

## SOME QUASI-HAUSDORFF TRANSFORMATIONS

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1. Let  $\{\nu_n\}$  be any given sequence of complex numbers. The quasi-Hausdorff transformation  $(H^*, \nu_n)$  is defined by

$$(1) \quad t_n = \sum_{k=n}^{\infty} \binom{k}{n} (\Delta^{k-n} \nu_n) s_k$$

whenever this series converges. We will use  $(H^*, \nu_n)$  also to denote the matrix of the transformation (1), and write  $s, t$  for the sequences  $\{s_k\}, \{t_n\}$ ; thus (1) may be written

$$t = (H^*, \nu_n)s .$$

We say that the  $(H^*, \nu_n)$  method is applicable to  $s$  if (1) converges for all  $n$ , so that  $t$  is defined; we say that  $s$  is summable  $(H^*, \nu_n)$  to  $l$  if, further  $t_n \rightarrow l$  as  $n \rightarrow \infty$ . We use a similar terminology for other transformations.

The matrix  $(H^*, \nu_n)$  is the transpose of the matrix of the Hausdorff transformation<sup>†</sup>  $(H, \nu_n)$ . It is familiar that, given two sequences  $\{\nu_n\}, \{\omega_n\}$  (say), we have

$$(H, \nu_n)(H, \omega_n) = (H, \nu_n \omega_n) .$$

Taking the transpose of this result (with  $\nu, \omega$  interchanged) we have, as is familiar

$$(2) \quad (H^*, \nu_n)(H^*, \omega_n) = (H^*, \nu_n \omega_n) .$$

But the matrices considered are not, in general, row finite, so that their multiplication is not necessarily associative; thus we cannot assert that

$$(3) \quad (H^*, \nu_n)[(H^*, \omega_n)s] = [(H^*, \nu_n)(H^*, \omega_n)]s .$$

Thus the situation differs from that which applies for the corresponding Hausdorff transformations in that, notwithstanding (2), we cannot assert that the result of applying first the  $(H^*, \omega_n)$  and then the  $(H^*, \nu_n)$  transformation is the same as that of applying the  $(H^*, \nu_n \omega_n)$  transformation.

It has been shown by Ramanujan [4] that there is a close connection between Hausdorff summability  $(H, \mu_n)$  and quasi-Hausdorff summability

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<sup>†</sup> For those properties of Hausdorff transformations to which reference is made, see, e.g. [1, Chapter XI].

$(H^*, \mu_{n+1})$ ; in particular, whenever  $(H, \mu_n)$  is regular then so is  $(H^*, \mu_{n+1})$ .  
When

$$(4) \quad \mu_n = \frac{1}{\binom{n+r}{n}},$$

$(H, \mu_n)$  reduces to the Cesàro transformation  $(C, r)$ ; thus it is natural to describe the quasi-Hausdorff transformation  $(H^*, \mu_{n+1})$  with  $\mu_n$  given by (4) as the quasi-Cesàro transformation  $(C^*, r)$ . The properties of  $(C^*, r)$  have been investigated by me [2], [3]; a more general transformation was investigated independently by A. J. White [5].

When

$$(5) \quad \omega_n = \frac{1}{(n+1)^r}$$

$(H, \omega_n)$  reduces to the Hölder transformation  $(H, r)$ ; we will therefore describe the  $(H^*, \omega_{n+1})$  transformation with  $\omega_n$  given by (5) as the quasi-Hölder transformation  $(H^*, r)$ .

It is known (e.g. [1]) that Cesàro and Hölder summabilities  $(C, r)$ ,  $(H, r)$  are equivalent. Thus if for a given  $r$ ,  $\mu_n, \omega_n$  are given by (4), (5) we have  $\mu_n = \nu_n \omega_n$  where  $(H, \nu_n)$  is regular. Hence, by what has already been said

$$(H^*, \mu_{n+1}) = (H^*, \nu_{n+1})(H^*, \omega_{n+1}),$$

and  $(H^*, \nu_{n+1})$  is regular. But, since we cannot assert (3), we cannot deduce from this that summability  $(C^*, r)$  is implied by summability  $(H^*, r)$ . Similar remarks apply with the roles of  $(C^*, r)$ ,  $(H^*, r)$  interchanged.

When  $r$  is an integer, the Hölder transformation  $(H, r)$  is the same as the transformation obtained by  $r$  iterations of the  $(C, 1)$  transformation; and we can deduce that

$$(6) \quad (H^*, r) = [(C^*, 1)]^r.$$

But although (6) holds as a relation between matrices, we cannot deduce that the result of  $r$  iterations of the  $(C^*, 1)$  transformation is the same as  $(H^*, r)$ .

