

MICROLOCAL COMPLEX FOLIATION OF R-LAGRANGIAN CR SUBMANIFOLDS

By

Giuseppe ZAMPIERI

Abstract. Let X be a complex manifold, M a real analytic submanifold of $X^{\mathbf{R}}$, T^*X the cotangent bundle to X , T_M^*X the conormal bundle to M in X . Assume that T_M^*X is regular and CR in T^*X . We then show that T_M^*X is locally defined as the zero-set of the real and/or imaginary part of holomorphic symplectic coordinates of T^*X . It is well known that the similar description of M in local complex coordinates of X is true only if M is *Levi flat*. As an application we obtain a generalization of the celebrated *edge of the wedge* Theorem.

§1. Let X be a complex manifold of dimension n , $\pi : T^*X \rightarrow X$ the cotangent bundle to X , \dot{T}^*X the bundle T^*X with the 0-section removed, $\alpha = \alpha^{\mathbf{R}} + \sqrt{-1}\alpha^{\mathbf{I}}$ (resp. $\sigma (= d\alpha) = \sigma^{\mathbf{R}} + \sqrt{-1}\sigma^{\mathbf{I}}$) the canonical 1-form (resp. 2-form) on T^*X . Let $X^{\mathbf{R}}$ (resp. $(T^*X)^{\mathbf{R}}$) be the real analytic manifold underlying to X (resp. T^*X); we have diagonal identifications:

$$(1.1) \quad X^{\mathbf{R}} \xrightarrow{j} X \times_X \bar{X}, \quad T(X^{\mathbf{R}}) \xrightarrow{j'} TX \times_{TX} T\bar{X} \simeq (TX)^{\mathbf{R}}, \quad T^*(X^{\mathbf{R}}) \xrightarrow{j''} (T^*X)^{\mathbf{R}}.$$

A complex analytic submanifold $V \subset \dot{T}^*X$ is \mathbf{C} -involutive (resp. Lagrangian, resp. isotropic) if at each $p \in V$ the tangent plane $v(p) = T_p V$ verifies $v^\perp(p) \subset v(p)$ (resp. $v^\perp(p) = v(p)$, resp. $v^\perp(p) \supset v(p)$). (The planes $v(p)$ themselves will be called in the corresponding manner.) V is called regular when $\alpha|_V \neq 0$. A real analytic submanifold $\Lambda \subset T^*X^{\mathbf{R}}$ is called \mathbf{R} -Lagrangian when $\lambda(p) \stackrel{\text{def}}{=} T_p \Lambda$ is Lagrangian for $\sigma^{\mathbf{R}}(p)$. Λ is called \mathbf{I} -symplectic when $\sigma^{\mathbf{I}}(p)$ is non-degenerate on $\lambda(p)$. All submanifolds of T^*X (resp. $T^*X^{\mathbf{R}}$) will be \mathbf{C}^\times -conic (resp. \mathbf{R}^+ -conic).

Let M be a real analytic submanifold of $X^{\mathbf{R}}$ of codim l , and T_M^*X the conormal bundle to M in X identified, via the third of (1.1), to an \mathbf{R} -Lagrangian

submanifold of T^*X^R . We fix $p \in \dot{T}_M^*X$, $\pi(p) = z$, and define

$$(1.2) \quad \lambda_M(p) = T_p T_M^*X, \quad T_z^C M = T_z M \cap \sqrt{-1}T_z M.$$

We define the Levi form $L_M(p)$ of M at p as the restriction to $T_z^C M$ of the Hermitian form $\partial\bar{\partial}r_1(z)$, where r_1 is a function with $r_1|_M \equiv 0$ and $\partial r_1(z) = p$. We denote by $s_M^{+, -, 0}(p)$ the numbers of respectively positive, negative, and null eigenvalues of $L_M(p)$.

