n))(\text{vol}(M))^3$.

By the Bishop-Gromov volume comparison theorem, we have the claim. □

LEMMA 1.10. *Let x, y, z be points in M satisfying $y, z \in f^{-1}(t), t \in [-1, 1]$ and $\overline{x, y} = x, f^{-1}(t)$. We take $\hat{x}, \hat{y}, \hat{z}$ as in Lemma 1.9.*

- (1) *If $f(x) \leq t$, then*

$$\int_U |\nabla \tilde{f}(\sigma(u)) - \sin(\overline{p, x} - u)\sigma'(u)|^2 du < \Psi(\varepsilon; n).$$

- (2) *If $f(x) > t$, then*

$$\int_U |\nabla \tilde{f}(\sigma(u)) + \sin(\overline{p, x} + u)\sigma'(u)|^2 du < \Psi(\varepsilon; n).$$

PROOF. It is easy to prove the following by Lemma 1.7.

- (3) *If $f(x) \leq t$, then for each $u \in U$, we have*

$$|\overline{p, \sigma(u)} - (\overline{p, x} - u)| < \Psi(\varepsilon; n).$$

- (4) *If $f(x) > t$, then for each $u \in U$, we have*

$$|\overline{p, \sigma(u)} - (\overline{p, x} + u)| < \Psi(\varepsilon; n).$$

We give only a proof of (1) by using (3). The proof of (2) is similar to that of (1).

$$\begin{aligned}
 & \int_U |\nabla \tilde{f}(\sigma(u)) - \sin(\overline{p, x} - u)\sigma'(u)|^2 du \\
 &= \int_U (|\nabla \tilde{f}|^2(\sigma(u)) - 2 \sin(\overline{p, x} - u)(\tilde{f} \circ \sigma)'(u) + \sin^2(\overline{p, x} - u)) du \\
 &= \int_U (\sin^2(\overline{p, x} - u) - 2 \sin(\overline{p, x} - u)(\tilde{f} \circ \sigma)'(u) + \sin^2(\overline{p, x} - u)) du \pm \Psi \\
 &= 2 \int_U (\sin^2(\overline{p, x} - u) - \sin(\overline{p, x} - u)(\tilde{f} \circ \sigma)'(u)) du \pm \Psi \\
 &= 2 \int_U \sin^2(\overline{p, x} - u) du - 2[\sin(\overline{p, x} - u)\tilde{f} \circ \sigma(u)]_0^{\hat{x}, \hat{y}} \\
 &\quad + 2 \int_U -\cos(\overline{p, x} - u)\tilde{f} \circ \sigma(u) du \pm \Psi \\
 &= 2 \int_U \sin^2(\overline{p, x} - u) du - 2(\sin(\overline{p, \hat{x}} - \hat{x}, \hat{y})\tilde{f}(\hat{y}) - \sin \overline{p, x} \tilde{f}(\hat{x})) \\
 &\quad + 2 \int_U -\cos^2(\overline{p, x} - u) du \pm \Psi \\
 &= 2 \int_U (\sin^2(\overline{p, x} - u) - \cos^2(\overline{p, x} - u)) du \\
 &\quad - 2(\sin \overline{p, \hat{y}} \cos \overline{p, \hat{y}} - \sin \overline{p, x} \cos \overline{p, x}) \pm \Psi \\
 &= -2 \int_U \cos(2\overline{p, x} - 2u) du - \sin 2\overline{p, \hat{y}} + \sin 2\overline{p, x} \pm \Psi \\
 &= [\sin(2\overline{p, x} - 2u)]_0^{\hat{x}, \hat{y}} - \sin 2\overline{p, \hat{y}} + \sin 2\overline{p, x} \pm \Psi = \Psi . \quad \square
 \end{aligned}$$

LEMMA 1.11. *With the same assumption as in Lemma 1.9, we have*

$$\left| \frac{\cos \overline{\hat{z}, \hat{x}} - \cos \overline{p, \hat{z}} \cos \overline{p, \hat{x}}}{\sin \overline{p, \hat{x}}} - \frac{\cos \overline{\hat{y}, \hat{z}} - \cos \overline{p, \hat{y}} \cos \overline{p, \hat{z}}}{\sin \overline{p, \hat{y}}} \right| \min\{\sin^2 \overline{p, \hat{x}}, \sin^2 \overline{p, \hat{y}}\} < \Psi(\varepsilon; n) .$$

PROOF. We prove the statement in the case $f(x) \leq t$. The case $f(x) > t$ is similarly proved.

$$\begin{aligned}
 & \left| \frac{\cos \overline{\hat{z}, \hat{x}} - \cos \overline{p, \hat{z}} \cos \overline{p, \hat{x}}}{\sin \overline{p, \hat{x}}} - \frac{\cos \overline{\hat{y}, \hat{z}} - \cos \overline{p, \hat{y}} \cos \overline{p, \hat{z}}}{\sin \overline{p, \hat{y}}} \right| \\
 &= \left| \int_U \left(\frac{\cos l(u) - \cos \overline{p, \hat{z}} \cos(\overline{p, \hat{x}} - u)}{\sin(\overline{p, \hat{x}} - u)} \right)' du \right| \\
 &= \left| \int_U \left\{ \frac{(-\sin l(u) l'(u) - \cos \overline{p, \hat{z}} \sin(\overline{p, \hat{x}} - u)) \sin(\overline{p, \hat{x}} - u)}{\sin^2(\overline{p, \hat{x}} - u)} \right. \right.
 \end{aligned}$$

$$\begin{aligned}
 & + \frac{(\cos l(u) - \cos \overline{p, \hat{z}} \cos(\overline{p, \hat{x}} - u)) \cos(\overline{p, \hat{x}} - u)}{\sin^2(\overline{p, \hat{x}} - u)} \Big\} du \Big| \\
 \leq & \frac{1}{\min\{\sin^2 \overline{p, \hat{x}}, \sin^2 \overline{p, \hat{y}}\}} \left\{ \int_U \left| -\sin l(u) < \tau'_u(l(u)), \sigma'(u) > \sin(\overline{p, \hat{x}} - u) \right. \right. \\
 & \left. \left. + \cos l(u) f(\sigma(u)) - \cos \overline{p, \hat{z}} \right| du \pm \Psi \right\} \\
 = & \frac{1}{\min\{\sin^2 \overline{p, \hat{x}}, \sin^2 \overline{p, \hat{y}}\}} \left\{ \int_U \left| -\frac{d\tilde{f} \circ \tau_u(s)}{ds} \Big|_{s=l(u)} \sin l(u) + \cos l(u) \tilde{f}(\tau_u(l(u))) \right. \right. \\
 & \left. \left. - \tilde{f}(\tau_u(0)) \right| du \pm \Psi \right\} \\
 = & \frac{1}{\min\{\sin^2 \overline{p, \hat{x}}, \sin^2 \overline{p, \hat{y}}\}} \left\{ \int_U \left| \int_0^{l(u)} \frac{d}{ds} \left(-\frac{d\tilde{f} \circ \tau_u(s)}{ds} \sin s + \cos s \tilde{f}(\tau_u(s)) \right) ds \right| du \right. \\
 & \left. \pm \Psi \right\} \\
 \leq & \frac{1}{\min\{\sin^2 \overline{p, \hat{x}}, \sin^2 \overline{p, \hat{y}}\}} \left\{ \int_U \int_0^{l(u)} |\text{Hess}_{\tilde{f}} + \tilde{f} g_M|(\tau_u(s)) ds du \pm \Psi \right\} \\
 = & \frac{1}{\min\{\sin^2 \overline{p, \hat{x}}, \sin^2 \overline{p, \hat{y}}\}} \Psi . \quad \square
 \end{aligned}$$

PROPOSITION 1.12. *There exists a positive constant $\delta = \delta(\varepsilon, n) > 0$ depending only on ε, n satisfying the following properties.*

(1) *We have $\lim_{\varepsilon \rightarrow 0} \delta(\varepsilon, n) = 0$ for all $n \in \mathbb{N}$.*

(2) *For every point $x \in M$, we take $z_x \in \partial B_{\pi/2}(p)$ such that $\overline{x, z_x} = \overline{x, \partial B_{\pi/2}(p)}$. Then, for every points $x, x' \in M \setminus (B_\delta(p) \cup B_\delta(q))$, we have*

$$\cos \overline{x, x'} = \cos \overline{p, x} \cos \overline{p, x'} + \sin \overline{p, x} \sin \overline{p, x'} \cos \overline{z_x, z_{x'}} \pm \Psi(\varepsilon; n) .$$

PROOF. This follows from Lemma 1.11. □

1.3. Proof of Theorem 0.1. Let X, Z be compact metric spaces and f a map from X to Z . We say that f is ε -Hausdorff approximation if $|\overline{x, y} - \overline{f(x), f(y)}| < \varepsilon$ holds for all $x, y \in X$, and $B_\varepsilon(\text{Image}(f)) = Z$ holds. If there exists an ε -Hausdorff approximation from X to Y , then, we have $d_{GH}(X, Z) < 5\varepsilon$. If $d_{GH}(X, Z) < \varepsilon$, then, there exists a 3ε -Hausdorff approximation from X to Z .

