

AXIOMS FOR STIEFEL-WHITNEY HOMOLOGY CLASSES OF \mathbf{Z}_2 -EULER SPACES

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1. Introduction and the statement of results. In [2], Blanton and Schweitzer gave an axiomatic characterization for Stiefel-Whitney classes or Stiefel-Whitney homology classes of smooth manifolds, and raised a question of axiomatic characterizations of these classes for other categories, for example, categories of *PL*-manifolds, topological manifolds or Euler spaces. In this paper we give an answer to this question for \mathbf{Z}_2 -Euler spaces (cf. [5], [8]).

Let X and Y be \mathbf{Z}_2 -Euler spaces and let $\varphi: Y \rightarrow X$ be a *PL*-embedding. We call φ a regular embedding if $\dim X = \dim Y$, $\varphi(Y)$ is closed in X , $\varphi(\text{Int } Y) \cap \partial X = \emptyset$ and $\varphi|_{\text{Int } Y}$ is an open map, where $\text{Int } Y = Y - \partial Y$.

Let H_*^{inf} denote the homology theory of infinite chains. Given a regular embedding $\varphi: Y \rightarrow X$, we define a homomorphism $\varphi^*: H_*^{\text{inf}}(X, \partial X; \mathbf{Z}_2) \rightarrow H_*^{\text{inf}}(Y, \partial Y; \mathbf{Z}_2)$ by $\varphi^* = (\varphi_*)^{-1} \circ i_*$, where $i_*: H_*^{\text{inf}}(X, \partial X; \mathbf{Z}_2) \rightarrow H_*^{\text{inf}}(X, X - \varphi(\text{Int } Y); \mathbf{Z}_2)$ is the homomorphism induced from the identity $i: (X, \partial X) \rightarrow (X, X - \varphi(\text{Int } Y))$. Note that $\varphi_*: H_*^{\text{inf}}(Y, \partial Y; \mathbf{Z}_2) \rightarrow H_*^{\text{inf}}(X, X - \varphi(\text{Int } Y); \mathbf{Z}_2)$ is an isomorphism by the excision property. Therefore φ^* is well defined.

Let \mathcal{E} be the category whose objects are \mathbf{Z}_2 -Euler spaces and whose morphisms are regular embeddings. Let \mathcal{S} be a full subcategory of \mathcal{E} . Consider a homology class

$$S_*(X) = S_0(X) + S_1(X) + \cdots + S_n(X) \quad \text{in } H_*^{\text{inf}}(X, \partial X; \mathbf{Z}_2),$$

where n is the dimension of X , satisfying the following axioms:

AI. For every object X of \mathcal{S} and every integer $i \geq 0$, there is a homology class $S_i(X)$ in $H_i^{\text{inf}}(X, \partial X; \mathbf{Z}_2)$.

AII. If $\varphi: Y \rightarrow X$ is a morphism of \mathcal{S} , then $S_*(Y) = \varphi^* S_*(X)$.

AIII. $S_*(X \times Y) = S_*(X) \times S_*(Y)$ for every objects X, Y of \mathcal{S} , such that $X \times Y$ is an object of \mathcal{S} .

AIV. For every integer $n \geq 0$, $S_*(\mathbf{P}^n) = s_*(\mathbf{P}^n)$, where $s_*(\mathbf{P}^n)$ is the Stiefel-Whitney homology class of the n -dimensional real projective space \mathbf{P}^n .

We call $S_*(X)$ an axiomatic Stiefel-Whitney homology class of X in

\mathcal{S} . Since the Stiefel-Whitney homology classes satisfy the axioms ([2], [3], [6]), there exists at least one axiomatic Stiefel-Whitney homology class.

The purpose of this paper is to prove the following theorem:

THEOREM. *Let \mathcal{S} be a full subcategory of \mathcal{E} satisfying the following conditions:*

- (1) *All compact \mathbf{Z}_2 -Euler spaces are objects of \mathcal{S} .*
- (2) *If X is an object of \mathcal{S} , so is $X \times [0, 1]$.*

Then, the axiomatic Stiefel-Whitney homology class $S_(X)$ of X in \mathcal{S} coincides with the Stiefel-Whitney homology class $s_*(X)$.*

REMARK. In general, axiomatic Stiefel-Whitney homology classes in the category of \mathbf{Z}_2 -Poincaré-Euler spaces are not unique (cf. [8]). For example, the Poincaré dual of Stiefel-Whitney class $[X] \cap w^*(X)$ and the Stiefel-Whitney homology class $s_*(X)$ both satisfy the axioms.

