

## ON THE GAUSS MAP OF COMPLETE SPACE-LIKE HYPERSURFACES OF CONSTANT MEAN CURVATURE IN MINKOWSKI SPACE

By

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### § 1. Introduction.

Let  $\mathbf{R}_1^{n+1}$  be the  $(n+1)$ -dimensional Minkowski space, that is,  $\mathbf{R}^{n+1}$  with the Lorentz metric  $\langle, \rangle = (dx_1)^2 + \cdots + (dx_n)^2 - (dx_{n+1})^2$ . It has been known that in  $\mathbf{R}_1^{n+1}$  hyperplanes are the only complete space-like hypersurfaces whose mean curvatures are zero. This Bernstein type theorem was proposed by Calabi, and solved by him [3] (for  $n \leq 4$ ) and by Cheng and Yau [5] (for all  $n$ ) (see also Ishihara [10] or Nishikawa [14]). On the other hand, for complete space-like hypersurfaces of nonzero constant mean curvature in  $\mathbf{R}_1^{n+1}$ , there are many nonlinear examples constructed by Treibergs [18], Hano and Nomizu [7], Ishihara and Hara [11] and others.

In his recent paper, Palmer [17] discussed the Gauss map of a complete space-like hypersurface of constant mean curvature in  $\mathbf{R}_1^{n+1}$  and showed a condition for the hypersurface to be a hyperplane. This is a result analogous to the one obtained by Hoffman, Osserman and Schoen [9], who proved that the normals to a complete surface of constant mean curvature in the 3-dimensional Euclidean space  $E^3$  cannot lie in a closed hemisphere of  $S^2$ , unless the surface is a plane or a right circular cylinder. Note that a right circular cylinder is the simplest example of a complete non-umbilical surface of constant mean curvature in  $E^3$ .

In  $\mathbf{R}_1^{n+1}$  the simplest example of a complete non-umbilical space-like hypersurface of constant mean curvature is given by the following:

$$\begin{aligned} & \mathbf{H}^k(c) \times \mathbf{R}^{n-k} \\ &= \left\{ (x_1, \dots, x_n, x_{n+1}) \in \mathbf{R}_1^{n+1}; (x_{n-k+1})^2 + \cdots + (x_n)^2 - (x_{n+1})^2 = \frac{1}{c}, x_{n+1} > 0 \right\}, \end{aligned}$$

where  $c$  is a negative number and  $k=1, 2, \dots, n-1$ . In particular,  $\mathbf{H}^1(c) \times \mathbf{R}^{n-1}$  is called a *hyperbolic cylinder*.

Recently, Ki, Kim and Nakagawa [12] characterized hyperbolic cylinders as the only complete space-like hypersurfaces of non-zero constant mean curvature in  $\mathbf{R}_1^{n+1}$  for which the norm of the second fundamental form is maximal. Moreover, when  $n=2$ , K. Milnor [13] and Yamada [19] showed that the hyperbolic cylinder  $\mathbf{H}^1(c) \times \mathbf{R}^1$  is the only “uniformly” non-umbilical surface among complete space-like surfaces of non-zero constant mean curvature, and the author gave another proof of this theorem [2].

In this paper, we shall improve the Palmer’s theorem and characterize the hyperbolic cylinder in  $\mathbf{R}_1^{n+1}$  by a method similar to the one employed by Hoffman et al [9]. In fact, we shall make use of the distance function of the hyperbolic space constructed by Cecil and Ryan [4].

The author would like to thank Professor Hisao Nakagawa for his helpful suggestions.

## §2. The theorems.

Throughout this paper, we assume manifolds to be connected and geometric objects to be smooth.

Let  $M$  be a complete space-like hypersurface of constant mean curvature  $H$  in  $\mathbf{R}_1^{n+1}$  and  $\eta$  be the time-like unit normal field of  $M$ . For each point  $p$  in  $M$  we regard  $\eta(p)$  as a point in the  $n$ -dimensional hyperbolic space  $\mathbf{H}^n = \mathbf{H}^n(-1)$  in  $\mathbf{R}_1^{n+1}$ . Then Palmer’s theorem (in [17]) can be improved in the following fashion :

**THEOREM 1.** *Let  $M$  be a complete space-like hypersurface of constant mean curvature  $\mathbf{R}_1^{n+1}$ . If  $\eta(M)$  is contained in a geodesic ball in  $\mathbf{H}^n$ , then  $M$  is a hyperplane in  $\mathbf{R}_1^{n+1}$ .*

