

## CONSTANT MEAN CURVATURE HYPERSURFACES IN NONCOMPACT SYMMETRIC SPACES

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**Abstract.** Here, we compute the mean curvature of the geodesic sphere at any point in some symmetric spaces and determine the lower bound of the mean curvature of a closed hypersurface of constant mean curvature in it. With the Hessian Comparison Theorem, we also show that there is a lower bound for the mean curvature of any closed hypersurface of constant mean curvature in a manifold with a pole satisfying a curvature condition.

**1. Introduction.** In this article, we study closed hypersurfaces of constant mean curvature in noncompact symmetric spaces or, more generally, the product of such spaces with a Euclidean space. These closed hypersurfaces of constant mean curvature are called soap bubbles in [HH89] and we refer the readers to this paper as well as [Kap90], [Kap91] and the references there for a discussion of the historical as well as mathematical background of these hypersurfaces. Our main theorem in this direction is the determination of a lower bound of the mean curvature of these hypersurfaces in terms of  $\Lambda(M)$ , defined as follows. Let  $M$  be such a space and let  $p$  be any point in  $M$ . For  $v \in T_p M$ , define a symmetric linear map  $K_v: T_p M \rightarrow T_p M$  by

$$K_v(X) = R(X, v)v, \quad \text{for } X \in T_p M.$$

We let

$$\Lambda(M) = \max \left\{ \sum_{i=1}^n c_i(v) : v \in T_p(M) \text{ and } \|v\| = 1 \right\}$$

where  $\{c_1(v)^2, \dots, c_n(v)^2\}$  are all the eigenvalues of  $K_v$ . Throughout this paper, we assume that all the  $c_i$ 's are nonnegative without loss of generality. This lower bound should be compared with an earlier result in the same direction in [Hsi92]. While Hsiang's result is in terms of roots, we shall show that the bound we obtain here is at least as big as that of [Hsi92]; whether or not they are equal is unclear at this point.

With the Hessian Comparison Theorem, we also prove that there is a lower bound for the mean curvature of any closed hypersurface of constant mean curvature in a manifold with a pole when its radial curvature is  $\leq -c^2$  for some nonzero constant  $c$ .

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**2. Constant mean curvature hypersurfaces in noncompact symmetric spaces.**

2.1. Preliminary. We begin with some definitions.

DEFINITION 2.1. Let  $M$  be an  $n$ -dimensional Riemannian manifold and  $p$  be a point in  $M$ . If  $\exp_p$  is a diffeomorphism in a neighborhood  $V$  of the origin in  $T_pM$ , and  $S = \{X \in T_pM : \|X\| = r\}$  is contained in  $V$ , then  $\exp_p S$  is called the *geodesic sphere* of radius  $r$  around  $p$  and is denoted by  $S_p(r)$ .

DEFINITION 2.2. Let  $\langle , \rangle$  be a Riemannian metric on  $M$  and  $\nabla$  be the Levi-Civita connection of  $M$ . Let  $N$  be a hypersurface in  $M$ , let  $x \in N$ , and let  $(T_xN)^\perp$  denote the orthogonal complement of  $T_xN$  in  $T_xM$ . Choose a unit vector  $v$  in  $(T_xN)^\perp$ . Then the symmetric operator  $S_x : T_xN \rightarrow T_xN$  given by

$$\langle S_x(X), Y \rangle = \langle \nabla_X Y, v \rangle \quad \text{for any } X, Y \in T_xN$$

is called the *second fundamental form* of  $N$  at  $x$  with respect to  $v$ . The *mean curvature* of  $N$  at  $x$  is the trace of  $S_x$ , denoted by  $h(N)(x)$ . In case  $N$  has a constant mean curvature, we will omit  $x$ .

By convention, we will always choose a unit vector  $v$  in  $(T_xN)^\perp$  so that the mean curvature is positive.

Now we will prove a useful lemma.