2. Elementary properties of axiomatic Stiefel-Whitney homology classes. In this section, we consider axiomatic Stiefel-Whitney homology classes in a full subcategory \mathcal{S} of \mathcal{E} such that all compact \mathbf{Z}_2 -Euler spaces are objects of \mathcal{S} .

LEMMA 1. *Let X be an object of \mathcal{S} and let $S_*(X)$ be an axiomatic Stiefel-Whitney homology class in \mathcal{S} . Then, (1) $S_n(X) = [X]$, where $\dim X = n$ and $[X]$ is the homology class given by the chain of all n -simplexes of a triangulation of X , and (2) $S_i(\partial X) = \partial S_{i+1}(X)$ when X is compact.*

PROOF. (1) Let Δ^n be the n -dimensional simplex and let $c: \Delta^n \rightarrow \mathbf{P}^n$ be a regular embedding. Then $S_*(\Delta^n) = c^*S_*(\mathbf{P}^n)$ by AII. Noting that $c^*: H_n(\mathbf{P}^n; \mathbf{Z}_2) \rightarrow H_n(\Delta^n, \partial\Delta^n; \mathbf{Z}_2)$ is an isomorphism, we have $S_n(\Delta^n) = [\Delta^n]$ by AIV. By AII and the above, for every regular embedding $c: \Delta^n \rightarrow X$, we have $c^*S_n(X) = S_n(\Delta^n) = [\Delta^n]$. Then $S_n(X) = [X]$.

(2) Let $i: X \rightarrow X \cup (\partial X \times I)$ and $j: \partial X \times I \rightarrow X \cup (\partial X \times I)$ be the canonical inclusions. Then they are regular embeddings. By AII, we have $S_{i+1}(X) = i^*S_{i+1}(X \cup (\partial X \times I))$ and $S_{i+1}(\partial X \times I) = j^*S_{i+1}(X \cup (\partial X \times I))$. Note that $(\times)^{-1}(S_{i+1}(\partial X \times I)) = S_i(\partial X) \times S_1(I)$ by AIII, and that $\partial \circ i^* = p_* \circ (\times)^{-1} \circ j^*$, where $\times: H_*^{int}(\partial X; \mathbf{Z}_2) \times H_*(I, \{0, 1\}; \mathbf{Z}_2) \rightarrow H_*^{int}(\partial X \times I, \partial X \times \{0, 1\}; \mathbf{Z}_2)$ is the cross product and $p: \partial X \times I \rightarrow \partial X$ is the projection. Thus $\partial S_{i+1}(X) = S_i(\partial X)$.
q.e.d.

Define a homomorphism $S: \mathfrak{B}_*(A, B) \rightarrow H_*(A, B; \mathbf{Z}_2)$ by $S(\varphi, X) = \varphi_*S_*(X)$. Here $\mathfrak{B}_*(A, B)$ is the bordism group of compact \mathbf{Z}_2 -Euler spaces. (See [8].) The following lemma shows that S is well defined:

LEMMA 2. Let $S_*(X)$ be an axiomatic Stiefel-Whitney homology class of X in \mathcal{S} . Let $\varphi: (X, \partial X) \rightarrow (A, B)$ be in $\mathfrak{B}_n(A, B)$. Suppose that $(\varphi, X) = 0$ in $\mathfrak{B}_n(A, B)$. Then $\varphi_* S_*(X) = 0$ in $H_*(A, B; \mathbf{Z}_2)$.

PROOF. Let (Φ, W) be a cobordism of (φ, X) . Then the inclusion $c: X \rightarrow \partial W$ is a regular embedding. Put $U = \partial W - \iota(\text{Int } X)$. If we denote by i, j the identity and the inclusion respectively, we have a commutative diagram:

$$\begin{array}{ccccc} H_{i+1}(W, \partial W; \mathbf{Z}_2) & \xrightarrow{i_* \circ \partial} & H_i(\partial W, U; \mathbf{Z}_2) & \xrightarrow{j_*} & H_i(W, U; \mathbf{Z}_2) \\ \partial \downarrow & \nearrow i_* & \downarrow \iota_* & & \downarrow \Phi_* \\ H_i(\partial W; \mathbf{Z}_2) & \xrightarrow{c^\#} & H_i(X, \partial X; \mathbf{Z}_2) & \xrightarrow{\varphi_*} & H_i(A, B; \mathbf{Z}_2) \end{array}$$

where the upper sequence is exact. Now $S_*(\partial W) = \partial S_*(W)$ by (2) of Lemma 1 and $S_*(X) = \iota^* S_*(\partial W)$ by AII. Therefore $\varphi_* S_*(X) = 0$. q.e.d.

