

MINIMAL SURFACES IN A SPHERE WITH GAUSSIAN  
CURVATURE NOT LESS THAN 1/6

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**1. Introduction.** Let  $S^n(r)$  be an  $n$ -dimensional sphere in the  $(n + 1)$ -dimensional Euclidean space  $\mathbf{R}^{n+1}$  with radius  $r$  and  $x: M \rightarrow S^n(r)$  be an isometric minimal immersion of a differentiable 2-manifold  $M$  into  $S^n(r)$  ( $n \geq 3$ ). If  $M$  is compact and the Gaussian curvature  $K$  of  $M$  is non-negative, not identically zero, then the genus of  $M$  is zero, by the Gauss-Bonnet theorem. In [3], Borůvka has constructed a series of isometric minimal immersions  $\psi_k: S^2((k(k+1)/2)^{1/2}) \rightarrow S^{2k}(1)$  by making use of spherical harmonic polynomials of degree  $k$ .  $\psi_2$  is the Veronese surface with  $K = 1/3$  in  $S^4(1)$  and  $\psi_3$  is called the generalized Veronese surface with  $K = 1/6$  in  $S^6(1)$ . Later, in [4], Calabi has proved that, any isometric full minimal immersion of  $S^2(K^{-1/2})$  into  $S^n(1)$  is congruent to some  $\psi_k$  and so there exists an integer  $k$  such that  $K = 2/k(k+1)$  and  $n = 2k$ .

On the other hand, Lawson [13] and Benko et al. [2] have proved the following:

**THEOREM A.** *Let  $x: M \rightarrow S^n(1)$  be an isometric minimal immersion of a complete, connected, oriented 2-manifold  $M$  into  $S^n(1)$  ( $n \geq 3$ ). If  $1/3 \leq K \leq 1$ , then either  $x(M)$  is totally geodesic and  $K \equiv 1$ , or the Veronese surface in  $S^4(1)$  and  $K \equiv 1/3$ .*

In this paper, we shall prove:

**THEOREM B.** *Let  $x: M \rightarrow S^n(1)$  be an isometric minimal immersion of a complete, connected, oriented 2-manifold  $M$  into  $S^n(1)$  ( $n \geq 3$ ). If  $1/6 \leq K \leq 1$ , then either (1)  $x(M)$  is totally geodesic and  $K \equiv 1$ , (2) the generalized Veronese surface in  $S^6(1)$  and  $K \equiv 1/6$ , or (3) a minimal surface in  $S^4(1)$  with  $1/6 \leq K \leq 1$ .*

As a corollary to Theorem B, we can prove the following:

**COROLLARY C.** *If  $1/6 \leq K \leq 1/3$ , then  $K \equiv 1/3$  or  $1/6$ , and either  $x(M)$  is the Veronese surface in  $S^4(1)$  in the case of  $K \equiv 1/3$ , or the generalized Veronese surface in  $S^6(1)$  in the case of  $K \equiv 1/6$ .*

Recently, Kozłowski and Simon [12] proved Corollary C by studying

the properties of eigenfunctions of the Laplacian for  $S^2(1)$ .

In Section 2, we shall explain the notion of the third fundamental form and calculate the Laplacian of the square of its length. In Section 3, we shall give proofs of Theorem B and Corollary C. The main idea of our proof is to calculate the Laplacian of some functions defined globally on a surface  $M$ . In Section 4, we shall give a one-parameter family of minimal immersions of a differentiable 2-sphere  $S^2$  into  $S^4(1)$ , from which we can get infinitely many examples of the case (3) of Theorem B and show that the hypothesis on  $K$  in Theorem A is the best possible.