We will restrict consideration to integer values of  $r$ ; accordingly, it will be assumed throughout from now on that  $r$  is a positive integer. On this understanding, we investigate the relations between  $(C^*, r)$ ,  $(C^*, 1)^r$ ,  $(H^*, r)$ . Here  $(C^*, 1)^r$  is used to denote the result of  $r$  iterations of the  $(C^*, 1)$  transformation.

The results to be proved are as follows.

**THEOREM 1.**  $(C^*, r)$  and  $(C^*, 1)^r$  are equivalent.

**THEOREM 2.** If  $s$  is summable  $(H^*, r)$  to  $l$ , then it is summable  $(C^*, r)$  to  $l$ . If  $s$  is summable  $(C^*, r)$  to  $l$ , and if  $(H^*, r)$  is applicable, then  $s$  is summable  $(H^*, r)$  to  $l$ . However, except in the trivial case  $r = 1$ , the applicability of  $(H^*, r)$  is not implied by  $(C^*, r)$  summability.

Let now  $r_1 > r$  (where  $r_1$  is also an integer). It is known [3, Theorem 1; 5, Theorems 2,3] that, if  $s$  is summable  $(C^*, r)$  to  $l$  then it is summable  $(C^*, r_1)$  to  $l$ . It therefore follows at once from Theorem 2 that, if  $s$  is summable  $(H^*, r)$  to  $l$  and if  $(H^*, r_1)$  is applicable, then  $s$  is summable  $(H^*, r_1)$  to  $l$ . However, the hypothesis that  $(H^*, r_1)$  is applicable cannot in general be omitted.

**THEOREM 3.** Let  $r_1 > r$  ( $r_1$  an integer). Let  $s$  be summable  $(H^*, r)$  to  $l$ . If  $r = 1$ , then  $(H^*, r_1)$  is applicable. This result becomes false if  $r > 1$ .

It follows at once from Theorem 3 and the remarks made above that summability  $(H^*, r)$  implies summability  $(H^*, r_1)$  without any supplementary "applicability condition" when  $r = 1$ , but not when  $r > 1$ .

2. We require some lemmas.

**LEMMA 1.** Let

$$F(k, x) = \sum_{\rho=0}^r (-1)^\rho P_\rho(k)x^\rho ;$$

$$G(k, x) = \sum_{\rho=0}^r (-1)^\rho P_\rho(k - \rho)x^\rho ,$$

where, for each  $\rho$ ,  $P_\rho(k)$  is a polynomial in  $k$  of degree not exceeding  $r$ . Suppose that  $F(k, x)$  has the property that, when expressed as a polynomial in  $k$ , the coefficient of  $k^q$  is divisible by  $(1 - x)^q$  ( $q = 1, 2, \dots, r$ ). Then  $G(k, x)$  also has this property.

Write

$$(7) \quad F(k, x) = \sum_{q=0}^r \phi_q(x)k^q .$$

It is enough to consider the contribution to  $G(k, x)$  of one term in the sum (7), since the general result can then be obtained by addition. Taking, then,  $q$  as fixed, let  $a_\rho$  be the coefficient of  $k^q$  in  $(-1)^\rho P_\rho(k)$ ; thus

$$\phi_q(x) = \sum_{\rho=0}^r a_\rho x^\rho .$$

The contribution of this term to  $G(k, x)$  is

$$(8) \quad \sum_{\rho=0}^r a_{\rho}(k - \rho)^q x^{\rho}.$$

We can write (8) as  $L^q \phi_q(x)$ , where the operator  $L$  is defined by

$$Lf(x) = kf(x) - xf'(x).$$

Since  $\phi_q(x)$  is divisible by  $(1-x)^q$ , it follows by induction on  $t$  that  $L^t \phi_q(x)$  is a polynomial in  $k$  of degree  $t$ , the coefficient of  $k^q$  being divisible by  $(1-x)^{q+q-t}$ . Applying this result with  $t = q$ , the lemma follows.

LEMMA 2. *Suppose that*

$$\psi(x) = \sum_{\rho=0}^r (-1)^{\rho} a_{\rho} x^{\rho}$$

*is divisible by  $(1-x)^q$ . Let  $Q(x)$  be a polynomial in  $x$  of degree  $\nu$ . Then*

$$(9) \quad \sum_{\rho=0}^r (-1)^{\rho} a_{\rho} Q(k - \rho)$$

*is a polynomial in  $k$  of degree at most  $\nu - q$ . In the case  $q = \nu$ , the conclusion is to be interpreted as meaning that (9) is constant; in the case  $q > \nu$ , it is to be interpreted as meaning that (9) is identically zero.*

It is slightly more convenient to prove a similar result, but with (9) replaced by

$$(10) \quad \sum_{\rho=0}^r (-1)^{\rho} a_{\rho} Q(k + \rho);$$

this will give the conclusion, for we can apply this result with  $Q(x)$  replaced by  $Q(-x)$  and with  $k$  replaced by  $-k$ .