3. Stiefel-Whitney classes of block bundles. Let $\xi = (E(\xi), A, \iota)$ be an n -block bundle (cf. [10]) over a locally compact polyhedron A where $c: A \rightarrow E(\xi)$ is the inclusion. Let $\bar{E}(\xi)$ be the total space of the sphere bundle associated with ξ . We shall define a homomorphism $e_\xi: \mathfrak{B}_*(E(\xi), \bar{E}(\xi)) \rightarrow \mathbf{Z}_2$ (cf. [8]), where $\mathfrak{B}_*(E(\xi), \bar{E}(\xi))$ is the bordism group of compact \mathbf{Z}_2 -Euler spaces. We need the following:

TRANSVERSALITY THEOREM (Rourke and Sanderson [10]). *Let M and N be PL-manifolds. Suppose that $f: (M, \partial M) \rightarrow (N, \partial N)$ is a locally flat proper embedding and that X is a closed subpolyhedron in N . If $f(\partial M) \cap X = \emptyset$ or if $(\partial N, \partial N \cap X)$ is collared in (N, X) and $\partial N \cap X$ is block transverse to $f|_{\partial M}: \partial M \rightarrow \partial N$, then there exists an embedding $g: M \rightarrow N$, ambient isotopic to f relative to ∂N such that X is block transverse to g .*

Let R be a regular neighborhood of A embedded properly in \mathbf{R}^α for α sufficiently large (cf. [7]). Let $i: A \subset R$ be the inclusion and let $p: R \rightarrow A$ be a retraction. Let $p^* \xi = (E(p^* \xi), R, \iota_R)$ be the induced bundle (cf. [10].) Then there exist bundle maps $(\bar{i}, i): (E(\xi), A) \rightarrow (E(p^* \xi), R)$ and $(\bar{p}, p): (E(p^* \xi), R) \rightarrow (E(\xi), A)$. For each (φ, X) in $\mathfrak{B}_*(E(\xi), \bar{E}(\xi))$, there exists an embedding $\tilde{\varphi}: (X, \partial X) \rightarrow (E(p^* \xi), \bar{E}(p^* \xi))$ such that $\tilde{\varphi} \simeq i \circ \varphi$. By the transversality theorem, we may assume that $\tilde{\varphi}(X)$ is block transverse to $\iota_R: R \rightarrow E(p^* \xi)$. Let $Y = \tilde{\varphi}^{-1}(\iota_R(R))$. Then Y is a closed \mathbf{Z}_2 -Euler space. We write $e_\xi(\varphi, X)$ for the modulo 2 Euler number $e(Y)$ of Y .

We need the following lemma to prove Lemma 6:

LEMMA 3. Let $S_*(X)$ be an axiomatic Stiefel-Whitney homology class

of X in \mathcal{S} . Let $\nu = (E, M, \iota)$ be the normal block bundle of a proper embedding from a compact triangulated differentiable manifold M into \mathbf{R}_+^α for α sufficiently large. Then $\langle U_\nu \cup (\iota^*)^{-1}w^*(M), \varphi_*S_*(X) \rangle = e_\nu(\varphi, X)$ for each (φ, X) in the bordism group $\mathfrak{B}_*(E, \bar{E})$ of compact \mathbf{Z}_2 -Euler spaces, where \bar{E} is the total space of the sphere bundle associated with ν .

This lemma is a consequence of the following two lemmas, which we merely state without proof. For, if we note AI, \dots , AIV, and Lemmas 1, 2, the proofs given in Matsui [8] for the case of Stiefel-Whitney homology classes can be applied without any change to the present situation by simply replacing therein s_* by S_* .

LEMMA 4. Let $S_*(X)$ be an axiomatic Stiefel-Whitney homology class of X in \mathcal{S} . Let $\xi = (E, A, \iota)$ be an n -block bundle over a locally compact polyhedron A . Then there exists a unique cohomology class $\Phi(\xi)$ in $H^*(E, \bar{E}; \mathbf{Z}_2)$ satisfying $\langle \Phi(\xi), \varphi_*S_*(X) \rangle = e_\xi(\varphi, X)$ for each (φ, X) in $\mathfrak{B}_*(E, \bar{E})$.