We complete r_1 to a system of independent equations $(r_j)_{j=1, \dots, l} = 0$ for M , and give a parametric representation of T_M^*X :

$$(1.3) \quad \psi : M \times \mathbf{R}^l \xrightarrow{\sim} T_M^*X, \quad (z; (t_j)) \mapsto \left(z; \sum_j t_j \partial r_j(z) \right).$$

We take the composition $\psi \circ (j^{-1} \times \text{id})$ where j is the map in (1.1). (This just means, for coordinates $z = x + \sqrt{-1}y \in X$, to consider ψ as a function of (z, \bar{z}) rather than (x, y) .) By the aid of $\psi \circ (j^{-1} \times \text{id})$, we get the identifications:

$$(1.4) \quad \lambda_M(p) = \left\{ \left(u; \sum_j t_j \partial r_j + \partial \partial r_1(z)u + \partial \bar{\partial} r_1(z)\bar{u} \right); (t_j) \in \mathbf{R}^l, \right. \\ \left. \partial r_1(z)u + \bar{\partial} r_1(z)\bar{u} = 0 \right\}, \\ \lambda_M(p) \cap \sqrt{-1}\lambda_M(p) = \{ (u; \partial \bar{\partial} r_1(z)u + \partial \partial r_1(z)u); \partial r_1(z)u = 0, \partial \bar{\partial} r_1(z)\bar{u} \in \\ T_S^*X_z + \sqrt{-1}T_S^*X_z \} \oplus \{ (0; v); v \in T_M^*X_z \cap \sqrt{-1}T_M^*X_z \},$$

($z = \pi(p)$). It follows

$$(1.5) \quad \lambda_M(p) \cap \sqrt{-1}\lambda_M(p) \simeq \text{Ker } L_M(p) \oplus (T_M^*X_z \cap \sqrt{-1}T_M^*X_z)$$

Put $\gamma_M(z) = \dim_{\mathbf{C}}(T_M^*X_z \cap \sqrt{-1}T_M^*X_z)$; we get from (1.5)

$$(1.6) \quad \text{rank } L_M(p) = \dim T_z^C M - \dim \text{Ker } L_M(p) \\ = (n - l - \dim_{\mathbf{C}}(\lambda_M(p) \cap \sqrt{-1}\lambda_M(p))) + 2\gamma_M(z).$$

Let $M \subset X$ and $p \in \dot{T}_M^*X$.

THEOREM 1.1. *Assume that \dot{T}_M^*X is regular at p and verifies*

$$(1.7) \quad \dim(\lambda_M(p) \cap \sqrt{-1}\lambda_M(p)) \equiv \text{const in a neighborhood of } p.$$

Then we may find local complex symplectic coordinates $(z; \zeta) = (z', z''; \zeta', \zeta'') \in \dot{T}^*X$, $z = x + \sqrt{-1}y, \zeta = \xi + \sqrt{-1}\eta$ such that $p = (0; i dy_1)$ and:

$$(1.8) \quad \dot{T}_M^*X = \{(z; \zeta) \in \dot{T}^*X; y' = \zeta' = 0, \zeta'' = 0\}.$$

PROOF. (a) We put $\Lambda_M = \dot{T}_M^*X$. Regularity of Λ_M at p means that $\lambda_M(p)$ meets the complex plane spanned by the radial vector field at p along a real line. In this situation it is well known that Λ_M can be interchanged, by a complex symplectic transformation χ , with the conormal bundle to a hypersurface, and that $s^- = 0$ at $\chi(p)$ for such hypersurface. But we have indeed $s^- \equiv 0$ in a neighborhood of $\chi(p)$ by (1.7), because the constancy of $s^\pm - \gamma$ is a symplectic invariant due to (1.6). Thus this hypersurface is in fact the boundary of a pseudoconvex domain. By the same reason $s^+ \equiv \text{const}$. Thus it is not restrictive to assume from the beginning M to be the boundary of a pseudoconvex domain with $\dim(\text{Ker } L_M) \equiv \text{const}$ (say d). By [F], [R] (and [S]) M is locally foliated by the integral leaves of $\text{Ker } L_M$; these are complex manifolds of $\dim d$ (since they have complex tangent planes of the corresponding \dim). (For a new proof with some improvements of the results on Levi foliations see also [Z].)

(b) There is a foliation of \dot{T}_M^*X at p whose leaves are complex sections of \dot{T}_M^*X over the leaves of M . In fact let Γ be a complex leaf of M defined, in complex coordinates $z = (z_1, z', z'') \in X$, by $z_1 = z' = 0$, and let $p = (0; i dy_1)$. One has

$$(1.9) \quad L_M(p')(w, \cdot) = 0 \quad \forall w \in \mathbf{C}_{z''}^d \quad \forall p' \in \dot{T}_M^*X \cap \pi^{-1}(\Gamma) \text{ close to } p.$$

In fact if $r|_M \equiv 0$ with $\partial r(z) = p$, then clearly $\partial_{z''} \partial_{\bar{z}''} r \equiv 0$ on Γ and if by absurd $\partial_{z'} \partial_{\bar{z}''} r \neq 0$ at some point of Γ close to z , then the pseudoconvexity of M should be violated.

