

## ON CERTAIN EVEN CANONICAL SURFACES

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**Abstract.** We classify even canonical surfaces on the Castelnuovo lines, and show that the moduli space is non-reduced in many cases. We show that, in most cases, the rational map associated with a semi-canonical bundle induces a linear pencil of nonhyperelliptic curves of genus three, and that a nonsingular rational curve with self-intersection number  $-2$  appears as a fixed component of the semi-canonical system. By the latter, we can apply a result of Burn and Wahl to show that they are obstructed surfaces.

**Introduction.** According to [8], we call a minimal surface a *canonical surface* if the canonical map induces a birational map onto its image. Canonical surfaces with  $c_1^2 = 3p_g - 7$  and  $3p_g - 6$  were studied in our previous papers [1] and [10] (see also [4] and [8]). These are regular surfaces whose canonical linear system  $|K|$  has neither fixed components nor base points.

In this article, we list up those which are even surfaces in order to supplement [1] and [10]. Here, we call a compact complex manifold of dimension 2 an *even surface* if its second Steifel-Whitney class  $w_2$  vanishes ([8]). This topological condition implies the existence of a line bundle  $L$  with  $K = 2L$ . In a recent paper [9], Horikawa classified all the even surfaces with  $p_g = 10$ ,  $q = 0$  and  $K^2 = 24$  (numerical sextic surfaces). Following [9], we consider the rational map  $\Phi_L$  associated with  $|L|$  also in the remaining cases. Recall that most canonical surfaces with  $c_1^2 = 3p_g - 7$ ,  $3p_g - 6$  have a pencil  $|D|$  of nonhyperelliptic curves of genus 3. Therefore, it is naturally expected that  $\Phi_L$  should be composed of such a pencil. We show that this is the case, except for numerical sextic surfaces. Let  $f: S \rightarrow \mathbf{P}^1$  be the corresponding fibration. It turns out that the fact that  $S$  is an even surface forces  $f_*\mathcal{O}(K)$  to be very special (Lemmas 1.2 and 2.2). Using this, we can determine the fixed part  $Z$  of  $|L|$ . The remaining problem is to write down the equation of the canonical model. When  $K^2 = 3p_g - 7$ , we have no difficulty in doing this, since the (relative) canonical image itself is the canonical model. On the other hand, when  $K^2 = 3p_g - 6$ , we need to study the bi-graded ring  $\bigoplus H^0(\alpha D + \beta Z)$  as in [9]. The calculation after Lemma 2.3 is a verbatim translation of [9].

As a by-product, we find that the moduli space is non-reduced in many cases (Theorems 1.5 and 2.5). The point is the presence of a  $(-2)$ -curve contained in  $Z$ . Then a general result of Burns and Wahl [3] can be applied to show that the Kuranishi space is everywhere singular. As far as surfaces of general type are concerned, such pathological

examples were first obtained by Horikawa [7] and, later, by Miranda [11]. These two are put together in a remarkable paper of Catanese [5], where we can find many other obstructed surfaces.

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**1. The case  $c_1^2 = 3p_g - 7$ .** To simplify the notation, for any divisor  $Z$  on a surface  $S$ , we write  $H^i(Z)$  instead of  $H^i(S, \mathcal{O}([Z]))$  and put  $h^i(Z) = \dim H^i(Z)$ . Divisors and line bundles will be treated interchangeably.

In this section, let  $S$  denote an even canonical surface with  $c_1^2 = 3p_g - 7$ . Recall that it is a regular surface whose canonical system  $|K|$  is free from base points [1, §1]. Since  $w_2 = 0$ , we can find a line bundle  $L$  on  $S$  which satisfies  $K = 2L$ . Since  $L^2$  is a positive even integer and since  $K^2 = 4L^2 = 3p_g - 7$ , there exists a positive integer  $n$  satisfying

$$(1.1) \quad L^2 = 6n + 2, \quad p_g = 8n + 5.$$

Since  $K = 2L$ , it follows from the Riemann-Roch theorem and the Serre duality that

$$(1.2) \quad 2h^0(L) - h^1(L) = -\frac{1}{2}L^2 + \chi(\mathcal{O}_S) = 5n + 5.$$

In particular, we get

$$(1.3) \quad h^0(L) \geq \frac{5}{2}(n+1).$$

We put  $m = h^0(L) - 1$  and consider the rational map  $\Phi_L: S \rightarrow \mathbf{P}^m$  induced by the complete linear system  $|L|$ .