Let $\xi = (E, X, \iota)$ be a block bundle. Let $\Phi(\xi)$ be the cohomology class as in Lemma 4. Define $\tilde{w}(\xi)$ by $\tilde{w}(\xi) = \iota^* \circ (U_\xi \cup)^{-1} \Phi(\xi)$, where $\iota^* \circ (U_\xi \cup)^{-1}: H^*(E, \bar{E}; \mathbf{Z}_2) \rightarrow H^*(X; \mathbf{Z}_2)$ is the Thom isomorphism of ξ . Then we have the following:

LEMMA 5. If ξ is the block bundle induced by a vector bundle over a locally compact polyhedron X , the cohomology class $\tilde{w}(\xi)$ coincides with the dual Stiefel-Whitney class $\bar{w}(\xi)$ of $w^*(\xi)$.

PROOF OF LEMMA 3. Since ν is induced from a vector bundle, we have $\langle U_\nu \cup (\iota^*)^{-1}\bar{w}(\nu), \varphi_*S_*(X) \rangle = e_\nu(\varphi, X)$ by Lemmas 4 and 5. On the other hand, there holds $w^*(M) = \bar{w}(\nu)$. Thus $\langle U_\nu \cup (\iota^*)^{-1}w^*(M), \varphi_*S_*(X) \rangle = e_\nu(\varphi, X)$. q.e.d.

4. Proof of Theorem. Let X be an n -dimensional \mathbf{Z}_2 -Euler space. Then there exists a proper PL -embedding $\varphi: (X, \partial X) \rightarrow (\mathbf{R}_+^\alpha, \partial \mathbf{R}_+^\alpha)$ for α sufficiently large. (See Hudson [7].) Suppose that R is a regular neighborhood of X in \mathbf{R}_+^α . Put $\tilde{R} = R \cap \partial \mathbf{R}_+^\alpha$ and $\bar{R} = \text{cl}(\partial R - \tilde{R})$. Regard φ as a proper PL -embedding from $(X, \partial X)$ to (R, \tilde{R}) . We also call $(R; \tilde{R}, \bar{R}; \varphi)$ a regular neighborhood of X in \mathbf{R}_+^α . We will define a homomorphism $e_\varphi: \mathfrak{N}_*(R, \bar{R}) \rightarrow \mathbf{Z}_2$ as in [8], where $\mathfrak{N}_*(R, \bar{R})$ is the unoriented differentiable bordism group. Let $f: (M, \partial M) \rightarrow (R, \bar{R})$ be in $\mathfrak{N}_*(R, \bar{R})$. Then there exists an PL -embedding $g: (M, \partial M) \rightarrow (R \times D^\beta, \bar{R} \times D^\beta)$ for β sufficiently large, such that $g \simeq f \times \{0\}$ and that $(\varphi \times \text{id})(X \times D^\beta)$ is block transverse to g by the transversality theorem. Let $Y = (\varphi \times \text{id})^{-1} \circ g(M)$. Then Y

is a closed Z_2 -Euler space. We write $e_\varphi(f, M)$ for the modulo 2 Euler number $e(Y)$ of Y .

LEMMA 6. *Let X be an object of \mathcal{S} . Let $(R; \tilde{R}, \bar{R}; \varphi)$ be a regular neighborhood of X in R^4 . Then $\langle ([R] \cap)^{-1} \circ \varphi_* S_*(X), f_*([M] \cap w^*(M)) \rangle = e_\varphi(f, M)$ for each (f, M) in $\mathfrak{N}_*(R, \bar{R})$.*

PROOF. (i) First we prove the lemma in the case where $f: (M, \partial M) \rightarrow (R, \bar{R})$ is a PL -embedding with a normal block bundle $\xi = (E, M, f_E)$ and φ is transverse to ξ . Let $\Phi = ([R] \cap)^{-1} \circ \varphi_* S_*(X)$. Since $[E] \cap U_\xi = (f_E)_*[M]$ and $j_E \circ f_E = f$, where U_ξ is the Thom class of ξ and $j_E: E \rightarrow R$ is an inclusion, we get

$$\langle \Phi, f_*([M] \cap w^*(M)) \rangle = \langle U_\xi \cup (f_E^*)^{-1} w^*(M), [E] \cap j_E^* \Phi \rangle.$$