We denote by $g : M \rightarrow M' \simeq \mathbf{R}^{2n-1-2d}$ the foliation of M , and set $R = g^{-1}(M \cap \mathbf{C}_{z_1})$. We remark that R is a CR manifold (of CR $\dim d$) due to $\dim(TR \cap \sqrt{-1}TR) \equiv d$. Let $j : R \hookrightarrow X$, and let $Y = p_1 \circ j^C(R^C)$ where $p_1 : X \times \bar{X} \rightarrow X$. Y is a complex manifold with $\dim(Y) = d + 1$ by the above remark. Moreover since $\bar{Z}g = 0 \forall$ antiholomorphic tangent vector field $\bar{Z} \in T^{0,1}R (= \{0\} \times T^C R \hookrightarrow TX \times_X T\bar{X}|_M)$, then g extends to a holomorphic function $\tilde{g} : Y \rightarrow \mathbf{C}_{z_1}$. In complex coordinates in which $g : (z_1, z'') \rightarrow z_1$, we have $R = \mathbf{R}_{x_1} \times \{0\} \times \mathbf{C}_{z''}^d$. Since $S \supset R$, then we may write $r = y_1 + 0(|z'|)(0(|(z_1, z'')|)) + O(|z'|)$. Thus for $\Gamma = \mathbf{C}_{z''}^d$, we have

$$(1.10) \quad \partial_{\bar{z}}(\partial_{z'} r|_\Gamma) (\equiv (\partial_{z'} \partial_{z'} r)|_\Gamma) \equiv 0 \quad (\text{i.e. } \partial_{z'} r|_\Gamma \text{ is holomorphic}).$$

In fact $\partial_{z_1} r|_{\Gamma} \equiv -\sqrt{-1}$ and $\partial_{z^i} \partial_{z_i} r|_{\Gamma} \equiv 0 \forall i \neq 1$ by (1.9). Thus we have a foliation of $\dot{T}_M^* X$ by the complex leaves $\Gamma_t = \{(z; t\partial r(z)); z \in \Gamma\}$, $t \in \mathbf{R}$. This gives a projection

$$(1.11) \quad \rho : \Lambda_M \rightarrow \Lambda',$$

with complex fibers.

(c) We note that $\bar{Z}_e = 0 \forall \bar{Z} \in T^{0,1}\Lambda_M$ (due to $\text{Ker } \rho' = \lambda_M \cap \sqrt{-1}\lambda_M$); thus e extends to a holomorphic map $\tilde{\rho} : V \rightarrow \Lambda'^{\mathbf{C}}$ where V is the partial complexification of V in T^*X , and $\Lambda'^{\mathbf{C}}$ a complexification of Λ' . Note here that such V exists because Λ_M is CR in \dot{T}^*X by (1.7)

We claim that V is a regular involutive submanifold of \dot{T}^*X , and $\tilde{\rho}$ is the projection along the bicharacteristic leaves of V . In fact if $v = TV$ and v^\perp is the symplectic orthogonal, then v^\perp and $\text{Ker } \tilde{\rho}'$ are two complex bundles on V of $\dim d$ which verify $v^\perp|_{\Lambda_M} = \text{Ker } \tilde{\rho}'|_{\Lambda_M} (= \lambda_M \cap \sqrt{-1}\lambda_M)$. Thus $v^\perp = \text{Ker } \tilde{\rho}'$ which proves the claim. Let $V' = V/\sim$, where \sim is the equivalence relation which identifies all points of V in the same bicharacteristic leaf; then $V' \equiv \Lambda'^{\mathbf{C}}$.

Clearly $v' = v/v^\perp$ and thus σ induces a non-degenerate form σ' on V' . We also have $\lambda' = \lambda_M/v^\perp = \lambda_M/(\lambda_M \cap \sqrt{-1}\lambda_M)$; thus Λ' is \mathbf{R} -Lagrangian and I -symplectic in V' .

