

## STABILITY OF CERTAIN MINIMAL SUBMANIFOLDS OF COMPACT HERMITIAN SYMMETRIC SPACES

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**Introduction.** In this paper we consider a compact totally real totally geodesic submanifold  $M$  of a Hermitian symmetric space  $(\bar{M}, \bar{g})$  of compact type with  $\dim M = \dim_c \bar{M}$ , and study their classification and stability.

We shall show that *such a submanifold  $M$  is always a symmetric  $R$ -space* (cf. §1 for definition), and these pairs  $((\bar{M}, \bar{g}), M)$  correspond in one to one fashion to symmetric  $R$ -spaces. Furthermore we shall prove that  *$M$  is stable in  $(\bar{M}, \bar{g})$  as a minimal submanifold if and only if  $M$  is simply connected.*

Lawson-Simons [6] proved that a compact stable minimal submanifold of the complex projective  $n$ -space  $P_n(C)$  endowed with the Kähler metric of constant holomorphic sectional curvature is always a complex submanifold. They showed also [6] that this is not true for a general Hermitian symmetric space of compact type, by giving an example of a compact stable minimal submanifold of  $P_1(C) \times P_1(C)$  which is not a complex submanifold. The simply connected ones among our submanifolds include the example of Lawson-Simons and provide many examples with the same properties. For example, the quaternion Grassmann manifold  $G_{p,q}(H)$  imbedded in the complex Grassmann manifold  $G_{2p,2q}(C)$  is minimal and stable, but not a complex submanifold.

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**1. Totally real totally geodesic submanifolds of compact Hermitian symmetric spaces.** In this section we shall classify compact totally real totally geodesic submanifolds  $M$  of a Hermitian symmetric space  $(\bar{M}, \bar{g})$  of compact type with  $\dim M = \dim_c \bar{M}$ .

Let  $(\bar{M}, \bar{g})$  be a Hermitian manifold. The inner product and the complex structure tensor on the tangent bundle  $T\bar{M}$  are denoted by  $\langle \cdot, \cdot \rangle$  and  $J$ , respectively. A submanifold  $M$  of  $\bar{M}$  is said to be *totally real* if  $\langle JT_p M, T_p M \rangle = 0$  for each  $p \in M$ . A submanifold  $M$  is called a *real form*

of  $(\bar{M}, \bar{g})$  if there exists an involutive anti-holomorphic isometry  $\sigma$  of  $(\bar{M}, \bar{g})$  such that

$$M = \{p \in \bar{M}; \sigma(p) = p\}.$$

LEMMA 1.1. *Let  $(\bar{M}, \bar{g})$  be a (complete) Hermitian manifold. Then any real form  $M$  of  $(\bar{M}, \bar{g})$  is a (complete) totally real totally geodesic submanifold with  $\dim M = \dim_c \bar{M}$ .*

PROOF. Let  $\sigma$  be an involutive anti-holomorphic isometry of  $(\bar{M}, \bar{g})$  which defines  $M$ . Then  $M$  coincides with the set of fixed points of the isometry  $\sigma$  of  $(\bar{M}, \bar{g})$ , and hence it is totally geodesic (cf. Kobayashi [4]).

Let  $p \in M$  and  $\sigma_*$  denote the differential of  $\sigma$  at  $p$ . Then  $\sigma_*$  is an involutive linear isometry of  $T_p \bar{M}$  with  $\sigma_* J = -J \sigma_*$ . Thus, denoting by  $(T_p \bar{M})^\pm$  the  $(\pm 1)$ -eigenspace of  $\sigma_*$ , we have

$$T_p \bar{M} = (T_p \bar{M})^+ + (T_p \bar{M})^- \quad (\text{orthogonal sum})$$

and  $J(T_p \bar{M})^\pm = (T_p \bar{M})^\mp$ . Since  $(T_p \bar{M})^+ = T_p M$ , we have that  $\langle J T_p M, T_p M \rangle = 0$  and  $\dim M = \dim_c \bar{M}$ . q.e.d.

In the following we recall a construction of real forms, called symmetric  $R$ -spaces, of a Hermitian symmetric space of compact type (cf. Takeuchi [12]).

Let  $(\mathfrak{g}, \tau)$  be a positive definite symmetric graded Lie algebra (cf. Satake [10]), that is,

$$\mathfrak{g} = \mathfrak{g}_{-1} + \mathfrak{g}_0 + \mathfrak{g}_1, \quad [\mathfrak{g}_p, \mathfrak{g}_q] \subset \mathfrak{g}_{p+q},$$

is a real semi-simple graded Lie algebra such that  $\mathfrak{g}_{-1} \neq 0$  and  $\mathfrak{g}_0$  acts effectively on  $\mathfrak{g}_{-1}$ , and  $\tau$  is a Cartan involution of  $\mathfrak{g}$  with  $\tau \mathfrak{g}_p = \mathfrak{g}_{-p}$  ( $p = -1, 0, 1$ ). Then  $\mathfrak{u} = \mathfrak{g}_0 + \mathfrak{g}_1$  is a subalgebra of  $\mathfrak{g}$ . Let  $G$  be the connected Lie group with the trivial center such that  $\text{Lie } G$ , the Lie algebra of  $G$ , is  $\mathfrak{g}$ . Put

$$U = \{a \in G; \text{Ad}(a)u = u\}.$$

Then we have  $\text{Lie } U = \mathfrak{u}$ . The homogeneous space  $M = G/U$  is compact and called the symmetric  $R$ -space associated to  $(\mathfrak{g}, \tau)$ . The origin  $U$  of  $M$  will be denoted by  $o$ .

Let  $\bar{\mathfrak{g}}$  and  $\bar{\mathfrak{u}}$  be the complexifications of  $\mathfrak{g}$  and  $\mathfrak{u}$ , respectively and  $\bar{G}$  the connected complex Lie group with the trivial center such that  $\text{Lie } \bar{G} = \bar{\mathfrak{g}}$ . We regard  $G$  as a subgroup of  $\bar{G}$ . Put

$$\bar{U} = \{a \in \bar{G}; \text{Ad}(a)\bar{\mathfrak{u}} = \bar{\mathfrak{u}}\}.$$

Then  $\bar{U}$  is a connected complex Lie subgroup of  $\bar{G}$  with  $\text{Lie } \bar{U} = \bar{\mathfrak{u}}$  and

$\bar{U} \cap G = U$ . The complex homogeneous space  $\bar{M} = \bar{G}/\bar{U}$  is compact, and the identity component  $\text{Aut}^0(\bar{M})$  of the group of all holomorphic automorphisms of  $\bar{M}$  is identified with  $\bar{G}$  (cf. Takeuchi [14]). Moreover we obtain a natural  $G$ -equivariant imbedding  $f: M \rightarrow \bar{M}$  by virtue of  $\bar{U} \cap G = U$ . It is called the *canonical imbedding* associated to  $(g, \tau)$ . In what follows we shall often regard  $M$  as a submanifold of  $\bar{M}$  through the imbedding  $f$ .

Let  $\sigma$  be the complex conjugation of  $\bar{g}$  with respect to  $g$  and denote the extension of  $\sigma$  to  $\bar{G}$  also by  $\sigma$ . Since  $\bar{U}$  is connected we have  $\sigma(\bar{U}) = \bar{U}$ , and thus  $\sigma$  induces an involutive anti-holomorphic diffeomorphism  $\sigma$  of  $\bar{M}$ . Then  $M \subset \bar{M}$  is given by

$$M = \{p \in \bar{M}; \sigma(p) = p\}.$$

Let  $g = \mathfrak{k} + \mathfrak{p}$  be the Cartan decomposition associated to  $\tau$ . Then  $g_u = \mathfrak{k} + \sqrt{-1}\mathfrak{p}$  is a compact real form of  $\bar{g}$ . Let  $\tau$  denote the complex conjugation of  $\bar{g}$  with respect to  $g_u$ . Then  $g$  is stable under  $\tau$  and  $\tau$  coincides with the original  $\tau$  on  $g$ . From the semi-simplicity of  $g$ , there exists uniquely an element  $Z \in g_0$  such that

$$g_p = \{X \in g; [Z, X] = pX\} \quad (p = -1, 0, 1).$$

The condition  $\tau g_p = g_{-p}$  ( $p = -1, 0, 1$ ) implies  $\tau Z = -Z$ , and hence  $Z \in \mathfrak{p}$ . Let  $K$  and  $G_u$  be the connected subgroups of  $\bar{G}$  generated by  $\mathfrak{k}$  and  $g_u$ , respectively, and put

$$\begin{aligned} K_0 &= \{a \in K; \text{Ad}(a)Z = Z\}, \quad \mathfrak{k}_0 = \text{Lie } K_0, \\ K_u &= \{a \in G_u; \text{Ad}(a)Z = Z\}, \quad \mathfrak{k}_u = \text{Lie } K_u. \end{aligned}$$

Then we have smooth identifications

$$M = K/K_0, \quad \bar{M} = G_u/K_u.$$

We define an involutive automorphism  $\theta$  of  $\bar{G}$  by

$$\theta(a) = \exp(\pi\sqrt{-1}Z)a(\exp(\pi\sqrt{-1}Z))^{-1} \quad \text{for } a \in \bar{G}.$$

Then  $\theta(K) = K$ ,  $\theta(G_u) = G_u$  and

$$(K_\theta)^0 \subset K_0 \subset K_\theta, \quad K_u = (G_u)_\theta,$$

where  $K_\theta$  (resp.  $(G_u)_\theta$ ) denotes the subgroup of all fixed points of  $\theta$  in  $K$  (resp. in  $G_u$ ) and  $(K_\theta)^0$  the identity component of  $K_\theta$ . Thus both  $(K, K_0)$  and  $(G_u, K_u)$  are compact symmetric pairs. If we define

$$\begin{aligned} \mathfrak{m} &= \{X \in \mathfrak{k}; \theta X = -X\}, \\ \mathfrak{m}_u &= \{X \in g_u; \theta X = -X\}, \end{aligned}$$

denoting also by  $\theta$  the differential of  $\theta$ , we have direct sum decompositions

$$\mathfrak{k} = \mathfrak{k}_0 + \mathfrak{m}, \quad g_u = \mathfrak{k}_u + \mathfrak{m}_u$$

as vector spaces. Thus  $\mathfrak{m}$  and  $\mathfrak{m}_u$  are identified with  $T_oM$  and  $T_o\bar{M}$ , respectively. Then  $H_o = -\sqrt{-1}Z$  is the unique element of the center of  $\mathfrak{k}_u$  such that  $\text{ad}(H_o)|_{\mathfrak{m}_u}$  gives the complex structure tensor  $J_o$  of  $\bar{M}$  at  $o$ . Denote by  $(\cdot, \cdot)$  the Killing form of  $\bar{\mathfrak{g}}$ , and define a  $\mathfrak{g}_u$ -invariant inner product  $\langle \cdot, \cdot \rangle$  on  $\mathfrak{g}_u$  by

$$\langle X, Y \rangle = -(X, Y) \quad \text{for } X, Y \in \mathfrak{g}_u.$$

The  $K$ -invariant (resp.  $G_u$ -invariant) Riemannian metric on  $M$  (resp. on  $\bar{M}$ ) which extends  $\langle \cdot, \cdot \rangle|_{\mathfrak{m} \times \mathfrak{m}}$  (resp.  $\langle \cdot, \cdot \rangle|_{\mathfrak{m}_u \times \mathfrak{m}_u}$ ) is denoted by  $g$  (resp. by  $\bar{g}$ ), and called the *canonical Riemannian metric* on  $M$  (resp. on  $\bar{M}$ ). Then

