

## UNSTABLE HARMONIC MAPS INTO REAL HYPERSURFACES OF A COMPLEX HOPF MANIFOLD

By

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**Abstract.** Let  $\phi : M \rightarrow N$  be a pseudohermitian immersion ([6]) of a compact strictly pseudoconvex  $CR$  manifold  $M$  into a totally umbilical real hypersurface  $N$ , of nonzero mean curvature ( $\|H\| \neq 0$ ), of a complex Hopf manifold  $CH^n$ , tangent to the Lee field  $B_0$  of  $CH^n$ . If  $B_0$  is orthogonal to the  $CR$  structure of  $N$  and  $E(\phi) > Vol(M)/[(1 + \|H\|^2)\|H\|^2]$  then  $\phi$  is an unstable harmonic map.

### 1. Introduction

By a well known result of P. F. Leung (cf. [12]) any nonconstant harmonic map from a compact Riemannian manifold into a sphere  $S^n$ ,  $n \geq 3$ , is unstable. This carries over easily to totally umbilical real hypersurfaces  $N$  of a real space form  $M^{n+1}(c)$ . Precisely, if  $(n - 2)\|H\|^2 + (n - 1)c > 0$  then any nonconstant harmonic map of a compact Riemannian manifold into  $N$  is unstable. The proof is a *verbatim* transcription of the proof of Theorem 4 in [3]. Cf. also Theorem 7.1 in [1]. Here  $\|H\|$  is the mean curvature of  $N \subset M^{n+1}(c)$  (a constant *a posteriori*, cf. Prop. 3.1 in [5], p. 49, i.e.  $N = M^n(c + \|H\|^2)$ ).

In the present paper we take up the following complex analogue of the problem above: *given a compact Riemannian manifold  $M$ , study the stability of harmonic maps of  $M$  into a totally umbilical  $CR$  submanifold of a Hermitian manifold  $N_0$ .*

By a result of A. Bejancu, [4], if  $N_0$  is a Kähler manifold then totally umbilical  $CR$  submanifolds may only occur in real codimension one. Even worse, by a result of Y. Tashiro & S. Tachibana, [13], neither elliptic nor hyperbolic complex space forms possess totally umbilical real hypersurfaces. Umbilical submanifolds are however abundant in locally conformal Kähler ambient spaces (cf. [10] and [7] for a partial classification). We obtain the following

**THEOREM 1.** *Let  $N$  be a totally umbilical real hypersurface of the complex Hopf manifold  $CH^{n+1}$  with the Boothby metric  $g_0$ . Let  $\phi : M \rightarrow N$  be a non-constant harmonic map of a compact Riemannian manifold  $(M, g)$  into  $(N, j^*g_0)$ , where  $j : N \subset CH^{n+1}$ . If*

$$\int_M \left( 2n + (2n - 1)\|H\|^2 - \frac{1}{4}\|B\|^2 \right) \|d\phi\|^2 v_g > \frac{2n - 1}{4} \int_M \|\phi^*\omega\|^2 v_g \quad (1)$$

*then  $\phi$  is unstable. In particular, if  $N$  is tangent to the Lee field of  $CH^{n+1}$  and*

$$(1 + \|H\|^2)E(\phi) > \frac{1}{8} \int_M \|\phi^*\omega\|^2 v_g \quad (2)$$

*then  $\phi$  is unstable.*

In section 2 we recall the facts of locally conformal geometry needed throughout the paper (cf. also [8]). Theorem 1 is proved in section 3. In section 4 we discuss the case of pseudohermitian immersions of a compact  $CR$  manifold into a real hypersurface of a complex Hopf manifold (cf. our Theorem 4). The Authors are grateful to the referee, whose suggestions improved the original version of the manuscript.

