

## DEFORMATIONS OF THREE DIMENSIONAL CUSP SINGULARITIES

Dedicated to Professor Tadashi Kuroda on his sixtieth birthday

HIROYASU TSUCHIHASHI

(Received September 9, 1985)

**Introduction.** Freitag and Kiehl [1] showed that Hilbert modular cusp singularities of dimensions greater than two are rigid. On the other hand, we saw in [7] that there are many other 3-dimensional cusp singularities. Ogata [2] recently showed that those 3-dimensional cusp singularities are not rigid. The purpose of this paper is to obtain more precise information on deformations of 3-dimensional cusp singularities.

Let  $(V, p)$  be a 3-dimensional cusp singularity which is not of the Hilbert modular type. In Section 1, we calculate certain cohomology groups, which are related to deformations of the singularity  $(V, p)$ . In Section 2, we first construct a family  $(\mathcal{U}, \mathcal{X}) \rightarrow D$ , over a polydisk  $D$ , of deformations of a resolution  $(U, X)$  of the singularity  $(V, p)$ . Next, contracting  $\mathcal{X}$  simultaneously, we obtain a family  $\mathcal{Y} \rightarrow D$  of deformations of the singularity  $(V, p)$ . Finally, we see that the family  $\mathcal{Y} \rightarrow D$  is a versal family. Hence the cusp singularity  $(V, p)$  is neither taut nor smoothable.

**1. Calculations of cohomology groups.** We fix a 3-dimensional pair  $(C, \Gamma)$  in  $\mathcal{S}$  (see [7]), throughout this paper. Recall that  $C$  is an open convex cone in  $N_{\mathbb{R}}$ , that  $\Gamma$  is a subgroup in  $\text{Aut}(N)$  preserving  $C$  and that  $S := (C/\mathbb{R}_{>0})/\Gamma$  is a compact topological surface, where  $N = \mathbb{Z}^3$ . Also recall that we obtain from  $(C, \Gamma)$ , a 3-dimensional cusp singularity  $(V, p)$  with  $V \setminus \{p\} \simeq (\mathbb{R}^3 + \sqrt{-1}C)/N \cdot \Gamma$ , where  $N \cdot \Gamma$  is the semi-direct product of  $N$  and  $\Gamma$ .

Assume first that  $\chi(S) < 0$  and that  $S$  is orientable. Let  $T = N \otimes \mathbb{C}^{\times}$  and let  $CT = N \otimes U(1)$ , where  $U(1) = \{z \in \mathbb{C}^{\times} \mid |z| = 1\}$ . Then we have two  $\Gamma$ -equivariant exact sequences:

$$\begin{aligned} 0 \rightarrow N \rightarrow N_C \rightarrow T \rightarrow 1, \\ 0 \rightarrow N \rightarrow N_R \rightarrow CT \rightarrow 1, \end{aligned}$$

where the third arrows are the maps induced by  $\exp(2\pi\sqrt{-1}\cdot): \mathbb{C} \rightarrow \mathbb{C}^{\times}$ . From these short exact sequences, we have the following long exact

sequences of the cohomology groups with respect to the  $\Gamma$ -actions:

$$\begin{aligned} H^0(\Gamma, T) &\rightarrow H^1(\Gamma, N) \rightarrow H^1(\Gamma, N_C) \rightarrow H^1(\Gamma, T) \rightarrow H^2(\Gamma, N), \\ H^0(\Gamma, CT) &\rightarrow H^1(\Gamma, N) \rightarrow H^1(\Gamma, N_R) \rightarrow H^1(\Gamma, CT) \rightarrow H^2(\Gamma, N). \end{aligned}$$