LEMMA 1.13. *Let $\varepsilon > 0$ and let M be an n -dimensional compact Riemannian manifold ($n \geq 2$) with $\text{Ric}_M \geq n - 1$. We assume that there exist points $p, q \in M$ such that $\overline{p, q} \geq \pi - \varepsilon$ holds. Then, we have*

$$d_{GH}(M, S^0 * \partial B_{\pi/2}(p)) < \Psi(\varepsilon; n) .$$

Here, the metric on $\partial B_{\pi/2}(p)$ is the restriction of the metric on M .

PROOF. With notation as in Proposition 1.12, we define the map

$$\phi : M \setminus (B_\delta(p) \cup B_\delta(q)) \rightarrow S^0 * \partial B_{\pi/2}(p) = [0, \pi] \times \partial B_{\pi/2}(p) / \sim$$

by $\phi(x) = (\overline{p, x}, z_x)$. It is easy to check that ϕ is $\Psi(\varepsilon; n)$ -Hausdorff approximation by Proposition 1.12. \square

From now on, we will discuss the limit space.

LEMMA 1.14. *By Lemma 1.13, if Y is the Gromov-Hausdorff limit of a sequence $\{M_i\}_i$ of compact connected, n -dimensional Riemannian manifolds with $\text{Ric}_{M_i} \geq n-1$, then we have*

(P) *for any $p, q \in Y$ satisfying $\overline{p, q} = \pi$, we have $Y = (\{p, q\}, d_{S^0}) * (\partial B_{\pi/2}(p), d_Y)$.*

Moreover, $(\partial B_{\pi/2}(p), d_Y)$ is either equal to a point, equal to S^0 or is a convex subspace of (Y, d_Y) . In the last case, $\partial B_{\pi/2}(p)$ is itself a geodesic space for d_Y and satisfies the property (P).

PROOF. Let p, q be points in Y with $\overline{p, q} = \pi$. We assume that $\partial B_{\pi/2}(p)$ is neither equal to a point nor equal to S^0 . Let x, y be points in $\partial B_{\pi/2}(p)$ such that $\overline{x, y} < \pi/2$. We take a minimal geodesic $\sigma : [0, \overline{x, y}]$ from x to y .

CLAIM 1.15. *We have $\sigma(t) \in Y \setminus \{p, q\}$ for every $t \in [0, \overline{x, y}]$.*

We assume that the conclusion is false. Then we can assume that $p \in \text{Image}(\sigma)$ without loss of generality. Then, we have $\overline{x, y} = \overline{x, p} + \overline{p, y} = \pi/2 + \pi/2 = \pi$. This contradicts the assumption. Therefore we have Claim 1.15.

Thus, by Proposition 1.12, for an element $z_t \in \partial B_{\pi/2}(p)$ such that $\overline{\sigma(t), z_t} = \overline{\sigma(t), \partial B_{\pi/2}(p)}$ holds, we have the equalities

$$\overline{\cos x, \sigma(t)} = \overline{\sin p, \sigma(t)} \overline{\cos x, z_t},$$

$$\overline{\cos \sigma(t), y} = \overline{\sin p, \sigma(t)} \overline{\cos y, z_t}.$$

We shall prove that $\overline{p, \sigma(t)} = \pi/2$. We give only a proof of the case $\overline{x, \sigma(t)} \leq \pi/2$ and $\overline{\sigma(t), y} \leq \pi/2$. We can prove the other case in a similar way. Then, we have $\overline{\cos x, \sigma(t)} \leq \overline{\cos x, z_t}$ and $\overline{\cos \sigma(t), y} \leq \overline{\cos y, z_t}$. Thus, we have $\overline{x, \sigma(t)} \geq \overline{x, z_t}$ and $\overline{\sigma(t), y} \geq \overline{y, z_t}$. Especially, $\overline{x, y} = \overline{x, \sigma(t)} + \overline{\sigma(t), y} \geq \overline{x, z_t} + \overline{y, z_t}$. Therefore, $\overline{x, \sigma(t)} = \overline{x, z_t}$ and $\overline{\sigma(t), y} = \overline{y, z_t}$ hold. Hence, we have $\overline{\cos x, \sigma(t)} = \overline{\sin p, \sigma(t)} \overline{\cos x, \sigma(t)}$ and $\overline{\cos \sigma(t), y} = \overline{\sin p, \sigma(t)} \overline{\cos \sigma(t), y}$. Since $\overline{x, y} < \pi$, we have $\min\{\overline{x, \sigma(t)}, \overline{\sigma(t), y}\} < \pi/2$. Thus, we have $\overline{p, \sigma(t)} = \pi/2$. Moreover, we assume that there exist points $\hat{p}, \hat{q} \in \partial B_{\pi/2}(p)$ such that $\overline{\hat{p}, \hat{q}} = \pi$. By the assumption, there exists a point $z \in \partial B_{\pi/2}(p) \setminus \{\hat{p}, \hat{q}\}$. By Lemma 1.7, there exists a minimal geodesic τ from \hat{p} to \hat{q} such that $z \in \text{Image}(\tau)$. Therefore, by an argument above, we have $\text{Image}(\tau) \subset \partial B_{\pi/2}(p)$ and $\partial B_{\pi/2}(p)$ is a convex subspace of (Y, d_Y) . For every $x \in \partial B_{\pi/2}(p) \setminus \{\hat{p}, \hat{q}\}$, we take $z_x \in \partial B_{\pi/2}(\hat{p})$ such that $\overline{x, z_x} = \overline{x, \partial B_{\pi/2}(\hat{p})}$. Then, we have $z_x \in \partial B_{\pi/2}(p) \cap \partial B_{\pi/2}(\hat{p})$. Then, we define $\phi : \partial B_{\pi/2}(p) \rightarrow S^0 * (\partial B_{\pi/2}(p) \cap \partial B_{\pi/2}(\hat{p}))$ by $\phi(x) = (\overline{\hat{p}, x}, z_x)$ for $x \in \partial B_{\pi/2}(p) \setminus \{\hat{p}, \hat{q}\}$, $\phi(\hat{p}) = (0, *)$ and $\phi(\hat{q}) = (\pi, *)$. By Proposition 1.12, ϕ is an isometry. Therefore, $\partial B_{\pi/2}(p)$ satisfies the property (P). \square

COROLLARY 1.16. *Let Y be the Gromov-Hausdorff limit space of a sequence $\{M_i\}_i$ of compact connected, n -dimensional Riemannian manifolds with $\text{Ric}_{M_i} \geq n - 1$. We assume that there exist 2 pairs $(p_1, q_1), (p_2, q_2)$ of points of Y such that $\overline{p_1, q_1} = \overline{p_2, q_2} = \pi$ and $\overline{p_1, p_2} = \pi/2$. Then, one of the following (1), (2), (3) occurs.*

- (1) *There exists a compact geodesic space Z with $\text{diam}(Z) \leq \pi$ such that $Y = \mathbf{S}^1 * Z$.*
- (2) *$Y = \mathbf{S}^2$.*
- (3) *$Y = \mathbf{S}^1$.*

Similarly, we have the following.

