

## BANACH ALGEBRA RELATED TO DISK POLYNOMIALS

YÛICHI KANJIN

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**Introduction.** Let  $\alpha \geq 0$ , and let  $m$  and  $n$  be nonnegative integers. Disk polynomials  $R_{m,n}^{(\alpha)}(z)$  are defined by

$$R_{m,n}^{(\alpha)}(z) = \begin{cases} R_n^{(\alpha, m-n)}(2r^2 - 1)e^{i(m-n)\phi}r^{m-n} & \text{if } m \geq n, \\ R_m^{(\alpha, n-m)}(2r^2 - 1)e^{i(m-n)\phi}r^{n-m} & \text{if } m < n, \end{cases}$$

where  $z = re^{i\phi}$  and  $R_n^{(\alpha, \beta)}(x)$  is the Jacobi polynomial of degree  $n$  and of order  $(\alpha, \beta)$  normalized so that  $R_n^{(\alpha, \beta)}(1) = 1$ .

Denote by  $A^{(\alpha)}$  the space of absolutely convergent disk polynomial series on the closed unit disk  $\bar{D}$  in the complex plane, that is, the space of functions  $f$  on  $\bar{D}$  such that

$$f(z) = \sum_{m,n=0}^{\infty} a_{m,n} R_{m,n}^{(\alpha)}(z) \quad \text{with} \quad \sum_{m,n=0}^{\infty} |a_{m,n}| < \infty,$$

and introduce a norm in  $A^{(\alpha)}$  by

$$\|f\| = \sum_{m,n=0}^{\infty} |a_{m,n}|.$$

The space  $A^{(\alpha)}$  consists of continuous functions on  $\bar{D}$ , since if  $\sum |a_{m,n}| < \infty$  then the series  $\sum a_{m,n} R_{m,n}^{(\alpha)}(z)$  converges uniformly on  $\bar{D}$  by the inequality;

$$(1) \quad |R_{m,n}^{(\alpha)}(z)| \leq 1 \quad \text{on } \bar{D} \quad (\text{Koornwinder [5; (5.1)]}).$$

Our purpose is to study some structure of the algebra  $A^{(\alpha)}$ .

Let  $A^{(\alpha, \beta)}$  be the space of absolutely convergent Jacobi polynomial series  $f(x) = \sum_{n=0}^{\infty} a_n R_n^{(\alpha, \beta)}(x)$ ,  $\sum_{n=0}^{\infty} |a_n| < \infty$  on the closed interval  $[-1, 1]$ . The space  $A^{(\alpha, \beta)}$  has the structure of a Banach algebra with pointwise multiplication of functions. This is proved by the nonnegativity of the linearization coefficients of products of Jacobi polynomials (see Gasper [2]) Igari and Uno [3] and Cazzaniga and Meaney [1] studied some structure of the algebra  $A^{(\alpha, \beta)}$ , that is, the maximal ideal space, Helson sets, spectral synthesis, etc. For the space  $A^{(\alpha)}$ , we will consider some of these problems. In §§1 and 2, we will show that  $A^{(\alpha)}$  is a Banach algebra by the nonnegativity of the linearization coefficients of products of disk polynomials that is proved by Koornwinder [6], and then determine the maximal ideal space of  $A^{(\alpha)}$ . Moreover, we will show that if  $\alpha \geq 1$  and

$z_0$  is in the open unit disk  $D$  then the singleton  $\{z_0\}$  is not a set of spectral synthesis for  $A^{(\alpha)}$ . In §3, we will give a characterization of a set of interpolation with respect to  $A^{(\alpha)}$ ,  $\alpha > 0$ . The structure of  $A^{(\alpha)}$  seems simpler than that of the algebra of absolutely convergent Fourier series and is similar to that of the algebra  $A^{(\alpha, \beta)}$ , but we will use sharper asymptotic formulas and apply delicate calculus.

I would like to thank Professor S. Igari for useful advice.

**1. The Banach algebra  $A^{(\alpha)}$ .** First we mention some properties of disk polynomials  $R_{m,n}^{(\alpha)}(z)$  (cf. [5], [6]):

(i)  $R_{m,n}^{(\alpha)}(z)$  is a polynomial of degree  $m + n$  in  $x$  and  $y$ , where  $z = x + iy$ .