The first purpose of this section is to calculate  $H^1(\Gamma, L)$  for  $L = N, N_R$  and  $N_C$ . Let

$$\begin{aligned} Z^1(\Gamma, L) &= \{\varphi: \Gamma \rightarrow L \mid \varphi(\gamma\gamma') = \varphi(\gamma) + \gamma\varphi(\gamma') \text{ for } \gamma, \gamma' \in \Gamma\}, \\ B^1(\Gamma, L) &= \{\delta l: \Gamma \rightarrow L \mid l \in L\}, \end{aligned}$$

where  $\delta l$  is the map sending  $\gamma$  to  $\gamma l - l$ . Then  $Z^1(\Gamma, L)$  and  $B^1(\Gamma, L)$  are  $K$ -modules and  $H^1(\Gamma, L) = Z^1(\Gamma, L)/B^1(\Gamma, L)$ , where  $K = \mathbf{Z}$  (resp.  $\mathbf{R}$ , resp.  $\mathbf{C}$ ) if  $L = N$  (resp.  $N_R$ , resp.  $N_C$ ).

LEMMA 1.1.  $B^1(\Gamma, L) \simeq K^3$ .

PROOF. It is sufficient to show that the linear map  $L \ni l \mapsto \delta l \in B^1(\Gamma, L)$  is injective, because  $L = N \otimes K$  and  $N \simeq \mathbf{Z}^3$ . Suppose not. Then there exists a nonzero element  $n$  in  $L$  such that  $\gamma n = n$  for all  $\gamma$  in  $\Gamma$ . Hence for any point  $x_o$  in  $C^*$ , the orbit  $\Gamma x_o := \{\gamma x_o \mid \gamma \in \Gamma\}$  under  $\Gamma$  must be contained in the plane  $\{x \in N_R^* \mid \langle x, n \rangle = \langle x_o, n \rangle\}$ , where  $C^* := \{x \in N_R^* \mid \langle x, y \rangle > 0 \text{ for all } y \in \bar{C} \setminus \{0\}\}$  is the dual cone of  $C$ . However,  $(C^*, \Gamma)$  is in  $\mathcal{S}$  by [7, Lemma 1.6], a contradiction (see the proof of [7, Lemma 1.1]). q.e.d.

Let  $\chi$  be the Euler number of the compact orientable surface  $S = (C/\mathbf{R}_{>0})/\Gamma$  and let  $\gamma_1, \gamma_2, \dots, \gamma_s$  be generators of  $\Gamma$  with the relation  $\gamma_1\gamma_2\gamma_1^{-1}\gamma_2^{-1} \cdots \gamma_{s-1}^{-1}\gamma_s^{-1} = 1$ , where  $s = -\chi + 2$ .

LEMMA 1.2.  $Z^1(\Gamma, L) \simeq K^{3s-3}$ .

PROOF. Let  $\varphi$  be an element in  $Z^1(\Gamma, L)$ . Then by the cocycle condition, we have

$$\begin{aligned} 0 &= \varphi(\gamma_1\gamma_2\gamma_1^{-1}\gamma_2^{-1} \cdots \gamma_{s-1}^{-1}\gamma_s^{-1}) \\ &= g_1\varphi(\gamma_1) + g_2\varphi(\gamma_2) + \cdots + g_s\varphi(\gamma_s), \end{aligned}$$

where

$$\begin{aligned} g_{2k+1} &:= h_{2k+1}\alpha_k && \text{with } h_{2k+1} := (1 - \alpha_{k+1}\gamma_{2k+2}\alpha_k^{-1}), \\ g_{2k+2} &:= h_{2k+2}\alpha_k\gamma_{2k+1} && \text{with } h_{2k+2} := (1 - \alpha_{k+1}\gamma_{2k+1}^{-1}\alpha_k^{-1}) \end{aligned}$$

for  $k = 0$  through  $s/2 - 1$  and  $\alpha_k := \gamma_1\gamma_2\gamma_1^{-1}\gamma_2^{-1} \cdots \gamma_{2k-1}\gamma_{2k}\gamma_{2k-1}^{-1}\gamma_{2k}^{-1} \in \Gamma$  for  $k > 0$  and  $\alpha_0 = 1$ . Hence we have the exact sequence:

$$0 \rightarrow Z^1(\Gamma, L) \rightarrow L^s \xrightarrow{G} L,$$

where the second arrow sends  $\varphi$  to  $(\varphi(\gamma_1), \varphi(\gamma_2), \dots, \varphi(\gamma_s))$  and the third arrow  $G$  sends  $(l_1, l_2, \dots, l_s)$  to  $g_1l_1 + g_2l_2 + \cdots + g_sl_s$ . Therefore, it is

