

## AUTOMORPHISMS OF ORDER 4 OF THE SIMPLY CONNECTED COMPACT LIE GROUP $E_6$

By

Ichiro YOKOTA and Osamu SHUKUZAWA

Using the theory of Kac-Moody Lie algebras, for compact simple Lie algebra  $\mathfrak{g}$ , automorphisms  $\rho$  of finite order of  $\mathfrak{g}$  can be classified and the type of Lie subalgebras  $\mathfrak{g}^\rho$  of fixed points are determined [1]. Now for the simply connected compact Lie group  $E_6$ , we realize automorphisms  $\rho$  of order 4 and determine the subgroups  $(E_6)^\rho$  of fixed points. Among compact exceptional Lie groups, only  $E_6$  has outer automorphisms, so we consider the case of  $E_6$ . As results, the group  $E_6$  has eight inner automorphisms named as  $\gamma_1, \gamma_2, \dots, \gamma_5, \sigma_1, \sigma_2, \sigma_3$  and three outer automorphisms named as  $\tau\gamma_2', \tau\gamma_3, \tau\sigma_3$ , and the subgroups  $(E_6)^\rho$  of fixed points are given as follows.

$\rho$	$(\mathfrak{e}_6)^\rho$	$(E_6)^\rho$
$\gamma_1$	$T^1 \oplus A_1 \oplus A_4$	$(Sp(1) \times S(U(1) \times U(5))) / \mathbf{Z}_2$
$\sigma_2$	$T^1 \oplus A_1 \oplus A_1 \oplus A_3$	$(Sp(1) \times S(U(2) \times U(4))) / \mathbf{Z}_2$
$\gamma_2$	$T^1 \oplus A_1 \oplus A_2 \oplus A_2$	$(Sp(1) \times S(U(3) \times U(3))) / \mathbf{Z}_2$
$\gamma_3$	$T^1 \oplus A_5$	$(U(1) \times SU(6)) / \mathbf{Z}_2$
$\gamma_4$	$T^2 \oplus A_4$	$(U(1) \times S(U(1) \times U(5))) / \mathbf{Z}_2$
$\gamma_5$	$T^2 \oplus A_1 \oplus A_3$	$(U(1) \times S(U(2) \times U(4))) / \mathbf{Z}_2$
$\sigma_1$	$T^1 \oplus D_5$	$(U(1) \times Spin(10)) / \mathbf{Z}_4$
$\sigma_3$	$T^2 \oplus D_4$	$(U(1) \times (Spin(2) \times Spin(8))) / (\mathbf{Z}_2 \times \mathbf{Z}_4)$
$\tau\gamma_2'$	$A_1 \oplus D_3$	$(Sp(1) \times SO(6)) / \mathbf{Z}_2$
$\tau\gamma_3$	$T^1 \oplus C_3$	$(U(1) \times Sp(3)) / \mathbf{Z}_2$
$\tau\sigma_3$	$A_1 \oplus B_3$	$(SU(2) \times Spin(7)) / \mathbf{Z}_2$ .

### 1. Preliminaries

Let  $\mathbb{C} = H \oplus He$  ( $H$  is the field of quaternions with the basis  $\{1, i, j, k\}$ ) be the Cayley algebra with the multiplication  $(m + ae)(n + be) = (mn - \bar{b}a) + (a\bar{n} + bm)e$ , the conjugation  $\overline{m + ae} = \bar{m} - ae$ , the inner product  $(x, y) = (\bar{x}y + \bar{y}x)/2$  and the length  $|x| = \sqrt{(x, x)}$ , and  $\mathbb{C}^c$  be its complexification. Let  $\mathfrak{S} = \{X \in M(3, \mathbb{C}) \mid X^* = X\}$

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be the exceptional Jordan algebra with the multiplication  $X \circ Y = (XY + YX)/2$  and  $\mathfrak{J}^c$  be its complexification.  $\mathfrak{J}$  and  $\mathfrak{J}^c$  have the inner product  $(X, Y) = \text{tr}(X \circ Y)$ , the Freudenthal multiplication  $X \times Y = (2X \circ Y - \text{tr}(X)Y - \text{tr}(Y)X + (\text{tr}(X)\text{tr}(Y) - (X, Y))E)/2$  and the determinant  $\det X = (X \times X, X)/3$ . ( $\mathfrak{J}(3, \mathbf{H}) = \{M \in M(3, \mathbf{H}) \mid M^* = M\}$  and  $\mathfrak{J}(3, \mathbf{H})^c$  are also defined). The complex conjugations of  $\mathfrak{C}^c, \mathfrak{J}^c$  are denoted by  $\tau$ . In  $\mathfrak{J}^c$ , the positive definite inner product  $\langle X, Y \rangle$  is defined by  $(\tau X, Y)$ . Now

$$\begin{aligned} E_6 &= \{\alpha \in \text{Iso}_c(\mathfrak{J}^c) \mid \det \alpha X = \det X, \langle \alpha X, \alpha Y \rangle = \langle X, Y \rangle\} \\ &= \{\alpha \in \text{Iso}_c(\mathfrak{J}^c) \mid \alpha X \times \alpha Y = \tau \alpha \tau(X \times Y), \langle \alpha X, \alpha Y \rangle = \langle X, Y \rangle\} \end{aligned}$$

is the simply connected compact Lie group of type  $E_6$  [2]. Throughout this paper, we use such notations and theorems in [4] as  $E, E_i, F_i(x_i), i=1, 2, 3$  of  $\mathfrak{J}, \mathfrak{J}^c$  and Lie subgroups  $F_4 = \{\alpha \in E_6 \mid \tau \alpha = \alpha \tau\} = \{\alpha \in E_6 \mid \alpha E = E\}$ ,  $Spin(9) = \{\alpha \in F_4 \mid \alpha E_1 = E_1\}$ ,  $Spin(10) = \{\alpha \in E_6 \mid \alpha E_1 = E_1\}$  of  $E_6$  etc..

