

ON ZETA-FUNCTIONS AND CYCLOTOMIC \mathbf{Z}_p -EXTENSIONS OF ALGEBRAIC NUMBER FIELDS

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1. In Tate [5] and Turner [7], the following result is proved:

THEOREM. *Let k, k' be function fields in one variable over a finite constant field \mathbf{F} and $\zeta_k, \zeta_{k'}$ Dedekind zeta-functions of k, k' . Let C, C' be complete non-singular curves defined over \mathbf{F} with function fields isomorphic to k, k' and $J(C), J(C')$ the Jacobian varieties of C, C' . Then the following are equivalent:*

- (1) $\zeta_k = \zeta_{k'}$.
- (2) $J(C)$ and $J(C')$ are \mathbf{F} -isogenous.

In the present paper, we shall investigate the situation which arises when we replace the function fields by the algebraic number fields. In [2] and [3], Iwasawa discussed analogues of Jacobian varieties in this situation. We shall see that these analogues play some roles in this question.

Let \mathbf{Q} be the rational number field, k, k' finite algebraic extensions of \mathbf{Q} and $\zeta_k, \zeta_{k'}$ the Dedekind zeta-functions of k and k' , respectively. Perlis [4] gave interesting consequences from $\zeta_k = \zeta_{k'}$. Using his method, we shall obtain the following results:

Let p be a prime number, $k(p)$ the maximal abelian pro- p -extension of k and $G_k(p)$ the Galois group of $k(p)$ over k . For these and also for other notations which will be introduced afterwards, we adopt similar notations for k' . Let \mathbf{Z}_p be the p -adic integer ring and k_∞ the cyclotomic \mathbf{Z}_p -extension of k . We shall prove that $\zeta_k = \zeta_{k'}$ implies $G_k(p) \cong G_{k'}(p)$ and $G_{k_\infty}(p) \cong G_{k'_\infty}(p)$ for almost all prime numbers p . Let \tilde{k}_∞ the maximal unramified abelian pro- p -extension of k_∞ and $Y_k(p)$ the Galois group of $\tilde{k}_\infty/k_\infty$. Let A and A' be the p -primary subgroups of ideal class groups of k_∞ and k'_∞ , respectively. Let $X_k(p)$ be the Pontrjagin dual of the discrete group A . Let α_p be a primitive p -th root of 1. We shall prove that $\zeta_k = \zeta_{k'}$ implies $X_{k(\alpha_p)}(p) \cong X_{k'(\alpha_p)}(p)$ and $Y_{k(\alpha_p)}(p) \cong Y_{k'(\alpha_p)}(p)$ for almost all prime numbers p . The duals of $X_{k(\alpha_p)}(p)$ and $Y_{k(\alpha_p)}(p)$ are regarded as analogies of the Jacobian variety in our situation (cf. [2], [3]), so that this can be interpreted as an analogue of the fact that (1) implies (2) in the

case of function fields. We are not in a position now to prove an analogue of (2) implies (1) in our case, but it is conjectured that $k \neq \mathbf{Q}$ would imply that there exist some primes p such that $Y_k(p) \neq 0$. (This can be in fact proved in case k is not totally real, as shown below.) In our last paragraph, we shall give such p 's for some real quadratic fields k .

In this paper, \mathbf{Z} and \mathbf{R} denote the ring of rational integers and the field of real numbers. As already mentioned, \mathbf{Q} denotes the rational number field. For a finite algebraic number field k , we denote by k_A^\times the idele group of k .

2. Let k and k' be finite algebraic number fields such that $\zeta_k = \zeta_{k'}$. Let L be the Galois closure of k over \mathbf{Q} . It is well known that $L \supset k'$ and that the degree $(k; \mathbf{Q})$ is equal to $(k'; \mathbf{Q})$. Let G be the Galois group $G(L/\mathbf{Q})$ of L over \mathbf{Q} , $H = G(L/k)$ and $H' = G(L/k')$. Let $s = (k; \mathbf{Q})$. Let D and D' be the linear representations of G induced by the unit representations of H and H' . Let \mathbf{Z} be the integer ring and $M_s(\mathbf{Z})$ the set of all integral $s \times s$ matrices. We put

$$\mathfrak{M}_0 = \{M \in M_s(\mathbf{Z}) \mid \det(M) \neq 0, D(g)M = MD'(g) \text{ for every } g \in G\}.$$

By [4] and [7], we see that \mathfrak{M}_0 is not empty. The following Lemma is also proved in [4].