sufficient to show that the rank of the image of  $G$  is equal to 3. Suppose not. Then  $h_1L + h_2L + \dots + h_sL$  must be contained in a submodule  $M$  of rank 2. On the other hand  $\beta_{2k+1} := \alpha_{k+1}\gamma_{2k+2}\alpha_k^{-1} = (1 - h_{2k+1})$  and  $\beta_{2k+2} := \alpha_{k+1}\gamma_{2k+1}^{-1}\alpha_k^{-1} = (1 - h_{2k+2})$  with  $k$  running from 0 through  $s/2 - 1$  are generators of  $\Gamma$ , because  $\beta_{2k+1}^{-1}\beta_{2k+2}^{-1}\beta_{2k+1} = \alpha_k\gamma_{2k+1}\alpha_k^{-1}$  and  $\beta_{2k+1}^{-1}\beta_{2k+2}\beta_{2k+1} = \alpha_k\gamma_{2k+2}\alpha_k^{-1}$ . Hence the orbit  $\Gamma y$  under  $\Gamma$  of any point  $y$  in  $C \subset N_R$  must be contained in the plane  $y + M'$ , where  $M' = M \otimes R$ ,  $M$  or  $M \cap N_R (\not\subseteq N_R)$  according as  $L = Z, R$  or  $C$ . Thus we have the same contradiction as in the proof of Lemma 1.1. q.e.d.

By Lemmas 1.1 and 1.2, we have:

**PROPOSITION 1.3.**  $H^1(\Gamma, N) \simeq Z^{-3\chi} \oplus \text{torsion}$ ,  $H^1(\Gamma, N_R) \simeq R^{-3\chi}$  and  $H^1(\Gamma, N_C) \simeq C^{-3\chi}$ .

**PROPOSITION 1.4.** *The connected components of the unit elements in  $H^1(\Gamma, T)$  and  $H^1(\Gamma, CT)$  are an algebraic torus  $(C^\times)^{-3\chi}$  and a compact real torus  $U(1)^{-3\chi}$ , respectively, of dimensions  $-3\chi$ .*

**PROOF.** The map  $H^1(\Gamma, N) \rightarrow H^1(\Gamma, L)$  is induced by the injective map  $Z^1(\Gamma, N) \rightarrow Z^1(\Gamma, L)$  and  $Z^1(\Gamma, N) \otimes K = Z^1(\Gamma, L)$ , where  $K = R$  or  $C$  and  $L = N \otimes K$ . Hence  $\text{coker}(H^1(\Gamma, N) \rightarrow H^1(\Gamma, L)) \simeq (K/Z)^{-3\chi}$  q.e.d.

Now we consider the case where  $S = (C/R_{>0})/\Gamma$  is not orientable with the Euler number  $\chi$ . Also in this case, Lemma 1.1 continues to hold,  $\dim_Z Z^1(\Gamma, N) = \dim_R Z^1(\Gamma, N_R) = \dim_C Z^1(\Gamma, N_C)$ , by the proof of Lemma 1.2 and hence  $\dim_Z H^1(\Gamma, N) = \dim_R H^1(\Gamma, N_R) = \dim_C H^1(\Gamma, N_C)$ . Therefore, we see as in the proof of the above proposition that the connected components of the unit elements in  $H^1(\Gamma, T)$  and  $H^1(\Gamma, CT)$  are an algebraic torus and a compact real torus, respectively. Moreover, the dimensions of the tori are not smaller than  $-3\chi$ , by [2, Theorems 1 and 3]. Thus we conclude that 3-dimensional cusp singularities are not taut, by [8, Proposition 3.2], if they are not Hilbert modular cusp singularities, because then  $\chi < 0$ , by [7, Theorem 3.1 and Corollary 3.2].