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**2. The third fundamental forms.** Let  $\bar{M}$  be an  $n$ -dimensional Riemannian manifold of constant curvature  $c$  and  $x: M \rightarrow \bar{M}$  be a minimal isometric immersion of a complete, connected, orientable Riemannian 2-manifold  $M$  into  $\bar{M}$ . We shall use the same notations and terminologies as in Kenmotsu [10] unless otherwise stated and denote by  $h_{\alpha ij}$  or  $h_{\alpha ij, k}$  the components of the second fundamental form or of its covariant derivatives, respectively. We first introduce scalar fields  $K_{(2)}$ ,  $N_{(2)}$  and  $f_{(2)}$  on  $M$  used in [10] by the following equations:

$$(2.1) \quad K_{(2)} = \sum (h_{\alpha 11}^2 + h_{\alpha 12}^2)$$

$$(2.2) \quad N_{(2)} = \sum h_{\alpha 11}^2 \sum h_{\alpha 12}^2 - (\sum h_{\alpha 11} h_{\alpha 12})^2$$

$$(2.3) \quad f_{(2)} = K_{(2)}^2 - 4N_{(2)}.$$

Note that these are globally defined on  $M$  and independent of the choice of the frame fields. If  $K_{(2)}$  is identically zero on  $M$ , then  $M$  is totally geodesic.

**LEMMA 2.1** (Chern [6] and Kenmotsu [10]). *Let  $M$  be a compact oriented minimal surface in  $S^n(1)$ . If the Gaussian curvature of  $M$  is strictly positive, then  $f_{(2)}$  is identically zero on  $M$ .*

Suppose that the Gaussian curvature  $K$  is bounded from below by some positive constant. Hence, if  $M$  is complete and simply connected, then  $M$  is compact. By Lemma 2.1, we have  $f_{(2)} = 0$  and so  $\Delta K_{(2)} = 2\Delta(\sum h_{\alpha 11}^2)$ . By [10, (4.27)<sub>2</sub>], we have

$$(2.4) \quad \Delta(\sum h_{\alpha 11}^2) = 4(\sum h_{\alpha 11}^2) \cdot K - 4(\sum h_{\alpha 11}^2)^2 + 2 \sum (h_{\alpha 11,1}^2 + h_{\alpha 11,2}^2).$$

At any point  $p$  of  $M$ , we denote by  $T_p^{(2)}$  the subspace of  $T_p(\bar{M})$  spanned by  $e_1, e_2, \sum h_{\alpha 11} e_\alpha$  and  $\sum h_{\alpha 12} e_\alpha$ , which is called the *second osculating*

space. We identify the *first osculating space*  $T_p^{(1)}$  with the tangent space  $T_p(M)$  of  $M$ . In general we have  $2 \leq \dim T_p^{(2)} \leq 4$ . We set

$$\Omega_2 = \{p \in M; N_{(2)} \neq 0 \text{ at } p\},$$

which is an open subset of  $M$ . Hereafter, we assume that  $x$  is not totally geodesic. By Lemma 2.1,  $\Omega_2$  is not empty and so  $\dim T_p^{(2)} = 4$  for any  $p \in \Omega_2$ . Let  $\{e_A\}$  be a system of local orthonormal frame fields such that  $\{e_i; i = 1, 2\}$  and  $\{e_\lambda; \lambda = 3, 4\}$  span  $T_p^{(2)}$  for  $p \in \Omega_2$ . We then have

$$(2.5) \quad \omega_{\alpha i} = 0 \text{ for } \alpha \geq 5 \text{ on } \Omega_2.$$

By taking the exterior derivative of (2.5), and making use of the structure equations of  $M$ , we get

$$(2.6) \quad \omega_{i3} \wedge \omega_{3\alpha} + \omega_{i4} \wedge \omega_{4\alpha} = 0 \quad (\alpha \geq 5).$$

This allows us to introduce quantities  $h_{\alpha ijk}$  ( $\alpha \geq 5$ ) defined by the equation

$$(2.7) \quad h_{3i\alpha} \omega_{3\alpha} + h_{4i\alpha} \omega_{4\alpha} = \sum h_{\alpha ijk} \omega_k \quad (\alpha \geq 5).$$

