

## BOHMAN-KOROVKIN-WULBERT OPERATORS FROM A FUNCTION SPACE INTO A COMMUTATIVE $C^*$ -ALGEBRA FOR SPECIAL TEST FUNCTIONS

Dedicated to Professor Satoru Igari on his sixtieth birthday

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(Received October 25, 1994, revised March 22, 1995)

**Abstract.** We completely determine a class of Bohman-Korovkin-Wulbert operators from a function space on a compact Hausdorff space into the Banach space of continuous complex-valued functions on another space with respect to the special test functions.

**1. Introduction and results.** Let  $X$  and  $Y$  be normed spaces and  $B(X, Y)$  the set of all bounded linear operators from  $X$  into  $Y$ . For a subset  $S$  of  $X$  and a subset  $B$  of  $B(X, Y)$ , an operator  $T$  in  $B(X, Y)$  is said to be a Bohman-Korovkin-Wulbert operator (BKW-operator, for shortly) for  $S$  and  $B$  if every net  $\{T_\lambda\}$  in  $B$  such that  $\lim_\lambda \|T_\lambda\| = \|T\|$  and  $\lim_\lambda \|T_\lambda(s) - T(s)\| = 0$  for all  $s \in S$  converges strongly to  $T$  (cf. [6]). We will omit  $Y$  (resp.  $B$ ) when  $X = Y$  (resp.  $B = B(X, Y)$ ). Bohman [1] showed that the identity operator  $\text{id}_{C([0, 1])}$  on  $C([0, 1])$  is a BKW-operator for  $\{\mathbf{1}, x, x^2\}$  and special interpolation operators on  $C([0, 1])$ . Korovkin [2] showed that  $\text{id}_{C([0, 1])}$  is also a BKW-operator for  $\{\mathbf{1}, x, x^2\}$  and positive operators on  $C([0, 1])$ . Moreover, Wulbert [8] showed that  $\text{id}_{C([0, 1])}$  is a BKW-operator for  $\{\mathbf{1}, x, x^2\}$ . “BKW” is an abbreviation for Bohman, Korovkin and Wulbert. Micchelli [4] posed (as suggested in Lorentz [5]) a problem of describing all positive BKW-operators on  $C(\Omega)$  for suitable test functions on  $\Omega$  and positive operators on  $C(\Omega)$ . However, we are interested in describing all BKW-operators from a function space into another space for suitable test functions.

In [7], we completely described all BKW-operators (resp. all norm one unital BKW-operators) from a function space on the unit interval  $[0, 1]$  into the Banach space of continuous complex-valued functions on a compact Hausdorff space for the special test functions  $\{\mathbf{1}, x\}$  (resp.  $\{\mathbf{1}, x, x^2\}$ ). Here we consider BKW-operators for general function spaces and obtain generalizations of the results in [7].

Throughout this paper, let  $\Omega$  and  $\Phi$  be compact Hausdorff spaces and let  $h$  be a nonconstant real-valued function in  $C(\Omega)$  and  $X$  a function space on  $\Omega$  such that  $\text{span}\{\mathbf{1}, h\} \subsetneq X$ , where “span” denotes the linear span. Set

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1991 *Mathematics Subject Classification.* Primary 41A35; Secondary 47A58, 47B38.

Partly supported by the Grants-in-Aid for Scientific Research, The Ministry of Education, Science and Culture, Japan.

$$m = \min_{\omega \in \Omega} h(\omega),$$

$$M = \max_{\omega \in \Omega} h(\omega),$$

$$\Omega_m = \{\omega \in \Omega : h(\omega) = m\},$$

$$\Omega_M = \{\omega \in \Omega : h(\omega) = M\} \quad \text{and}$$

$$\Omega_h = \{\omega \in \Omega : \# \{h^{-1}(h(\omega))\} = 1\}.$$

In this notation, we completely describe all BKW-operators from  $X$  into  $C(\Phi)$  for the test functions  $\{\mathbf{1}, h\}$  as follows:

**THEOREM 1.** (i) *If  $\Omega_m$  consists of a single point  $\omega_0$  and  $\Omega_M$  consists of a single point  $\omega_1$ , then every BKW-operator  $T$  from  $X$  into  $C(\Phi)$  for the test functions  $\{\mathbf{1}, h\}$  is of the form  $T(f) = f(\omega_0)u + f(\omega_1)v$  for every  $f \in X$ , where  $u$  and  $v$  are functions in  $C(\Phi)$  satisfying the following two conditions:*

- (1)  $|u(\varphi)| + |v(\varphi)| = \|T\|$  for all  $\varphi \in \Phi$ .
- (2) If  $u(\varphi) \neq 0$  and  $v(\varphi) \neq 0$ , then  $|u(\varphi) + v(\varphi)| \neq \|T\|$ .

*In this case, the functions  $u$  and  $v$  are given by  $u = T(\mathbf{1} - \tilde{h})$  and  $v = (T\tilde{h})$ , where  $\tilde{h} = (M - m)^{-1}(h - m\mathbf{1})$ . In particular, every norm one unital BKW-operator  $T$  from  $X$  into  $C(\Phi)$  for  $\{\mathbf{1}, h\}$  is of the form  $T(f) = f(\omega_0)\chi + f(\omega_1)(\mathbf{1} - \chi)$  for every  $f \in X$ , where  $\chi$  is the characteristic function on a closed and open subset of  $\Phi$ .*