**2. Versal families of deformations of 3-dimensional cusp singularities.** We keep the notations in the previous section. Recall that we have a resolution  $(U, X) \rightarrow (V, p)$  of the cusp singularity  $(V, p)$  such that the exceptional set  $X$  is a toric divisor (see [7] and [8]). Here  $U$  and  $X$  are the quotient spaces under  $\Gamma$  of an open set  $\tilde{U}$  of a non-singular torus embedding  $T \text{emb}(\Sigma)$  of  $T$  and the union of its 2-dimensional orbits  $\tilde{X}$ , respectively, such that  $\tilde{U} \setminus \tilde{X} = \text{ord}^{-1}(C)$  is the inverse image of the cone  $C$  under the map  $\text{ord}: T \rightarrow N_R$  induced by  $-\log | \cdot | : C^\times \rightarrow R$ .

First, we construct a finite open covering of  $X$ . We note that  $(N, \Sigma)$  is a  $\Gamma$ -invariant non-singular r.p.p. decomposition of  $N_{\mathbf{R}}$  with  $|\Sigma|$   $(:= \cup_{\sigma \in \Sigma} \sigma) = C \cup \{0\}$ . For each 3-dimensional cone  $\sigma = \mathbf{R}_{\geq 0}l^1 + \mathbf{R}_{\geq 0}l^2 + \mathbf{R}_{\geq 0}l^3$  in  $\Sigma$ , let

$$\sigma(\eta, \delta) = \{x^1l^1 + x^2l^2 + x^3l^3 \mid x^1 + x^2 + x^3 > \eta, x^1, x^2, x^3 > -\delta\}$$

and let  $\tilde{U}_\sigma(\eta, \delta)$  be the interior of the closure of  $\text{ord}^{-1}(\sigma(\eta, \delta))$  in  $T\text{emb}(\Sigma)$ . Let  $\sigma_1, \sigma_2, \dots, \sigma_I$  be representatives of 3-dimensional cones in  $\Sigma$  modulo  $\Gamma$ , i.e.,  $\cup_{1 \leq j \leq I, \gamma \in \Gamma} \gamma\sigma_j = C \cup \{0\}$  and  $\sigma_i \neq \gamma\sigma_j$  for any  $\gamma$  in  $\Gamma$ , if  $i \neq j$ . Let

$$U_j = q(\tilde{U}_{\sigma_j}(\eta, \delta)), \quad U'_j = q(\tilde{U}_{\sigma_j}(\eta', \delta')),$$

for large enough  $\eta > \eta' > 0$  and for small enough  $\delta' > \delta > 0$ , where  $q: \tilde{U} \rightarrow U$  is the quotient map under  $\Gamma$ . Then  $\bar{U}_j \subset U'_j$  and  $\{U_j\}$  is an open covering of  $X$ . Moreover, we may impose the following assumption, replacing  $\Sigma$  by a non-singular subdivision of it, if necessary.

**ASSUMPTION 1.** For each pair  $(i, j)$ , the set  $\{\gamma \in \Gamma \mid \sigma_i \cap \gamma\sigma_j \neq \{0\}\}$  is not empty if and only if  $U'_i \cap U'_j \neq \emptyset$  and then it consists of only one element, which we denote by  $\gamma_{ij}$ . Then clearly  $\gamma_{ii} = 1$  and  $\gamma_{ji} = \gamma_{ij}^{-1}$ . Moreover,  $\gamma_{ki} = \gamma_{kj}\gamma_{ji}$ , if  $U'_k \cap U'_j \cap U'_i \neq \emptyset$ , because then  $\sigma_k \cap \gamma_{kj}\sigma_j \cap \gamma_{ki}\sigma_i \neq \{0\}$  and  $\sigma_j \cap \gamma_{ji}\sigma_i \neq \{0\}$ .

By this assumption, the restriction  $q_i: \tilde{U}_{\sigma_i}(\eta', \delta') \rightarrow U'_i$  to  $\tilde{U}_{\sigma_i}(\eta', \delta')$  of the quotient map  $q: \tilde{U} \rightarrow U$  is a biholomorphic map and  $U'_i \cap U'_j$  is connected or empty.