A geodesic ball of radius  $r$  centered at  $\bar{\eta}$  in  $\mathbf{H}^n$  is denoted by  $B_r(\bar{\eta})$ . The distance in  $\mathbf{H}^n$  from  $\bar{\eta}$  to  $x$  is given by

$$L_{\bar{\eta}}(x) = \cosh^{-1}(-\langle \bar{\eta}, x \rangle).$$

This distance function  $L_{\bar{\eta}}$  on  $\mathbf{H}^n$  has, as level sets, compact totally umbilic hypersurfaces (geodesic spheres), and  $B_r(\bar{\eta})$  is given by

$$B_r(\bar{\eta}) = \{x \in \mathbf{H}^n ; L_{\bar{\eta}}(x) < r\}.$$

It is clear that hyperplanes are the only space-like hypersurfaces for which  $\eta(M)$  coincide with one point.

On the other hand,  $\eta(\mathbf{H}^k(c) \times \mathbf{R}^{n-k})$  is a complete totally geodesic  $k$ -dimen-

tional submanifold in  $H^n$ , which is called a  $k$ -plane in  $H^n$ . In particular, an  $(n-1)$ -plane in  $H^n$  is called a hyperplane in  $H^n$  and a parametrized 1-plane in  $H^n$  is a maximal geodesic in  $H^n$ .

We can define a tubular neighborhood  $U_r(\pi)$  of radius  $r$  around a  $k$ -plane  $\pi$  in  $H^n$ . For each  $x$  in  $H^n$ , there is a unique shortest geodesic  $\gamma$  in  $H^n$  from  $x$  to  $\pi$ . Let  $L_\pi(x)$  denote the length of  $\gamma$  and define  $U_r(\pi)$  by

$$U_r(\pi) = \{x \in H^n; L_\pi(x) < r\}.$$

Then a characterization of the hyperbolic cylinder is obtained as follows.

**THEOREM 2.** *Let  $M$  be a complete space-like hypersurface of non-zero constant mean curvature in  $R_1^{n+1}$ . If  $\eta(M)$  is contained in  $U_r(\beta)$  for some  $r > 0$  and for some maximal geodesic  $\beta$  on  $H^n$ , then  $M$  is congruent to a hyperbolic cylinder  $H^1(c) \times R^{n-1}$ .*

This theorem is an immediate consequence of the next proposition.

**PROPOSITION.** *Let  $M$  be a complete space-like hypersurface of constant mean curvature in  $R_1^{n+1}$ . If  $\eta(M)$  is contained in  $U_r(\pi)$  for some  $r > 0$  and for some  $k$ -plane  $\pi$  of  $H^n$ , then  $\eta(M)$  is contained in  $\pi$  and at least  $(n-k)$ -principal curvatures of  $M$  are zero at any point of  $M$ .*

**REMARK.** Theorem 2 can be proved by a theorem obtained by Choi and Treibergs [6], if we note that complete space-like hypersurfaces in  $R_1^{n+1}$  are entire. Furthermore, Theorem 1 can also follow from the Liouville theorem for harmonic mappings of Riemannian manifolds, which is proved by Hildebrandt, Jost and Widman in [8]. But our proofs do not depend on these facts, and we shall consistently make use of the generalized maximum principle on a complete Riemannian manifold.

### § 3. Preliminaries.

As in § 2, let  $M$  be a complete space-like hypersurface of constant mean curvature  $H$  in  $R_1^{n+1}$ ,  $\eta$  be the time-like unit normal field of  $M$ .

We choose a local field of orthonormal frames  $e_1, e_2, \dots, e_n$  on  $M$  and let  $\omega_1, \omega_2, \dots, \omega_n$  denote the dual coframes on  $M$ . We shall use the summation convention with Roman indices in the range  $1 \leq i, j, \dots \leq n$ . The second fundamental form on  $M$  is given by the quadratic form

$$\alpha = -\sum h_{ij} \omega_i \otimes \omega_j \otimes \eta$$

with values in the normal bundle of  $M$ . Let  $D$  (resp.  $\nabla$ ) denote the Levi-Civita connection of  $\mathbf{R}_1^{n+1}$  (resp.  $M$ ). Then the Gauss formula and the Weingarten formula are given respectively by

$$D_{e_i}e_j = \nabla_{e_i}e_j - h_{ij}\eta \quad \text{and} \quad D_{e_i}\eta = -\sum_j h_{ij}e_j.$$