Write

$$\psi(x) = (1-x)^q \psi_1(x),$$

and write  $E$  for the "shift operator" defined by  $EQ(k) = Q(k+1)$ . Then we can write (10) as

$$\left( \sum_{\rho=0}^r (-1)^{\rho} a_{\rho} E^{\rho} \right) Q(k) = ((1-E)^q \psi_1(E)) Q(k) = \Delta^q (\psi_1(E) Q(k)).$$

The operator  $\psi_1(E)$  operating on a polynomial cannot increase its degree; the operator  $\Delta^q$  decreases its degree by  $q$  (with the same conventions as in the statement of the lemma). Hence the conclusion.

LEMMA 3. *Let  $F(k, x)$ ,  $P_{\rho}(k)$  satisfy the conditions of Lemma 1. Let  $Q(k, n)$  be a polynomial in  $k, n$  of degree  $\nu$ . Then*

$$(11) \quad \sum_{\rho=0}^r (-1)^{\rho} Q(k - \rho, n) P_{\rho}(k - \rho)$$

is a polynomial in  $k$ ,  $n$  of degree at most  $\nu$ .

Write

$$Q(k, n) = \sum_{\mu=0}^{\nu} n^{\mu} Q_{\mu}(k) ;$$

thus, for each  $\mu$ ,  $Q_{\mu}(k)$  is a polynomial of degree at most  $\nu - \mu$ . By Lemma 1, we can write

$$P_{\rho}(k - \rho) = \sum_{q=0}^r a_{q,\rho} k^q$$

where, for each  $q$ ,

$$\sum_{\rho=0}^r (-1)^{\rho} a_{q,\rho} x^{\rho}$$

is divisible by  $(1 - x)^q$ . Hence, by Lemma 2

$$\sum_{\rho=0}^r (-1)^{\rho} a_{q,\rho} Q_{\mu}(k - \rho)$$

is a polynomial in  $k$  of degree at most  $\nu - \mu - q$ . Multiplying by  $k^q n^{\mu}$  and summing with respect to  $q, \mu$ , we obtain the conclusion.

LEMMA 4. Suppose that the  $(C^*, 1)^r$  transformation is applicable to  $s$ ; let the  $(C^*, 1)^r$  transform be denoted by  $\{t_n^{(r)}\}$ . Then

$$(12) \quad s_k = \sum_{\rho=0}^r (-1)^{\rho} P_{\rho}^{(r)}(k) t_{k+\rho}^{(r)}$$

where, for each  $\rho$ ,  $P_{\rho}^{(r)}(k)$  is a polynomial in  $k$  of degree  $r$ , and where

- (i) For  $\rho = 1, 2, \dots, r$ ,  $P_{\rho}^{(r)}(k)$  is divisible by  $(k + 1)(k + 2) \dots (k + \rho)$  ;
- (ii) The coefficient of  $k^q$  in

$$f^{(r)}(k, x) = \sum_{\rho=0}^r (-1)^{\rho} P_{\rho}^{(r)}(k) x^{\rho}$$

is divisible by  $(1 - x)^q$ .

Since the  $(C^*, 1)$  transformation is defined by

$$(13) \quad t_n^{(1)} = (n + 1) \sum_{k=n}^{\infty} \frac{s_k}{(k + 1)(k + 2)} ,$$

it is clear that, whenever (13) converges,

$$(14) \quad s_k = (k + 2)t_k^{(1)} - (k + 1)t_{k+1}^{(1)} ;$$

thus the conclusion of the lemma holds when  $r = 1$ . Assume now that the result is true for  $r - 1$  (where  $r \geq 2$ ). Since

$$t_{k+\rho}^{(r-1)} = (k + \rho + 2)t_{k+\rho}^{(r)} - (k + \rho + 1)t_{k+\rho+1}^{(r)},$$

it follows that

$$\begin{aligned} s_k &= \sum_{\rho=0}^{r-1} (-1)^\rho P_\rho^{(r-1)}(k) [(k + \rho + 2)t_{k+\rho}^{(r)} - (k + \rho + 1)t_{k+\rho+1}^{(r)}] \\ &= \sum_{\rho=0}^r (-1)^\rho P_\rho^{(r)}(k) t_{k+\rho}^{(r)}, \end{aligned}$$

where

$$(15) \quad P_\rho^{(r)}(k) = (k + \rho + 2)P_\rho^{(r-1)}(k) + (k + \rho)P_{\rho-1}^{(r-1)}(k).$$

