

ON COMPACT MINIMAL SURFACES WITH NON-NEGATIVE
GAUSSIAN CURVATURE IN A SPACE OF CONSTANT
CURVATURE: 1

Dedicated to Professor Shigeo Sasaki on his 60th birthday

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1. Introduction. In a series of papers entitled the above, we mainly treat compact minimal surfaces with non-negative Gaussian curvature in a space of constant curvature. If the Gaussian curvature is non-negative and not identically zero, by the Gauss-Bonnet's theorem, the genus of the surface is zero. Such minimal immersions have been studied by [7], [8], [9], especially, Calabi [4] and [5]. Their main results are as follows: Let $S^N(1)$ be an N -dimensional unit sphere in an $(N + 1)$ -dimensional Euclidean space and let $S^2 \rightarrow S^N(1)$ be a minimal immersion of the differentiable two-sphere in $S^N(1)$ such that the image is not contained in a great hypersphere. Then

- (1) N is even;
- (2) The total area is an integral multiple of 2π ;
- (3) If the Gaussian curvature K is constant, $K = 2/m(m + 1)$, where $N = 2m$. Such a immersion is uniquely determined up to motions of $S^N(1)$, and the image is the generalized Veronese surface of Borůvka [3] and Ôtsuki [15].
- (4) There are minimal immersions of $S^2 \rightarrow S^N(1)$ of which the induced metric has non-constant Gaussian curvature.

This article is a first step for the classification of minimal tori in a Euclidean sphere, i.e., minimal immersions of a torus into $S^N(1)$. Our main results are as follows: If the Gaussian curvature is identically zero and the image does not lie in any great hypersphere of $S^N(1)$, then N is an odd integer, say $N = 2m + 1$, and under the additional assumption, the immersion is rigid.

If $N = 3$, the above minimal surface is the Clifford minimal torus in $S^3(1)$, and if $N = 5$, such a surface was studied by Borůvka [1]. For each odd N , we can describe explicitly examples of the flat minimal surfaces (cf. [15]).

The even dimensionality of $S^N(1)$ in the first case is an implication

of the topological condition to the effect that the genus of $M = 0$. Contrary to it the fact that N is an odd integer in our case is not an topological implication: There is a minimal immersion of a torus into $S^4(1)$ which is not contained in the $S^3(1) \subset S^4(1)$ (see, Lawson [12, p. 363]).

To study the problem, we adopt Bochner's method, i.e., we use scalar fields $f_{(b)}$, $K_{(b)}$ and $N_{(b)}$, $b = 2, 3, \dots$, on the surface, which will be defined later, and calculate their Laplacians. In § 2, we fix notations used in this paper. In § 3, we describe the concept of the n -th fundamental form and using it, we define above mentioned scalar fields. The Laplacians of $f_{(b)}$ and $K_{(b)}$, are calculated in § 4, and the Codazzi's equation of higher order is proved. We remark that these result in § 4 have known under a certain global assumption of M , but our works show that a part of the results in S. S. Chern's paper [7] is local. In § 5, we assume that M is oriented, compact and of zero Gaussian curvature.

Then an application of the results in § 4 gives a proof of one of our main theorems. In § 6, we consider Frenet-Borůvka formula of a flat minimal surface and prove a rigidity theorem. In § 7, we consider the case when the ambient space is the N -sphere. We show that the generalized Clifford torus on S^{2m+1} is algebraic. In § 8, we study compact minimal surfaces with non-negative Gaussian curvature. § 8 is closely related to the S. S. Chern's paper [7]. In the Appendix, using an inequality proved in § 3, we show an extrinsic rigidity theorem. This result generalizes the De Giorgi-Simons-Reilly's theorem partially. In the part 1, we treat § 1 ~ § 4.