## 2. Inner automorphisms $\gamma_1, \gamma_2, \dots, \gamma_5$ of order 4 of $E_6$

The field  $\mathbf{H}$  is embedded in  $M(2, \mathbf{C})$  by  $k: \mathbf{H} = \mathbf{C} \oplus \mathbf{C}j \rightarrow M(2, \mathbf{C})$  (where  $\mathbf{C} = \{x + yi \mid x, y \in \mathbf{R}\}$ ) by  $k(a + bj) = \begin{pmatrix} a & b \\ -\bar{b} & \bar{a} \end{pmatrix}$ ,  $a, b \in \mathbf{C}$ . This  $k$  is naturally extended to  $\mathbf{R}$ -linear mappings  $k: M(3, \mathbf{H}) \rightarrow M(6, \mathbf{C})$ ,  $k: \mathbf{H}^3 \rightarrow M(2, 6, \mathbf{C})$ . Moreover these  $k$  are extended to  $\mathbf{C}$ - $\mathbf{C}$ -linear isomorphisms  $k: M(3, \mathbf{H})^c \rightarrow M(6, \mathbf{C})$ ,  $k: (\mathbf{H}^3)^c \rightarrow M(2, 6, \mathbf{C})$ ,

$$\begin{aligned} k(M_1 + iM_2) &= k(M_1) + ik(M_2), \quad M_i \in M(3, \mathbf{H}), \\ k(\mathbf{a}_1 + i\mathbf{a}_2) &= k(\mathbf{a}_1) + ik(\mathbf{a}_2) \quad \mathbf{a}_i \in \mathbf{H}^3. \end{aligned}$$

Finally we define the  $\mathbf{C}$ -vector space  $\mathfrak{S}(6, \mathbf{C})$  by  $\{S \in M(6, \mathbf{C}) \mid {}^t S = -S\}$  and the  $\mathbf{C}$ - $\mathbf{C}$ -linear isomorphism  $k_J: \mathfrak{J}(3, \mathbf{H})^c \rightarrow \mathfrak{S}(6, \mathbf{C})$  by

$$k_J(M_1 + iM_2) = k(M_1)J + ik(M_2)J, \quad M_i \in \mathfrak{J}(3, \mathbf{H})$$

where  $J = \text{diag}(J, J, J)$ ,  $J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ .

In  $\mathfrak{J}(3, \mathbf{H})^c \oplus (\mathbf{H}^3)^c$ , we define the Freudenthal multiplication [2] as

$$(M + \mathbf{a}) \times (N + \mathbf{b}) = \left( M \times N - \frac{1}{2}(\mathbf{a}^* \mathbf{b} + \mathbf{b}^* \mathbf{a}) \right) - \frac{1}{2}(\mathbf{a}N + \mathbf{b}M).$$

Then  $\mathfrak{J}^c$  is isomorphic to  $\mathfrak{J}(3, \mathbf{H})^c \oplus (\mathbf{H}^3)^c$  by the correspondence

$$\begin{pmatrix} \xi_1 & x_3 & \bar{x}_2 \\ \bar{x}_3 & \xi_2 & x_1 \\ x_2 & \bar{x}_1 & \xi_3 \end{pmatrix} \longleftrightarrow \begin{pmatrix} \xi_1 & m_3 & \bar{m}_2 \\ \bar{m}_3 & \xi_2 & m_1 \\ m_2 & \bar{m}_1 & \xi_3 \end{pmatrix} + (a_1, a_2, a_3)$$

(where  $x_i = m_i + a_i e$ ,  $m_i, a_i \in \mathbf{H}^C$ ) as Freudenthal algebra [4]. Hereafter we identify  $\mathfrak{F}^C$  and  $\mathfrak{F}(3, \mathbf{H}^C) \oplus (\mathbf{H}^3)^C$ . We define an involutive  $\mathbb{C}$ -linear mapping  $\gamma: \mathfrak{F}^C \rightarrow \mathfrak{F}^C$  by

$$\gamma(M + \mathbf{a}) = M - \mathbf{a}, \quad M + \mathbf{a} \in \mathfrak{F}(3, \mathbf{H}^C) \oplus (\mathbf{H}^3)^C = \mathfrak{F}^C.$$

Then  $\gamma \in E_6$  and  $\gamma^2 = 1$ .

PROPOSITION 2.1.  $(E_6)^\gamma \cong (Sp(1) \times SU(6)) / \mathbf{Z}_2$ ,  $\mathbf{Z}_2 = \{(1, E), (-1, -E)\}$ .

PROOF. Let  $Sp(1) = \{p \in \mathbf{H} \mid \bar{p}p = 1\}$  and  $SU(6) = \{A \in M(6, \mathbf{C}) \mid A^*A = E, \det A = 1\}$ . Now the mapping  $\phi: Sp(1) \times SU(6) \rightarrow (E_6)^\gamma$ ,

$$\phi(p, A)(M + \mathbf{a}) = k_J^{-1}(A k_J(M)^t A) + p k^{-1}(k(\mathbf{a})A^*), \quad M + \mathbf{a} \in \mathfrak{F}^C$$

induces the required isomorphism. The details of proof are in [2] or [4].