LEMMA 2.1. *Let  $M$  be an  $n$ -dimensional Riemannian manifold and fix  $p \in M$ . Suppose  $S_p(r)$  is the geodesic sphere of radius  $r$  around  $p$  for some  $r$ . For  $x \in S_p(r)$ , let  $\gamma$  be the normal geodesic joining  $p$  and  $x$  and  $\{e_1, \dots, e_{n-1}\}$  be an orthonormal basis for  $T_x(S_p(r))$ . Consider the Jacobi fields  $\{W_1, \dots, W_{n-1}\}$  such that  $W_i(0) = 0$  and  $W_i(r) = e_i$  for  $i = 1, \dots, n - 1$ . Then the mean curvature of  $S_p(r)$  at  $x$  is equal to  $\sum_{i=1}^{n-1} \langle \dot{W}_i(r), e_i \rangle$ .*

PROOF. Let  $\rho$  be the distance function relative to  $p$  and let  $v = -\text{grad } \rho$ . Then  $v$  is the inward unit normal vector field to  $S_p(r)$  and  $S_x$  denotes the second fundamental form of  $S_p(r)$  at  $x$  with respect to  $v$ . Let  $\{e_1, \dots, e_{n-1}\}$  be any orthonormal vectors in  $T_x(S_p(r))$ . Now

$$h(S_p(r))(x) = \text{Tr } S_x = \sum_{i=1}^{n-1} \langle S_x(e_i), e_i \rangle = \sum_{i=1}^{n-1} \langle \nabla_{e_i} e_i, v \rangle = - \sum_{i=1}^{n-1} \langle \nabla_{e_i} v, e_i \rangle.$$

For fixed  $i$ , consider the variation of  $\gamma$ :

$$\Gamma : [0, r] \times [-c, c] \rightarrow M$$

such that

- $\Gamma_r(s) = \Gamma(r, s) \in S_p(r)$
- $\Gamma(t, 0) = \gamma(t)$  and for fixed  $s$ ,  $\Gamma(t, s)$  is a normal geodesic joining  $p$  to  $\Gamma(r, s)$
- $(\partial\Gamma_r/\partial s)(0) = e_i$ .

Let  $T$  and  $V$  be the tangent vector fields on  $[0, r] \times [-c, c]$  corresponding to its first and second variables. We will identify the vectors with their images under  $\Gamma$ . Note that  $-T$  is equal to  $v$  at  $\Gamma_r(s)$  and  $W_i(t)$  is equal to the restriction of  $V$  to  $\Gamma(t, 0) = \gamma(t)$ . Now we have

$$-\langle \nabla_{e_i} v, e_i \rangle = -\langle \nabla_v(-T), V \rangle(x) = \langle \nabla_T V, V \rangle(x) = \langle \nabla_T W_i(r), e_i \rangle = \langle \dot{W}_i(r), e_i \rangle . \quad \square$$

2.2. Theorems. Let  $p$  be any point in  $M$ . For  $v \in T_p M$ , define a linear map  $K_v: T_p M \rightarrow T_p M$  by

$$K_v(X) = R(X, v)v, \quad \text{for } X \in T_p M .$$

Note that  $K_v$  is symmetric and all the eigenvalues are real. Furthermore, they are all nonnegative if  $M$  has nonpositive sectional curvature.

**PROPOSITION 2.2.** *Let  $M$  be an  $n$ -dimensional symmetric space of noncompact type or the product of such a space with a Euclidean space and  $p \in M$ . Let  $v$  be any unit vector in  $T_p M$ . If  $\{c_1^2, \dots, c_t^2\}$  are all the nonzero eigenvalues of  $K_v$ , then the mean curvature of  $S_p(r)$  at  $\exp_p rv$  is  $\sum_{i=1}^t c_i \coth c_i r + (n-t-1)/r$  which is greater than  $\sum_{i=1}^t c_i$  for any  $r > 0$ .*

**PROOF.** Let  $\gamma(t) = \exp_p tv$  and  $x = \exp_p rv$ . We will use Lemma 2.1 and so we need to find the Jacobi fields  $\{W_1, \dots, W_{n-1}\}$  along  $\gamma$  such that  $W_i(0) = 0$  for  $i = 1, \dots, n-1$  and  $\{W_1(r), \dots, W_{n-1}(r)\}$  are orthonormal in  $T_x S_p(r)$ .