(ii) *If  $\Omega_m$  consists of a single point  $\omega_0$  and  $\Omega_M$  possesses more than two points, then every BKW-operator  $T$  from  $X$  into  $C(\Phi)$  for the test functions  $\{\mathbf{1}, h\}$  is of the form  $T(f) = f(\omega_0)u$  for every  $f \in X$ , where  $u$  is a functions in  $C(\Phi)$  such that  $|u(\varphi)| = \|T\|$  for all  $\varphi \in \Phi$ . In particular, every norm one unital BKW-operator  $T$  from  $X$  into  $C(\Phi)$  for  $\{\mathbf{1}, h\}$  is of the form  $T(f) = f(\omega_0)\mathbf{1}$  for every  $f \in X$ .*

(iii) *If  $\Omega_M$  consists of a single point  $\omega_1$  and  $\Omega_m$  possesses more than two points, then every BKW-operator  $T$  from  $X$  into  $C(\Phi)$  for the test functions  $\{\mathbf{1}, h\}$  is of the form  $T(f) = f(\omega_1)v$  for every  $f \in X$ , where  $v$  is a function in  $C(\Phi)$  such that  $|v(\varphi)| = \|T\|$  for all  $\varphi \in \Phi$ . In particular, every norm one unital BKW-operator  $T$  from  $X$  into  $C(\Phi)$  for  $\{\mathbf{1}, h\}$  is of the form  $T(f) = f(\omega_1)\mathbf{1}$  for every  $f \in X$ .*

(iv) *If both  $\Omega_m$  and  $\Omega_M$  possess more than two points, then the only zero operator from  $X$  into  $C(\Phi)$  is a BKW-operator for the test functions  $\{\mathbf{1}, h\}$ .*

Furthermore, we completely describe all norm one unital BKW-operators from  $X$  into  $C(\Phi)$  for the test functions  $\{\mathbf{1}, h, h^2\}$  as follows:

**THEOREM 2.** *Suppose that  $\{1, h, h^2, h^3\} \subset X$ ,  $X^* = \{\mu \in X^* : \|\mu\| = \mu(\mathbf{1})\}$ , where  $X^*$  denotes the space dual to  $X$  and that  $h(\Omega) = [m, M]$ .*

(i) *If  $\Omega_m$  consists of a single point  $\omega_0$  and  $\Omega_M$  consists of a single point  $\omega_1$ , then every norm one unital BKW-operator  $T$  from  $X$  into  $C(\Phi)$  for the test functions  $\{\mathbf{1}, h, h^2\}$*

is of the form

$$(Tf)(\varphi) = \begin{cases} f(\xi(\varphi)), & \text{if } \varphi \in \Phi \setminus G \\ \frac{f(\omega_0)\{M - h(\xi(\varphi))\} + f(\omega_1)\{h(\xi(\varphi)) - m\}}{M - m}, & \text{if } \varphi \in G \end{cases}$$

for every  $f \in X$ , where  $\xi$  is a map from  $\Phi$  into  $\Omega$  and  $G$  is an open subset of  $\Phi$  such that  $m < h(\xi(\varphi)) < M$  for all  $\varphi \in G$ , that  $\xi(\varphi) = \omega_0$  or  $\omega_1$  for all  $\varphi \in \partial G$ , that  $\xi(\Phi \setminus G) \subset \Omega_h$ , that  $h \circ \xi$  is continuous on  $\Phi$  and that  $\xi|(\Phi \setminus G)$  is continuous on  $\Phi \setminus G$ . Here  $\partial G$  denotes the topological boundary of  $G$  in  $\Omega$ .

(ii) If either  $\Omega_m$  or  $\Omega_M$  possesses more than two points, then every norm one unital BKW-operator  $T$  from  $X$  into  $C(\Phi)$  for the test functions  $\{1, h, h^2\}$  is of the form

$$(Tf)(\varphi) = f(\xi(\varphi))$$

for every  $\varphi \in \Phi$  and  $f \in X$ , where  $\xi$  is a continuous map from  $\Phi$  into  $\Omega_h$ .

The following are examples of  $h$  in Theorems 1 and 2 when  $\Omega = [0, 1]$ :

(i)  $p > 0$ ,  $h(w) = w^p$  ( $0 \leq w \leq 1$ ).

(ii)  $0 < \alpha < 1$ ,  $h(w) = \begin{cases} \frac{1}{\alpha} w, & \text{if } 0 \leq w \leq \alpha \\ 0, & \text{if } \alpha < w \leq 1. \end{cases}$

(iii)  $0 < \alpha < 1$ ,  $h(w) = \begin{cases} 1 - \frac{1}{\alpha} w, & \text{if } 0 \leq w \leq \alpha \\ 0, & \text{if } \alpha < w \leq 1. \end{cases}$

(iv)  $0 < \alpha < \alpha' < \beta' < \beta < 1$ ,  $h(w) = \begin{cases} 0, & \text{if } 0 \leq w \leq \alpha \\ \frac{w - \alpha}{\alpha' - \alpha}, & \text{if } \alpha < w \leq \alpha' \\ 1, & \text{if } \alpha' < w \leq \beta' \\ \frac{w - \beta}{\beta' - \beta}, & \text{if } \beta' < w \leq \beta \\ 0, & \text{if } \beta < w \leq 1. \end{cases}$

**2. Lemmas.** For  $S \subset X$  and  $F \subset X^*$ , we set

$$U_S(F) = \{\mu \in F : \mu = \nu \text{ if } \nu \in F \text{ and } \mu|S = \nu|S\}.$$

The set  $U_S(F)$  is called the uniqueness set of  $F$  for  $S$ , and plays an essential role in the Korovkin type approximation theory. Let  $X_\rho^* = \{\mu \in X^* : \|\mu\| \leq \rho\}$  for  $\rho > 0$ . The following lemma, which is basic in our argument, is an immediate consequence of

[7, Theorem 1.4]:

LEMMA 1. *Let  $S \subset X$  and  $T \in B(X, C(\Phi))$ . Then  $T$  is a BKW-operator for  $S$  if and only if  $T^*(\delta_\varphi) \in U_S(X_{\|T\|}^*)$  for each  $\varphi \in \Phi$ , where  $T^*$  is the adjoint operator of  $T$  and  $\delta_\varphi$  is the evaluation at  $\varphi \in \Phi$ .*

Let  $C$  be the set of all complex numbers. Then we have the following:

LEMMA 2. (i) *If  $\Omega_m$  consists of a single point  $\omega_0$  and  $\Omega_M$  consists of a single point  $\omega_1$ , then*

$$U_{\{1, h\}}(X_1^*) = \{a\delta_{\omega_0} | X + b\delta_{\omega_1} | X : a, b \in C, |a| + |b| = 1 \text{ and } |a + b| \neq 1 \text{ (if } a \neq 0, b \neq 0)\}.$$

(ii) *If  $\Omega_m$  consists of a single point  $\omega_0$  and  $\Omega_M$  possesses more than two points, then  $U_{\{1, h\}}(X_1^*) = \{a\delta_{\omega_0} | X : |a| = 1\}$ .*

(iii) *If  $\Omega_M$  consists of a single point  $\omega_1$  and  $\Omega_m$  possesses more than two points, then  $U_{\{1, h\}}(X_1^*) = \{a\delta_{\omega_1} | X : |a| = 1\}$ .*

(iv) *If both  $\Omega_m$  and  $\Omega_M$  possess more than two points, the  $U_{\{1, h\}}(X_1^*)$  is empty.*

PROOF. Set  $\tilde{h} = (M - m)^{-1}(h - m\mathbf{1})$ . Then  $\text{span}\{\mathbf{1}, h\} = \text{span}\{\mathbf{1}, \tilde{h}\}$  and hence  $U_{\{1, h\}}(X_1^*) = U_{\{1, \tilde{h}\}}(X_1^*)$ . Therefore, we may assume without loss of generality that  $m = 0$  and  $M = 1$ . Let  $\mu \in U_{\{1, h\}}(X_1^*)$ . Put  $a = \mu(\mathbf{1} - h)$  and  $b = \mu(h)$ . Then  $|a| \leq 1$  and  $|b| \leq 1$ . For any  $\alpha, \beta \in C$ , we have

$$|\alpha a + \beta b| = |\mu(\alpha(\mathbf{1} - h) + \beta h)| \leq \|\mu\| \|\alpha(\mathbf{1} - h) + \beta h\|_\infty \leq \max_{0 \leq t \leq 1} |\alpha(1 - t) + \beta t|.$$

In particular, for  $\alpha = \bar{a}/|a|$  and  $\beta = \bar{b}/|b|$ , we have  $|a| + |b| \leq \max_{0 \leq t \leq 1} \{|\alpha|(1 - t) + |\beta|t\} = 1$ . Now choose  $\xi_0 \in \Omega_m$  and  $\xi_1 \in \Omega_M$  arbitrarily and set  $v = a\delta_{\xi_0} | X + b\delta_{\xi_1} | X$ , hence  $\|v\| \leq |a| + |b| \leq 1$ . Also  $v(\mathbf{1}) = a + b = \mu(\mathbf{1})$  and  $v(h) = b = \mu(h)$ . Then we have  $\mu = a\delta_{\xi_0} | X + b\delta_{\xi_1} | X$ , because  $\mu \in U_{\{1, h\}}(X_1^*)$ . Moreover by [7, Lemma 2.1] we have  $\|\mu\| = 1$ , so that  $1 \leq |a| + |b|$  and hence  $|a| + |b| = 1$ .

If  $\Omega_m$  consists of a single point  $\omega_0$  and  $\Omega_M$  possesses two points  $\omega_1$  and  $\omega_2$ , then by the above argument, we have  $\mu = a\delta_{\omega_0} | X + b\delta_{\omega_1} | X$  and  $\mu = a\delta_{\omega_0} | X + b\delta_{\omega_2} | X$ . Hence  $b\{x(\omega_1) - x(\omega_2)\} = 0$  for all  $x \in X$ . This implies  $b = 0$ , since  $X$  separates the points of  $\Omega$ . Accordingly  $\mu = a\delta_{\omega_0} | X$  and  $|a| = 1$ . Also, if both  $\Omega_m$  and  $\Omega_M$  possess more than two points, then  $a = b = 0$ , a contradiction. Hence  $U_{\{1, h\}}(X_1^*)$  must be empty and so (iv) has been shown.

Suppose that  $\Omega_m$  consists of a single point  $\omega_0$  and  $\Omega_M$  consists of a single point  $\omega_1$ . In this case, if  $a \neq 0$  and  $b \neq 0$ , then  $|a + b| \neq 1$ . Indeed, if  $|a + b| = 1$ , then we can find  $t > 0$  such that  $b = ta$ . Also choose a function  $g \in X \setminus \text{span}\{\mathbf{1}, h\}$  and put

$$f = g - g(\omega_0)\mathbf{1} + \{g(\omega_0) - g(\omega_1)\}h.$$

Then  $f \in X$  and  $f \neq 0$ , hence there exists  $\omega_2 \in \Omega$  such that  $f(\omega_2) \neq 0$ . Note that  $\omega_2 \neq \omega_0, \omega_1$ , so  $0 < h(\omega_2) < 1$  by hypothesis. Set  $s = h(\omega_2)$ . Then  $(s - t + st)/s < 1$ , hence we can

take a positive number  $\rho$  such that  $\max\{0, (s-t+st)/s\} < \rho < 1$ . Set

$$\alpha = \rho a, \quad \beta = \frac{(1-\rho)a}{1-s}, \quad \gamma = \frac{(1-s)b - s(1-\rho)a}{1-s}$$

and

$$\mu_1 = \alpha \delta_{\omega_0} |X + \beta \delta_{\omega_2} |X + \gamma \delta_{\omega_1} |X.$$