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2. Preliminaries. Let \bar{M} be an n -dimensional Riemannian manifold of constant curvature c and e_A , $A, B, \dots = 1, 2, \dots, N$, local orthonormal frame fields on \bar{M} . The Levi-Civita connection defines the covariant differentials

$$(2.1) \quad De_A = \sum_B w_{AB} e_B,$$

where $w_{AB} + w_{BA} = 0$. If w_B is a coframe field dual to e_A , the structure equations of the space are

$$(2.2) \quad dw_A = \sum_B w_B \wedge w_{BA},$$

$$(2.3) \quad dw_{AB} = \sum_C w_{AC} \wedge w_{CB} - cw_A \wedge w_B .$$

Let M be a two dimensional oriented Riemannian manifold and

$$(2.4) \quad x: M \rightarrow \bar{M}$$

be an isometric minimal immersion of M into \bar{M} . In this paper we will agree on the following ranges of indices: $1 \leq i, j, \dots \leq 2$; $3 \leq \alpha, \beta, \dots \leq N$. To study the geometry of the immersed surface M we restrict ourselves to orthonormal frame fields over M such that e_i are tangent vectors of M at each point of its domain of definitions. Then we have

$$(2.5) \quad w_\alpha = 0 .$$

By (2.2) and the Cartan's lemma, we can put

$$(2.6) \quad w_{i\alpha} = \sum_j h_{\alpha ij} w_j , \quad h_{\alpha ij} = h_{\alpha ji} .$$

The condition that x is minimal is expressed by

$$(2.7) \quad \sum_i h_{\alpha ii} = 0 .$$

We define the covariant derivatives $h_{\alpha ij,k}$'s of $h_{\alpha ij}$'s by

$$(2.8) \quad \begin{aligned} Dh_{\alpha ij} &= \sum_k h_{\alpha ij,k} w_k \\ &= dh_{\alpha ij} + \sum_s h_{\alpha sj} w_{si} + \sum_s h_{\alpha is} w_{sj} + \sum_\beta h_{\beta ij} w_{\beta\alpha} . \end{aligned}$$

Taking the exterior derivative of (2.6) and using (2.2) and (2.3), we get $\sum_j Dh_{\alpha ij} \wedge w_j = 0$, and so

$$(2.9) \quad h_{\alpha ij,k} = h_{\alpha ik,j} .$$

As the dimension of M is 2, we see by (2.7) that (2.9) is equivalent to

$$(2.10) \quad h_{\alpha 11,2} = h_{\alpha 12,1} , \quad h_{\alpha 12,2} = -h_{\alpha 11,1} .$$

We put

$$(2.11) \quad \phi = w_1 + iw_2 ,$$

$$(2.12) \quad H_\alpha^{(2)} = h_{\alpha 11} + ih_{\alpha 12} \text{ and } H_{\alpha,1}^{(2)} = h_{\alpha 11,1} + ih_{\alpha 12,1} .$$

By (2.8) and (2.10), we obtain

$$(2.13) \quad dH_\alpha^{(2)} + 2iH_\alpha^{(2)}w_{12} + \sum_\beta H_\beta^{(2)}w_{\beta\alpha} = H_{\alpha,1}^{(2)}\bar{\phi} .$$

From (2.13) we derive

$$(2.14) \quad d \sum (H_\alpha^{(2)})^2 + 4i \sum (H_\alpha^{(2)})^2 w_{12} = 2 \sum H_\alpha^{(2)} H_{\alpha,1}^{(2)} \bar{\phi} .$$

By the structure equations of M , we find

$$(2.15) \quad d\phi = -iw_{12} \wedge \phi ,$$

$$(2.16) \quad dw_{12} = -\left(\frac{i}{2}\right)K\phi \wedge \bar{\phi},$$

where K denotes the Gaussian curvature of M .

REMARK 1. The formula (2.14) gives a local differential geometric characterization of the formula (48) in [7].

3. Higher osculating spaces and n -th fundamental forms. In the study of minimal surfaces with higher codimension in a space of constant curvature, the concept of osculating spaces plays an important role. In [2] Borůvka studied such spaces extensively. At present there are good descriptions of osculating spaces in [7], [10], and [15]. For the purpose of our calculations, we shall adopt the notation developed in the paper [7] and we define the covariant differentiation of n -th fundamental tensors: Let $x(s)$ be a smooth curve C through $x \in M$ parametrized by its arc length. By the covariant differentiation along C we get the vector fields

$$(3.1) \quad \frac{Dx}{ds}, \frac{D^2x}{ds^2}, \dots, \frac{D^nx}{ds^n}, \dots.$$