(i)  $(M, g)$  (resp.  $(\bar{M}, \bar{g})$ ) is a compact symmetric space (resp. a Hermitian symmetric space of compact type) such that the identity component  $I^o(M, g)$  (resp.  $I^o(\bar{M}, \bar{g})$ ) of the group of all isometries of  $(M, g)$  (resp. of  $(\bar{M}, \bar{g})$ ) is identified with  $K$  (resp. with  $G_u$ ), and the canonical imbedding  $f: (M, g) \rightarrow (\bar{M}, \bar{g})$  is isometric.

Moreover  $\sigma$  is an isometry of  $(\bar{M}, \bar{g})$ , and hence  $M$  is a real form of  $(\bar{M}, \bar{g})$ . Thus, by Lemma 1.1.

(ii)  $M$  is a totally real totally geodesic submanifold of  $(\bar{M}, \bar{g})$  with  $\dim M = \dim_c \bar{M}$ .

REMARK 1. If  $\mathfrak{g}$  is simple, the Riemannian metrics  $g$  and  $\bar{g}$  satisfying (i) and (ii) are unique up to homothety. In this case, the symmetric  $R$ -space  $M$  or  $(M, g)$  is said to be *irreducible*.

REMARK 2. Let  $\bar{M}^*$  be the symmetric bounded domain dual to  $\bar{M}$  which is imbedded into  $\bar{M}$  as an open submanifold of  $\bar{M}$  by means of Harish-Chandra imbedding. It can be shown (Takeuchi [12]) that then  $M^* = \bar{M}^* \cap M$  is a non-compact symmetric space dual to  $M$  and it is a real form of  $\bar{M}^*$ .

Two positive definite symmetric graded Lie algebras  $(\mathfrak{g}, \tau)$  and  $(\mathfrak{g}', \tau')$  are said to be *isomorphic* if there exists a Lie isomorphism  $\phi: \mathfrak{g} \rightarrow \mathfrak{g}'$  such that  $\phi \mathfrak{g}_p = \mathfrak{g}'_p$  ( $p = -1, 0, 1$ ) and  $\phi \circ \tau = \tau' \circ \phi$ . Let  $\mathcal{S}$  denote the set of all isomorphism classes of positive definite symmetric graded Lie algebras. The set  $\mathcal{S}$  was completely determined (Kobayashi-Nagano [5], Takeuchi [12]). Next we consider a pair  $((\bar{M}, \bar{g}), M)$  of a connected Hermitian symmetric space  $(\bar{M}, \bar{g})$  of compact type and a compact connected totally real totally geodesic submanifold  $M$  of  $(\bar{M}, \bar{g})$  with  $\dim M = \dim_c \bar{M}$ . Such a pair is called a *TRG-pair*. For a finite number of TRG-pairs  $((\bar{M}_i, \bar{g}_i), M_i)$ ,  $1 \leq i \leq s$ , the *direct product*  $((\bar{M}, \bar{g}), M) = ((\bar{M}_1, \bar{g}_1), M_1) \times \cdots \times ((\bar{M}_s, \bar{g}_s), M_s)$ , which is also a TRG-pair, is defined by  $\bar{M} = \bar{M}_1 \times \cdots \times \bar{M}_s$ ,  $\bar{g} = \bar{g}_1 \times \cdots \times \bar{g}_s$  and  $M = M_1 \times \cdots \times M_s$ . Two TRG-pairs  $((\bar{M}, \bar{g}), M)$  and  $((\bar{M}', \bar{g}'), M')$  are said to be *equivalent* if there exist direct product decompositions  $((\bar{M}, \bar{g}), M) = ((\bar{M}_1, \bar{g}_1), M_1) \times \cdots \times ((\bar{M}_s, \bar{g}_s), M_s)$  and  $((\bar{M}', \bar{g}'), M') =$

$((\bar{M}'_1, \bar{g}'_1), M'_1) \times \cdots \times ((\bar{M}'_s, \bar{g}'_s), M'_s)$  with  $s = s'$  and homothetic biholomorphic maps  $\phi_i: (\bar{M}_i, \bar{g}_i) \rightarrow (\bar{M}'_i, \bar{g}'_i)$ ,  $1 \leq i \leq s$ , such that the product map  $\phi = \phi_1 \times \cdots \times \phi_s: \bar{M} \rightarrow \bar{M}'$  satisfies  $\phi(M) = M'$ . Let  $\mathcal{S}$  denote the set of all equivalence classes of TRG-pairs.

**THEOREM 1.2.** *Our correspondence  $(g, \tau) \mapsto ((\bar{M}, \bar{g}), M)$  induces a bijection  $\Phi: \mathcal{S} \rightarrow \mathcal{T}$ .*

**PROOF.** It follows from definition that our correspondence induces a map  $\Phi: \mathcal{S} \rightarrow \mathcal{T}$ . Conversely, for any TRG-pair  $((\bar{M}, \bar{g}), M)$  we shall associate canonically a positive definite symmetric graded Lie algebra  $(g, \tau)$ . Let  $\bar{G} = \text{Aut}^0(\bar{M})$  which is a connected complex semi-simple Lie group with the trivial center, and let  $G_u = I^0(\bar{M}, \bar{g})$  which is a subgroup of  $\bar{G}$  because  $(\bar{M}, \bar{g})$  is a compact Kähler manifold (cf. Kobayashi [4]). Let  $J$  denote the complex structure tensor of  $\bar{M}$ . We identify  $\bar{g} = \text{Lie } \bar{G}$  (resp.  $\mathfrak{g}_u = \text{Lie } G_u$ ) with the Lie algebra of all smooth vector fields  $X$  on  $\bar{M}$  such that the Lie derivative of  $J$  with respect to  $X$  vanishes (resp. of all Killing vector fields on  $(\bar{M}, \bar{g})$ ) with Lie product  $[X, Y] = YX - XY$ . Then by Matsushima's theorem on compact Kähler Einstein manifolds we have

$$(1.1) \quad \bar{g} = \mathfrak{g}_u + J\mathfrak{g}_u, \quad \mathfrak{g}_u \cap J\mathfrak{g}_u = 0.$$

Let  $\mathfrak{g}(M)$  be the real subalgebra of  $\bar{g}$  consisting of all  $X \in \bar{g}$  such that the restriction  $X|_M$  is tangent to  $M$ , and  $\mathfrak{k}(M)$  the Lie algebra of all Killing vector fields on  $M$  with respect to the Riemannian metric  $g$  induced from  $\bar{g}$ . We put

$$\mathfrak{k} = \mathfrak{g}(M) \cap \mathfrak{g}_u, \quad \mathfrak{p} = \mathfrak{g}(M) \cap J\mathfrak{g}_u,$$

and

$$(1.2) \quad \mathfrak{g} = \mathfrak{k} + \mathfrak{p}.$$

Then  $[\mathfrak{k}, \mathfrak{p}] \subset \mathfrak{p}$  and  $[\mathfrak{p}, \mathfrak{p}] \subset \mathfrak{k}$ , and hence  $\mathfrak{g}$  is a real subalgebra of  $\bar{g}$ . We need here the following:

**LEMMA 1.3.** (1) *The map  $\mathfrak{k} \rightarrow \mathfrak{k}(M)$  defined by  $X \mapsto X|_M$  ( $X \in \mathfrak{k}$ ) is a Lie isomorphism.*

(2) *We have*

$$(1.3) \quad \mathfrak{g}_u = \mathfrak{k} + J\mathfrak{p}, \quad \mathfrak{k} \cap J\mathfrak{p} = 0.$$

Now, it follows from (1.1), (1.2) and (1.3) that  $\mathfrak{g}$  is a real form of  $\bar{g}$ . Let  $\sigma$  and  $\tau$  denote the complex conjugation of  $\bar{g}$  with respect to  $\mathfrak{g}$  and  $\mathfrak{g}_u$ , respectively. Then

$$(1.4) \quad \sigma JX = -J\sigma X \quad \text{for } X \in \bar{g},$$

$$(1.5) \quad \sigma \mathfrak{g}_u = \mathfrak{g}_u.$$

We fix a point  $o \in M$  and put

$$K_u = \{a \in G_u; a(o) = o\},$$

which is known to be connected. (See Helgason [2] for fundamental results on symmetric spaces.) Then  $\bar{M} = G_u/K_u$  as smooth manifold. Let  $\mathfrak{k}_u = \text{Lie } K_u$  and  $\mathfrak{g}_u = \mathfrak{k}_u + \mathfrak{m}_u$  be the associated Cartan decomposition. Let  $H_0$  be the unique element of the center of  $\mathfrak{k}_u$  such that  $J_o = \text{ad}(H_0)|_{\mathfrak{m}_u}$ . Putting  $Z = JH_0 \in \bar{\mathfrak{g}}$ , we define

$$\begin{aligned} \bar{\mathfrak{g}}_p &= \{X \in \bar{\mathfrak{g}}; [Z, X] = pX\} \quad (p = -1, 0, 1), \\ \bar{\mathfrak{u}} &= \bar{\mathfrak{g}}_0 + \bar{\mathfrak{g}}_1, \\ \bar{U} &= \{a \in \bar{G}; \text{Ad}(a)\bar{\mathfrak{u}} = \bar{\mathfrak{u}}\}. \end{aligned}$$