$\{h_{\alpha ijk}\}$  is symmetric in the Latin indices  $i, j$  and  $k$ . By (2.7) and the minimality of  $x(M)$ , we get

$$(2.8) \quad \sum h_{\alpha iik} = 0 \quad (\alpha \geq 5).$$

$\sum_{\alpha \geq 5} (\sum_{i,j,k} h_{\alpha ijk} \omega_i \otimes \omega_j \otimes \omega_k) \otimes e_\alpha$  is called the third fundamental form of the immersed manifold  $x(M)$  (cf. [6]). Note that, for any  $\alpha \geq 5$ , we have

$$(2.9) \quad h_{\alpha ijk} = h_{\alpha ijk}$$

which follows easily from the definition of the covariant derivatives of  $h_{\alpha ij}$ .

We define the covariant derivatives  $h_{\alpha ijk,l}$  of  $h_{\alpha ijk}$  by

$$(2.10) \quad Dh_{\alpha ijk} = h_{\alpha ijk,l} \omega_l \\ = dh_{\alpha ijk} + \sum h_{\alpha sjk} \omega_{si} + \sum h_{\alpha isk} \omega_{sj} + \sum h_{\alpha ijs} \omega_{sk} + \sum h_{\beta ijk} \omega_{\beta\alpha}.$$

Then we have  $\sum h_{\alpha iik,l} = 0$  by (2.8) and (2.10). By Lemma 2.1, the normal vectors  $\sum h_{\alpha 11} e_\alpha$  and  $\sum h_{\alpha 12} e_\alpha$  are perpendicular to each other and of the same non-zero length at any  $p$  in  $\Omega_2$ . So, normalizing these vectors, we adopt them as a part of a basis of  $T_p^{(2)}$  for  $p \in \Omega_2$ . With respect to these new frames, we have, on  $\Omega_2$ ,

$$(2.11) \quad h_{311} = h_{412}, h_{312} = h_{411} = 0 \text{ and } h_{\alpha ij} = 0 \quad (\alpha \geq 5),$$

$$(2.12) \quad dh_{311} = h_{311,1} \omega_1 + h_{311,2} \omega_2,$$

$$(2.13) \quad h_{311}(-\omega_{34} + 2\omega_{12}) = h_{311,2}\omega_1 - h_{311,1}\omega_2 ,$$

$$(2.14) \quad h_{411,1} = -h_{311,2}, h_{411,2} = h_{311,1} .$$

Also, (2.7), (2.8) and (2.11) imply, for  $\alpha \geq 5$ ,

$$(2.15)_1 \quad h_{311}\omega_{3\alpha} = h_{\alpha 111}\omega_1 + h_{\alpha 112}\omega_2$$

$$(2.15)_2 \quad h_{311}\omega_{4\alpha} = h_{\alpha 112}\omega_1 - h_{\alpha 111}\omega_2 .$$

Taking the exterior derivative of (2.15), we have

$$(2.16) \quad h_{\alpha 111,2} = h_{\alpha 112,1} \quad \text{and} \quad h_{\alpha 111,1} + h_{\alpha 112,2} = 0 .$$

We introduce three scalar fields  $K_{(3)}, N_{(3)}$  and  $f_{(3)}$  on  $\Omega_2$ , which are defined by

$$(2.17) \quad K_{(3)} = \sum (h_{\alpha 111}^2 + h_{\alpha 112}^2) ,$$

$$(2.18) \quad N_{(3)} = (\sum h_{\alpha 111}^2)(\sum h_{\alpha 112}^2) - (\sum h_{\alpha 111}h_{\alpha 112})^2 ,$$

$$(2.19) \quad f_{(3)} = K_{(3)}^2 - 4N_{(3)} .$$

Note that  $f_{(3)}$  is globally defined on  $\Omega_2$  and the notions of these scalar fields can be extended to the higher order fundamental tensors if  $K_{(3)} \neq 0$  (cf. [10]). As for the geometrical meaning of  $K_{(i)}$  and  $N_{(i)}$ ,  $i = 2, 3$ , we have the following:

