

## NEW ESTIMATES FOR EIGENVALUES OF THE BASIC DIRAC OPERATOR

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**Abstract.** On a transverse spin foliation, we give a new lower bound for the square of the eigenvalues of the basic Dirac operator by the smallest eigenvalue of the basic Yamabe operator. Moreover, the limiting foliation is transversally Einsteinian.

**1. Introduction.** In 2001, S. D. Jung [4] proved that, on a foliated Riemannian manifold with a transverse spin structure, any eigenvalue  $\lambda$  of the basic Dirac operator  $D_B$  satisfies the inequality

$$(1) \quad \lambda^2 \geq \frac{q}{4(q-1)} \inf_M (\sigma^\nabla + |\kappa|^2),$$

where  $q = \text{codim } \mathcal{F}$ ,  $\sigma^\nabla$  is the transversal scalar curvature and  $\kappa$  is the mean curvature form of  $\mathcal{F}$ . In the limiting case, the foliation  $\mathcal{F}$  is minimal, transversally Einsteinian with constant transversal scalar curvature  $\sigma^\nabla$ . In 2004, S. D. Jung et al. [6] improved the above inequality (1) by using the basic Yamabe operator  $Y_B$ . In fact, any eigenvalue  $\lambda$  of the basic Dirac operator  $D_B$  satisfies the inequality

$$(2) \quad \lambda^2 \geq \frac{q}{4(q-1)} (\mu_1 + \inf_M |\kappa|^2),$$

where  $\mu_1$  is the first eigenvalue of the basic Yamabe operator. In the inequalities (1) and (2),  $\kappa$  is assumed to be basic.

In this paper, we give an estimate sharper than (1) by using a modified connection  $\nabla^{f,g}$  defined by

$$(3) \quad \nabla_X^{f,g} \Psi = \nabla_X \Psi + f\pi(X) \cdot \Psi + g\kappa \cdot \pi(X) \cdot \Psi$$

for any basic functions  $f$  and  $g$ . Namely, any eigenvalue  $\lambda$  of the basic Dirac operator  $D_B$  satisfies

$$(4) \quad \lambda^2 \geq \frac{q}{4(q-1)} \inf_M \left( \sigma^\nabla + \frac{q+1}{q} |\kappa_B|^2 \right),$$

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where  $\kappa_B$  is the basic part of  $\kappa$ . Moreover, by using a transversally conformal change of the Riemannian metric, we give an estimate sharper than (2). Namely,

$$(5) \quad \lambda^2 \geq \frac{q}{4(q-1)} \left( \mu_1 + \frac{q+1}{q} \inf_M |\kappa_B|^2 \right).$$

Obviously, the inequality (5) is sharper than (4). The limiting foliations of (4) and (5) are transversally Einsteinian with  $\kappa_B = 0$ , where  $\kappa_B$  is the basic part of  $\kappa$ .

**2. Transversal Dirac operator.** Let  $(M, g_M, \mathcal{F})$  be a  $(p+q)$ -dimensional Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  of codimension  $q$  and a bundle-like metric  $g_M$  with respect to  $\mathcal{F}$ . Then we have an exact sequence of vector bundles

$$(6) \quad 0 \longrightarrow L \longrightarrow TM \xrightarrow{\pi} Q \longrightarrow 0,$$

where  $L$  is the tangent bundle and  $Q = TM/L$  is the normal bundle of  $\mathcal{F}$ . The metric  $g_M$  determines an orthogonal decomposition  $TM = L \oplus L^\perp$ . Identify  $Q$  with  $L^\perp$  and let  $g_Q$  denote the induced metric on  $Q$ . The bundle-like condition on  $g_M$  means that  $\theta(X)g_Q = 0$  for  $X \in \Gamma L$ , where  $\theta(X)$  is the transverse Lie derivative. Let  $\nabla$  be the transversal Levi-Civita connection on  $Q$ , which is torsion-free and metrical with respect to  $g_Q$ . Let  $R^\nabla, \rho^\nabla$  and  $\sigma^\nabla$  be the transversal curvature tensor, transversal Ricci operator and transversal scalar curvature with respect to  $\nabla$ , respectively [10]. The foliation  $\mathcal{F}$  is *transversally Einsteinian* if  $\rho^\nabla = \sigma^\nabla/q$  id. Let  $\Omega_B^*(\mathcal{F})$  be the space of all *basic forms* on  $M$ , i.e., forms  $\phi$  satisfying  $i(X)\phi = i(X)d\phi = 0$  for all  $X \in \Gamma L$ . Then  $\Omega^*(M)$  is decomposed as  $\Omega(M) = \Omega_B(\mathcal{F}) \oplus \Omega_B(\mathcal{F})^\perp$  [1, Theorem 2.1]. Let  $P : \Omega(M) \rightarrow \Omega_B(\mathcal{F})$  be the orthogonal projection onto basic forms [9, Lemma 1.8]. For any  $r$ -form  $\phi$ , we denote the basic part of  $\phi$  by  $\phi_B := P\phi$ . The exterior differential on the de Rham complex  $\Omega^*(M)$  is restricted to a differential  $d_B : \Omega_B^r(\mathcal{F}) \rightarrow \Omega_B^{r+1}(\mathcal{F})$ . Let  $\kappa \in Q^*$  be the mean curvature form of  $\mathcal{F}$ . It is well-known [1, Corollary 3.5] that  $\kappa_B := P\kappa$  is closed, i.e.,  $d\kappa_B = 0$ . The basic Laplacian  $\Delta_B$  is given by  $\Delta_B = d_B\delta_B + \delta_B d_B$ , where  $\delta_B$  is the formal adjoint operator of  $d_B$ .

Let  $S(\mathcal{F})$  be a foliated spinor bundle [3, 4] and  $\langle \cdot, \cdot \rangle_{g_Q}$  a Hermitian metric on  $S(\mathcal{F})$  induced by  $g_Q$ . By the Clifford multiplication in the fibers of  $S(\mathcal{F})$  for any vector field  $X$  in  $Q$  and any foliated spinor field  $\Phi$ , the Clifford product  $X \cdot \Phi$ , which is also a foliated spinor field, is defined. This product has the following properties: for all  $X, Y \in \Gamma Q$  and  $\Phi, \Psi \in \Gamma S(\mathcal{F})$ ,

$$(7) \quad (X \cdot Y + Y \cdot X)\Phi = -2g_Q(X, Y)\Phi,$$

$$(8) \quad \langle X \cdot \Psi, \Phi \rangle_{g_Q} + \langle \Psi, X \cdot \Phi \rangle_{g_Q} = 0,$$

$$(9) \quad \nabla_Y(X \cdot \Psi) = (\nabla_Y X) \cdot \Psi + X \cdot \nabla_Y \Psi,$$

where  $\nabla$  is a metric covariant derivation on  $S(\mathcal{F})$ . Let  $\{E_a\}$  be a local orthonormal basic frame of  $Q$ . We now define a canonical section  $\mathcal{R}^\nabla$  of  $\text{Hom}(S(\mathcal{F}), S(\mathcal{F}))$  by the formula

$$(10) \quad \mathcal{R}^\nabla(\Psi) = \sum_{a < b} E_a \cdot E_b \cdot R^S(E_a, E_b)\Psi,$$

where  $R^S$  is the curvature tensor of  $S(\mathcal{F})$ . Then, on the foliated spinor bundle  $S(\mathcal{F})$ , we have [4, (4.3) and (4.4)]

$$(11) \quad \sum_a E_a \cdot R^S(X, E_a)\Psi = -\frac{1}{2} \rho^\nabla(X) \cdot \Psi,$$

$$(12) \quad \mathcal{R}^\nabla = \frac{1}{4} \sigma^\nabla \text{id}$$

for all  $X \in \Gamma Q$ . The transversal Dirac operator  $D_{\text{tr}}$  acting on sections of  $S(\mathcal{F})$  is locally defined [3, 4] by

$$(13) \quad D_{\text{tr}}\Psi = \sum_a E_a \cdot \nabla_{E_a}\Psi - \frac{1}{2} \kappa_B \cdot \Psi.$$