The first n vectors in (3.1) at $s = 0$ are said to span the osculating space of order n of $x(s)$ at $x = x(0)$. The n -th osculating space $T_x^{(n)}$ of M at $x \in M$ is defined to be the space spanned by all the osculating spaces of order n at x of curves through x and lying on M . We then have $T_x^{(1)} (= T_x) \subset T_x^{(2)} \subset \dots \subset T_x^{(n)} \subset \dots$. Put

$$(3.2) \quad \begin{aligned} p_{-1}(x) &= 0, & p_0(x) &= 2, \\ p_a(x) &= \dim. T_x^{(a+1)} - \dim. T_x^{(a)}, & a &= 1, 2, \dots, n-1. \end{aligned}$$

Then we have

$$(3.3) \quad \dim. T_x^{(n)} = \sum_{a=0}^{n-1} p_a(x), \quad (n \geq 1).$$

A point $x \in M$ is called a regular point of order b , if $p_a(x)$ is constant for each $a = 1, 2, \dots, b-1$, in a neighborhood of x .

Suppose now that x is a regular point of order $n-1 \geq 2$. We shall use the following ranges of indices:

$$(3.4) \quad \begin{aligned} 1 + \sum_{a=-1}^{b-3} p_a(x) &\leq \lambda_{b-2} \leq \sum_{a=0}^{b-2} p_a(x), & b &= 2, 3, \dots, n, \\ 1 + \sum_{a=-1}^{n-2} p_a(x) &\leq \lambda_{n-1} \leq N. \end{aligned}$$

Let e_a be local orthonormal frame fields, such that $e_{\lambda_0}, e_{\lambda_1}, \dots, e_{\lambda_b}$ span $T_x^{(b+1)}$, $b = 0, 1, \dots, n-2$. We then have

$$(3.5)_{b-1} \quad \begin{aligned} w_{\lambda_{b-1}\lambda_{a+1}} &= 0, \text{ for } a = b, b + 1, \dots, n - 2, \\ & b = 1, 2, \dots, n - 2. \end{aligned}$$

By the exterior differentiation of (3.5) and making use of (2.3), we get

$$\sum_{\lambda_b} w_{\lambda_{b-1}\lambda_b} \wedge w_{\lambda_b\lambda_{b+1}} = 0, \quad b = 1, 2, \dots, n - 2,$$

where the sum extends over the range of indices of λ_b not in the range of b . This allows us to introduce recurrently the quantities $h_{\lambda_{b+1}i_1i_2\dots i_{b+2}}$ defined by the equations

$$(3.6) \quad \sum_{\lambda_b} h_{\lambda_b i_1 i_2 \dots i_{b+1}} w_{\lambda_b \lambda_{b+1}} = \sum_{i_{b+2}} h_{\lambda_{b+1} i_1 \dots i_{b+2}} w_{i_{b+2}}.$$

$h_{\lambda_{b+1}i_1\dots i_{b+2}}$ are symmetric in the set of indices i_1, i_2, \dots, i_{b+2} . Let Ω_b be the open set of all regular points of order b . We set $\Omega_1 = M$. Then

$$(3.7) \quad \Omega_1 \supset \Omega_2 \supset \Omega_3 \supset \dots \supset \Omega_{n-1}.$$

We find

$$(3.8) \quad (e_{\lambda_a}, D^b x) = \begin{cases} \sum_{i_1, \dots, i_b} h_{\lambda_{b-1}i_1\dots i_b} w_{i_1} \dots w_{i_b}, & \text{if } a = b - 1, b \leq n, \\ 0, & \text{if } a \geq b, n > b, \end{cases}$$

which are differential forms of degree b and are to be called the b -th fundamental forms of M into \bar{M} .

