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### ON GENERATORS FOR BOREL SETS

Let  $\mathcal{A}$  be a collection of subsets of  $X$ . The smallest  $\sigma$ -algebra on  $X$  containing  $\mathcal{A}$  will be denoted by  $\sigma(\mathcal{A})$ ;  $\mathcal{A}$  is called a generating family (or generator) for  $\mathcal{B}$  if  $\sigma(\mathcal{A}) = \mathcal{B}$ .  $\mathcal{A}$  is said to separate two points  $x, y \in X$  if there is a set  $G \in \mathcal{A}$  which contains one of them but not the other.  $\mathcal{A}$  is said to be a separating family if it separates any two distinct points of  $X$ .

The terminology and definitions concerning topology come from the book, "General Topology", by R. Engelking [1]. A topological space  $X$  is called:

- a locally compact space if for each  $x \in X$  there exists a neighborhood  $U$  of  $x$  such that  $\bar{U}$  is a compact subspace of  $X$ ,
- a perfectly normal space if  $X$  is a normal space and each closed subset of  $X$  is a  $G_\gamma$ -set.

Compact (and  $\sigma$ -compact) spaces are assumed to be  $T_2$ . A set in a linear space  $E$  is convex if, whenever it contains points  $x$  and  $y$ , it also contains the line segment joining  $x$  and  $y$ , i.e. the set  $\{tx + (1-t)y : t \in [0,1]\}$ . If  $X$  is a topological space, then the natural Borel structure on  $X$  (generated by the family of all open subsets of  $X$ ) will be denoted by  $\mathcal{B}_X$ .  $\omega$  stands for the first infinite ordinal;  $\Omega$ , for the first uncountable ordinal.

K.P.S. Bhaskara Rao and B.V. Rao in [4, p. 19] have stated:

"The family  $\mathcal{I}$  of all open intervals of  $\mathbb{R}$  is a generator for  $\mathcal{B}_{\mathbb{R}}$ . A subfamily  $\mathcal{I}_0 \subset \mathcal{I}$  is a generator for  $\mathcal{B}_{\mathbb{R}}$  iff the set of end points of intervals in  $\mathcal{I}_0$  is dense in  $\mathbb{R}$ . Thus if  $\mathcal{I}_0 \subset \mathcal{I}$  is a generator for  $\mathcal{B}_{\mathbb{R}}$ , then, by removing any finite set of  $D$  intervals from  $\mathcal{I}_0$ , we still get a generator for  $\mathcal{B}_{\mathbb{R}}$ ".

However, this statement is false, as is easily seen from examples 1 and 2 below.

In the first place we shall prove:

**LEMMA 1.** Let  $\mathcal{B}$  be a  $\sigma$ -algebra of subsets of  $X$ . If a family  $\mathcal{A} \subset \mathcal{B}$  is a generator for  $\mathcal{B}$  and  $\mathcal{A}$  separate points  $x, y \in X$ , then  $\mathcal{A}$  also separates these points; that is, there is a  $G \in \mathcal{A}$  such that  $x \in G$  and  $y \notin G$  or  $x \notin G$  and  $y \in G$ .

**PROOF:** Suppose that  $\mathcal{A}$  does not separate  $x$  from  $y$ . So for each  $G \in \mathcal{A}$ ,  $x \in G$  and  $y \in G$  or  $x \notin G$  and  $y \notin G$ . The family of all subsets of  $X$  satisfying the above condition forms a  $\sigma$ -algebra containing  $\sigma(\mathcal{A}) = \mathcal{B}$ . So for any  $A \in \mathcal{B}$   $x \in A$  and  $y \in A$  or  $x \notin A$  and  $y \notin A$ , which ends the proof.

It follows from Lemma 1 that if the family  $\mathcal{I}_0 \subset \mathcal{I}$  is a generator for  $\mathcal{B}_{\mathbb{R}}$ , then the set of end points of intervals in  $\mathcal{I}_0$  is dense in  $\mathbb{R}$ . (The supposition that there exists a nonempty open set  $U$  which contains no end point of any interval in  $\mathcal{I}_0$  implies that the family  $\mathcal{I}_0$  does not separate any pair of points of  $U$ ). However, the fact that the set of end points of intervals in  $\mathcal{I}_0$  is dense in  $\mathbb{R}$  does not imply that  $\sigma(\mathcal{I}_0) = \mathcal{B}$ .