**LEMMA 1.1.**  $\Phi_L$  is composed of a pencil of nonhyperelliptic curves of genus 3.

**PROOF.** Suppose that  $\Phi_L$  induces a generically finite map onto its image  $V$ . Since  $V$  is a nondegenerate surface in  $\mathbf{P}^m$ , we have  $\deg V \geq m - 1$ . We consider  $\Phi_L$  as a rational map of  $S$  onto  $V$ . Then, it follows from (1.3) that

$$6n + 2 = L^2 \geq \deg V \deg \Phi_L \geq \frac{1}{2}(5n + 1) \deg \Phi_L.$$

Therefore, we get  $\deg \Phi_L \leq 2$ . If  $\deg \Phi_L = 1$ , then we have  $p_g = h^0(2L) \geq 4h^0(L) - 6$  (see, e.g., [6, Proposition 3.1]). This is impossible by (1.1) and (1.3). If  $\deg \Phi_L = 2$ , then we have  $\deg V \leq L^2/2 = 3n + 1$ . It follows from (1.3) that  $\deg V < 2m - 2$ . Therefore,  $V$  is birationally equivalent to a ruled surface by [2, Lemma 1.4]. This is impossible, since  $S$  is a canonical surface. Therefore,  $\Phi_L$  is composed of a pencil. Since  $S$  is a regular surface, it is a linear pencil.

Put  $|L| = |mD| + Z$ , where  $|D|$  is an irreducible pencil and  $Z$  is the fixed part of  $|L|$ . Since we have

$$6n+2=L^2=mLD+LZ \geq mLD \geq \frac{1}{2}(5n+3)LD,$$

we get  $LD \leq 2$ . Since  $LD = mD^2 + DZ$  and  $m \geq 4$ , it follows that  $D^2 = 0$ . If  $LD = 1$ , then  $|D|$  is a pencil of curves of genus 2. This contradicts the assumption that  $S$  is canonical. Therefore, we have  $LD = 2$  and see that  $|D|$  is a pencil of curves of genus 3 which must be of nonhyperelliptic type. q.e.d.

Let  $f: S \rightarrow \mathbf{P}^1$  denote the holomorphic map induced by  $|D|$ . Put  $f_*\mathcal{O}(K) = \mathcal{O}(a) \oplus \mathcal{O}(b) \oplus \mathcal{O}(c)$ , where  $a, b, c$  are integers satisfying

$$(1.4) \quad 0 \leq a \leq b \leq c, \quad a + b + c = p_g - 3.$$

These integers can be characterized as follows: Let  $D$  be a general fiber of  $f$ , and consider the restriction map

$$\rho_i: H^0(K - iD) \rightarrow H^0(D, K_D)$$

for any integer  $i$ . Then  $a$  is the greatest integer among those  $i$ 's such that  $\rho_i$  is surjective. Note that  $\rho_0$  is surjective since  $S$  is a canonical surface. Therefore,  $a \geq 0$ .  $c$  is the greatest integer among those  $i$ 's such that  $\rho_i$  is a nonzero map, and  $b$  is the greatest integer among those  $i$ 's such that we can find three sections  $x_0 \in H^0(K - aD)$ ,  $x_1 \in H^0(K - iD)$  and  $x_2 \in H^0(K - cD)$  which induce a basis for  $H^0(K_D)$ .

LEMMA 1.2.  $a = n - 1$ ,  $b = 2n$  and  $c = 2m = 5n + 3$ . In particular,  $h^0(L) = 5(n + 1)/2$  and  $h^1(L) = 0$ .