Here the Clifford product  $\omega \cdot \Psi$  of a 1-form  $\omega \in Q^*$  and a foliated spinor field  $\Psi$  is defined by  $\omega \cdot \Psi \equiv \omega^\sharp \cdot \Psi$ , where  $\omega^\sharp$  is the  $g_Q$ -dual vector field of  $\omega$ . Then it is well known that  $D_{\text{tr}}$  is formally self-adjoint. Now we define the subspace  $\Gamma_B(S(\mathcal{F}))$  of basic or holonomy invariant sections of  $S(\mathcal{F})$  by

$$\Gamma_B(S(\mathcal{F})) = \{\Psi \in \Gamma S(\mathcal{F}) ; \nabla_X \Psi = 0 \text{ for } X \in \Gamma L\}.$$

Trivially, we see that  $D_{\text{tr}}$  leaves  $\Gamma_B(S(\mathcal{F}))$  invariant. Let  $D_B = D_{\text{tr}}|_{\Gamma_B(S(\mathcal{F}))} : \Gamma_B(S(\mathcal{F})) \rightarrow \Gamma_B(S(\mathcal{F}))$ . This operator  $D_B$  is called the basic Dirac operator on (smooth) basic sections.

**THEOREM 2.1** ([3, 4]). *On a transverse spin foliation  $\mathcal{F}$  with  $\delta_B \kappa_B = 0$ , the Lichnerowicz type formula is given by*

$$(14) \quad D_{\text{tr}}^2 \Psi = \nabla_{\text{tr}}^* \nabla_{\text{tr}} \Psi + \frac{1}{4} K_\sigma^\nabla \Psi,$$

where  $K_\sigma^\nabla = \sigma^\nabla + |\kappa_B|^2 \text{id}$  and

$$(15) \quad \nabla_{\text{tr}}^* \nabla_{\text{tr}} \Psi = - \sum_a \nabla_{E_a, E_a}^2 \Psi + \nabla_{\kappa_B^\sharp} \Psi$$

with  $\nabla_{X, Y}^2 = \nabla_X \nabla_Y - \nabla_{\nabla_X Y}$  for all  $X, Y \in \Gamma TM$ .

Now, we consider, for any real basic function  $u$  on  $M$ , the transversally conformal metric  $\bar{g}_Q = e^{2u} g_Q$ . Let  $\bar{S}(\mathcal{F})$  be the foliated spinor bundles associated with  $\bar{g}_Q$ . For any section  $\Psi$  of  $S(\mathcal{F})$ , we write  $\bar{\Psi} \equiv I_u \Psi$ , where  $I_u : S(\mathcal{F}) \rightarrow \bar{S}(\mathcal{F})$  is an isometry. Then, for any  $\Phi, \Psi \in \Gamma S(\mathcal{F})$ , we have

$$(16) \quad \langle \Phi, \Psi \rangle_{g_Q} = \langle \bar{\Phi}, \bar{\Psi} \rangle_{\bar{g}_Q},$$

and the Clifford multiplication in  $\bar{S}(\mathcal{F})$  is given by

$$(17) \quad \bar{X} \cdot \bar{\Psi} = \overline{X \cdot \Psi} \text{ for } X \in \Gamma Q.$$

The connections  $\nabla$  and  $\bar{\nabla}$  acting respectively on the sections of  $S(\mathcal{F})$  and  $\bar{S}(\mathcal{F})$  are related, for any vector field  $X$  and any spinor field  $\Psi$ , in [6] by

$$(18) \quad \bar{\nabla}_X \bar{\Psi} = \overline{\nabla_X \Psi} - \frac{1}{2} \overline{\pi(X) \cdot d_B u \cdot \Psi} - \frac{1}{2} g_Q(d_B u, \pi(X)) \bar{\Psi}.$$

Let  $\bar{D}_{\text{tr}}$  be the transversal Dirac operator associated with the metric  $\bar{g}_Q$  and acting on the sections of the foliated spinor bundles  $\bar{S}(\mathcal{F})$ . Let  $\{\bar{E}_a\}$  be a local frame of  $\bar{P}_{SO}(\mathcal{F})$ . Then  $\bar{D}_{\text{tr}}$  is locally expressed by

$$(19) \quad \bar{D}_{\text{tr}}\bar{\Psi} = \sum_a \bar{E}_a \cdot \bar{\nabla}_{\bar{E}_a} \bar{\Psi} - \frac{1}{2} P\kappa_{\bar{g}} \cdot \bar{\Psi},$$

where  $\kappa_{\bar{g}} = e^{2u}\kappa$  is the mean curvature form associated with  $\bar{g}_Q$ . It is easy to prove that  $\bar{D}_{\text{tr}}$  is formally self-adjoint with respect to  $\langle \cdot, \cdot \rangle_{\bar{g}_Q}$ . Using (17), we have, for any  $\Psi$ ,

$$(20) \quad \bar{D}_{\text{tr}}\bar{\Psi} = e^{-u} \left( \overline{D_{\text{tr}}\Psi} + \frac{q-1}{2} \overline{d_B u \cdot \Psi} \right).$$

For any basic function  $f$ , the equality  $D_{\text{tr}}(f\Psi) = d_B f \cdot \Psi + f D_{\text{tr}}\Psi$  holds. Hence we have

$$(21) \quad \bar{D}_{\text{tr}}(f\bar{\Psi}) = e^{-u} \overline{d_B f \cdot \Psi} + f \bar{D}_{\text{tr}}\bar{\Psi}.$$

From (20) and (21), we have the following proposition.

**PROPOSITION 2.2** ([6]). *Let  $\mathcal{F}$  be the transverse spin foliation of codimension  $q$ . Then the transverse Dirac operators  $D_{\text{tr}}$  and  $\bar{D}_{\text{tr}}$  satisfy*

$$(22) \quad \bar{D}_{\text{tr}}(e^{-(q-1)u/2}\bar{\Psi}) = e^{-(q+1)u/2} \overline{D_{\text{tr}}\Psi}$$

for any spinor field  $\Psi \in S(\mathcal{F})$ .

