

ASYMPTOTIC ESTIMATES FOR MODULI OF EXTREMAL RINGS

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Abstract. For $n \geq 2$ and $0 < a < 1$ let $R_n(a)$ denote the extremal ring domain consisting of the unit ball in n -space minus the closed slit $[-a, a]$ along the x_1 -axis. Significant lower and upper limits as n tends to ∞ are obtained for the expressions

$$\text{mod } R_n(a) - n + \frac{1}{2} \log n$$

and

$$n^{1/2-n} \text{mod } R_n(a)^n,$$

where mod denotes the conformal modulus.

1. Introduction. In this paper we find asymptotic lower and upper limits as n tends to ∞ for the modulus of certain extremal rings in n -space.

For $n \geq 2$ and $0 < a < 1$ we let $R = R_n(a)$ denote the ring in \mathbf{R}^n consisting of the open unit ball B^n minus the closed slit $[-a, a]$ along the x_1 -axis. The *conformal capacity* of R is defined to be

$$\text{cap } R = \inf_u \int_R |\nabla u|^n d\omega,$$

where $u \in C^1(R)$, $u = 0$ on the slit $[-a, a]$, and $u = 1$ on the boundary sphere S^{n-1} . The *modulus* of R is defined by

$$\text{mod } R = (\sigma_{n-1} / \text{cap } R)^{1/(n-1)}, \quad \sigma_{n-1} = m_{n-1}(S^{n-1}).$$

The rings $R_n(a)$ are *extremal* in the following sense: If R is any ring in \mathbf{R}^n consisting of the unit ball minus a continuum whose diameter is at least $2a$, then $\text{mod } R \leq \text{mod } R_n(a)$ (cf. [An1]). This extremal property of the rings $R_n(a)$ makes them useful in the study of the distortion properties of quasiconformal mappings in n -space (cf. [G], [AVV]), and we therefore wish to obtain all possible information about these rings.

The asymptotic behavior of $R_n(a)$ has been studied as a tends to 0 and to 1 and as n tends to ∞ . In particular, it has been shown [An2, Theorem 2, p. 7] that for each a , $0 < a < 1$,

$$(1) \quad A_n(K'/\pi K)^{1/(n-1)} \leq \text{mod } R_n(a) \leq A_n \left(\log \frac{1+a}{1-a} \right)^{1/(1-n)},$$

where K and K' are the complete elliptic integrals of the first kind defined by

$$(2) \quad \begin{aligned} K &= K(k) = \int_0^1 [(1-t^2)(1-k^2t^2)]^{-1/2} dt, \\ K' &= K(k'), \quad k' = (1-k^2)^{1/2} \end{aligned}$$

with $k = a^2$ and where $A_n = I_n^{1/(n-1)} J_n$ with

$$(3) \quad I_n = \int_0^{\pi/2} \sin^{n-2} t dt, \quad J_n = \int_0^{\pi/2} (\sin t)^{(2-n)/(n-1)} dt.$$

Also for fixed a , $0 < a < 1$, it is known [An2, Theorem 5, p. 18] that

$$\lim_{n \rightarrow \infty} (1/n) \text{mod } R_n(a) = 1.$$

It is the purpose of this paper to make more precise the dependence of $R_n(a)$ upon the dimension n . Specifically, we shall prove the following theorems.

THEOREM 1. *For $n \geq 3$ and $0 < a < 1$ let $R_n(a)$ denote the ring in R^n consisting of the unit ball B^n minus the slit $[-a, a]$ along the x_1 -axis. Then*

$$(4) \quad \begin{aligned} \limsup_{n \rightarrow \infty} \left\{ \text{mod } R_n(a) - n + \frac{1}{2} \log n \right\} \\ \leq -1 + \frac{1}{2} \log(2\pi) - \log \log \frac{1+a}{1-a} \end{aligned}$$

and

$$(5) \quad \liminf_{n \rightarrow \infty} \left\{ \text{mod } R_n(a) - n + \frac{1}{2} \log n \right\} \geq -1 + \frac{1}{2} \log(2\pi) + \log(K'/\pi K)$$

where K and K' are the elliptic integrals in (2) with $k = a^2$.