REMARK.  $\phi: Sp(1) \times SU(6) \rightarrow (E_6)^\gamma$  satisfies  $\gamma = \phi(-1, E)$  and  $\tau\phi(p, A)\tau = \phi(p, -J\bar{A}J)$ .

Using  $\phi: Sp(1) \times SU(6) \rightarrow (E_6)^\gamma$  of Proposition 2.1, we define

$$\begin{aligned} \gamma_1 &= \phi(1, iI_1), & I_1 &= \text{diag}(-1, 1, 1, 1, 1, 1), \\ \gamma_2 &= \phi(1, iI_3), & I_3 &= \text{diag}(-1, -1, -1, 1, 1, 1), \\ \gamma_3 &= \phi(i, E), \\ \gamma_4 &= \phi(\epsilon, \epsilon\Gamma_1), & \epsilon &= (1+i)/\sqrt{2}, \Gamma_1 = \text{diag}(i, 1, 1, 1, 1, 1), \\ \gamma_5 &= \phi(i, I_2), & I_2 &= \text{diag}(-1, -1, 1, 1, 1, 1). \end{aligned}$$

Then  $\gamma_i \in E_6$  and the order of  $\gamma_i$  is 4, for  $i=1, 2, \dots, 5$ .

THEOREM 2.2. (1)  $(E_6)^{\gamma_1} \cong (Sp(1) \times S(U(1) \times U(5))) / \mathbf{Z}_2$ ,

(2)  $(E_6)^{\gamma_2} \cong (Sp(1) \times S(U(3) \times U(3))) / \mathbf{Z}_2$ ,

(3)  $(E_6)^{\gamma_3} \cong (U(1) \times SU(6)) / \mathbf{Z}_2$ ,

(4)  $(E_6)^{\gamma_5} \cong (U(1) \times S(U(2) \times U(4))) / \mathbf{Z}_2$

where  $\mathbf{Z}_2 = \{(1, E), (-1, -E)\}$  in any case.

PROOF. (1) Since  $\gamma_1^2 = \gamma$ , we have  $(E_6)^{\gamma_1} \subset (E_6)^\gamma$ . Hence, for  $\alpha \in (E_6)^{\gamma_1}$  there exist  $p \in Sp(1)$ ,  $A \in SU(6)$  such that  $\alpha = \phi(p, A)$  (Proposition 2.1). From the

condition  $\gamma_1\alpha = \alpha\gamma_1$ , we have  $\phi(p, iI_1A) = \phi(p, iAI_1)$ , that is,  $I_1A = AI_1$ , therefore  $A \in S(U(1) \times U(5))$ . Thus we have the required isomorphism.

(2), (3), (4) are proved to be similar to (1).

**THEOREM 2.3.**  $(E_6)^{\gamma_4} \cong (U(1) \times S(U(1) \times U(5))) / \mathbf{Z}_2$ ,  $\mathbf{Z}_2 = \{(1, E), (-1, -E)\}$ .

**PROOF.** Since the operation of  $\gamma_4$  on  $\mathfrak{Z}^c = \mathfrak{Z}(3, \mathbf{H})^c \oplus (\mathbf{H}^3)^c$  is given by

$$\begin{aligned} & \gamma_4 \left( \begin{array}{ccc} \xi_1 & m_3 & \bar{m}_2 \\ \bar{m}_3 & \xi_2 & m_1 \\ m_2 & \bar{m}_1 & \xi_3 \end{array} \right) + (a_1, a_2, a_3) \\ &= \left( \begin{array}{ccc} -\xi_1 & i\varepsilon m_3 & i\varepsilon \bar{m}_2 \\ i\varepsilon \bar{m}_3 \bar{\varepsilon} & i\xi_2 & im_1 \\ i\varepsilon m_2 \bar{\varepsilon} & i\bar{m}_1 & i\xi_3 \end{array} \right) + (-i\varepsilon a_1 \bar{\varepsilon}, -i\varepsilon a_2, -i\varepsilon a_3) \end{aligned}$$

(where  $\varepsilon = (1+i)/\sqrt{2}$ ), the eigen  $C$ -vector spaces  $(\mathfrak{Z}^c)_\nu$ ,  $\nu = 1, -1, i, -i$  with respect to  $\gamma_4$  are

$$(\mathfrak{Z}^c)_1 = \{M + \mathbf{a} \in \mathfrak{Z}(3, \mathbf{H})^c \oplus (\mathbf{H}^3)^c \mid \gamma_4(M + \mathbf{a}) = M + \mathbf{a}\}$$

$$= \{(a_1(i-i), (i+i)a_2, (i+i)a_3) \mid a_1 \in \mathbf{Cj}, a_2, a_3 \in \mathbf{H}\},$$

$$(\mathfrak{Z}^c)_{-1} = \{M + \mathbf{a} \in \mathfrak{Z}(3, \mathbf{H})^c \oplus (\mathbf{H}^3)^c \mid \gamma_4(M + \mathbf{a}) = -M - \mathbf{a}\}$$

$$= \left\{ \left( \begin{array}{ccc} \xi_1 & (i+i)m_3 & \overline{m_2(i-i)} \\ \overline{(i+i)m_3} & 0 & 0 \\ m_2(i-i) & 0 & 0 \end{array} \right) + (a_1(i+i), 0, 0) \mid \begin{array}{l} \xi_1 \in \mathbf{C}, \\ a_1 \in \mathbf{Cj}, m_2, m_3 \in \mathbf{H} \end{array} \right\},$$

$$(\mathfrak{Z}^c)_i = \{M + \mathbf{a} \in \mathfrak{Z}(3, \mathbf{H})^c \oplus (\mathbf{H}^3)^c \mid \gamma_4(M + \mathbf{a}) = i(M + \mathbf{a})\}$$