Then  $\text{Lie } \bar{U} = \bar{\mathfrak{u}}$ ,  $\bar{\mathfrak{g}} = \bar{\mathfrak{g}}_{-1} + \bar{\mathfrak{g}}_0 + \bar{\mathfrak{g}}_1$  and  $\bar{M} = \bar{G}/\bar{U}$  as complex manifold. Note here that  $\bar{\mathfrak{g}}_0$  acts on  $\bar{\mathfrak{g}}_{-1}$  effectively. We define an involutive automorphism  $\theta$  of  $\bar{G}$  by

$$\theta(a) = \exp(\pi JZ)a(\exp(\pi JZ))^{-1} \quad \text{for } a \in \bar{G}.$$

Then  $\theta(G_u) = G_u$  and hence the differential of  $\theta$ , denoted also by  $\theta$ , satisfies  $\theta\mathfrak{g}_u = \mathfrak{g}_u$ . Moreover we have

$$(1.6) \quad \mathfrak{k}_u = \{X \in \mathfrak{g}_u; \theta X = X\},$$

$$(1.7) \quad \mathfrak{m}_u = \{X \in \mathfrak{g}_u; \theta X = -X\}.$$

A diffeomorphism  $\theta$  of  $\bar{M} = G_u/K_u$  is defined by the correspondence  $a \cdot o \mapsto \theta(a) \cdot o (a \in G_u)$  because  $K_u$  is connected. It is the symmetry of  $(\bar{M}, \bar{g})$  at  $o$ . Since  $M$  is totally geodesic in  $(\bar{M}, \bar{g})$  we have  $\theta(M) = M$ , and hence  $\theta\mathfrak{g}(M) = \mathfrak{g}(M)$ . Therefore we have  $\theta\mathfrak{k} = \mathfrak{k}$  and  $\theta\mathfrak{p} = \mathfrak{p}$ , and hence  $\theta\mathfrak{g} = \mathfrak{g}$ . Thus (1.5), (1.6) and (1.7) imply

$$(1.8) \quad \sigma\mathfrak{k}_u = \mathfrak{k}_u,$$

$$(1.9) \quad \sigma\mathfrak{m}_u = \mathfrak{m}_u.$$

Now it follows from (1.4) and (1.9) that  $\sigma J_o = -J_o\sigma$  on  $\mathfrak{m}_u = T_o(\bar{M})$ , and thus  $[\sigma H_o, \sigma X] = -J_o\sigma X$  for each  $X \in \mathfrak{m}_u$ , where  $\sigma H_o$  is an element of the center of  $\mathfrak{k}_u$  by (1.8). Therefore the uniqueness of  $H_o$  implies that  $\sigma H_o = -H_o$ , and so  $\sigma Z = Z$ , that is,  $Z \in \mathfrak{g}$ . Thus, putting  $\mathfrak{g}_p = \bar{\mathfrak{g}}_p \cap \mathfrak{g}$  ( $p = -1, 0, 1$ ) we get  $\mathfrak{g} = \mathfrak{g}_{-1} + \mathfrak{g}_0 + \mathfrak{g}_1$ . Moreover  $\tau$  restricted to  $\mathfrak{g}$  is a Cartan involution with  $\tau Z = -Z$ , and thus  $\tau\mathfrak{g}_p = \mathfrak{g}_{-p}$  ( $p = -1, 0, 1$ ). The effectiveness of  $\mathfrak{g}_0$  on  $\mathfrak{g}_{-1}$  follows from that of  $\bar{\mathfrak{g}}_0$  on  $\bar{\mathfrak{g}}_{-1}$ . Therefore  $(\mathfrak{g}, \tau)$  is a positive definite symmetric graded Lie algebra.

Next we shall show that our correspondence  $((\bar{M}, \bar{g}), M) \mapsto (\mathfrak{g}, \tau)$  induces a map  $\mathcal{P}: \mathcal{F} \rightarrow \mathcal{S}$ . Let  $((\bar{M}, \bar{g}), M)$  and  $((\bar{M}', \bar{g}'), M')$  be equivalent.

Various objects for  $((\bar{M}', \bar{g}'), M')$  will be denoted by the same notation as  $((\bar{M}, \bar{g}), M)$  but with primes. Let  $\phi: \bar{M} \rightarrow \bar{M}'$  be an equivalence. Then, since both  $\phi(o)$  and  $o'$  are on  $M'$ , by Lemma 1.3, (1) there exists  $\phi' \in I^0(\bar{M}', \bar{g}')$  such that  $\phi'(M') = M'$  and  $\phi'(\phi(o)) = o'$ . Therefore we may assume that  $\phi(o) = o'$ . Then the correspondence  $a \mapsto \phi \circ a \circ \phi^{-1} (a \in \bar{G})$  defines an isomorphism  $\phi: \bar{G} \rightarrow \bar{G}'$  such that the differential  $\phi: \bar{g} \rightarrow \bar{g}'$  is a Lie isomorphism with  $\phi \circ J = J' \circ \phi$ ,  $\phi g_u = g'_u$ ,  $\phi g(M) = g(M')$  and  $\phi Z = Z'$ . Thus we get  $\phi g = g'$  and  $\phi \mathfrak{k} = \mathfrak{k}'$ . Therefore  $\phi$  gives an isomorphism  $(g, \tau) \rightarrow (g', \tau')$ , and so  $(g, \tau)$  is isomorphic to  $(g', \tau')$ .

Now we have  $\Psi \circ \Phi = I_{\mathcal{G}}$  by definitions, and  $\Phi \circ \Psi = I_{\mathcal{G}}$  by Remark 1, where  $I$  indicates the identity map. Thus our map  $\Phi$  is a bijection.

q.e.d.

PROOF OF LEMMA 1.3. (1) Since  $(M, g)$  is a compact connected symmetric space,  $I^0(M, g)$  is generated by symmetries. Thus the map  $\mathfrak{k} \rightarrow \mathfrak{k}(M)$  is surjective, because  $M$  is totally geodesic in  $(\bar{M}, \bar{g})$ . So it suffices to show

$$(1.10) \quad X \in \mathfrak{k}, X|_M = 0 \Rightarrow X = 0.$$

We fix a point  $p \in M$  and define an endomorphism  $\tilde{X}_p$  of  $T_p \bar{M}$  by

$$\tilde{X}_p(y) = \bar{\nabla}_y X \quad \text{for } y \in T_p \bar{M},$$

where  $\bar{\nabla}$  is the Riemannian connection of  $(\bar{M}, \bar{g})$ . It suffices to show  $\tilde{X}_p = 0$  since  $X$  is a Killing vector field on  $(\bar{M}, \bar{g})$ . For any  $y \in T_p M$  we have

$$\begin{aligned} \tilde{X}_p(y) &= \bar{\nabla}_y X = \nabla_y X = 0, \\ \tilde{X}_p(Jy) &= \bar{\nabla}_{Jy} X = J \bar{\nabla}_y X = 0, \end{aligned}$$

where  $\nabla$  is the Riemannian connection of  $(M, g)$ . Here we have used the facts that  $M$  is totally geodesic,  $X|_M = 0$  and  $X$  is a holomorphic vector field on the Kähler manifold  $(\bar{M}, \bar{g})$ . Now  $T_p \bar{M} = T_p M \oplus J T_p M$  implies  $\tilde{X}_p = 0$ .

(2) Let  $X \in \mathfrak{g}_u$  and decompose  $X|_M$  as  $X|_M = X^T + X^N$ , where  $X^T$  is tangent to  $M$  and  $X^N$  is normal to  $M$ . Then

$$0 = \langle \bar{\nabla}_y X, z \rangle + \langle \bar{\nabla}_z X, y \rangle = \langle \nabla_y X^T, z \rangle + \langle \nabla_z X^T, y \rangle$$

for any  $y, z \in T_p M, p \in M$ , and thus  $X^T \in \mathfrak{k}(M)$ . Now by (1) there is  $X' \in \mathfrak{k}$  such that  $X'|_M = X^T$ . Put  $X'' = X - X' \in \mathfrak{g}_u$ . Then  $X''|_M = X^N$  and  $(JX'')|_M = JX^N$  which is tangent to  $M$ . Therefore  $JX'' \in \mathfrak{g}(M) \cap J\mathfrak{g}_u = \mathfrak{p}$ , and hence  $X = X' + X'' \in \mathfrak{k} + J\mathfrak{p}$ . Thus we have shown that  $\mathfrak{g}_u \subset \mathfrak{k} + J\mathfrak{p}$  and so  $\mathfrak{g}_u = \mathfrak{k} + J\mathfrak{p}$ . On the other hand, any  $X \in \mathfrak{k} \cap J\mathfrak{p}$  satisfies  $X|_M = 0$ , and hence  $X = 0$  by (1.10). This shows  $\mathfrak{k} \cap J\mathfrak{p} = 0$ . q.e.d.

REMARK 3. Actually the subalgebra  $\mathfrak{g}(M)$  of  $\bar{\mathfrak{g}}$  in Theorem 1.2 coincides with  $\mathfrak{g}$ . In fact, for each point  $p$  of a symmetric  $R$ -space  $M \subset \bar{M}$  there exists a holomorphic coordinate  $(z^\alpha)$  of  $\bar{M}$  around  $p$  such that  $M$  is given by  $\text{Im } z^\alpha = 0$  around  $p$ . Therefore we get

$$X \in \bar{\mathfrak{g}}, X|_M = 0 \implies X = 0,$$

which implies  $\mathfrak{g}(M) \cap J\mathfrak{g}(M) = 0$  and so  $\mathfrak{g}(M) = \mathfrak{g}$ .

REMARK 4. For any connected Hermitian symmetric space  $(\bar{M}, \bar{\mathfrak{g}})$  of compact type, there exists at least one involutive anti-holomorphic isometry of  $(\bar{M}, \bar{\mathfrak{g}})$  (Satake [10]).

**2. First eigenvalues of symmetric  $R$ -spaces.** In this section we shall compute the first eigenvalue of the Laplacian on smooth functions of an irreducible symmetric  $R$ -space.

Let  $(\mathfrak{g}, \tau)$  be a positive definite symmetric graded Lie algebra and  $M = G/U = K/K_0$  be the symmetric  $R$ -space associated to  $(\mathfrak{g}, \tau)$ . We use the same notation as in §1.