**EXAMPLE 1:** Let  $\mathbb{Q}^+$  be the set of all positive rational numbers. Let  $\mathcal{I}_1 = \{(-w, w) : w \in \mathbb{Q}^+\}$ . The family  $\mathcal{I}_1 \subset \mathcal{I}$  is not a generator for  $\mathcal{B}_{\mathbb{R}}$ , because it does not separate  $x$  and  $-x$ . The set of end points of intervals in  $\mathcal{I}_1$  forms the set of rational numbers (without zero).

**EXAMPLE 2:** We shall construct a subfamily of  $\mathcal{I}$  which is not a generator for  $\mathcal{B}_{\mathbb{R}}$  and both the set of left end points of intervals in this family and the set of right end points are dense in  $\mathbb{R}$ .

Let  $\{w_1, w_2, w_3, \dots\}$  be the sequence of all rational numbers. We construct a family  $\mathcal{J}^k$  of neighborhoods of  $w_k$  as follows:

$$\mathcal{J}^k = \left\{ \left( w_k - \frac{1}{2^{k+j}}, w_k + \frac{1}{2^{k+j}} \right) : j \in \mathbb{N} \right\}$$

for  $k \in \mathbb{N}$ . The measure of the set

$$\begin{aligned} \bigcup_{j \geq 1} \mathcal{J}^k &= \bigcup_{j \geq 1} \left( w_k - \frac{1}{2^{k+j}}, w_k + \frac{1}{2^{k+j}} \right) \\ &= \left( w_k - \frac{1}{2^{k+1}}, w_k + \frac{1}{2^{k+1}} \right) \end{aligned}$$

is equal to  $\frac{1}{2^k}$ . Let

$$\mathcal{F}_2 = \bigcup_{k=1}^{\infty} \mathcal{F}^k = \{I \in \mathcal{F} : I \in \mathcal{F}^k \text{ for some } k \in \mathbb{N}\}.$$

The set  $U\mathcal{F}_2$  has measure less than or equal to 1 and no proper subset of the set  $\mathbb{R} - U\mathcal{F}_2$  belongs to  $\sigma(\mathcal{F}_2)$ . Therefore  $\sigma(\mathcal{F}_2) \neq \mathcal{B}_{\mathbb{R}}$ . Moreover both left and right end points of intervals in  $\mathcal{F}_2$  lie arbitrarily close to any rational number. Hence these sets are dense in  $\mathbb{R}$ .

If we know only the set of end points of intervals in a family  $\mathcal{F}_0 \subset \mathcal{F}$ , we are not able to ascertain that  $\sigma(\mathcal{F}_0) = \mathcal{B}_{\mathbb{R}}$ . There exist two families contained in  $\mathcal{F}$  which have the same sets of right end points and left end points of intervals, and one of them is a generator for  $\mathcal{B}_{\mathbb{R}}$  but the second is not.

**EXAMPLE 3:** Let  $\mathbb{Q}^+$  be the set of all positive rational numbers

$$\begin{aligned} \mathcal{F}_3 &= \{(-w, w) : w \in \mathbb{Q}^+\} \cup \{(0, 1), (-1, 0)\}, \\ \mathcal{F}_4 &= \{(0, w) : w \in \mathbb{Q}^+\} \cup \{(-w, 0) : w \in \mathbb{Q}^+\}. \end{aligned}$$

The left end points of intervals in  $\mathcal{F}_3$  and  $\mathcal{F}_4$  form the set of nonpositive rational numbers; the right end points, the set of nonnegative rational numbers. Moreover  $\sigma(\mathcal{F}_3) \neq \mathcal{B}_{\mathbb{R}}$  (see Example 1), but  $\sigma(\mathcal{F}_4) = \mathcal{B}_{\mathbb{R}}$ .

Next, we shall formulate a necessary and sufficient condition that a subfamily of  $\mathcal{F}$  generates a  $\sigma$ -algebra  $\mathcal{B}_{\mathbb{R}}$ . We shall first prove Theorem 1.