Here we adopt the convention that  $P_r^{(r-1)}(k)$ ,  $P_{-1}^{(r-1)}(k)$  are taken to mean 0. It follows at once from (15) and the induction hypothesis that  $P^{(r)}(k)$  is a polynomial of degree  $r$ , and that (i) holds. To prove (ii), we deduce from (15) that

$$f^{(r)}(k, x) = x(1-x) \frac{d}{dx} f^{(r-1)}(k, x) + k(1-x) f^{(r-1)}(k, x) + (2-x) f^{(r-1)}(k, x),$$

and (ii) now follows from the induction hypothesis.

It may be remarked that the transformation (14), giving  $s$  in terms of  $\{t_k^{(1)}\}$ , is the  $(H^*, n+2)$  transformation. The transformation (12) is obtained by  $r$  iterations of this and thus (since we are now considering row finite matrices) it is the  $(H^*, (n+2)^r)$  transformation. Hence

$$P_\rho^{(r)}(k) = (-1)^\rho \binom{k+\rho}{k} \Delta^\rho (k+2)^r.$$

But this result does not appear to be of any help in proving (ii).

We now define  $S_n^{(r)}$  inductively by

$$S_n^{(0)} = s_n; \quad S_n^{(r)} = S_0^{(r-1)} + S_1^{(r-1)} + \dots + S_n^{(r-1)} \quad (r \geq 1).$$

As is familiar, this is equivalent to the definition

$$S_n^{(r)} = \sum_{k=0}^n \binom{n-k+r-1}{n-k} s_k.$$

LEMMA 5. *If  $\lambda > 0$ , and if*

$$\sum_{n=0}^{\infty} \frac{s_n}{n^\lambda}$$

*converges, then*

$$\sum_{n=0}^{\infty} \frac{S_n^{(1)}}{n^{1+\lambda}}$$

*converges.*

We take the hypothesis and conclusion in the equivalent forms that

$$\sum_0^{\infty} \frac{s_n}{\binom{n+\lambda}{n}}, \quad \sum_0^{\infty} \frac{S_n^{(1)}}{\binom{n+\lambda+1}{n}}$$

converge respectively. Write

$$T_n = \sum_{\nu=n}^{\infty} \frac{s_{\nu}}{\binom{\nu+\lambda}{\nu}},$$

so that  $T_n \rightarrow 0$  as  $n \rightarrow \infty$ . Then

$$\begin{aligned} & \sum_{n=0}^N \frac{S_n^{(1)}}{\binom{n+\lambda+1}{n}} \\ &= \sum_{n=0}^N \frac{1}{\binom{n+\lambda+1}{n}} \sum_{\nu=0}^n \binom{\nu+\lambda}{\nu} (T_{\nu} - T_{\nu+1}) \\ &= \sum_{\nu=0}^N \binom{\nu+\lambda}{\nu} (T_{\nu} - T_{\nu+1}) \sum_{n=\nu}^N \frac{1}{\binom{n+\lambda+1}{n}} \\ &= \frac{\lambda+1}{\lambda} \left\{ \sum_{\nu=0}^N (T_{\nu} - T_{\nu+1}) - \frac{1}{\binom{N+\lambda+1}{N+1}} \sum_{\nu=0}^N \binom{\nu+\lambda}{\nu} (T_{\nu} - T_{\nu+1}) \right\}. \end{aligned}$$

Applying a straightforward partial summation to the second sum inside the curly brackets, we can now easily prove that this expression tends to a limit as  $N \rightarrow \infty$ .

**COROLLARY.** *If  $\rho$  is a positive integer, and if*

$$(16) \quad \sum \frac{s_n}{n^2}$$

*converges, then*

$$\sum \frac{S_n^{(\rho)}}{n^{2+\rho}}$$

*converges.*

3. We can now prove Theorem 1. Suppose first that  $s$  is summable  $(C^*, 1)^r$ ; there is no loss of generality in supposing that it is summable

to 0, so that, with the notation of Lemma 4,  $t_n^{(r)} = o(1)$ . It will be enough to prove that  $s$  is summable  $(C, r)$  to 0; in other words, that

$$(17) \quad S_n^{(r)} = o(n^r).$$

For the applicability of  $(C^*, 1)^r$ , and thus, a fortiori, the  $(C^*, 1)^r$  summability of  $s$  requires, in particular, that  $t_n^{(1)}$  should be defined; and this is equivalent to the convergence of (16). But it follows from [3, Theorem 3] or [5, Theorem 4] that, if  $s$  is summable  $(C, r)$ , and if (16) converges, then  $s$  is summable  $(C^*, r)$ .