Now choose an orthonormal basis  $\{e_1, \dots, e_n\}$  of  $T_p M$ , consisting of eigenvectors of  $K_v$ , that is,

$$K_v(e_i) = c_i^2 e_i, \quad \text{for } i = 1, \dots, n$$

and extend the  $e_i$ 's to vector fields  $\{E_1, \dots, E_n\}$  along  $\gamma$  by parallel transport. For  $\dot{\gamma}(t)$ ,  $0 \leq t \leq r$ , define a linear map  $K_{\dot{\gamma}(t)}: T_{\gamma(t)} M \rightarrow T_{\gamma(t)} M$  by

$$K_{\dot{\gamma}(t)}(X) = R(X, \dot{\gamma}(t))\dot{\gamma}(t), \quad \text{for } X \in T_{\gamma(t)} M .$$

Consider  $K_{\dot{\gamma}(t)}(E_i(t))$ , for all  $0 \leq t \leq r$ . We have

$$\nabla_{\dot{\gamma}(t)} K_{\dot{\gamma}(t)}(E_i(t)) = \nabla_{\dot{\gamma}(t)}(R(E_i(t), \dot{\gamma}(t))\dot{\gamma}(t)) = 0 ,$$

since  $\nabla R = 0$  and  $\nabla_{\dot{\gamma}(t)} \dot{\gamma}(t) = \nabla_{\dot{\gamma}(t)} E_i(t) = 0$ . This implies that  $K_{\dot{\gamma}(t)}(E_i(t))$  is a parallel transport along  $\gamma(t)$  of  $K_{\dot{\gamma}(r)}(E_i(r)) = K_v(e_i) = c_i^2 e_i$ . By the uniqueness of parallel transport,

$$K_{\dot{\gamma}(t)}(E_i(t)) = c_i^2 E_i(t) .$$

Note that  $c_i$  does not depend on  $t$ . For simplicity, we may assume  $E_n = \dot{\gamma}(t)$ .

Now we are ready to construct the Jacobi fields  $W_i$  along  $\gamma$  such that  $W_i(0) = 0$

and  $W_i(r) = E_i(r)$ , for  $1 \leq i \leq n-1$ .

If  $W_i(t) = \sum_{j=0}^n \alpha_j^i(t) E_j(t)$ , the coefficients  $\alpha_j^i(t)$ 's should satisfy the Jacobi equation and the initial conditions: for all  $1 \leq i \leq n-1$  and  $1 \leq j \leq n$ .

$$\begin{aligned} \ddot{\alpha}_i^j(t) &= \sum_{k=1}^{n-1} \langle R(\dot{\gamma}(t), E_j(t))\dot{\gamma}(t), E_k(t) \rangle \alpha_i^k(t) = - \sum_{k=1}^{n-1} \langle K_{\dot{\gamma}(t)}(E_j(t)), E_k(t) \rangle \alpha_i^k(t) \\ &= - \sum_{k=1}^{n-1} \langle c_j^2 E_j(t), E_k(t) \rangle \alpha_i^k(t) = -c_j^2 \alpha_i^j(t), \end{aligned}$$

and

$$\alpha_i^j(0) = 0, \quad \text{and} \quad \alpha_i^j(r) = \delta_i^j.$$

Therefore we have

$$\begin{aligned} \alpha_i^j(t) &= 0 \quad \text{if } i \neq j \\ \alpha_i^i(t) &= \begin{cases} t/r & \text{if } c_i = 0 \\ \sinh c_i t / \sinh c_i r & \text{if } c_i > 0, \end{cases} \end{aligned}$$

i.e.,

$$W_i(t) = \begin{cases} (t/r)E_i(t) & \text{if } c_i = 0 \\ (\sinh c_i t / \sinh c_i r)E_i(t) & \text{if } c_i > 0. \end{cases}$$

Furthermore,

$$\dot{W}_i(t) = \begin{cases} (1/r)E_i(t) & \text{if } c_i = 0 \\ c_i(\cosh c_i t / \sinh c_i r)E_i(t) & \text{if } c_i > 0, \end{cases}$$

and so

$$\langle \dot{W}_i(r), W_i(r) \rangle = \begin{cases} 1/r & \text{if } c_i = 0 \\ c_i \coth c_i r & \text{if } c_i > 0, \end{cases}$$

which is monotone decreasing to  $c_i$  as  $r$  tends to  $\infty$ . Recall that by assumption,  $c_i \neq 0$  if and only if  $1 \leq i \leq t$ .