PROOF. From [1, Claim III], we see that  $a, b$  and  $c$  further satisfy

$$(1.5) \quad a + c \leq 3b + 2, \quad b \leq 2a + 2.$$

We have  $K = 2L = [2mD + 2Z]$ . Since  $c$  is the greatest integer with  $H^0(K - cD) \neq 0$ , we have  $c \geq 2m$ . Hence, it follows from (1.3), (1.4) and (1.5) that

$$a = n - 1, \quad b = 2n, \quad c = 2m = 5n + 3.$$

In particular, the equality holds in (1.3). Then we get  $h^1(L) = 0$  by (1.2). q.e.d.

By this lemma, we know that  $n$  is an odd integer. Therefore, we can find a positive integer  $k$  with  $n = 2k - 1$ . Then  $L = [(5k - 1)D + Z]$ . Furthermore, we have

$$(1.6) \quad LZ = 2k - 2, \quad DZ = 2, \quad Z^2 = -8k,$$

by the proof of Lemma 1.1.

LEMMA 1.3.  $Z = 2G$ , where  $G$  is a nonsingular rational curve satisfying  $DG = 1$ ,  $LG = k - 1$ ,  $G^2 = -2k$ .

PROOF. Let  $T$  and  $F$  respectively denote a tautological divisor and a fiber of

$$W = \mathbf{P}(\mathcal{O}(2k-2) \oplus \mathcal{O}(4k-2) \oplus \mathcal{O}(10k-2)) \rightarrow \mathbf{P}^1.$$

We can choose sections  $X_0$ ,  $X_1$  and  $X_2$  of  $[T-(2k-2)F]$ ,  $[T-(4k-2)F]$  and  $[T-(10k-2)F]$ , respectively, in such a way that they form a system of homogeneous coordinates on each fiber of  $W \rightarrow \mathbf{P}^1$ . We let  $(z_0, z_1)$  denote a system of homogeneous coordinates on the base curve  $\mathbf{P}^1$ . Since  $|K|$  is free from base points, we get a natural holomorphic map  $g: S \rightarrow W$  over  $\mathbf{P}^1$ , the (relative) canonical map, which satisfies  $K = f^*T$ . Put  $S' = g(S)$ . Then  $S'$  is linearly equivalent to  $4T - (p_g - 5)F$  (see [1, §1]). The equation of any member of  $|4T - (p_g - 5)F|$  can be written as

$$(1.7) \quad \begin{aligned} & \phi X_1^4 + X_2(\phi_0 X_0^3 + \phi_{2k} X_0^2 X_1 + \phi_{4k} X_0 X_1^2 + \phi_{6k} X_1^3 + \phi_{8k} X_0^2 X_2 \\ & + \phi_{10k} X_0 X_1 X_2 + \phi_{12k} X_1^2 X_2 + \phi_{16k} X_0 X_2^2 + \phi_{18k} X_1 X_2^2 + \phi_{24k} X_2^3) = 0, \end{aligned}$$

where  $\phi$  is a constant and  $\phi_i$  is a homogeneous form of degree  $i$  in  $z_0, z_1$ . If it defines  $S'$ , then it follows from the proof of Claim III in [1, §2] that  $\phi$  and  $\phi_0$  are both nonzero constants. Furthermore,  $S'$  has at most rational double points ([1, §1]).

From the above equation, we know that  $S'$  contains a rational curve  $B$  defined in  $W$  by  $X_1 = X_2 = 0$ . Note that, in a neighbourhood of  $B$  in  $S'$ ,  $S'$  is nonsingular,  $B$  is defined by  $X_1 = 0$ , and  $X_2$  vanishes to the fourth order along  $B$ . We denote by  $G$  the inverse image of  $B$  by  $g$ . Since  $K = [g^*T] = [(10k-2)D + g^*(X_2)]$ , we have  $2Z = g^*(X_2)$ . Therefore,  $Z$  is of the form  $Z = 2G$ . We clearly have  $DG = 1$ . q.e.d.

If the coefficients  $\phi$ 's are sufficiently general, (1.7) defines a nonsingular minimal surface with  $c_1^2 = 3p_g - 7$  which is even.