Now, by Lemma 4, and with the notation used there,

$$(18) \quad \begin{aligned} S_n^{(r)} &= \sum_{\nu=0}^n \binom{n-\nu+r-1}{n-\nu} s_\nu \\ &= \sum_{\nu=0}^n \binom{n-\nu+r-1}{n-\nu} \sum_{\rho=0}^r (-1)^\rho P_\rho^{(r)}(\nu) t_{\nu+\rho}^{(r)} \\ &= \sum_{\rho=0}^r (-1)^\rho \sum_{\nu=0}^n \binom{n-\nu+r-1}{n-\nu} P_\rho^{(r)}(\nu) t_{\nu+\rho}^{(r)} \\ &= \sum_{\rho=0}^r (-1)^\rho \sum_{k=\rho}^{n+\rho} \binom{n-k+\rho+r-1}{n-k+\rho} P_\rho^{(r)}(k-\rho) t_k^{(r)}. \end{aligned}$$

We may replace the lower limit of summation in the inner sum in (18) by  $k=0$ , since, by Lemma 4(i)  $P_\rho^{(r)}(k-\rho)$  vanishes for the extra terms. Similarly, since the polynomial

$$\binom{n-k+\rho+r-1}{n-k+\rho}$$

vanishes for  $k = n + \rho + 1, \dots, n + r - 1$ , we may, except in the case  $\rho = r$ , replace the upper limit of summation in the inner sum by  $n + r - 1$ . If we then invert the order of summation, we obtain

$$\begin{aligned} S_n^{(r)} &= \sum_{k=0}^{n+r-1} t_k^{(r)} \sum_{\rho=0}^r (-1)^\rho \binom{n-k+\rho+r-1}{n-k+\rho} P_\rho^{(r)}(k-\rho) \\ &\quad + (-1)^r P_r^{(r)}(n) t_{n+r}^{(r)} = \sum_{k=0}^{n+r} \alpha_{nk}^{(r)} t_k^{(r)}, \end{aligned}$$

say. But since  $\binom{n-k+r-1}{n-k}$  is a polynomial in  $n, k$  of degree  $r-1$ , it follows from Lemmas 3, 4(ii) that, for  $0 \leq k \leq n+r-1$ ,  $\alpha_{nk}^{(r)}$  is a polynomial in  $n, k$  of degree not exceeding  $r-1$ . Further,  $\alpha_{n, n+r}^{(r)}$  is a polynomial in  $n$  of degree  $r$ ; and, since  $t_k^{(r)} = o(1)$ , (17) now follows, as required.

We now consider the converse implication. Suppose, then, that  $s$  is summable  $(C^*, r)$ ; we may again suppose that it is summable to 0. It follows that (16) converges; also, by [3, Theorem 4] or [5, Theorem 5],  $s$  is summable  $(C, r)$ , so that (17) holds. Now let  $R^{(\nu)}(n)$  denote a rational function of  $n$  (possibly different at each occurrence), the degree of the denominator exceeding that of the numerator by  $\nu$ , and the denominator being a product of factors of the form  $(n + p)$ , with  $p$  a positive integer (repetitions being allowed). With this notation, we will prove that, for  $\rho = 1, 2, \dots, r$ ,  $t_n^{(\rho)}$  exists, and that

$$(19) \quad t_n^{(\rho)} = \sum_{\nu=\rho}^{r-1} S_{n-\rho}^{(\nu)} R^{(\nu)}(n) + o(1).$$

When  $\rho = r$ , the sum in (19) is empty, so that (19) reduces to  $t_n^{(r)} = o(1)$ . Thus, once (19) has been proved, the proof of the theorem will be completed. We prove (19) by an induction argument. Consider first the case  $\rho = 1$ . It follows by partial summation from the convergence of (16) that

$$S_n^{(1)} = o(n^2).$$

Hence, for  $\nu \geq 1$ ,

$$(20) \quad S_n^{(\nu)} = o(n^{\nu+1}).$$

Using (20), we deduce from (13), by repeated partial summations, that

$$\begin{aligned} t_n^{(1)} &= (n+1) \left\{ -\frac{S_{n-1}^{(1)}}{(n+1)(n+2)} + 2 \sum_{k=n}^{\infty} \frac{S_k^{(1)}}{(k+1)(k+2)(k+3)} \right\} \\ &= (n+1) \left\{ -\sum_{\nu=1}^r \frac{\nu! S_{n-1}^{(\nu)}}{(n+1)(n+2) \cdots (n+\nu+1)} \right. \\ &\quad \left. + (r+1)! \sum_{k=r}^{\infty} \frac{S_k^{(r)}}{(k+1)(k+2) \cdots (k+r+2)} \right\} \\ &= -\sum_{\nu=1}^{r-1} \frac{\nu! S_{n-1}^{(\nu)}}{(n+2) \cdots (n+\nu+1)} + o(1), \end{aligned}$$

since, when  $\nu = r$ , we can replace (20) by the stronger result (17). Hence (19) holds when  $\rho = 1$ .