Next, we define a local coordinate on each  $U'_i$ . Fix a basis  $(n^1, n^2, n^3)$  of  $N$ . Let  $\sigma_i = \mathbf{R}_{\geq 0}l^1_i + \mathbf{R}_{\geq 0}l^2_i + \mathbf{R}_{\geq 0}l^3_i$ , let  $(l^1_i, l^2_i, l^3_i) = (n^1, n^2, n^3)A_i$  ( $A_i \in GL(N)$ ) and let  $(m_1, m_2, m_3)$  be the basis of  $\text{Hom}(N, \mathbf{Z})$  dual to  $(l^1_i, l^2_i, l^3_i)$ . Then we have the holomorphic immersion:

$$\psi_i: U'_i \hookrightarrow T\text{emb}(\{\text{faces of } \sigma_i\}) \simeq \mathbf{C}^3$$

sending  $z$  to  $(e(m_1)(q_i^{-1}(z)), e(m_2)(q_i^{-1}(z)), e(m_3)(q_i^{-1}(z)))$ , where  $e(m): T\text{emb}(\{\text{faces of } \sigma_i\}) \rightarrow \mathbf{C}^3$  is the natural extension of the character  $m \otimes \mathbf{C}^\times: T \rightarrow \mathbf{C}^\times$  of  $m \in \text{Hom}(N, \mathbf{Z})$ . For each pair  $(i, j)$  with  $U'_i \cap U'_j \neq \emptyset$ , let  $f_{ji}: \psi_i(U'_i \cap U'_j) \rightarrow \psi_j(U'_i \cap U'_j)$  be the composite of the restriction of  $\psi_i^{-1}$  to  $\psi_i(U'_i \cap U'_j)$  and  $\psi_j$ . Then  $f_{ji}$  is written in terms of monomials, i.e.,

$$f_{ji}(w^1, w^2, w^3) = \left( \prod_{\alpha=1}^3 (w^\alpha)^{a_{\alpha 1}}, \prod_{\alpha=1}^3 (w^\alpha)^{a_{\alpha 2}}, \prod_{\alpha=1}^3 (w^\alpha)^{a_{\alpha 3}} \right),$$

where  $(a_{\alpha\beta}) = {}^t(A_j^{-1}\gamma_{ji}A_i)$ . Hence we have the maximal set  $W_{ji}$  among open sets in  $\mathbf{C}^3$  on which the analytic continuations of  $f_{ji}$  are holomorphic. Clearly  $W_{ji}$  is defined by  $w^\alpha \neq 0$  or  $w^\alpha w^\beta \neq 0$  according as  $\sigma_i \cap \gamma_{ij}\sigma_j$  is a

2-dimensional cone or a 1-dimensional cone and  $W_{ii} = C^3$ . We denote by  $\bar{f}_{ji}$ , the analytic continuation of  $f_{ji}$  to  $W_{ji}$ . Then we easily see that  $\bar{f}_{ji}(W_{ji}) = W_{ij}$  and that  $\{w \in \psi_i(U_i) \cap W_{ji} \mid \bar{f}_{ji}(w) \in \psi_j(U_j)\} = \psi_i(U_i \cap U_j)$ .

Let  $H$  be a complementary subspace of  $B^1(\Gamma, N_c)$  in  $Z^1(\Gamma, N_c)$  and let  $D$  be a polydisc in  $H$ . In the following, we construct a family over  $D$  of deformations of the pair  $(U, X)$  by patching up  $\{\psi_i(U_i) \times D\}_{1 \leq i \leq 1}$ . For each pair  $(i, j)$  with  $U'_i \cap U'_j \neq \emptyset$ , let

$$F_{ji}(w, \varphi) = (\bar{\varphi} \bar{f}_{ji}(w), \varphi) \quad (w, \varphi) \in W_{ji} \times D,$$

where  $\bar{\varphi} = \exp(2\pi\sqrt{-1}t\{A_j^{-1}\varphi(\gamma_{ji})\})$  and  $(\varphi^1, \varphi^2, \varphi^3)(z^1, z^2, z^3) = (\varphi^1 z^1, \varphi^2 z^2, \varphi^3 z^3)$ . Then  $F_{ji}$  is a biholomorphic map from  $W_{ji} \times D$  to  $W_{ij} \times D$ . If  $U'_i \cap U'_j \cap U'_k \neq \emptyset$ , then  $F_{ki} = F_{kj} \circ F_{ji}$  on  $(W_{ki} \cap W_{ji}) \times D \neq \emptyset$ , because  $\gamma_{ki} = \gamma_{kj} \gamma_{ji}$ . If  $D$  is small enough, then we may assume the following:

**ASSUMPTION 2.** The closures of  $\{(w, \varphi) \in (\psi_i(U_i) \cap W_{ji}) \times D \mid F_{ji}(w, \varphi) \in \psi_j(U_j) \times D\}$  and  $F_{ji}((\psi_i(U_i) \cap W_{ji}) \times D) \cap \psi_j(U_j) \times D$  are contained in  $\psi_i(U'_i \cap U'_j) \times D$  and  $\psi_j(U'_i \cap U'_j) \times D$ , respectively, for each pair  $(i, j)$  with  $U'_i \cap U'_j \neq \emptyset$ .

**DEFINITION 2.1.**  $p \sim q$  for two points  $p$  and  $q$  in  $\psi_i(U_i) \times D$  and  $\psi_j(U_j) \times D$ , respectively, if  $U'_i \cap U'_j \neq \emptyset$ , if  $p \in W_{ji} \times D$  and if  $F_{ji}(p) = q$ .

**LEMMA 2.2.** *The relation in Definition 2.1 is an equivalence relation in the disjoint union of  $\{\psi_i(U_i) \times D\}_{1 \leq i \leq 1}$ .*

**PROOF.** Since the reflexive law and the symmetric law are trivial, we only prove the transitive law. Let  $p, q$  and  $r$  be points in  $\psi_i(U_i) \times D$ ,  $\psi_j(U_j) \times D$  and  $\psi_k(U_k) \times D$ , respectively, and assume that  $p \sim q$  and that  $q \sim r$ . Then by Assumption 2,  $q$  is contained in both  $\psi_j(U'_i \cap U'_j) \times D$  and  $\psi_j(U'_j \cap U'_k) \times D$ . Hence  $U'_i \cap U'_j \cap U'_k \neq \emptyset$  and  $F_{ki}(p) = F_{kj}(F_{ji}(p)) = F_{kj}(q) = r$ . Thus we have  $p \sim r$ . q.e.d.

Let  $\mathcal{U} = (\coprod_{i=1}^1 \psi_i(U_i) \times D) / \sim$  be the quotient space of  $\coprod_{i=1}^1 \psi_i(U_i) \times D$  by the above equivalence relation.

**LEMMA 2.3.**  *$\mathcal{U}$  is a Hausdorff space.*

**PROOF.** Let  $p$  and  $q$  be points in  $\psi_i(U_i) \times D$  and  $\psi_j(U_j) \times D$ , respectively, and suppose that  $U_p \cap U_q \neq \emptyset$  for any neighborhoods  $U_p$  and  $U_q$  of  $p$  and  $q$ , respectively. Then there exist sequences  $\{p_a\}$  and  $\{q_a\}$  of points in  $(\psi_i(U_i) \cap W_{ji}) \times D$  and  $(\psi_j(U_j) \cap W_{ij}) \times D$  converging to  $p$  and  $q$ , respectively, with  $F_{ji}(p_a) = q_a$ . By Assumption 2,  $p \in \psi_i(U'_i \cap U'_j) \times D \subset W_{ji} \times D$  and  $F_{ji}(p) = q$ . Hence  $p \sim q$ . q.e.d.

By this lemma,  $\mathcal{U}$  is a complex manifold. Let  $\pi: \mathcal{U} \rightarrow D$  be the

natural projection and let  $\mathcal{X} = \cup_{i=1}^I \{(w^1, w^2, w^3, \varphi) \in \psi_i(U_i) \times D \mid w^1 w^2 w^3 = 0\}$ . Then  $\pi$  is a smooth holomorphic map and  $X_\varphi := \mathcal{X} \cap \pi^{-1}(\varphi)$  are compact divisors in  $U_\varphi := \pi^{-1}(\varphi)$  for all  $\varphi$  in  $D$ , if  $D$  is small enough. Clearly, there is an immersion  $U_0 \hookrightarrow U$  mapping  $X_0$  onto  $X$ . Hence  $X_0$  is contractible to a point.