$$= \left\{ \left( \begin{array}{ccc} 0 & (i-i)m_3 & \overline{m_2(i+i)} \\ \overline{(i-i)m_3} & \xi_2 & m_1 \\ m_2(i+i) & \bar{m}_1 & \xi_3 \end{array} \right) \mid \begin{array}{l} \xi_2, \xi_3 \in \mathbf{C}, \\ m_1 \in \mathbf{H}^c, m_2, m_3 \in \mathbf{H} \end{array} \right\},$$

$$(\mathfrak{Z}^c)_{-i} = \{M + \mathbf{a} \in \mathfrak{Z}(3, \mathbf{H})^c \oplus (\mathbf{H}^3)^c \mid \gamma_4(M + \mathbf{a}) = -i(M + \mathbf{a})\}$$

$$= \{(a_1, (i-i)a_2, (i-i)a_3) \mid a_1 \in \mathbf{C}^c, a_2, a_3 \in \mathbf{H}\}$$

where  $\mathbf{Cj} = \{sj + tk \mid s, t \in \mathbf{R}\}$ . These spaces are invariant under the group  $(E_6)^{\gamma_4}$ . We shall show that  $(\mathbf{H}^3)^c$  is invariant under  $(E_6)^{\gamma_4}$ . From the forms of  $(\mathfrak{Z}^c)_\nu$ , it is sufficient to show that  $\alpha\mathbf{a} \in (\mathbf{H}^3)^c$  for  $\alpha \in (E_6)^{\gamma_4}$  and  $\mathbf{a} = (a(i+i), 0, 0) = F_1((a(i+i))e)$  ( $a \in \mathbf{Cj}$ ). Now, in fact,

$$\begin{aligned} \alpha F_1((a(i+i))e) &= 4\alpha((F_3((i-i)\bar{a}) \times F_1(1)) \times F_3(e)) \\ &= 4(\alpha F_3((i-i)\bar{a}) \times \alpha F_1(1)) \times \tau\alpha\tau F_3(e) \\ &\in 4(\mathfrak{Z}(3, \mathbf{H})^c \times \mathfrak{Z}(3, \mathbf{H})^c) \times (\mathbf{H}^3)^c \subset \mathfrak{Z}(3, \mathbf{H})^c \times (\mathbf{H}^3)^c \subset (\mathbf{H}^3)^c. \end{aligned}$$

Thus we see that  $(\mathbf{H}^3)^c$  is invariant under  $(E_6)^{\gamma_4}$ , hence  $\mathfrak{Z}(3, \mathbf{H})^c = ((\mathbf{H}^3)^c)^\perp = \{X \in \mathfrak{Z}^c \mid \langle X, Y \rangle = 0 \text{ for all } Y \in (\mathbf{H}^3)^c\}$  is also invariant under  $(E_6)^{\gamma_4}$ . Consequently,  $\alpha \in (E_6)^{\gamma_4}$  commutes with  $\gamma$ , that is,  $(E_6)^{\gamma_4} \subset (E_6)^\gamma$ . Hence, for  $\alpha \in (E_6)^{\gamma_4}$ , there exist  $p \in Sp(1)$ ,  $A \in SU(6)$  such that  $\alpha = \phi(p, A)$  (Proposition 2.1). From the condition  $\gamma_4\alpha = \alpha\gamma_4$ , we have  $\phi(\epsilon p, \epsilon\Gamma_1 A) = \phi(p\epsilon, A\epsilon\Gamma_1)$ , that is,  $\epsilon p = p\epsilon$ ,  $\Gamma_1 A = A\Gamma_1$  (or  $\epsilon p = -p\epsilon$ ,  $\Gamma_1 A = -A\Gamma_1$  (which is impossible)), therefore  $p \in U(1)$ ,  $A \in S(U(1) \times U(5))$ . Thus we have the required isomorphism.

### 3. Inner automorphisms $\sigma_1, \sigma_2, \sigma_3$ of order 4 of $E_6$

Let  $U(1) = \{\theta \in C \mid (\tau\theta)\theta = 1\}$  (where  $C = \mathbf{R}^c$ ) and we define an embedding  $\phi: U(1) \rightarrow E_6$  by

$$\phi(\theta) \begin{pmatrix} \xi_1 & x_3 & \bar{x}_2 \\ \bar{x}_3 & \xi_2 & x_1 \\ x_2 & \bar{x}_1 & \xi_3 \end{pmatrix} = \begin{pmatrix} \theta^4 \xi_1 & \theta x_3 & \theta \bar{x}_2 \\ \theta \bar{x}_3 & \theta^{-2} \xi_2 & \theta^{-2} x_1 \\ \theta x_2 & \theta^{-2} \bar{x}_1 & \theta^{-2} \xi_3 \end{pmatrix}$$

and put  $\sigma = \phi(-1) \in E_6$ .

The group  $Spin(10)$  is defined by  $(E_6)_{E_1} = \{\alpha \in E_6 \mid \alpha E_1 = E_1\}$  which is the covering group of  $SO(10) = SO(V^{10})$  where  $V^{10} = \{X \in \mathfrak{Z}^c \mid 2E_1 \times X = -\tau X\} = \{\xi E_2 - \tau \xi E_3 + F_1(x) \mid \xi \in C, x \in \mathbb{C}\}$ . Note that  $Spin(10)$  leaves invariant  $\{X \in \mathfrak{Z}^c \mid E_1 \times X = 0\} = \{F_2(x) + F_3(y) \mid x, y \in \mathbb{C}^c\}$ .