**THEOREM 1:** Let  $X$  be a topological space such that any open or closed subset in this space is  $\sigma$ -compact. If  $\mathcal{F}$  is an arbitrary  $\sigma$ -algebra in  $X$  and  $\mathcal{F}$  admits the property:

- (\*) for any  $x \in X$  and  $y \in X$ ,  $x \neq y$ , there is  $L \in \mathcal{F}$  such that  $x \in \text{Int } L$  and  $y \notin \bar{L}$ ,

then  $\mathcal{F}$  contains the Borel algebra  $\mathcal{B}_X$ .

**Proof:** For any  $x \neq y$ ,  $x, y \in X$  there exist disjoint sets  $A, B \in \mathcal{F}$  with  $x \in \text{Int } A$  and  $y \in \text{Int } B$ . (From condition (\*)) it follows that there is  $L_x \in \mathcal{F}$  such that  $x \in \text{Int } L_x$ ,  $y \notin \bar{L}_x$  and  $L_y \in \mathcal{F}$  such that  $y \in \text{Int } L_y$ ,  $x \notin \bar{L}_y$ . Put  $A = L_x$ ,  $B = L_y - L_x$ .)

We shall show that for any disjoint, compact sets  $K_1, K_2$  there exist sets  $F_1, F_2 \in \mathcal{F}$  such that  $K_1 \subset F_1, K_2 \subset F_2, F_1 \cap F_2 = \emptyset$ .

Let  $y \in K_2$ . For all pairs  $(x,y), x \in K_1$  there are sets  $A(x), B(y,x)$  with  $x \in \text{Int } A(x), y \in \text{Int } B(y,x)$  and  $A(x) \cap B(y,x) = \emptyset$ . The collection  $\{\text{Int } A(x) : x \in K_1\}$  is an open cover of the set  $K_1$ . Therefore it has a finite subcover  $\{\text{Int } A(x_j) : j = 1, \dots, n\}$ . The sets

$$A^*(y) = \bigcup_{j=1}^n A(x_j), \quad B(y) = \bigcap_{j=1}^n B(y, x_j)$$

are disjoint and belong to  $\mathcal{F}$ , and

$$y \in \text{Int } B(y), \quad K_1 \subset \text{Int } A^*(y).$$

Now let  $y$  run through  $K_2$  and select a finite subcover from  $\{B(y) : y \in K_2\}$ . We can define

$$F_2 = \bigcup_{j=1}^m B(y_j), \quad F_1 = \bigcap_{j=1}^m A^*(y_j).$$

To complete the proof of Theorem 1 we shall show that each open set in  $X$  belongs to  $\mathcal{F}$ . Suppose we are given an open set  $G$

$$G = \bigcup_{n=1}^{\infty} K_n, \quad X \setminus G = \bigcup_{m=1}^{\infty} L_m$$

where  $K_n, L_m$  are compact sets. For any pair  $K_n, L_m$  there are sets  $F_1^{n,m}, F_2^{n,m} \in \mathcal{F}$  separating  $K_n, L_m$  and

$$K_n \subset \bigcap_{m=1}^{\infty} F_1^{n,m} \subset G.$$

Then  $G = \bigcup_{n=1}^{\infty} \bigcap_{m=1}^{\infty} F_1^{n,m} \in \mathcal{F}$ .

**COROLLARY:** If in theorem 1,  $\mathcal{F} \subset \mathcal{B}_X$ , then  $\mathcal{F} = \mathcal{B}_X$  if and only if  $\mathcal{F}$  admits the property (\*).

**REMARK:** If  $X$  is a locally compact,  $\sigma$ -compact and perfectly normal topological space, then any open or closed subset in  $X$  is  $\sigma$ -compact.