By Lemma 2.1, we have

$$\begin{aligned} h(S_p(r))(x) &= \sum_{i=1}^{n-1} \langle \dot{W}_i(r), W_i(r) \rangle = \sum_{i=1}^{n-1} \dot{\alpha}_i^i(r) \\ &= \sum_{i=1}^t c_i \coth c_i r + (n-t-1)/r > \sum_{i=1}^t c_i. \end{aligned}$$

□

Now we can prove the main theorem.

**THEOREM 2.3.** *Let  $M$  be an  $n$ -dimensional symmetric space of noncompact type or the product of such a space with a Euclidean space and  $p \in M$ . Let*

$$A(M) = \max \left\{ \sum_{i=1}^n c_i(v) : v \in T_p(M) \text{ and } \|v\| = 1 \right\}$$

where  $\{c_1(v)^2, \dots, c_n(v)^2\}$  are all the eigenvalues of  $K_v$ . Then the mean curvature of any closed hypersurface of constant mean curvature in  $M$  is greater than  $A(M)$ .

**PROOF.** First we choose  $v_0 \in T_p M$  such that  $\|v_0\| = 1$  and  $\sum_{i=1}^n c_i(v_0) = A(M)$ . Then for any  $\varepsilon > 0$ , there exists a neighborhood  $N$  of  $v_0$  in a unit ball in  $T_p M$  such that

$$\sum_{i=1}^n c_i(v) \geq A(M) - \varepsilon \quad \text{for } v \in N.$$

Let  $\Sigma$  be a closed hypersurface of constant mean curvature  $h$  in  $M$  and  $O$  be its center of gravity. We move  $\Sigma$  by transvection which maps  $O$  to  $p$  and then push it by the transvection  $T_t$  along  $\exp_p t v_0$  until  $T_{t_0}(\Sigma)$  is contained in  $\{\exp_p s v : v \in N \text{ and } s \geq 0\}$  for some  $t_0$ . Now choose  $r$  such that  $T_{t_0}(\Sigma)$  is inside  $S_p(r)$  and touches it, say, at  $\exp_p s' v'$  for some  $s' > 0$  and some  $v' \in N$ . Clearly, the mean curvature  $h(\Sigma)$  of  $\Sigma$  must be greater than or equal to the mean curvature  $h(S_p(r))(\exp_p s' v')$  of  $S_p(r)$  at  $\exp_p s' v'$ .

On the other hand, by Proposition 2.2, we have

$$h(S_p(r))(\exp_p s' v') > \sum_{i=1}^n c_i(v'),$$

which is  $\geq A(M) - \varepsilon$  since  $v' \in N$ . Therefore we get  $h(\Sigma) > A(M)$  since  $\varepsilon$  is arbitrary.  $\square$

**REMARK.** If  $\lambda M$  has a metric multiplied by a constant  $\lambda$ , then

$$A(\lambda M) = \frac{1}{\lambda} A(M).$$

**REMARK.** This result should be compared with a similar one in [Hsi92], where the lower bound of the mean curvature is expressed in terms of  $b(M)$  to be defined below. We shall prove that our lower bound is at least as big as  $b(M)$ ; whether or not they are equal is unclear at the moment except for an  $M$  with rank  $\leq 2$ .

**REMARK.** Let  $G = I_0(M)$  be the connected component of the isometry group  $I(M)$  that contains the identity and  $K = G_p = \{g \in G : g(p) = p\}$  and  $\mathfrak{g}$  and  $\mathfrak{k}$  be the Lie algebras of  $G$  and  $K$ , respectively. And let  $\theta_p$  be the involution of  $\mathfrak{g}$ . We have  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{m}$  as the decomposition of  $\mathfrak{g}$  into eigenspaces of  $\theta_p : \mathfrak{g} \rightarrow \mathfrak{g}$ . Then the map  $p : G \rightarrow M$  given by  $p(g) = g(p)$  induces the isomorphism  $dp : \mathfrak{m} \rightarrow T_p M$ . Here we have useful facts:

**Fact 1.** Fix a maximal abelian subalgebra  $\mathfrak{a}$  in  $\mathfrak{m}$  and let  $\alpha$  be a real linear function on  $\mathfrak{a}$ . Then  $\alpha$  is the restriction of a root of  $\mathfrak{g}$  if and only if there exists a vector  $X \neq 0$  in  $\mathfrak{m}$  such that

$$(\text{ad } H)^2 X = \alpha(H)^2 X \quad \text{for all } H \in \mathfrak{a} .$$

(See [Hel78, ch. VII (2)].)