We have shown the following:

**THEOREM 1.4.** *For any even canonical surface  $S$  with  $c_1^2 = 3p_g - 7$ , there exists a positive integer  $k$  satisfying  $p_g = 16k - 3$ . Furthermore,  $S$  is the minimal resolution of a surface  $S'$  with only rational double points which is defined in  $\mathbf{P}(\mathcal{O}(2k-2) \oplus \mathcal{O}(4k-2) \oplus \mathcal{O}(10k-2))$  by Equation (1.7).*

It would be worth stating here the following:

**THEOREM 1.5.** *The moduli space of even canonical surfaces with  $p_g = 13$  and  $c_1^2 = 32$  is non-reduced.*

**PROOF.** Let  $S$  be an even canonical surface with the above numerical invariants. By Lemma 1.3,  $S$  has a  $(-2)$ -curve  $G$ . In order to show the assertion, it is sufficient to show that its Kuranishi space  $M$  is singular at  $S$ . Note that, since  $S$  is canonical and even, every  $S_t$ ,  $t \in M$ , enjoys the same properties. Then, as we have seen in Lemma 1.3,  $S_t$  contains a  $(-2)$ -curve  $G_t$ . However, a result of Burns and Wahl [2] tells us that a general vector in  $H^1(S, \Theta_S)$  kills every  $(-2)$ -curve on  $S$ , where  $\Theta_S$  denotes the tangent sheaf of  $S$ . Since  $H^1(\Theta_S)$  is nothing but the Zariski tangent space of  $M$ , we see that  $\dim M$  is strictly smaller than  $h^1(\Theta_S)$ . Therefore,  $M$  cannot be nonsingular

at  $S$ .

q.e.d.

**2. The case  $c_1^2 = 3p_g - 6$ .** In this section, we denote by  $S$  an even canonical surface with  $c_1^2 = 3p_g - 6$ . Put  $K = 2L$  as before. Then we can find a positive integer  $n$  satisfying

$$(2.1) \quad L^2 = 6n, \quad p_g = 8n + 2.$$

If  $n = 1$ , such surfaces are numerical sextic surfaces which are completely classified in [9]. Therefore, we assume  $n \geq 2$  in the following.

By the Riemann-Roch theorem, we have

$$(2.2) \quad 2h^0(L) - h^1(L) = 5n + 3.$$

In particular, we get

$$(2.3) \quad h^0(L) \geq \frac{1}{2}(5n + 3).$$

The following can be shown in the same way as in Lemma 1.1.

**LEMMA 2.1.** *If  $n \geq 2$ , then  $\Phi_L$  is composed of a pencil of nonhyperelliptic curves of genus 3.*

Put  $|L| = |mD| + Z$ , where  $|D|$  is a pencil of nonhyperelliptic curves of genus 3 and  $Z$  is the fixed part of  $|L|$ . Then we have  $LD = 2$ ,  $D^2 = 0$ . As in §1, let  $f: S \rightarrow \mathbf{P}^1$  denote the holomorphic map induced by  $|D|$ , and put  $f_*\mathcal{O}(K) = \mathcal{O}(a) \oplus \mathcal{O}(b) \oplus \mathcal{O}(c)$ . The integers  $a$ ,  $b$  and  $c$  satisfy (1.4). By [10, Lemma 9.3], these further satisfy

$$(2.4) \quad a + c \leq 3b + 3, \quad b \leq 2a + 2.$$

The following can be shown in the same way as in Lemma 1.2 by using (2.4) instead of (1.5).

**LEMMA 2.2.**  *$a = n - 1$ ,  $b = 2n - 1$  and  $c = 2m = 5n + 1$ . In particular,  $h^0(L) = (5n + 3)/2$  and  $h^1(L) = 0$ .*

Let  $k$  be an integer with  $n = 2k - 1$ ,  $k \geq 2$ . Then  $L = [(5k - 2)D + Z]$  and we have

$$(2.5) \quad LZ = 2k - 2, \quad DZ = 2, \quad Z^2 = -8k + 2.$$

Though the proof of the following is quite similar to that of Lemma 1.3, we shall need some results in [10].