LEMMA 2.1. *Let  $C_{\mathfrak{p}}$  be the Casimir operator on the  $\mathfrak{k}$ -module  $\mathfrak{p}$  relative to the  $\mathfrak{k}$ -invariant inner product  $\langle \cdot, \cdot \rangle$  on  $\mathfrak{k}$ . Then  $C_{\mathfrak{p}} = (1/2)I_{\mathfrak{p}}$ .*

PROOF. Let  $\{E_\alpha\}$  be an orthonormal basis for  $\mathfrak{k}$  with respect to  $\langle \cdot, \cdot \rangle$ . Then, by definition

$$C_{\mathfrak{p}} = - \sum_{\alpha} (\text{ad}(E_\alpha)|_{\mathfrak{p}})^2.$$

For each  $X \in \mathfrak{p}$  we have

$$\begin{aligned} -([E_\alpha, [E_\alpha, X]], X) &= ([E_\alpha, X], [E_\alpha, X]) \\ &= (E_\alpha, [X, [E_\alpha, X]]) = -(E_\alpha, [X, [X, E_\alpha]]) \\ &= -(\text{ad}(X)^2 E_\alpha, E_\alpha) = \langle \text{ad}(X)^2 E_\alpha, E_\alpha \rangle. \end{aligned}$$

Therefore  $(C_{\mathfrak{p}} X, X) = \text{Tr}(\text{ad}(X)^2|_{\mathfrak{k}})$ . On the other hand, from  $\text{ad}(X)\mathfrak{k} \subset \mathfrak{p}$ ,  $\text{ad}(X)\mathfrak{p} \subset \mathfrak{k}$  we get  $(X, X) = \text{Tr}(\text{ad}(X)^2) = 2\text{Tr}(\text{ad}(X)^2|_{\mathfrak{k}})$ . Thus we obtain  $(C_{\mathfrak{p}} X, X) = (X, X)/2$  for each  $X \in \mathfrak{p}$ , and hence

$$(C_{\mathfrak{p}} X, Y) = (X, Y)/2 \quad \text{for any } X, Y \in \mathfrak{p}.$$

This implies the assertion.

q.e.d.

Let  $\mathfrak{h}^- \subset \mathfrak{p}$  be a maximal abelian subalgebra in  $\mathfrak{p}$  with  $Z \in \mathfrak{h}^-$  and take an abelian subalgebra  $\mathfrak{h}^+$  of  $\mathfrak{k}$  such that  $\mathfrak{h} = \mathfrak{h}^+ + \mathfrak{h}^-$  is a Cartan subalgebra of  $\mathfrak{g}$ . Then the complexification  $\bar{\mathfrak{h}}$  of  $\mathfrak{h}$  is a Cartan subalgebra of  $\bar{\mathfrak{g}}$ , whose real part  $\mathfrak{h}_R$  is given by  $\mathfrak{h}_R = \sqrt{-1}\mathfrak{h}^+ + \mathfrak{h}^-$ . Let  $\bar{\Sigma} \subset \mathfrak{h}_R$  be the root system of  $\bar{\mathfrak{g}}$  relative to  $\bar{\mathfrak{h}}$  and put

$$\bar{\Sigma}_0 = \{ \alpha \in \bar{\Sigma}; (\alpha, Z) = 0 \} .$$

Choose a  $\sigma$ -order on  $\mathfrak{h}_R$  in the sense of Satake [9] such that  $(\alpha, Z) \geq 0$  for each  $\alpha$  in  $\bar{\Sigma}^+$ , the set of positive roots. Then we have

$$\bar{\Sigma}^+ - \bar{\Sigma}_0 = \{ \alpha \in \bar{\Sigma}; (\alpha, Z) = 1 \} .$$

In what follows in this section we assume that  $\mathfrak{g}$  is simple. Then the followings are known (Takeuchi [12]):

There exists a maximal system  $\{ \gamma_1, \dots, \gamma_s \}$ ,  $s = \text{rank}(\bar{M}, \bar{g})$ , of strongly orthogonal roots in  $\bar{\Sigma}^+ - \bar{\Sigma}_0$  with the same length such that  $\sigma\{ \gamma_1, \dots, \gamma_s \} = \{ \gamma_1, \dots, \gamma_s \}$ . Moreover, if  $r = \text{rank}(M, g)$ , we have

- (a)  $r = s$ ,  $\sigma\gamma_i = \gamma_i$  ( $1 \leq i \leq r$ ); or
- (b)  $2r = s$ ,  $\sigma\gamma_i = \gamma_{r+i}$  ( $1 \leq i \leq r$ ), changing indices of  $\gamma_j$ 's if necessary.

We define  $\beta_i \in \mathfrak{h}^-$  ( $1 \leq i \leq r$ ) by

$$\beta_i = \begin{cases} \gamma_i & \text{if } r = s , \\ (1/2)(\gamma_i + \sigma\gamma_i) & \text{if } 2r = s . \end{cases}$$

Then

$$(2.1) \quad (\beta_i, \beta_i) = \begin{cases} (\gamma_i, \gamma_i) & \text{if } r = s , \\ (\gamma_i, \gamma_i)/2 & \text{if } 2r = s . \end{cases}$$

Let  $\alpha^- = \{ \beta_1, \dots, \beta_r \}_R$  be the  $R$ -span of  $\{ \beta_1, \dots, \beta_r \}$ , and  $\pi_{\alpha^-}: \mathfrak{h}_R \rightarrow \alpha^-$  denote the orthogonal projection with respect to  $(,)$ . By Satake [10] (cf. also Moore [7]) we have then

$$(2.2) \quad \pi_{\alpha^-}(\bar{\Sigma}) - \{0\} = \{ \pm(1/2)(\beta_i \pm \beta_j) \ (1 \leq i < j \leq r), \pm\beta_i \ (1 \leq i \leq r) \} , \\ \text{or } \{ \pm(1/2)(\beta_i \pm \beta_j) \ (1 \leq i < j \leq r), \pm\beta_i, \pm(1/2)\beta_i \ (1 \leq i \leq r) \} .$$

We may choose (cf. Takeuchi [12]) root vectors  $X_\alpha \in \bar{\mathfrak{g}}$  ( $\alpha \in \bar{\Sigma}$ ) in such a way that

$$[X_\alpha, X_{-\alpha}] = -\frac{2}{(\alpha, \alpha)}\alpha , \quad \tau X_\alpha = X_{-\alpha} , \quad \sigma X_\alpha = X_{\sigma\alpha} .$$

We put  $U_{\gamma_j} = X_{\gamma_j} + X_{-\gamma_j} \in \mathfrak{m}_u$  ( $1 \leq j \leq s$ ) and define  $S_i \in \mathfrak{m}$  ( $1 \leq i \leq r$ ) by

$$S_i = \begin{cases} U_{\gamma_i} & \text{if } r = s , \\ U_{\gamma_i} + U_{\sigma\gamma_i} & \text{if } 2r = s , \end{cases}$$

whose length with respect to  $\langle , \rangle$  are the same. Then  $t^- = \{ S_1, \dots, S_r \}_R$  is a maximal abelian subalgebra in  $\mathfrak{m}$ . We define elements  $V_i, V'_i$  ( $1 \leq i \leq r$ ) of  $\bar{\mathfrak{g}}$  by

$$V_i = \begin{cases} X_{\gamma_i} & \text{if } r = s , \\ X_{\gamma_i} + X_{\sigma\gamma_i} & \text{if } 2r = s , \end{cases}$$

$$V'_i = \begin{cases} \frac{1}{2} \left( X_{r_i} - X_{-r_i} + \frac{2\sqrt{-1}}{(\beta_i, \beta_i)} \beta_i \right) & \text{if } r = s, \\ \frac{1}{2} \left( X_{r_i} + X_{\sigma r_i} - X_{-r_i} - X_{-\sigma r_i} + \frac{2\sqrt{-1}}{(\beta_i, \beta_i)} \beta_i \right) & \text{if } 2r = s. \end{cases}$$

Note that the  $V'_i$ 's are non-zero elements of the complexification  $\bar{\mathfrak{p}}$  of  $\mathfrak{p}$ . Moreover we define  $c' \in G_u$  by

$$c' = \prod_{j=1}^s \exp \frac{\pi}{4\sqrt{-1}} (X_{r_j} - X_{-r_j}).$$

LEMMA 2.2. (1) For each  $i$  ( $1 \leq i \leq r$ ) we have

$$(2.3) \quad \text{Ad}(c') \left( \frac{2}{(\beta_i, \beta_i)} \beta_i \right) = \sqrt{-1} S_i,$$

$$(2.4) \quad \text{Ad}(c') V_i = V'_i.$$

(2) We have

$$[H, V_i] = (\beta_i, H) V_i \quad \text{for each } H \in \mathfrak{a}^-, 1 \leq i \leq r.$$

PROOF. (1) If we put

$$X_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad X_- = \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix}, \quad H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

then  $\{X_+, X_-, H\}$  is a basis for  $\mathfrak{sl}(2, \mathbb{C})$  with relations  $[X_+, X_-] = -H$ ,  $[H, X_\pm] = \pm 2X_\pm$ . On the other hand we have relations  $[X_{r_j}, X_{-r_j}] = -2(\gamma_j, \gamma_j)\gamma_j$ ,  $[(2/(\gamma_j, \gamma_j))\gamma_j, X_{\pm r_j}] = \pm 2X_{\pm r_j}$  ( $1 \leq j \leq s$ ). Thus the correspondence  $X_\pm \mapsto X_{\pm r_j}, H \mapsto (2/(\gamma_j, \gamma_j))\gamma_j$  defines an injective Lie homomorphism  $\mathfrak{sl}(2, \mathbb{C}) \rightarrow \bar{\mathfrak{g}}$  such that  $U \mapsto U_{r_j}$ , where  $U = X_+ + X_-$ . Since the element  $c'_0$  of  $SU(2)$  defined by

$$c'_0 = \exp \frac{\pi}{4\sqrt{-1}} (X_+ - X_-) = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -\sqrt{-1} \\ -\sqrt{-1} & 1 \end{pmatrix}$$

satisfies  $\text{Ad}(c'_0)H = \sqrt{-1}U$ ,  $\text{Ad}(c'_0)X_+ = (1/2)(X_+ - X_- + \sqrt{-1}H)$ , we get for each  $j$  ( $1 \leq j \leq s$ )

$$(2.3)' \quad \text{Ad}(c') \left( \frac{2}{(\gamma_j, \gamma_j)} \gamma_j \right) = \sqrt{-1} U_{r_j},$$

$$(2.4)' \quad \text{Ad}(c') X_{r_j} = \frac{1}{2} \left( X_{r_j} - X_{-r_j} + \frac{2\sqrt{-1}}{(\gamma_j, \gamma_j)} \gamma_j \right).$$

Thus we obtain (2.2), (2.3) in case  $r = s$ . In case  $2r = s$ , we have for each  $i$  ( $1 \leq i \leq r$ )

$$(2.3)'' \quad \text{Ad}(c')\left(\frac{2}{(\gamma_i, \gamma_i)}\sigma\gamma_i\right) = \sqrt{-1}U_{\sigma\gamma_i},$$

$$(2.4)'' \quad \text{Ad}(c')X_{\sigma\gamma_i} = \frac{1}{2}\left(X_{\sigma\gamma_i} - X_{-\sigma\gamma_i} + \frac{2\sqrt{-1}}{(\gamma_i, \gamma_i)}\sigma\gamma_i\right).$$

Adding (2.3)' and (2.3)'' (resp. (2.4)' and (2.4)') we get (2.3) (resp. (2.4)), by virtue of the equality

$$\frac{2}{(\gamma_i, \gamma_i)}\gamma_i + \frac{2}{(\gamma_i, \gamma_i)}\sigma\gamma_i = \frac{2}{(\beta_i, \beta_i)}\beta_i,$$

which follows from (2.1).