PROPOSITION 3.1.  $(E_6)^\sigma \cong (U(1) \times Spin(10)) / \mathbf{Z}_4$ ,  $\mathbf{Z}_4 = \{(1, 1), (-1, \sigma), (i, \phi(-i)), (-i, \phi(i))\}$ .

PROOF. The mapping  $\varphi: U(1) \times Spin(10) \rightarrow (E_6)^\sigma$ ,

$$\varphi(\theta, \beta) = \phi(\theta)\beta$$

induces the required isomorphism. The details of proof are in [2] or [4].

Using  $\phi: U(1) \rightarrow E_6$  or  $\phi: Sp(1) \times SU(6) \rightarrow E_6$  of Proposition 2.1, we define

$$\sigma_1 = \phi(i) = \phi(-1, \Gamma_4), \quad \Gamma_4 = \text{diag}(1, 1, i, i, i, i),$$

$$\sigma_2 = \gamma\sigma_1 = \phi(1, \Gamma_4).$$

Then  $\sigma_i \in E_6$  and  $\sigma_i^2 = \sigma$ ,  $\sigma_i^4 = 1$  for  $i=1, 2$ .

THEOREM 3.2.  $(E_6)^{\sigma_1} \cong (U(1) \times Spin(10)) / \mathbf{Z}_4$ ,  $\mathbf{Z}_4 = \langle (i, \phi(-i)) \rangle$ .

PROOF is clear from Proposition 3.1, because  $\sigma_1 = \phi(i)$  commutes with any elements of  $U(1)$  and  $Spin(10)$ .

THEOREM 3.3.  $(E_6)^{\sigma_2} \cong (Sp(1) \times S(U(2) \times U(4))) / \mathbf{Z}_2$ ,  $\mathbf{Z}_2 = \{(1, E), (-1, -E)\}$ .

PROOF. Since  $\sigma_2^2 = \sigma$ , we have  $(E_6)^{\sigma_2} \subset (E_6)^\sigma$ . Hence, for  $\alpha \in (E_6)^{\sigma_2}$ , there exist  $\theta \in U(1)$ ,  $\beta \in Spin(10)$  such that  $\alpha = \phi(\theta)\beta$  (Proposition 3.1). In particular,  $\alpha$  commutes with  $\sigma_1 = \phi(i)$ . Therefore, from the condition  $\sigma_2\alpha = \alpha\sigma_2$ , that is,  $\gamma\sigma_1\alpha = \alpha\gamma\sigma_1$ , we have  $\gamma\alpha = \alpha\gamma$ , namely  $\alpha \in (E_6)^\gamma$ . Hence there exist  $p \in Sp(1)$ ,  $A \in SU(6)$  such that  $\alpha = \phi(p, A)$  (Proposition 2.1). Moreover from the condition  $\sigma_1\alpha = \alpha\sigma_1$ , we have  $\phi(p, \Gamma_4 A) = \phi(p, A\Gamma_4)$ , that is,  $\Gamma_4 A = A\Gamma_4$ , therefore  $A \in S(U(2) \times U(4))$ . Thus we have the required isomorphism.

REMARK. The group  $(E_6)^{\sigma_2}$  has also the following expression

$$(E_6)^{\sigma_2} \cong (U(1) \times Sp(1) \times (SU(2) \times SU(4))) / (\mathbf{Z}_2 \times \mathbf{Z}_4)$$

where  $\mathbf{Z}_2 = \langle (1, -1, -I_2) \rangle$ ,  $\mathbf{Z}_4 = \langle (-i, 1, -\Gamma_4) \rangle$ . In fact, for  $\alpha = \phi(p, A) \in (E_6)^{\sigma_2}$ ,  $p \in Sp(1)$ ,  $A = (P, Q) = \begin{pmatrix} P & 0 \\ 0 & Q \end{pmatrix} \in S(U(2) \times U(4))$ , the condition that  $\alpha$  belongs to the group  $((E_6)^{\sigma_2})_{E_1} \subset Spin(10)$ , that is,  $\phi(p, (P, Q))E_1 = E_1$ , is  $p \in Sp(1)$ ,  $P \in SU(2)$ ,  $Q \in SU(4)$ . From this we have easily the required isomorphism.

The field  $\mathbf{C}$  of complex numbers is embedded in  $\mathfrak{C}$  as  $\mathbf{C} = \{x + ye \mid x, y \in \mathbf{R}\}$  and put  $\mathbf{C}^\perp = \{t \in \mathfrak{C} \mid (t, \mathbf{C}) = 0\}$ . Let  $Spin(2) = \{a \in \mathbf{C} \mid \bar{a}a = 1\}$  ( $\cong U(1)$ ) and we define an embedding  $D : Spin(2) \rightarrow E_6$  by

$$D_a \begin{pmatrix} \xi_1 & x_3 & \bar{x}_2 \\ \bar{x}_3 & \xi_2 & x_1 \\ x_2 & \bar{x}_1 & \xi_3 \end{pmatrix} = \begin{pmatrix} \xi_1 & x_3 \bar{a} & \bar{x}_2 a \\ a \bar{x}_3 & \xi_2 & a x_1 a \\ \bar{a} x_2 & \overline{a x_1 a} & \xi_3 \end{pmatrix}.$$

Put  $\sigma_3 = D_{-e}$ . Then  $\sigma_3 \in E_6$  and  $\sigma_3^2 = \sigma$ ,  $\sigma_3^4 = 1$ .