From (2.7) and (3.6) it follows that

$$(3.9) \quad \sum_j h_{\lambda_b j j i_3 \dots i_{b+1}} = 0, \quad b = 1, \dots, n - 1.$$

Since $h_{\lambda_b i_1 \dots i_{b+1}}$ are symmetric in the i_1, \dots, i_{b+1} , the same relation holds when contracted with respect to any two Latin indices. The integer $p_a(x)$ is equal to the number of linearly independent vectors among

$$(3.10) \quad \sum_{\lambda_a} h_{\lambda_a i_1 i_2 \dots i_{a+1}} e_{\lambda_a}, \quad i_1 \leq i_2 \leq \dots \leq i_{a+1}.$$

Therefore, at each point of Ω_a , we have

$$(3.11) \quad p_a(x) \leq 2, \quad a = 1, \dots, n - 1.$$

Let

$$(3.12) \quad H_\alpha^{(b)} = h_{\underbrace{\alpha_1 \dots \alpha_1}_b} + i h_{\underbrace{\alpha_1 \dots \alpha_1}_b \alpha_2}, \quad \alpha \geq \mu_{b-1},$$

where $\mu_{b-1} = \sum_{a=0}^{b-2} p_a(x) + 1$. We define the covariant derivatives $h_{\alpha i_1 \dots i_b, k}$'s of $h_{\alpha i_1 \dots i_b}$'s, $\alpha \geq \mu_{b-1}$, by

$$(3.13) \quad Dh_{\alpha i_1 \dots i_b} = \sum_k h_{\alpha i_1 \dots i_b, k} w_k = dh_{\alpha i_1 \dots i_b} + \sum_s h_{\alpha s i_2 \dots i_b} w_{s i_1} + \dots + \sum_s h_{\alpha i_1 \dots i_{b-1} s} w_{s i_b} + \sum_{\beta \geq \mu_{b-1}} h_{\beta i_1 \dots i_b} w_{\beta \alpha}.$$

Then we have

$$(3.13)' \quad dH_\alpha^{(b)} + biH_\alpha^{(b)} w_{12} + \sum_{\beta \geq \mu_{b-1}} H_\beta^{(b)} w_{\beta \alpha} = H_{\alpha,1}^{(b)} w_1 + H_{\alpha,2}^{(b)} w_2,$$

where $H_{\alpha,k}^{(b)} = h_{\alpha 1 \dots 1, k} + ih_{\alpha 1 \dots 12, k}$.

LEMMA 1.

$$(3.14) \quad H_\alpha^{(b)} = H_{\alpha,1}^{(b-1)}, \quad H_\alpha^{(b)} = iH_{\alpha,2}^{(b-1)}, \quad \alpha \geq \mu_{b-1}, \quad n \geq b \geq 3.$$

PROOF. From (3.6) we have

$$(3.15)_b \quad \sum_{\lambda_{b-2}} H_{\lambda_{b-2}}^{(b-1)} w_{\lambda_{b-2} \lambda_{b-1}} = H_{\lambda_{b-1}}^{(b)} \bar{\phi}, \quad b = 2, 3, \dots, n,$$

where we have put $H_1^{(1)} = 1$ and $H_2^{(1)} = i$. Since $H_{\lambda_{b-1}}^{(b-1)} = 0$, by (3.8), we get

$$(3.16) \quad \sum_k H_{\lambda_{b-1}, k}^{(b-1)} w_k = H_{\lambda_{b-2}}^{(b-1)} w_{\lambda_{b-2} \lambda_{b-1}} \text{ and } H_{\lambda, k}^{(b-1)} = 0 \text{ for } \alpha \geq b.$$

From (3.15) and (3.16) we get (3.14). q.e.d.

Now we shall construct scalar invariants of the isometric minimal immersion x . The vector $E_1 = e_1 + ie_2$ is defined up to the transformation $E_1 \rightarrow E_1^* = e^{i\tau} E_1$, where τ is real. Under such a change,

$$(3.17)_b \quad H_\alpha^{(b)} \rightarrow H_\alpha^{(b)*} = e^{bi\tau} H_\alpha^{(b)}.$$

In fact, by a direct calculation, we have (3.17)₂. When $b \geq 3$, from (3.15), we get (3.17)_b by an induction for b .