**LEMMA 2.3.**  *$Z = 2G_0 + G_1$ , where the  $G_i$  are nonsingular rational curves satisfying*

$$DG_0 = 1, \quad LG_0 = k - 1, \quad G_0^2 = -2k; \quad DG_1 = LG_1 = 0, \quad G_1^2 = -2.$$

**PROOF.** As in §1, let  $T$  and  $F$  respectively denote a tautological divisor and a fiber of

$$W = \mathcal{P}(\mathcal{O}(2k-2) \oplus \mathcal{O}(4k-3) \oplus \mathcal{O}(10k-4)) \rightarrow \mathbf{P}^1.$$

We choose sections  $X_0$ ,  $X_1$  and  $X_2$  of  $[T-(2k-2)F]$ ,  $[T-(4k-3)F]$  and  $[T-(10k-4)F]$ , respectively, so that they form a system of homogeneous coordinates on each fiber of  $W \rightarrow \mathbf{P}^1$ . We let  $(z_0, z_1)$  denote a system of homogeneous coordinates on  $\mathbf{P}^1$ . Since  $|K|$  is free from base points, we get a natural holomorphic map  $g: S \rightarrow W$  over  $\mathbf{P}^1$  which satisfies  $K = f^*T$ . Put  $S' = g(S)$ . Then  $S'$  is linearly equivalent to  $4T - (p_g - 6)F$  (see [10, §6]). Using  $X_i$ 's, the equation of any member of  $|4T - (p_g - 6)F|$  can be written as

$$\begin{aligned} & \phi_0 X_1^4 + X_2(\phi_2 X_0^3 + \phi_{2k+1} X_0^2 X_1 + \phi_{4k} X_0 X_1^2 + \phi_{6k-1} X_1^3 + \phi_{8k} X_0^2 X_2 \\ & \quad + \phi_{10k-1} X_0 X_1 X_2 + \phi_{12k-2} X_1^2 X_2 + \phi_{16k-6} X_0 X_2^2 + \phi_{18k-3} X_1 X_2^2 \\ & \quad + \phi_{24k-4} X_2^3) = 0, \end{aligned}$$

where  $\phi_i$  is a homogeneous form of degree  $i$  in  $z_0, z_1$ . If it defines  $S'$ , then it follows from [10, Lemma 9.3] that  $\phi_0$  and  $\phi_2$  are not identically zero. Furthermore,  $S'$  has only rational double points except for a unique fiber which is a double conic curve (cf. [8] and [10, §9]).

Let  $G_0$  denote the proper inverse image of the rational curve  $B$  defined in  $W$  by  $X_1 = X_2 = 0$ . Note that, on  $S'$ ,  $B$  is defined by  $X_1 = 0$  in a neighbourhood of its generic point. We have  $2Z = g^*(X_2)$ . Therefore, the above equation shows that  $Z$  is of the form  $Z = 2G_0 + Z'$ . It is clear that we have  $DG_0 = 1$ . Then we get  $DZ' = 0$  by (2.5). We have  $LG_0 \leq k-1$  by  $2k-2 = LZ = 2LG_0 + LZ'$ . Combining this with  $LG_0 = ((5k-2)D + Z)G_0 = 5k-2 + 2G_0^2 + G_0Z'$ , we get  $G_0^2 \leq -2k$ . Since  $G_0$  is a nonsingular rational curve, we have  $KG_0 + G_0^2 = -2$ . From this, we get  $G_0^2 = -2 - 2LG_0 \geq -2k$ . In sum, we get  $G_0^2 = -2k$ ,  $LG_0 = k-1$ ,  $G_0Z' = 1$  and  $LZ' = 0$ .