Fact 2. The curvature tensor of  $M$  at  $p$  is given by

$$R(X, Y)Z = \text{ad}[X, Y](Z) = [[X, Y], Z]$$

for all  $X, Y, Z \in \mathfrak{m}$ .

(See [Hel78, ch. IV (4)].)

Note that  $K_v(X) = R(X, v)v = [[X, v], v] = [v, [v, X]] = (\text{ad } v)^2 X$ . With the identification  $dp: \mathfrak{m} \rightarrow T_p M$ , the above two facts imply that

$$\sum_{i=1}^n c_i(v) = \sum_{\alpha \in \Delta(M)} |\alpha(v)| \quad \text{for } v \in \mathfrak{a} \text{ and } \|v\| = 1 ,$$

where  $\Delta(M)$  is the restricted root system of  $\mathfrak{g}$  with respect to  $\mathfrak{a}$ . Therefore, letting

$$b(M) = \max \left\{ \sum_{\alpha \in \Delta(M)} |\alpha(v)| : v \in \mathfrak{a} \text{ and } \|v\| = 1 \right\} ,$$

we have  $A(M) \geq b(M)$ .

REMARK. This  $b(M)$  is not dependent on the choice of the maximal abelian subspace  $\mathfrak{a}$  in  $\mathfrak{m}$ . Indeed, let  $\mathfrak{a}'$  be the other maximal abelian subspace in  $\mathfrak{m}$ . We choose some  $k \in K$  such that  $\text{Ad}_k \mathfrak{a}' = \mathfrak{a}$ . By the above fact, if  $\lambda$  is the restricted root of  $\mathfrak{g}$  with respect to  $\mathfrak{a}$ , then the linear function  $\lambda'$  on  $\mathfrak{a}'$  defined by

$$\lambda'(\text{Ad}_k H) = \lambda(H)$$

is also the restricted root of  $\mathfrak{g}$  with respect to  $\mathfrak{a}'$ . From this, we have

$$\sum_{\alpha \in \Delta(M)} |\alpha(v)| = \sum_{\alpha' \in \Delta'(M)} |\alpha'(\text{Ad}_k v)| ,$$

where  $\Delta(M)$  and  $\Delta'(M)$  are the restricted root systems of  $\mathfrak{g}$  with respect to  $\mathfrak{a}$  and  $\mathfrak{a}'$ , respectively. Therefore, if the rank of  $M$  is  $\leq 2$ , then

$$A(M) = b(M) ,$$

since for any nonzero  $v \in \mathfrak{m}$ , there is a maximal abelian subalgebra containing  $v$ .

Before going further, we recall a definition:  $M$  is said to be a *manifold of  $s$ -positive* (resp.  *$s$ -negative*) *curvature* if  $s$  is a smallest integer such that for each  $p \in M$  and for any  $(s + 1)$  orthonormal vectors  $\{e_0, e_1, \dots, e_s\}$  in  $M_p$ , we have  $\sum_{i=1}^s K(e_0, e_i) > 0$  (resp.  $< 0$ ), where  $K(e_0, e_i)$  denotes the sectional curvature of the plane spanned by  $e_0$  and  $e_i$ . This  $s$  is determined for each irreducible symmetric space in [Lee93].

For convenience, we introduce a function  $\kappa_s: M \rightarrow \mathbb{R}$  given by letting  $\kappa_s(p)$  to be the maximum of all  $\sum_{i=1}^s K(e_0, e_i)$  for any  $(s + 1)$  orthonormal vectors  $\{e_0, e_1, \dots, e_s\}$

in  $T_pM$ . And let  $\kappa_s(M) = \max_{p \in M} \kappa_s(p)$ .