The system of normal vectors $\{e_\alpha\}$, $\alpha \in \mu_{b-1}$, is defined up to the transformation

$$(3.18) \quad \tilde{e}_\alpha = \sum_{\beta \in \mu_{b-1}} A_{\alpha\beta} e_\beta, \quad \alpha \in \mu_{b-1},$$

where $(A_{\alpha\beta})$ is an orthogonal matrix. Under such a change we have

$$\tilde{H}_\alpha^{(b)} = \sum_{\beta \in \mu_{b-1}} A_{\alpha\beta} H_\beta^{(b)}, \quad \alpha \in \mu_{b-1},$$

and so

$$(3.19) \quad \sum_{\alpha \in \mu_{b-1}} (\tilde{H}_\alpha^{(b)})^2 = \sum_{\beta \in \mu_{b-1}} (H_\beta^{(b)})^2.$$

It follows from (3.17) and (3.19) that the real valued scalar field,

$$(3.20) \quad f_{(b)} = \left(\sum_{\alpha \in \mu_{b-1}} (H_\alpha^{(b)})^2 \right) \left(\overline{\sum_{\alpha \in \mu_{b-1}} (H_\alpha^{(b)})^2} \right)$$

is globally defined on the connected components of Ω_{b-1} , being independent of the choice of the frame field. $f_{(2)}$ is a globally defined smooth function on M .

We have the following decomposition of $f_{(b)}$ on Ω_{b-1} : Let

$$(3.21) \quad \begin{aligned} K_{(b)} &= \sum_{\alpha \in \mu_{b-1}} \left(h_{\alpha 1 \dots 1}^2 + h_{\alpha 1 \dots 1 2}^2 \right) \quad \text{and} \\ N_{(b)} &= \sum_{\alpha \in \mu_{b-1}} h_{\alpha 1 \dots 1}^2 \sum_{\alpha} h_{\alpha 1 \dots 1 2}^2 - \left(\sum_{\alpha} h_{\alpha 1 \dots 1} h_{\alpha 1 \dots 1 2} \right)^2. \end{aligned}$$

Then we have, by a direct calculation,

$$(3.22)_b \quad f_{(b)} = K_{(b)}^2 - 4N_{(b)} \geq 0, \quad b = 2, 3, \dots, n,$$

where $K_{(b)}$ and $N_{(b)}$ are also invariants of the isometric minimal immersion x of M defined on Ω_{b-1} . Especially we have

$$(3.23) \quad K_{(2)} = \frac{1}{2} \sum_{\alpha, i, j} h_{\alpha i j}^2, \quad N_{(2)} = \frac{1}{16} \sum_{\alpha, \beta, i, j} R_{\alpha \beta i j}^2,$$

where $R_{\alpha \beta i j} = \sum_s (h_{\alpha i s} h_{\beta j s} - h_{\beta i s} h_{\alpha j s})$ are components of the curvature tensor of the normal connection $w_{\alpha \beta}$.

When the differentiable 2-sphere is minimally immersed into a space of constant curvature, we have $f_{(b)} = 0$, $b = 2, 3, \dots$. This fact is essential in the papers of S. S. Chern [7], [8]. Geometrically $f_{(b)} = 0$ means that the vectors $\sum_{\alpha=2b-1}^{2b} h_{\alpha 1 \dots 1} e_{\alpha}$ and $\sum_{\alpha=2b-1}^{2b} h_{\alpha 1 \dots 1 2} e_{\alpha}$ in the osculating space of b -th order are perpendicular to each other and are of the same length. On the other hand, $N_{(b)} = 0$ means that the above two vectors are linearly dependent, by the Cauchy-Schwartz equality. Moreover, for the geometric meaning of $K_{(b)}$ and $N_{(b)}$, we have the following lemma by T. Ōtsuki ([15, p. 96]).

LEMMA 2 (T. Ōtsuki). *If $M = \Omega_b$ and $N_{(b)} > 0$ and $K_{(b+1)} = 0$ on M , then there is a $2b$ -dimensional totally geodesic submanifold of \bar{M} such that M is contained in the submanifold.*

If $M = \Omega_{b-1}$ and $N_{(b)} = 0$ and $K_{(b)} > 0$ on M , then there is a $(2b-1)$ -dimensional totally geodesic submanifold of \bar{M} such that M is contained in the submanifold.

4. **Laplacians of $f_{(b)}$ and $K_{(b)}$.** We use the operators $\partial, \bar{\partial}$ relative to a complex structure induced by an isothermal coordinate on M and

$$(4.1) \quad d^c = i(\bar{\partial} - \partial).$$

For any real valued smooth function f its Laplacian Δf is defined by

$$(4.2) \quad dd^c f = \left(\frac{i}{2}\right) \Delta f \phi \wedge \bar{\phi}.$$

The following lemma is useful to the study of $f_{(b)}$.