Obviously if a family  $\mathcal{B} \subset \mathcal{B}_X$  admits the property

for any distinct points  $x, y \in X$ , there exists  $G \in \mathcal{b}$  such that  
 (\*)  $x \in \text{Int } G$  and  $y \notin \bar{G}$  or  $x \notin \bar{G}$  and  $y \in \text{Int } G$ ,

then  $\sigma(\mathcal{b}) = \mathcal{B}_X$ . Unfortunately this condition is not necessary. The family of all open intervals in  $\mathbb{R}$  having zero as one end point does not satisfy condition (\*) (Zero belongs to the closure of any interval in this family.) but it generates  $\mathcal{B}_{\mathbb{R}}$  (because it satisfies condition (\*\*)). On the other hand the condition

for any  $x, y \in X$ ,  $x \neq y$ , there exists  $G \in \mathcal{b}$  such that  
 (\*\*)  $x \in G$  and  $y \notin G$  or  $x \notin G$  and  $y \in G$

(without operations of interior and closure) is not sufficient. The family of all one-point sets in  $\mathbb{R}$  satisfies (\*\*) and does not generate  $\mathcal{B}_{\mathbb{R}}$ . However, the following theorem holds for  $\mathbb{R}$ :

**THEOREM 2:** Let  $\mathcal{J}_0$  be a family of open intervals in  $\mathbb{R}$ .  $\mathcal{J}_0$  is a generator of  $\mathcal{B}_{\mathbb{R}}$  if and only if it satisfies the condition:

for any distinct points  $x, y \in \mathbb{R}$ , there exists  $I \in \mathcal{J}_0$   
 (\*\*) that  $x \in I$  and  $y \notin I$  or  $x \notin I$  and  $y \in I$ .

**Proof:** The essential part of the proof is Lemma 2:

**LEMMA 2:** If a family  $\mathcal{J}_0$  consisting of open intervals satisfies condition (\*\*), then for any disjoint compact intervals  $A, B$  there exist disjoint sets  $F_A, F_B \in \sigma(\mathcal{J}_0)$  such that  $A \subset F_A$  and  $B \subset F_B$ .

**Proof of Lemma 2:** Let  $A, B$  be as stated. We may clearly assume that  $A$  lies to the left of  $B$  (i.e.  $x < y$  for any  $x \in A, y \in B$ ). Let us consider points  $a = \sup A$  and  $b = \inf B$ . Since the family  $\mathcal{J}_0$  satisfies (\*\*), there is a set  $F \in \mathcal{J}_0$  such that  $a \in F$  and  $b \notin F$  or  $a \notin F$  and  $b \in F$ . In the first case  $F \cap B = \emptyset$ ; in the second,  $F \cap A = \emptyset$  (since  $F$  is an interval).

We shall construct two countable families  $\mathcal{L}_A, \mathcal{L}_B$  of sets belonging to  $\sigma(\mathcal{J}_0)$  with

$$A \subset \bigcup \mathcal{L}_A, \quad B \subset \bigcup \mathcal{L}_B, \quad \left( \bigcup \mathcal{L}_A \right) \cap \left( \bigcup \mathcal{L}_B \right) = \emptyset.$$

We shall construct two transfinite sequences  $\{F_\alpha^A\}_{\alpha < \Omega}$ ,  $\{F_\alpha^B\}_{\alpha < \Omega}$  of disjoint sets belonging to  $\sigma(\mathcal{F}_0)$  which have the following properties:

- 1°  $F_\alpha^A \cap B = \emptyset$ ,  $F_\alpha^B \cap A = \emptyset$
- 2°  $A_\alpha = A - \bigcup_{\beta < \alpha} F_\beta^A$  and  $B_\alpha = B - \bigcup_{\beta < \alpha} F_\beta^B$  are subintervals in  $A$  and  $B$
- 3°  $(A \neq \emptyset \text{ or } B \neq \emptyset) \Leftrightarrow (F_\alpha^A \neq \emptyset \text{ or } F_\alpha^B \neq \emptyset)$  for each  $0 \leq \alpha < \Omega$ .

Let  $\alpha = 0$ . If  $F \cap B = \emptyset$ , then we put  $F_0^A = F$  and  $F_0^B = \emptyset$ . If  $F \cap A = \emptyset$ , then  $F_0^A = \emptyset$  and  $F_0^B = F$ .

Let  $\alpha$  be a fixed ordinal number less than  $\Omega$  and suppose that we have sets  $F_\beta^A, F_\beta^B$  with the required properties for each  $\beta < \alpha$ .

Let us consider the sets  $A_\alpha = A \setminus \bigcup_{\beta < \alpha} F_\beta^A$  and  $B_\alpha = B - \bigcup_{\beta < \alpha} F_\beta^B$ . There are subintervals in  $A$  and  $B$  (as intersections of intervals).