THEOREM 2. *For $n \geq 3$ and $0 < a < 1$ let $R_n(a)$ denote the ring in Theorem 1. Then*

$$(6) \quad \limsup_{n \rightarrow \infty} n^{1/2-n} \text{mod } R_n(a)^n \leq (\sqrt{2\pi}/e) \left(\log \frac{1+a}{1-a} \right)^{-1}$$

and

$$(7) \quad \liminf_{n \rightarrow \infty} n^{1/2-n} \text{mod } R_n(a)^n \geq (\sqrt{2\pi}/e)(K'/\pi K),$$

where K and K' are the elliptic integrals in (2) with $k = a^2$.

We shall accomplish the proofs of these theorems by studying the asymptotic behavior of the constant A_n , appearing in (1), as n tends to ∞ .

We shall follow mostly standard notation, consistent with [AV].

2. Proof of Theorem 1. The proof of Theorem 1 will depend upon a knowledge of the behavior of the constant A_n in (1) as a function of n . Since $A_n = I_n^{1/(n-1)} J_n$, where I_n and J_n are the integrals in (3), we begin by studying these.

LEMMA 1. For $n \geq 3$ let $I_n = \int_0^{\pi/2} \sin^{n-2} t dt$. Then

$$(\pi/(2n - 2))^{1/2} < I_n < (\pi/(2n - 4))^{1/2} .$$

PROOF. This is Lemma 1 of [AV]. □

REMARK. In the sequel we shall frequently need to use the facts that

$$(8) \quad \log c < n(c^{1/n} - 1) < c - 1$$

and

$$(9) \quad c^{1/n} - 1 < (\log c)/(n - \log c)$$

for $c > 1$ and $n \geq 2$.

LEMMA 2. For $n \geq 3$ let $J_n = \int_0^{\pi/2} (\sin t)^{(2-n)/(n-1)} dt$. Then

$$n - 1 + \log 2 - (n - 1)^{-1} < J_n < n - 1 + \log 2 - \left(\frac{\pi}{2} \log 2 - 1\right)(n - 1)^{-1} .$$

In particular, $n - 1 < J_n < n$ for $n \geq 3$, and $J_n - n$ increases to $-1 + \log 2$ as n tends to ∞ .

PROOF. By an elementary estimate and by (8) with $c = \csc t$ we have

$$\begin{aligned} J_n - n + 1 - \log 2 &= \int_0^{\pi/2} (1 - \cos t)((\sin t)^{(2-n)/(n-1)} - \csc t) dt \\ &= \int_0^{\pi/2} (1 - \cos t)(\sin t)^{(2-n)/(n-1)}(1 - (\sin t)^{1/(1-n)}) dt \\ &< \int_0^{\pi/2} (1 - \cos t)(1 - (\sin t)^{1/(1-n)}) dt \\ &< (n - 1)^{-1} \int_0^{\pi/2} (1 - \cos t) \log \sin t dt = \left(1 - \frac{\pi}{2} \log 2\right)(n - 1)^{-1} . \end{aligned}$$

Thus the upper bound is established.

Again using elementary estimates and (8) we obtain

$$\begin{aligned} J_n - n + 1 - \log 2 &= \int_0^{\pi/2} (1 - \cos t)(\sin t)^{(2-n)/(n-1)}(1 - (\sin t)^{1/(1-n)})dt \\ &> (n - 1)^{-1} \int_0^{\pi/2} (1 - \cos t)(\csc t)(1 - \csc t)dt \\ &> -(n - 1)^{-1} \int_0^{\pi/2} (1 - \cos t)\csc^2 t dt = -(n - 1)^{-1}, \end{aligned}$$

and the lower bound follows.

The fact that $J_n - n$ is increasing in n follows from the integral form of $J_n - n + 1 - \log 2$, while the limit is a consequence of the estimates we have found for J_n . □

LEMMA 3. For $n \geq 3$ let $A_n = I_n^{1/(n-1)}J_n$, where I_n and J_n are as in (3). Then

$$\lim_n \left(A_n - n + \frac{1}{2} \log n \right) = -1 + \frac{1}{2} \log(2\pi) = -0.081 \dots$$

In fact, for $n \geq 3$,

$$A_n \leq n - 1 + \log 2 - \frac{1}{2}(\pi/2)^{1/(2n-2)} \log(n - 2) + (n/(2n - 2))\log(\pi/2)$$

and

$$A_n \geq (\pi/(2n - 2))^{1/(2n-2)}(\log 2 - (n - 1)^{-1}) - (n - 2)^{-1} \log(n - 1).$$