LEMMA 2.2 (Ötsuki [14]). (a) *If  $K_{(2)} \neq 0, N_{(2)} \neq 0$  and  $h_{\alpha 11,1} = h_{\alpha 11,2} = 0$  ( $\alpha \geq 5$ ) on  $M$ , then there is a 4-dimensional totally geodesic submanifold of  $\bar{M}$  such that  $M$  is contained in the submanifold.*

(b) *If  $K_{(2)}K_{(3)} \neq 0, N_{(2)}N_{(3)} \neq 0$  and  $h_{\alpha 111,1} = h_{\alpha 111,2} = 0$  ( $\alpha \geq 7$ ) on  $M$ , then there is a 6-dimensional totally geodesic submanifold of  $\bar{M}$  such that  $M$  is contained in the submanifold.*

By (2.17), (2.18) and (2.19), we have

$$(2.20) \quad f_{(3)} = \left( \sum_{\alpha \geq 5} (h_{\alpha 111}^2 - h_{\alpha 112}^2) \right)^2 + 4 \left( \sum_{\alpha \geq 5} h_{\alpha 111}h_{\alpha 112} \right)^2 .$$

LEMMA 2.3 (Chern [6] and Kenmotsu [10, p. 300, Proposition]). *Let  $M$  be a compact, oriented, connected minimal surface in  $S^n(1)$ . Suppose that  $M$  is not totally geodesic and the Gaussian curvature of  $M$  is strictly positive. Then  $f_{(3)}$  is identically zero on  $\Omega_2$ .*

**3. Proofs of Theorem B and Corollary C.** We assume that  $M$  is not totally geodesic. By virtue of the curvature condition and Lemma 2.3, we have  $\sum h_{\alpha 111}^2 = \sum h_{\alpha 112}^2$  and  $\sum h_{\alpha 111}h_{\alpha 112} = 0$  on  $\Omega_2$ .

LEMMA 3.1.  $\Delta(\sum h_{\alpha 111}^2) = 6(\sum h_{\alpha 111}^2)K - (4/h_{311}^2)(\sum h_{\alpha 111}^2)^2 + 2 \sum (h_{\alpha 111,1}^2 + h_{\alpha 111,2}^2)$ .

PROOF. Since the proof of this lemma in [10] is incorrect, we give it here for completeness. We first get

$$d(\sum h_{\alpha_{111}}^2) = 2 \sum (h_{\alpha_{111}} h_{\alpha_{111},1} \omega_1 + h_{\alpha_{111}} h_{\alpha_{111},2} \omega_2),$$

$$\Delta(\sum h_{\alpha_{111}}^2) \omega_1 \wedge \omega_2 = 2d\{\sum (h_{\alpha_{111}} h_{\alpha_{111},1} \omega_2 - h_{\alpha_{111}} h_{\alpha_{111},2} \omega_1)\}.$$

On the other hand, by (2.16), we have,

$$2 \sum (h_{\alpha_{111}} h_{\alpha_{111},1} \omega_2 - h_{\alpha_{111}} h_{\alpha_{111},2} \omega_1) = -2 \sum h_{\alpha_{111}} (dh_{\alpha_{112}} + \sum h_{\beta_{112}} \omega_{\beta\alpha} + 3h_{\alpha_{111}} \omega_{12}).$$

Hence, by direct calculation, we have

$$\begin{aligned} \Delta(\sum h_{\alpha_{111}}^2) \omega_1 \wedge \omega_2 &= 6K \sum h_{\alpha_{111}}^2 \omega_1 \wedge \omega_2 - 2 \sum h_{\alpha_{111}} h_{\beta_{112}} \omega_{\beta\alpha} \wedge \omega_{3\alpha} \\ &\quad - 2 \sum h_{\alpha_{111}} h_{\beta_{112}} \omega_{\beta 4} \wedge \omega_{4\alpha} + 2(\sum (h_{\alpha_{111},1}^2 \\ &\quad + h_{\alpha_{111},2}^2) \omega_1 \wedge \omega_2). \end{aligned}$$

Substituting (2.15) into the above equation, we have Lemma 3.1.