Since  $KZ' = 2LZ' = 0$ ,  $Z'$  consists of  $(-2)$ -curves. Let  $G_1$  denote the unique irreducible component of  $Z'$  with  $G_0G_1 = 1$ . We have  $0 = LZ' = 2G_0Z' + (Z')^2$ , that is,  $(Z')^2 = -2$ . Since  $0 = LG_1 = 2G_0G_1 + G_1^2 + G_1(Z' - G_1)$ , it follows that  $G_1(Z' - G_1) = 0$ . Hence, we get  $(Z' - G_1)^2 = 0$ . Then, Hodge's index theorem shows  $Z' = G_1$ . q.e.d.

In order to write down the equation of  $S'$  explicitly, we follow an idea in [9] to study the bi-graded ring  $\bigoplus H^0(\alpha D + \beta Z)$ . Though the computation is essentially the same as in [9], we collect it for the sake of completeness.

Let  $G_i$  be defined by  $\zeta_i \in H^0(G_i)$ ,  $0 \leq i \leq 1$ , and put  $\zeta = \zeta_0^2 \zeta_1$ . By the choice of  $b = 4k-3$ , we can find a section  $\xi \in H^0((6k-1)D + 2Z)$  which is linearly independent of  $z_0^i z_1^{6k-1-i} \zeta^2$ ,  $0 \leq i \leq 6k-1$ , where we regard  $z_0, z_1$  as a basis for  $H^0(D)$ . Since

$$((6k-1)D + 2Z)G_0 = -2k + 1 < 0, \quad ((6k-1)D + 3G_0 + 2G_1)G_1 = -1,$$

we can write  $\xi = \xi_0 \zeta_0 \zeta_1$  with some  $\xi_0 \in H^0((6k-1)D + 3G_0 + G_1)$ . Note that  $\xi_0$  is a nonzero constant on  $G_0$ . Similarly, by the choice of  $a = 2k-2$ , we can find a section  $\eta \in H^0((8k-2)D + 2Z)$  which is linearly independent of  $z_0^i z_1^{8k-2-i} \zeta^2$  ( $0 \leq i \leq 8k-2$ ) and

$z_0^j z_1^{2k-1-j} \zeta^j (0 \leq j \leq 2k-1)$ . Since  $H^0(K-aD) \rightarrow H^0(K_D)$  is surjective, we see that  $\eta$  is a nonzero constant on  $G_0 \cup G_1$ . Note that  $\zeta^2$ ,  $\xi$  and  $\eta$  induces a basis for  $H^0(K_D)$ .

By the Riemann-Roch theorem, we have  $\chi((12k-3)D+3Z)=22k-2$ . Since  $(12k-3)D+3Z=K+(2k+1)D+Z$  and  $|(2k+1)D+Z|$  contains a connected member, we have  $H^i((12k-3)D+3Z)=0$  for  $i \geq 1$ . Therefore, we get  $h^0((12k-3)D+3Z)=22k-2$ . In  $H^0((12k-3)D+3Z)$ , we have the following  $22k-3$  elements:

$$\begin{cases} z_0^i z_1^{12k-3-i} \zeta^3 & (0 \leq i \leq 12k-3), \\ z_0^i z_1^{6k-2-i} \zeta^2 \xi & (0 \leq i \leq 6k-2), \\ z_0^i z_1^{4k-1-i} \zeta \eta & (0 \leq i \leq 4k-1). \end{cases}$$

Therefore, there exists a new element  $\psi$ . If  $\psi$  were zero on  $G_0$ , it is also zero on  $G_1$ . Since one can show  $h^0((12k-3)D+5G_0+2G_1)=22k-3$ , this is impossible.

We next consider the cohomology long exact sequence for

$$0 \rightarrow \mathcal{O}((12k-3)D+3Z) \rightarrow \mathcal{O}((12k-2)D+3Z) \rightarrow \mathcal{O}_D(3Z|_D) \rightarrow 0.$$

