

ALGEBRAIC CYCLES ON ABELIAN VARIETIES WITH MANY REAL ENDOMORPHISMS

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1. Main results. Let A be an abelian variety of dimension g defined over C . We denote by $\text{End } A$ the endomorphism ring of A and put $\text{End}^0 A = \text{End } A \otimes \mathbf{Q}$. In the present paper we investigate algebraic cycles on an abelian variety with many real endomorphisms. More precisely, we consider an abelian variety such that $\text{End}^0 A$ contains a product F of totally real fields with $[F:\mathbf{Q}] = \dim A$. Our main result is the following:

THEOREM (1.1). *Let A be as above. Suppose that no simple component of A (up to isogeny) is of CM-type of dimension greater than one. Then $\mathcal{B}^*(A)$ is generated by $\mathcal{B}^1(A)$. In particular, the Hodge conjecture holds for such A .*

Here we denote by $\mathcal{B}^*(A) = \bigoplus_{d=0}^g \mathcal{B}^d(A)$ the Hodge ring, where $\mathcal{B}^d(A) = H^{2d}(A, \mathbf{Q}) \cap H^{d,d}(A)$. As an application of this result, we have the following theorem on algebraic cycles on the jacobian variety $J_1(N)$ of the modular curve $X_1(N)$ (see §4 for the definition):

THEOREM (1.2). *$\mathcal{B}^*(J_1(N))$ is generated by $\mathcal{B}^1(J_1(N))$. In particular, the Hodge conjecture holds for $J_1(N)$.*

REMARK. After this paper was prepared, Professor Shioda informed the author that V. P. Murty obtained the above (1.2) independently ([7]).

2. Preliminaries. Here we recall some properties of the Hodge group of an abelian variety.

PROPOSITION (2.1) (Mumford [4]). *Let A be an abelian variety. Let $\text{Hg}(A)$ denote the Hodge group of A . Then*

$$\text{End}^0 A \cong \text{End}_{\text{Hg}(A)} H^1(A, \mathbf{Q}), \quad \mathcal{B}^d(A) = [H^{2d}(A, \mathbf{Q})]^{\text{Hg}(A)}.$$

Here we use the following notations: For a group G and a G -module V we denote by $\text{End}_G V$ the set of G -endomorphisms of V and we denote by $[V]^G$ the set of G -invariant elements in V .

PROPOSITION (2.2) (Tankeev [10, Lemma (1.4)]). *If the center of the*

\mathbb{Q} -algebra $\text{End}^0 A$ is a product of totally real fields, then the Hodge group $\text{Hg}(A)$ is semi-simple.

For the Hodge group and the Hodge ring of a product of elliptic curves the following theorems are known to hold:

THEOREM (2.3). *Let E be an elliptic curve. Then*

$$\mathcal{L}_{\mathbb{C}}(\text{Hg}(E)_c) \cong \begin{cases} \mathfrak{sl}_2 & \text{if } E \text{ has no complex multiplication,} \\ \mathbb{C} & \text{if } E \text{ has complex multiplications.} \end{cases}$$

THEOREM (2.4) (Imai [3]). *Let E_1, \dots, E_k be elliptic curves which are mutually non-isogenous. Then*

$$\text{Hg}(E_1^{n_1} \times \dots \times E_k^{n_k}) \cong \text{Hg}(E_1) \times \dots \times \text{Hg}(E_k).$$

THEOREM (2.5) (Tate [11], Murasaki [6]). *For a power E^n of an elliptic curve E , the Hodge ring $\mathcal{B}^*(E^n)$ is generated by $\mathcal{B}^1(E^n)$.*

The following general proposition is frequently used when we compute the Hodge group of some product of abelian varieties:

PROPOSITION (2.6) (Ribet [8]). *Suppose that $\mathfrak{s}_1, \dots, \mathfrak{s}_d$ are simple finite-dimensional Lie algebras and that \mathfrak{u} is a subalgebra of the product $\mathfrak{s}_1 \times \dots \times \mathfrak{s}_d$. Assume that whenever $1 \leq i < j \leq d$ the projection of \mathfrak{u} to $\mathfrak{s}_i \times \mathfrak{s}_j$ is surjective. Assume also that the i -th projection maps \mathfrak{u} onto \mathfrak{s}_i for each i . Then $\mathfrak{u} = \mathfrak{s}_1 \times \dots \times \mathfrak{s}_d$.*