Making use of (2.4), (2, 11) and Lemma 2.3, we have

$$(3.1) \quad \Delta h_{311}^2 = 4h_{311}^2 \cdot K - 4h_{311}^4 + 4(h_{311,1}^2 + h_{311,2}^2) + 4 \sum h_{\alpha_{111}}^2.$$

$(h_{311}^2 \sum h_{\alpha_{111}}^2)$  is a smooth function on  $\Omega_2$  by (2.1) and (2.11). We can compute the Laplacian of this function by using Lemma 3.1 and (3.1):

$$(3.2) \quad \begin{aligned} \Delta(h_{311}^2 \sum h_{\alpha_{111}}^2) &= 10h_{311}^2 K(\sum h_{\alpha_{111}}^2) - 4h_{311}^4(\sum h_{\alpha_{111}}^2) + 2h_{311}^2 \sum (h_{\alpha_{111},1}^2 \\ &\quad + h_{\alpha_{111},2}^2) + 4 \sum h_{\alpha_{111}}^2 (h_{311,1}^2 + h_{311,2}^2) \\ &\quad + 8(h_{311} h_{311,1} \sum h_{\alpha_{111}} h_{\alpha_{111},1} + h_{311} h_{311,2} \sum h_{\alpha_{111}} h_{\alpha_{111},2}). \end{aligned}$$

Taking the exterior derivative of  $\sum h_{\alpha_{111}}^2 = \sum h_{\alpha_{112}}^2$  and  $\sum h_{\alpha_{111}} h_{\alpha_{112}} = 0$ , we have

$$(3.3) \quad \begin{aligned} \sum (h_{\alpha_{111}} h_{\alpha_{111},2} + h_{\alpha_{112}} h_{\alpha_{111},1}) &= 0 \quad \text{and} \\ \sum (h_{\alpha_{111}} h_{\alpha_{111},1} - h_{\alpha_{112}} h_{\alpha_{111},2}) &= 0. \end{aligned}$$

Hence, by the Gauss equation, (3.3) and Lemma 2.3, (3.2) implies

$$(3.4) \quad \begin{aligned} \Delta(h_{311}^2 \sum h_{\alpha_{111}}^2) &= 2h_{311}^2 \sum h_{\alpha_{111}}^2 (5 - 12h_{311}^2) + 2 \sum \{(h_{311} h_{\alpha_{111},1} + h_{311,1} h_{\alpha_{111}} \\ &\quad - h_{311,2} h_{\alpha_{112}})^2 + (h_{311} h_{\alpha_{111},2} + h_{311,1} h_{\alpha_{112}} + h_{311,2} h_{\alpha_{111}})^2\}. \end{aligned}$$

Since  $K \geq 1/6$  implies  $h_{311}^2 \leq 5/12$  on  $M$ , we have  $\Delta(h_{311}^2 \sum h_{\alpha_{111}}^2) \geq 0$  on  $\Omega_2$ . Note that  $M - \Omega_2$  is at most finite (cf. [6] or [10, p. 300, Proposition]). Hence,  $\Omega_2$  is parabolic or compact and the maximum principle holds good. If there exists a point  $p$  of  $M - \Omega_2$  such that  $\limsup_{x \rightarrow p} (h_{311}^2 \sum h_{\alpha_{111}}^2)|_x = +\infty$ , then we have  $\limsup_{x \rightarrow p} \sum h_{\alpha_{111}}^2|_x = +\infty$ . So, by (3.1), it follows that  $\limsup_{x \rightarrow p} (\Delta h_{311}^2) \geq \limsup_{x \rightarrow p} 4 \sum h_{\alpha_{111}}^2 = +\infty$ , because of  $\lim_{x \rightarrow p} h_{311}^2 = 0$ . This contradicts the boundedness of  $\Delta h_{311}^2$  on the compact manifold  $M$ . Hence,  $h_{311}^2 \sum h_{\alpha_{111}}^2$  is an upper bounded, subharmonic function on the parabolic