From Proposition 2.2, if  $D_{\text{tr}}\Psi = 0$ , then  $\bar{D}_{\text{tr}}\bar{\Phi} = 0$ , where  $\bar{\Phi} = e^{-(q-1)u/2}\bar{\Psi}$ , and conversely. Therefore the dimension of the space of the foliated harmonic spinors is transversally conformal invariant.

**THEOREM 2.3** ([6]). *On the transverse spin foliation  $\mathcal{F}$  with  $\delta_B \kappa_B = 0$ , we have the equality*

$$(23) \quad \bar{D}_{\text{tr}}^2 \bar{\Psi} = \bar{\nabla}_{\text{tr}}^* \bar{\nabla}_{\text{tr}} \bar{\Psi} + \frac{1}{4} K_{\sigma}^{\bar{\nabla}} \bar{\Psi}$$

for every  $\bar{\Psi} \in \bar{S}(\mathcal{F})$ , where

$$(24) \quad \bar{\nabla}_{\text{tr}}^* \bar{\nabla}_{\text{tr}} \bar{\Psi} = - \sum_a \bar{\nabla}_{\bar{E}_a} \bar{\nabla}_{\bar{E}_a} \bar{\Psi} + \bar{\nabla}_{\sum_a \bar{\nabla}_{\bar{E}_a} \bar{E}_a} \bar{\Psi} + \bar{\nabla}_{(P\kappa_{\bar{g}})^{\sharp}} \bar{\Psi},$$

$$(25) \quad K_{\sigma}^{\bar{\nabla}} = \sigma^{\bar{\nabla}} + |\bar{\kappa}_B|^2 + 2(q-2)P\kappa_{\bar{g}}(u).$$

**3. The proof of (4).** Let  $(M, g_M, \mathcal{F}, S(\mathcal{F}))$  be a compact Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  of codimension  $q$  and a bundle-like metric  $g_M$  satisfying  $\delta_B \kappa_B = 0$ . Now, for any basic functions  $f$  and  $g$ , we define a new connection  $\nabla^{f,g}$  on  $S(\mathcal{F})$  by

$$(26) \quad \nabla_X^{f,g} \Psi = \nabla_X \Psi + f\pi(X) \cdot \Psi + g\kappa_B \cdot \pi(X) \cdot \Psi$$

for any vector field  $X$  and any spinor field  $\Psi$ . By a direct calculation, from (26), we have

$$(27) \quad \begin{aligned} |\nabla_{\text{tr}}^{f,g} \Psi|^2 &= |\nabla_{\text{tr}} \Psi|^2 + qf^2 |\Psi|^2 + qg^2 |\kappa_B|^2 |\Psi|^2 + g|\kappa_B|^2 |\Psi|^2 \\ &\quad - 2f \text{Re} \langle D_{\text{tr}} \Psi, \Psi \rangle_{g_Q} + 2g \text{Re} \langle D_{\text{tr}} \Psi, \kappa_B \cdot \Psi \rangle_{g_Q} - 4g \text{Re} \langle \nabla_{\kappa_B^\sharp} \Psi, \Psi \rangle_{g_Q}, \end{aligned}$$

where  $|\Psi|^2 = \langle \Psi, \Psi \rangle_{g_Q}$ . Then we have the following theorem.

**THEOREM 3.1.** *Let  $(M, g_M, \mathcal{F})$  be a compact Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  of codimension  $q > 1$  and a bundle-like metric  $g_M$  satisfying  $\delta_B \kappa_B = 0$ . Assume that  $\sigma^\nabla$  is nonnegative. Then any eigenvalue  $\lambda$  of the basic Dirac operator  $D_B$  satisfies*

$$(28) \quad \lambda^2 \geq \frac{q}{4(q-1)} \inf_M \left( \sigma^\nabla + \frac{q+1}{q} |\kappa_B|^2 \right).$$

**PROOF.** Since  $\nabla$  is metrical and  $\delta_B \kappa_B = 0$ , we have

$$\int_M \text{Re} \langle \nabla_{\kappa_B^\sharp} \Psi, \Psi \rangle_{g_Q} = 0.$$

Hence if  $D_B \Psi = \lambda \Psi$ , from (14) and (27), we have

$$\int_M |\nabla_{\text{tr}}^{f,g} \Psi|^2 = \int_M \left( qf^2 - 2\lambda f + \lambda^2 + q|\kappa_B|^2 g^2 + |\kappa_B|^2 g - \frac{1}{4} K_\sigma^\nabla \right) |\Psi|^2.$$

If we put  $f = \lambda/q$  and  $g = -1/2q$ , then we have

$$(29) \quad \int_M |\nabla_{\text{tr}}^{f,g} \Psi|^2 = \int_M \frac{q-1}{q} \left( \lambda^2 - \frac{q}{4(q-1)} \left\{ K_\sigma^\nabla + \frac{1}{q} |\kappa_B|^2 \right\} \right) |\Psi|^2,$$

which proves (28). □

**COROLLARY 3.2.** *Under the assumptions in Theorem 3.1, if the transverse scalar curvature is zero, then we get the inequality*

$$\lambda^2 \geq \frac{q+1}{4(q-1)} \inf_M |\kappa_B|^2.$$

Now we study the limiting case. We define  $\text{Ric}_{\nabla}^{f,g} : \Gamma Q \otimes S(\mathcal{F}) \rightarrow S(\mathcal{F})$  by

$$(30) \quad \text{Ric}_{\nabla}^{f,g}(X \otimes \Psi) = \sum E_a \cdot R^{f,g}(X, E_a) \Psi,$$

where  $R^{f,g}$  is the curvature tensor with respect to  $\nabla^{f,g}$ . Then we have the following lemma.

**LEMMA 3.3.** *For any vector field  $X \in \Gamma Q$  and spinor field  $\Psi \in \Gamma S(\mathcal{F})$ , the equality*

$$(31) \quad \begin{aligned} \text{Ric}_{\nabla}^{f,g}(X \otimes \Psi) &= -\frac{1}{2} \rho^\nabla(X) \Psi - qX(f) \Psi + 2(q-1)f^2 X \cdot \Psi - d_B f \cdot X \cdot \Psi \\ &\quad + (q-2)X(g) \kappa_B \cdot \Psi + (q-2)g \nabla_X \kappa_B \cdot \Psi + 2qf g g_Q(X, \kappa_B) \Psi \\ &\quad + 2(q-2)g^2 |\kappa_B|^2 X \cdot \Psi - 2(q-2)g^2 g_Q(X, \kappa_B) \kappa_B \cdot \Psi \\ &\quad - d_B g \cdot \kappa_B \cdot X \cdot \Psi + 2fg \kappa_B \cdot X \cdot \Psi + g|\kappa_B|^2 X \cdot \Psi \end{aligned}$$

holds.