PROOF. First,

$$(10) \quad A_n = I_n^{1/(n-1)}(J_n - n + 1) + (n - 1)I_n^{1/(n-1)},$$

where

$$(11) \quad \lim_n I_n^{1/(n-1)}(J_n - n + 1) = \log 2$$

by Lemmas 1 and 2. Next, applying the inequality $e^{-x} > 1 - x$, $x > 0$, with $x = (2n - 2)^{-1} \log(n - 1)$ and using (10) along with Lemma 1, we obtain

$$(12) \quad A_n > I_n^{1/(n-1)}(J_n - n + 1) + (\pi/2)^{1/(2n-2)} \left(n - 1 - \frac{1}{2} \log(n - 1) \right).$$

Thus we have

$$(13) \quad \begin{aligned} A_n - n + 1 + \frac{1}{2} \log(n - 1) &> I_n^{1/(n-1)}(J_n - n + 1) \\ &+ \left(n - 1 - \frac{1}{2} \log(n - 1) \right) \left((\pi/2)^{1/(2n-2)} - 1 \right). \end{aligned}$$

From (13) and Lemmas 1 and 2 we conclude that

$$(14) \quad \liminf_n \left(A_n - n + \frac{1}{2} \log n \right) \geq -1 + \frac{1}{2} \log(2\pi) .$$

Next, by Lemma 1 and the inequality $e^{-x} < (1+x)^{-1}$, $x > 0$, with

$$(15) \quad x = (2n - 2)^{-1} \log(n - 2)$$

we may write

$$(16) \quad (n - 1)I_n^{1/(n-1)} < (n - 1)(1 + x)^{-1}(\pi/2)^{1/(2n-2)} .$$

It is easy to see that (16) implies the inequality

$$\begin{aligned} & (n - 1)I_n^{1/(n-1)} - n + 1 + \frac{1}{2} \log(n - 2) \\ & < (1 - x)(1 + x)^{-1}(n - 1)((\pi/2)^{1/(2n-2)} - 1) \\ & \quad + \frac{1}{2}((\pi/2)^{1/(2n-2)} - 1)\log(n - 2) + (n - 1)^{-1} \log^2(n - 2) , \end{aligned}$$

with x as in (15). Then by employing (9) with $c = (\pi/2)^{1/2}$ and letting n tend to ∞ we have

$$(17) \quad \limsup_n \left\{ (n - 1)I_n^{1/(n-1)} - n + 1 + \frac{1}{2} \log(n - 2) \right\} \leq \frac{1}{2} \log(\pi/2) .$$

Therefore, by (10) and (11) we have from (17),

$$(18) \quad \limsup_n \left\{ A_n - n + 1 + \frac{1}{2} \log(n - 2) \right\} \leq \frac{1}{2} \log(2\pi) .$$

The desired limit follows from (14) and (18).

Finally, by (1), Lemma 3, and the limit $\lim_n n(c^{1/n} - 1) = \log c$, $c > 1$, we have

$$\begin{aligned} & \limsup_n \left\{ \text{mod } R_n(a) - n + \frac{1}{2} \log n \right\} \\ & \leq \lim \left(A_n - n + \frac{1}{2} \log n \right) \left(\log \frac{1+a}{1-a} \right)^{1/(1-n)} \\ & \quad + \lim \left(n - \frac{1}{2} \log n \right) \left(\left(\log \frac{1+a}{1-a} \right)^{1/(1-n)} - 1 \right) \\ & = -1 + \frac{1}{2} \log(2\pi) - \log \log \frac{1+a}{1-a} . \end{aligned}$$

The lower limit in the theorem follows similarly. □

Theorem 1 has a straightforward application to the Grötzsch ring in R^n .

COROLLARY 1. For $n \geq 3$ and $0 < b < 1$, let $R_{G,n}(b)$ denote the Grötzsch ring in \mathbf{R}^n consisting of the unit ball B^n minus the slit $[0, b]$ along the x_1 -axis. Then

$$\limsup_n \left\{ \text{mod } R_{G,n}(b) - n + \frac{1}{2} \log n \right\} \leq -1 + \frac{1}{2} \log(2\pi) - \log \log \left(\frac{1+b}{1-b} \right)^{1/2}$$

and

$$\liminf_n \left\{ \text{mod } R_{G,n}(b) - n + \frac{1}{2} \log n \right\} \geq -1 + \frac{1}{2} \log(2\pi) + \log(2K'/\pi K),$$

where K and K' are the elliptic integrals in (2) with $k = b$.