## 2. A Reminder of Locally Conformal Kähler Geometry

Let  $\lambda \in \mathbf{C}$ ,  $0 < |\lambda| < 1$ , and  $G_\lambda$  the discrete group of analytic transformations of  $\mathbf{C}^n \setminus \{0\}$  generated by  $z \mapsto \lambda z$ . It is well known (cf. e.g. [11], p. 137) that  $G_\lambda$  acts freely on  $\mathbf{C}^n \setminus \{0\}$ , as a properly discontinuous group of analytic transformations, hence the quotient  $CH^n = (\mathbf{C}^n \setminus \{0\})/G_\lambda$  is a complex manifold (the *complex Hopf manifold*). The complex Hopf manifold is compact (as  $CH^n \approx S^{2n-1} \times S^1$ , a diffeomorphism) and its first Betti number is  $b_1(CH^n) = 1$ , hence admits no global Kähler metrics. It is known however (cf. [8], p. 22) to possess a natural Hermitian metric, i.e.  $g_0 = |z|^{-2} \delta_{jk} dz^j \otimes d\bar{z}^k$ ,  $|z|^2 = \delta_{jk} z^j \bar{z}^k$  (the *Boothby metric*). Moreover  $g_0$  is a *locally conformal Kähler* metric, i.e.  $CH^n$  admits an open cover  $\{U_\alpha\}_{\alpha \in \Gamma}$  and a family of  $C^\infty$  functions  $f_\alpha : U_\alpha \rightarrow \mathbf{R}$ , so that each (local) metric  $g_\alpha = \exp(-f_\alpha)g_0|_{U_\alpha}$  is Kählerian,  $\alpha \in \Gamma$ . The (local) 1-forms  $df_\alpha$  glue up to a (global) 1-form  $\omega_0$  (the *Lee form* of  $(CH^n, g_0)$ ) expressed locally as  $\omega_0 = d \log |z|^2$ . The Lee form is parallel (with respect to the Levi-Civita connection of  $g_0$ ) and the local Kähler metrics  $g_\alpha$  are flat. Viceversa, by a well known result

of I. Vaisman (cf. [14]) any generalized Hopf manifold (i.e. locally conformal Kähler manifold with a parallel Lee form) with flat local Kähler metrics is locally analytically homothetic to  $(\mathbb{C}H^n, g_0)$ . Cf. also [8], p. 56.

The Lee field is  $B_0 = \omega_0^\sharp$  (where  $\sharp$  denotes raising of indices with respect to  $g_0$ ). Note that, on a Hopf manifold,  $\|B_0\| = 2$ .

A study of submanifolds of  $(\mathbb{C}H^n, g_0)$ , regarding both the geometry of their second fundamental form and their position with respect to the ‘preferential direction’  $B_0$  is in act (cf. [8], p. 147–298, for an account of the research over the last decade). If  $N$  is an orientable real hypersurface in  $(\mathbb{C}H^{n+1}, g_0)$ , we shall need the Gauss and Weingarten formulae

$$\nabla_X^0 Y = \nabla_X^N Y + b(X, Y) \tag{3}$$

$$\nabla_X^0 \eta = -A_\eta X + \nabla_X^\perp \eta \tag{4}$$

Here  $\nabla^N$ ,  $b$ ,  $A_\eta$  and  $\nabla^\perp$  are respectively the induced connection, the second fundamental form (of the given immersion  $j : N \subset \mathbb{C}H^{n+1}$ ), the Weingarten operator (associated with the normal section  $\eta$ ), and the normal connection. Let  $\xi$  be a global unit normal field on  $N$  and set  $A = A_\xi$ . The Gauss and Codazzi equations are

$$\begin{aligned} R^N(X, Y)Z &= (X \wedge Y)Z + g_N(A Y, Z)AX - g_N(A X, Z)AY \\ &\quad + \frac{1}{4} \{ [\omega(X)Y - \omega(Y)X] \omega(Z) \\ &\quad + [g_N(X, Z)\omega(Y) - g_N(Y, Z)\omega(X)] B \} \end{aligned} \tag{5}$$

$$(\nabla_X A)Y - (\nabla_Y A)X = \frac{1}{4} \{ \omega(Y)X - \omega(X)Y \} \omega_0(\xi) \tag{6}$$

These may be obtained from (3)–(4) and an explicit calculation of the curvature of the Boothby metric (or as a consequence of (12.19)–(12.20) in [8], p. 152, the Gauss and Codazzi equations of a submanifold in an arbitrary l.c.K. manifold with flat local Kähler metrics). Here  $\omega = j^* \omega_0$  and  $B = \tan(B_0)$  is the tangential component of the Lee field. As a straightforward consequence of (6) one has