Then we can easily see that  $\mu_1(\mathbf{1}) = \mu(\mathbf{1})$  and  $\mu_1(h) = \mu(h)$ . Also we have

$$\begin{aligned} |\alpha| + |\beta| + |\gamma| &= \rho|a| + \frac{(1-\rho)|a|}{1-s} + \frac{|(1-s)t - s(1-\rho)||a|}{1-s} \\ &= |a| \left\{ \rho + \frac{1-\rho}{1-s} + \frac{(1-s)t - s(1-\rho)}{1-s} \right\} \quad \left( \text{since } \frac{s-t+st}{s} < \rho \right) \\ &= |\alpha|(1+t) = |a| + |b| = 1, \end{aligned}$$

hence  $\|\mu_1\| \leq 1$ . However  $\mu_1(f) = \beta f(\omega_2) \neq 0$  and  $\mu(f) = af(\omega_0) + bf(\omega_1) = 0$ , so  $\mu_1 \neq \mu$ , a contradiction to  $\mu \in U_{\{\mathbf{1}, h\}}(X_1^*)$ .

Conversely, it is easy to see that  $\{a\delta_{\omega_0} |X : |a| = 1\} \subset U_{\{\mathbf{1}, h\}}(X_1^*)$  when  $\Omega_m = \{\omega_0\}$ , so (ii) has been shown in view of the above argument. Since  $U_{\{\mathbf{1}, -h\}} = U_{\{\mathbf{1}, h\}}$ , (iii) follows immediately from (ii). To show (i), assume that  $\Omega_m = \{\omega_0\}$  and  $\Omega_M = \{\omega_1\}$ , and let  $a, b \in \mathbb{C}$  be such that  $|a| + |b| = 1$  and  $|a+b| \neq 1$  if  $a \neq 0, b \neq 0$ . Then we need to show that  $a\delta_{\omega_0} |X + b\delta_{\omega_1} |X \in U_{\{\mathbf{1}, h\}}(X_1^*)$ . To do so, let  $\mu \in X_1^*$  be such that  $\mu(\mathbf{1}) = a+b$  and  $\mu(h) = b$ . By the Hahn-Banach extension theorem, we can find a Radon measure  $\tilde{\mu}$  on  $\Omega$  such that  $\tilde{\mu} |X = \mu$  and  $\|\tilde{\mu}\| = \|\mu\|$ . Let  $\tilde{\mu} = u|\tilde{\mu}|$  be the polar decomposition of  $\tilde{\mu}$ , i.e.,

$$\int_{\Omega} f(\omega) d\tilde{\mu}(\omega) = \int_{\Omega} f(\omega) u(\omega) d|\tilde{\mu}|(\omega)$$

for all  $f \in L^1(\Omega, |\tilde{\mu}|)$ , where  $|\tilde{\mu}|$  is the total variation of  $\tilde{\mu}$  and  $u$  is a measurable function on  $\Omega$  with  $|u(\omega)| = 1$  for all  $\omega \in \Omega$  (see [3, Corollary 19.38]). Then we have the following inequality:

$$\begin{aligned} 1 &= |a| + |b| = |\mu(\mathbf{1} - h)| + |\mu(h)| \\ &= \left| \int_{\Omega} (1-h(\omega)) u(\omega) d|\tilde{\mu}|(\omega) \right| + \left| \int_{\Omega} h(\omega) u(\omega) d|\tilde{\mu}|(\omega) \right| \\ &\leq \int_{\Omega} (1-h(\omega)) d|\tilde{\mu}|(\omega) + \int_{\Omega} h(\omega) d|\tilde{\mu}|(\omega) = \int_{\Omega} d|\tilde{\mu}| = \|\tilde{\mu}\| = \|\mu\| \leq 1. \end{aligned}$$

If  $a \neq 0$  and  $b \neq 0$ , then by [7, Lemma 2.2] we have  $\{1-h(\omega)\}u(\omega) = e^{i\alpha}\{1-h(\omega)\}$  ( $|\tilde{\mu}$ -a.e.) and  $h(\omega)u(\omega) = e^{i\beta}h(\omega)$  ( $|\tilde{\mu}$ -a.e.), where  $\alpha = \text{Arg}(a)$  and  $\beta = \text{Arg}(b)$ . Hence we have  $1 = |(1-h(\omega))e^{i\alpha} + h(\omega)e^{i\beta}|$  ( $|\tilde{\mu}$ -a.e.). Since  $|a+b| \neq 1$  and hence  $\alpha \neq \beta \pmod{2\pi}$ , it follows

that  $|\tilde{\mu}|(\Omega \setminus \{\omega_0, \omega_1\}) = 0$ , i.e.,  $\text{supp}(|\tilde{\mu}|) \subset \{\omega_0, \omega_1\}$  by the above equation. If  $a=0$ , then the above inequality implies that  $\int_{\Omega} \{1-h(\omega)\}d|\tilde{\mu}|(\omega) = 0$  and hence  $\text{supp}(|\tilde{\mu}|) = \{\omega_1\}$ . If  $b=0$ , then the same inequality implies that  $\int_{\Omega} h(\omega)d|\tilde{\mu}|(\omega) = 0$  and hence  $\text{supp}(|\tilde{\mu}|) = \{\omega_0\}$ . Then  $|\tilde{\mu}|$  can be expressed as  $|\tilde{\mu}| = c\delta_{\omega_0} + d\delta_{\omega_1}$  for some complex numbers  $c$  and  $d$ . Therefore  $\tilde{\mu} = cu(\omega_0)\delta_{\omega_0} + du(\omega_1)\delta_{\omega_1}$ . Hence we can easily see that  $\mu = a\delta_{\omega_0}|X + b\delta_{\omega_1}|X$ . We thus obtain  $a\delta_{\omega_0}|X + b\delta_{\omega_1}|X \in U_{\{1, h\}}(X_1^*)$ . q.e.d.