Next we note that abelian varieties satisfying the condition of Theorem (1.1) can be classified as follows:

THEOREM (2.7) (Giraud [2]). *Let A be an abelian variety which satisfies the condition of (1.1), and consider a decomposition of A into isotypic components up to isogeny. Then each isotypic component is one of the following:*

- (1) A_1^n , where A_1 is a simple abelian variety of type I under Albert's classification (Mumford [5, §21, Th. 2]) such that $\text{End}^0 A_1 \cong$ a totally real field of degree g/n .
- (2) A_2^n , where A_2 is a simple abelian variety of type II such that $\text{End}^0 A_2 \cong$ a totally indefinite quaternion algebra over a totally real field of degree $g/2n$.
- (3) E^g , where E is an elliptic curve of CM-type.

3. Proof of Theorem (1.1). First we determine the Lie algebra of $\text{Hg}(A)_c$ for $A = A_1^n$ (resp. $A = A_2^n$) appearing in the case (1) (resp. (2)) of (2.7). Put $\dim A_1 = g_1 = g/n$. Put $\rho(A) =$ rank of the Néron-Severi group of A . If A is of type (1),

$$\text{End}^0 A \otimes_{\mathcal{Q}} \mathbf{R} \cong M_n(\text{End}^0 A_1) \otimes_{\mathcal{Q}} \mathbf{R} \cong M_n(\mathbf{R}) \times \cdots \times M_n(\mathbf{R}) \quad (g_1 \text{ times}).$$

Moreover we have

$$\rho(A) = n\rho(A_1) + (n(n - 1)/2) \text{rank End}^0 A_1 = n(n + 1)g_1/2.$$

If A is type (2), then

$$\begin{aligned} \text{End}^0 A \otimes_{\mathcal{Q}} \mathbf{R} &\cong M_n(M_2(\mathbf{R}) \times \cdots \times M_2(\mathbf{R})) && (g_1/2 \text{ times}) \\ &\cong M_{2n}(\mathbf{R}) \times \cdots \times M_{2n}(\mathbf{R}) && (g_1/2 \text{ times}). \end{aligned}$$

Moreover we have $\rho(A) = n(2n + 1)g_1/2$. We denote by \mathfrak{h} the Lie algebra of $\text{Hg}(A)_C$, which is semi-simple by Proposition (2.2). Then in both cases by the isomorphism $\text{End}^0 A \otimes_{\mathcal{Q}} \mathbf{C} \cong \text{End}_{\text{Hg}(A)_C} H^1(A, \mathbf{C})$ (cf. (2.1)) and Schur's lemma we have a decomposition of the \mathfrak{h} -module $H^1(A, \mathbf{C})$:

$$H^1(A, \mathbf{C}) \cong (V_1 \oplus \cdots \oplus V_1) \oplus \cdots \oplus (V_k \oplus \cdots \oplus V_k),$$

where $k = g_1$ (resp. $g_1/2$) if A is of type (1) (resp. (2)) and V_i ($1 \leq i \leq k$) are mutually non-isomorphic \mathfrak{h} -modules each of them occurring s times. Note that $s = n$ (resp. $2n$) if A is of type (1) (resp. (2)). We claim that $\dim_C V_i = 2$ for all i . Suppose on the contrary that there exists an i such that $\dim_C V_i \neq 2$. Then since $\sum \dim_C V_i = 2sk$ and one-dimensional \mathfrak{h} -modules are isomorphic, we see that there exists a unique j such that $\dim_C V_j = 1$. We may assume (renumbering V_i 's, if necessary) that $\dim_C V_1 = 3, \dim_C V_2 = 1, \dim_C V_i = 2$ for $i \geq 3$. Put $W_1 = V_1$ and $W_2 = \oplus$ {the other components}. Then

$$[\Lambda^2 V]^{\mathfrak{h}} \cong [\Lambda^2 W_1]^{\mathfrak{h}} \oplus [\Lambda^2 W_2]^{\mathfrak{h}} \oplus [W_1 \otimes W_2]^{\mathfrak{h}}.$$