(ii) Let  $m_\alpha$  be the probability measure on  $\bar{D}$  defined by

$$dm_\alpha(z) = \frac{\alpha + 1}{\pi}(1 - x^2 - y^2)^\alpha dx dy .$$

Then  $\{R_{m,n}^{(\alpha)}\}_{m,n=0}^\infty$  is a complete orthogonal system in  $L^2(\bar{D}, m_\alpha)$ , that is,

$$\int_{\bar{D}} R_{m,n}^{(\alpha)}(z) R_{k,l}^{(\alpha)}(\bar{z}) dm_\alpha(z) = h_{m,n}^{(\alpha)-1} \delta_{mk} \delta_{nl} ,$$

where

$$h_{m,n}^{(\alpha)} = \frac{(m + n + \alpha + 1)\Gamma(m + \alpha + 1)\Gamma(n + \alpha + 1)}{(\alpha + 1)\Gamma(\alpha + 1)^2\Gamma(m + 1)\Gamma(n + 1)} ,$$

$\bar{z} = x - iy$  and  $\delta_{mk}$  is Kronecker's symbol. Moreover,  $\hat{f}(m, n) = 0$  for all  $m, n$  implies  $f = 0$ , where

$$\hat{f}(m, n) = \int_{\bar{D}} f(z) R_{m,n}^{(\alpha)}(\bar{z}) dm_\alpha(z) .$$

(iii) The linearization coefficients of products are nonnegative, that is,

$$R_{m,n}^{(\alpha)}(z) R_{k,l}^{(\alpha)}(z) = \sum_{p,q} c_{p,q}(m, n; k, l) h_{p,q}^{(\alpha)} R_{p,q}^{(\alpha)}(z)$$

with  $c_{p,q}(m, n; p, q) \geq 0$  [6; Corollary 5.2].

(iv) If  $\alpha = 0, 1, 2, \dots$ , then disk polynomials are the spherical functions on the sphere  $S^{2\alpha+3}$  considered as the homogeneous space  $U(\alpha+2)/U(\alpha+1)$ .

Let  $l^1$  be the Banach space of absolutely convergent double sequences  $b = \{b_{m,n}\}_{m,n=0}^\infty$  with norm  $\|b\| = \sum |b_{m,n}|$ . Then the space  $A^{(\alpha)}$  is a Banach space isometric to  $l^1$  by the mapping  $f \mapsto \{\hat{f}(m, n)h_{m,n}^{(\alpha)}\}_{m,n=0}^\infty$  of  $A^{(\alpha)}$  onto  $l^1$ . We now claim that  $A^{(\alpha)}$  is a Banach algebra.

Assume that  $f(z) = \sum a_{m,n} R_{m,n}^{(\alpha)}(z)$  and  $g(z) = \sum b_{k,l} R_{k,l}^{(\alpha)}(z)$  are in  $A^{(\alpha)}$ . Then we have

$$\begin{aligned}
 f(z)g(z) &= \sum_{m,n;k,l} a_{m,n} b_{k,l} R_{m,n}^{(\alpha)}(z) R_{k,l}^{(\alpha)}(z) \\
 &= \sum_{p,q} \left\{ \sum_{m,n;k,l} a_{m,n} b_{k,l} c_{p,q}(m, n; k, l) \right\} h_{p,q}^{(\alpha)} R_{p,q}^{(\alpha)}(z)
 \end{aligned}$$

and

$$\|fg\| \leq \sum_{p,q} \left\{ \sum_{m,n;k,l} |a_{m,n}| |b_{k,l}| |c_{p,q}(m, n; k, l) h_{p,q}^{(\alpha)}| \right\} \leq \|f\| \|g\| ,$$

since  $\sum_{p,q} |c_{p,q}(m, n; k, l) h_{p,q}^{(\alpha)}| = 1$  by (iii) and  $R_{m,n}^{(\alpha)}(l) = 1$ . Thus we have the following:

**PROPOSITION 1.** *The space  $A^{(\alpha)}$  is a commutative Banach algebra with pointwise multiplication of functions.*

**2. The maximal ideal space of  $A^{(\alpha)}$ .** Let  $m$  be the maximal ideal space of  $A^{(\alpha)}$ . The maximal ideal space is identified with the space of multiplicative linear functionals, that is, nonzero complex homomorphisms. Since the mapping  $f \mapsto f(z)$  defines a multiplicative linear functional on  $A^{(\alpha)}$ , every  $z$  in  $\bar{D}$  corresponds to a maximal ideal  $\iota(z)$  in  $m$  such that  $\tilde{f}(\iota(z)) = f(z)$  for all  $f$  in  $A^{(\alpha)}$ , where  $\tilde{f}$  is the Gelfand transform of  $f$ . Thus we have a mapping  $\iota: z \mapsto \iota(z)$  of  $\bar{D}$  into  $m$ .