We now assume that (19) holds for  $\rho$ , where  $1 \leq \rho < r$ , and prove that it holds for  $\rho + 1$ . By definition,  $\{t_n^{(\rho+1)}\}$  is the  $(C^*, 1)$  transform of  $\{t_n^{(\rho)}\}$ . The  $(C^*, 1)$  transform of the term  $o(1)$  in (19) exists and is  $o(1)$ , by the regularity of  $(C^*, 1)$ . It is therefore enough to consider the  $(C^*, 1)$  transform of a typical term in the sum (19); that is to say, to consider

$$(21) \quad (n+1) \sum_{k=n}^{\infty} \frac{S_{k-\rho}^{(\nu)} R^{(\nu)}(k)}{(k+1)(k+2)},$$

where  $\rho \leq \nu < r$ . This series converges, by Lemma 5, Corollary. Also, by repeated partial summation, again using (20), the expression (21) is equal to

$$(n+1) \left\{ - \sum_{\mu=\nu+1}^r S_{n-\rho-1}^{(\mu)} \Delta^{\mu-\nu-1} \left( \frac{R^{(\nu)}(n)}{(n+1)(n+2)} \right) + \sum_{k=n}^{\infty} S_{k-\rho}^{(r)} \Delta^{r-\nu} \left( \frac{R^{(\nu)}(k)}{(k+1)(k+2)} \right) \right\} \\ = \sum_{\mu=\nu+1}^{r-1} S_{n-\rho-1}^{(\mu)} R^{(\mu)}(n) + o(1).$$

Here, again, we use (17) to deal with the second sum, and the term  $\mu = r$  of the first sum, inside the curly brackets. Thus (19), if true for  $\rho$ , is true for  $\rho + 1$ , and the proof of the theorem is completed.

4. In order to prove the remaining theorems, we require some further lemmas.

LEMMA 6. *Let  $r$  be a positive integer. Then*

(i) *For  $k \geq n$ ,*

$$(22) \quad \Delta^{k-n} \left( \frac{1}{(n+2)^r} \right) = \frac{(k-n)!(n+1)!}{(k+2)!} K_r(n, k),$$

where  $K_r(n, k)$  is defined by induction (on  $r$ ) by

$$(23) \quad K_1(n, k) = 1; \\ K_r(n, k) = \sum_{\nu=n}^k \frac{K_{r-1}(\nu, k)}{\nu+2} \quad (r \geq 2).$$

Alternatively, (23) may be replaced by

$$(24) \quad K_r(n, k) = \sum_{\nu=n}^k \frac{K_{r-1}(n, \nu)}{\nu+2} \quad (r \geq 2).$$

(ii) *For fixed  $n$ ,*

$$(25) \quad K_r(n, k) = \frac{(\log k)^{r-1}}{(r-1)!} + O((\log k)^{r-2})$$

as  $k \rightarrow \infty$ . Further,

$$(\log k)^{-(r-1)} K_r(n, k)$$

is of bounded variation in  $k \geq n$ .

The result that (22) holds is familiar, and easily verified, when  $r = 1$ . Assume the result true for  $r - 1$ , where  $r \geq 2$ . Applying the familiar formula

$$(26) \quad \Delta^q(a_n b_n) = \sum_{\nu=0}^q \binom{q}{\nu} \Delta^\nu a_n \Delta^{q-\nu} b_{n+\nu}$$

with

$$a_n = \frac{1}{(n+2)}, \quad b_n = \frac{1}{(n+2)^{r-1}}, \quad q = k - n,$$

we obtain

$$\begin{aligned} (27) \quad \Delta^{k-n} \left( \frac{1}{(n+2)^r} \right) &= \sum_{\nu=0}^{k-n} \binom{k-n}{\nu} \frac{\nu!}{(n+2)(n+3) \cdots (n+\nu+2)} \\ &\quad \times \frac{(k-n-\nu)!}{(n+\nu+2) \cdots (k+2)} K_{r-1}(n+\nu, k) \\ &= \frac{(k-n)!(n+1)!}{(k+2)!} \sum_{\nu=0}^{k-n} \frac{K_{r-1}(n+\nu, k)}{n+\nu+2}. \end{aligned}$$

On changing the notation by replacing  $(n+\nu)$  by  $\nu$  in the sum in (27), we see that (22) holds for  $r$ , with  $K_r(n, k)$  given by (23).