Let  $h_{ijk}$  denote the covariant derivative of  $h_{ij}$ . Then we obtain the Coddazi equation

$$h_{ijk} = h_{ikj}.$$

Since the mean curvature  $H$  of  $M$  is defined by  $\sum h_{ii}/n$ , the norm of  $\alpha$  satisfies

$$(1) \quad |\alpha|^2 \geq nH^2.$$

LEMMA. *The Gauss map  $\eta$  is a harmonic map of  $M$  into  $\mathbf{H}^n \subset \mathbf{R}_1^{n+1}$ , that is, if  $\eta = (\eta_1, \dots, \eta_n, \eta_{n+1})$  then a Laplacian of each component  $\eta_A$  ( $A=1, \dots, n+1$ ) satisfies the following equation;*

$$(2) \quad \Delta\eta_A = |\alpha|^2\eta_A.$$

PROOF. Let  $p$  be any fixed point in  $M$ . Let  $\{E_1, \dots, E_n\}$  be an orthonormal local frames about  $p$  such that  $(\nabla_{E_i}E_j)(p) = 0$  ( $i, j=1, \dots, n$ ). Then we have

$$E_i(h_{ij})_p = (h_{iji})_p = (h_{ijj})_p, \quad (D_{E_i}E_j)_p = -(h_{ij}\eta)_p$$

and, since  $H$  is constant,

$$\begin{aligned} (\Delta\eta_1, \dots, \Delta\eta_{n+1})(p) &= (\sum_i E_i E_i \eta_1, \dots, \sum_i E_i E_i \eta_{n+1})(p) \\ &= (\sum_i D_{E_i} D_{E_i} \eta)_p = (\sum_i D_{E_i} (-\sum_j h_{ij} E_j))_p \\ &= (-\sum_{i,j} E_i (h_{ij}) E_j - h_{ij} D_{E_i} E_j)_p \\ &= (-\sum_j E_j (nH) E_j + \sum_{i,j} (h_{ij})^2 \eta)_p \\ &= (|\alpha|^2 \eta)_p. \quad \blacksquare \end{aligned}$$

In order to prove the theorems, we need the following generalized maximum principle theorem due to Omori [15] and Yau [20].

THE GENERALIZED MAXIMUM PRINCIPLE. *Let  $N$  be a complete Riemannian manifold whose Ricci curvature is bounded from below and let  $F$  be a function of class  $C^2$  on  $N$ . If  $F$  is bounded from above, then for any  $\epsilon > 0$  there exists a point  $q$  such that*

$$(3) \quad |\nabla F(q)| < \varepsilon, \quad \Delta F(q) < \varepsilon, \quad F(q) > \sup F - \varepsilon,$$

where  $|\nabla F|$  denotes the norm of the gradient  $\nabla F$  of  $F$ .

In the present case, the Ricci curvature is given by

$$S_{ij} = -nHh_{ij} + \sum_k h_{ik}h_{kj},$$

and hence is bounded from below by  $-n^2H^2/4$ . So we can apply the generalized maximum principle for any  $C^2$ -function on  $M$  which is bounded from above.

**§ 4. Proof of the theorems.**

In this section, we give the proofs of the previous theorems.

PROOF OF THEOREM 1. The condition  $\eta(M) \subset B_r(\bar{\eta})$  is equivalent the following inequality valid everywhere on  $M$ ;

$$1 \leq -\langle \eta, \bar{\eta} \rangle < \cosh r.$$

We may assume  $\bar{\eta} = (0, 0, \dots, 0, 1)$ , by applying, if necessary, a Lorentz transformation to  $M$ . Then the condition reads

$$(4) \quad 1 \leq \eta_{n+1} < \cosh r,$$

and in particular,  $\eta_{n+1}$  is a smooth function on  $M$  which is bounded from above.