**THEOREM 2.4.** *Let  $M$  be an  $n$ -dimensional symmetric space of noncompact type or the product of such a space with a Euclidean space with an  $s$ -negative curvature. If  $\kappa_s(M) \leq -\varepsilon^2$ , then the mean curvature of any closed hypersurface of constant mean curvature in  $M$  is greater than  $(n-s)\varepsilon$ .*

**PROOF.** By the definition of  $s$ -negative curvature, there exist orthonormal vectors  $\{e_1, \dots, e_s\}$  in  $T_pM$  for some  $p \in M$  such that  $\sum_{i=2}^s K(e_1, e_i) = 0$ . Letting  $v = e_1$ , consider the eigenvalues  $\{c_1^2(v) \leq c_2^2(v) \leq \dots \leq c_n^2(v)\}$  of  $K_v$ . Then  $c_1(v) = \dots = c_s(v) = 0$  since  $K_v(e_i) = 0$  for all  $1 \leq i \leq s$ . Furthermore, by hypothesis,  $c_j(v) \geq \varepsilon$  for all  $s+1 \leq j \leq n$ . Thus  $\sum_{i=1}^n c_i(v) \geq (n-s)\varepsilon$ . Theorem 2.3 implies the conclusion.  $\square$

**THEOREM 2.5.** *Let  $M_i$  be an  $n_i$ -dimensional symmetric space of noncompact type or the product of such a space with a Euclidean space and have an  $s_i$ -negative curvature and  $\kappa_{s_i}(M_i) \leq -\varepsilon_i^2$ . Then*

$$A(M_1 \times M_2) \geq \min\{(n_i - s_j)\varepsilon_k : i \neq j, i, j, k = 1, 2\}.$$

**PROOF.** It is clear that  $M_1 \times M_2$  has  $s$ -negative curvature, where  $s = \max\{s_1 + n_2, n_1 + s_2\}$  and  $\kappa_s(M_1 \times M_2) \leq \max\{-\varepsilon_1^2, -\varepsilon_2^2\}$ . Then we apply Theorem 2.4.  $\square$

### 3. Constant mean curvature hypersurfaces in manifolds with a pole.

3.1. Preliminary. We begin with some definitions.

**DEFINITION 3.1.** Let  $M$  be an  $n$ -dimensional Riemannian manifold. A point  $p$  in  $M$  is called a *pole* of  $M$  if  $\exp : M_p \rightarrow M$  is a diffeomorphism, and an ordered pair  $(M, p)$  a *manifold with a pole*.

**DEFINITION 3.2.** Given an  $(M, p)$ , the *radial vector field* is the unit vector field  $v$  defined on  $M - \{p\}$  such that for all  $x \in M - \{p\}$ ,  $v(x)$  is the unit vector tangent to the unique geodesic joining  $p$  and  $x$  and pointing away from  $p$ . And a plane  $\pi$  in  $M_x$  is called a *radial plane* if  $\pi$  contains  $v(x)$  and the restriction of the sectional curvature function to all the radial planes is called the *radial curvature*.

**DEFINITION 3.3.** Let  $\nabla$  be the Levi-Civita connection and  $f$  be a  $C^2$  function on  $M$ . We define the *Hessian* of  $f$  as the second covariant differential  $D^2f$  of  $f$ , i.e.,

$$D^2f(X, Y) = X(Yf) - (\nabla_X Y)f$$

for all vector fields  $X, Y$  on  $M$ . Note that  $D^2f$  is a symmetric tensor field of type  $(0, 2)$ .

Now we have a useful result, whose proof can be found in [GW79, pp. 19–24].

**THEOREM 3.1 [Hessian Comparison Theorem].** *Let  $(M, p)$  and  $(N, q)$  be manifolds with a pole such that  $\dim M \leq \dim N$ . Let  $\gamma_1 : [0, r] \rightarrow M$  and  $\gamma_2 : [0, r] \rightarrow N$  be normal geodesics with  $\gamma_1(0) = p$  and  $\gamma_2(0) = q$ .  $\rho_M$  and  $\rho_N$  denote the distance functions on  $M$  and*