If we had applied (26) with

$$a_n = \frac{1}{(n+2)^{r-1}}, \quad b_n = \frac{1}{n+2},$$

a similar argument would have yielded (24). We remark that it may be verified directly that the two induction definitions are equivalent; for either gives, for  $r \geq 2$ ,

$$K_r(n, k) = \sum \frac{1}{(\nu_1+2)(\nu_2+2) \cdots (\nu_{r-1}+2)},$$

the sum being taken over all  $\nu_1, \nu_2, \dots, \nu_{r-1}$  for which

$$n \leq \nu_1 \leq \nu_2 \leq \cdots \leq \nu_{r-1} \leq k.$$

Once (i) has been proved, (25) follows at once by induction on  $r$  (using (24)). Further, again using (24), we have, for  $r \geq 2$

$$\begin{aligned} &\Delta\{(\log k)^{-(r-1)} K_r(n, k)\} \\ &= (\log(k+1))^{-(r-1)} \Delta_k K_r(n, k) + K_r(n, k) \Delta\{(\log k)^{-(r-1)}\} \\ &= -(\log(k+1))^{-(r-1)} \frac{K_{r-1}(n, k+1)}{k+3} + \frac{(r-1)}{k} K_r(n, k) (\log k)^{-r} \left(1 + O\left(\frac{1}{k}\right)\right) \\ &= O\left(\frac{1}{k \log^2 k}\right), \end{aligned}$$

by (25). The result follows.

LEMMA 7. For fixed  $n > 0$ ,

$$\frac{K_r(n, k)}{K_r(0, k)}$$

is a non-decreasing function of  $k$  for  $k \geq n$ .

The proof is by induction. The result is trivial when  $r = 1$ . Assume the result true for  $r - 1$ , where  $r \geq 2$ . Then, by (24),

$$\frac{K_r(n, k)}{K_r(0, k)} - \frac{K_r(n, k + 1)}{K_r(0, k + 1)} = \frac{L_r(n, k)}{K_r(0, k)K_r(0, k + 1)},$$

where

$$\begin{aligned} & L_r(n, k) \\ &= \sum_{\nu=0}^{k+1} \frac{K_{r-1}(0, \nu)}{\nu + 2} \sum_{\nu=n}^k \frac{K_{r-1}(n, \nu)}{\nu + 2} - \sum_{\nu=0}^k \frac{K_{r-1}(0, \nu)}{\nu + 2} \sum_{\nu=n}^{k+1} \frac{K_{r-1}(n, \nu)}{\nu + 2} \\ &= \frac{1}{k + 3} \left\{ K_{r-1}(0, k + 1) \sum_{\nu=n}^k \frac{K_{r-1}(n, \nu)}{\nu + 2} - K_{r-1}(n, k + 1) \sum_{\nu=0}^k \frac{K_{r-1}(0, \nu)}{\nu + 2} \right\}. \end{aligned}$$

But, by the induction hypothesis, we have

$$K_{r-1}(0, k + 1)K_{r-1}(n, \nu) \leq K_{r-1}(n, k + 1)K_{r-1}(0, \nu)$$

for  $n \leq \nu \leq k$ . Hence

$$\begin{aligned} K_{r-1}(0, k + 1) \sum_{\nu=n}^k \frac{K_{r-1}(n, \nu)}{\nu + 2} &\leq K_{r-1}(n, k + 1) \sum_{\nu=n}^k \frac{K_{r-1}(0, \nu)}{\nu + 2} \\ &< K_{r-1}(n, k + 1) \sum_{\nu=0}^k \frac{K_{r-1}(0, \nu)}{\nu + 2}. \end{aligned}$$

Thus  $L_r(n, k) < 0$ , which gives the conclusion.

We now note that, if the  $(H^*, r)$  transform of  $s$  is denoted by  $\{h_n^{(r)}\}$ , then it follows from (22) that  $h_n^{(r)}$  is defined by

$$(28) \quad h_n^{(r)} = (n + 1) \sum_{k=n}^{\infty} \frac{K_r(n, k)}{(k + 1)(k + 2)} s_k$$

whenever this series converges. Further, it follows from Lemma 6 (ii) that, if (28) converges for one value of  $n$ , then it converges for all  $n$ , and that a necessary and sufficient condition for this to happen is that

$$(29) \quad \sum_{k=n}^{\infty} \frac{(\log k)^{r-1}}{k^2} s_k$$

should converge.