LEMMA 1 (cf. [4, Theorem 1]). Let $\nu = \gcd \{\det(M) \mid M \in \mathfrak{M}_0\}$. Then every prime number dividing ν divides $(L; k)$.

Let ρ_1, \dots, ρ_s and ρ'_1, \dots, ρ'_s be representatives for left cosets of G by H and H' , with $\rho_1 = \rho'_1 = 1$. Let L^\times be the multiplicative group of L . For a matrix $A = (a_{ij}) \in M_s(\mathbf{Z})$, we now define an endomorphism μ_A of L^\times by $\mu_A(x) = \prod_{i=1}^s \rho_i(x)^{a_{i1}}$ for $x \in L^\times$. We also define an endomorphism of L^\times by $\mu'_A(x) = \prod_{i=1}^s \rho'_i(x)^{a_{i1}}$. Then we have the following:

LEMMA 2 (cf. [4, Lemma 5]). For matrices A and B in \mathfrak{M}_0 and for $a \in k^\times$, we have

- (1) $\mu_A(k^\times) \subset k'^\times$.
- (2) $\mu_{B^t}(\mu_A(a)) = \mu_{AB^t}(a)$. Here B^t is the transpose of B .

Let k^{ab} be the maximal abelian extension of k . Let M be a matrix in \mathfrak{M}_0 . We now define a homomorphism of $G(k^{ab}/k)$ into $G(k'^{ab}/k')$ induced by μ_M .

LEMMA 3. Let v be a place of \mathbf{Q} , \mathbf{Q}_v the completion of \mathbf{Q} at v and $k \otimes_{\mathbf{Q}} \mathbf{Q}_v$ the tensor product of k and \mathbf{Q}_v . Then there exists a continuous homomorphism $\mu_{M,v}$ of $(k \otimes_{\mathbf{Q}} \mathbf{Q}_v)^\times$ into $(k' \otimes_{\mathbf{Q}} \mathbf{Q}_v)^\times$ such that $i'(\mu_M(a)) = \mu_{M,v}(i(a))$ for any element a of k^\times . Here i is a natural injection.

tion of k into $k \otimes_{\mathbf{Q}} \mathbf{Q}_v$, while i' is a natural injection of k' into $k' \otimes_{\mathbf{Q}} \mathbf{Q}_v$.

PROOF. Let w_1, \dots, w_m be the places of L lying above v . Let φ_j be a multiplicative valuation belonging to w_j . For positive number η , we put $V_k(\eta) = \{a \in k^\times \mid \varphi_j(a - 1) < \eta \ j = 1, \dots, m\}$. For any positive number ε there exists a positive number δ such that $\mu_M(V_k(\delta)) \subset V_{k'}(\varepsilon)$. Hence our assertion follows from the fact that k is dense in $k \otimes_{\mathbf{Q}} \mathbf{Q}_v$.