LEMMA 3. *Let M be a 2-dimensional oriented Riemannian manifold. Let H be a complex valued smooth function on M and $f = H\bar{H}$. Suppose that*

$$(4.3) \quad dH + niHw_{12} = \overline{A\phi}$$

holds, where n is a real constant and A is a smooth function M . Then we have

$$(4.4) \quad \Delta f = 2\{nfK + 2A\bar{A}\},$$

$$(4.5) \quad \Delta \log f = 2nK, \text{ if } f \neq 0.$$

PROOF. By (4.3), we have

$$(4.6) \quad df = Hd\bar{H} + \bar{H}dH = AH\phi + \overline{AH\phi},$$

and so

$$(4.7) \quad d^c f = i(\overline{AH\phi} - AH\phi).$$

Taking the exterior derivative of (4.3) and making use of (2.15) and (2.16), we get

$$(4.8) \quad d\bar{A} \wedge \bar{\phi} = nidH \wedge w_{12} - i\bar{A}w_{12} \wedge \bar{\phi} + \frac{n}{2}HK\phi \wedge \bar{\phi}.$$

From (4.3), (4.6), and (4.8), we derive

$$(4.9) \quad d(\overline{AH}) \wedge \bar{\phi} - d(AH) \wedge \phi = idf \wedge w_{12} + (nfK + 2A\bar{A})\phi \wedge \bar{\phi}.$$

Thus (4.4) follows from (2.15), (4.7) and (4.9). (4.5) follows from $d^c \log f = i(\overline{A\phi}/H - A\phi/\bar{H})$ and

$$(4.10) \quad d\left(\frac{\bar{A}}{H}\right) \wedge \bar{\phi} - d\left(\frac{A}{\bar{H}}\right) \wedge \phi = id \log f \wedge w_{12} + nK\phi \wedge \bar{\phi}. \quad \text{q.e.d.}$$

The Codazzi equation (2.10) implies (2.13). In general we have

$$(4.11)_b \quad \left\{ dH_\alpha^{(b)} + ibH_\alpha^{(b)}w_{12} + \sum_{\beta \neq \mu_{b-1}} H_\beta^{(b)}w_{\beta\alpha} \right\} \wedge \bar{\phi} = 0, \text{ for } \alpha \geq \mu_{b-1}.$$

To prove (4.11)_b by an induction we assume, noting that (3.13)',

$$(4.12) \quad H_{\alpha,1}^{(b-1)} = iH_{\alpha,2}^{(b-1)}, \text{ for } \alpha \geq \mu_{b-2}.$$

Then we see

$$(4.13) \quad dH_\alpha^{(b-1)} + i(b-1)H_\alpha^{(b-1)}w_{12} + \sum_{\beta \geq \mu_{b-2}} H_\beta^{(b-1)}w_{\beta\alpha} = H_{\alpha,1}^{(b-1)}\bar{\phi},$$

where $\alpha \geq \mu_{b-2}$ and $b \geq 3$. We put

$$(4.14) \quad dH_{\alpha,1}^{(b-1)} + ibH_{\alpha,1}^{(b-1)}w_{12} + \sum_{\beta \geq \mu_{b-2}} H_{\beta,1}^{(b-1)}w_{\beta\alpha} = H_{\alpha,1,1}^{(b-1)}w_1 + H_{\alpha,1,2}^{(b-1)}w_2.$$

Taking the exterior derivative of (4.13), we have

$$(4.15) \quad \begin{aligned} &\Delta H_\alpha^{(b-1)}w_1 \wedge w_2 \\ &= (b-1)KH_\alpha^{(b-1)}w_1 \wedge w_2 + i \sum_{\beta \geq \mu_{b-2}} H_\beta^{(b-1)} \left(\sum_{B \in \tilde{\lambda}_{b-3}} w_{\beta B} \wedge w_{B\alpha} \right), \end{aligned}$$

where $\tilde{\lambda}_{b-3} = \{A \mid 1 \leq A \leq \sum_{\alpha=0}^{b-3} p_\alpha(x)\}$ and

$$(4.16) \quad \Delta H_\alpha^{(b-1)} = (h_{\alpha_{1,\dots,1,1,1}}^{(b-1)} + h_{\alpha_{1,\dots,1,2,2}}^{(b-1)}) + i(h_{\alpha_{1,\dots,1,2,1,1}}^{(b-1)} + h_{\alpha_{1,\dots,1,2,2,2}}^{(b-1)}).$$