$N$  relative to  $p$  and  $q$ , and  $v_M$  and  $v_N$  the radial vector fields of  $M$  and  $N$ , respectively. Suppose each radial curvature at  $\gamma_2(t)$  is not less than every radial curvature at  $\gamma_1(t)$  for all  $t \in [0, r]$ . Then for all  $X \in M_{\gamma_1(r)}$  and for all  $Y \in M_{\gamma_2(r)}$  such that  $\|X\| = \|Y\|$  and  $\langle X, v_M(\gamma_1(r)) \rangle = \langle Y, v_N(\gamma_2(r)) \rangle$ ,

$$D^2\rho_M(\gamma_1(r))(X, X) \geq D^2\rho_N(\gamma_2(r))(Y, Y).$$

3.2. Theorem. We will use the Hessian Comparison Theorem to prove the main theorem and so we need the following proposition.

PROPOSITION 3.2. Let  $H$  be an  $n$ -dimensional hyperbolic space with a constant curvature  $-c^2$  for some positive  $c$ . Let  $p$  be any point in  $H$  and  $\rho_H$  be the distance function from  $p$ . Then for any  $x = \exp_p rv$  with  $v \in T_p H$ ,  $\|v\| = 1$  and  $r > 0$ ,

$$D^2\rho_H(X, X) = c \coth cr \quad \text{for any } X \in T_x(S_p(r)), \|X\| = 1.$$

PROOF. First we will prove that

$$D^2\rho_H(X, X) = \langle \nabla_T W(r), X \rangle,$$

where  $W$  is a Jacobi field along  $\gamma(t) = \exp_p tv$  such that  $W(0) = 0$  and  $W(r) = X$ .

Fix an  $X \in T_x(S_p(r))$  with  $\|X\| = 1$ . Consider the normal geodesic  $\zeta(s)$  such that  $\zeta(0) = X$ . Let  $\gamma_s: [0, r] \rightarrow M$  be a normal geodesic joining  $p$  to  $\zeta(s)$ . Then clearly  $\gamma_0 = \gamma$ . Let  $W$  be the transversal vector field of  $\gamma_s$  along  $\gamma$ . Note that

- the transversal vector field  $W$  of  $\gamma_s$  is a Jacobi field along  $\gamma$
- $W(0) = 0$  and  $W(r) = X$
- $W \perp \dot{\gamma}$ .

Let  $L(s)$  denote the length of  $\gamma_s$  and  $T = \dot{\gamma}$ . Now

$$\begin{aligned} D^2\rho(X, X) &= X\zeta(\rho) - (\nabla_X \zeta)(\rho) \\ (3.1) \quad &= \zeta(\zeta(\rho)(0)) - (\nabla_{\zeta} \zeta)(\rho)(0) = \ddot{L}(0) \end{aligned}$$

$$\begin{aligned} (3.2) \quad &= \langle \nabla_W W, T \rangle \Big|_0^r + \int_0^r \{ \langle \nabla_T W, \nabla_T W \rangle - \langle R(W, T)T, W \rangle - (T\langle W, T \rangle)^2 \} dt \\ &= \int_0^r \{ \langle \nabla_T W, \nabla_T W \rangle - \langle R(W, T)T, W \rangle \} dt \end{aligned}$$

$$(3.3) \quad = \langle \nabla_T W, W \rangle \Big|_0^r = \langle \nabla_T W(r), W(r) \rangle = \langle \nabla_T W(r), X \rangle.$$

We used the fact that  $\zeta$  is a geodesic and  $W \perp T$  in (3.1) and (3.2). And in (3.3), we needed the following by the Jacobi equation for  $W$  and integration by parts: if  $\{E_i\}_{i=1}^n$  are orthonormal parallel vector fields along  $\gamma$  and let  $W = \sum_{i=1}^n \alpha_i E_i$ , then

$$\ddot{\alpha}_i = \sum_{j=1}^n \langle R(T, E_i)T, E_j \rangle \alpha_j,$$



also so

$$\begin{aligned} \langle \ddot{W}, W \rangle &= \sum_{i=1}^n \ddot{\alpha}_i \alpha_i = \sum_{i,j=1}^n \langle R(T, E_i)T, E_j \rangle \alpha_j \alpha_i \\ &= \left\langle R\left(T, \sum_{i=1}^n \alpha_i E_i\right)T, \sum_{j=1}^n \alpha_j E_j \right\rangle = \langle R(T, W)T, W \rangle = \langle R(W, T)T, W \rangle, \end{aligned}$$

i.e.,

$$\nabla_T \langle \dot{W}, W \rangle = \langle \dot{W}, \dot{W} \rangle + \langle \ddot{W}, W \rangle = \langle \dot{W}, \dot{W} \rangle + \langle R(W, T)T, W \rangle.$$