Since  $Z|_D$  is of degree 2, we have  $h^0(\mathcal{O}_D(3Z))=4$ . This and  $H^1((12k-3)D+3Z)=0$  show  $h^0((12k-2)D+3Z)=22k+2$ . In  $H^0((12k-2)D+3Z)$ , however, we have the following  $22k+3$  elements:

$$\begin{cases} z_0^i z_1^{12k-2-i} \zeta^3 & (0 \leq i \leq 12k-2), \\ z_0^i z_1^{6k-1-i} \zeta^2 \xi & (0 \leq i \leq 6k-1), \\ z_0^i z_1^{4k-i} \zeta \eta & (0 \leq i \leq 4k), \\ z_0 \psi, z_1 \psi, \\ \zeta_1 \xi_0^2. \end{cases}$$

Therefore, there exists a relation of the form

$$(2.6) \quad A_1 \psi = A_0 \zeta_1 \xi_0^2 + A_{4k} \zeta \eta + A_{6k-1} \zeta^2 \xi + A_{12k-2} \zeta^3,$$

where the  $A_i$  are homogeneous forms of degree  $i$  in  $z_0, z_1$ . We remark that  $A_1$  cannot be zero as a linear form. By restricting (2.6) to  $G_1$ , we find that  $A_1$  vanishes on  $G_1$ . Geometrically, this implies that  $G_1$  is contained in the fiber defined by  $A_1=0$ . Similarly, by restricting (2.6) to  $G_0$ , we see that  $A_0 \neq 0$ . Therefore, we may put  $A_0=1$  and  $A_1=z_0$  by a linear change among  $z_0$  and  $z_1$ . Multiplying  $\zeta$  to (2.6), we get

$$(2.7) \quad z_0 \zeta \psi = \xi^2 + A_{4k} \zeta^2 \eta + A_{6k-1} \zeta^2 \xi + A_{12k-2} \zeta^3.$$

We write the right hand side of (2.7) as  $Q(z_0, z_1, \eta, \xi, \zeta^2)$  for simplicity.

We finally look at  $H^0((24k-6)D+6Z)$  which is of dimension  $100k-17$ . Here, we have the following  $100k-16$  elements modulo (2.7):

$$\left\{ \begin{array}{ll} z_0^i z_1^{24k-6-i} \zeta^6 & (0 \leq i \leq 24k-6), \\ z_0^i z_1^{18k-5-i} \zeta^4 \xi & (0 \leq i \leq 18k-5), \\ z_0^i z_1^{16k-4-i} \zeta^4 \eta & (0 \leq i \leq 16k-4), \\ z_0^i z_1^{12k-4-i} \zeta^2 \xi^2 & (0 \leq i \leq 12k-4), \\ z_0^i z_1^{10k-3-i} \zeta^2 \xi \eta & (0 \leq i \leq 10k-3), \\ z_0^i z_1^{8k-2-i} \zeta^2 \eta^2 & (0 \leq i \leq 8k-2), \\ z_0^i z_1^{6k-3-i} \zeta^2 \xi^3 & (0 \leq i \leq 6k-3), \\ z_0^i z_1^{4k-2-i} \zeta^2 \xi^2 \eta & (0 \leq i \leq 4k-2), \\ z_0^i z_1^{2k-1-i} \zeta^2 \xi \eta^2 & (0 \leq i \leq 2k-1), \\ \eta^3, \\ z_1^{12k-3} \zeta^3 \psi, \\ z_1^{6k-2} \zeta \xi \psi, \\ z_1^{4k-1} \zeta \eta \psi, \\ \psi^2. \end{array} \right.$$

It follows that we have a relation among these. In this relation, the coefficient of  $\psi^2$  cannot be zero. To see this, suppose that we have a relation which does not involve  $\psi^2$ . Then, by eliminating  $\psi$  from this using (2.7), we would get a cubic relation among  $\xi$ ,  $\eta$  and  $\zeta^2$  with coefficients homogeneous forms in  $z_0, z_1$ . Since  $\xi$ ,  $\eta$  and  $\zeta^2$  induce a basis for  $H^0(K_D)$ , and since  $D$  is a nonhyperelliptic curve of genus 3, this leads us to a contradiction. Therefore, by a suitable change of  $\psi$  if necessary, we get a relation of the form