**THEOREM 2.** *Let  $N$  be an orientable totally umbilical ( $b = H \otimes g_N$ ) real hypersurface of  $(\mathbb{C}H^{n+1}, g_0)$ . Then  $N$  has a parallel mean curvature vector ( $\nabla^\perp H = 0$ ) if and only if for any  $x \in N$  either  $\omega_x = 0$  or  $N$  is tangent to the Lee field  $B_0$  at  $x$ .*

### 3. Proof of Theorem 1

Let  $(M, g)$  and  $(N, g_N)$  be Riemannian manifolds. Assume  $M$  to be  $m$ -dimensional, compact, and orientable. A  $C^\infty$  map  $\phi : M \rightarrow N$  is said to be *harmonic* if it is a critical point of the energy functional

$$E(\phi) = \frac{1}{2} \int_M \|d\phi\|^2 v_g$$

where  $\|d\phi\|$  is the Hilbert-Schmidt norm, i.e.  $\|d\phi\|^2 = \text{trace}_g(\phi^* g_N)$ , and  $v_g$  the canonical volume form on  $(M, g)$ . Let  $\{\phi_{s,t}\}_{-\varepsilon < s, t < \varepsilon}$  be a 2-parameter variation of a harmonic map  $\phi$  ( $\phi_{0,0} = \phi$ ) and set

$$I(V, W) = \frac{\partial^2}{\partial s \partial t} E(\phi_{s,t})_{s=t=0}$$

where  $V = \partial\phi_{s,t}/\partial t|_{s=t=0}$  and  $W = \partial\phi_{s,t}/\partial s|_{s=t=0}$ . Then  $\phi$  is said to be *stable* if  $I(V, V) \geq 0$  for any  $V \in \Gamma^\infty(\phi^{-1}TN)$ .

Let  $j : N \subset \mathbf{C}H^{n+1}$  be a real hypersurface, under the hypothesis of Theorem 1. Let  $N(j) \rightarrow N$  be the normal bundle of the immersion  $j$ , and let  $X = \tan(X) + X^\perp$  be the decomposition of  $X \in T(\mathbf{C}H^{n+1})$  with respect to

$$T_x(\mathbf{C}H^{n+1}) = T_x(N) \oplus N(j)_x, \quad x \in N$$

Let  $\{X_i : 1 \leq i \leq m\}$  be a (local)  $g$ -orthonormal frame on an open set  $U \subseteq M$  and  $\{V_a : 1 \leq a \leq 2n+2\}$  a (local)  $g_0$ -orthonormal parallel (i.e.  $\nabla^0 V_a = 0$ ) frame on an open set  $V \subseteq \mathbf{C}H^{n+1}$ , so that  $\phi(U) \subset V$ . The frame  $\{V_a\}$  may be obtained by parallel translation of a  $g_{0,x}$ -orthonormal basis in  $T_x(\mathbf{C}H^{n+1})$  along geodesics issuing at  $x$ , in a simple and convex neighborhood  $V$  of  $x$ .

Let  $\tilde{\nabla} = \phi^{-1}\nabla^N$  be the connection in  $\phi^{-1}TN \rightarrow M$ , induced by  $\nabla^N$ . Then

$$\tilde{\nabla}_{X_i} \tan(V_a) = A_{V_a^\perp}(d\phi)X_i \quad (7)$$

Indeed (by (3)–(4))

$$\begin{aligned} \tilde{\nabla}_{X_i} \tan(V_a) &= \nabla_{(d\phi)X_i}^N \tan(V_a) = \tan(\nabla_{(d\phi)X_i}^0 \tan(V_a)) \\ &= \tan(\nabla_{(d\phi)X_i}^0 (V_a - V_a^\perp)) = -\tan(\nabla_{(d\phi)X_i}^0 V_a^\perp) = A_{V_a^\perp}(d\phi)X_i \end{aligned}$$

Moreover

$$\sum_{a=1}^{2n+2} \sum_{i=1}^m \|\tilde{\nabla}_{X_i} \tan(V_a)\|^2 = \|d\phi\|^2 \|H\|^2 \quad (8)$$