If $s = 1$, then we get $[W_1 \otimes W_2]^{\mathfrak{h}} \cong \text{Hom}_{\mathfrak{h}}(W_1^*, W_2) = 0$ since W_2 has no irreducible component of dimension three. Therefore

$$[\Lambda^2 V]^{\mathfrak{h}} \cong [\Lambda^2 W_1]^{\mathfrak{h}} \oplus [\Lambda^2 W_2]^{\mathfrak{h}}.$$

We denote by ω the element in $[\Lambda^2 V]^{\mathfrak{h}}$ corresponding to the skew symmetric non-degenerate bilinear form on the \mathfrak{h} -module V . According to the above decomposition, ω can be written $\omega = \omega_1 + \omega_2$, where $\omega_1 \in [\Lambda^2 W_1]^{\mathfrak{h}}, \omega_2 \in [\Lambda^2 W_2]^{\mathfrak{h}}$. On the one hand we have $\Lambda^g \omega \neq 0$ by the non-degeneracy of the bilinear form. On the other hand, $\Lambda^g \omega = \sum_{i+j=g} \Lambda^i \omega_1 \otimes \Lambda^j \omega_2 = 0$, since $\Lambda^i \omega_1 = 0$ for $i \geq 2$ and $\Lambda^j \omega_2 = 0$ for $j \geq g-1$, a contradiction. Therefore $s \neq 1$. Then by a similar argument we get $V_1 \cong V_1^*$. This is possible only if $p_1(\mathfrak{h}) \cong \mathfrak{sl}_2$ and $V_1 \cong S^2(\mathbf{C}^2)$ (=the space of symmetric tensors of degree two over \mathbf{C}^2) by the representation theory of semi-simple Lie algebras (Bourbaki [1, Chaps. VII and VIII]). Here we denote by p_i the i -th projection: $\text{End } V \rightarrow \text{End } V_i$ ($1 \leq i \leq k$).

As for V_i ($i \geq 3$), we see that $p_i(\mathfrak{h}) \cong \mathfrak{sl}_2$ and $V_i \cong C^2$ (the natural representation). Then we are able to compute $\dim_c [\Lambda^2 V]^{\mathfrak{h}}$ as follows:

$$\dim_c [\Lambda^2 V]^{\mathfrak{h}} = \begin{cases} n(n+1)(g_1 - 2)/2 + n^2 & \text{in case (1) ,} \\ n(2n+1)g_1/2 - 2n & \text{in case (2) .} \end{cases}$$

Since $\dim_c [\Lambda^2 V]^{\mathfrak{h}} = \rho(A)$, this contradicts the above computation of $\rho(A)$. Thus we see that $\dim_c V_i = 2$ for all $i \geq 1$, and $p_i(\mathfrak{h}) \cong \mathfrak{sl}_2$.

Now we claim that

$$\mathfrak{h} \cong \mathfrak{sl}_2 \times \cdots \times \mathfrak{sl}_2 \quad (k \text{ times}) ,$$

where the i -th component acts on $V_i \oplus \cdots \oplus V_i$ diagonally. To show this we use the following:

LEMMA (3.1). *Let \mathfrak{h} be a semi-simple subalgebra of $\mathfrak{sl}_2 \times \mathfrak{sl}_2$ such that $p_i(\mathfrak{h}) = \mathfrak{sl}_2$ ($i = 1, 2$), where p_i denotes the i -th projection. Then \mathfrak{h} must be equal to $\mathfrak{sl}_2 \times \mathfrak{sl}_2$ or the graph of an automorphism of \mathfrak{sl}_2 .*

PROOF OF (3.1). This is an easy consequence of ‘‘Goursat’s lemma’’ (cf. [7, Lemma (5.2.1)]).

We apply this to $p_{ij}(\mathfrak{h}) \subset \mathfrak{sl}_2 \times \mathfrak{sl}_2$, where p_{ij} denotes the projection: $\text{End } V \rightarrow \text{End } V_i \times \text{End } V_j$ ($1 \leq i < j \leq k$). By the assumption, the \mathfrak{h} -modules V_i and V_j are not isomorphic, hence it follows from (3.1) that $p_{ij}(\mathfrak{h}) = \mathfrak{sl}_2 \times \mathfrak{sl}_2$. Therefore the claim above follows from (2.6).