PROPOSITION 1.17. *Let Y be the Gromov-Hausdorff limit space of a sequence $\{M_i\}_i$ of compact connected, n -dimensional Riemannian manifolds with $\text{Ric}_{M_i} \geq n - 1$. We assume that there exist k pairs $(p_1, q_1), \dots, (p_k, q_k)$ of points of Y such that $\overline{p_i, q_i} = \pi$ holds for every i , and that $\overline{p_i, p_j} = \pi/2$ for every $i \neq j$. Then, one of the following (1), (2), (3) occurs.*

- (1) *There exists a compact geodesic space Z with $\text{diam}(Z) \leq \pi$ such that $Y = \mathbf{S}^{k-1} * Z$.*
- (2) *$Y = \mathbf{S}^k$.*
- (3) *$Y = \mathbf{S}^{k-1}$.*

THEOREM 1.18. *Let Y be the Gromov-Hausdorff limit space of a sequence $\{M_i\}_i$ of compact connected, n -dimensional Riemannian manifolds with $\text{Ric}_{M_i} \geq n - 1$. We assume that there exist k pairs $(p_1, q_1), \dots, (p_k, q_k)$ of points of Y such that $\overline{p_i, q_i} = \pi$ holds for every i , and that $\overline{p_i, p_j} = \pi/2$ for every $i \neq j$. Then, we have the following:*

- (1) *k is at most $n + 1$.*
- (2) *If $1 \leq k \leq n - 1$, then there exists a compact geodesic space Z with $\text{diam}(Z) \leq \pi$ such that $Y = \mathbf{S}^{k-1} * Z$.*
- (3) *If $k = n$ or $n + 1$, then we have $Y = \mathbf{S}^n$.*

PROOF. By Proposition 1.17 and [13, Lemma 5.10], we have (1) and (2). Note that Gromov-Hausdorff limits have Hausdorff dimension not greater than n and that $\dim_{\mathcal{H}} \mathbf{S}^k Z = \dim_{\mathcal{H}} Z + k + 1$ holds for every compact metric space Z (see Proposition 5.6). Thus, it suffices to prove the case $k = n$. Then, by Proposition 1.17, we have $Y = \mathbf{S}^n$, or $Y = \mathbf{S}^n_+$. Here, $\mathbf{S}^n_+ = \{(x_1, x_2, \dots, x_{n+1}) \in \mathbf{R}^{n+1}; x_1^2 + x_2^2 + \dots + x_{n+1}^2 = 1, x_{n+1} \geq 0\}$ and the metric is the restriction of that of \mathbf{S}^n . If $Y = \mathbf{S}^n_+$, by [7, Theorem 6.2], we have a contradiction. Therefore we have the claim. □

Gromov’s pre-compactness theorem and Theorem 1.18 imply Theorem 0.1.

REMARK 1.19. Theorem 0.2 follows from Theorem 0.1. Let M be an n -dimensional compact Riemannian manifold ($n \geq 2$) with $\text{Ric}_M \geq n - 1$. By the Bishop-Gromov volume comparison theorem, if $\text{vol}(M) \geq \text{vol}(\mathbf{S}^n) - \varepsilon$, then $\text{rad}(M) \geq \pi - \Psi(\varepsilon; n)$. Hence, we assume that $\text{rad}(M) \geq \pi - \varepsilon$. Then, for every $p \in M$, there exists $q \in M$ such that $\overline{p, q} \geq \pi - \varepsilon$

holds. First, we fix $p_1 \in M$. Then, there exists $q_1 \in M$ such that

$$\overline{p_1, q_1} \geq \pi - \varepsilon.$$

Thus, by Theorem 0.1, M is close to the 1-fold suspension of some compact geodesic space in the sense of Gromov-Hausdorff distance. Especially, there exists $p_2 \in M$ such that

$$\left| \overline{p_1, p_2} - \frac{\pi}{2} \right| < \Psi(\varepsilon; n).$$

Similarly, there exists $q_2 \in M$ such that

$$\overline{p_2, q_2} \geq \pi - \varepsilon.$$

Thus, M is close to the 2-fold suspension of some compact geodesic space. Especially, $A_{\pi/2-\psi, \pi/2+\psi}(p_1) \cap A_{\pi/2-\psi, \pi/2+\psi}(p_2) \neq \emptyset$. Here, $A_{s,t}(x) = \bar{B}_t(x) \setminus B_s(x)$ for $s < t$. By iterating this argument, there exist $n + 1$ pairs $(p_1, q_1), \dots, (p_{n+1}, q_{n+1})$ of points of M such that $|\overline{p_i, q_i} - \pi| < \varepsilon$ holds for each i , and that $|\overline{p_i, p_j} - \pi/2| < \Psi(\varepsilon; n)$ holds for $i \neq j$. It implies Theorem 0.2 by Theorem 0.1.

2. First eigenvalue of the Laplacian. In this section, we explain a relationship between Theorem 0.1 and the first eigenvalue of the Laplacian. As a key tool, we shall estimate the L^2 -inner product of cosine of distance functions.

PROPOSITION 2.1. *Let $\varepsilon > 0$, M be an n -dimensional compact Riemannian manifold ($n \geq 2$) with $\text{Ric}_M \geq n - 1$. We assume that there exist 2 pairs $(p_1, q_1), (p_2, q_2)$ of points of M such that $|\overline{p_1, q_1} - \pi| < \varepsilon$ and $|\overline{p_2, q_2} - \pi| < \varepsilon$. We put $f_i(x) = \cos \overline{p_i, x}$ ($i = 1, 2$). Then, we have*

$$\frac{1}{\text{vol}(M)} \int_M f_1 f_2 dx = \frac{\cos \overline{p_1, p_2}}{n + 1} \pm \Psi(\varepsilon; n).$$

PROOF. We take $\tilde{f}_i \in C^\infty(M)$ for f_i as in Lemma 1.6. Then we have the following

$$\frac{1}{\text{vol}(M)} \int_M \tilde{f}_i^2 dx = \frac{1}{n + 1} \pm \Psi(\varepsilon; n),$$

$$\frac{1}{\text{vol}(M)} \int_M |\nabla \tilde{f}_i|^2 dx = \frac{n}{n + 1} \pm \Psi(\varepsilon; n),$$

$$\frac{1}{\text{vol}(M)} \int_M |\Delta \tilde{f}_i(x) + n \tilde{f}_i(x)|^2 dx < \Psi(\varepsilon; n).$$

See [12, Lemma 1.10] for the proof. Here, $\Delta = \text{tr}(\text{Hess})$.

We also take $\delta = \delta(\varepsilon, n)$ as in Proposition 1.12. We put $A_{p_1} = B_{3\delta}(p_1) \cup B_{3\delta}(q_1) \cup C_{p_1}$. For every point $x \in M \setminus A_{p_1}$ and every $s \in [0, \overline{p_1, x}]$, we define $c_x(s) \in M$ as the point on the minimal geodesic segment from p_1 to x such that $\overline{x, c_x(s)} = s$ holds. It is not difficult to

see $\text{vol}(A_{p_1})/\text{vol}(M) \leq \Psi(\varepsilon; n)$ (see [12, Lemma 1.10]). Then, we have

$$\begin{aligned} \frac{1}{\text{vol}(M)} \int_M g_M(\nabla f_1, \nabla f_2) dx &= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} g_M(\nabla f_1, \nabla f_2) dx \pm \Psi(\varepsilon; n) \\ &= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} g_M(\nabla f_1, \nabla \tilde{f}_2) dx \pm \Psi(\varepsilon; n) \\ &= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, \bar{x}} \frac{d\tilde{f}_2 \circ c_x(s)}{ds} \Big|_{s=0} dx \pm \Psi(\varepsilon; n) \\ &= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \left\{ \sin \overline{p_1, \bar{x}} \left(\frac{\tilde{f}_2 \circ c_x(\delta) - \tilde{f}_2 \circ c_x(0)}{\delta} \right. \right. \\ &\quad \left. \left. - \frac{1}{\delta} \int_0^\delta (\delta - s) \frac{d^2 \tilde{f}_2 \circ c_x(s)}{ds^2} ds \right) \right\} dx \pm \Psi(\varepsilon; n) \\ &= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, \bar{x}} \left(\frac{f_2 \circ c_x(\delta) - f_2 \circ c_x(0)}{\delta} \right) dx \\ &\quad + \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, \bar{x}} \left(\frac{\tilde{f}_2 \circ c_x(\delta) - f_2 \circ c_x(\delta)}{\delta} \right) dx \\ &\quad - \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, \bar{x}} \left(\frac{\tilde{f}_2 \circ c_x(0) - f_2 \circ c_x(0)}{\delta} \right) dx \\ &\quad - \frac{1}{\delta \text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, \bar{x}} \int_0^\delta (\delta - s) \left(\frac{d^2 \tilde{f}_2 \circ c_x(s)}{ds^2} + \tilde{f}_2 \circ c_x(s) \right) ds dx \\ &\quad + \frac{1}{\delta \text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, \bar{x}} \int_0^\delta (\delta - s) \tilde{f}_2 \circ c_x(s) ds dx \pm \Psi(\varepsilon; n). \end{aligned}$$

CLAIM 2.2. We have

$$\left| \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, \bar{x}} \left(\frac{\tilde{f}_2 \circ c_x(\delta) - f_2 \circ c_x(\delta)}{\delta} \right) dx \right| < \Psi(\varepsilon; n).$$

PROOF. We use the next estimate:

ESTIMATE 2.3. There exists $C(n) > 0$ such that, for every $0 \leq s \leq \delta$ and for every integrable function $h : M \rightarrow \mathbf{R}_{\geq 0}$, we have

$$\frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} h \circ c_x(s) dx \leq \frac{C(n)}{\text{vol}(M)} \int_M h(x) dx.$$

We put $S_{p_1}(1) = \{u \in T_{p_1}M ; |u| = 1\}$. For $u \in S_{p_1}(1)$, we define $t(u) > 0$ as the supremum of $t \in (0, \infty)$ such that $\exp_{p_1} su|_{[0,t]}$ is a minimal geodesic segment from p_1 to $\exp_{p_1} tu$. Also we put $\hat{S}_{p_1}(1) = \{u \in S_{p_1}(1) ; t(u) > 3\delta\}$ and $\theta(t, u) = t^{n-1} (\det(g_{ij}|_{\exp_{p_1}(tu)}))^{1/2}$.