(2) This follows from a direct calculation. q.e.d.

The eigenvalues of the Laplacian  $\Delta$  with respect to the canonical Riemannian metric  $g$  acting on the space  $C^\infty(M)$  of smooth functions on  $M = K/K_0$  are obtained in the following way (cf. Takeuchi [13]).

Take an abelian subalgebra  $\mathfrak{t}^+$  of  $\mathfrak{k}_0$  such that  $\mathfrak{t} = \mathfrak{t}^+ + \mathfrak{t}^-$  is a maximal abelian subalgebra of  $\mathfrak{k}$ . The complexification  $\bar{\mathfrak{t}}$  of  $\mathfrak{t}$  is a Cartan subalgebra of the complexification  $\bar{\mathfrak{k}}$  of  $\mathfrak{k}$  and the real part  $\mathfrak{t}_R$  of  $\bar{\mathfrak{t}}$  is given by  $\mathfrak{t}_R = \sqrt{-1}\mathfrak{t}^+ + \sqrt{-1}\mathfrak{t}^-$ . Taking a basis  $\{H_{r+1}, \dots, H_t\}$  for  $\sqrt{-1}\mathfrak{t}^+$ , we define a lexicographic order  $>$  on  $\mathfrak{t}_R$  by the basis  $\{\sqrt{-1}S_1, \dots, \sqrt{-1}S_r, H_{r+1}, \dots, H_t\}$  for  $\mathfrak{t}_R$ . Let  $\Sigma \subset \sqrt{-1}\mathfrak{t}^-$  be the root system of the symmetric pair  $(\mathfrak{k}, \mathfrak{k}_0)$  and  $\Sigma^+$  the set of positive roots in  $\Sigma$  (with respect to  $>$ ). We set

$$\begin{aligned} \Gamma &= \{H \in \mathfrak{t}^-; \exp H \in K_0\}, \\ \Gamma^\perp &= \{\lambda \in \sqrt{-1}\mathfrak{t}^-; (\lambda, \Gamma) \subset 2\pi\sqrt{-1}\mathbf{Z}\}, \\ D &= \{\lambda \in \Gamma^\perp; (\lambda, \alpha) \geq 0 \text{ for each } \alpha \in \Sigma^+\}. \end{aligned}$$

Let  $\delta \in \sqrt{-1}\mathfrak{t}^-$  be the half-sum of all roots in  $\Sigma^+$  with multiplicity counted. Then the set  $\text{Spec}(M, g)$  of eigenvalues of  $\Delta$  is given by

$$(2.5) \quad \text{Spec}(M, g) = \{(2\delta + \lambda, \lambda); \lambda \in D\}.$$

Here the multiplicity of  $(2\delta + \lambda, \lambda)$  is equal to the dimension of the irreducible  $\bar{\mathfrak{k}}$ -module  $V_\lambda$  with the highest weight  $\lambda$ , and  $(2\delta + \lambda, \lambda)$  is nothing but the eigenvalue of the Casimir operator on  $V_\lambda$  relative to the inner product  $\langle, \rangle$ . In our case we have (Takeuchi [12])

$$\Gamma = \pi\{S_1, \dots, S_r\}_Z,$$

where  $\{S_1, \dots, S_r\}_Z$  denotes the subgroup of  $\mathfrak{t}^-$  generated by  $\{S_1, \dots, S_r\}$ . Thus, if we define  $h_i \in \sqrt{-1}\mathfrak{t}^- (1 \leq i \leq r)$  by  $(h_i, \sqrt{-1}S_j) = \delta_{ij}$ , then they have the same length with respect to  $(, )$  and

$$(2.6) \quad \Gamma^\perp = 2\{h_1, \dots, h_r\}_Z, \quad h_1 > \dots > h_r > 0.$$

LEMMA 2.3. *The highest weight  $\Lambda$  relative to  $\bar{t}$  of the  $\bar{\mathfrak{k}}$ -module  $\bar{\mathfrak{p}}$  is given by  $\Lambda = 2h_1$ .*

PROOF. Take an abelian subalgebra  $\mathfrak{s}$  in  $\mathfrak{p}$  such that  $\mathfrak{h}' = \mathfrak{t} + \mathfrak{s}$  is a Cartan subalgebra of  $\mathfrak{g}$ . Then the real part  $\mathfrak{h}'_R$  of the complexification  $\bar{\mathfrak{h}}'$  of  $\mathfrak{h}'$  is given by  $\mathfrak{h}'_R = \sqrt{-1}\mathfrak{t} + \mathfrak{s}$ . Let  $\bar{\Sigma}' \subset \mathfrak{h}'_R$  be the root system of  $\bar{\mathfrak{g}}$  relative to  $\bar{\mathfrak{h}}'$ . Let  $\pi_i: \mathfrak{h}'_R \rightarrow \sqrt{-1}\mathfrak{t}$  and  $\pi_{i-}: \mathfrak{h}'_R \rightarrow \sqrt{-1}\mathfrak{t}^-$  be orthogonal projections with respect to  $(\cdot, \cdot)$ .

Since  $\text{Ad}(c')\mathfrak{a}^- = \sqrt{-1}\mathfrak{t}^-$  by (2.3), both  $\text{Ad}(c')\bar{\mathfrak{h}}$  and  $\bar{\mathfrak{h}}'$  are Cartan subalgebras of the centralizer in  $\bar{\mathfrak{g}}$  of  $\mathfrak{t}^-$ . Thus there exists an element  $c''$  of the centralizer in  $\bar{G}$  of  $\mathfrak{t}^-$  such that  $\text{Ad}(c'')\text{Ad}(c')\bar{\mathfrak{h}} = \bar{\mathfrak{h}}'$ . Put  $c = c''c' \in \bar{G}$ . Then  $\text{Ad}(c)\bar{\mathfrak{h}} = \bar{\mathfrak{h}}'$ , and hence

$$(2.7) \quad \text{Ad}(c)\mathfrak{h}'_R = \mathfrak{h}'_R, \quad \text{Ad}(c)\bar{\Sigma}' = \bar{\Sigma}',$$

$$(2.8) \quad \pi_{i-} \circ \text{Ad}(c) = \text{Ad}(c) \circ \pi_{i-} \quad \text{on } \mathfrak{h}'_R.$$

Moreover, by (2.3) we have

$$(2.9) \quad \text{Ad}(c)((1/2)\beta_i) = h_i \quad (1 \leq i \leq r).$$

Next we show

$$(2.10) \quad \Lambda = \text{Max}\{\pi_{i-}(\alpha); \alpha \in \bar{\Sigma}', \exists V \in \bar{\mathfrak{p}} - \{0\} \text{ with } [H, V] = (\alpha, H)V \text{ for each } H \in \sqrt{-1}\mathfrak{t}^-\}.$$

In fact, the set of weights relative to  $\bar{t}$  of the  $\bar{\mathfrak{k}}$ -module  $\bar{\mathfrak{p}}$  coincides with the set of  $\pi_i(\alpha)$  such that  $\alpha \in \bar{\Sigma}' \cup \{0\}$  and that there exists  $V \in \bar{\mathfrak{p}} - \{0\}$  with  $[H, V] = (\alpha, H)V$  for each  $H \in \mathfrak{t}_R$ . Since  $\bar{\mathfrak{p}}$  is  $K$ -isomorphic with a  $K$ -submodule of  $C^\infty(M)$ , we have  $\Lambda \in \sqrt{-1}\mathfrak{t}^-$  (cf. Takeuchi [13]). On the other hand, from the definition of the order  $>$  on  $\mathfrak{t}_R$  we have

$$\mu, \mu' \in \mathfrak{t}_R, \quad \pi_{i-}(\mu) > \pi_{i-}(\mu') \Rightarrow \mu > \mu'.$$

These imply the assertion (2.10). Finally we show that

$$(2.11) \quad [H', V'_i] = (2h_i, H')V'_i \quad \text{for each } H' \in \sqrt{-1}\mathfrak{t}^-, \quad 1 \leq i \leq r.$$

Put  $H = \text{Ad}(c)^{-1}H' \in \mathfrak{a}^-$ , so  $\text{Ad}(c')H = \text{Ad}(c)H$ . Applying  $\text{Ad}(c')$  to the equality in Lemma 2.2, (2) we get

$$[\text{Ad}(c)H, \text{Ad}(c')V_i] = (\beta_i, H)\text{Ad}(c')V_i,$$

and hence by (2.4), (2.9)

$$[H', V'_i] = (\beta_i, \text{Ad}(c)^{-1}H')V'_i = (2h_i, H')V'_i.$$

Now, by (2.7), (2.8), (2.9) and (2.2) we have

$$\pi_i(\bar{\Sigma}') - \{0\} = \{\pm(h_i \pm h_j) \ (1 \leq i < j \leq r), \pm 2h_i \ (1 \leq i \leq r)\}, \text{ or}$$

$$\{\pm(h_i \pm h_j) \ (1 \leq i < j \leq r), \pm 2h_i, \pm h_i \ (1 \leq i \leq r)\},$$

and thus  $A = 2h_1$  by (2.10) and (2.11). q.e.d.

It is known (Takeuchi [12], [15]) that irreducible symmetric  $R$ -spaces are divided into the following five classes.