1. If  $A_\alpha = \emptyset$  and  $B_\alpha = \emptyset$ , then we put  $F_\alpha^A = \emptyset$  and  $F_\alpha^B = \emptyset$
- 2a. If  $A_\alpha = \emptyset$  and  $B_\alpha \neq \emptyset$ , then  $F_\alpha^A = \emptyset$ ,  $F_\alpha^B = B - \bigcup_{\beta < \alpha} (F_\beta^A \cup F_\beta^B)$
- b. If  $A_\alpha \neq \emptyset$  and  $B_\alpha = \emptyset$ , then  $F_\alpha^A = A - \bigcup_{\beta < \alpha} (F_\beta^A \cup F_\beta^B)$ ,  $F_\alpha^B = \emptyset$
3. If  $A_\alpha \neq \emptyset$  and  $B_\alpha \neq \emptyset$ , let  $a_\alpha = \sup A_\alpha$  and  $b_\alpha = \sup B_\alpha$ . Then  $a_\alpha < b_\alpha$ .

Since the family  $\mathcal{F}_0$  satisfies (\*\*), there is a set  $F \in \mathcal{F}_0$  with  $a_\alpha \in F$  and  $b_\alpha \in F$  or  $a_\alpha \notin F$  and  $b_\alpha \in F$ . Suppose that  $a_\alpha \in F$  and  $b_\alpha \notin F$ . We put  $F_\alpha^B = \emptyset$  and  $F_\alpha^A = F - \bigcup_{\beta < \alpha} (F_\beta^A \cup F_\beta^B)$ . The set  $F_\alpha^A$  contains the nonempty interval  $F \cap A_\alpha$ ; so  $F_\alpha^A \neq \emptyset$ . Moreover  $F_\alpha^A \cap B \subset (F_\alpha^A \cap \bigcup_{\beta < \alpha} F_\beta^B) \cup (F_\alpha^A \cap B_\alpha) = F_\alpha^A \cap B_\alpha \subset F \cap B_\alpha = \emptyset$ . If  $a_\alpha \notin F$  and  $b_\alpha \in F$ , then we analogously define  $F_\alpha^A = \emptyset$  and  $F_\alpha^B = F - \bigcup_{\beta < \alpha} (F_\beta^A \cup F_\beta^B)$ .

Both of the sequences  $\{F_\alpha^A\}_{\alpha < \Omega}$ ,  $\{F_\alpha^B\}_{\alpha < \Omega}$  are, from a certain  $\beta < \Omega$ , equal to  $\emptyset$ . Suppose it is not. Then there is an uncountable descended sequence of subintervals  $A_\alpha$  ( $B_\alpha$ ) in the interval  $A$  (or  $B$ ), which gives a contradiction.

The families we are looking for are the families

$$\mathcal{L}_A = \{F_\alpha^A : \alpha < \Omega, F_\alpha^A \neq \emptyset\}, \quad \mathcal{L}_B = \{F_\alpha^B : \alpha < \Omega, F_\alpha^B \neq \emptyset\}$$

Let us return to the proof of Theorem 2.

For any distinct points  $x, y \in \mathbb{R}$  there exist compact intervals  $A, B$  with  $x \in \text{Int } A, y \in \text{Int } B$  and  $A \cap B = \emptyset$ . Thus (by Lemma 2) there is a set  $F_A \in \sigma(\mathcal{F}_0)$  which contains  $A$ , and  $F_A \cap B = \emptyset$ . From Theorem 1 it follows that condition (\*\*) is sufficient.

The necessity of condition (\*\*) follows from Lemma 1.

Theorem 2 gives a necessary and sufficient condition which is convenient to use but it concerns families of open intervals in  $\mathbb{R}$ . Examples 4 and 5 show that it is difficult to generalize this to other families and other spaces.