Here, $g_{ij} = g_M(\partial/\partial x_i, \partial/\partial x_j)$ for a normal coordinate (x_1, x_2, \dots, x_n) around p_1 . Then,

$$\begin{aligned} \int_{M \setminus A_{p_1}} h \circ c_x(s) dx &\leq \int_{\hat{S}_{p_1}(1)} \int_{3\delta}^{t(u)} h \circ c_{\exp_{p_1} tu}(\delta) \theta(t, u) dt du \\ &= \int_{\hat{S}_{p_1}(1)} \int_{3\delta}^{t(u)} h(\exp_{p_1}((t - \delta)u)) \theta(t, u) dt du \\ &= \int_{\hat{S}_{p_1}(1)} \int_{2\delta}^{t(u) - \delta} h(\exp_{p_1}(\hat{t}u)) \theta(\hat{t} + \delta, u) d\hat{t} du. \end{aligned}$$

By the Laplacian comparison theorem, there exists $C(n) > 0$ such that

$$\theta(\hat{t} + \delta, u) \leq \frac{\sin^{n-1}(\hat{t} + \delta)}{\sin^{n-1} \hat{t}} \theta(\hat{t}, u) \leq C(n) \theta(\hat{t}, u)$$

for each $u \in \hat{S}_{p_1}(1)$ and each $\hat{t} \in [2\delta, t(u) - \delta]$. Thus,

$$\begin{aligned} \int_{M \setminus A_{p_1}} h \circ c_x(s) dx &\leq C(n) \int_{\hat{S}_{p_1}(1)} \int_{2\delta}^{t(u) - \delta} h(\exp_{p_1}(\hat{t}u)) \theta(\hat{t}, u) d\hat{t} du \\ &\leq C(n) \int_{S_{p_1}(1)} \int_0^{t(u)} h(\exp_{p_1}(\hat{t}u)) \theta(\hat{t}, u) d\hat{t} du \\ &= C(n) \int_M h(x) dx. \end{aligned}$$

Therefore, we have Estimate 2.3.

By Estimate 2.3,

$$\begin{aligned} &\left| \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, x} \left(\frac{\tilde{f}_2 \circ c_x(\delta) - f_2 \circ c_x(\delta)}{\delta} \right) dx \right| \\ &\leq \frac{1}{\delta \text{vol}(M)} \int_{M \setminus A_{p_1}} |\tilde{f}_2 \circ c_x(\delta) - f_2 \circ c_x(\delta)| dx \\ &\leq \frac{C(n)}{\delta \text{vol}(M)} \int_M |\tilde{f}_2 - f_2| dx \\ &\leq \frac{C(n)}{\delta} \left(\frac{1}{\text{vol}(M)} \int_M |\tilde{f}_2 - f_2|^2 dx \right)^{1/2} < \delta(\varepsilon, n)^{-1} \Psi(\varepsilon; n). \end{aligned}$$

We remark that, without loss of generality, we may assume that $\lim_{\varepsilon \rightarrow 0} \delta(\varepsilon, n)^{-1} \Psi(\varepsilon; n) = 0$ by exchanging δ if necessary. Therefore, we have Claim 2.2. \square

CLAIM 2.4. *We have*

$$\left| \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, x} \left(\frac{\tilde{f}_2 \circ c_x(0) - f_2 \circ c_x(0)}{\delta} \right) dx \right| < \Psi(\varepsilon; n).$$

PROOF.

$$\begin{aligned} & \left| \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, \bar{x}} \left(\frac{\tilde{f}_2 \circ c_x(0) - f_2 \circ c_x(0)}{\delta} \right) dx \right| \\ & \leq \frac{1}{\delta \text{vol}(M)} \int_M |\tilde{f}_2 - f_2| dx \\ & \leq \frac{1}{\delta} \left(\frac{1}{\text{vol}(M)} \int_M |\tilde{f}_2 - f_2|^2 dx \right)^{1/2} \\ & < \delta^{-1} \Psi(\varepsilon; n). \end{aligned}$$

Therefore, we have Claim 2.4. □

CLAIM 2.5. *We have*

$$\left| \frac{1}{\delta \text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, \bar{x}} \int_0^\delta (\delta - s) \left(\frac{d^2 \tilde{f}_2 \circ c_x(s)}{ds^2} + \tilde{f}_2 \circ c_x(s) \right) ds dx \right| < \Psi(\varepsilon; n).$$

PROOF. We use the next estimate.

ESTIMATE 2.6. There exists $C(n) > 0$ such that, for every integrable function $h : M \rightarrow \mathbf{R}_{\geq 0}$,

$$\frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \int_0^\delta h \circ c_x(s) ds dx \leq \frac{C(n)\delta}{\text{vol}(M)} \int_M h(x) dx.$$

This Estimate 2.6 follows by integrating Estimate 2.3 with respect to s between 0 and δ .

Then,

$$\begin{aligned} & \left| \frac{1}{\delta \text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, \bar{x}} \int_0^\delta (\delta - s) \left(\frac{d^2 \tilde{f}_2 \circ c_x(s)}{ds^2} + \tilde{f}_2 \circ c_x(s) \right) ds dx \right| \\ & \leq \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \int_0^\delta |\text{Hess}_{\tilde{f}_2} + \tilde{f}_2 g_M|(c_x(s)) ds dx \\ & \leq \frac{C(n)\delta}{\text{vol}(M)} \int_M |\text{Hess}_{\tilde{f}_2} + \tilde{f}_2 g_M| dx \\ & \leq C(n)\delta \left(\frac{1}{\text{vol}(M)} \int_M |\text{Hess}_{\tilde{f}_2} + \tilde{f}_2 g_M|^2 dx \right)^{1/2} \\ & < \Psi(\varepsilon; n). \end{aligned}$$

Therefore, we have Claim 2.5. □

CLAIM 2.7. *We have*

$$\left| \frac{1}{\delta \text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, \bar{x}} \int_0^\delta (\delta - s) \tilde{f}_2 \circ c_x(s) ds dx \right| < \Psi(\varepsilon; n).$$

The proof is similar to that of Claim 2.5.

From these claims, we have

$$\frac{1}{\text{vol}(M)} \int_M g_M(\nabla f_1, \nabla f_2) dx = \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, \bar{x}} \left(\frac{f_2 \circ c_x(\delta) - f_2 \circ c_x(0)}{\delta} \right) dx \pm \Psi(\varepsilon; n).$$

For every $x \in M \setminus A_{p_1}$, we take $z_x, z_{c_x(\delta)} \in \partial B_{\pi/2}(p_1)$ such that $\overline{\bar{x}, \overline{z_x}} = \overline{x, \partial B_{\pi/2}(p_1)}$ and $\overline{c_x(\delta), \overline{z_{c_x(\delta)}}} = \overline{c_x(\delta), \partial B_{\pi/2}(p_1)}$. Then, by Proposition 1.12, we have

$$\cos \delta = \cos \overline{p_1, \bar{x}} \cos(\overline{p_1, \bar{x}} - \delta) + \sin \overline{p_1, \bar{x}} \sin(\overline{p_1, \bar{x}} - \delta) \cos \overline{z_x, \overline{z_{c_x(\delta)}}} \pm \Psi$$

$$\cos \delta = \cos \overline{p_1, \bar{x}} \cos(\overline{p_1, \bar{x}} - \delta) + \sin \overline{p_1, \bar{x}} \sin(\overline{p_1, \bar{x}} - \delta).$$

Therefore, we have

$$\sin \overline{p_1, \bar{x}} \sin(\overline{p_1, \bar{x}} - \delta) = \sin \overline{p_1, \bar{x}} \sin(\overline{p_1, \bar{x}} - \delta) \cos \overline{z_x, \overline{z_{c_x(\delta)}}} \pm \Psi.$$