PROOF. From (26), a direct calculation gives

$$\begin{aligned} \nabla_X^{f,g} \nabla_{E_a}^{f,g} \Psi &= \nabla_X \nabla_{E_a} \Psi + X(f)E_a \cdot \Psi + f \nabla_X E_a \cdot \Psi + f E_a \cdot \nabla_X \Psi \\ &\quad + X(g)\kappa_B \cdot E_a \cdot \Psi + g \nabla_X \kappa_B \cdot E_a \cdot \Psi + g \kappa_B \cdot \nabla_X E_a \cdot \Psi \\ &\quad + g \kappa_B \cdot E_a \cdot \nabla_X \Psi + f X \cdot \nabla_{E_a} \Psi + f^2 X \cdot E_a \cdot \Psi \\ &\quad + f g X \cdot \kappa_B \cdot E_a \cdot \Psi + g \kappa_B \cdot X \cdot \nabla_{E_a} \Psi + f g \kappa_B \cdot X \cdot E_a \cdot \Psi \\ &\quad + g^2 \kappa_B \cdot X \cdot \kappa_B \cdot E_a \cdot \Psi. \end{aligned}$$

Moreover, we have

$$\begin{aligned} X \cdot \kappa_B \cdot E_a - E_a \cdot \kappa_B \cdot X &= 2\kappa_B \cdot E_a \cdot X + 2g_Q(X, E_a)\kappa_B - 2g_Q(X, \kappa_B)E_a \\ &\quad + 2g_Q(E_a, \kappa_B)X. \end{aligned}$$

Therefore, we have

$$\begin{aligned} R^{f,g}(X, E_a)\Psi &= R^S(X, E_a)\Psi + X(f)E_a \cdot \Psi - X(g)E_a \cdot \kappa_B \cdot \Psi \\ &\quad - 2X(g)g_Q(\kappa_B, E_a)\Psi - gE_a \cdot \nabla_X \kappa_B \cdot \Psi - 2gg_Q(\nabla_X \kappa_B, E_a)\Psi \\ &\quad - 2f^2 E_a \cdot X \cdot \Psi - 2f^2 g_Q(X, E_a)\Psi - 2f g g_Q(X, \kappa_B)E_a \cdot \Psi \\ &\quad + 2f g g_Q(E_a, \kappa_B)X \cdot \Psi - 2g^2 |\kappa_B|^2 E_a X \cdot \Psi \\ &\quad - 2g^2 g_Q(X, E_a) |\kappa_B|^2 \Psi + 2g^2 g_Q(X, \kappa_B)E_a \cdot \kappa_B \cdot \Psi \\ &\quad + 4g^2 g_Q(X, \kappa_B)g_Q(E_a, \kappa_B)\Psi + 2g^2 g_Q(E_a, \kappa_B)\kappa_B \cdot X \cdot \Psi \\ &\quad - E_a(f)X \cdot \Psi - E_a(g)\kappa_B \cdot X \cdot \Psi - g \nabla_{E_a} \kappa_B \cdot X \cdot \Psi. \end{aligned}$$

From (11) and (30), we get the equality. □

Hence we have the following theorem.

**THEOREM 3.4.** *Let  $(M, g_M, \mathcal{F})$  be a compact Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  of codimension  $q > 1$  and a bundle-like metric  $g_M$  satisfying  $\delta_B \kappa_B = 0$ . Assume that  $\sigma^\nabla$  is nonnegative. If there exists an eigenspinor field  $\Psi_1$  of the basic Dirac operator  $D_B$  for the eigenvalue  $\lambda_1$  satisfying*

$$(32) \quad \lambda_1^2 = \frac{q}{4(q-1)} \inf_M \left( \sigma^\nabla + \frac{q+1}{q} |\kappa_B|^2 \right),$$

*then  $\mathcal{F}$  is transversally Einsteinian with a positive constant transversal scalar curvature  $\sigma^\nabla$  and  $\kappa_B = 0$ .*

PROOF. Let  $D_B \Psi_1 = \lambda_1 \Psi_1$  with

$$\lambda_1^2 = \frac{q}{4(q-1)} \inf_M \left( \sigma^\nabla + \frac{q+1}{q} |\kappa_B|^2 \right).$$

From (29), we see  $\nabla_{\text{tr}}^{f_1, g_1} \Psi_1 = 0$ , where  $f_1 = \lambda_1/q$  and  $g_1 = -1/2q$ . Hence, from (26), we have

$$(33) \quad \nabla_X \Psi_1 = -\frac{\lambda_1}{q} X \cdot \Psi_1 + \frac{1}{2q} \kappa_B \cdot X \cdot \Psi_1.$$

Hence, from (33), we have

$$\begin{aligned} \sum_a E_a \cdot \nabla_{E_a} \Psi_1 &= -\frac{\lambda_1}{q} \sum_a E_a \cdot E_a \cdot \Psi_1 + \frac{1}{2q} \sum_a E_a \cdot \kappa_B \cdot E_a \cdot \Psi_1 \\ &= \lambda_1 \Psi_1 + \frac{q-2}{2q} \kappa_B \cdot \Psi_1. \end{aligned}$$

Therefore  $D_B \Psi_1 = \lambda_1 \Psi_1$  implies  $\kappa_B \cdot \Psi_1 = 0$ , which means  $\kappa_B = 0$ . If  $\nabla_X^{f, g} \Psi = 0$  for any  $X \in \Gamma Q$ , then  $\text{Ric}_{\nabla}^{f, g} = 0$ . Since  $\kappa_B = 0$ , from (31), we have

$$(34) \quad \rho^\nabla(X) \cdot \Psi = \frac{4(q-1)}{q^2} \lambda_1^2 X \cdot \Psi.$$

This means that  $\mathcal{F}$  is transversally Einsteinian with a constant transversal scalar curvature  $\sigma^\nabla = (4(q-1)/q)\lambda_1^2$ . □

**4. The proof of (5).** Let  $(M, g_M, \mathcal{F}, S(\mathcal{F}))$  be a compact Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  of codimension  $q$  and a bundle-like metric  $g_M$  satisfying  $\delta_B \kappa_B = 0$ . In this section, we estimate the eigenvalues of the basic Dirac operator by a transversally conformal change of the metric. Now, we consider, for any real basic function  $u$  on  $M$ , the transversally conformal metric  $\bar{g}_Q = e^{2u} g_Q$ . Let  $\bar{S}(\mathcal{F})$  be its corresponding spinor bundle. For any basic functions  $f$  and  $g$ , we define the modified connection  $\bar{\nabla}^{f, g}$  on  $\bar{S}(\mathcal{F})$  by

$$(35) \quad \bar{\nabla}_X^{f, g} \bar{\Psi} = \bar{\nabla}_X \bar{\Psi} + f \pi(X) \cdot \bar{\Psi} + g(P\kappa_{\bar{g}}) \cdot \pi(X) \cdot \bar{\Psi}$$

for any vector field  $X$  and any spinor field  $\Psi$  on  $M$ .

LEMMA 4.1. *Let  $(M, g_M, \mathcal{F})$  be a Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  and a bundle-like metric  $g_M$ . Then, for any basic-harmonic 1-form  $\omega \in \Omega_B^1(\mathcal{F})$ , the equality*

$$(36) \quad \begin{aligned} \bar{D}_{\text{tr}}(f\omega \cdot \bar{\Psi}) &= -f\omega \cdot \bar{D}_{\text{tr}} \bar{\Psi} - 2f \bar{\nabla}_\omega \bar{\Psi} - (q+2)f\omega(u) \bar{\Psi} \\ &\quad - 2\overline{f\omega \cdot d_B u \cdot \Psi} + \overline{d_B f \cdot \omega \cdot \Psi} \end{aligned}$$

holds, where  $f$  is a basic function.