On the other hand, we have

$$W(t) = \frac{\sinh ct}{\sinh cr} E(t)$$

as in Proposition 2.2 of Section 2 where  $E(t)$  is a parallel transport of  $X$  along  $\gamma$ . So

$$\nabla_T W(r) = c \coth cr E(r) = c \coth cr X$$

and

$$D^2 \rho_H(X, X) = \langle \nabla_T W(r), X \rangle = \langle c \coth cr X, X \rangle = c \coth cr.$$

□

Let us prove the main theorem.

**THEOREM 3.3.** *Let  $(M, p)$  be an  $n$ -dimensional manifold with a pole. If its radial curvature is  $\leq -c^2$  for some positive constant  $c$ , then the mean curvature of any closed hypersurface of constant mean curvature in  $M$  is greater than  $(n-1)c$ .*

**PROOF.** Fix  $x = \exp_p rv$  for any  $v \in T_p M$ ,  $\|v\| = 1$  and for any  $r > 0$ . First we will show that the mean curvature  $h(S_p(r))(x)$  of  $S_p(r)$  at  $x$  is greater than  $(n-1)c$ .

Let  $\rho$  be the distance function relative to  $p$  and let  $v = -\text{grad } \rho$ . Then  $v$  is the inward unit normal vector field to  $S_p(r)$  and  $S_x$  denotes the second fundamental form of  $S_p(r)$  at  $x$  with respect to  $v$ . Choose an orthonormal basis  $\{e_1, \dots, e_{(n-1)}\}$  for  $T_x(S_p(r))$ . Then we have

$$\begin{aligned} h(S_p(r))(x) &= \text{Tr } S_x = \sum_{i=1}^{n-1} \langle S_x(e_i), e_i \rangle = \sum_{i=1}^{n-1} \langle \nabla_{e_i} e_i, v \rangle = - \sum_{i=1}^{n-1} \langle \nabla_{e_i} e_i, \text{grad } \rho \rangle \\ &= - \sum_{i=1}^{n-1} (\nabla_{e_i} e_i)(\rho) = \sum_{i=1}^{n-1} e_i e_i(\rho) - (\nabla_{e_i} e_i)(\rho) = \sum_{i=1}^{n-1} D^2 \rho(e_i, e_i). \end{aligned}$$

If  $H$  is the  $n$ -dimensional hyperbolic space with a constant curvature  $-c^2$  and  $\rho_H$  be the distance function from some point  $q \in H$ , then for  $X \in T_y S_q(r)$  with  $\|X\| = 1$  and  $\rho_H(y) = r$ ,

$D^2\rho(e_i, e_i) \geq D^2\rho_H(X, X)$ , (by the Hessian Comparison Theorem),  
and for such  $X$ ,

$$D^2\rho_H(X, X) \geq c \coth cr > c \quad (\text{by Proposition 3.2}).$$

Therefore, from the above three formulas, we obtain

$$h(S_p(r))(x) > (n-1)c.$$

Note that  $v$  and  $r$  are arbitrary.

Now let  $N$  be a closed hypersurface of constant mean curvature in  $M$ . Then we can choose  $v$  and  $r$  such that  $N$  is inside  $S_p(r)$  and touches it at  $x = \exp_p rv$ . Clearly this implies the conclusion.  $\square$

We close this section with a remark.

REMARK. Let  $M$  be a symmetric space of noncompact type. Then for any  $p \in M$ ,  $(M, p)$  is a manifold with a pole. But except for an  $M$  with rank  $M=1$ , there does not exist a positive  $c$  such that the radial curvature of  $(M, p)$  is  $\leq -c^2$ . Thus Theorem 2.3 of Section 2 and Theorem 3.3 above overlap only in the case of symmetric spaces of rank one.

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