Thus, we have $\overline{z_x, \overline{z_{c_x(\delta)}}} < \Psi(\varepsilon; n)$. By Proposition 1.12, we have

$$\begin{aligned} & \sin \overline{p_1, \bar{x}} (f_2 \circ c_x(\delta) - f_2 \circ c_x(0)) \\ &= \sin \overline{p_1, \bar{x}} \left(\cos \overline{p_1, p_2} \cos \overline{p_1, c_x(\delta)} \right. \\ & \quad \left. + \sin \overline{p_1, p_2} \sin \overline{p_1, c_x(\delta)} \frac{\cos \overline{p_2, \bar{x}} - \cos \overline{p_1, p_2} \cos \overline{p_1, \bar{x}}}{\sin \overline{p_1, p_2} \sin \overline{p_1, \bar{x}}} \right) \\ & \quad - \sin \overline{p_1, \bar{x}} \cos \overline{p_2, \bar{x}} \pm \Psi(\varepsilon; n) \\ &= (\sin(\overline{p_1, \bar{x}} - \delta) - \sin \overline{p_1, \bar{x}}) \cos \overline{p_2, \bar{x}} \\ & \quad + \cos \overline{p_1, p_2} (\sin \overline{p_1, \bar{x}} \cos(\overline{p_1, \bar{x}} - \delta)) - \sin(\overline{p_1, \bar{x}} - \delta) \cos \overline{p_1, \bar{x}} \pm \Psi(\varepsilon; n). \end{aligned}$$

Therefore,

$$\begin{aligned} & \frac{1}{\text{vol}(M)} \int_M g_M(\nabla f_1, \nabla f_2) dx \\ &= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} \sin \overline{p_1, \bar{x}} \left(\frac{f_2 \circ c_x(\delta) - f_2 \circ c_x(0)}{\delta} \right) dx \pm \Psi(\varepsilon; n) \\ &= \frac{1}{\text{vol}(M)} \int_{M \setminus A_{p_1}} -\cos \overline{p_2, \bar{x}} \cos \overline{p_1, \bar{x}} dx + \cos \overline{p_1, p_2} \pm \Psi(\varepsilon; n) \\ &= -\frac{1}{\text{vol}(M)} \int_M f_1 f_2 dx + \cos \overline{p_1, p_2} \pm \Psi(\varepsilon; n). \end{aligned}$$

On the other hand,

$$\begin{aligned} \frac{1}{\text{vol}(M)} \int_M g_M(\nabla f_1, \nabla f_2) dx &= \frac{1}{2\text{vol}(M)} \int_M |\nabla f_1|^2 dx + \frac{1}{2\text{vol}(M)} \int_M |\nabla f_2|^2 dx \\ &\quad - \frac{1}{2\text{vol}(M)} \int_M |\nabla f_1 - \nabla f_2|^2 dx \\ &= \frac{n}{n+1} + \frac{1}{2\text{vol}(M)} \int_M (\tilde{f}_1 - \tilde{f}_2) \Delta(\tilde{f}_1 - \tilde{f}_2) dx \pm \Psi(\varepsilon; n) \\ &= \frac{n}{n+1} - \frac{1}{2\text{vol}(M)} \int_M n(\tilde{f}_1 - \tilde{f}_2)^2 dx \pm \Psi(\varepsilon; n) \\ &= \frac{n}{\text{vol}(M)} \int_M f_1 f_2 dx \pm \Psi(\varepsilon; n). \end{aligned}$$

Therefore, we have Proposition 2.1

We shall give several applications of Proposition 2.1. Let M be an n -dimensional compact Riemannian manifold with $\text{Ric}_M \geq n - 1$, and

$$0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_n \leq \lambda_{n+1} \leq \dots$$

denote the eigenvalues of $-\Delta$ on M . By Lichnerowicz-Obata’s theorem, we have the inequality $\lambda_1 \geq n$. Moreover, the equality holds if and only if M is isometric to S^n . The following Corollaries 2.9 and 2.10 were first proved by Bertrand (see [3, Théorème 2.1, Théorème 3.1]). We give a new proof of them by using Proposition 2.1.

REMARK 2.8. Proposition 2.1 gives a new simplified proofs of Corollaries 2.9 and 2.10. In addition, by using Proposition 2.1, we can explicitly calculate H_1^2 -inner product for some eigenfunctions in a limit space. For example, let Z be a compact geodesic space as in Corollary 4.8. Then there exists a Gromov-Hausdorff (renormalized) limit measure ν on Z , the canonical Laplace operator on $L^2(Z)$ and exist linearly independent eigenfunctions f_1, \dots, f_{n-1} whose eigenvalues are all n and whose norms in H_1^2 are all 1 (for example, see [7, 8, 9]). We take $p_1, \dots, p_{n-1} \in Z$ such that $f_i(p_i) = \max f_i$. Then, by Proposition 2.1 and by an argument similar to the proof of Corollary 2.10, we have

$$\int_Y f_i f_j d\nu = \frac{\cos \overline{p_i, p_j}}{n+1} \quad \text{and} \quad \int_Y \langle df_i, df_j \rangle d\nu = \frac{n \cos \overline{p_i, p_j}}{n+1}.$$

COROLLARY 2.9 (Bertrand [3, Théorème 2.1]). *Let $\varepsilon > 0$ and let M be an n -dimensional compact Riemannian manifold with $n \geq 2$ and $\text{Ric}_M \geq n - 1$. We assume that there exist k pairs $(p_1, q_1), \dots, (p_k, q_k)$ of points of M such that $|\overline{p_i, q_i} - \pi| < \varepsilon$ holds for each i , and that $|\overline{p_i, p_j} - \pi/2| < \varepsilon$ holds for $i \neq j$. Then, we have*

$$\lambda_k = n \pm \Psi(\varepsilon; n).$$

PROOF. We put $f_i(x) = \cos \overline{p_i, x}$ for every $1 \leq i \leq k$. By Proposition 2.1, $\{(n+1)^{1/2} f_i\}_i$ form an almost orthonormal family in $L_1^2(M)$. By min-max principle, we have

$$\lambda_k \leq \sup \left\{ \int_M |\nabla \sum a_i f_i|^2 dx / \int_M (\sum a_i f_i)^2 dx; (a_i)_i \in \mathbf{R}^k \setminus 0_k \right\}.$$

Proposition 2.1 implies

$$\int_M |\nabla \Sigma a_i f_i|^2 dx / \int_M (\Sigma a_i f_i)^2 dx \leq n + \Psi(\varepsilon; n)$$

for every $(a_1, a_2) \in \mathbf{R}^k \setminus 0_k$. Therefore, we have Corollary 2.9. □

COROLLARY 2.10 (Bertrand [3, Théorème 3.1]). *Let $\varepsilon > 0$ and let M be an n -dimensional compact Riemannian manifold with $\text{Ric}_M \geq n - 1$. We assume that $\lambda_k = n \pm \varepsilon$. Then, there exist k pairs $(p_1, q_1), \dots, (p_k, q_k)$ of points of M such that $|\overline{p_i}, \overline{q_i} - \pi| < \Psi(\varepsilon; n)$ holds for each i , and that $|\overline{p_i}, \overline{p_j} - \pi/2| < \Psi(\varepsilon; n)$ holds for $i \neq j$.*

PROOF. Let us recall several inequalities in [28]. Let $\tilde{f}_i \in C^\infty(M)$ ($i = 1, 2, \dots, k$) be eigenfunctions satisfying

$$-\Delta \tilde{f}_i = \lambda_i \tilde{f}_i, \quad |\lambda_i - n| < \varepsilon \text{ for every } i \quad \text{and} \quad \int_M \tilde{f}_i \tilde{f}_j dx = 0 \text{ for every } i \neq j.$$

Then, we can assume that

$$\begin{aligned} \tilde{f}_i^2 + |\nabla \tilde{f}_i|^2 &\leq 1, \\ \frac{1}{\text{vol}(M)} \int_M \tilde{f}_i^2 dx &= \frac{1}{n+1} \pm \Psi(\varepsilon; n), \\ \frac{1}{\text{vol}(M)} \int_M |\nabla \tilde{f}_i|^2 dx &= \frac{n}{n+1} \pm \Psi(\varepsilon; n), \\ \frac{1}{\text{vol}(M)} \int_M |\tilde{f}_i^2 + |\nabla \tilde{f}_i|^2 - 1| dx &< \Psi(\varepsilon; n), \end{aligned}$$

hold (see [28, Lemma 3.1]).