**THEOREM 1.** *The maximal ideal space  $m$  of the algebra  $A^{(\alpha)}$  is homeomorphic to the closed unit disk  $\bar{D}$  by the mapping  $\iota$  and the Gelfand transform  $\tilde{f}$  of  $f$  in  $A^{(\alpha)}$  is given by  $\tilde{f}(\iota(z)) = f(z)$  for  $z$  in  $\bar{D}$ .*

**LEMMA 1.** *Let  $\alpha \geq 0$ ,  $0 < \theta < \pi$  and  $\rho > 1$ . Then there exist positive constants  $C$  and  $K$  not depending on  $\beta, n$  which satisfy the following: If  $n$  and  $\beta$  are positive integers such that  $n > K\beta$ , then*

$$\left( \cos^{\frac{\theta}{2}} \right) R_n^{(\alpha, \beta)}(\cos \theta) = \left\{ (\theta/\sin \theta)^{1/2} \left( \sin^{-\alpha} \frac{\theta}{2} \right) J_{\alpha}(N\theta) + R \right\} / \binom{n + \alpha}{n}$$

where  $|R| \leq C\rho^{\beta}(n - K\beta)^{-1}$ ,  $N = n + (\alpha + \beta + 1)/2$ ,  $\binom{p}{n} = p(p-1)\cdots(p-n+1)/n!$  and  $J_{\alpha}$  is the Bessel function of the first kind of order  $\alpha$ .

This lemma is essentially the asymptotic formula of Szegö [7; Satz II], but gives an estimate of the error term with respect to the parameter  $\beta$  which we need for our purpose. We omit the proof since it follows from term by term application of Szegö's method.

**LEMMA 2.** *Let  $\alpha \geq 0$ ,  $0 < \theta < \pi$ ,  $\sigma > 1$  and  $k$  be positive integers. Then there exist positive integers  $\lambda$  and  $\mu$  such that  $\sigma^{2\mu/(\alpha+1/2)} > \lambda > 1$  and*

$$\limsup_{k \rightarrow \infty} |\cos(N\theta + \gamma)| > 0 ,$$

where  $N = \lambda^k + (\alpha + 2\mu k + 1)/2$ ,  $\gamma = -\alpha\pi/2 - \pi/4$ .

PROOF. Since

$$\cos(N\theta + \gamma) = \operatorname{Re}[e^{i((\alpha+1)\theta/2+\gamma)} e^{i(\lambda^k + \mu k)\theta}] ,$$

it suffices to show that there exist positive integers  $\lambda$  and  $\mu$  such that  $\sigma^{2\mu/(\alpha+1/2)} > \lambda > 1$  and that the sequence  $\{e^{i(\lambda^k + \mu k)\theta}\}_{k=1}^\infty$  has more than two accumulation points. Put  $\theta = 2\pi\eta$ . First we suppose that  $\eta$  is a rational number, and write  $\eta = q/p$ , where positive integers  $p$  and  $q$  are relatively prime. Since  $0 < \eta < 1/2$ , we have  $p > 2$ . Let  $\lambda = p$  and let  $\mu$  be a positive integer such that  $\sigma^{2\mu/(\alpha+1/2)} > p$  and  $\mu$  and  $p$  are relatively prime. Then  $\{e^{i(\lambda^k + \mu k)\theta}\}_{k=1}^\infty$  has  $p$  accumulation points. Next we suppose that  $\eta$  is irrational. Let  $\lambda$  and  $\mu$  be integers such that  $\sigma^{2\mu/(\alpha+1/2)} > \lambda > 1$  and let the accumulation points of  $\{e^{i(\lambda^k + \mu k)\theta}\}_{k=1}^\infty$  be  $\{\xi_\nu\}$ . Assume that  $\operatorname{Card}\{\xi_\nu\} = Q < \infty$ . We write

$$e^{i(\lambda^{k+1} + \lambda\mu k)\theta} = e^{i(\lambda^{k+1} + \mu(k+1))\theta} e^{i(\lambda-1)\mu k\theta} e^{-i\mu\theta} .$$

The accumulation points of  $\{e^{i(\lambda^{k+1} + \lambda\mu k)\theta}\}_{k=1}^\infty$  are  $\xi_1^\lambda, \xi_2^\lambda, \dots, \xi_Q^\lambda$ . On the other hand,  $\{e^{i(\lambda-1)\mu k\theta}\}_{k=1}^\infty$  is dense in the unit circle  $|z| = 1$ , since  $\eta$  is irrational. This contradicts the finiteness of  $\{\xi_\nu\}$ . q.e.d.