**EXAMPLE 4:** The family  $\mathcal{K}_1 = \{\mathbb{R} - \{x\} : x \in \mathbb{R}\}$  satisfies the condition

(\*\*\*) for any distinct points  $x, y \in \mathbb{R}$  there exists  $K \in \mathcal{K}_1$  such that  $x \in K$  and  $y \notin K$

which is stronger than (\*\*).  $\mathcal{K}_1$  consists of open sets and generates the countable-cocountable structure on  $\mathbb{R}$  which is essentially smaller than  $\mathcal{B}_{\mathbb{R}}$ .

**EXAMPLE 5:** The family  $\mathcal{K}_2 = \{(-n, n) \times (-n, n) - \{x\} : x \in \mathbb{R}^2, n \in \mathbb{N}\}$  consists of connected, bounded open sets, satisfies condition (\*\*\*) but does not satisfy (\*), (cf. Theorem 1) and therefore it is not a generator for  $\mathcal{B}_{\mathbb{R}^2}$ .

Now we shall consider families of convex sets and countable families.

**THEOREM 3:** Let  $X$  be a locally compact,  $\sigma$ -compact and perfectly normal linear topological space. If a family  $\mathcal{A} \subset \mathcal{B}_X$  of open convex sets satisfies the condition:

(\*\*\*) for any distinct points  $x, y \in X$ , there exists  $G \in \mathcal{A}$  such that  $x \in G$  and  $y \notin G$ ,

then  $\sigma(\mathcal{A}) = \mathcal{B}_X$ .

**Proof.** Suppose we are given distinct points  $x, y \in X$ . It is enough to find a set  $G \in \mathcal{A}$  such that  $x \in G$  and  $y \notin \bar{G}$ . Let  $c$  be the midpoint of the interval joining the points  $x$  and  $y$ . By (\*\*\*) there is a set  $G \in \mathcal{A}$  such that  $x \in G$  and  $c \notin G$ . This set is convex. If  $y \in \bar{G}$ , then the open

interval joining  $x$  and  $y$  is contained in  $\text{Int } G$  ([3], p. 110). In particular  $c \in \text{Int } G$ , which gives a contradiction. Hence  $y \in \bar{G}$ . So the family  $\mathcal{b}$  satisfies condition (\*) from Theorem 1, which completes the proof.

Theorem 3 concerns locally compact and  $\sigma$ -compact linear topological spaces and hence finite dimensional spaces. (See [3], p. 62.) It is difficult to generalize this to infinite dimensional linear topological spaces.

**EXAMPLE 6:** Let  $B$  be the space of all bounded functions  $f : \mathbb{R} \rightarrow \mathbb{R}$  with the metric  $\rho(f, g) = \sup\{|f(x) - g(x)| : x \in \mathbb{R}\}$ . Let  $\mathcal{b} = \{f \in B : f(x) \in (a - \frac{1}{n}, a + \frac{1}{n}) : x \in \mathbb{R}, a \in \mathbb{R}, n \in \mathbb{N}\}$ .

The family  $\mathcal{b}$  consists of open convex sets. Suppose that  $A \in \sigma(\mathcal{b})$ . There exists ([2], p. 24, Theorem D) a countable subclass  $\mathcal{D}$  of  $\mathcal{b}$  such that  $A \in \sigma(\mathcal{D})$ . So for uncountable many  $y \in \mathbb{R}$   $\{f(y) : f \in A\} = \mathbb{R}$ . Thus  $\sigma(\mathcal{b})$  does not contain  $\{f \in B : |f(x)| < 1\}$ .

**THEOREM 4:** Let  $X$  be a topological space and let  $\mathcal{N} = \{H_n : n \in \mathbb{N}\}$  be a countable family of compact subsets of  $X$ . If the family  $\mathcal{N}$  satisfies the condition:

(\*\*\*) for any distinct points  $x, y \in X$  there is  $n \in \mathbb{N}$  such that  
 $x \in H_n$  and  $y \notin H_n$ ,

then  $\sigma(\mathcal{N}) = \mathcal{B}_X$ .

**Proof:** Let  $\mathcal{N}^*$  be the collection of all finite intersections of sets from  $\mathcal{N}$ . It is clear that  $\mathcal{N}^*$  is countable and closed under finite intersections. We shall show that  $\mathcal{N}^*$  is a pseudo-basis in  $X$ ; i.e. for any  $V \in \text{top } X$  and  $x \in V$ , there is a set  $H \in \mathcal{N}^*$  such that  $H \subset V$ .