Hence, for each $p \in M$, there exists $\tilde{p} \in M$ such that $\overline{p}, \tilde{p} < \Psi(\varepsilon; n)$ and $\tilde{f}_i^2(\tilde{p}) + |\nabla \tilde{f}_i|^2(\tilde{p}) = 1 \pm \Psi(\varepsilon; n)$. We fix a function $\Psi(\varepsilon; n)$ which satisfies the inequalities above and denote it by $\psi(\varepsilon; n)$. We take $p_i, q_i \in M$ such that $\tilde{f}_i(p_i) = \max \tilde{f}_i$ and $\tilde{f}_i(q_i) = \min \tilde{f}_i$. Let $g_i(x) = \tilde{f}_i(p_i) - \tilde{f}_i(x) + \psi(\varepsilon; n)$ and $h_i(x) = \tilde{f}_i(x) - \tilde{f}_i(q_i) + \psi(\varepsilon; n)$. By Cheng-Yau's gradient estimate, we have

$$\frac{|\nabla g_i|^2}{g_i^2}, \quad \frac{|\nabla h_i|^2}{h_i^2} < \frac{C(n)}{\psi(\varepsilon; n)}.$$

Here, $C(n)$ is a positive constant depending only on n (see [5, 11]). Thus, if we take $\tilde{p}_i, \tilde{q}_i \in M$ as above, then we have

$$|\nabla \tilde{f}_i|^2(\tilde{p}_i), \quad |\nabla \tilde{f}_i|^2(\tilde{q}_i) < \Psi(\varepsilon; n).$$

Especially, we have

$$|\tilde{f}_i(p_i) - 1|, \quad |\tilde{f}_i(q_i) + 1| < \Psi(\varepsilon; n).$$

We put $f_i(x) = \cos \overline{p_i, x}$. By $|\nabla \arccos \tilde{f}_i| \leq 1$, we have

$$\tilde{f}_i > f_i - \Psi(\varepsilon; n).$$

Thus, we have

$$\Delta(\tilde{f}_i - f_i) < \Psi(\varepsilon; n)$$

in the barrier sense (see [5, Definition 4.4] for the definition of barriers). By [28, Theorem 7.2], we have

$$|\tilde{f}_i - f_i| < \Psi(\varepsilon; n).$$

Especially,

$$\overline{p_i, q_i} \geq \pi - \Psi(\varepsilon; n).$$

Hence, by Proposition 2.1, we have

$$\frac{1}{\text{vol}(M)} \int_M \tilde{f}_i \tilde{f}_j dx = \frac{\cos \overline{p_i, p_j}}{n + 1} \pm \Psi(\varepsilon; n)$$

for every $i \neq j$. Since the left-hand side is equal to 0, we have

$$\left| \overline{p_i, p_j} - \frac{\pi}{2} \right| < \Psi(\varepsilon; n).$$

Therefore, we have Corollary 2.10. □

We get also a result of Petersen as a corollary of Theorem 0.1.

COROLLARY 2.11 (Petersen [28, Theorem 1.1]). *Let M be an n -dimensional compact Riemannian manifold with $\text{Ric}_M \geq n - 1$. We assume that $|\lambda_{n+1} - n| < \varepsilon$. Then, we have $d_{GH}(M, S^n) < \Psi(\varepsilon; n)$.*

Next corollary was first proved by Aubry. It follows also by Theorem 0.1, Corollary 2.9 and Corollary 2.10. Note that he gives more *explicit* estimate for $\Psi(\varepsilon; n)$ (see [2]). Hence, next corollary is *weaker* than his theorem.

COROLLARY 2.12 (Aubry [2, Proposition 19]). *Let M be an n -dimensional compact Riemannian manifold with $\text{Ric}_M \geq n - 1$. We assume that $|\lambda_n - n| < \varepsilon$. Then, we have $|\lambda_{n+1} - n| < \Psi(\varepsilon; n)$.*

Next corollary was first proved by Gallot. Note that he estimates $C(n)$ in Corollary 2.13 explicitly. Therefore, Corollary 2.13 is *weaker* than the statement proved by him. However, we could give a new proof by using the theory of limit spaces.

COROLLARY 2.13. *There exists a positive constant $C(n) > n$ such that, for every n -dimensional compact Riemannian manifold M with $\text{Ric}_M \geq n - 1$,*

$$\lambda_{n+2} \geq C(n) > n$$

holds.

PROOF. If the assertion is false, then there exists a sequence of compact Riemannian manifolds $\{M_k\}_k$ with $\text{Ric}_{M_k} \geq n - 1$ such that the $(n + 2)$ -th eigenvalue λ_{n+2}^k satisfies $\lim_{k \rightarrow \infty} \lambda_{n+2}^k = n$. By taking a subsequence, if necessary, we can assume that M_k converges to some compact geodesic space Y in the sense of Gromov-Hausdorff convergence. By Corollary 2.9, there exist $(n + 2)$ pairs $(p_1, q_1) \cdots (p_{n+2}, q_{n+2})$ of points of Y such that $\overline{p_i, q_i} = \pi$ holds for every i , and that $\overline{p_i, p_j} = \pi/2$ holds for $i \neq j$. This contradicts Theorem 0.1. □

3. A note on the structure of tangent cones of non-collapsing limit spaces. In this section, we discuss a relationship between Theorem 0.1 and the structure of tangent cones of non-collapsing limit spaces.

DEFINITION 3.1. For a metric space Z , we define the metric on $[0, \infty) \times Z/\{0\} \times Z$ as

$$\overline{(t_1, z_1), (t_2, z_2)} = \sqrt{t_1^2 + t_2^2 - 2t_1t_2 \cos \min\{\overline{z_1, z_2}, \pi\}}.$$

This metric space is denoted by $C(Z)$ and is called the *metric cone* of Z . We put $z^* = [(0, z)]$.

Throughout this section, let $\{M_i\}_i$ be a sequence of n -dimensional complete Riemannian manifolds ($n \geq 2$) with $\text{Ric}_{M_i} \geq -(n - 1)$, $m_i \in M_i$, and Y a proper geodesic space with $y \in Y$. Here, we say that a metric space W is *proper* if every bounded closed set is compact. We assume that

- The sequence (M_i, m_i) converges to (Y, y) in the sense of pointed Gromov-Hausdorff convergence.
- There exists $v > 0$ such that $\text{vol}(B_1(m_i)) \geq v > 0$ holds for each i .

We say that (Y, y) is a *non-collapsing limit space*.

DEFINITION 3.2. Let (W, w) be a pointed proper geodesic space. We say that (W, w) is a *tangent cone at $x \in Y$* if there exists a sequence of positive numbers $\{r_i\}_i$ such that r_i converges to 0 and $(Y, r_i^{-1}d_Y, x)$ converges to (W, w) in the sense of pointed Gromov-Hausdorff convergence.

Cheeger and Colding proved the following result for tangent cones of non-collapsing limit spaces.

THEOREM 3.3 (Cheeger-Colding [7, Theorem 5.2]). *Let $(T_x Y, 0_x)$ be a tangent cone at $x \in Y$. Then, there exists a compact geodesic space Z with $\text{diam}(Z) \leq \pi$ such that $(C(Z), z^*)$ is isometric to $(T_x Y, 0_x)$.*

We shall prove an analogous statement to Theorem 0.1 for tangent cones.

THEOREM 3.4. *Let $(T_x Y, 0_x)$ be a tangent cone at $x \in Y$ and Z a compact geodesic space with $\text{diam}(Z) \leq \pi$ such that $(T_x Y, 0_x)$ is isometric to $(C(Z), z^*)$. We assume that there exist k pairs $(p_1, q_1), \dots, (p_k, q_k)$ of points of Z such that $\overline{p_i, q_i} = \pi$ holds for every i , and that $\overline{p_i, p_j} = \pi/2$ holds for $i \neq j$. Then, we have the following:*

- (1) k is at most n .
- (2) If $1 \leq k \leq n - 2$, then there exists a compact geodesic space X with $\text{diam}(X) \leq \pi$ such that $Z = \mathbf{S}^{k-1} * X$.
- (3) If $k = n - 1$ or n , then $Z = \mathbf{S}^{n-1}$.

PROOF. First, we remark the following.

- (1) For every metric space X , $C(\mathbf{S}^{k-1} * X)$ is isometric to $\mathbf{R}^k \times C(X)$.

(2) If there exist $z_1, z_2 \in Z$ such that $\overline{z_1, z_2} = \pi$ holds, then $\overline{z_1, z} + \overline{z, z_2} = \pi$ for every $z \in Z$. This is a consequence of a splitting theorem for limit spaces (see [6, Theorem 6.64]).

(3) We have $Z \neq S^k$ for every $1 \leq k \leq n - 2$. It follows from $\dim_{\mathcal{H}} Z = n - 1$ (see Proposition 5.6). Here $\dim_{\mathcal{H}} Z$ is the Hausdorff dimension of Z . Compare this fact with [13, Lemma 5.10].

We assume that there exist points $z_1, z_2 \in Z$ such that $\overline{z_1, z_2} = \pi$. Then, by the definition of the metric of $C(Z)$, there exists an isometric embedding $\gamma : \mathbf{R} \rightarrow C(Z)$ such that $\gamma(0) = z_*$, $\gamma(-1) = (1, z_1)$ and $\gamma(1) = (1, z_2)$. Thus, by the splitting theorem for limit space and (1) above, we have $Z = (\{z_1, z_2\}, d_{S^0}) * (\partial B_{\pi/2}(p), p)$. Theorem 3.4 follows from this argument, (1), (2), (3) above and an argument similar to that in Section 1. □

Similarly, we have the following.