LEMMA 3. Assume that  $\{1, h, h^2, h^3\} \subset X$ ,  $X_+^* = \{\mu \in X^* : \|\mu\| = \mu(\mathbf{1})\}$  and  $h(\Omega) = [m, M]$ .

(i) If  $\Omega_m$  consists of a single point  $\omega_0$  and  $\Omega_M$  consists of a single point  $\omega_1$ , then  $U_{\{1, h, h^2\}}(X_1^*) \cap X_+^* = \{\delta_{\omega}|X : \omega \in \Omega_h\} \cup \{(1-a)\delta_{\omega_0}|X + a\delta_{\omega_1}|X : 0 < a < 1\}$ .

(ii) If either  $\Omega_m$  or  $\Omega_M$  possesses more than two points, then  $U_{\{1, h, h^2\}}(X_1^*) \cap X_+^* = \{\delta_{\omega}|X : \omega \in \Omega_h\}$ .

PROOF. Set  $\tilde{h} = (M-m)^{-1}(h-m\mathbf{1})$ . Then  $\text{span}\{1, h, h^2\} = \text{span}\{1, \tilde{h}, \tilde{h}^2\}$  and hence  $U_{\{1, h, h^2\}}(X_1^*) = U_{\{1, \tilde{h}, \tilde{h}^2\}}(X_1^*)$ . Therefore we may assume without loss of generality that  $m=0$  and  $M=1$ . Let  $0 \leq a \leq 1$  and  $\omega \in \Omega_h$ . Then  $\delta_{\omega}|X$  is in  $X_+^*$ . To show that  $\delta_{\omega}|X \in U_{\{1, h, h^2\}}(X_1^*)$ , let  $v \in X_1^*$  be such that  $v(h^k) = \delta_{\omega}(h^k)$  for  $k=0, 1, 2$ . Then  $1 = v(\mathbf{1}) \leq \|v\| \leq 1$ . Choose a Radon measure  $\tilde{v}$  on  $\Omega$  such that  $\tilde{v}|X = v$  and  $\|\tilde{v}\| = \|v\|$ . Then  $\|\tilde{v}\| = \tilde{v}(\mathbf{1}) = 1$ , so  $\tilde{v}$  is positive and also we have

$$\tilde{v}((h-h(\omega)\mathbf{1})^2) = v(h^2) - 2h(\omega)v(h) + h(\omega)^2v(\mathbf{1}) = h(\omega)^2 - 2h(\omega)^2 + h(\omega)^2 = 0.$$

Hence, the support of  $\tilde{v}$  consists of the single point  $\omega$ , since  $(h(\xi) - h(\omega))^2 > 0$  for all  $\xi \in \Omega \setminus \{\omega\}$ . This immediately implies that  $\tilde{v} = \delta_{\omega}$ , so  $v = \delta_{\omega}|X$  and hence  $\delta_{\omega}|X \in U_{\{1, h, h^2\}}(X_1^*)$ . Suppose next that  $\Omega_m = \{\omega_0\}$  and  $\Omega_M = \{\omega_1\}$ , hence  $(1-a)\delta_{\omega_0}|X + a\delta_{\omega_1}|X$  is in  $X_+^*$ . To show that  $(1-a)\delta_{\omega_0}|X + a\delta_{\omega_1}|X \in U_{\{1, h, h^2\}}(X_1^*)$ , let  $v \in X_1^*$  be such that  $v(h^k) = ((1-a)\delta_{\omega_0} + a\delta_{\omega_1})(h^k)$  for  $k=0, 1, 2$ . Then  $1 = v(\mathbf{1}) \leq \|v\| \leq 1$ . Choose a Radon measure  $\tilde{v}$  on  $\Omega$  such that  $\tilde{v}|X = v$  and  $\|\tilde{v}\| = \|v\|$ . Then  $\tilde{v}$  is positive and  $\tilde{v}(h-h^2) = v(h-h^2) = a-a=0$ . Hence, the support of  $\tilde{v}$  is contained in  $\{\omega_0, \omega_1\}$ , since  $h(\xi) - h(\omega_1) > 0$  for all  $\xi \in \Omega \setminus \{\omega_0, \omega_1\}$ . This immediately implies that  $v = (1-a)\delta_{\omega_0}|X + a\delta_{\omega_1}|X$ , and hence  $(1-a)\delta_{\omega_0}|X + a\delta_{\omega_1}|X \in U_{\{1, h, h^2\}}(X_1^*)$ .