PROOF. Note that, for any basic function  $f$ , we have

$$(37) \quad D_{\text{tr}}(f\omega \cdot \Psi) = -f\omega \cdot D_{\text{tr}} \Psi - 2f \nabla_\omega \Psi + d_B f \cdot \omega \cdot \Psi.$$

From (20), we have

$$\begin{aligned} \bar{D}_{\text{tr}}(f\omega \cdot \bar{\Psi}) &= e^{-u} \overline{d_B e^u \cdot f\omega \cdot \Psi} + e^u \bar{D}_{\text{tr}}(\overline{f\omega \cdot \Psi}) \\ &= \overline{f d_B u \cdot \omega \cdot \Psi} + \overline{D_{\text{tr}}(f\omega \cdot \Psi)} + \frac{q-1}{2} \overline{d_B u \cdot f\omega \cdot \Psi}. \end{aligned}$$

From (18), (20) and (37), we have

$$\begin{aligned} \bar{D}_{\text{tr}}(f\omega \cdot \bar{\Psi}) &= -f\bar{\omega} \cdot \overline{\bar{D}_{\text{tr}}\bar{\Psi}} - 2f\overline{\nabla_{\omega}\bar{\Psi}} + \overline{d_B f \cdot \omega \cdot \bar{\Psi}} + \frac{q+1}{2} f\overline{d_{Bu} \cdot \omega \cdot \bar{\Psi}} \\ &= -f\omega \cdot \bar{D}_{\text{tr}}\bar{\Psi} - 2f\bar{\nabla}_{\omega}\bar{\Psi} + \frac{q-3}{2} f\overline{\omega \cdot d_{Bu} \cdot \bar{\Psi}} \\ &\quad + \frac{q+1}{2} f\overline{d_{Bu} \cdot \omega \cdot \bar{\Psi}} + \overline{d_B f \cdot \omega \cdot \bar{\Psi}} - f\omega(u)\bar{\Psi}, \end{aligned}$$

which implies (36). □

Let  $\mathcal{K} = \{u \in \Omega_B^0(\mathcal{F}) ; \kappa(u) = 0\}$ . Then we have the following corollary.

**COROLLARY 4.2.** *Let  $(M, g_M, \mathcal{F})$  be a Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  and a bundle-like metric  $g_M$ . For some transversally conformal metric  $\bar{g}_Q = e^{2u}g_Q$  for  $u \in \mathcal{K}$ , we have*

$$(38) \quad \bar{D}_{\text{tr}}(e^{-2u}\kappa_B \cdot \bar{\Psi}) = -e^{-2u}(\kappa_B \cdot \bar{D}_{\text{tr}}\bar{\Psi} + 2\bar{\nabla}_{\kappa_B}\bar{\Psi}).$$

By a long calculation, we have, for any basic functions  $f$  and  $g$  on  $M$ , and for any spinor field  $\Psi$ ,

$$(39) \quad \begin{aligned} |\bar{\nabla}_{\text{tr}}^{f,g}\bar{\Psi}|_{\bar{g}_Q}^2 &= |\bar{\nabla}_{\text{tr}}\bar{\Psi}|_{\bar{g}_Q}^2 + qf^2|\bar{\Psi}|_{\bar{g}_Q}^2 + qg^2|P\kappa_{\bar{g}}|_{\bar{g}_Q}^2|\bar{\Psi}|_{\bar{g}_Q}^2 + g|P\kappa_{\bar{g}}|_{\bar{g}_Q}^2|\bar{\Psi}|_{\bar{g}_Q}^2 \\ &\quad - 2f\langle \bar{D}_{\text{tr}}\bar{\Psi}, \bar{\Psi} \rangle_{\bar{g}_Q} - f\text{Re}\langle P\kappa_{\bar{g}} \cdot \bar{\Psi}, \bar{\Psi} \rangle_{\bar{g}_Q} + 2g\text{Re}\langle \bar{D}_{\text{tr}}\bar{\Psi}, P\kappa_{\bar{g}} \cdot \bar{\Psi} \rangle_{\bar{g}_Q} \\ &\quad - 4g\text{Re}\langle \bar{\nabla}_{(P\kappa_{\bar{g}})\sharp}\bar{\Psi}, \bar{\Psi} \rangle_{\bar{g}_Q}. \end{aligned}$$

Let  $D_B\Phi = \lambda\Phi$  for some nonzero  $\Phi$ . From (22), we have  $\bar{D}_{\text{tr}}\bar{\Psi} = \lambda e^{-u}\bar{\Psi}$ , where  $\Psi = e^{-(q-1)u/2}\Phi$ . Since  $\langle X \cdot \Psi, \Psi \rangle_{g_Q}$  is pure imaginary, we have

$$(40) \quad \text{Re}\langle P\kappa_{\bar{g}} \cdot \bar{\Psi}, \bar{\Psi} \rangle_{\bar{g}_Q} = 0 \quad \text{and} \quad \text{Re}\langle \bar{D}_{\text{tr}}\bar{\Psi}, P\kappa_{\bar{g}} \cdot \bar{\Psi} \rangle_{\bar{g}_Q} = 0.$$

By integration, the equation (39) together with (23) gives

$$(41) \quad \begin{aligned} \int_M |\bar{\nabla}_{\text{tr}}^{f,g}\bar{\Psi}|_{\bar{g}_Q}^2 &= \int_M e^{-2u} \left( \lambda^2 - 2fe^u\lambda - \frac{1}{4}e^{2u}K_{\sigma}^{\bar{\nabla}} \right) |\bar{\Psi}|_{\bar{g}_Q}^2 \\ &\quad + \int_M (qf^2 + qg^2|P\kappa_{\bar{g}}|_{\bar{g}_Q}^2 + g|P\kappa_{\bar{g}}|_{\bar{g}_Q}^2) |\bar{\Psi}|_{\bar{g}_Q}^2 \\ &\quad - 4g \int_M \text{Re}\langle \bar{\nabla}_{(P\kappa_{\bar{g}})\sharp}\bar{\Psi}, \bar{\Psi} \rangle_{\bar{g}_Q}. \end{aligned}$$

Let  $u$  be in  $\mathcal{K}$ . Since  $\kappa_{\bar{g}} = e^{2u}\kappa$ , from (38) and (40), we have

$$\begin{aligned} -2 \int_M \text{Re}\langle \bar{\nabla}_{(P\kappa_{\bar{g}})\sharp}\bar{\Psi}, \bar{\Psi} \rangle_{\bar{g}_Q} &= \int_M \text{Re}\langle \bar{D}_{\text{tr}}(e^{-2u}\kappa_B \cdot \bar{\Psi}), e^{4u}\bar{\Psi} \rangle_{\bar{g}_Q} \\ &\quad + \int_M e^{2u}\text{Re}\langle \kappa_B \cdot \bar{D}_{\text{tr}}\bar{\Psi}, \bar{\Psi} \rangle_{\bar{g}_Q} \\ &= \int_M \text{Re}\langle e^{-2u}\kappa_B \cdot \bar{\Psi}, \bar{D}_{\text{tr}}(e^{4u}\bar{\Psi}) \rangle_{\bar{g}_Q}. \end{aligned}$$