COROLLARY 3.5. *Let $(T_x Y, 0_x)$ be a tangent cone at $x \in Y$ and Z a compact geodesic space with $\text{diam}(Z) \leq \pi$ such that $(T_x Y, 0_x)$ is isometric to $(C(Z), z^*)$. We assume that there exist k pairs $(p_1, q_1), \dots, (p_k, q_k)$ of points of Z such that $\overline{p_i, q_i} = \pi$ for each i and that $\det((\cos \overline{p_i, p_j})_{i,j}) \neq 0$. Then, we have the following.*

- (1) k is at most n .
- (2) If $1 \leq k \leq n - 2$, then there exists a compact geodesic space X with $\text{diam}(X) \leq \pi$ such that $Z = S^{k-1} * X$.
- (3) If $k = n - 1$ or n , then $Z = S^{n-1}$.

PROOF. We give only a proof of the case $k = 2$. By the assumption and Theorem 3.4, there exists a compact geodesic space X such that $Z = S^0 * X$ with $p_1 = (0, *)$ and $q_1 = (\pi, *)$. By the assumption, we have $p_2, q_2 \in S^0 * X \setminus \{p_1, q_1\}$. Especially, we have $\text{diam} X = \pi$ by the definition of the metric of $S^0 * X$. Therefore, by Theorem 3.4, we have the assertion. □

4. The topological structure of tangent cones of non-collapsing limit spaces and a proof of Theorem 0.4. Throughout this section, we use the same notation as in Section 3. For a proper geodesic space X , we put

$$\mathcal{R}_\varepsilon^n(X) = \{x \in X ; \text{There exists a positive number } r > 0 \text{ such that for every } 0 < s < r, \\ d_{GH}(\overline{B}_s(x), \overline{B}_s(0_n)) \leq \varepsilon s \text{ holds.}\}$$

$$\mathcal{R}^n(X) = \bigcap_{\varepsilon > 0} \mathcal{R}_\varepsilon^n(X).$$

Here, ε is a positive number and $\overline{B}_s(0_n) \subset \mathbf{R}^n$. Let (Y, y) be a non-collapsing limit space of a sequence of pointed n -dimensional compact Riemannian manifolds.

THEOREM 4.1 (Cheeger-Colding [5, Theorem 9.73]). *We have*

$$\mathcal{R}_\varepsilon^n(Y) \subset \text{Int}(\mathcal{R}_{\Psi(\varepsilon|n)}^n(Y)) \text{ and } \dim_{\mathcal{H}}(Y \setminus \mathcal{R}^n(Y)) \leq n - 2.$$

Here, for a subset $A \subset Y$, $\text{Int}A$ is the interior of A . Especially, we have

$$\mathcal{R}^n(Y) = \bigcap_{\varepsilon > 0} \text{Int}(\mathcal{R}_\varepsilon^n(Y)).$$

Cheeger-Colding also proved the following important result.

THEOREM 4.2 (Cheeger-Colding [7, Theorem A.1.1]). *There exists a positive number $\varepsilon_n > 0$ satisfying the following property. For every $0 < \varepsilon < \varepsilon_n$, there exist a complete n -dimensional Riemannian manifold M , and a homeomorphism $f : \text{Int}(\mathcal{R}_\varepsilon^n(Y)) \rightarrow M$ such that f, f^{-1} are $(1 - \Psi(\varepsilon; n))$ -locally Hölder continuous.*

We shall prove an analogous statement to Theorem 4.2 for tangent cones.

THEOREM 4.3. *Let k be a non-negative integer, $(T_x Y, 0_y)$ a tangent cone at x and X a compact geodesic space with $\text{diam}(X) \leq \pi$ such that $(T_x Y, 0_y)$ is isometric to $(\mathbf{R}^k \times C(X), (0_k, x^*))$. Then, we have $\dim_{\mathcal{H}} X = n - k - 1$, $\mathcal{R}_\varepsilon^{n-k-1}(X) \subset \text{Int}(\mathcal{R}_{\Psi(\varepsilon; n)}^{n-k-1}(X))$ and $\dim_{\mathcal{H}}(X \setminus \mathcal{R}^{n-k-1}(X)) \leq n - k - 3$. Also, there exists a positive number $\varepsilon_n > 0$ satisfying the following property: For every $0 < \varepsilon < \varepsilon_n$, there exist a complete $(n - k - 1)$ -dimensional Riemannian manifold M and a homeomorphism $f : \text{Int}(\mathcal{R}_\varepsilon^{n-k-1}(X)) \rightarrow M$ such that f, f^{-1} are $(1 - \Psi(\varepsilon; n))$ -locally Hölder continuous.*

PROOF. First, we remark the following claims.

CLAIM 4.4. *Let X be a proper geodesic space, $x \in X$ and ε, r positive numbers. We assume that $d_{GH}(\bar{B}_r(0, x), \bar{B}_r(0_n)) \leq \varepsilon r$ holds. Here,*

$$\bar{B}_r(0, x) \subset (\mathbf{R} \times X, \sqrt{(d_{\mathbf{R}})^2 + (d_X)^2}).$$

Then, we have $d_{GH}(\bar{B}_r(x), \bar{B}_r(0_{n-1})) \leq \Psi(\varepsilon)r$.

CLAIM 4.5. *Let Z be a compact geodesic space with $\text{diam}(Z) \leq \pi$ and ε, R positive numbers. We consider the next metric balls. Here be careful about the metrics.*

- (1) $\bar{B}_R^{\mathbf{R} \times Z}(0, z) \subset (\mathbf{R} \times Z, \sqrt{(d_{\mathbf{R}})^2 + (\varepsilon^{-1}d_Z)^2})$,
- (2) $\bar{B}_R^{C(Z)}(1, z) \subset (C(Z), \varepsilon^{-1}d_{C(Z)})$.

Then, we have

$$d_{GH}((\bar{B}_R^{\mathbf{R} \times Z}(0, z), (0, z)), (\bar{B}_R^{C(Z)}(1, z), (1, z))) \leq \Psi(\varepsilon; R).$$

We skip the proof of these claims because it is not difficult. We have $\mathcal{R}_\varepsilon^{n-k-1}(X) \subset \text{Int}(\mathcal{R}_{\Psi(\varepsilon; n)}^{n-k-1}(X))$ by these claims and Theorem 4.2. Therefore, by an argument similar to the proof of Theorem 4.2, we have Theorem 4.3 (see [7, Theorem 5.14] and [7, Theorem A.1.2]). \square

COROLLARY 4.6. *If the assumption in Theorem 3.4 holds with $k = n - 2$, then there exists $0 < r \leq 1$ such that $Z = \mathbf{S}^{n-3} * \mathbf{S}^1(r)$ holds. Here, $\mathbf{S}^1(r) = \{x \in \mathbf{R}^2; |x| = r\}$, and the metric $d_{\mathbf{S}^1(r)}$ on $\mathbf{S}^1(r)$ is the standard Riemannian metric.*

PROOF. First, the next claim is straightforward.

CLAIM 4.7. *Let d be a metric on S^1 such that (S^1, d) is a geodesic space homeomorphic to the standard unit sphere (S^1, d_{S^1}) . Then, there exists a positive number $0 < r < \infty$ such that (S^1, d) is isometric to $(S^1(r), d_{S^1(r)})$.*

By Theorem 3.4, there exists a compact geodesic space X with $\text{diam}(X) \leq \pi$ such that Z is isometric to $S^{n-3} * X$. By Theorem 4.3, we can prove that X is homeomorphic to some one-dimensional connected compact manifold. Namely, X is homeomorphic to S^1 . Therefore, by Claim 4.7, there exists $0 < r \leq 1$ such that $X = S^1(r)$ holds. \square

Similarly, we have the following.

COROLLARY 4.8. *Let Z be a compact geodesic space and $\{M_i\}_i$ a sequence of compact n -dimensional Riemannian manifolds with $\text{Ric}_{M_i} \geq n - 1$. We assume that M_i converges to Z in the sense of Gromov-Hausdorff convergence and $\lim_{i \rightarrow \infty} \lambda_{n-1}^i = n$. Then, there exists $0 \leq r \leq 1$ such that Z is isometric to $S^{n-1} * S^1(r)$.*

PROOF. By Theorem 0.1, there exists a compact geodesic space X such that $Z = S^{n-1} * X$. First, we assume that Z is a collapsing and X is not a point. Then, since X is a geodesic space, we have $\dim_{\mathcal{H}} Z = \dim_{\mathcal{H}} S^{n-1} * X \geq n + 1$ (see Proposition 5.6). This is a contradiction. Therefore, if Z is a collapsing, then X is a point. On the other hand, if Z is a non-collapsing, then $X = S^1(r)$ for some $r > 0$ by an argument similar to the proof of Corollary 4.6. Therefore, we have the assertion. \square

This is equivalent to Theorem 0.4 by Gromov’s pre-compactness theorem.