PROOF OF THEOREM 1. Since two different points in  $\bar{D}$  separate functions in  $A^{(\alpha)}$ , the mapping  $\iota$  is one to one from  $\bar{D}$  into  $m$ . It follows from the definition of the Gelfand topology that the mapping  $\iota$  is continuous. Since  $\bar{D}$  and  $m$  are compact, it suffices to show that  $\iota$  is surjective.

Let  $\chi$  be a multiplicative linear functional on  $A^{(\alpha)}$ . Since the norm of a multiplicative linear functional is at most 1, we have  $|\chi(R_{1,0}^{(\alpha)})| \leq 1$  and  $|\chi(R_{0,1}^{(\alpha)})| \leq 1$ . Pick points  $se^{i\phi}$  and  $te^{i\psi}$  in  $\bar{D}$  such that  $\chi(R_{1,0}^{(\alpha)}) = se^{i\phi}$  and  $\chi(R_{0,1}^{(\alpha)}) = te^{i\psi}$ . By the identity

$$R_{1,0}^{(\alpha)} R_{0,1}^{(\alpha)} = \frac{\alpha + 1}{\alpha + 2} R_{1,1}^{(\alpha)} + \frac{1}{\alpha + 2} \quad (\text{cf. Szegö [8]}),$$

we have

$$(2) \quad st e^{i(\phi+\psi)} = \frac{\alpha + 1}{\alpha + 2} \chi(R_{1,1}^{(\alpha)}) + \frac{1}{\alpha + 2} .$$

Let  $A_0^{(\alpha)}$  be the closed subalgebra of  $A^{(\alpha)}$  generated by the set  $\{R_{n,n}^{(\alpha)}\}_{n=0}^\infty$ . Then  $A_0^{(\alpha)}$  is identified with the algebra  $A^{(\alpha,0)}$  of absolutely convergent Jacobi polynomial series of order  $(\alpha, 0)$ . The maximal ideal space of  $A^{(\alpha,0)}$  is identified with the closed interval  $[-1, 1]$  and the Gelfand transform of  $f$  in  $A^{(\alpha,0)}$  is  $f(\cdot)$  [3; Theorem 1]. Thus, restricting  $\chi$  to  $A_0^{(\alpha)}$  we have a unique point  $r$  such that  $0 \leq r \leq 1$  and  $\chi(R_{1,1}^{(\alpha)}) = R_{1,1}^{(\alpha)}(r)$ . Since  $R_{1,1}^{(\alpha)}(r) = \{(\alpha + 2)(2r^2 - 1) + \alpha\}/2(\alpha + 1)$ , (2) implies that  $\psi = -\phi$  and  $st = r^2$ .

Next we show that  $s = t$ . By the identities  $R_{m,n}^{(\alpha)} = (R_{1,0}^{(\alpha)})^{m-n} R_n^{(\alpha,m-n)}$

$(2R_{1,0}^{(\alpha)}R_{0,1}^{(\alpha)} - 1)$  for  $m \geq n$  and  $=(R_{0,1}^{(\alpha)})^{n-m}R_m^{(\alpha,n-m)}(2R_{1,0}^{(\alpha)}R_{0,1}^{(\alpha)} - 1)$  for  $m \leq n$ , we have

$$(3) \quad \chi(R_{m,n}^{(\alpha)}) = \begin{cases} (se^{t\phi})^{m-n}R_n^{(\phi,m-n)}(2st - 1) & \text{for } m \geq n, \\ (te^{i(-\phi)})^{n-m}R_m^{(\alpha,n-m)}(2st - 1) & \text{for } m \leq n. \end{cases}$$

Since  $|\chi(R_{m,n}^{(\alpha)})| \leq 1$  for all  $m, n$ , we have

$$(4) \quad s^{m-n} |R_n^{(\alpha,m-n)}(2st - 1)| \leq 1$$

for  $m \geq n$  and

$$t^{n-m} |R_m^{(\alpha,n-m)}(2st - 1)| \leq 1$$

for  $m \leq n$ . If we show that (4) implies  $s \leq t$ , we have  $s = t$  by symmetry. The condition (4) with  $t = 0$  implies  $s = 0$  by the equality  $R_n^{(\alpha,m-n)}(-1) = (-1)^n \binom{m}{n} / \binom{n+\alpha}{n}$ . Suppose that  $t \neq 0$ . Put  $\cos \theta = 2st - 1$ ,  $0 \leq \theta < \pi$ . Then the condition (4) is equivalent to

$$(5) \quad \left(\cos \frac{\theta}{2} / t\right)^{m-n} \left(\cos^{m-n} \frac{\theta}{2}\right) |R_n^{(\alpha,m-n)}(\cos \theta)| \leq 1$$