(4.15) implies $\Delta H_\alpha^{(b-1)} = 0$ for $\alpha \geq \mu_{b-1}$, by (3.4)_{b-3} and (3.7). By Lemma 1 and (4.16), we get (4.11). The formula (4.11) is the Codazzi equation of higher order. (4.11) implies

$$dH_\alpha^{(b)} + biH_\alpha^{(b)}w_{12} + \sum_{\beta \geq \mu_{b-1}} H_\beta^{(b)}w_{\beta\alpha} = H_{\alpha,1}^{(b)}\bar{\phi},$$

and thus we have

$$(4.17) \quad d \sum (H_\alpha^{(b)})^2 + 2bi \sum (H_\alpha^{(b)})^2 w_{12} = \overline{A_{(b)}}\bar{\phi},$$

where

$$(4.18) \quad \overline{A_{(b)}} = 2 \sum_{\alpha \geq \mu_{b-1}} H_\alpha^{(b)}H_{\alpha,1}^{(b)}.$$

From (4.17) and Lemma 3, we have

$$(4.19) \quad Af_{(b)} = 4\{bf_{(b)}K + A_{(b)}\overline{A_{(b)}}\}, \quad b = 2, 3, \dots, n.$$

By the Lemma 2, we shall study the case of $N_{(b)} \neq 0$, for $b = 2, 3, \dots, n-1$. Then we have $p_b(x) = 2$, for $b = 1, \dots, n-2, 2b+1 \leq \lambda_b \leq 2b+2, 2n-1 \leq \lambda_{n-1} \leq N$ and $\alpha \geq \mu_{b-1}$ is equivalent to $\alpha \geq 2b-1$.

Next, we calculate the term $\sum_{A \leq 2b-4} w_{\beta A} \wedge w_{A\alpha}$ in (4.15): From (3.15)_{b-1} with $\lambda_{b-2} = 2b-3$ and its conjugate, we have

$$(4.20) \quad \begin{cases} B_{(b-2)}w_{2b-5,2b-3} = \bar{H}_{(2b-4)}^{(b-2)}H_{(2b-3)}^{(b-1)}\bar{\phi} - H_{(2b-4)}^{(b-2)}\bar{H}_{(2b-3)}^{(b-1)}\phi, \\ \bar{B}_{(b-2)}w_{2b-4,2b-3} = \bar{H}_{(2b-5)}^{(b-2)}H_{(2b-3)}^{(b-1)}\bar{\phi} - H_{(2b-5)}^{(b-2)}\bar{H}_{(2b-3)}^{(b-1)}\phi \end{cases}$$

where

$$(4.21) \quad \begin{cases} B_{(b-2)} = \bar{H}_{(2b-4)}^{(b-2)}H_{(2b-5)}^{(b-2)} - H_{(2b-4)}^{(b-2)}\bar{H}_{(2b-5)}^{(b-2)} = -2i\sqrt{N_{(b-2)}}, \\ \sqrt{N_{(b-2)}} = h_{(2b-5)1\dots 1}h_{(2b-4)1\dots 12} - h_{(2b-5)1\dots 12}h_{(2b-4)1\dots 1}. \end{cases}$$

From (3.15)_{b-1} with $\lambda_{b-2} = 2b - 2$ we find

$$(4.22) \quad \begin{aligned} B_{(b-2)} w_{(2b-5)(2b-2)} &= \bar{H}_{(2b-4)}^{(b-2)} H_{(2b-2)}^{(b-1)} \bar{\phi} - H_{(2b-4)}^{(b-2)} \bar{H}_{(2b-2)}^{(b-1)} \phi \\ \bar{B}_{(b-2)} w_{(2b-4)(2b-2)} &= \bar{H}_{(2b-5)}^{(b-2)} H_{(2b-2)}^{(b-1)} \bar{\phi} - H_{(2b-5)}^{(b-2)} \bar{H}_{(2b-2)}^{(b-1)} \phi . \end{aligned}$$