(I) Hermitian type

$$2r = s, \bar{\Sigma} \text{ is reducible, } \pi_1(M) = 0.$$

$$\Sigma = \{\pm(h_i \pm h_j)(1 \leq i < j \leq r), \pm 2h_i(1 \leq i \leq r)\}, \text{ or}$$

$$\{\pm(h_i \pm h_j) \ (1 \leq i < j \leq r), \pm 2h_i, \pm h_i \ (1 \leq i \leq r)\}.$$

(II) type  $Sp(r)$

$$2r = s, \bar{\Sigma} \text{ is irreducible, } \pi_1(M) = 0.$$

$\Sigma$  is the same as (I).

(III) type  $SO(2r + 1)$

$$r = s, \bar{\Sigma} \text{ is irreducible, } \pi_1(M) = \mathbf{Z}_2.$$

$$\Sigma = \{\pm(h_i \pm h_j)(1 \leq i < j \leq r), \pm h_i(1 \leq i \leq r)\}.$$

(IV) type  $SO(2r)$

$$r = s \geq 2, \bar{\Sigma} \text{ is irreducible, } \pi_1(M) = \mathbf{Z}_2.$$

$$\Sigma = \{\pm(h_i \pm h_j) \ (1 \leq i < j \leq r)\}.$$

(V) type  $U(r)$

$$r = s, \bar{\Sigma} \text{ is irreducible, } \pi_1(M) = \mathbf{Z}.$$

$$\Sigma = \{\pm(h_i - h_j) \ (1 \leq i < j \leq r)\}.$$

REMARK 1. If  $M$  is of Hermitian type, then  $(M, g)$  is an irreducible Hermitian symmetric space of compact type and the canonical imbedding  $f$  is given as follows. Let  $M^*$  be the complex manifold which is the same as  $M$  as smooth manifold, but with the complex structure such that the identity map  $M \rightarrow M^*$ , denoted by  $p \mapsto p^*$ , is anti-holomorphic. We put  $\bar{M} = M \times M^*$  and  $\bar{g} = (1/2)(g \times g)$ . Then the map  $f: (M, g) \rightarrow (\bar{M}, \bar{g})$  defined by  $f(p) = p \times p^* (p \in M)$  is the canonical imbedding.

THEOREM 2.4. *Let  $(M, g)$  be an irreducible symmetric  $R$ -space with the canonical Riemannian metric  $g$ . Let  $\lambda_1$  be the least positive eigenvalue of the Laplacian  $\Delta$  on  $C^\infty(M)$ . Suppose that the fundamental group  $\pi_1(M)$  of  $M$  is finite and  $g$  is an Einstein metric. Then  $\lambda_1 = 1/2$  with the multiplicity equal to  $\dim \mathfrak{p}$ .*

PROOF. From the classification of irreducible symmetric  $R$ -spaces

(cf. §3) we know that the only non-Einstein irreducible symmetric  $R$ -spaces  $M$  with finite  $\pi_1(M)$  are

$$M = Q_{p,q}(\mathbf{R}) = \{[x] \in P_{p+q-1}(\mathbf{R}); x_1^2 + \cdots + x_p^2 - x_{p+1}^2 - \cdots - x_{p+q}^2 = 0\},$$

$$3 \leq p < q,$$

where  $[x]$  denotes the line of  $\mathbf{R}^{p+q}$  through  $x = (x_i) \in \mathbf{R}^{p+q} - \{0\}$ . They are characterized by the property that  $M$  is of type  $SO(4)$  and the multiplicities of roots  $h_1 + h_2$  and  $h_1 - h_2$  are different.

We introduce a new inner product  $((, ))$  on  $\mathfrak{t}_R$  with  $((h_i, h_j)) = \delta_{ij}$  by

$$((H, H')) = \frac{1}{(h_1, h_1)}(H, H') \quad \text{for } H, H' \in \mathfrak{t}_R.$$

We shall show that  $A = 2h_1$  is the unique element of  $D - \{0\}$  such that

$$((2\delta + A, A)) = \text{Min}\{((2\delta + \lambda, \lambda)); \lambda \in D - \{0\}\}.$$

If  $M$  is of Hermitian type, we have

$$\Sigma^+ = \{h_i \pm h_j \ (1 \leq i < j \leq r), 2h_i \ (1 \leq i \leq r)\}, \quad \text{or}$$

$$\{h_i \pm h_j \ (1 \leq i < j \leq r), 2h_i, h_i \ (1 \leq i \leq r)\},$$

and hence by (2.6)

$$D = \{\lambda = 2(m_1 h_1 + \cdots + m_r h_r); m_i \in \mathbf{Z}, m_1 \geq \cdots \geq m_r \geq 0\}.$$

Since the Weyl group  $W$  of  $\Sigma$  consists of transformations  $h_i \mapsto \varepsilon_i h_{s(i)}$ ,  $\varepsilon_i = \pm 1$ ,  $s \in \mathfrak{S}_r$ , and leaves the multiplicities of roots invariant,  $2\delta$  is of the form

$$2\delta = n_1 h_1 + \cdots + n_r h_r, \quad n_i \in \mathbf{Z}, n_1 > \cdots > n_r > 0.$$

Thus, for  $\lambda \in D - \{0\}$  as above, we have

$$\begin{aligned} ((2\delta + \lambda, \lambda)) &= ((2\delta, \lambda)) + ((\lambda, \lambda)) \\ &= 2\Sigma n_i m_i + 4\Sigma m_i^2 \\ &\geq 2n_1 + 4 = ((2\delta + 2h_1, 2h_1)). \end{aligned}$$

If  $\lambda \neq 2h_1$ , then  $((2\delta, \lambda)) \geq 2n_1$ ,  $((\lambda, \lambda)) > 4$  and so  $((2\delta + \lambda, \lambda)) > 2n_1 + 4$ . Thus  $A = 2h_1$  has the required property. In the same way we can show the assertion for a space  $M$  of type  $Sp(r)$  or of type  $SO(2r+1)$ . If  $M$  is of type  $SO(2r)$ , we have

$$\Sigma^+ = \{h_i \pm h_j \ (1 \leq i < j \leq r)\},$$

and hence

$$D = \{\lambda = 2(m_1 h_1 + \cdots + m_r h_r); m_i \in \mathbf{Z}, m_1 \geq \cdots \geq m_{r-1} \geq |m_r|\}.$$

The Weyl group  $W$  consists of transformations  $h_i \mapsto \varepsilon_i h_{s(i)}$ ,  $\varepsilon_i = \pm 1$ ,  $\prod \varepsilon_i =$

$1, s \in \mathfrak{S}_r$ . Moreover the multiplicities of  $h_1 + h_2$  and  $h_1 - h_2$  are the same if  $r = 2$ . Therefore  $2\delta$  is of the form

$$2\delta = n_1 h_1 + \dots + n_r h_r, \quad n_i \in \mathbf{Z}, n_1 > \dots > n_{r-1} > n_r = 0.$$

For  $\lambda \in D - \{0\}$  as above, we have

$$((2\delta + \lambda, \lambda)) = 2\sum n_i m_i + 4\sum m_i^2.$$

Theorefore the assertion for  $M$  of type  $SO(2r)$  follows in the same way as above. Thus the assertion is proved for each  $(M, g)$  in consideration.

Now, since  $\pi_1(M)$  is finite,  $K$  is semi-simple, and hence the  $\mathfrak{k}$ -module  $\mathfrak{p}$  is irreducible. Thus Lemmas 2.1 and 2.3 imply that  $(2\delta + A, A) = 1/2$ . The theorem follows from this and (2.5). q.e.d.

REMARK 2. The first eigenvalues  $\lambda_1$  for the other irreducible symmetric  $R$ -spaces are calculated in the same way as follows.

(i)  $M = Q_{p,q}(R)$  ( $3 \leq p < q$ ),  $\pi_1(M) = \mathbf{Z}_2$ .

$$\lambda_1 = \begin{cases} 1/2 & \text{with multiplicity} = p(p+1) = \dim \mathfrak{p} & \text{if } q = p+1, \\ 1/2 & \text{with multiplicity} = (p+2)(3p-1)/2 & \text{if } q = p+2, \\ p/(p+q-2) (< 1/2) & \text{with multiplicity} = (p+2)(p-1)/2 & \text{if } q \geq p+3. \end{cases}$$

(ii)  $M$  is of type  $U(r)$ ,  $\pi_1(M) = \mathbf{Z}$ .

Let  $\nu \geq 0$  be the multiplicity of the root  $h_1 - h_2$ . Then

$$\lambda_1 = \begin{cases} 1/2 & \text{with multiplicity} = \dim \mathfrak{p} & \text{if } \nu \leq 1, \\ 1/2 & \text{with multiplicity} = \dim \mathfrak{p} + 2 & \text{if } \nu = 2, \\ r/(\nu(r-1) + 2) (< 1/2) & \text{with multiplicity} = 2 & \text{if } \nu \geq 3. \end{cases}$$

**3. Ricci curvatures of symmetric  $R$ -spaces.** In this section we shall study the Ricci curvature tensor of an irreducible symmetric  $R$ -space.

In general, for a symmetric space  $(M, g)$  expressed as  $M = K/K_0$  by a symmetric pair  $(K, K_0)$  with a  $K$ -invariant Riemannian metric  $g$ , the Ricci curvature tensor  $S$  is given at the origin  $o = K_0 \in M$  by

$$(3.1) \quad S(X, Y) = -(X, Y)_\mathfrak{k} / 2 \quad \text{for } X, Y \in \mathfrak{m} = T_o M,$$

where  $(, )_\mathfrak{k}$  is the Killing form of  $\mathfrak{k} = \text{Lie } K$  and  $\mathfrak{k} = \mathfrak{k}_0 + \mathfrak{m}$  is the Cartan decomposition (cf. Takeuchi-Kobayashi [16]).

Now let  $(g, \tau)$  be a simple positive definite symmetric graded Lie algebra and  $(M, g)$  the irreducible symmetric  $R$ -space associated to  $(g, \tau)$  with the canonical Riemannian metric  $g$ . We retain the notation in §1.

If  $(M, g)$  is an Einstein manifold:  $S = cg$ ,  $c \geq 0$ , we can compute the constant  $c$  by (3.1).