Let v_1, \dots, v_{r_1} be the real places of k and $v_{r_1+1}, \dots, v_{r_1+r_2}$ the imaginary places of k ; $v'_1, \dots, v'_{r'_1}$ the real places of k' and $v'_{r'_1+1}, \dots, v'_{r'_1+r'_2}$ the imaginary places of k' . Since we have $\zeta_k = \zeta_{k'}$, we have $r_1 = r'_1$ and $r_2 = r'_2$. We put $k_{v_j,+}^\times = \{a \in k_{v_j} \mid a > 0\}$ for $j = 1, \dots, r_1$; $k_{\infty,+}^\times = \prod_{j=1}^{r_1} k_{v_j,+}^\times \times \prod_{j=r_1+1}^{r_1+r_2} k_{v_j}^\times$ and $k'_{\infty,+}^\times = \prod_{j=1}^{r'_1} k'_{v'_j,+}^\times \times \prod_{j=r'_1+1}^{r'_1+r'_2} k'_{v'_j}^\times$. Let u be the infinite place of \mathbf{Q} . Since $\mu_{M,u}$ is continuous, we have $\mu_{M,u}(k_{\infty,+}^\times) \subset k'_{\infty,+}^\times$. Let $a = (a_v)$ be an element of k_A^\times such that $a_v \in (k \otimes_{\mathbf{Q}} \mathbf{Q}_v)^\times$. We can define a continuous homomorphism $\bar{\mu}_M$ of k_A^\times into $k'_A{}^\times$ by $\bar{\mu}_M(a) = (\mu_{M,v}(a_v))$. Let $U_k = \overline{k^\times k_{\infty,+}^\times} / k^\times$ be the topological closure of $k^\times k_{\infty,+}^\times / k^\times$ in the idele class group $C_k = k_A^\times / k^\times$. Let \mathfrak{A} and \mathfrak{A}' be the Artin mappings of C_k / U_k onto $G(k^{ab}/k)$ and of $C_{k'} / U_{k'}$ onto $G(k'^{ab}/k')$. Since $\bar{\mu}_M(k^\times) \subset k'^\times$ and $\mu_{M,u}(k_{\infty,+}^\times) \subset k'_{\infty,+}^\times$, we can define a continuous homomorphism $\tilde{\mu}_M; G(k^{ab}/k) \rightarrow G(k'^{ab}/k')$ making the diagram

$$\begin{array}{ccc}
 k_A^\times & \xrightarrow{\bar{\mu}_M} & k'_A{}^\times \\
 f \downarrow & & \downarrow f' \\
 C_k / U_k & & C_{k'} / U_{k'} \\
 \mathfrak{A} \downarrow & & \downarrow \mathfrak{A}' \\
 G(k^{ab}/k) & \xrightarrow{\tilde{\mu}_M} & G(k'^{ab}/k')
 \end{array}$$

commutative. Here f and f' are canonical homomorphisms of k_A^\times into C_k / U_k and of $k'_A{}^\times$ into $C_{k'} / U_{k'}$. For simplicity, μ_M will denote $\tilde{\mu}_M$ in the following;

THEOREM 1. *Let k and k' be finite algebraic extensions of \mathbf{Q} such that $\zeta_k = \zeta_{k'}$. Let k^{ab} be the maximal abelian extension of k . Let G be the Galois group $G(k^{ab}/k)$ and G' the Galois group $G(k'^{ab}/k')$. For a prime number p , we denote by $G(p)$ the pro- p -syllow subgroup of G . Then there exists a continuous homomorphism μ of G into G' such that the restriction of μ to $G(p)$ is an isomorphism of $G(p)$ onto $G'(p)$ for almost all p .*

PROOF. Let M be a matrix in \mathfrak{M}_0 . Let B be the matrix $(\det(M)M^{-1})^t$, which belongs to \mathfrak{M}_0 . We have defined the continuous homomorphism μ_M of G into G' . In a similar way, we can define a continuous homomor-

phism μ'_{B^t} of G' into G . From Lemma 2, we have $\mu'_{B^t}(\mu_M(g)) = g^{\det(M)}$ for all $g \in G$. In a similar way, we have $\mu_M(\mu'_{B^t}(g')) = g'^{\det(M)}$ for all $g' \in G'$. Let p be a prime number such that p does not divide $\det(M)$. Then we have

$$\mu_M(G(p)) \supset \mu_M(\mu'_{B^t}(G'(p))) = \{g'^{\det(M)} \mid g' \in G'(p)\} = G'(p).$$

Suppose that $\mu_M(g) = 1$ for $g \in G(p)$. We have $g^{\det(M)} = 1$. Since p is prime to $\det(M)$, we have $g = 1$.