Conversely, let  $\mu \in U_{\{1, h, h^2\}}(X_1^*) \cap X_+^*$ . By [7, Lemma 2. 1],  $\|\mu\| = 1$ , and so  $\mu(\mathbf{1}) = 1$ . Choose a positive Radon measure  $\tilde{\mu}$  on  $\Omega$  such that  $\tilde{\mu}|X = \mu$  and  $\|\tilde{\mu}\| = \|\mu\|$ . Put  $\alpha = \mu(h)$  and  $\beta = \mu(h^2)$ . Then we have  $0 \leq \alpha, \beta \leq 1$ ,  $\beta \leq \alpha$  and  $\alpha^2 \leq \beta$  by Schwarz's inequality. If  $0 < \beta = \alpha < 1$ , then  $\mu = (1-\alpha)\delta_{\tilde{\omega}_0}|X + \alpha\delta_{\tilde{\omega}_1}|X$  for every  $\tilde{\omega}_0 \in \Omega_m$  and  $\tilde{\omega}_1 \in \Omega_M$  because  $\mu \in U_{\{1, h, h^2\}}(X_1^*) \cap X_+^*$ . Therefore since  $X$  separates the points of  $\Omega$ , we have  $\mu = (1-\alpha)\delta_{\omega_0}|X + \alpha\delta_{\omega_1}|X$  only when  $\Omega_m = \{\omega_0\}$  and  $\Omega_M = \{\omega_1\}$ . If also  $\alpha^2 = \beta$ , then  $\tilde{\mu}((h-\alpha\mathbf{1})^2) = \beta - 2\alpha^2 + \alpha^2 = 0$ . But since  $\tilde{\mu} \neq 0$ , there must be  $\omega \in \Omega$  such that  $h(\omega) = \alpha$ . Then  $\mu(h^k) = \delta_{\omega}(h^k)$  for  $k=0, 1, 2$  and hence  $\mu = \delta_{\omega}|X$  because  $\mu \in U_{\{1, h, h^2\}}(X_1^*)$ . In this case, the point  $\omega$  must be in  $\Omega_h$ . Actually, if  $\xi$  is a point of  $\Omega$  such that  $h(\xi) = h(\omega)$ , then  $\mu = \delta_{\xi}|X$  by the above argument and hence  $\xi = \omega$  because  $X$  separates the points of  $\Omega$ . We finally show that the case  $0 < \alpha^2 < \beta < \alpha < 1$  does not occur. Suppose the contrary.

Let  $\omega_0 \in \Omega_m$  and  $\omega_1 \in \Omega_M$  be fixed arbitrarily. For each  $0 < \lambda < 1$ , we can take a point  $\omega_\lambda \in \Omega$  such that  $h(\omega_\lambda) = \lambda$  because  $h(\Omega) = [0, 1]$ . Set

$$\mu_\lambda = a(\lambda)\delta_{\omega_0} | X + b(\lambda)\delta_{\omega_\lambda} | X + c(\lambda)\delta_{\omega_1} | X,$$

where  $a(\lambda) = \{\lambda - (1 + \lambda)\alpha + \beta\}/\lambda$ ,  $b(\lambda) = (\alpha - \beta)/\lambda(1 - \lambda)$  and  $c(\lambda) = (\beta - \lambda\alpha)/(1 - \lambda)$ . Then we have  $\mu_\lambda(\mathbf{1}) = 1 = \mu(\mathbf{1})$ ,  $\mu_\lambda(h) = \alpha = \mu(h)$  and  $\mu_\lambda(h^2) = \beta = \mu(h^2)$ . Note that  $0 < (\alpha - \beta)/(1 - \alpha) < \beta/\alpha < 1$  and so take real numbers  $s$  and  $t$  such that  $(\alpha - \beta)/(1 - \alpha) < s < t < \beta/\alpha$ . Then we see that  $a(s) > 0$ ,  $a(t) > 0$ ,  $b(s) > 0$ ,  $b(t) > 0$ ,  $c(s) > 0$  and  $c(t) > 0$ , so that  $\|\mu_s\| = \|\mu_t\| = 1$ , and hence  $\mu_s = \mu = \mu_t$  because  $\mu \in U_{\{1, h, h^2\}}(X_1^*)$ . Therefore we have

$$\begin{aligned} 0 &= (\mu_s - \mu_t)(h - h^3) = b(s)\{h(\omega_s) - h(\omega_s)^3\} - b(t)\{h(\omega_t) - h(\omega_t)^3\} \\ &= b(s)(s - s^3) - b(t)(t - t^3) = (\alpha - \beta)(s - t) \neq 0, \end{aligned}$$

a contradiction.

q.e.d.

The proof of the following fundamental result is straightforward, and left to the reader.

**LEMMA 4.** *Let  $\Psi$  be a topological space,  $G$  an open subset of  $\Psi$ . Let  $f$  and  $g$  be two maps from  $\Psi$  to another topological space such that  $f(x) = g(x)$  for each  $x \in \partial G$ . If  $f$  is continuous on  $\Psi \setminus G$  and  $g$  is continuous on  $\Psi$ , then  $k$  defined on  $\Psi$  by*

$$k(x) = \begin{cases} f(x), & \text{if } x \in \Psi \setminus G \\ g(x), & \text{if } x \in G \end{cases}$$

is continuous on  $\Psi$ .

### 3. The proofs of the main theorems.

**PROOF OF THEOREM 1.** (i) Let  $T$  be a bounded linear operator from  $X$  into  $C(\Phi)$ . Without loss of generality, we may assume that the norm of  $T$  is one. By Lemma 1,  $T$  is a BKW-operator from  $X$  into  $C(\Phi)$  for the test functions  $\{1, h\}$  if and only if  $T^*(\delta_\varphi) \in U_{\{1, h\}}(X_1^*)$  for all  $\varphi \in \Phi$ . Also by Lemma 2-(i),  $T^*(\delta_\varphi) \in U_{\{1, h\}}(X_1^*)$  for all  $\varphi \in \Phi$  if and only if for each  $\varphi \in \Phi$ , there exists a pair of complex numbers  $(u(\varphi), v(\varphi))$  such that  $T^*(\delta_\varphi) = u(\varphi)\delta_{\omega_0} | X + v(\varphi)\delta_{\omega_1} | X$ ,  $|u(\varphi)| + |v(\varphi)| = 1$  and  $|u(\varphi) + v(\varphi)| \neq 1$  when  $u(\varphi) \neq 0$  and  $v(\varphi) \neq 0$ . Note that  $T^*(\delta_\varphi) = u(\varphi)\delta_{\omega_0} | X + v(\varphi)\delta_{\omega_1} | X$  means that  $(Tf)(\varphi) = f(\omega_0)u(\varphi) + f(\omega_1)v(\varphi)$  for all  $f \in X$ . We thus obtain that  $T(f) = f(\omega_0)u + f(\omega_1)v$  for all  $f \in X$ . Moreover, this equality easily implies that  $u = T(\mathbf{1} - \tilde{h})$  and  $v = T(\tilde{h})$ , where  $\tilde{h} = (M - m)^{-1}(h - m\mathbf{1})$ , and so  $u$  and  $v$  are in  $C(\Phi)$ . In particular, if  $T$  is unital, then we have