**PROPOSITION 2.4.**  $X_\varphi \simeq X_{[\theta]}$  ( $:= \tilde{X}/\{\theta(\gamma)\gamma \mid \gamma \in \Gamma\}$ ) for any  $\varphi$  in  $D$ , where  $\theta$  is the image of  $\varphi$  under the map  $Z^1(\Gamma, N_c) \rightarrow Z^1(\Gamma, T)$  induced by  $\exp(2\pi\sqrt{-1}?) : N_c \rightarrow T$ . Hence  $X_\varphi$  is a toric divisor. (See [8, § 3].)

**PROOF.** Let  $X_i := \{\psi_i(U_i) \times D\} \cap X_\varphi$  and let  $r_i$  be the restriction to  $X_i$  of the composite  $q_i^{-1} \circ \psi_i^{-1} \circ p_i$  of the maps  $p_i: \psi_i(U_i) \times D \rightarrow \psi_i(U_i)$ ,  $\psi_i^{-1}: \psi_i(U_i) \xrightarrow{\sim} U_i$  and  $q_i^{-1}: U_i' \xrightarrow{\sim} \tilde{U}_{\sigma_i}(\eta', \delta') \subset \tilde{U}$ , where  $p_i$  is the natural projection. Then  $\cup_{i=1}^I X_i = X_\varphi$  and the image  $r_i(X_i)$  under  $r_i$  is contained in  $\tilde{X}$ . Let  $s_i$  be the composite of  $r_i$  and the quotient map  $\tilde{X} \rightarrow X_{[\theta]}$  under  $\{\theta(\gamma)\gamma \mid \gamma \in \Gamma\}$ . Then  $s_i: X_i \hookrightarrow X_{[\theta]}$  is a holomorphic immersion. Moreover, we see by an easy calculation that  $s_i(p_i) = s_j(p_j)$  for any points  $p_i$  and  $p_j$  in  $X_i$  and  $X_j$ , respectively, if and only if  $F_{j,i}(p_i) = p_j$ . Hence we have a holomorphic immersion  $s: X_\varphi \rightarrow X_{[\theta]}$ . Since  $X_\varphi$  is compact,  $s$  is an isomorphism. q.e.d.

**LEMMA 2.5.** For each positive integer  $i$ ,  $\dim H^i(U_\varphi, \mathcal{O}_{U_\varphi})$  are constant for  $\varphi$  small enough.

**PROOF.** Consider the exact sequences:

$$0 \rightarrow \mathcal{O}_{U_\varphi}(-X_\varphi) \rightarrow \mathcal{O}_{U_\varphi} \rightarrow \mathcal{O}_{X_\varphi} \rightarrow 0.$$

Let  $f: (U_0, X_0) \rightarrow (V_0, p_0)$  be the contraction map. If we choose an open set in  $\mathcal{U}$  so that  $f(U_0) = V_0$  is a Stein space, then  $H^i(U_0, \mathcal{O}_{U_0}(-X_0)) = R^i f_* \mathcal{O}_{U_0}(-X_0) = 0$  for  $i > 0$ , by [7, Theorem 2.3]. Then by [5, Satz 1] and [4, Theorem 1.6], we have  $H^i(U_\varphi, \mathcal{O}_{U_\varphi}(-X_\varphi)) = 0$  for  $i > 0$  and for  $\varphi$  small enough. Hence we have  $H^i(U_\varphi, \mathcal{O}_{U_\varphi}) \simeq H^i(X_\varphi, \mathcal{O}_{X_\varphi})$  for  $i > 0$ . On the other hand,  $\dim H^i(X_\varphi, \mathcal{O}_{X_\varphi}) = \dim H^i(X_0, \mathcal{O}_{X_0}) (= \dim H^i(S, C))$  for  $i > 0$ , because  $X_\varphi$  are toric divisors whose dual graphs are equal to that of  $X$  (see the proof of [7, Proposition 2.7]). Hence  $\dim H^i(U_\varphi, \mathcal{O}_{U_\varphi}) = \dim H^i(U_0, \mathcal{O}_{U_0})$ . q.e.d.