PROOF. There is a conformal mapping, that is, a Möbius transformation [Ah], of $R_{G,n}(b)$ onto the ring $R_n(a)$ of Theorem 1 with $b = 2a/(1 + a^2)$. Then we have

$$(1 + b)/(1 - b) = ((1 + a)/(1 - a))^2,$$

hence

$$K'(a^2)/K(a^2) = 2K'(b)/K(b)$$

by [LV, pp. 60, 61]. □

REMARK. The bounds for A_n in Lemma 3 may be combined with the estimates in (1) to obtain bounds for $\text{mod } R_n(a)$ (or $\text{mod } R_{G,n}(b)$) in terms of easily understood functions of n and a (or b).

3. Proof of Theorem 2. For the proof of Theorem 2 we require the asymptotic behavior of A_n^n , where A_n is the constant in (1). We achieve this by proving some lemmas.

LEMMA 4. $\lim_{x \rightarrow 0} (\Gamma(x + 1))^{1/x} = e^{-\gamma} = 0.5614\dots$, where Γ is Euler's Gamma function and γ is Euler's constant

$$\gamma = \lim_m \left(\sum_{k=1}^m \frac{1}{k} - \log m \right) = 0.5772\dots$$

PROOF.

$$\lim_{x \rightarrow 0} \frac{1}{x} \log \Gamma(x + 1) = \Gamma'(1)/\Gamma(1) = -\gamma$$

by l'Hôpital's Rule and [R, p. 11]. □

LEMMA 5. For $n \geq 3$ let $J_n = \int_0^{\pi/2} (\sin t)^{(2-n)/(n-1)} dt$. Then $\lim (J_n/(n-1))^{n-1} = 2$.

PROOF. By the change of variable $x = \sin^2 t$ and the fact that

$\Gamma(1/2) = \sqrt{\pi}$, we may write J_n as

$$J_n = \frac{1}{2} \int_0^1 (1-x)^{-1/2} x^{(3-2n)/(2n-2)} dx = (\sqrt{\pi}/2) \Gamma(1/(2n-2)) / \Gamma(n/(2n-2))$$

[S, #607, p. 461]. By Legendre's duplication formula [R, p. 24] and the factorial property $\Gamma(z+1) = z\Gamma(z)$ we then have

$$4J_n = 2^{1/(n-1)} \frac{\Gamma^2(1/(2n-2))}{\Gamma(1/(n-1))}.$$

Thus

$$(J_n/(n-1))^{n-1} = 2 \frac{(\Gamma(t+1))^{1/t}}{(\Gamma(2t+1))^{1/(2t)}}$$

with $t = 1/(2n-2)$. The limit then follows by use of Lemma 4. □

LEMMA 6. $A_n^n \sim \sqrt{2\pi} n^{n-1/2} e^{-1}$, where $A_n = I_n^{1/(n-1)} J_n$ is the constant in (1); that is, $\lim n^{1/2-n} A_n^n = \sqrt{2\pi} e^{-1}$.

PROOF. By Lemmas 1 and 5,

$$\lim(n-1) I_n^2 (J_n/(n-1))^{2(n-1)} = 2\pi.$$

Hence

$$\lim A_n^{2(n-1)} n^{3-2n} = 2\pi e^{-2}.$$

Taking square roots and using the fact that $\lim A_n/n = 1$ by Lemma 3, we arrive at the desired asymptotic formula. □

Finally, Theorem 2 follows immediately from (1) and Lemma 6.

COROLLARY 2. For $0 < b < 1$ let $R_{G,n}(b)$ denote the Grötzsch ring consisting of the unit ball B^n minus the slit $[0, b]$ along the x_1 -axis. Then

$$\limsup_n n^{1/2-n} \text{mod } R_{G,n}(b)^n \leq (2\sqrt{2\pi}/e) \left(\log \frac{1+b}{1-b} \right)^{-1}$$

and

$$\liminf_n n^{1/2-n} \text{mod } R_{G,n}(b)^n \geq (2\sqrt{2\pi}/e) (K'/\pi K),$$

where K and K' are the elliptic integrals in (2) with $k = b$.

PROOF. This Corollary follows from Theorem 2 and the proof of Corollary 1. □

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