5. Appendix: A calculation of Hausdorff dimension. In this appendix, we will prove the equality

$$\dim_{\mathcal{H}}(\mathbf{R}^k \times C(X)) = k + 1 + \dim_{\mathcal{H}}(X),$$

for every compact metric space X . Throughout this section, we assume that a metric space X always satisfies the following property (Q).

(Q) For every positive number $\varepsilon > 0$, there exists a countable collection $\{p_i\}_i$ of points of X such that $X = \bigcup_i B_\varepsilon(p_i)$.

LEMMA 5.1. *Let l be a positive real number and A be a subset on X satisfying $\mathcal{H}^{l+1}(A) = 0$. Then, we have $\mathcal{H}^l(\partial B_r(x) \cap A) = 0$ for every $x \in X$ and for a.e. $r > 0$.*

PROOF. For $z \in X$ and $t > 0$, we put a function $\phi_z^t : \mathbf{R}_{>0} \rightarrow \mathbf{R}$ as $\phi_z^t(r) = 15t$ if $\partial B_r(x) \cap \bar{B}_t(z) \neq \emptyset$, and as $\phi_z^t(r) = 0$ if otherwise. This function is a Borel function. We put $s_1 = \inf_{w \in \bar{B}_t(z)} \overline{x, w}$ and $s_2 = \sup_{w \in \bar{B}_t(z)} \overline{x, w}$. Then, we have

$$\int_0^\infty (\phi_z^t(r))^l dr = \int_{s_1}^{s_2} (\phi_z^t(r))^l dr \leq (15t)^l \int_{s_1}^{s_2} dr \leq 30^{l+1} t^{l+1}.$$

By the assumption, for every positive numbers $\varepsilon, \delta > 0$, there exists a countable collection $\{\bar{B}_{r_i}(x_i)\}_i$ such that $A \subset \bigcup_i \bar{B}_{r_i}(x_i)$, $r_i < \delta$ and $\sum_i r_i^{l+1} < \varepsilon$. Here, we define a function $\phi_{\delta, \varepsilon}^l : \mathbf{R}_{>0} \rightarrow \mathbf{R} \cup \{\infty\}$ as $\phi_{\delta, \varepsilon}^l(r) = \sum_i (\phi_{x_i}^{r_i}(r))^l$. This function is also a Borel function. We

have

$$\sum_i \int_0^\infty (\phi_{x_i}^{r_i})^l dr \leq 30^{l+1} \sum_i r_i^{l+1} < 30^{l+1} \varepsilon.$$

By the monotone convergence theorem, we have

$$\int_0^\infty \phi_{\delta, \varepsilon}^l(r) dr < 30^{l+1} \varepsilon.$$

On the other hand, by definition, we have $\mathcal{H}_\delta^l(A \cap \partial B_r(x)) \leq \phi_{\delta, \varepsilon}^l(r)$ for every $r > 0$. Since $\phi_{\delta, \varepsilon}^l \rightarrow 0$ in $L_1(\mathbf{R}_{>0})$ as $\varepsilon \rightarrow 0$, there exists a Borel set $V \subset \mathbf{R}_{>0}$ and exists a sequence $\{\varepsilon_i\}_i$ such that $\mathcal{H}^l(\mathbf{R}_{>0} \setminus V) = 0$ holds, $\varepsilon_i \rightarrow 0$ holds as $i \rightarrow \infty$ and that $\lim_{i \rightarrow \infty} \phi_{\delta, \varepsilon_i}^l(r) = 0$ for every $r \in V$. Therefore, we have $\mathcal{H}_\delta^l(A \cap \partial B_r(x)) = 0$ for every $r \in V$. Especially, the function, $r \rightarrow \mathcal{H}_\delta^l(A \cap \partial B_r(x))$ is Lebesgue measurable and the function $r \rightarrow \mathcal{H}^l(A \cap \partial B_r(x))$ is also Lebesgue measurable. Since

$$\int_0^\infty \mathcal{H}_\delta^l(A \cap \partial B_r(x)) dr = 0,$$

we have

$$\int_0^\infty \mathcal{H}^l(A \cap \partial B_r(x)) dr = 0.$$

Therefore, we have the assertion. □

LEMMA 5.2. *For every positive number $l > 0$ and every subset A in X , $\mathcal{H}^l(A) = 0$ holds if and only if $\mathcal{H}^{l+1}(\mathbf{R} \times A) = 0$.*

PROOF. First, we assume that $\mathcal{H}^l(A) = 0$. Since $\mathcal{H}_\delta^l(A) = 0$ for every $\delta > 0$, there exists a countable collection $\{\bar{B}_{r_i}(x_i)\}_i$ such that $r_i < \delta$, $A \subset \bigcup_i \bar{B}_{r_i}(x_i)$ and $\sum_i r_i^l < \varepsilon$. For every i and for every $0 \leq k \leq [1/r_i] + 1$, we define $t_k^i \in [0, 1]$ by $t_k^i = k([1/r_i] + 1)^{-1}$. Here, $[r] = \sup\{s \in \mathbf{Z} ; r \geq s\}$ for a real number r .

CLAIM 5.3. $[0, 1] \times A \subset \bigcup_{i,k} \bar{B}_{100r_i}(t_k^i, x_i)$.

We will prove Claim 5.3. For every $(t, x) \in [0, 1] \times A$, we chose i such that $x \in \bar{B}_{r_i}(x_i)$. We also chose k such that $|t - t_k^i| \leq [1/r_i]^{-1}$. Then, by $[1/r_i]^{-1} \leq r_i/(1 - r_i)$, we have

$$\sqrt{(t_i - t)^2 + \overline{x_i, x}^2} \leq |t_i - t| + \overline{x_i, x} \left[\frac{1}{r_i} \right]^{-1} + r_i \leq \frac{r_i}{1 - r_i} + r_i \leq 5r_i.$$

Therefore, we have Claim 5.3.

Since

$$\sum_{i,k} r_i^{l+1} \leq 2 \sum_i r_i^l \leq 2\varepsilon,$$

we have $\mathcal{H}_\delta^{l+1}([0, 1] \times A) = 0$. Thus we have the assertion.

Next, we assume that $\mathcal{H}^{l+1}(\mathbf{R} \times A) = 0$. By Lemma 5.1, for every $x \in X$, we have $\mathcal{H}^l(\partial B_r(0, x) \cap \mathbf{R} \times A) = 0$ for a.e. $r > 0$. Let $\pi : \mathbf{R} \times X \rightarrow X$ be the projection. Since $\bar{B}_r(x) \cap A \subset \pi(\partial B_r(0, x) \cap \mathbf{R} \times A)$, we have $\mathcal{H}^l(\bar{B}_r(x) \cap A) = 0$ for a.e. $r > 0$. Therefore, we have the assertion. □

COROLLARY 5.4. *For every $A \subset X$ and $k \in \mathbf{N}$, we have $\dim_{\mathcal{H}}(\mathbf{R}^k \times A) = k + \dim_{\mathcal{H}}A$.*

PROPOSITION 5.5. *For every compact metric space Z and for every $l > 0$, $\mathcal{H}^{l+1}(C(Z)) = 0$ holds if and only if $\mathcal{H}^l(Z) = 0$ holds. Especially, we have $\dim_{\mathcal{H}}C(Z) = \dim_{\mathcal{H}}Z + 1$.*

PROOF. Since $A_{r_1, r_2}(z_*)$ is bi-Lipshitz equivalent to $A_{s_1, s_2}(z_*)$ for every $r_1 < r_2$ and for every $s_1 < s_2$, we know that $\mathcal{H}^{l+1}(C(Z)) = 0$ holds if and only if $\mathcal{H}^{l+1}(A_{1/2, 2}(z_*)) = 0$ holds. Clearly, $A_{1/2, 2}(z_*)$ is bi-Lipshitz equivalent to $[1/2, 2] \times Z$. Therefore, by applying Lemma 5.2, we have the assertion. \square

Corollary 5.4 and Proposition 5.5 implies

$$\dim_{\mathcal{H}}(\mathbf{R}^k \times C(X)) = k + 1 + \dim_{\mathcal{H}}(X)$$

for every compact metric space X . Similarly, we have the following proposition.

PROPOSITION 5.6. *For every compact metric space X and for every $k \geq 0$, we have $\dim_{\mathcal{H}}(\mathbf{S}^k * X) = k + 1 + \dim_{\mathcal{H}}(X)$.*

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