By this lemma and [3], for  $D$  small enough,  $\mathcal{X}$  can be simultaneously blown-down in  $\mathcal{U}$ . Hence we obtain a family  $\mathcal{V} \rightarrow D$  over  $D$  of deformations of the isolated 3-dimensional singularity  $(V_0, p_0)$ , which is isomorphic to some open set of  $(V, p)$ .

**THEOREM 2.6.** The family  $\mathcal{V} \rightarrow D$  is versal, i.e., the infinitesimal deformation map (the Kodaira-Spencer map)  $\rho: T_0(D) \rightarrow T_{V_0}^1$  is bijective.

PROOF. Since  $\mathcal{U} \setminus \mathcal{Z} \rightarrow D$  is a family of deformations of the complex manifold  $U_0 \setminus X_0$ , we have the infinitesimal deformation map  $\rho': T_0(D) \rightarrow H^1(U_0 \setminus X_0, \Theta)$ , where  $\Theta$  is the sheaf of germs of vector fields on  $U_0$ . Since  $D$  is a polydisc in  $H^1(\Gamma, N_c)$  and since there is a canonical isomorphism  $H^1(\Gamma, N_c) \simeq H^1(U_0 \setminus X_0, \Theta)$  ([2, Theorem 1]), the map  $\rho'$  is bijective, by the construction of  $\mathcal{U}$ . Hence the map  $\rho$  must be bijective, because a canonical injection  $T_{V_0}^1 \rightarrow H^1(U_0 \setminus X_0, \Theta)$  is bijective, by [6] and [2, Theorem 1]. q.e.d.

COROLLARY 2.7. *The cusp singularity  $(V, p)$  is not smoothable.*

REMARK. Also for any higher dimensional pair  $(C, \Gamma)$  in  $\mathcal{S}$ , we can construct a versal family, over a small polydisc in  $H^1(\Gamma, N_c)$ , of deformations of the cusp singularity  $(V, p) = \text{Cusp}(C, \Gamma)$ , in the same way.

#### REFERENCES

- [1] E. FREITAG AND R. KIEHL, Algebraische Eigenschaften der lokalen Ringe in den Spitzen der Hilbertschen Modulgruppen, *Invent. Math.* 24 (1974), 121-148.
- [2] S. OGATA, Infinitesimal deformations of Tsuchihashi's cusp singularities, *Tôhoku Math. J.* 38 (1986), 269-279.
- [3] O. RIEMENSCHNEIDER, Bemerkungen zur Deformationstheorie nichtrationale Singularitäten, *Manuscripta Math.* 14 (1976), 91-99.
- [4] O. RIEMENSCHNEIDER, Halbstetigkeitssätze für 1-konvexe holomorphe Abbildungen, *Math. Ann.* 192 (1971), 216-226.
- [5] O. RIEMENSCHNEIDER, Familien komplexer Räume mit streng pseudokomplexer spezieller Faser, *Comment. Math. Helv.* 51 (1976), 547-565.
- [6] M. SCHLESSINGER, Rigidity of quotient singularities, *Invent. Math.* 14 (1971), 17-26.
- [7] H. TSUCHIHASHI, Higher dimensional analogues of periodic continued fractions and cusp singularities, *Tôhoku Math. J.* 35 (1983), 607-639.
- [8] H. TSUCHIHASHI, Three-dimensional cusp singularities, to appear in *Complex Analytic Singularities* (T. Suwa and P. Wagreich, eds.), *Advanced Studies in Pure Math.* 8, Kinokuniya, Tokyo and North-Holland, Amsterdam, New York, Oxford.

DEPARTMENT OF GENERAL EDUCATION  
TÔHOKU GAKUIN UNIVERSITY  
SENDAI, 980  
JAPAN