The group  $Spin(8)$  is defined by

$$\begin{aligned} (E_6)_{E_1, F_1(s)} &= \{\alpha \in E_6 \mid \alpha E_1 = E_1, \alpha F_1(s) = F_1(s) \text{ for all } s \in \mathbf{C}^\perp\} \\ &= \{\alpha \in Spin(10) \mid \alpha F_1(1) = F_1(1), \alpha F_1(e) = F_1(e)\} \end{aligned}$$

which is the covering group of  $SO(8) = SO(V^8)$  where  $V^8 = (V^{10})_{\sigma_3} = \{\xi E_2 - \tau \xi E_3 + F_1(t) \mid \xi \in \mathbf{C}, t \in \mathbf{C}^\perp\}$ .

LEMMA 3.3.  $D_a$  ( $a \in Spin(2)$ ) and  $\beta \in Spin(8)$  commute with each other.

PROOF.

$$\begin{aligned}\beta D_a F_1(z) &= \beta F_1(aza) = \beta F_1(a^2s+t) \quad (z=s+t \in \mathbf{C}^c \oplus (\mathbf{C}^\perp)^c = \mathfrak{G}^c) \\ &= F_1(a^2s) + \beta F_1(t) = F_1(a^2s) + (\xi_2 E_2 + \xi_3 E_3 + F_1(t')) \quad (\xi_i \in \mathbf{C}, t' \in (\mathbf{C}^\perp)^c) \\ &= D_a(F_1(s) + \xi_2 E_2 + \xi_3 E_3 + F_1(t')) = D_a(F_1(s) + \beta F_1(t)) \\ &= D_a \beta F_1(s+t) = D_a \beta F_1(z).\end{aligned}$$

$$\begin{aligned}\beta D_a F_2(z) &= \beta F_2(\bar{a}z) = 4\beta((F_1(1) \times F_2(z)) \times F_1(a)) = 4(\beta F_1(1) \times \beta F_2(z)) \times \tau \beta \tau F_1(a) \\ &= 4(F_1(1) \times (F_2(x) + F_3(y)) \times F_1(a)) \quad (\text{for some } x, y \in \mathfrak{G}^c) \\ &= F_2(\bar{a}x) + F_3(y\bar{a}) = D_a(F_2(x) + F_3(y)) = D_a \beta F_2(z).\end{aligned}$$

Similarly  $\beta D_a F_3(z) = D_a \beta F_3(z)$ . Clearly  $D_a \beta = \beta D_a$  on  $E_1$ .

$$D_a \beta E_2 = D_a(\xi_2 E_2 + \xi_3 E_3 + F_1(t)) = \xi_2 E_2 + \xi_3 E_3 + F_1(t) = \beta E_2 = \beta D_a E_2$$

(for some  $\xi_i \in \mathbf{C}$ ,  $t \in (\mathbf{C}^\perp)^c$ ). Similarly  $D_a \beta E_3 = \beta D_a E_3$ . Thus we have  $D_a \beta = \beta D_a$ .

LEMMA 3.4. Let  $\beta \in (\text{Spin}(10))^{\sigma_3}$ . Then we can put  $\beta F_1(1) = F_1(s)$ ,  $\beta F_1(e) = F_1(es)$ ,  $s \in \mathbf{C}$ ,  $|s| = 1$ .

PROOF. Since the group  $(\text{Spin}(10))^{\sigma_3}$  acts on  $\{F_1(s) \mid s \in \mathbf{C}\} = \{X \in \mathfrak{Z}^c \mid \sigma_3 X = -X, 2E_1 \times X = -\tau X\}$ , we can put

$$\beta F_1(1) = F_1(s), \quad \beta F_1(e) = F_1(s'), \quad s, s' \in \mathbf{C}, |s| = |s'| = 1.$$

Operate  $\tau \beta \tau$  on the relation  $F_1(1) \times F_1(e) = -(1, e)E_1 = 0$ , then  $0 = \tau \beta \tau(F_1(1) \times F_1(e)) = \beta F_1(1) \times \beta F_1(e) = F_1(s) \times F_1(s') = -(s, s')E_1$ , hence  $(s, s') = 0$ . Together with  $|s| = |s'| = 1$ , we have  $s' = es$  or  $s' = -es$ . The latter case is impossible. In fact, choose  $s \in \mathbf{C}$  such that  $a^2 = \bar{s}$  and put  $\delta = D_a \beta$ , then  $\delta F_1(1) = F_1(1)$ ,  $\delta F_1(e) = -F_1(e)$ . Then

$$\begin{aligned}\delta F_2(e) &= \delta \sigma_3 F_2(1) = \sigma_3 \delta F_2(1) = \sigma_3(F_2(x) + F_3(y)) \quad (\text{for some } x, y \in \mathfrak{G}^c) \\ &= F_2(ex) + F_3(ye),\end{aligned}$$

$$\begin{aligned}\delta F_3(1) &= 2\delta(F_1(1) \times F_2(1)) = 2\tau \delta \tau F_1(1) \times \tau \delta \tau F_2(1) \\ &= 2F_1(1) \times (F_2(\tau x) + F_3(\tau y)) = F_3(\tau \bar{x}) + F_2(\tau \bar{y}).\end{aligned}$$

Therefore we have

$$\begin{aligned}F_1(e) &= \delta F_1(-e) = 2\delta(F_2(e) \times F_3(1)) = 2\tau \delta \tau F_2(e) \times \tau \delta \tau F_3(1) \\ &= 2(F_2(e(\tau x)) + F_3((\tau y)e)) \times (F_3(\bar{x}) + F_2(\bar{y})) \\ &= F_1(-x((\tau \bar{x})e) - (e(\tau \bar{y}))y) + *E_2 + *E_3.\end{aligned}$$

Compare the coefficients of  $e$ , then we have  $1 = -|x_1|^2 - |x_2|^2 - |y_1|^2 - |y_2|^2$  (where  $x = x_1 + ix_2$ ,  $y = y_1 + iy_2$ ,  $x_i, y_i \in \mathbb{C}$ ), which is a contradiction. Thus Lemma 3.4 is proved.