surface  $\Omega_2$ , hence is constant on  $\Omega_2$ . Thus, we have  $(5 - 12h_{311}^2) \equiv 0$  or  $\sum h_{\alpha 11}^2 \equiv 0$ . If  $(5 - 12h_{311}^2) \equiv 0$ , we have  $M = \Omega_2$ ,  $K \equiv 1/6$  and  $\sum h_{\alpha 11}^2 = 5/48$  by (3.1). Moreover, by Lemma 3.1, we have  $\sum(h_{\alpha 11,1}^2 + h_{\alpha 11,2}^2) = 0$ , which shows  $n = 6$  by Lemma 2.3 (b). On the other hand, if  $\sum h_{\alpha 11}^2 = 0$ , we have  $n = 4$  by Lemma 2.3 (a), which completes the proof of Theorem A.

Next, we shall give the proof of Corollary C. By the assumption  $1/6 \leq K \leq 1/3$ , the case (1) in Theorem B does not happen. Hence, in proving Corollary C, it is sufficient to show that a minimal surface in  $S^4(1)$  satisfying  $1/6 \leq K \leq 1/3$  is the Veronese surface. From (3.1), we have

$$(3.5) \quad \Delta h_{311}^2 = 4h_{311}^2(1 - 3h_{311}^2) + 4(h_{311,1}^2 + h_{311,2}^2).$$

On the other hand,  $1/6 \leq K \leq 1/3$  implies  $1/3 \leq h_{311}^2 \leq 5/12$  by the Gauss equation. From (3.5), we have  $\Delta \log(1/h_{311}^2) = 4(3h_{311}^2 - 1) \geq 0$ . Since  $1/h_{311}^2$  is a positive scalar function on  $M$ , we have  $h_{311}^2 = 1/3$ , which gives  $K = 1/3$ .

**4. Examples.** In this section, we shall construct minimal surfaces in  $S^4(1)$  with  $K \geq 1/6$ , which give examples of the case (3) in Theorem B. Let  $z$  be an isothermal coordinate on  $S^2$ . We define a one-parameter family  $\{x_t; t \in (0, \infty)\}$  of immersions of  $S^2$  into  $S^4(1)$ .

$$(4.1) \quad x_t = \frac{1}{(t + 3|z|^2 + 3t^2|z|^4 + t|z|^6)} \begin{pmatrix} (3t)^{1/2}(z^2 + \bar{z}^2)(|z|^2 + t) \\ -i(3t)^{1/2}(z^2 - \bar{z}^2)(|z|^2 + t) \\ -i(3t)^{1/2}(z - \bar{z})(t|z|^4 - 1) \\ (3t)^{1/2}(z + \bar{z})(t|z|^4 - 1) \\ -t + 3|z|^2 + 3t^2|z|^4 - t|z|^6 \end{pmatrix}.$$

Then, for each  $t \in (0, \infty)$ , we have, by direct calculation,

$$(4.2) \quad ds_t^2 = \frac{12t(1 + 4t^2|z|^2 + 6t|z|^4 + 4|z|^6 + t^2|z|^8) dz \otimes d\bar{z}}{(t + 3|z|^2 + 3t^2|z|^4 + t|z|^6)^2}$$

$$(4.3) \quad K_{(t)} = 1 - \frac{2(t + 3|z|^2 + 3t^2|z|^4 + t|z|^6)^4}{3t(1 + 4t^2|z|^2 + 6t|z|^4 + 4|z|^6 + t^2|z|^8)^3}$$