3. Let k and k' be finite algebraic number fields such that $\zeta_k = \zeta_{k'}$. We put $s = (k; \mathbb{Q})$. Let L be as before the Galois closure of k over \mathbb{Q} and p a prime number such that p does not divide $(L; \mathbb{Q})$. Let \mathbb{Z}_p be the p -adic integer ring and $\mathbb{Q}^{(\infty, p)}$ the cyclotomic \mathbb{Z}_p -extension of \mathbb{Q} . Then there exists a sequence of fields $\mathbb{Q} = \mathbb{Q}^{(0, p)} \subset \mathbb{Q}^{(1, p)} \subset \dots \subset \mathbb{Q}^{(n, p)} \subset \dots \subset \mathbb{Q}^{(\infty, p)}$ such that $\mathbb{Q}^{(n, p)}/\mathbb{Q}$ is a cyclic extension of degree p^n , $n \geq 0$. We put $k_n = k\mathbb{Q}^{(n, p)}$, $k'_n = k'\mathbb{Q}^{(n, p)}$, $L_n = L\mathbb{Q}^{(n, p)}$ and $L_\infty = L\mathbb{Q}^{(\infty, p)}$. We put furthermore $G = G(L_\infty/\mathbb{Q})$, $H_n = G(L_n/k_n)$, $H'_n = G(L_\infty/k'_n)$, $N_n = G(L_\infty/L_n)$ and $S = G(L_\infty/\mathbb{Q}^{(\infty, p)})$. Then we have $G = S \times N_0$. Let γ be a topological generator of N_0 . We have the following:

LEMMA 4 (cf. [8, Lemma 1]). *Let k and k' be finite algebraic number fields such that $\zeta_k = \zeta_{k'}$. Let K be a finite Galois extension of \mathbb{Q} . Then we have $\zeta_{Kk} = \zeta_{Kk'}$.*

We have $\zeta_{k_n} = \zeta_{k'_n}$ from this Lemma 4. Let D_n and D'_n be the linear representations of G induced by the unit representations of H_n and H'_n . We should notice that we can regard D_0 and D'_0 as representations of S . Let R_n be the linear representation of N_0 induced by the unit representation of N_n . Let $D_0 \otimes R_n$ be the tensor product of D_0 and R_n . Then we have $D_n = D_0 \otimes R_n$ and $D'_n = D'_0 \otimes R_n$. We put

$$\mathfrak{M}_n = \{M \in M_{\mathfrak{a}, p^n}(\mathbb{Z}) \mid \det(M) \neq 0, D_n(g)M = MD'_n(g) \text{ for every } g \in G\}.$$

We can easily show the following:

LEMMA 5. *Let M be a matrix in \mathfrak{M}_0 and I_{p^n} the unit matrix of degree p^n . Let $M \otimes I_{p^n}$ be the Kronecker product of M and I_{p^n} . Then we have $M \otimes I_{p^n} \in \mathfrak{M}_n$.*

We put $M_n = M \otimes I_{p^n}$. We see easily the following:

LEMMA 6. *Let M be a matrix in \mathfrak{M}_0 . Let m and n be non-negative integers such that $m \leq n$. Let μ_{M_m} and μ_{M_n} be the above endomorphisms of L_m^\times and L_n^\times . Let N_{k_n/k_m} and $N_{k'_n/k'_m}$ be the norms of k_n/k_m and k'_n/k'_m . Then we have $\mu_{M_m}(N_{k_n/k_m}(x)) = N_{k'_n/k'_m}(\mu_{M_n}(x))$ for all $x \in k_n^\times$.*

By Lemma 1, there exists a matrix $M \in \mathfrak{M}_0$ such that p does not divide $\det(M)$. We have $\det(M_n) = \pm(\det(M))^{p^n}$. Hence Theorem 1, Lemma 6 and class field theory yield the following:

THEOREM 2. *Let k and k' be finite algebraic number fields such that $\zeta_k = \zeta_{k'}$. Let L be the Galois closure of k/\mathbf{Q} and p a prime number which does not divide $(L; \mathbf{Q})$. Let k_∞ and k'_∞ be the cyclotomic \mathbf{Z}_p -extensions of k and k' . Let \hat{k}_∞ and \hat{k}'_∞ be the maximal abelian pro- p -extensions of k_∞ and k'_∞ . Then the Galois group $G(\hat{k}_\infty/k_\infty)$ and $G(\hat{k}'_\infty/k'_\infty)$ are isomorphic as topological groups.*