From (20), we have

$$\begin{aligned} \langle e^{-2u} \kappa_B \cdot \bar{\Psi}, \bar{D}_{\text{tr}}(e^{4u} \bar{\Psi}) \rangle_{\bar{g}_Q} &= \langle e^{2u} \kappa_B \cdot \bar{\Psi}, \bar{D}_{\text{tr}} \bar{\Psi} \rangle_{\bar{g}_Q} + 4 \langle e^u \kappa_B \cdot \bar{\Psi}, \overline{d_{Bu} \cdot \Psi} \rangle_{\bar{g}_Q} \\ &= \langle e^{2u} \kappa_B \cdot \bar{\Psi}, \bar{D}_{\text{tr}} \bar{\Psi} \rangle_{\bar{g}_Q} + 4 \langle e^{2u} \kappa_B \cdot \Psi, d_{Bu} \cdot \Psi \rangle_{g_Q}. \end{aligned}$$

On the other hand, for any  $u \in \mathcal{K}$ , we have

$$\begin{aligned} 2\text{Re} \langle \kappa_B \cdot \Psi, d_{Bu} \cdot \Psi \rangle_{g_Q} &= \langle \kappa_B \cdot \Psi, d_{Bu} \cdot \Psi \rangle_{g_Q} + \overline{\langle \kappa_B \cdot \Psi, d_{Bu} \cdot \Psi \rangle_{g_Q}} \\ &= 2\kappa_B(u) |\Psi|^2 = 0. \end{aligned}$$

Hence from (40), we have

$$\text{Re} \langle e^{-2u} \kappa_B \cdot \bar{\Psi}, \bar{D}_{\text{tr}}(e^{4u} \bar{\Psi}) \rangle_{\bar{g}_Q} = 0,$$

which means

$$(42) \quad \int_M \text{Re} \langle \bar{\nabla}_{(P\kappa_{\bar{g}})^\sharp} \bar{\Psi}, \bar{\Psi} \rangle_{\bar{g}_Q} = 0.$$

Therefore, (41) yields

$$(43) \quad \begin{aligned} \int_M |\bar{\nabla}_{\text{tr}}^{f,g} \bar{\Psi}|_{\bar{g}_Q}^2 &= \int_M e^{-2u} (qf^2 - 2e^u \lambda f + \lambda^2) |\bar{\Psi}|_{\bar{g}_Q}^2 \\ &+ \int_M \left( q |P\kappa_{\bar{g}}|_{\bar{g}_Q}^2 g^2 + |P\kappa_{\bar{g}}|_{\bar{g}_Q}^2 g - \frac{1}{4} K_{\sigma}^{\bar{\nabla}} \right) |\bar{\Psi}|_{\bar{g}_Q}^2. \end{aligned}$$

If we put  $f = (\lambda/q)e^{-u}$  and  $g = -1/2q$ , then we have

$$(44) \quad \int_M |\bar{\nabla}_{\text{tr}}^{f,g} \bar{\Psi}|_{\bar{g}_Q}^2 = \frac{q-1}{q} \int_M e^{-2u} \left( \lambda^2 - \frac{q}{4(q-1)} \left\{ e^{2u} K_{\sigma}^{\bar{\nabla}} + \frac{1}{q} |\bar{\kappa}_B|^2 \right\} \right) |\bar{\Psi}|_{\bar{g}_Q}^2.$$

Hence we have the following theorem.

**THEOREM 4.3.** *Let  $(M, g_M, \mathcal{F})$  be a compact Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  of codimension  $q \geq 2$  and a bundle-like metric  $g_M$  satisfying  $\delta_{B\kappa_B} = 0$ . Assume that  $K_{\sigma}^{\bar{\nabla}}$  is nonnegative for some transversally conformal metric  $\bar{g}_Q = e^{2u} g_Q$ . Then we have*

$$(45) \quad \lambda^2 \geq \frac{q}{4(q-1)} \sup_{u \in \mathcal{K}} \inf_M \left( e^{2u} K_{\sigma}^{\bar{\nabla}} + \frac{1}{q} |\bar{\kappa}_B|^2 \right),$$

where  $K_{\sigma}^{\bar{\nabla}} = \sigma^{\bar{\nabla}} + |\bar{\kappa}_B|^2$ .

The transversal Ricci curvature  $\rho^{\bar{\nabla}}$  of  $\bar{g}_Q = e^{2u} g_Q$  and the transversal scalar curvature  $\sigma^{\bar{\nabla}}$  of  $\bar{g}_Q$  are related to the transversal Ricci curvature  $\rho^{\nabla}$  of  $g_Q$  and the transversal scalar curvature  $\sigma^{\nabla}$  of  $g_Q$  by the following lemma (cf. [6, Lemma 4.3]).

**LEMMA 4.4.** *On a Riemannian foliation  $\mathcal{F}$ , we have, for any  $X \in \mathcal{Q}$ ,*

$$(46) \quad \begin{aligned} e^{2u} \rho^{\bar{\nabla}}(X) &= \rho^{\nabla}(X) + (2-q) \nabla_X d_{Bu} + (2-q) |d_{Bu}|^2 X + (q-2) X(u) d_{Bu} \\ &+ \{ \Delta_{Bu} - \kappa_B(u) \} X, \end{aligned}$$

$$(47) \quad e^{2u} \sigma^{\bar{\nabla}} = \sigma^{\nabla} + (q-1)(2-q)|d_B u|^2 + 2(q-1)\{\Delta_B u - \kappa_B(u)\}.$$

From (47), we have

$$e^{2u} K_{\sigma}^{\bar{\nabla}} = \sigma^{\nabla} + |\kappa_B|^2 + 2(q-1)\Delta_B u + (q-1)(2-q)|d_B u|^2 - 2\kappa_B(u).$$

On the other hand, for  $q \geq 3$ , if we choose the positive function  $h$  by  $u = 2 \ln h / (q - 2)$ , then we have

$$(48) \quad \Delta_B u = \frac{2}{q-2} \{h^{-2} |d_B h|^2 + h^{-1} \Delta_B h\},$$

$$(49) \quad |d_B u|^2 = \left(\frac{2}{q-2}\right)^2 h^{-2} |d_B h|^2.$$

Hence we have

$$(50) \quad e^{2u} K_{\sigma}^{\bar{\nabla}} = h^{4/(q-2)} K_{\sigma}^{\bar{\nabla}} = h^{-1} Y_B h + |\kappa_B|^2 - \frac{4}{q-2} h^{-1} \kappa_B(h),$$

where  $Y_B$  is the basic Yamabe operator of  $\mathcal{F}$  defined in [6]. If we choose  $u$  in  $\mathcal{K}$ , then  $\kappa_B(h) = 0 = \kappa_B(u)$ . From (50), we have

$$(51) \quad e^{2u} K_{\sigma}^{\bar{\nabla}} = K_{\sigma}^{\nabla} + 2(q-1)\Delta_B u = h^{-1} Y_B h + |\kappa_B|^2,$$

where  $K_{\sigma}^{\nabla} = \sigma^{\nabla} + |\kappa_B|^2$ . From (45), we have the following corollary.