(d) We take complex symplectic coordinates $(z; \zeta) \in \dot{T}^*X$, $z = (z', z'')$, $\zeta = (\zeta', \zeta'')$, $z = x + \sqrt{-1}y$, $\zeta = \xi + \sqrt{-1}\eta$ s.t.:

$$V = \dot{T}^*X' \times \mathbf{C}^d, \quad V' = \dot{T}^*X', \quad \Lambda_M = \Lambda' \times \mathbf{C}^d, \quad X' = \mathbf{C}^{n-d}, \quad p = (0; i \, dy_1).$$

We note that any \mathbf{R} -Lagrangian I -symplectic submanifold of $\dot{T}^*\mathbf{C}^{n-d}$ can be transformed, by a complex symplectic transformation, into $\dot{T}_{\mathbf{R}^{n-d}}^*\mathbf{C}^{n-d}$; thus after this transformation $\Lambda_M = T_{\mathbf{R}^{n-d}}^*\mathbf{C}^{n-d} \times \mathbf{C}^d$. Q.E.D.

§2. We suppose in this section that M is a real analytic generic submanifold of $X^{\mathbf{R}}$ (i.e. $\gamma_M = 0$) of codim l , and that \dot{T}_M^*X verifies (1.7) over an open cone $U \subset \dot{T}_M^*X$. (\dot{T}_M^*X is automatically regular because $\gamma_M = 0$.) Let $\mathcal{C}_{M|X}$ and $\mathcal{B}_{M|X}$ be the sheaves of resp. CR microfunctions and CR hyperfunctions along M . These are concentrated in degree s_M^- and s_M^- , 0 respectively (cf. [K-S]). We recall that $\mathcal{B}_{M|X}$, (defined as $\mathbf{R}\Gamma_M(\mathcal{O}_X)[l]$ with \mathcal{O}_X denoting the sheaf of holomorphic functions on X), turns out to coincide with the sheaf of the s_M^- -th cohomology of the tangential $\bar{\partial}$ -complex over (usual) hyperfunctions \mathcal{B}_M . Let $\text{sp} : H^{s_M^-}(\pi^{-1}(\mathcal{B}_{M|X})) \rightarrow H^{s_M^-}(\mathcal{C}_{M|X})$ be the spectral morphism, and define

$$(2.1) \quad WF(f) = \text{supp}(\text{sp}(f)), \quad f \in H^{s_M^-}(\mathcal{B}_{M|X}).$$

WF coincides, at least for $s_M^- = 0$, with the usual analytic wave front set (cf. [B-C-T]). According to [S-K-K], [K-S], the symplectic transformation which gives (1.8) can be *quantized* to an isomorphism:

$$(2.2) \quad \mathcal{C}_{M|X} \simeq \mathcal{C}_{\mathbb{R}^{n-d} \times \mathbb{C}^d|X}[-s_M^-].$$

Thus $\mathcal{C}_{M|X}$ is isomorphic, upto a shift $-s_M^-$, to the sheaf of usual microfunctions with holomorphic parameters. In particular, according to [S-K-K]:

$H^{s_M^-}(\mathcal{C}_{M|X})|_U$ satisfies the principle of the analytic continuation along the integral leaves of $\lambda_M \cap \sqrt{-1}\lambda_M$.

Let δ be an open convex cone of $T_M X := M \times_X TX/TM$. We recall that a domain $W \subset X$ is said to be a *wedge* with *profile* δ when $C_M(X \setminus W) \cap \delta = \emptyset$ (where $C_M(\cdot)$ denotes the Whitney normal cone along M). Let η be a closed convex proper cone of $T_M^* X$ with $\eta \supset M$. We have

$$(2.3) \quad H_\eta^j(T_M^* X, \mathcal{C}_{M|X}) \xrightarrow[b]{\simeq} \lim_{\overrightarrow{W}} H^j(W, \mathcal{O}_X),$$

where W ranges through the family of wedges with profile $\delta = \text{int } \eta^{oa}$ the interior of the antipodal of the polar to η . (b is called the *boundary values* morphism.)

Fix $z \in M, z \in \pi(U)$, write $z = (z', z'')$, $M = M' \times Y$ (Y a polydisc with center z'').