for  $m \geq n$ . If  $\theta = 0$ , we have obviously  $t = 1$  and  $s = 1$ . If  $0 < \theta < \pi$ , we put  $\sigma = t^{-1} \cos(\theta/2)$  and  $\beta = m - n$ . Suppose that  $\sigma > 1$ , and choose  $\lambda$  and  $\mu$  as in Lemma 2. Let  $\rho$  be a positive constant such that  $\rho > 1$  and  $\rho^{2\mu} < \lambda^{1/2}$ . By Lemma 1 with this  $\rho$  and a well known asymptotic formula

$$J_\alpha(z) = \sqrt{2/\pi z} \cos(z + \gamma) + O(z^{-3/2})$$

as  $z \rightarrow \infty$ , where  $\gamma = -\alpha\pi/2 - \pi/4$ , we have

$$\begin{aligned} & \sigma^\beta \cos^\beta \frac{\theta}{2} R_n^{(\alpha,\beta)}(\cos \theta) \\ &= \sigma^\beta \binom{n+\alpha}{n}^{-1} N^{-1/2} \left[ (2/\pi \sin \theta)^{1/2} \left(\sin^{-\alpha} \frac{\theta}{2}\right) \{\cos(N\theta + \gamma) + R'\} + N^{-1/2} R \right] \end{aligned}$$

for  $n > K\beta$ , where  $N = n + (\alpha + \beta + 1)/2$ ,  $|R| \leq C\rho^\beta(n - K\beta)^{-1}$ , and  $|R'| \leq C'(N\theta)^{-1}$  for  $N\theta \geq 1$  with a positive constant  $C'$  not depending on  $N$  and  $\theta$ . Put  $n = \lambda^k$  and  $\beta = 2\mu k$ , and let  $k \rightarrow \infty$ . Then  $R' \rightarrow 0$ ,  $N^{-1/2}R \rightarrow 0$  and  $\sigma^\beta \binom{n+\alpha}{n}^{-1} N^{-1/2} \rightarrow \infty$ , and thus

$$\limsup_{k \rightarrow \infty} \sigma^\beta \left(\cos^\beta \frac{\theta}{2}\right) |R_n^{(\alpha,\beta)}(\cos \theta)| = \infty$$

by Lemma 2. This contradicts the condition (5). Thus we have  $\sigma = t^{-1} \cos(\theta/2) \leq 1$ . This implies  $s \leq t$  since  $st = \cos^2(\theta/2) \leq t^2$ .

By (3) and  $s = t = r$ , we have

$$\chi(R_{m,n}^{(\alpha)}) = \begin{cases} (re^{i\phi})^{m-n} R_n^{(\alpha, m-n)} (2r^2 - 1) & \text{for } m \geq n, \\ (re^{i(-\phi)})^{n-m} R_m^{(\alpha, n-m)} (2r^2 - 1) & \text{for } m \leq n. \end{cases}$$

Thus for every  $f = \sum a_{m,n} R_{m,n}^{(\alpha)}$  in  $A^{(\alpha)}$  we have

$$\chi(f) = \sum a_{m,n} R_{m,n}^{(\alpha)}(z_0) = f(z_0),$$

where  $z_0 = re^{i\phi}$ . The proof is complete.

By the Wiener-Lévy theorem we have the following:

**COROLLARY.** *Suppose that  $\alpha \geq 0$ ,*

$$f(z) = \sum_{m,n=0}^{\infty} a_{m,n} R_{m,n}^{(\alpha)}(z), \quad \sum_{m,n=0}^{\infty} |a_{m,n}| < \infty,$$

*and  $F$  is a holomorphic function on an open set containing the range of  $f$ . Then*

$$F(f(z)) = \sum_{m,n=0}^{\infty} b_{m,n} R_{m,n}^{(\alpha)}(z) \quad \text{with} \quad \sum_{m,n=0}^{\infty} |b_{m,n}| < \infty.$$

By Theorem 1 the algebra  $A^{(\alpha)}$  is semisimple. Repeating integrations by parts we may show that the infinitely differentiable functions on a neighborhood of  $\bar{D}$  belong to  $A^{(\alpha)}$ . This implies that *the Banach algebra  $A^{(\alpha)}$  is regular.*

Let  $E$  be a closed subset of  $\bar{D}$ . Denote by  $I(E)$  the closed ideal in  $A^{(\alpha)}$  consisting of all  $f$  in  $A^{(\alpha)}$  such that  $f = 0$  on  $E$ , and by  $J(E)$  the ideal of all  $f$  in  $A^{(\alpha)}$  such that  $f = 0$  on a neighborhood of  $E$ . If  $J(E)$  is dense in  $I(E)$  then  $E$  is called a *set of spectral synthesis* for  $A^{(\alpha)}$ . By an argument similar to that used for Schwartz's example in the Euclidean space  $\mathbf{R}^3$  (cf., also, [1]), we have:

**THEOREM 2.** *If  $\alpha \geq 1$  and  $z_0$  is in the open unit disk  $D$ , then  $\{z_0\}$  is not a set of spectral synthesis for  $A^{(\alpha)}$ .*

**PROOF.** Let  $k$  be the greatest integer not exceeding  $\alpha$  and let  $z_0$  be in  $D$ . By (1) and simple calculations, there exist a positive constant  $C$  and a neighborhood  $V$  of  $z_0$  in  $D$  such that

$$\left| \frac{\partial^{p+q} R_{m,n}^{(\alpha)}(z)}{\partial x^p \partial y^q} \right| \leq C$$

on  $V$  for  $0 \leq p + q \leq k$  and all  $m, n$ . This implies that the functions in  $A^{(\alpha)}$  have  $k$  continuous derivatives on  $D$  and the functional

$$f \mapsto \frac{\partial^{p+q} f}{\partial x^p \partial y^q}(z_0)$$

on  $A^{(\alpha)}$  is continuous. Let  $I_1 = \{f \in A^{(\alpha)}; f(z_0) = 0\}$  and  $I_2 = \{f \in A^{(\alpha)}; f(z_0) = (\partial f / \partial x)(z_0) = 0\}$ . Then  $I_1$  and  $I_2$  are distinct closed ideals for  $\alpha \geq 1$ . This proves the theorem.

**3. Sets of interpolation with respect to  $A^{(\alpha)}$ .** A closed set  $E$  in  $\bar{D}$  will be called a *set of interpolation* with respect to  $A^{(\alpha)}$ , if every continuous function on  $E$  is the restriction of a function in  $A^{(\alpha)}$  to  $E$ . Vinogradov [9], Kahane [4; Ch. XI §4] and [3] suggest the following observations.

A finite subset of  $\bar{D}$  is evidently a set of interpolation with respect to  $A^{(\alpha)}$ . Let  $T$  be the circle group  $\mathbf{R}/2\pi\mathbf{Z}$  and  $A(T)$  be the algebra of absolutely convergent Fourier series  $f(t) = \sum_{n=-\infty}^{\infty} a_n e^{int}$ ,  $\sum_{n=-\infty}^{\infty} |a_n| < \infty$ . A closed set  $E$  in  $T$  is called a Helson set, if every continuous function on  $E$  is the restriction of a function in  $A(T)$  to  $E$  (cf. [4; Ch. IV]). The image of a Helson set by the map  $t \mapsto e^{it}$  will be called a Helson set on the boundary  $\partial D$ . For  $f(t) = \sum_{n=-\infty}^{\infty} a_n e^{int}$  in  $A(T)$ , put  $f(z) = \sum_{n=0}^{\infty} a_n R_{n,0}^{(\alpha)}(z) + \sum_{n=1}^{\infty} a_{-n} R_{0,n}^{(\alpha)}(z)$ . Then  $f(z)$  belongs to  $A^{(\alpha)}$ . Thus a Helson set on the boundary  $\partial D$  is a set of interpolation with respect to  $A^{(\alpha)}$ . Also, the union of a finite set in  $\bar{D}$  and a Helson set on the boundary  $\partial D$  is a set of interpolation with respect to  $A^{(\alpha)}$ . We will consider the converse.

**THEOREM 3.** *Suppose that  $\alpha > 0$ . Then every set of interpolation with respect to  $A^{(\alpha)}$  is the union of a finite set in the open unit disk  $D$  and a Helson set on the boundary  $\partial D$ .*

**LEMMA 3.** *Let  $\alpha, \beta \geq 0$  and  $0 < \theta < \pi$ . Then*

$$|R_n^{(\alpha, \beta)}(\cos \theta)| \leq C_\alpha n^{-\alpha} \{\sin^{-\alpha}(\theta/2)\} \{\cos^{-\beta}(\theta/2)\},$$

where  $C_\alpha$  is a positive constant depending only on  $\alpha$ .