To prove (8) one uses (7) and  $\|X\|^2 = \sum_{a=1}^{2n+2} g_0(X, V_a)^2$ , for any  $X \in T(\mathbf{C}H^{n+1})$ , and conducts the following calculation

$$\begin{aligned} \|\tilde{\nabla}_{X_i} \tan(V_a)\|^2 &= \|A_{V_a^\perp}(d\phi)X_i\|^2 = \sum_{b=1}^{2n+2} g_0(A_{V_a^\perp}(d\phi)X_i, V_b)^2 \\ &= \sum_{b=1}^{2n+2} g_N(A_{V_a^\perp}(d\phi)X_i, \tan(V_b))^2 = \sum_{b=1}^{2n+2} g_0(b((d\phi)X_i, \tan(V_b)), V_a^\perp)^2 \end{aligned}$$

Next, as  $N$  is totally umbilical

$$\begin{aligned} \|\tilde{\nabla}_{X_i} \tan(V_a)\|^2 &= \sum_{b=1}^{2n+2} g_N((d\phi)X_i, \tan(V_b))^2 g_0(H, V_a^\perp)^2 \\ &= \|(d\phi)X_i\|^2 g_0(H, V_a^\perp)^2 \end{aligned}$$

which leads to (8). Again by the umbilicity assumption, the Gauss equation (5) becomes

$$\begin{aligned} R^N(X, Y)Z &= (1 + \|H\|^2)\{g_N(Y, Z)X - g_N(X, Z)Y\} \\ &\quad + \frac{1}{4}\{[\omega(X)Y - \omega(Y)X]\omega(Z) + [g_N(X, Z)\omega(Y) - g_N(Y, Z)\omega(X)]B\} \end{aligned}$$

Therefore

$$\begin{aligned} g_N(R^N(X, Y)Y, X) &= (1 + \|H\|^2)\{\|X\|^2\|Y\|^2 - g_N(X, Y)^2\} \\ &\quad - \frac{1}{4}\{\omega(X)^2\|Y\|^2 - 2\omega(X)\omega(Y)g_N(X, Y) + \omega(Y)^2\|X\|^2\} \end{aligned}$$

for any  $X, Y \in T(N)$ . Using

$$\begin{aligned} \sum_{a=1}^{2n+2} \|V_a^\perp\|^2 &= 1, \quad \sum_{a=1}^{2n+2} \|\tan(V_a)\|^2 = 2n + 1 \\ \sum_{a=1}^{2n+2} \omega(\tan(V_a))V_a &= B \end{aligned}$$

we may conduct the following calculation

$$\begin{aligned}
& \sum_{a=1}^{2n+2} \sum_{i=1}^m g_N(R^N(\tan(V_a), (d\phi)X_i)(d\phi)X_i, \tan(V_a)) \\
&= (1 + \|H\|^2) \sum_{a=1}^{2n+2} \left\{ \|\tan(V_a)\|^2 \|d\phi\|^2 - \sum_{i=1}^m g_0((d\phi)X_i, V_a)^2 \right\} \\
&\quad - \frac{1}{4} \sum_{a=1}^{2n+2} \{ \omega(\tan(V_a))^2 \|d\phi\|^2 + \|\phi^* \omega\|^2 \|\tan(V_a)\|^2 \} \\
&\quad + \frac{1}{2} \sum_{a=1}^{2n+2} \omega(\tan(V_a)) g_0 \left( V_a, \sum_{i=1}^m \omega((d\phi)X_i)(d\phi)X_i \right) \\
&= 2n(1 + \|H\|^2) \|d\phi\|^2 - \frac{1}{4} \{ \|B\|^2 \|d\phi\|^2 + (2n+1) \|\phi^* \omega\|^2 \} \\
&\quad + \frac{1}{2} g_N(B, (d\phi)(\phi^* \omega)^\sharp)
\end{aligned}$$

where  $\sharp$  denotes raising of indices with respect to  $g$ . Next

$$g_N(B, (d\phi)(\phi^* \omega)^\sharp) = \sum_{i=1}^m \omega((d\phi)X_i)^2 = \|\phi^* \omega\|^2$$

hence

$$\begin{aligned}
& \sum_{a=1}^{2n+2} \sum_{i=1}^m g_N(R^N(\tan(V_a), (d\phi)X_i)(d\phi)X_i, \tan(V_a)) \\
&= \left\{ 2n(1 + \|H\|^2) - \frac{1}{4} \|B\|^2 \right\} \|d\phi\|^2 - \frac{2n-1}{4} \|\phi^* \omega\|^2 \quad (9)
\end{aligned}$$