From the equation (2) combined with the relation (1), we have

$$(5) \quad \Delta \eta_{n+1} = |\alpha|^2 \eta_{n+1} \geq nH^2 \eta_{n+1}.$$

Let  $\{\varepsilon_n\}$  be a convergent sequence such that  $\varepsilon_m > 0$  and  $\varepsilon_m \rightarrow 0$  ( $m \rightarrow \infty$ ). Then, by the generalized maximum principle, there is a sequence of points  $\{q_n\}$  such that  $\eta_{n+1}$  satisfies (3) at each  $q_m \in M$  for  $\varepsilon_m$ , i. e.,

$$(3') \quad |\nabla \eta_{n+1}(q_m)| < \varepsilon_m, \quad \Delta \eta_{n+1}(q_m) < \varepsilon_m, \quad \eta_{n+1}(q_m) > \sup \eta_{n+1} - \varepsilon_m.$$

Then by the inequality (5),

$$nH^2 \eta_{n+1}(q_m) < \varepsilon_m.$$

Furthermore, because the sequence  $\{\eta_{n+1}(q_m)\}$  converges to  $\sup \eta_{n+1}$ , we have

$$nH^2 \sup \eta_{n+1} \leq 0.$$

Since (4) implies  $\sup \eta_{n+1} \geq 1$ , it follows from this inequality that the mean curvature  $H$  must be zero.

Hence, by the result of Cheng and Yau,  $M$  must be a hyperplane. ■

PROOF OF PROPOSITION. For the  $k$ -plane  $\pi$  in  $H^n$ , we can choose space-like orthonormal vectors  $\{\sigma_1, \dots, \sigma_{n-k}\}$  in  $R_1^{n+1}$  such that

$$\pi = \{x \in H^n; \langle x, \sigma_a \rangle = 0 \ (a=1, \dots, n-k)\}.$$

Let  $\pi_a$  ( $a=1, \dots, n-k$ ) be the hyperplane in  $H^n$  defined by

$$\pi_a = \{x \in H^n; \langle x, \sigma_a \rangle = 0\}.$$

The distance in  $H^n$  from  $x$  to a hyperplane  $\pi_a$  is then given by

$$L_{\pi_a}(x) = L_{\sigma_a}(x) = |\sinh^{-1}(-\langle x, \sigma_a \rangle)|.$$

Since  $U_r(\pi)$  is contained in  $U_r(\pi_a)$  for every  $a$ , it follows from the assumption  $\eta(M) \subset U_r(\pi)$  that the inequalities

$$-\sinh r < -\langle \eta, \sigma_a \rangle < \sinh r \quad (a=1, \dots, n-k)$$

are valid everywhere on  $M$ . We may assume

$$\sigma_a = (0, \dots, 0, \overset{a^{th}}{1}, 0, \dots, 0) \quad (a=1, \dots, n-k),$$

by applying a Lorentz transformation to  $M$  if necessary. Let  $F_a$  be a smooth function on  $M$  defined by  $F_a = (\langle \eta, \sigma_a \rangle)^2 = (\eta_a)^2$ . Then the above inequalities imply

$$(6) \quad 0 \leq F_a < \sinh^2 r \quad (a=1, \dots, n-k).$$

and, in particular,  $F_a$  is bounded from above.

From the equation (2) combined with the relation (1), we have

$$(7) \quad \Delta \eta_a = |\alpha|^2 \eta_a, \\ \Delta F_a = 2\{|\nabla \eta_a|^2 + |\alpha|^2 (\eta_a)^2\} \geq |\alpha|^2 (\eta_a)^2 \geq 2nH^2 F_a.$$

Let  $\{\varepsilon_m\}$  be a convergent sequence such that  $\varepsilon_m > 0$  and  $\varepsilon_m \rightarrow 0$  ( $m \rightarrow \infty$ ). Then, by the generalized maximum principle, there is a sequence of points  $\{q_m\}$  such that  $F_a$  satisfies (3) at each  $q_m$  for  $\varepsilon_m$ , i.e.,

$$(3'') \quad |\nabla F_a(q_m)| < \varepsilon_m, \quad \Delta F_a(q_m) < \varepsilon_m, \quad F_a(q_m) < \sup F_a - \varepsilon_m.$$

Then by the inequality (7),

$$2nH^2 F_a(q_m) < \varepsilon_m.$$

Furthermore, because the sequence  $\{F_a(q_m)\}$  converges to  $\sup F_a$ , we have

$$2nH^2 \sup F_a \leq 0.$$

Since  $H$  is non-zero and (6) implies that  $\sup F_a$  is non-negative, it follows from

this inequality that  $F_a=0$  for each  $a=1, \dots, n-k$ . Hence we get  $\eta_1 = \dots = \eta_{n-k} = 0$  and  $\eta(M) \subset \pi$ .