For example, let  $M$  be of Hermitian type. Then there exists a complex simple Lie algebra  $\mathcal{G}$  such that  $\mathfrak{g}$  is the scalar restriction to  $\mathbf{R}$  of  $\mathcal{G}$ , and  $\mathfrak{k}$  is a compact real form of  $\mathcal{G}$  and  $\mathfrak{p} = J\mathfrak{k}$ , where  $J$  is the complex structure of  $\mathfrak{g}$ . Thus we have

$$(X, Y) = 2(X, Y)_{\mathfrak{k}} \quad \text{for } X, Y \in \mathfrak{k},$$

and hence by (3.1)

$$S(X, Y) = -(X, Y)_{\mathfrak{k}}/2 = -(X, Y)/4 = \langle X, Y \rangle/4$$

for  $X, Y \in \mathfrak{m}$ . Therefore  $(M, g)$  is an Einstein manifold:  $S = cg$  with

$$(3.2) \quad c = 1/4.$$

If  $M = Q_{p,q}(\mathbf{R})$  ( $3 \leq p < q$ ), we have decompositions

$$(3.3) \quad (M, g) \sim (M_1, g_1) \times (M_2, g_2) \quad (\text{locally isometric}); \text{ and} \\ K \sim K_1 \times K_2 \quad (\text{locally isomorphic}),$$

where  $(M_i, g_i)$  is a compact connected Einstein symmetric space:  $S_i = c_i g_i$  ( $i = 1, 2$ ) with  $0 \leq c_1 < c_2$  and  $K_i = I^0(M_i, g_i)$  ( $i = 1, 2$ ). That is,  $M_1 = S^{p-1}$ ,  $M_2 = S^{q-1}$ ,  $K_1 = SO(p)$  and  $K_2 = SO(q)$ . The remaining irreducible symmetric  $R$ -spaces are those of type  $U(r)$  ( $r \geq 2$ ). In this case we have also the decompositions (3.3) with  $M_1 = S^1$ ,  $K_1 = SO(2)$  and  $c_1 = 0$ . These constants  $c_1, c_2$  are also computed by (3.1).

We give here the constants  $c$  or  $c_1, c_2$  for each non-Hermitian irreducible symmetric  $R$ -space.

- (1)  $\bar{M} = G_{p,q}(\mathbf{C})$  ( $1 \leq p \leq q$ ),  $M = G_{p,q}(\mathbf{R})$ .
  - (a)  $p = q = 1$ .  $r = 1$ , type  $U(1)$ ,  $\nu = 0$ ,  $\pi_1(M) = \mathbf{Z}$ , Einstein,  $c = 0$ .
  - (b)  $p = q \geq 2$ .  $r = p$ , type  $SO(2p)$ ,  $\pi_1(M) = \mathbf{Z}_2$ , Einstein,  $c = (p-1)/4p$ .
  - (c) Otherwise.  $r = p$ , type  $SO(2p+1)$ ,  $\pi_1(M) = \mathbf{Z}_2$ , Einstein,  $c = (p+q-2)/4(p+q)$ .
- (2)  $\bar{M} = G_{2p,2q}(\mathbf{C})$  ( $1 \leq p \leq q$ ),  $M = G_{p,q}(\mathbf{H})$ .  $r = p$ , type  $Sp(p)$ ,  $\pi_1(M) = 0$ , Einstein,  $c = (p+q+1)/4(p+q)$ .
- (3)  $\bar{M} = G_{n,n}(\mathbf{C})$  ( $n \geq 2$ ),  $M = U(n)$ .  $r = n$ , type  $U(n)$ ,  $\nu = 2$ ,  $\pi_1(M) = \mathbf{Z}$ ,  $c_1 = 0$ ,  $c_2 = 1/4$ .
- (4)  $\bar{M} = SO(2n)/U(n)$  ( $n \geq 5$ ),  $M = SO(n)$ .  $r = [n/2]$ , type  $SO(n)$ ,  $\pi_1(M) = \mathbf{Z}_2$ , Einstein,  $c = (n-2)/4(n-1)$ .
- (5)  $\bar{M} = SO(4n)/U(2n)$  ( $n \geq 3$ ),  $M = U(2n)/Sp(n)$ .  $r = n$ , type  $U(n)$ ,  $\nu = 4$ ,  $\pi_1(M) = \mathbf{Z}$ ,  $c_1 = 0$ ,  $c_2 = n/2(2n-1)$ .
- (6)  $\bar{M} = Sp(2n)/U(2n)$  ( $n \geq 2$ ),  $M = Sp(n)$ .  $r = n$ , type  $Sp(n)$ ,  $\pi_1(M) = 0$ , Einstein,  $c = (n+1)/2(2n+1)$ .

(7)  $\bar{M} = Sp(n)/U(n)$  ( $n \geq 3$ ),  $M = U(n)/O(n)$ .  $r = n$ , type  $U(n)$ ,  $\nu = 1$ ,  $\pi_1(M) = \mathbf{Z}$ ,  $c_1 = 0$ ,  $c_2 = n/4(n + 1)$ .

(8)  $\bar{M} = Q_{p+q-2}(\mathbf{C})$  ( $p + q \geq 3$ ,  $1 \leq p \leq q$ ),  $M = Q_{p,q}(\mathbf{R})$ .

(a)  $p = 1$ ,  $q \geq 4$  ( $q \neq 5$ ).  $r = 1$ , type  $Sp(1)$ ,  $\pi_1(M) = 0$ , Einstein,  $c = (q - 2)/2(q - 1)$ .

(b)  $p = 2$ ,  $q \geq 3$  ( $q \neq 4$ ).  $r = 2$ , type  $U(2)$ ,  $\nu = q - 2$ ,  $\pi_1(M) = \mathbf{Z}$ ,  $c_1 = 0$ ,  $c_2 = (q - 2)/2q$ .

(c)  $p = q \geq 4$ .  $r = 2$ , type  $SO(4)$ ,  $\pi_1(M) = \mathbf{Z}_2$ , Einstein,  $c = (p - 2)/4(p - 1)$ .

(d)  $3 \leq p < q$ .  $r = 2$ , type  $SO(4)$ ,  $\pi_1(M) = \mathbf{Z}_2$ ,  $c_1 = (p - 2)/2(p + q - 2)$ ,  $c_2 = (q - 2)/2(p + q - 2)$ .

(9)  $\bar{M} = E_6/T \cdot Spin(10)$ ,  $M = G_{2,2}(\mathbf{H})/\mathbf{Z}_2$ .  $r = 2$ , type  $SO(5)$ ,  $\pi_1(M) = \mathbf{Z}_2$ , Einstein,  $c = 5/24$ .

(10)  $\bar{M} = E_6/T \cdot Spin(10)$ ,  $M = P_2(\mathbf{K})$ .  $r = 1$ , type  $Sp(1)$ ,  $\pi_1(M) = 0$ , Einstein,  $c = 3/8$ .

(11)  $\bar{M} = E_7/T \cdot E_6$ ,  $M = SU(8)/Sp(4) \cdot \mathbf{Z}_2$ .  $r = 4$ , type  $SO(8)$ ,  $\pi_1(M) = \mathbf{Z}_2$ , Einstein,  $c = 2/9$ .

(12)  $\bar{M} = E_7/T \cdot E_6$ ,  $M = T \cdot E_6/F_4$ .  $r = 3$ , type  $U(3)$ ,  $\nu = 8$ ,  $\pi_1(M) = \mathbf{Z}$ ,  $c_1 = 0$ ,  $c_2 = 1/3$ .

In the above list,

$G_{p,q}(\mathbf{F})$ : Grassmann manifold of all  $p$ -subspaces in  $F^{p+q}$ , for  $F = \mathbf{R}, \mathbf{C}$  or real quaternion algebra  $\mathbf{H}$ ,

$P_2(\mathbf{K})$ : Cayley projective plane,

$Q_n(\mathbf{C})$ : Complex quadric of dimension  $n$ ,

Einstein:  $(M, g)$  is an Einstein manifold.

**4. Stability of TRG-pairs.** In this section we shall study the stability as a minimal submanifold of  $M$  in  $(\bar{M}, \bar{g})$  for a TRG-pair  $((\bar{M}, \bar{g}), M)$ .

In general, let  $f: (M, g) \rightarrow (\bar{M}, \bar{g})$  be a minimal isometric immersion of a compact Riemannian manifold  $(M, g)$  into a Riemannian manifold  $(\bar{M}, \bar{g})$ . Let  $f_t$  be a smooth variation of  $f$  with  $f_0 = f$  and  $\mathcal{V}(t)$  the volume of  $(M, f_t^* \bar{g})$ . Then the second derivative of  $\mathcal{V}(t)$  is described as follows (cf. Simons [11]). We define a vector field  $V$  along  $f$  by

$$V_p = \left[ \frac{d}{dt} f_t(p) \right]_{t=0} \quad \text{for } p \in M.$$

We define furthermore an elliptic self-adjoint differential operator  $L$  of order 2 on the space  $C^\infty(NM)$  of all smooth sections of the normal bundle  $NM$  for  $f$ , called the *Jacobi operator* for  $f$ , by

$$L = \Delta^\perp + S^\perp - \tilde{\alpha} .$$

Here  $\Delta^\perp = -\text{Tr}_g(\nabla^\perp)^2$  is the Laplacian on  $NM$ ;  $\tilde{\alpha} \in C^\infty(\text{End } NM)$  is defined by  $\tilde{\alpha} = \alpha \circ \iota \alpha$  regarding the second fundamental form  $\alpha$  of  $f$  as  $\alpha \in C^\infty(\text{Hom}(TM \otimes TM, NM))$ ;  $S^\perp \in C^\infty(\text{End } NM)$  is defined by

$$\langle S^\perp(u), v \rangle = \sum_i \langle \bar{R}(e_i, u)e_i, v \rangle \quad \text{for } u, v \in N_pM, \quad p \in M,$$

where  $\bar{R}$  is the curvature tensor of  $(\bar{M}, \bar{g})$  and  $\{e_i\}$  is an orthonormal basis for  $T_pM$ . We have then

$$\frac{d^2 \mathcal{V}}{dt^2}(0) = \int_M \langle L V^N, V^N \rangle dv,$$

where  $V^N$  denotes the normal component of  $V$  and  $dv$  the Riemannian measure of  $(M, g)$ .