By the second variation formula (cf. e.g. [9]), for any harmonic map  $\phi$  of  $(M, g)$  into  $(N, g_N)$

$$I(V, W) = \sum_{i=1}^m \int_M \{ g_N(\tilde{\nabla}_{X_i} V, \tilde{\nabla}_{X_i} W) - g_N(R^N(V, (d\phi)X_i)(d\phi)X_i, W) \} v_g$$

Then (by (8)–(9) and our assumption (1))

$$\begin{aligned}
& \sum_{a=1}^{2n+2} I(\tan(V_a), \tan(V_a)) \\
&= - \int_M \left\{ 2n + (2n-1) \|H\|^2 - \frac{1}{4} \|B\|^2 \right\} \|d\phi\|^2 v_g + \frac{2n-1}{4} \int_M \|\phi^* \omega\|^2 v_g < 0
\end{aligned}$$

hence  $\phi$  is unstable. If  $B^\perp = 0$  then (by Theorem 2)  $N$  has constant mean curvature; also, if this is the case, then  $\|B\| = 2$  hence

$$\int_M \left( 2n + (2n - 1)\|H\|^2 - \frac{1}{4}\|B\|^2 \right) \|d\phi\|^2 v_g = 2(2n - 1)(1 + \|H\|^2)E(\phi),$$

i.e. (1) assumes the simpler form (2).

#### 4. Unstable Pseudohermitian Immersions

Let  $(M, T_{1,0}(M))$  be a CR manifold (of hypersurface type), of CR dimension  $p$ , and  $H(M) = \text{Re}\{T_{1,0}(M) \oplus \overline{T_{1,0}(M)}\}$  its Levi (or maximally complex) distribution. A pseudohermitian structure on  $M$  is a nonzero global section  $\theta_M$  in the conormal bundle  $H(M)^\perp \subset T^*(M)$ . Given a pseudohermitian structure  $\theta_M$ , the Levi form is given by

$$G_{\theta_M}(X, Y) = (d\theta_M)(X, J_M Y), \quad X, Y \in H(M),$$

where  $J_M(Z + \bar{Z}) = \sqrt{-1}(Z - \bar{Z})$ ,  $Z \in T_{1,0}(M)$ , is the complex structure in  $H(M)$ . The CR manifold  $M$  is nondegenerate if the Levi form  $G_{\theta_M}$  is nondegenerate for some pseudohermitian structure  $\theta_M$  (and thus for all). If this is the case then  $\theta_M$  is a contact form on  $M$ , i.e.  $\theta_M \wedge (d\theta_M)^p$  is a volume form on  $M$ . A CR manifold  $(M, T_{1,0}(M))$  is strictly pseudoconvex if the Levi form  $G_{\theta_M}$  is positive definite, for some pseudohermitian structure  $\theta_M$  on  $M$ .

Let  $(M, T_{1,0}(M))$  be a nondegenerate CR manifold and  $\theta_M$  a contact form on  $M$ . Under the mild additional assumption that  $M$  be orientable, there is a nonzero tangent vector field  $T$  on  $M$  (the characteristic direction of  $(M, \theta_M)$ ), uniquely determined by

$$\theta_M(T) = 1, \quad T \lrcorner d\theta_M = 0.$$

As  $T(M) = H(M) \oplus \mathbf{R}T$ , this may be used to extend the Levi form  $G_{\theta_M}$  to a (semi-Riemannian, in general) metric on the whole of  $T(M)$ , by requesting that  $T$  be orthogonal to  $H(M)$  and assigning to  $T$  a fixed length, i.e. let  $g_{\theta_M}$  be defined by setting

$$\begin{aligned} g_{\theta_M}(X, Y) &= G_{\theta_M}(X, Y), \\ g_{\theta_M}(X, T) &= 0, \quad g_{\theta_M}(T, T) = 1, \end{aligned}$$

for any  $X, Y \in H(M)$ . This is referred to as the Webster metric of  $(M, \theta_M)$  (compare to (2.18) in [15], p. 34). If  $M$  is strictly pseudoconvex and a contact form  $\theta_M$  is chosen so that  $G_{\theta_M}$  be positive definite, then  $g_{\theta_M}$  is a Riemannian

metric on  $M$ . Note that  $g_{\theta_M}(X, T) = \theta_M(X)$ , for any  $X \in T(M)$ . In particular  $\|\theta_M\| = 1$ .