**PROOF.** Let  $F(w)$  be the generating function for Jacobi polynomials of the form  $F(w) = 2^{\alpha+\beta} \Phi(w) \Psi(w) / Q(w)$ , where  $Q(w) = (1 - 2w \cos \theta + w^2)^{1/2}$ ,  $\Phi(w) = \{1 - w + Q(w)\}^{-\alpha}$  and  $\Psi(w) = \{1 + w + Q(w)\}^{-\beta}$  with the branches of  $Q(w)$ ,  $\Phi(w)$  and  $\Psi(w)$  being chosen positive for  $w = 0$ . Then, for  $0 < \theta < \pi$ , Jacobi polynomials are given by the formula

$$\binom{n + \alpha}{n} R_n^{(\alpha, \beta)}(\cos \theta) = \frac{1}{2\pi i} \int F(w) w^{-n-1} dw,$$

where the path of integration is a small closed curve around the origin in the positive direction. Thus

$$\binom{n + \alpha}{n} R_n^{(\alpha, \beta)}(\cos \theta) = \lim_{\epsilon \rightarrow 0} \frac{1}{2\pi} \left\{ \int_{-(\theta-\epsilon)}^{\theta-\epsilon} + \int_{\theta+\epsilon}^{2\pi-(\theta+\epsilon)} \right\} 2^{\alpha+\beta} \frac{\Phi(w) \Psi(w)}{Q(w)} e^{-int} dt$$

for  $0 < \theta < \pi$ , where  $w = e^{-it}$ . From this and the inequality  $\binom{n + \alpha}{n}^{-1} \leq C_\alpha n^{-\alpha}$  with a constant  $C_\alpha$  depending only on  $\alpha$ , it suffices to show that  $|\Phi(w)| \leq \{2 \sin(\theta/2)\}^{-\alpha}$  and  $|\Psi(w)| \leq \{2 \cos(\theta/2)\}^{-\beta}$ , which follow from the inequalities;

$$(6) \quad |1 - w + Q(w)| \geq 2 \sin(\theta/2)$$

$$(7) \quad |1 + w + Q(w)| \geq 2 \cos(\theta/2)$$

for  $w = e^{-it}$ ,  $t \in (-\theta, \theta) \cup (\theta, 2\pi - \theta)$ . Write

$$\begin{aligned} 1 - w + (1 - 2w \cos \theta + w^2)^{1/2} &= e^{-it/2}(e^{it/2} - e^{-it/2}) + [e^{-it}\{(e^{it} + e^{-it}) - 2 \cos \theta\}]^{1/2} \\ &= e^{-it/2}2i \sin(t/2) + e^{-it/2}(2 \cos t - 2 \cos \theta)^{1/2} \end{aligned}$$

for  $t \in (-\theta, \theta)$ . Then a branch of  $(2 \cos t - 2 \cos \theta)^{1/2}$  should be chosen positive for  $t = 0$ . Thus we have

$$|1 - w + Q(w)| = [\{2 \sin(t/2)\}^2 + 2 \cos t - 2 \cos \theta]^{1/2} = 2 \sin(\theta/2)$$

for  $t \in (-\theta, \theta)$ . Also, write

$$\begin{aligned} 1 - w + (1 - 2w \cos \theta + w^2)^{1/2} &= e^{-it/2}2i \sin(\theta/2) + e^{-it/2}i(2 \cos \theta - 2 \cos t)^{1/2} \end{aligned}$$

for  $t \in (\theta, 2\pi - \theta)$ . Then the branch of  $(2 \cos \theta - 2 \cos t)^{1/2}$  should be positive, since the branch of  $(1 - 2w \cos \theta + w^2)^{1/2}$  is positive for  $w = -1$ . This shows that

$$|1 - w + Q(w)| = 2 \sin(t/2) + (2 \cos \theta - 2 \cos t)^{1/2} > 2 \sin(\theta/2)$$

for  $t \in (\theta, 2\pi - \theta)$ . Thus we have (6). Similarly, we have (7) by the identities;

$$1 + w + Q(w) = e^{-it/2}2 \cos(t/2) + e^{-it/2}(2 \cos t - 2 \cos \theta)^{1/2}$$

for  $t \in (-\theta, \theta)$ , where the branch of  $(2 \cos t - 2 \cos \theta)^{1/2}$  is chosen positive, and

$$1 + w + Q(w) = e^{-it/2}2 \cos(t/2) + e^{-it/2}i(2 \cos \theta - 2 \cos t)^{1/2}$$

for  $t \in (\theta, 2\pi - \theta)$ , where the branch of  $(2 \cos \theta - 2 \cos t)^{1/2}$  is chosen positive. q.e.d.

**PROOF OF THEOREM 3.** Let  $E$  be a set of interpolation with respect to  $A^{(\alpha)}$ . Any closed subset  $E$  is also a set of interpolation with respect to  $A^{(\alpha)}$  and the restriction of a function in  $A^{(\alpha)}$  to  $\partial D$  can be regarded as a function in  $A(T)$ . Thus  $E \cap \partial D$  is a Helson set on the boundary  $\partial D$ .