Let  $p$  be a point in  $M$  and choose a local field of orthonormal frames  $\{e_i\}$  on a neighborhood of  $p$  in such a way that  $h_{ij} = \lambda_i \delta_{ij}$ , where  $\{\lambda_i\}$  are the principal curvatures of  $M$ . Note that, since  $\eta = (0, \dots, 0, \eta_{n-k+1}, \dots, \eta_{n+1})$ , the Weingarten formula is written as

$$(8) \quad \lambda_i e_i = (0, \dots, 0, -e_i \eta_{n-k+1}, \dots, -e_i \eta_{n+1}) \quad (i=1, \dots, n).$$

Let  $l$  denote the number of zero principal curvatures at  $p$ . We may assume  $\lambda_1 = \dots = \lambda_l = 0, \lambda_{l+1}, \dots, \lambda_n \neq 0$  by changing the indices if necessary. Let  $T_l^\perp$  be the subspace of the tangent space  $T_p(M)$  at  $p$  of  $M$ , which is spanned by the vectors  $e_{l+1}, \dots, e_n$ . The dimension of  $T_l^\perp$  is  $n-l$ . On the other hand, it follows from (8) and simple calculation that  $T_k^\perp$  is contained in the vector space spanned by the following  $k$ -independent vectors

$$(0, \dots, 0, \overset{(n-k+1)th}{1}, 0, \dots, 0, \eta_{n-k+1}/\eta_{n+1}), \dots, (0, \dots, 0, \overset{nth}{1}, \eta_n/\eta_{n+1}).$$

Then we get that  $n-l \leq k$ .

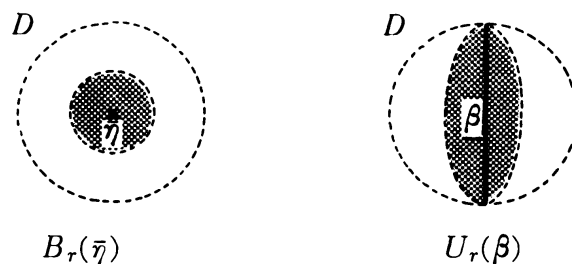
Hence, at least  $(n-k)$ -principal curvatures are zero at  $p$ . ■

**PROOF OF THEOREM 2.** Under the assumption, it follows from the proposition that the principal curvatures of  $M$  are 0 and  $nH$  with multiplicity  $n-1$  and 1 respectively. Hence, from the congruence theorem due to Abe, Koike and Yamaguchi [1],  $M$  is congruent to a hyperbolic cylinder. ■

**§ 5. Remarks.**

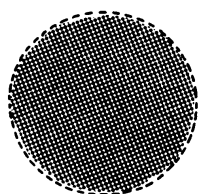
In order to illustrate our results, we make a few remarks on the Gauss map images of a complete space-like surface  $M$  of constant mean curvature  $H$  in 3-dimensional Minkowski space  $R_1^3$ . In this case, the Gauss map  $\eta$  is a map of  $M$  into  $H^2$ .

It is well-known that a hyperbolic space  $H^2$  is isometric to the Poincaré disk  $(D, ds^2)$ , where  $D = \{z = u + iv \in \mathbf{C}; |z| < 1\}$  and  $ds^2$  is the Poincaré metric  $ds^2 = 4dzd\bar{z}/(1-|z|^2)^2$ . In the Poincaré disk, by choosing suitable isometries, we can regard a geodesic ball  $B_r(\bar{\eta})$  and a tubular neighborhood  $U_r(\beta)$  around a maximal geodesic  $\beta$  in  $H^2$  as the following regions respectively.

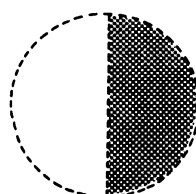


It is easy to see that the Gauss map image of a plane and a hyperbolic cylinder is the one point set  $\{\bar{\eta}\}$  and the maximal geodesic  $\beta$ , respectively.

On the other hand, we know other examples of complete space-like surface with non-zero constant mean curvature, which are constructed by Treibergs and others. These examples are space-like surfaces of revolution in  $R_1^3$ . The Gauss map images of these are classified into the following two types.



*All D*



*The domain rounded by  
 $\partial D$  and a geodesic in  $D$*

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