**THEOREM 3.5.**  $(E_6)^{\sigma_3} \cong (U(1) \times Spin(2) \times Spin(8)) / (\mathbf{Z}_2 \times \mathbf{Z}_4)$ ,

$$\begin{aligned} \mathbf{Z}_2 \times \mathbf{Z}_4 &= \langle (1, -1, \sigma) \rangle \times \langle (i, e, \phi(i)D_e) \rangle \\ &= \{(1, 1, 1), (-1, 1, \sigma), (i, e, \phi(i)D_e), (-i, e, \phi(-i)D_e), \\ &\quad (1, -1, \sigma), (-1, -1, 1), (i, -e, \phi(-i)D_e), (-i, -e, \phi(i)D_e)\}. \end{aligned}$$

**PROOF.** We define a mapping  $\phi: U(1) \times Spin(2) \times Spin(8) \rightarrow (E_6)^{\sigma_3}$  by

$$\phi(\theta, a, \delta) = \phi(\theta)D_a\delta.$$

Obviously  $\phi$  is well-defined. Since  $\phi(\theta)$  ( $\theta \in U(1)$ ),  $D_a$  ( $a \in Spin(2)$ ) and  $\delta \in Spin(8)$  commute with one another (Lemma 3.3),  $\phi$  is a homomorphism. We shall show that  $\phi$  is onto. (Although it suffices to show  $\dim((E_6)^{\sigma_3}) = 30$ , we will give a direct proof). Since  $\sigma_3^2 = \sigma$ , we have  $(E_6)^{\sigma_3} \subset (E_6)^\sigma$ . Hence, for  $\alpha \in (E_6)^{\sigma_3}$ , there exist  $\theta \in U(1)$ ,  $\beta \in Spin(10)$  such that  $\alpha = \phi(\theta)\beta$  (Proposition 3.1). From  $\sigma_3\alpha = \alpha\sigma_3$ , we have  $\beta \in (Spin(10))^{\sigma_3}$ . Hence we can put  $\beta F_1(1) = F_1(s)$ ,  $\beta F_1(e) = F_1(es)$ ,  $s \in \mathbf{C}$ ,  $|s| = 1$  (Lemma 3.4). Choose  $a \in \mathbf{C}$  such that  $a^2 = s$  and put  $\delta = D_a^{-1}\beta$ , then  $\delta F_1(1) = F_1(1)$ ,  $\delta F_1(e) = F_1(e)$ , that is,  $\delta \in Spin(8)$ . Hence we have a presentation such that

$$\alpha = \phi(\theta)D_a\delta, \quad \theta \in U(1), a \in Spin(2), \delta \in Spin(8).$$

Then  $\phi$  is onto.  $\text{Ker } \phi = \mathbf{Z}_2 \times \mathbf{Z}_4$  is easily obtained. Thus we have the required isomorphism.

#### 4. Outer automorphisms $\tau\gamma_2'$ , $\tau\gamma_3$ of order 4 of $E_6$

Using  $\phi: Sp(1) \times SU(6) \rightarrow (E_6)^\gamma$  of Proposition 2.1, we define  $\gamma_2' = \phi(1, J)$  and consider an automorphism  $\tau\gamma_2'$  of  $E_6: E_6 \ni \alpha \rightarrow \tau\gamma_2'\alpha\gamma_2'^{-1}\tau \in E_6$ . Then  $(\tau\gamma_2')^2 = \gamma$ ,  $(\tau\gamma_2')^4 = 1$ .

**THEOREM 4.1.**  $(E_6)^{\tau\gamma_2'} \cong (Sp(1) \times SO(6)) / \mathbf{Z}_2$ ,  $\mathbf{Z}_2 = \{(1, E), (-1, -E)\}$ .

**PROOF.** Since  $(\tau\gamma_2')^2 = \gamma$ , we have  $(E_6)^{\tau\gamma_2'} \subset (E_6)^\gamma$ . Hence, for  $\alpha \in (E_6)^{\tau\gamma_2'}$ , there exist  $p \in Sp(1)$ ,  $A \in SU(6)$  such that  $\alpha = \phi(p, A)$  (Proposition 2.1). Since  $\tau\gamma_2'\alpha\gamma_2'^{-1}\tau = \alpha$ , we have  $\tau\phi(p, -JAJ)\tau = \phi(p, A)$ , that is,  $\phi(p, \bar{A}) = \phi(p, A)$  (Remark of Proposition 2.1) then  $A = \bar{A}$ , hence  $A \in SO(6)$ . Thus we have the required isomorphism.



We use  $\gamma_3 = \phi(i, E)$  of Section 2 and consider an automorphism  $\tau\gamma_3$  of  $E_6 : E_6 \ni \alpha \rightarrow \tau\gamma_3\alpha\gamma_3^{-1}\tau \in E_6$ . Then  $(\tau\gamma_3)^2 = \gamma$ ,  $(\tau\gamma_3)^4 = 1$ .

**THEOREM 4.2.**  $(E_6)^{\tau\gamma_3} \cong (U(1) \times Sp(3)) / \mathbf{Z}_2$ ,  $\mathbf{Z}_2 = \{(1, E), (-1, -E)\}$ .