COROLLARY 4.5. *Under the same condition as in Theorem 4.3, we have*

$$\lambda^2 \geq \begin{cases} \frac{q}{4(q-1)} \sup_{u \in \mathcal{K}} \inf_M \left\{ \sigma^{\nabla} + 2(q-1)\Delta_B u \right. \\ \left. + (q-1)(2-q)|d_B u|^2 + \frac{q+1}{q} |\kappa_B|^2 \right\} & \text{if } q \geq 2, \\ \frac{q}{4(q-1)} \sup_{h \in \mathcal{K}} \inf_M \left\{ h^{-1} Y_B h + \frac{q+1}{q} |\kappa_B|^2 \right\} & \text{if } q \geq 3. \end{cases}$$

COROLLARY 4.6. *Let  $(M, g_M, \mathcal{F})$  be a compact Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  of codimension  $q \geq 3$  and a bundle-like metric  $g_M$  satisfying  $\delta_B \kappa_B = 0$ . Assume that  $\sigma^{\nabla}$  is nonnegative. Then any eigenvalue  $\lambda$  of the basic Dirac operator satisfies*

$$(52) \quad \lambda^2 \geq \frac{q}{4(q-1)} \left( \mu_1 + \frac{q+1}{q} \inf |\kappa_B|^2 \right),$$

where  $\mu_1$  is the first eigenvalue of the basic Yamabe operator  $Y_B$  of  $\mathcal{F}$ .

Now, we study the limiting case. We define  $\text{Ric}_{\bar{\nabla}}^{f,g} : \Gamma Q \otimes \bar{S}(\mathcal{F}) \rightarrow \bar{S}(\mathcal{F})$  by

$$(53) \quad \text{Ric}_{\bar{\nabla}}^{f,g}(X \otimes \bar{\Psi}) = \sum \bar{E}_a \cdot \bar{R}^{f,g}(X, \bar{E}_a) \bar{\Psi},$$

where  $\bar{R}^{f,g}$  is the curvature tensor with respect to  $\bar{\nabla}^{f,g}$ . For  $X \in \Gamma Q$  and  $\Psi \in \Gamma S(\mathcal{F})$ , we have

$$\begin{aligned} \bar{\nabla}_X^{f,g} \bar{\nabla}_{\bar{E}_a}^{f,g} \bar{\Psi} &= \bar{\nabla}_X \bar{\nabla}_{\bar{E}_a} \bar{\Psi} + fX \cdot \bar{\nabla}_{\bar{E}_a} \bar{\Psi} + gP\kappa_{\bar{g}} \cdot X \cdot \bar{\nabla}_{\bar{E}_a} \bar{\Psi} + X(f)\bar{E}_a \cdot \bar{\Psi} \\ &\quad + f\bar{\nabla}_X \bar{E}_a \cdot \bar{\Psi} + f\bar{E}_a \cdot \bar{\nabla}_X \bar{\Psi} + f^2 X \cdot \bar{E}_a \cdot \bar{\Psi} \\ &\quad + fgP\kappa_{\bar{g}} \cdot X \cdot \bar{E}_a \cdot \bar{\Psi} + X(g)P\kappa_{\bar{g}} \cdot \bar{E}_a \cdot \bar{\Psi} \\ &\quad + g\bar{\nabla}_X P\kappa_{\bar{g}} \cdot \bar{E}_a \cdot \bar{\Psi} + gP\kappa_{\bar{g}} \cdot \bar{\nabla}_X \bar{E}_a \cdot \bar{\Psi} \\ &\quad + gP\kappa_{\bar{g}} \cdot \bar{E}_a \cdot \bar{\nabla}_X \bar{\Psi} + fgX \cdot P\kappa_{\bar{g}} \cdot \bar{E}_a \cdot \bar{\Psi} \\ &\quad + g^2 P\kappa_{\bar{g}} \cdot X \cdot P\kappa_{\bar{g}} \cdot \bar{E}_a \cdot \bar{\Psi}. \end{aligned}$$

Hence we have

$$\begin{aligned} \bar{R}^{f,g}(X, \bar{E}_a)\bar{\Psi} &= \bar{R}^S(X, \bar{E}_a)\bar{\Psi} + X(f)\bar{E}_a \cdot \bar{\Psi} - 2f^2 \bar{E}_a \cdot X \cdot \bar{\Psi} - 2f^2 \bar{g}_Q(X, \bar{E}_a)\bar{\Psi} \\ &\quad - 2fg\bar{g}_Q(P\kappa_{\bar{g}}, X)\bar{E}_a \cdot \bar{\Psi} - X(g)\bar{E}_a \cdot P\kappa_{\bar{g}} \cdot \bar{\Psi} - \bar{E}_a(f)X \cdot \bar{\Psi} \\ &\quad - 2X(g)\bar{g}_Q(P\kappa_{\bar{g}}, \bar{E}_a)\bar{\Psi} - g\bar{E}_a \cdot \bar{\nabla}_X P\kappa_{\bar{g}} \cdot \bar{\Psi} - 2g\bar{g}_Q(\bar{\nabla}_X P\kappa_{\bar{g}}, \bar{E}_a)\bar{\Psi} \\ &\quad - 2g^2 |P\kappa_{\bar{g}}|^2 \bar{E}_a \cdot X \cdot \bar{\Psi} - 2g^2 |P\kappa_{\bar{g}}|^2 \bar{g}_Q(X, \bar{E}_a)\bar{\Psi} \\ &\quad + 2g^2 \bar{g}_Q(X, P\kappa_{\bar{g}})\bar{E}_a \cdot P\kappa_{\bar{g}} \cdot \bar{\Psi} + 4g^2 \bar{g}_Q(X, P\kappa_{\bar{g}})\bar{g}_Q(P\kappa_{\bar{g}}, \bar{E}_a)\bar{\Psi} \\ &\quad + 2g^2 \bar{g}_Q(P\kappa_{\bar{g}}, \bar{E}_a)P\kappa_{\bar{g}} \cdot X \cdot \bar{\Psi} + 2fg\bar{g}_Q(P\kappa_{\bar{g}}, \bar{E}_a)X \cdot \bar{\Psi} \\ &\quad - \bar{E}_a(g)P\kappa_{\bar{g}} \cdot X \cdot \bar{\Psi} - g\bar{\nabla}_{\bar{E}_a} P\kappa_{\bar{g}} \cdot X \cdot \bar{\Psi}. \end{aligned}$$