Let p be an odd prime number which does not divide $(L; \mathbf{Q})$. Let A_n and A'_n be the Sylow p -subgroups of the ideal class groups of k_n and of k'_n , respectively. For $0 \leq m \leq n$, there exists a natural homomorphism $f_{m,n}: A_m \rightarrow A_n$ induced by the imbedding of the ideal group of k_m in that of k_n . Let A and A' denote the direct limits of A_n , $n \geq 0$ and of A'_n , $n \geq 0$, with respect to the above homomorphisms. Let Λ denote the ring of power series in an indeterminate T with coefficients of \mathbf{Z}_p ; $\Lambda = \mathbf{Z}_p[[T]]$. Let $X_k(p)$ and $X_{k'}(p)$ be the duals of the discrete abelian group A and of A' . We can consider $X_k(p)$ and $X_{k'}(p)$ as Λ -modules in the usual manner (cf. [3]). Let M be a matrix in \mathfrak{M}_0 such that p does not divide $\det(M)$. We put $M_n = M \otimes I_{p^n}$. For a finite place v of k , we denote by r_v the integer ring of $(k_n)_v$ and by r_v^\times the unit group of r_v . Since we have

$$\begin{aligned} & \bar{\mu}_{M_n}(k_n^\times((k_n \otimes_{\mathbf{Q}} \mathbf{R})^\times \times \prod_{v; \text{ the finite places of } k_n} r_v^\times)) \\ & \subset k_n'^\times((k'_n \otimes_{\mathbf{Q}} \mathbf{R})^\times \times \prod_{v'; \text{ the finite places of } k'_n} r_{v'}^\times) \end{aligned}$$

and since p does not divide $\det(M_n)$, we can induce the isomorphism μ_n of A_n onto A'_n by $\bar{\mu}_{M_n}$. Then, for $0 \leq m \leq n$, we can show that $\mu_n(f_{m,n}(a)) = f'_{m,n}(\mu'_m(a))$ for all $a \in A_m$. Hence we have the following:

THEOREM 3. *Let k and k' be finite algebraic number fields such that $\zeta_k = \zeta_{k'}$. Let L be the Galois closure of k/\mathbf{Q} and p an odd prime number which does not divide $(L; \mathbf{Q})$. Let $X_k(p)$ and $X_{k'}(p)$ be as above. Then $X_k(p)$ and $X_{k'}(p)$ are isomorphic as topological Λ -modules.*

Lemma 4 and Theorem 3 yield the following:

COROLLARY. *Notations and assumptions being as above, let α_p be a primitive p -th root of 1. Then we have $X_{k(\alpha_p)}(p) \cong X_{k'(\alpha_p)}(p)$.*

Let \tilde{k}_∞ be the maximal unramified abelian pro- p -extension of k_∞ . We put $Y_k(p) = G(\tilde{k}_\infty/k_\infty)$. We can consider $Y_k(p)$ as Λ -module in the usual manner (cf. [3]). Lemma 6 and class field theory yield the following:

THEOREM 4. *Let k and k' be finite algebraic number fields such that $\zeta_k = \zeta_{k'}$. Let L be the Galois closure of k/\mathbf{Q} and p a prime number which does not divide $(L; \mathbf{Q})$. Let k_∞ and k'_∞ be the cyclotomic \mathbf{Z}_p -extensions of k and of k' , respectively. Let \tilde{k}_∞ and \tilde{k}'_∞ be the maximal unramified abelian pro- p -extensions of k_∞ and of k'_∞ , respectively. Then the Galois group $Y_k(p) = G(\tilde{k}_\infty/k_\infty)$ and $Y_{k'}(p) = G(\tilde{k}'_\infty/k'_\infty)$ are isomorphic as topological Λ -modules.*

COROLLARY. *Notations and assumptions being as above, let α_p be a primitive p -th root of 1. Then we have $Y_{k(\alpha_p)}(p) \cong Y_{k'(\alpha_p)}(p)$.*

4. It would be interesting to examine whether $Y_k(p) \cong Y_{k'}(p)$ for almost all prime numbers p implies $\zeta_k = \zeta_{k'}$. We shall examine now whether $Y_k(p) = 0$ for any prime number p implies $\zeta_k = \zeta_{\mathbf{Q}}$. We notice that $Y_{\mathbf{Q}}(p) = 0$ for any prime number p follows from Iwasawa [1] and that $\zeta_k = \zeta_{\mathbf{Q}}$ implies $k = \mathbf{Q}$. For a finite algebraic number field F , we denote by h_F the class number of F and by E_F the group of units in F . Let K be a cyclic extension of F and a_K the number of ambiguous ideal classes with respect to K/F . The following Lemma is well known:

LEMMA 7 (cf. [9]). *Let K be a cyclic extension of a number field F . Then we have*

$$a_K = h_F \times \prod_v e(v) \times ((K; F)(E_F; E_F \cap N_{K/F}(K)))^{-1},$$

where $\prod_v e(v)$ is the product of the ramification indices of all the finite and infinite places in F with respect to K/F .