**LEMMA 8.** *If the  $(H^*, r)$  transformation is applicable to  $s$ , then the  $(C^*, 1)^r$  transformation is also applicable to  $s$ , and the  $(C^*, 1)^r$  transform is equal to the  $(H^*, r)$  transform.*

We again prove the result by induction. The result is trivial when  $r = 1$ , since, in this case, the definitions of  $(H^*, r)$ ,  $(C^*, 1)^r$  are the same.

Suppose, then, the result true for  $r - 1$ , where  $r \geq 2$ . Suppose the  $(H^*, r)$  transformation is applicable. Then (29) converges; and hence the corresponding series with  $r$  replaced by  $r - 1$  also converges, so that  $(H^*, r - 1)$  is also applicable. By (23) and (28),

$$\begin{aligned}
 (30) \quad h_n^{(r-1)} &= (n + 1) \sum_{k=n}^{\infty} \frac{K_{r-1}(n, k)}{(k + 1)(k + 2)} s_k \\
 &= (n + 1)(n + 2) \sum_{k=n}^{\infty} \frac{[K_r(n, k) - K_r(n + 1, k)]}{(k + 1)(k + 2)} s_k \\
 &= (n + 2)h_n^{(r)} - (n + 1)h_{n+1}^{(r)}.
 \end{aligned}$$

But, in view of Lemma 7, it follows easily from the convergence of (28) with  $n = 0$  that

$$h_n^{(r)} = o(n).$$

We therefore deduce from (30) that

$$(31) \quad h_n^{(r)} = (n + 1) \sum_{k=n}^{\infty} \frac{h_k^{(r-1)}}{(k + 1)(k + 2)}.$$

By the induction hypothesis, and with the notation used in the proof of Theorem 1,  $t_k^{(r-1)}$  exists and equals  $h_k^{(r-1)}$ . Hence, by (31) and the definition of  $t_k^{(r)}$ ,  $t_n^{(r)}$  exists and equals  $h_n^{(r)}$ .

5. The positive part of Theorem 2 follows at once from Theorem 1 and Lemma 8. In order to prove the negative part of Theorem 2, and also of Theorem 3, we consider the example

$$s_k = \begin{cases} t^{-\lambda} 2^{2t} & (k = 2^t, t = 1, 2, \dots); \\ -t^{-\lambda} 2^{2t} & (k = 2^t + 1, t = 1, 2, \dots); \\ 0 & (\text{otherwise}). \end{cases}$$

where  $\lambda > 0$ . Then

$$S_k^{(1)} = \begin{cases} t^{-\lambda} 2^{2t} & (k = 2^t, t = 1, 2, \dots); \\ 0 & (\text{otherwise}). \end{cases}$$

Since

$$\sum_{t=1}^r t^{-\lambda} 2^{2t} = O(T^{-\lambda} 2^{2r}),$$

we see that  $S_k^{(2)} = o(k^2)$ , so that  $s$  is summable  $(C, 2)$  to 0. The series (29) diverges if  $r \geq \lambda + 1$ , since the general term does not tend to 0; and it is easily proved that it converges if  $r < \lambda + 1$ . In particular, (29) converges when  $r = 1$ ; in other words, (16) converges, so that  $(C^*, r)$  is

applicable (for any  $r$ ). Thus, by [3, Theorem 3] or [5, Theorem 4],  $s$  is summable  $(C^*, r)$  for  $r \geq 2$ . But, if  $r \geq 2$  and we choose  $\lambda \leq r - 1$ ,  $(H^*, r)$  is not applicable. Further, if  $2 \leq r < r_1$ , we may choose  $\lambda$  so that  $r - 1 < \lambda \leq r_1 - 1$ . Then  $(H^*, r)$  is applicable, so that, since  $s$  is summable  $(C^*, r)$ , it is summable also  $(H^*, r)$ ; but  $(H^*, r_1)$  is not applicable.

It remains only to consider the case  $r = 1$  of Theorem 3. Summability  $(H^*, 1)$  is the same as  $(C^*, 1)$ , and this is known to be equivalent to  $(C, 1)$ . It follows, a fortiori that if  $s$  is summable  $(H^*, 1)$  then the  $(C, 1)$  means are bounded; that is to say

$$(32) \quad S_k^{(1)} = O(k).$$

The convergence of (29) (with  $r$  replaced by  $r_1$ ) follows at once by partial summation; indeed, a weaker result that (32) would suffice for this. This gives the conclusion.

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