$$1 = (T\mathbf{1})(\varphi) = u(\varphi) + v(\varphi)$$

for all  $\varphi \in \Phi$  and hence  $\Phi = \Phi_u \cup \Phi_v$  and  $\Phi_u \cap \Phi_v = \emptyset$ , where  $\Phi_u = \{\varphi \in \Phi : u(\varphi) \neq 0\}$  and  $\Phi_v = \{\varphi \in \Phi : v(\varphi) \neq 0\}$ . Hence  $u$  and  $v$  equal the characteristic functions on  $\Phi_u$  and  $\Phi_v$ , respectively. Of course,  $u + v = \mathbf{1}$ , so that by putting  $\chi = u$ , we obtain that the desired

equality and (i).

The same argument implies (ii) and (iv). Since  $T$  is a BKW-operator for  $\{1, h\}$  if and only if it is a BKW-operator for  $\{1, -h\}$ , (iii) immediately follows from (ii).

q.e.d.

**PROOF OF THEOREM 2.** We may assume without loss of generality that  $m=0$  and  $M=1$ .

(i) Suppose that  $\Omega_m$  consists of a single point  $\omega_0$  and  $\Omega_M$  consists of a single point  $\omega_1$ . Let  $T$  be a norm one unital BKW-operator from  $X$  into  $C(\Phi)$  for the test functions  $\{1, h, h^2\}$ . If  $\varphi \in \Phi$ , then  $T^*(\delta_\varphi) \in U_{\{1, h, h^2\}}(X_1^*)$  by Lemma 1, and so  $\|T^*(\delta_\varphi)\| = 1$  by [7, Lemma 2.1]. Note also that  $(T^*\delta_\varphi)(1) = \mathbf{1}(\varphi) = 1$ . Therefore  $T^*(\delta_\varphi) \in X_+^*$  for all  $\varphi \in \Phi$ . Hence by Lemma 3-(i), we have  $\Phi = F_T \cup G_T$ , where  $F_T$  is the set of all  $\varphi \in \Phi$  such that  $T^*(\delta_\varphi) \in \{\delta_\omega | X : \omega \in \Omega_h\}$  and  $G_T$  is the set of all  $\varphi \in \Phi$  such that  $T^*(\delta_\varphi) \in \{(1-a)\delta_{\omega_0} | X + a\delta_{\omega_1} | X : 0 < a < 1\}$ . Note that  $F_T \cap G_T = \emptyset$  and hence  $F_T$  equals the set of all  $\varphi \in \Phi$  such that  $T^*(\delta_\varphi) \in \{\delta_\omega | X : \omega \in \Omega\}$ . Therefore since the map:  $\varphi \rightarrow T^*(\delta_\varphi)$  is weak\*-continuous on  $\Phi$  and the set  $\{\delta_\omega | X : \omega \in \Omega\}$  is weak\*-closed in  $X^*$ ,  $F_T$  must be closed and so  $G_T$  is open. Now let  $\varphi \in \Phi$ . If  $\varphi \in F_T$ , then we can find a unique point  $\omega \in \Omega_h$  such that  $T^*(\delta_\varphi) = \delta_\omega | X$ . Set  $\omega = \xi(\varphi)$ . Then we have

$$(Tf)(\varphi) = f(\xi(\varphi))$$

for each  $f \in X$ . If  $\varphi \in G_T$ , then there is a unique number  $0 < a < 1$  such that  $T^*(\delta_\varphi) = (1-a)\delta_{\omega_0} | X + a\delta_{\omega_1} | X$ . Moreover, there is a point  $\omega \in \Omega$  such that  $a = h(\omega)$  because  $h(\Omega) = [0, 1]$ . Set  $\omega = \xi(\varphi)$ . Then we have

$$(Tf)(\varphi) = f(\omega_0)\{1 - h(\xi(\varphi))\} + f(\omega_1)h(\xi(\varphi))$$

for each  $f \in X$ . Of course,  $\xi$  is a map from  $\Phi$  into  $\Omega$  such that  $\xi(\Phi \setminus G_T) \subset \Omega_h$  and  $0 < h(\xi(\varphi)) < 1$  for each  $\varphi \in G_T$ . Also since  $h(\xi(\varphi)) = (Th)(\varphi)$  for each  $\varphi \in \Phi$ , we see that  $h \circ \xi$  is continuous on  $\Phi$ . To see that  $\xi|_{F_T}$  is continuous on  $F_T$ , let  $\varphi \in F_T$  and let  $\{\varphi_\lambda\}$  be a net of  $F_T$  which converges to  $\varphi$ . Consider any subnet  $\{\xi(\varphi_{\lambda'})\}$  of the net  $\{\xi(\varphi_\lambda)\}$ . Then there exists a convergent subnet  $\{\xi(\varphi_{\lambda''})\}$  of  $\{\xi(\varphi_{\lambda'})\}$ . Let  $\omega$  be the limit point of  $\{\xi(\varphi_{\lambda''})\}$ . Then we have

$$h(\omega) = \lim_{\lambda''} h(\xi(\varphi_{\lambda''})) = \lim_{\lambda''} (Th)(\varphi_{\lambda''}) = (Th)(\varphi) = h(\xi(\varphi)),$$