COROLLARY. *If $Y_k(p) = 0$ for all prime numbers p , then k is totally real.*

PROOF. Let p be a prime number which splits completely in k/\mathbf{Q} . We put $k_n = k\mathbf{Q}^{(n, p)}$. If k is not totally real, it follows from Lemma 7 that p^n divides h_{k_n} . This shows that $Y_k(p)$ is not trivial.

In the rest of this section, we shall give examples of real quadratic fields F and prime numbers p such that $Y_F(p) \neq 0$. Since the center of p -groups are non-trivial, we have the following:

LEMMA 8. *Let K be a cyclic p -extension of F . Then the prime number $p|h_K$ if and only if $p|a_K$.*

Now, we put $1 + p^n\mathbf{Z}_p = \{x \in \mathbf{Z}_p | x \equiv 1 \pmod{p^n}\}$. Let α_{p-1} be a primitive $(p-1)$ -th root of 1. Then local class field theory yields the following:

LEMMA 9. Let \mathbf{Q}_p be the p -adic number field and $\mathbf{Q}_{p,n} = \mathbf{Q}_p \mathbf{Q}^{(n,p)}$. Then we have $N_{\mathbf{Q}_{p,n}/\mathbf{Q}_p}(\mathbf{Q}_{p,n}^\times) = \langle p \rangle \times \langle \alpha_{p-1} \rangle \times (1 + p^{n+1}\mathbf{Z}_p)$, where $\langle p \rangle$ and $\langle \alpha_{p-1} \rangle$ are the subgroups generated by p and by α_{p-1} in \mathbf{Q}_p^\times , respectively.

PROPOSITION. Let F be a real quadratic field and ε a fundamental unit of F . We assume that an odd prime number p splits completely in F and that p does not divide h_F . We put $F_n = F\mathbf{Q}^{(n,p)}$. Then the following conditions are equivalent:

- (1) The prime number p divides h_{F_1} .
- (2) $\varepsilon^{p-1} \equiv 1 \pmod{p^2\mathbf{Z}_p}$.
- (3) $\varepsilon^{p^n - p^{n-1}} \equiv 1 \pmod{p^{n+1}\mathbf{Z}_p}$ for all positive integers n .
- (4) The prime number p divides h_{F_n} for all positive integers n .

PROOF. Since p is an odd prime, it is clear that (2) and (3) are equivalent. Let us show the equivalence of (1) and (2). Assume that $\varepsilon^{p-1} \equiv 1 \pmod{p^2\mathbf{Z}_p}$. Then from Lemma 9 and Hasse's norm theorem follows that there exists an element η of F_1 such that $N_{F_1/F}(\eta) = \varepsilon$. Hence it follows from Lemma 7 that p divides h_{F_1} . Now, assume $p \mid h_{F_1}$. It follows from Lemma 8 that $p \mid a_{F_1}$. Since $p \nmid h_F$, Lemma 7 yields that $E_F \subset N_{F_1/F}(F_1)$. Hence, from Lemma 9 follows that $\varepsilon \equiv 1 \pmod{p^2\mathbf{Z}_p}$. We can simillary prove that (3) and (4) are equivalent.

According to this Proposition, we have only to examine whether (2) holds for F and p to know whether $Y_F(p) \neq 0$ holds. We have examined this for $F = \mathbf{Q}(\sqrt{d})$ and found the following pairs (d, p) for which we have $Y_{\mathbf{Q}(\sqrt{d})}(p) \neq 0$:

d	2	6	19	23	31	33	37	41	43	57	62
p	31	523	79	7	157	29	7	7221	3	59	263

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