PROPOSITION 2.1. *Let M be real analytic generic and satisfy (1.7) in U . Let $\eta'_j = M' \times Z_j, j = 1, \dots, N$, be closed convex proper cones of U' , and let $F_j \in H^{s_M^-}((W'_j \times Y) \cap B, \mathcal{O}_X)$ where B (resp. W'_j) ranges through the family of neighborhoods of z (resp. of wedges of X' with profile $\delta'_j = M' \times \text{int } Z_j^{oa}$). Assume $\sum_j b(F_j) = 0$. Then there exist $F_{ij} \in H^{s_M^-}((W'_{ij} \times Y_1) \cap B, \mathcal{O}_X)$ with $Y_1 \subset Y$ and with W'_{ij} wedges with profile and proper subcone of the convex hull δ'_{ij} of δ'_i, δ'_j :*

$$F_{ij} = -F_{ji} \quad F_j = \sum_i F_{ij} \forall j.$$

PROOF. Let $f_j = b(F_j)|_U$. Then $\text{supp } (f_j) \subset (\cup_{i \neq j} (\eta'_i \cap \eta'_j)) \times Y = (M \times \cup_{i \neq j} (Z_i \cap Z_j)) \times Y$.

Observe that $H^{s_M^-}(C_{\text{mix}})|_U$ satisfies a kind of “transveral softness” with respect to the complex foliation of $T_M^* X$; this follows easily from (2.2). Thus we can decompose $f_j = \sum_i f_{ij}$ with $WF(f_{ij}) \subset (\tilde{\eta}'_{ij} \times Y_1) = (\tilde{\eta}'_i \cap \tilde{\eta}'_j) \times Y_1$ for $\tilde{\eta}'_i \supset \eta'_i$ and over a (possibly smaller) neighborhood of z . If we observe that $\text{int}(\eta'_i \cap \eta'_j)^{oa}$ equals the convex hull of $\text{int } \eta_i'^{oa}, \text{int } \eta_j'^{oa}$ and use (2.3), we get the conclusion.

Q.E.D.

References

- [B-F] E. Bedford, J. E. Fornaess, *Complex manifolds in pseudoconvex boundaries*, Duke Math. J. **48** (1981), 279–287.
- [B-C-T] M. S. Baouendi, C. H. Chang, F. Trèves, *Microlocal hypo-analyticity and extension of C.R. functions*, J. of Diff. Geom. **18** (1983), 331–391.
- [D'A-Z] A. D'Agnolo, G. Zampieri, *Generalized Levi's form for microdifferential systems, \mathcal{D} -modules and microlocal geometry* Walter de Gruyter and Co., Berlin New-York (1992), 25–35.
- [F] M. Freeman, *Local complex foliation of real submanifolds*, Math. Ann. **209** (1974), 1–30.
- [H] L. Hörmander, *An introduction to complex analysis in several complex variables*, Van Nostrand, Princeton N.J. (1966).
- [K-S] M. Kashiwara, P. Schapira, *Microlocal study of sheaves*, Astérisque **128** (1985).
- [R] C. Rea, *Levi-flat submanifolds and holomorphic extension of foliations*, Ann. SNS Pisa **26** (1972), 664–681.
- [S-K-K] M. Sato, M. Kashiwara, T. Kawai, *Hyperfunctions and pseudodifferential equations*, Springer Lecture Notes in Math. **287** (1973), 265–529.
- [S-T] P. Schapira, J. M. Trepreau, *Microlocal pseudoconvexity and “edge of the wedge” theorem*, Duke Math. J. **61** 1 (1990), 105–118.
- [S] F. Sommer, *Komplex-analytische Blätterung reeler hyperflächen in C^n* , Math. Ann. **137** (1959), 392–411.
- [Tr] J.-M. Trépreau, *Sur la propagation des singularités dans les variétés CR*, Bull. Soc. Math. de France **118** (1990), 129–140.
- [Tu 1] A. Tumanov, *Extending CR functions on a manifold of finite type over a wedge*, Mat. Sb. **136** (1988), 129–140.
- [Tu 2] A. Tumanov, *Connections and propagation of analyticity for CR functions*, Duke Math. Jour. **73** 1 (1994), 1–24.
- [Z] G. Zampieri, *Canonical symplectic structure of a Levi foliation*, Complex Geometry, Marcel-Dekker Publ. **173** (1995), 541–554.

Dep. of Math. UIUC
Urbana IL 61801

Dip. di Matematica,
Università di Padova, via Belzoni 7,
I-35131 Padova, Italy

Zampieri@math.uiuc.edu
Zampieri@math.unipd.it