Let  $(M, T_{1,0}(M))$  and  $(A, T_{1,0}(A))$  be strictly pseudoconvex  $CR$  manifolds. Let  $\phi : M \rightarrow A$  be a  $CR$  immersion, i.e. a  $C^\infty$  immersion and a  $CR$  map (i.e.  $(d_x\phi)T_{1,0}(M)_x \subseteq T_{1,0}(A)_{\phi(x)}$ ,  $x \in M$ ). If  $\theta_M$  and  $\theta_A$  are contact forms, on  $M$  and  $A$  respectively, so that  $G_{\theta_M}$  and  $G_{\theta_A}$  be positive-definite, then  $\phi^*\theta_A = \lambda\theta_M$ , for some  $C^\infty$  function  $\lambda : M \rightarrow (0, +\infty)$ . If  $\lambda \equiv 1$  then  $\phi$  is said to be *isopseudohermitian*. An isopseudohermitian  $CR$  immersion  $\phi : M \rightarrow A$  is said to be a *pseudohermitian immersion* if  $\phi(M)$  is tangent to the characteristic direction of  $(A, \theta_A)$ . A theory of pseudohermitian immersions has been started in [6] and [2]. We recall (cf. Theorem 7 in [6], p. 189)

**THEOREM 3.** *Any pseudohermitian immersion between two strictly pseudoconvex  $CR$  manifolds is a minimal isometric (with respect to the Webster metrics) immersion.*

Set  $U = -J\xi$  and  $\theta(X) = g_N(X, U)$ , for any  $X \in T(N)$ . We establish

**THEOREM 4.** *Let  $N$  be an orientable real hypersurface of the complex Hopf manifold  $CH^{n+1}$ , tangent to the Lee field  $B_0$ . Assume that  $N$  is totally umbilical of nonzero mean curvature ( $\|H\| \neq 0$ ). Let  $\phi : M \rightarrow N$  be a pseudohermitian immersion of a compact strictly pseudoconvex  $CR$  manifold  $M$  into  $N$ , thought of as a map of  $(M, \theta_M)$  into  $(N, \hat{\theta})$ , where  $\hat{\theta} = g_0(H, \xi)\theta$ . If the Lee field of  $CH^{n+1}$  is orthogonal to the  $CR$  structure of  $N$  and*

$$E(\phi) > \frac{Vol(M)}{2(1 + \|H\|^2)\|H\|^2} \quad (10)$$

then  $\phi$  is an unstable harmonic map.

The source manifold  $M$  carries the Webster metric  $g = g_{\theta_M}$ , while  $N$  is endowed with the induced metric  $g_N = j^*g_0$ . Also  $N$  carries the induced  $CR$  structure

$$T_{1,0}(N) = T^{1,0}(CH^{n+1}) \cap [T(N) \otimes \mathbb{C}]$$

( $T^{1,0}(CH^{n+1})$  is the holomorphic tangent bundle over  $CH^{n+1}$ ). The Levi form of  $N$  is

$$G_\theta(X, Y) = (d\theta)(X, JY)$$

for any  $X, Y \in H(N) = Ker(\theta)$ . We need



LEMMA 1. *Let  $N$  be a totally umbilical real hypersurface of the complex Hopf manifold. If  $H + \frac{1}{2}B^\perp \neq 0$  everywhere on  $N$  then  $(N, T_{1,0}(N))$  is a strictly pseudoconvex CR manifold.*