Suppose we are given  $V \in \text{top } X$ ,  $x \in V$ . From condition (\*\*\*) it follows that  $\{x\} = \bigcap \{H \in \mathcal{N} : x \in H\} = \bigcap \{F \in \mathcal{N}^* : x \in F\}$ . There exists a decreasing sequence  $\{F_n\}_{n \in \mathbb{N}}$  of sets of  $\mathcal{N}^*$  with  $\{x\} = \bigcap_{i=1}^{\infty} F_i$ . It is enough to show that there is a positive integer  $n$  such that  $F_n \subset V$ . Suppose it is not so. Then  $F_n - V \neq \emptyset$  for each  $n \in \mathbb{N}$ . Each set  $F_n - V$  being a closed subset of the compact set  $F_1$  is a compact set. The sequence  $\{F_n - V\}_{n \in \mathbb{N}}$  is a decreasing sequence of compact sets. Thus  $\bigcap_{i=1}^{\infty} (F_i - V) \neq \emptyset$ . However



$$\bigcap_{i=1}^{\infty} (F_i - V) = (\bigcap_{i=1}^{\infty} F_i) - V = \{x\} - V = \emptyset .$$

This contradiction proves that  $\mathcal{N}^*$  is a pseudo-basis in  $X$ .

Each open set  $U$  in  $X$  can be represented as a union of sets from the countable family  $\mathcal{N}^*$ . So  $U \in \sigma(\mathcal{N}^*)$ . Therefore  $\mathcal{B}_X = \sigma(\mathcal{N}^*) = \sigma(\mathcal{N})$ .

**THEOREM 5:** Let  $X$  be a topological space and let  $\mathcal{u} = \{U_n : n \in \mathbb{N}\}$  be a countable family of open, relatively compact sets. If the family  $\mathcal{u}$  satisfies the condition:

for any distinct points  $x, y \in X$  there is  $n \in \mathbb{N}$  such that  
 (\*\*\*)  $x \in U_n$  and  $y \notin U_n$ ,

then  $\sigma(\mathcal{u}) = \mathcal{B}_X$ .

**Proof:** Let  $H_n = X - U_n$  for each  $n \in \mathbb{N}$ . For each  $x \in X$ ,  $\{x\} = \bigcap \{H_n : x \in H_n\}$ . By our assumption there is a  $k \in \mathbb{N}$  such that  $x \in U_k$ . Let  $A = \bar{U}_k$ . The family  $\{H_n \cap A : n \in \mathbb{N}\}$  satisfies the assumptions of Theorem 4. Let  $W$  be a neighborhood of  $x$ .  $W \cap U_k$  is a neighborhood of  $x$  also. From the proof of Theorem 4 it follows that there exists a set  $F$ ,  $F \subset W$  belonging to the algebra generated by  $\{H_n \cap A : n \in \mathbb{N}\}$  or equivalently there is a set  $H$ ,  $H \cap A \subset W$ , belonging to the algebra generated by  $\{H_n : n \in \mathbb{N}\}$ . (See [2], p. 25.)

That is why  $H \cap U_k \subset H \cap A \subset W$  and  $H \cap U_k$  belongs to the algebra generated by  $\{U_n : n \in \mathbb{N}\}$ . This algebra is countable ([2], p. 23). Thus as in the proof of Theorem 4,  $\mathcal{B}_X = \sigma(\{U_n : n \in \mathbb{N}\})$ .

Lemma 1 and Theorems 1-5 prove that there is an essential relationship between separating families and  $\sigma$ -algebras of Borel sets. The  $\sigma$ -algebra  $\mathcal{B}_X$  is the smallest  $\sigma$ -algebra which satisfies one of conditions (\*), (\*\*) or (\*\*\*). The following questions remain open:

1. May we replace condition (\*\*\*) by condition (\*\*) in Theorems 3, 4 and 5 and in this way formulate a necessary and sufficient condition?

2. Can we find a countably generated, separating  $\sigma$ -algebra which is contained in the  $\sigma$ -algebra  $\mathcal{B}_{\mathbb{R}}$  (and not equal to  $\mathcal{B}_{\mathbb{R}}$ )?

## REFERENCES

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