Next we will show that  $E \cap D$  is finite. Suppose that the assertion does not hold. Then there exist a sequence  $\{z_j\}_{j=1}^\infty$  in  $E$  such that  $0 < |z_j| < 1$  for  $j = 1, 2, 3, \dots$  and  $z_i \neq z_j$  for  $i \neq j$ , and a point  $z_0$  in  $\bar{D}$  such that  $\{z_j\}$  converges to  $z_0$ . Let  $A^{(\alpha)}(E)$  be the quotient algebra  $A^{(\alpha)}/I(E)$  with quotient norm  $\|\cdot\|_{A^{(\alpha)}(E)}$  and  $C(E)$  be the Banach algebra of continuous functions on  $E$  with uniform norm  $\|\cdot\|_{C(E)}$ . Since  $E$  is a set of interpolation with respect to  $A^{(\alpha)}$ , we have  $A^{(\alpha)}(E) = C(E)$ , and the norms in  $A^{(\alpha)}(E)$  and in  $C(E)$  are equivalent. Let  $g_k$  be a function in  $C(E)$  such that  $g_k(z_{2j}) = 1$  and  $g_k(z_{2j-1}) = 0$  for  $j = 1, 2, 3, \dots, k$ ,  $g_k(z_j) = 0$  for  $j = 2k + 1, 2k + 2, \dots$  and  $\|g_k\|_{C(E)} = 1$ . By the norm equivalence we can choose a function  $f_k = \sum a_{m,n}(k)R_{m,n}^{(\alpha)}$  in  $A^{(\alpha)}$  for every  $k = 1, 2, 3, \dots$  so that  $f_k = g_k$  on  $E$  and  $\|f_k\| \leq C$ , where  $C$  is a constant not depending on  $k$ . Let  $c_0$  be the space of double sequences  $\{c_{m,n}\}_{m,n=0}^\infty$  vanishing at infinity. Since  $A^{(\alpha)}$  is isometric to  $l^1$ ,  $A^{(\alpha)}$  is identified with the dual of  $c_0$ . This implies that there exists a subsequence  $\{f_{k(p)}\}_{p=1}^\infty$  of  $\{f_k\}_{k=1}^\infty$  which converges to a function  $f = \sum a_{m,n}R_{m,n}^{(\alpha)}$  in the weak \* topology  $\sigma(A^{(\alpha)}, c_0)$ . Let  $z$  be in  $D$  and put  $z = e^{i\phi} \cos(\theta/2)$ . By Lemma 3, we have

$$(8) \quad |R_{m,n}^{(\alpha)}(z)| \leq \begin{cases} C_\alpha n^{-\alpha} \sin^{-\alpha}(\theta/2) & \text{for } m \geq n > 0, \\ C_\alpha m^{-\alpha} \sin^{-\alpha}(\theta/2) & \text{for } n > m > 0. \end{cases}$$

Since  $|R_n^{(\alpha,\beta)}(\cos \theta)| \leq \binom{n+\beta}{n} / \binom{n+\alpha}{n}$  for  $\beta \geq \alpha$  (see, [8; (7.32.2)]) and  $\binom{n+\beta}{n} / \binom{n+\alpha}{n} \leq C_{\alpha,n} \beta^n$  with a constant  $C_{\alpha,n}$  not depending on  $\beta$ , we have

$$(9) \quad |R_{m,n}^{(\alpha)}(z)| \leq \begin{cases} C_{\alpha,n} (m-n)^n \{\cos(\theta/2)\}^{m-n} & \text{for } m-n \geq \alpha, \\ C_{\alpha,m} (n-m)^m \{\cos(\theta/2)\}^{n-m} & \text{for } n-m \geq \alpha. \end{cases}$$

Thus, if  $\alpha > 0$ , then the complex sequence  $\{R_{m,n}^{(\alpha)}(z)\}_{m,n=0}^\infty$  belongs to  $c_0$  for every  $z$  in  $D$  by (8) and (9). By the definition of the weak \* topology, we have that  $f_{k(p)}(z)$  converges to  $f(z)$  as  $p \rightarrow \infty$  for every  $z$  in  $D$ . In particular, we have that  $f(z_{2j}) = 1$  and  $f(z_{2j-1}) = 0$  for  $j = 1, 2, 3, \dots$ , which contradicts the continuity of  $f$  in  $\bar{D}$ . The proof is complete.

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DEPARTMENT OF MATHEMATICS  
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