The multiplicity  $n(f)$  of the eigenvalue 0 of  $L$  is called the *nullity* of  $f$ . The sum  $i(f)$  of multiplicities of negative eigenvalues of  $L$  is called the *index* of  $f$ . The minimal immersion  $f$  is said to be *stable* if  $i(f) = 0$ . We define moreover a subspace  $P$  of  $C^\infty(NM)$  by

$$P = \{(X|_M)^N; X \text{ is a Killing vector field on } (\bar{M}, \bar{g})\},$$

and call the dimension  $n_k(f)$  of  $P$  the *Killing nullity* of  $f$ . It is known (cf. Simons [11]) that  $L|_P = 0$ , and hence  $n_k(f) \leq n(f)$ .

LEMMA 4.1. (Chen-Leung-Nagano [1]) *Let  $(M, g)$  be a compact connected symmetric space expressed as  $M = K/K_0$  by an almost effective compact symmetric pair  $(K, K_0)$ . Suppose that  $g$  is defined by a  $K$ -invariant inner product  $\langle \cdot, \cdot \rangle$  on  $\mathfrak{k} = \text{Lie } K$  and let  $C$  denote the Casimir operator of  $\mathfrak{k}$  relative to  $\langle \cdot, \cdot \rangle$ . Let  $f: (M, g) \rightarrow (\bar{M}, \bar{g})$  be a totally geodesic isometric immersion of  $(M, g)$  into a symmetric space  $(\bar{M}, \bar{g})$ . Then  $\mathfrak{k}$  acts on the normal bundle  $NM$  and there exists a  $\mathfrak{k}$ -invariant symmetric endomorphism  $Q$  of  $NM$  such that the Jacobi operator  $L$  for  $f$  is given by*

$$(4.1) \quad L = C + Q .$$

We retain the notation in §1 for symmetric  $R$ -spaces. By a method in [1] we prove the following:

THEOREM 4.2. *Let  $(M, g)$  be a symmetric  $R$ -space with the canonical Riemannian metric  $g$  associated to a positive definite symmetric graded Lie algebra  $(\mathfrak{g}, \tau)$ , and  $f: (M, g) \rightarrow (\bar{M}, \bar{g})$  the canonical isometric imbedding. Then*

- (1)  $n_k(f) = \dim \mathfrak{p}$ ,
- (2)  $Q = -(1/2)I_{NM}$ .

PROOF. (1) Identifying  $\mathfrak{p}$  with a space of vector fields on  $M$ , we define a linear map  $\mathfrak{p} \rightarrow P$  by the correspondence  $X \mapsto (JX)|_M$  ( $X \in \mathfrak{p}$ ). Then it is a  $K$ -isomorphism since  $\mathfrak{p} = \mathfrak{g} \cap J\mathfrak{g}_u$ , and thus the assertion follows.

(2) Let  $C$  be the Casimir operator of  $\mathfrak{k}$  relative to  $\langle X, Y \rangle = -(X, Y)$ . By the proof of (1) and Lemma 2.1 we have  $C|P = (1/2)I_P$ . Thus, by  $L|P = 0$  and (4.1) we get  $Q|P = -(1/2)I_P$ . On the other hand, since  $G_u$  is transitive on  $\bar{M}$  we have

$$T_p\bar{M} = \{X_p; X \in \mathfrak{g}_u\} \text{ for any } p \in M.$$

Therefore, by  $\mathfrak{g}_u = \mathfrak{k} + J\mathfrak{p}$  we have

$$N_pM = \{X_p; X \in P\} \text{ for any } p \in M.$$

This and  $Q|P = -(1/2)I_P$  imply the assertion. q.e.d.

REMARK 1. Let  $f: (M, g) \rightarrow (\bar{M}, \bar{g})$  be as in Theorem 4.2. We define an endomorphism  $\bar{S}^\perp$  of  $NM$  by

$$\langle \bar{S}^\perp(u), v \rangle = \bar{S}(u, v) \text{ for } u, v \in N_pM, p \in M,$$

where  $\bar{S}$  denotes the Ricci curvature tensor of  $(\bar{M}, \bar{g})$ . It can be proved by a direct calculation that then  $Q = -\bar{S}^\perp$ , and hence the assertion (2) follows also from the formula (3.1) for our  $(\bar{M}, \bar{g})$ .

Recalling (Ikeda-Taniguchi [3]) that the Laplacian acting on forms on a compact symmetric space  $M$  coincides with the Casimir operator, we get the following:

COROLLARY. Let  $\hat{L}$  be the differential operator on  $C^\infty(T^*M)$  corresponding to  $L$  on  $C^\infty(NM)$  under the  $K$ -isomorphism:

$$NM \xrightarrow{J} TM \xrightarrow{\hat{g}} T^*M,$$

where  $T^*M$  is the cotangent bundle of  $M$ ,  $J \cdot$  is the multiplication by  $J$  and  $\hat{g}$  is the duality by means of  $g$ . Then

$$\hat{L} = \Delta - (1/2)I_{T^*M},$$

where  $\Delta$  denotes the Laplacian of  $(M, g)$  acting on the space  $C^\infty(T^*M)$  of 1-forms on  $M$ .

Here we recall some results on the Laplacian  $\Delta$  on 1-forms on a general compact connected Riemannian manifold  $(M, g)$ . For  $\lambda \geq 0$  we put

$$F_\lambda = \{f \in C^\infty(M); \Delta f = \lambda f\},$$

$$E_\lambda = \{\xi \in C^\infty(T^*M); \Delta \xi = \lambda \xi\},$$

$$B_\lambda = \{\xi \in E_\lambda; d\xi = 0\},$$

$$C_\lambda = \{\xi \in E_\lambda; d^*\xi = 0\},$$

where  $d^*$  denotes the formal adjoint operator of  $d$  with respect to the Riemannian measure for  $g$ . If  $\lambda > 0$ , we have

$$(4.2) \quad E_\lambda = B_\lambda + C_\lambda \quad (\text{direct sum}),$$

and  $d$  induces an isomorphism

$$(4.3) \quad d: F_\lambda \xrightarrow{\cong} B_\lambda.$$

**THEOREM OF YANO.** (cf. Kobayashi [4]) *If  $(M, g)$  is an Einstein manifold:  $S = cg$ , then  $C_{2c}$  coincides with the space of all Killing 1-forms on  $(M, g)$ .*

**THEOREM OF NAGANO** [8]. *If  $(M, g)$  is an Einstein manifold:  $S = cg$  with  $c > 0$ , then  $C_\lambda = 0$  for each  $\lambda$  with  $0 < \lambda < 2c$ .*

**THEOREM 4.3.** *Let  $f: (M, g) \rightarrow (\bar{M}, \bar{g})$  be the canonical isometric imbedding of an irreducible symmetric R-space  $(M, g)$ . Then,  $f$  is stable if and only if  $M$  is simply connected.*

**PROOF.** By Corollary of Theorem 4.2,  $f$  is stable if and only if  $E_\lambda = 0$  for each  $\lambda$  with  $0 \leq \lambda < 1/2$ . We prove the assertion in the following four cases separately.

- (i)  $M$  is of Hermitian type.
- (ii)  $M$  is not of Hermitian type,  $\pi_1(M)$  is finite and  $g$  is an Einstein metric:  $S = cg$ .
- (iii)  $M$  is not of Hermitian type,  $\pi_1(M)$  is finite and  $g$  is not an Einstein metric.
- (iv)  $M$  is of type  $U(r)$ .

In case (i),  $\pi_1(M) = 0$  and  $(M, g)$  is an Einstein manifold:  $S = cg$  with  $c = 1/4$  by (3.2). Thus  $E_0 = 0$  and  $\lambda_1 = 1/2$  by Theorem 2.4. Therefore  $B_\lambda = 0$  for  $0 < \lambda < 1/2$  by (4.3). Moreover, by Theorem of Nagano  $C_\lambda = 0$  for  $0 < \lambda < 1/2$ . Thus by (4.2)  $E_\lambda = 0$  for  $0 < \lambda < 1/2$ , and hence  $f$  is stable.

In case (ii), in the same way as (i) we get  $E_0 = 0$  and  $B_\lambda = 0$  for  $0 < \lambda < 1/2$ . From §3 we see that

$$\pi_1(M) = 0 \Leftrightarrow c > 1/4,$$

$$\pi_1(M) \neq 0 \Leftrightarrow 0 < c < 1/4.$$

Thus, if  $\pi_1(M) = 0$   $f$  is stable by the same reasoning as in case (i). If  $\pi_1(M) \neq 0$ , we have  $0 < 2c < 1/2$  and  $\dim E_{2c} = \dim C_{2c} = \dim \mathfrak{k} > 0$  by

Theorem of Yano. Thus  $f$  is not stable.

In case (iii),  $M = Q_{p,q}(\mathbf{R})$  ( $3 \leq p < q$ ),  $\pi_1(M) = \mathbf{Z}_2$  and  $0 < c_1 = (p - 2)/2(p + q - 2) < 1/4$ . Thus  $0 < 2c_1 < 1/2$  and  $\dim E_{2c_1} \cong \dim C_{2c_1} \cong \dim SO(p) > 0$  by Theorem of Yano. Thus  $f$  is not stable.

In case (iv),  $\pi_1(M) = \mathbf{Z}$  and so  $\dim E_0 = 1$ . Hence  $f$  is not stable.

q.e.d.

REMARK 2. From the proof we see:

In case (i),  $n(f) = \dim_{\mathbf{R}} \text{Aut}^0(M)$ ;

In case (ii),  $n(f) = \dim \mathfrak{p}$  if  $\pi_1(M) = 0$ , and  $i(f) \geq \dim I^0(M, g)$  if  $\pi_1(M) \neq 0$ .

THEOREM 4.4. *Let  $(\bar{M}, \bar{g})$  be a connected Hermitian symmetric space of compact type and  $M$  a compact connected totally real totally geodesic submanifold of  $(\bar{M}, \bar{g})$  with  $\dim M = \dim_{\mathbf{C}} \bar{M}$ . Then,  $M$  is a stable minimal submanifold if and only if  $M$  is simply connected.*

PROOF. It is easily seen that the stability of  $M$  in  $(\bar{M}, \bar{g})$  for a TRG-pair  $((\bar{M}, \bar{g}), M)$  is invariant under the equivalence of TRG-pairs and that for the direct product  $((\bar{M}, \bar{g}), M) = ((\bar{M}_1, \bar{g}_1), M_1) \times ((\bar{M}_2, \bar{g}_2), M_2)$ ,  $M$  is stable in  $(\bar{M}, \bar{g})$  if and only if each  $M_i$  is stable in  $(\bar{M}_i, \bar{g}_i)$  ( $i = 1, 2$ ). Thus the assertion follows from Theorems 1.2 and 4.3. q.e.d.

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