By a simple calculation, we have, from (11) and (53),

$$\begin{aligned} \text{Ric}_{\bar{\nabla}}^{f,g}(X \otimes \bar{\Psi}) &= -\frac{1}{2} \rho^{\bar{\nabla}}(X) \cdot \bar{\Psi} - qX(f)\bar{\Psi} + 2(q-1)f^2 X \cdot \bar{\Psi} \\ &\quad + 2qfg\bar{g}_Q(P\kappa_{\bar{g}}, X)\bar{\Psi} + (q-2)X(g)P\kappa_{\bar{g}} \cdot \bar{\Psi} \\ (54) \quad &\quad + (q-2)g\bar{\nabla}_X P\kappa_{\bar{g}} \cdot \bar{\Psi} - \overline{d_B f} \cdot X \cdot \bar{\Psi} \\ &\quad + 2(q-2)g^2 |P\kappa_{\bar{g}}|^2 X \cdot \bar{\Psi} \\ &\quad - 2(q-2)g^2 \bar{g}_Q(X, P\kappa_{\bar{g}})P\kappa_{\bar{g}} \cdot \bar{\Psi} - 2fgP\kappa_{\bar{g}} \cdot X \cdot \bar{\Psi} \\ &\quad - \overline{d_B g} \cdot P\kappa_{\bar{g}} \cdot X \cdot \bar{\Psi} + g|P\kappa_{\bar{g}}|^2 X \cdot \bar{\Psi}. \end{aligned}$$

On the other hand, we have the following proposition.

PROPOSITION 4.7. *If a non-zero spinor field  $\Psi$  satisfies  $\bar{\nabla}_u^{f,g} \bar{\Psi} = 0$ , then*

$$\begin{aligned} \nabla_X \Psi &= -fe^u \pi(X) \cdot \Psi - g\kappa_B \cdot \pi(X) \cdot \Psi + \frac{1}{2} g_Q(d_B u, \pi(X))\Psi \\ (55) \quad &\quad + \frac{1}{2} \pi(X) \cdot d_B u \cdot \Psi. \end{aligned}$$

PROOF. Let  $\bar{\nabla}_u^{f,g} \bar{\Psi} = 0$ . From (35), we have

$$\bar{\nabla}_X \bar{\Psi} + f\pi(X) \cdot \bar{\Psi} + gP\kappa_{\bar{g}} \cdot \pi(X) \cdot \bar{\Psi} = 0.$$

Hence, from (18), we have

$$\overline{\nabla_X \Psi} - \frac{1}{2} \overline{\pi(X) \cdot d_B u \cdot \Psi} - \frac{1}{2} X(u) \bar{\Psi} + f e^u \overline{\pi(X) \cdot \Psi} + \overline{g \kappa_B \cdot \pi(X) \cdot \Psi} = 0,$$

which proves (55). □

**THEOREM 4.8.** *Let  $(M, g_M, \mathcal{F})$  be a compact Riemannian manifold with a transverse spin foliation  $\mathcal{F}$  of codimension  $q \geq 3$  and a bundle-like metric  $g_M$  satisfying  $\delta_B \kappa_B = 0$ . Assume that  $\sigma^\nabla$  is nonnegative. If there exists an eigenspinor field  $\Phi_1$  of the basic Dirac operator  $D_B$  for the eigenvalue  $\lambda_1$  satisfying*

$$\lambda_1^2 = \frac{q}{4(q-1)} \left( \mu_1 + \frac{q+1}{q} \inf |\kappa_B|^2 \right),$$

*then  $\mathcal{F}$  is transversally Einsteinian with a positive constant transversal scalar curvature  $\sigma^\nabla$  and  $\kappa_B = 0$ .*

**PROOF.** Let  $D_B \Phi_1 = \lambda_1 \Phi_1$  with

$$\lambda_1^2 = \frac{q}{4(q-1)} \left( \mu_1 + \frac{q+1}{q} \inf |\kappa_B|^2 \right).$$

Let  $\Psi = e^{-(q-1)u/2} \Phi_1$ . From (44), we see that  $\bar{\nabla}_{\text{tr}}^{f_1, g_1} \bar{\Psi} = 0$ , where  $f_1 = (\lambda_1/q)e^{-u}$  and  $g_1 = -1/2q$ . Hence we have, from (35),

$$\bar{\nabla}_{\bar{E}_a} \bar{\Psi} + f \bar{E}_a \bar{\Psi} + g P \kappa_{\bar{g}} \bar{E}_a \bar{\Psi} = 0.$$

Therefore, we have

$$\sum_a \bar{E}_a \bar{\nabla}_{\bar{E}_a} \bar{\Psi} = q f \bar{\Psi} - (q-2) g P \kappa_{\bar{g}} \bar{\Psi},$$

and then

$$\bar{D}_{\text{tr}} \bar{\Psi} + \frac{1}{2} P \kappa_{\bar{g}} \bar{\Psi} = q f \bar{\Psi} - (q-2) g P \kappa_{\bar{g}} \bar{\Psi}.$$

Since  $\bar{D}_B \bar{\Psi} = \lambda_1 e^{-u} \bar{\Psi}$ , we have

$$\lambda_1 e^{-u} \bar{\Psi} + \frac{1}{2} P \kappa_{\bar{g}} \bar{\Psi} = \lambda_1 e^{-u} \bar{\Psi} + \frac{q-2}{2q} P \kappa_{\bar{g}} \bar{\Psi}.$$

Hence we have  $\kappa_B \cdot \Psi = 0$ , which implies  $\kappa_B = 0$ . If  $\bar{\nabla}_X^{f, g} \bar{\Psi} = 0$  for any  $X \in \Gamma Q$ , then  $\text{Ric}_{\bar{\nabla}}^{f, g} = 0$ . Let  $X = (d_B f)^\sharp$ . Then, from (54), we get

$$(56) \quad \left\langle \left( -\frac{1}{2} \rho^{\bar{\nabla}}(X) + 2(q-1) f^2 X \right) \bar{\Psi}, \bar{\Psi} \right\rangle_{\bar{g}_Q} = (q-1) |d_B f|_{\bar{g}_Q}^2 |\bar{\Psi}|_{\bar{g}_Q}^2.$$

Hence the left-hand side in the equation (56) is pure imaginary while the right-hand side in the equation (56) is real, and so both sides are all zero. That is,  $d_B f = 0$ . So  $u$  is constant. Also, we have, from (54),

$$(57) \quad \rho^{\bar{\nabla}}(X) = 4(q-1) f^2 X$$

for any  $X \in \Gamma Q$ . Since  $u$  is constant, from (46), we have

$$(58) \quad \rho^\nabla(X) = \frac{4(q-1)}{q^2} \lambda_1^2 X.$$

Hence  $\mathcal{F}$  is transversally Einsteinian with a constant transversal scalar curvature  $\sigma^\nabla = (4(q-1)/q)\lambda_1^2$ .  $\square$

**REMARK 4.9.** The existence of the bundle-like metric such that  $\kappa$  is basic-harmonic is assured from [2, Theorem 4], [7, Theorem 2.10] and [8, Theorem 6.2]. So Theorems 3.4 and 4.8 imply that  $\mathcal{F}$  is minimal, transversal Einsteinian.

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