Now, we have the following commutative diagram:

$$\begin{array}{ccccc} (X_E, \partial X_E) & \xrightarrow{j_X} & (X, X - j(\text{Int } X_E)) & \xleftarrow{j} & (X, \partial X) \\ \downarrow \varphi_E & & \downarrow \tilde{\varphi}_E & & \downarrow \varphi \\ (E, \bar{E}) & \xrightarrow{j_E} & (R, \tilde{R}) & \xleftarrow{i} & (R, \bar{R}), \end{array}$$

where $X_E = \varphi^{-1}(E)$, $\tilde{R} = \text{cl}(R - j_E(E))$, and where i, j and j_X are inclusions. If we note $(j_E)_*[E] = i_*[R]$, then $[E] \cap j_E^* \Phi = ((j_E)^{-1} \circ i_*[R]) \cap j_E^* \Phi = (j_E)^{-1} \circ i_*([R] \cap \Phi) = (j_E)^{-1} \circ i_* \circ \varphi_* S_*(X) = (j_E)^{-1} \circ (\tilde{\varphi}_E)_* \circ j_* S_*(X)$. Since $j_X: X_E \rightarrow X$ is a regular embedding and $S_*(X_E) = j_X^* S_*(X) = (j_X)^{-1} \circ j_* S_*(X)$ by AII, we have $[E] \cap j_E^* \Phi = (\varphi_E)_* S_*(X_E)$. Thus $\langle \Phi, f_*([M] \cap w^*(M)) \rangle = \langle U_\xi \cup (f_E^*)^{-1} w^*(M), (\varphi_E)_* S_*(X_E) \rangle$. We have $\langle \Phi, f_*([M] \cap w^*(M)) \rangle = e_\xi(\varphi_E, X_E)$ by Lemma 3 and also $e_\varphi(f, M) = e_\xi(\varphi_E, X_E)$ in the view of the definitions of e_φ and e_ξ . Therefore, $\langle \Phi, f_*([M] \cap w^*(M)) \rangle = e_\varphi(f, M)$.

(ii) We now consider the case where $f: (M, \partial M) \rightarrow (R, \bar{R})$ is not an embedding.

Let (f, M) be in $\mathfrak{N}_*(R, \bar{R})$. Then there exists a PL -embedding $g: (M, \partial M) \rightarrow (R \times D^\beta, \bar{R} \times D^\beta)$ for β sufficiently large, such that $g \simeq f \times \{0\}$ and $(\varphi \times \text{id})(X \times D^\beta)$ is block transverse to g by the transversality theorem. Here $X \times D^\beta$ is an object of \mathcal{S} in view of the property (2) of \mathcal{S} . From the previous result (i), it now follows:

$$\langle ([R \times D^\beta] \cap)^{-1} \circ (\varphi \times \text{id})_* S_*(X \times D^\beta), g_*([M] \cap w^*(M)) \rangle = e_\varphi(f, M).$$

However $S_*(X \times D^\beta) = S_*(X) \times S_*(D^\beta)$ by AIII and $S_*(D^\beta) = [D^\beta]$ by (1) of Lemma 1. Hence we get $\langle ([R] \cap)^{-1} \circ \varphi_* S_*(X), f_*([M] \cap w^*(M)) \rangle = e_\varphi(f, M)$.
q.e.d.

LEMMA 7 (See [8]). *Let (A, B) be a pair of polyhedra and let $\Phi \in H^*(A, B; \mathbb{Z}_2)$. If $\langle \Phi, f_*([M] \cap w^*(M)) \rangle = 0$ for every (f, M) in $\mathfrak{N}_*(A, B)$, then $\Phi = 0$.*

PROOF OF THEOREM. Noting that $s_*(X)$ is an axiomatic Stiefel-Whitney homology class, we have by Lemma 6, $\langle ([R] \cap)^{-1} \circ \varphi_* s_*(X), f_*([M] \cap w^*(M)) \rangle = e_\varphi(f, M)$ for each (f, M) in $\mathfrak{N}_*(R, \bar{R})$. By Lemmas 6 and 7, we also have $([R] \cap)^{-1} \circ \varphi_* S_*(X) = ([R] \cap)^{-1} \circ \varphi_* s_*(X)$. Therefore $S_*(X) = s_*(X)$. q.e.d.

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