By (4.20) and (4.22), we have

$$\sum_{A \leq 2b-4} w_{(2b-3)A} \wedge w_{(2b-2)A} = \left\{ \frac{\|H_{(2b-5)}^{(b-2)}\|^2}{B_{(b-2)}^2} + \frac{\|H_{(2b-4)}^{(b-2)}\|^2}{B_{(b-2)}^2} \right\} \bar{B}_{(b-1)} \bar{\phi} \wedge \phi .$$

By (4.21) it follows that

$$\sum_{A \leq 2b-4} w_{(2b-3)A} \wedge w_{A(2b-2)} = -\frac{K_{(b-2)}}{N_{(b-2)}} \sqrt{N_{(b-1)}} w_1 \wedge w_2, \text{ for } b \geq 3 ,$$

where we have put $H_1^{(1)} = 1, H_2^{(1)} = i$ and thus $N_{(1)} = 1, K_{(1)} = 2$. Thus we find

$$(4.23) \quad \sum_{\alpha \geq 2b-3} \bar{H}_\alpha^{(b-1)} \Delta H_\alpha^{(b-1)} = (b-1)KK_{(b-1)} - 2\frac{K_{(b-2)}}{N_{(b-2)}} N_{(b-1)} .$$

On the other hand, by virtue of (4.13) and (4.14), we have

$$(4.24) \quad \begin{aligned} dK_{(b-1)} &= \sum_{\alpha \geq 2b-3} \{H_\alpha^{(b-1)} \bar{H}_{\alpha,1}^{(b-1)} \phi + \bar{H}_\alpha^{(b-1)} H_{\alpha,1}^{(b-1)} \bar{\phi}\} , \\ dd^c K_{(b-1)} &= i \sum_{\alpha \geq 2b-3} \{\bar{H}_\alpha^{(b-1)} \Delta H_\alpha^{(b-1)} + 2H_{\alpha,1}^{(b-1)} \bar{H}_{\alpha,1}^{(b-1)}\} \phi \wedge \bar{\phi} . \end{aligned}$$

From these formulas, (4.23) and Lemma 1, we get

$$\frac{1}{2} \Delta K_{(b-1)} = (b-1)KK_{(b-1)} - 2\frac{K_{(b-2)}}{N_{(b-2)}} N_{(b-1)} + 2K_{(b)} + 2 \sum_{\lambda_{b-2}} (H_{\lambda_{b-2},1}^{(b-1)} \overline{H_{\lambda_{b-2},1}^{(b-1)}}) .$$

Summarizing these results, we get

THEOREM 1. *Let M be a minimal surface in a space \bar{M} of constant curvature. Then on a neighborhood of a regular point of order $n - 1 \geq 2$, we have*

$$(4.25) \quad H_{\alpha,1}^{(b)} = iH_{\alpha,2}^{(b)}, \text{ for } \alpha \geq \mu_{b-1} \text{ and } b = 2, 3, \dots, n ;$$

$$(4.26)_b \quad \Delta f_{(b)} = 4\{bf_{(b)}K + A_{(b)}\overline{A_{(b)}}\} ;$$

$$(4.27)_b \quad \begin{aligned} \frac{1}{2} \Delta K_{(b)} &= -2\frac{N_{(b)}}{N_{(b-1)}} K_{(b-1)} + bKK_{(b)} + 2K_{(b+1)} \\ &\quad + 2 \sum_{\lambda_{b-1}} (H_{\lambda_{b-1},1}^{(b)} \overline{H_{\lambda_{b-1},1}^{(b)}}), \text{ if } N_{(b-1)} \neq 0, 2 \leq b \leq n - 1 . \end{aligned}$$

REMARK 2. If M is a 2-sphere, then (4.27) is proved by S. S. Chern [7, p. 38].

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Added in proof (May, 1973). Since the completion of this paper, the author obtained n -dimensional generalizations of (4.25) and (4.27); the details are to appear in a sequel.