$$(2.8) \quad \begin{aligned} \psi^2 = & B_0 \eta^3 + B_{2k-1} \xi \eta^2 + B_{4k-2} \xi^2 \eta + B_{6k-3} \xi^3 + B_{8k-2} \zeta^2 \eta^2 + B_{10k-3} \zeta^2 \xi \eta \\ & + B_{12k-4} \zeta^2 \xi^2 + B_{16k-4} \zeta^4 \eta + B_{18k-5} \zeta^4 \xi + B_{24k-6} \zeta^6, \end{aligned}$$

where the  $B_i$  are homogeneous forms of degree  $i$  in  $z_0, z_1$ . Since  $\psi$  is not zero on  $G_0$ ,  $B_0$  is a nonzero constant. We write the right hand side of (2.8) as  $P(z_0, z_1, \eta, \xi, \zeta^2)$  for simplicity.

Now, eliminating  $\psi$  from (2.7) and (2.8), we get

$$(2.9) \quad Q(z_0, z_1, \eta, \xi, \zeta^2)^2 - z_0^2 \zeta^2 P(z_0, z_1, \eta, \xi, \zeta^2) = 0.$$

Since the holomorphic map  $g: S \rightarrow W$  is obtained by putting  $X_0 = \eta$ ,  $X_1 = \xi$ ,  $X_2 = \zeta^2$ , we see that  $S'$  is defined by

$$(2.10) \quad Q(z_0, z_1, X_0, X_1, X_2)^2 - z_0^2 X_2 P(z_0, z_1, X_0, X_1, X_2) = 0.$$

It follows that  $S'$  has a double curve along a conic defined by  $z_0 = Q = 0$ . Let  $\sigma: S^* \rightarrow S'$  be the blowing up of the conic. In order to describe  $S^*$ , we introduce a new variable  $w = Q/z_0$  which can be regarded as a fiber coordinate of  $[2T - (8k-7)F]$ . Then  $S^*$  is defined in the total space of  $[2T - (8k-7)F]$  by

$$(2.11) \quad \begin{cases} z_0 w - Q = 0, \\ w^2 - X_2 P = 0. \end{cases}$$

Since  $w = \zeta\psi$ , we can lift  $g: S \rightarrow S'$  to  $h: S \rightarrow S^*$ . It is easy to see that (2.11) defines a surface which is singular only at  $z_0 = w = X_1 = X_2 = 0$  provided that  $P$  and  $Q$  are sufficiently general. This singularity is given locally by

$$z_0 w - (X_1^2 + \alpha w^2 + \cdots) = 0.$$

Therefore, it is a rational double point of type  $A_1$  from which  $G_1$  arises. It may be clear that  $X_2 = 0$  induces on  $S$  the divisor  $2Z = 4G_0 + 2G_1$ .

We have shown the following:

**THEOREM 2.4.** *For any even canonical surface  $S$  with  $c_1^2 = 3p_g - 6$ , there exists a positive integer  $k$  satisfying  $p_g = 16k - 6$ . If  $k \geq 2$ , then  $S$  is the minimal resolution of a surface defined by Equation (2.10) in  $\mathbf{P}(\mathcal{O}(2k-2) \oplus \mathcal{O}(4k-3) \oplus \mathcal{O}(10k-4))$ .*

Noting that  $S$  contains a  $(-2)$ -curve  $G_1$ , we can show the following in the same way as in Theorem 1.5.

**THEOREM 2.5.** *The moduli space of even canonical surfaces with  $c_1^2 = 3p_g - 6$ ,  $p_g \neq 10$ , is non-reduced.*

**REMARK 2.6.** When  $p_g = 10$  and  $K^2 = 24$ , an even canonical surface is one of the following (see, [9]):

- (1) a sextic surface.
- (2) a triple covering of a quadric surface in  $\mathbf{P}^3$ .
- (3) a surface with a pencil of nonhyperelliptic curves of genus 3.

See also [10, 4.3, 4.4 and §9]. In [9], it is shown that these together with non-canonical ones form an irreducible family. In particular,  $(-2)$ -curves on a surface  $S$  of type (3) disappear as  $S$  deforms to a sextic surface.

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