We recall (cf. Corollary 1.1 in [8], p. 4) that

$$\nabla_X^0 JY = J\nabla_X^0 Y + \frac{1}{2}\{\omega_0(JY)X - \omega_0(Y)JX + g_0(X, Y)JB_0 - g_0(X, JY)B_0\}$$

for any  $X, Y \in T(\mathbf{C}H^{n+1})$ . Then (as  $\nabla^\perp \xi = 0$ )

$$\begin{aligned} (\nabla_X^N \theta)Y &= g_N(Y, \nabla_X^N U) = -g_0(Y, \nabla_X^0 J\xi) \\ &= g_N(PAX, Y) + \frac{1}{2}\{\omega(U)g_N(X, Y) + \omega_0(\xi)g_N(PX, Y) - \theta(X)\omega(Y)\} \end{aligned}$$

for any  $X, Y \in T(N)$ . Here  $PX = \tan(JX)$ . Next, using

$$2(d\theta)(X, Y) = (\nabla_X^N \theta)Y - (\nabla_Y^N \theta)X$$

we get

$$2(d\theta)(X, Y) = g_N((PA + AP)X, Y) + g_N(PX, Y)\omega_0(\xi) - (\theta \wedge \omega)(X, Y) \quad (11)$$

hence the Levi form of  $N$  is expressed by

$$G_\theta(X, Y) = \frac{1}{2}\{g_0(b(X, Y) + b(JX, JY), \xi) + g_N(X, Y)\omega_0(\xi)\} \quad (12)$$

for any  $X, Y \in H(N)$ . Assume from now on that  $b = H \otimes g_N$ . Then (12) becomes

$$G_\theta(X, Y) = g_0(H + \frac{1}{2}B^\perp, \xi)g_N(X, Y)$$

hence either  $G_\theta$  or  $G_{-\theta}$  is positive definite.

LEMMA 2. *Let  $N$  be a real hypersurface of  $\mathbf{C}H^{n+1}$ , under the hypothesis of Lemma 1. If additionally  $B^\perp = 0$  then  $f := g_0(H, \xi) = \text{const.}$  and (by replacing  $\theta$  by  $-\theta$  if necessary) one may assume  $f > 0$ . Moreover, if  $B$  is orthogonal to  $T_{1,0}(N)$  then the induced metric  $g_N = j^*g_0$  and the Webster metric  $g_f$  are homothetic.*

To prove Lemma 2 assume that  $B^\perp = 0$ . Set  $f = g_0(H, \xi) \in C^\infty(N)$ . By Theorem 2,  $f = \text{const.}$  (indeed,  $\nabla^\perp H = 0$  yields  $f^2 = \|H\|^2 = \text{const.}$ ).

Assume now that  $B \perp T_{1,0}(N)$ . In particular  $B \perp H(N)$  (i.e.  $\text{Ker}(\omega) = H(N)$ ), hence  $\omega$  and  $\theta$  are proportional). As  $N$  is umbilical and tangent to  $B_0$  the equation (11) becomes

$$(d\theta)(X, Y) = fg_N(PX, Y)$$

for any  $X, Y \in T(N)$ . Then  $PU = 0$  yields  $U \lrcorner d\theta = 0$ , i.e.  $U$  is the characteristic direction of  $(N, \theta)$ . Set  $\hat{\theta} = f\theta$  and let  $g_{\hat{\theta}}$  be the corresponding Webster metric (of  $(N, \hat{\theta})$ ) (a Riemannian metric on  $N$ ). Then

$$g_{\hat{\theta}} = \|H\|^2 g_N$$

and Lemma 2 is proved. By Theorem 3,  $\phi$  is harmonic, as a map of  $(M, g)$  into  $(N, g_{\hat{\theta}})$ , i.e.  $\phi$  is a critical point of the energy functional

$$E_{\theta}(\phi) = \frac{1}{2} \int_M \text{trace}_g(\phi^* g_{\hat{\theta}}) v_g$$

Yet  $E_{\theta}(\phi) = f^2 E(\phi)$  hence  $\phi$  is harmonic as a map of  $(M, g)$  into  $(N, g_N)$ . On the other hand

$$\omega = \theta(B)\theta \tag{13}$$

Note that (13) yields  $|\theta(B)| = 2$  (indeed  $\theta(B)^2 = \omega(B) = \|B\|^2 = \|B_0\|^2 = 4$ ) hence

$$\int_M \|\phi^* \omega\|^2 v_g = \frac{4}{f^2} \int_M \|\theta_M\|^2 v_g = 4 \frac{\text{Vol}(M)}{\|H\|^2}$$

(as  $\phi^* \hat{\theta} = \theta_M$ ). Therefore, the assumption (10) is equivalent to (2), and (by Theorem 1)  $\phi$  must be unstable.

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