Now suppose that an abelian variety A satisfies the condition of Theorem (1.1). Then by (2.7),

$$A \underset{\text{isog.}}{\sim} A_1 \times A_2 \times \cdots \times A_s \times C_1^{m_1} \times \cdots \times C_t^{m_t} ,$$

where A_i ($1 \leq i \leq s$) are of type (1) or (2) in (2.7) and C_j ($1 \leq j \leq t$) are elliptic curves of CM-type with $C_j \not\sim C_k$ for $j \neq k$. Here we use the following lemmas which are proved easily:

LEMMA (3.2). *Let A be an abelian variety whose Hodge group is semi-simple and let B be an abelian variety of CM-type. Then $\text{Hg}(A \times B) \cong \text{Hg}(A) \times \text{Hg}(B)$.*

LEMMA (3.3). *Let G, H be groups and let V (resp. W) be a G -module (resp. H -module). Then $[V \otimes W]^{G \times H} = [V]^G \otimes [W]^H$.*

Let \mathfrak{h} be the Lie algebra of $\text{Hg}(A)_c$. Then by the above argument and the lemmas, we see the representation of \mathfrak{h} in the space $H^1(A, C)$ is equivalent to the representation of the Lie algebra of the Hodge group of some product of elliptic curves $E_1^{n_1} \times \cdots \times E_s^{n_s}$ ($E_i \not\sim E_j$ for $i \neq j$). Therefore the proof is reduced to showing that the Hodge ring

$\mathcal{B}^*(E_1^{n_1} \times \dots \times E_u^{n_u})$ is generated by $\mathcal{B}^1(E_1^{n_1} \times \dots \times E_u^{n_u})$. But this follows immediately from (2.4), (2.5) and (3.3).

REMARK. In case (1) of (2.7), we have $\text{Hg}(A_1^n) \cong \text{Hg}(A_1) \cong \text{SL}_2(F_1)$, where we denote $\text{End}^0 A_1$ by F_1 , and $V_i \cong H^1(A, \mathbf{Q}) \otimes_{F_1, \sigma} C$ for some embedding σ of F_1 into C . This fact was pointed out to us by the referee. Such a viewpoint will be investigated in our forthcoming paper on "stable non-degeneracy" of abelian varieties.

4. Proof of Theorem (1.2). For an arbitrary positive integer N , put

$$\Gamma_0(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbf{Z}); c \equiv 0 \pmod{N} \right\},$$

$$\Gamma_1(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(N); a \equiv 1 \pmod{N} \right\}.$$

We denote by $X_0(N)$ (resp. $X_1(N)$) the non-singular projective curve defined over \mathbf{Q} , which is associated to $\Gamma_0(N)$ (resp. $\Gamma_1(N)$). More precisely, the group $\Gamma_0(N)$ (resp. $\Gamma_1(N)$) acts on the Poincaré half-plane \mathfrak{h} . We denote by \mathfrak{h}^* the union of \mathfrak{h} and the cusps of $\Gamma_0(N)$ (resp. $\Gamma_1(N)$). The quotient of \mathfrak{h}^* by the action of $\Gamma_0(N)$ (resp. $\Gamma_1(N)$) is a compact Riemann surface. It is known that the algebraic curve over C thus obtained is defined over \mathbf{Q} . We consider algebraic cycles on the jacobian variety $J_0(N)$ (resp. $J_1(N)$) of the curve $X_0(N)$ (resp. $X_1(N)$). We note that these abelian varieties satisfy the condition of Theorem (1.1) as is shown in [9]. Therefore we have Theorem (1.2). Moreover we have:

COROLLARY (4.1). *Let B be an abelian variety which is obtained as a quotient variety of $J_1(N)$. Then the Hodge ring $\mathcal{B}^*(B)$ is generated by $\mathcal{B}^1(B)$.*

PROOF. Each simple component of the abelian variety B is a simple component of $J_1(N)$ up to isogeny. Hence we have the assertion of the corollary by the same argument as that in the proof of Theorem (1.1).

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