$$(4.4) \quad \Delta_{(t)} x_t = -2x_t,$$

where  $ds_t^2$  is the Riemannian metric of  $S^2$  induced by  $x_t$  and  $K_{(t)}$ , (resp.  $\Delta_{(t)}$ ) is the Gaussian curvature, (resp. the Laplacian), with respect to  $ds_t^2$ . From (4.4), we conclude that each immersion  $x_t$  is minimal. We see easily that  $x_t$  is the Veronese surface and, for each  $t > 0$ ,  $x_t$  is not totally geodesic because of  $K_{(t)} \neq 1$ .

**PROPOSITION 4.1.** *The example (4.1) corresponds to the one-parameter*

family  $\xi_t$  of directrix curves in  $CP^4$  given by Chern (cf. [7] or [8]) in homogeneous coordinate:

$$(4.5) \quad \xi_t = \begin{pmatrix} 1 + tz^4 \\ i(1 - tz^4) \\ 2i(tz + z^3) \\ 2(-tz + z^3) \\ -2(3t)^{1/2}z^2 \end{pmatrix}.$$

PROOF. In  $C^5$  the symmetric product of two vectors  $\mathbf{a} = (a_i)$ ,  $\mathbf{b} = (b_i)$  is given by  $(\mathbf{a}, \mathbf{b}) = \sum a_i b_i$ . Following Barbosa [1], we compute  $G_2 = \bar{\partial}^2 x_t - \{(\bar{\partial}^2 x_t, \partial x_t)/(\bar{\partial} x_t, \partial x_t)\} \bar{\partial} x_t$ . We then have  $\xi_t = G_2/(G_2, \bar{G}_2)$ , which proves Proposition 4.1 by [1, Theorem (3.30)].

REMARK. Corresponding to Tjaden's example in [12], we have the following one-parameter family  $\tilde{\xi}_t$  of directrix curves in  $CP^4$ :

$$(4.6) \quad \tilde{\xi}_t = \begin{pmatrix} e^t + e^{-t}z^4 \\ i(e^t - e^{-t}z^4) \\ 2(z - z^3) \\ -2i(z + z^3) \\ 2 \cdot 3^{1/2}z^2 \end{pmatrix}.$$

It is easily verified that  $\xi_t$  is isometric to some  $\tilde{\xi}_{t'}$ . Thus our example is the same as Tjaden's one by [1, Proposition (5.2) and Theorem (5.15)].

In (4.3), we put  $K_{(t)} = 1 - L_{(t)}$ . Then we have

$$(4.7) \quad L_{(t)} = 2/(3t)\{t^4 + (1 - t^3)f\} \quad \text{or}$$

$$(4.8) \quad L_{(t)} = 2/(3t)\{1/(t^2 + (1 - t^3)g)\},$$

where  $f = f(t, |z|^2)$  and  $g = g(t, |z|^2)$  are some positive functions of  $t (> 0)$  and  $|z|^2$ . If  $1 \leq t^3 \leq 5/4$ , then  $L_{(t)} \leq (2/3)t^3 \leq 5/6$ , by (4.7), which implies  $K_{(t)} \geq 1/6$ . In the same way, if  $4/5 \leq t^3 \leq 1$ , we have  $K_{(t)} \geq 1/6$  by (4.8). Thus we have  $K_{(t)} \geq 1/6$  for each  $t$  with  $4/5 \leq t^3 \leq 5/4$ , which gives examples of the case (3) in Theorem B.

REMARK. The assumption on  $K$  in Theorem A is the best possible for the conclusion of Theorem A. Because for any  $\varepsilon > 0$ , we set  $t^3 = 1 + (3/2)\varepsilon (> 1)$ . By (4.7), we have  $L_{(t)} \leq 2/3 + \varepsilon$ , which implies  $K_{(t)} \geq 1/3 - \varepsilon$ .

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