and so  $\omega = \xi(\varphi)$  because  $\xi(\varphi) \in \Omega_h$ . This observation implies that  $\lim_\lambda \xi(\varphi_\lambda) = \xi(\varphi)$  and hence  $\xi|_{F_T}$  is continuous on  $F_T$ . We next see that  $\xi(\varphi) = \omega_0$  or  $\omega_1$  for each  $\varphi \in \partial G_T$ . To do so, let  $\varphi \in \partial G_T$ . Then  $\xi(\varphi) \in \Omega_h$  and  $T^*(\delta_\varphi) = \delta_{\xi(\varphi)} | X$ . Also since  $\varphi$  is in the closure of  $G_T$ , we can take a net  $\{\varphi_\lambda\}$  of  $G_T$  which converges to  $\varphi$ . Then for each  $\lambda$ , we have  $T^*(\delta_{\varphi_\lambda}) = \{1 - h(\xi(\varphi_\lambda))\}\delta_{\omega_0} | X + h(\xi(\varphi_\lambda))\delta_{\omega_1} | X$  and hence



$$\begin{aligned}
f(\xi(\varphi)) &= (Tf)(\varphi) = \lim_{\lambda} (Tf)(\varphi_{\lambda}) \\
&= \lim_{\lambda} f(\omega_0)\{1 - h(\xi(\varphi_{\lambda}))\} + \lim_{\lambda} f(\omega_1)h(\xi(\varphi_{\lambda})) \\
&= f(\omega_0)\{1 - h(\xi(\varphi))\} + f(\omega_1)h(\xi(\varphi))
\end{aligned}$$

for all  $f \in X$ . In particular, by putting  $f = h^2$ , we have  $h(\xi(\varphi))^2 = h(\xi(\varphi))$  and so  $h(\xi(\varphi)) = 0$  or 1, hence  $\xi(\varphi) = \omega_0$  or  $\omega_1$  since  $\Omega_m$  consists of a single point  $\omega_0$  and  $\Omega_M$  consists of a single point  $\omega_1$ .

Conversely, let  $\xi$  be a map from  $\Phi$  into  $\Omega$  and  $G$  is an open subset of  $\Phi$  such that  $0 < h(\xi(\varphi)) < 1$  for all  $\varphi \in G$ , that  $\xi(\varphi) = \omega_0$  or  $\omega_1$  for all  $\varphi \in \partial G$ , that  $\xi(\Phi \setminus G) \subset \Omega_h$ , that  $h \circ \xi$  is continuous on  $\Omega$  and that  $\xi|_{(\Phi \setminus G)}$  is continuous on  $\Phi \setminus G$ . For each  $f \in X$ , put

$$(T_{\xi}f)(\varphi) = \begin{cases} f(\xi(\varphi)), & \text{if } \varphi \in \Phi \setminus G \\ f(\omega_0)\{1 - h(\xi(\varphi))\} + f(\omega_1)h(\xi(\varphi)), & \text{if } \varphi \in G. \end{cases}$$

Since  $\xi(\varphi) = \omega_0$  or  $\omega_1$  for all  $\varphi \in \partial G$ , it follows that

$$f(\xi(\varphi)) = f(\omega_0)\{1 - h(\xi(\varphi))\} + f(\omega_1)h(\xi(\varphi))$$

for all  $\varphi \in \partial G$ . Then for each  $f \in X$ ,  $T_{\xi}(f)$  is a complex-valued continuous function on  $\Phi$  by Lemma 4. Moreover, we can easily see that  $T_{\xi}$  is a norm one unital linear operator from  $X$  into  $C(\Phi)$ . Note also that

$$T_{\xi}^*(\delta_{\varphi}) \in \{\delta_{\omega} | X : \omega \in \Omega_h\} \cup \{(1-a)\delta_{\omega_0} | X + a\delta_{\omega_1} | X : 0 < a < 1\}$$

for all  $\varphi \in \Phi$ . Then  $T_{\xi}$  is a BKW-operator for the test functions  $\{1, h, h^2\}$  by Lemmas 1 and 3-(i).

(ii) Suppose that either  $\Omega_m$  or  $\Omega_M$  possesses more than two points. Let  $T$  be a norm one unital BKW-operator from  $X$  into  $C(\Phi)$  for the test functions  $\{1, h, h^2\}$ . If  $\varphi \in \Phi$ , then  $T^*(\delta_{\varphi}) \in U_{\{1, h, h^2\}}(X_1^*) \cap X_+^*$  as observed in the proof of (i). Hence we can find a unique point  $\omega \in \Omega_h$  such that  $T^*(\delta_{\varphi}) = \delta_{\omega} | X$  by Lemmas 3-(ii). Set  $\omega = \xi(\varphi)$ . Then we have

$$(Tf)(\varphi) = f(\xi(\varphi))$$

for each  $f \in X$ . Of course,  $\xi$  is a map from  $\Phi$  into  $\Omega_h$  and we see that  $\xi$  is continuous on  $\Phi$  by the same method used in the proof of (i).

Conversely, let  $\xi$  be a continuous map from  $\Phi$  into  $\Omega_h$ . Set  $(T_{\xi}f)(\varphi) = f(\xi(\varphi))$  for each  $f \in X$  and  $\varphi \in \Phi$ . Then we can easily see that  $T_{\xi}$  is a norm one unital linear operator from  $X$  into  $C(\Phi)$  such that  $T_{\xi}^*(\delta_{\varphi}) \in \{\delta_{\omega} | X : \omega \in \Omega_h\}$  for all  $\varphi \in \Phi$ . Then  $T_{\xi}$  is a KBW-operator for the test functions  $\{1, h, h^2\}$  by Lemmas 1 and 3-(ii).     q.e.d.

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