**PROOF.** Since  $(\tau\gamma_3)^2 = \gamma$ , we have  $(E_6)^{\tau\gamma_3} \subset (E_6)^\gamma$ . Hence, for  $\alpha \in (E_6)^{\tau\gamma_3}$ , there exist  $p \in Sp(1)$ ,  $A \in SU(6)$  such that  $\alpha = \phi(p, A)$  (Proposition 2.1). Since  $\tau\gamma_3\alpha\gamma_3^{-1}\tau = \alpha$ , we have  $\phi(-ipi, -J\bar{A}J) = \phi(p, A)$  (Remark of Proposition 2.1), then

$$p = -ipi, A = -J\bar{A}J \text{ or } p = ipi, A = J\bar{A}J.$$

In the first case  $p \in U(1) = \{p \in \mathbf{C} \mid \bar{p}p = 1\}$ ,  $A \in Sp(3) = \{A \in M(6, \mathbf{C}) \mid JA = \bar{A}J, A^*A = E, (\det A = 1)\}$  (where  $\mathbf{C} \subset \mathbf{H}$ ). The latter case is impossible. In fact, consider  $AI$  where  $I = \text{diag}(1, -1, 1, -1, 1, -1)$ , then  $AI \in Sp(3)$ , hence  $\det(AI) = 1$ . On the other hand,  $\det(AI) = (\det A)(\det I) = 1(-1) = -1$ , which contradicts  $\det(AI) = 1$ . Thus we have the required isomorphism.

**5. Outer automorphism  $\tau\sigma_3$  of order 4 of  $E_6$**

As in Section 3, we embed the field  $\mathbf{C}$  of complex numbers in  $\mathfrak{C}$  as  $\mathbf{C} = \{x + ye \mid x, y \in \mathbf{R}\}$ . Let  $SU(2) = \{A \in M(2, \mathbf{C}) \mid A^*A = E, \det A = 1\}$  and we define a mapping  $\phi : SU(2) \rightarrow E_6$  by

$$\phi(A)X = (\rho_1(A))X(\rho_1(A))^*, \quad X \in \mathfrak{F}^{\mathbf{C}}$$

where  $\rho_1(A) = \Gamma^{-1}A'\Gamma$ ,  $\Gamma = \text{diag}(i, 1, i)$ ,  $A' = \begin{pmatrix} 1 & 0 \\ 0 & A \end{pmatrix}$ . Explicitly, for  $A = \begin{pmatrix} a & -\bar{b} \\ b & \bar{a} \end{pmatrix} \in SU(2)$ ,  $z \in \mathfrak{C}^{\mathbf{C}}$ ,

$$\begin{cases} \phi(A)E_1 = E_1, \\ \phi(A)(E_2 + E_3) = (|a|^2 - |b|^2)(E_2 + E_3) - 2iF_1(a\bar{b}), \\ \phi(A)(E_2 - E_3) = E_2 - E_3, \end{cases}$$

$$\begin{cases} \phi(A)F_1(z) = -2i(z, \bar{b}a)(E_2 + E_3) + F_1(aza - \bar{b}z\bar{b}), \\ \phi(A)F_2(z) = F_2(\bar{a}z) - iF_3(\bar{z}b), \\ \phi(A)F_3(z) = -iF_2(b\bar{z}) + F_3(z\bar{a}). \end{cases}$$

Note that  $D_a = \phi \begin{pmatrix} a & 0 \\ 0 & \bar{a} \end{pmatrix}$  for  $a \in \mathbf{C}$ ,  $|a| = 1$ , in particular,  $\phi \begin{pmatrix} -e & 0 \\ 0 & e \end{pmatrix} = D_{-e} = \sigma_3$ .

**LEMMA 5.1.**  $\phi$  is well-defined, that is, for  $A \in SU(2)$  we have  $\phi(A) \in E_6$ .

**PROOF.** Define  $\rho \in E_6$  by  $\rho X = \Gamma X \Gamma$ ,  $X \in \mathfrak{F}^{\mathbf{C}}$ . We consider an embedding

$SU(3) \subset F_4 \subset E_6$ , in which  $SU(3) \subset F_4$  is given by  $h: SU(3) \rightarrow F_4$ ,

$$h(A)(X+M) = AXA^* + MA^*, \quad X+M \in \mathfrak{Z}(3, \mathbf{C}^c) \oplus M(3, \mathbf{C}^c) = \mathfrak{Z}^c$$

(as for notations see [5]). The  $\phi(A)$  is nothing but  $\phi(A) = \rho^{-1}h(A')\rho \in E_6$ .

We use  $\sigma_3$  of Section 3 and consider an automorphism  $\tau\sigma_3$  of  $E_6: E_6 \ni \alpha \rightarrow \tau\sigma_3\alpha\sigma_3^{-1}\tau \in E_6$ . Then  $(\tau\sigma_3)^2 = \sigma$ ,  $(\tau\sigma_3)^4 = 1$ .

LEMMA 5.2. For  $\alpha \in (E_6)^{\tau\sigma_3}$ , we have  $\alpha E_1 = E_1$ . In particular,  $(E_6)^{\tau\sigma_3} \subset (E_6)_{E_1} = Spin(10)$ .

PROOF. Since  $(\tau\sigma_3)^2 = \sigma$ , we have  $(E_6)^{\tau\sigma_3} \subset (E_6)^\sigma$ . Hence, for  $\alpha \in (E_6)^{\tau\sigma_3}$ , there exists  $\xi \in C$ ,  $(\tau\xi)\xi = 1$  such that  $\alpha E_1 = \xi E_1$  (Proposition 3.1). From  $\xi E_1 = \alpha E_1 = \alpha\tau\sigma_3 E_1 = \tau\sigma_3 \alpha E_1 = \tau\sigma_3(\xi E_1) = \tau\xi E_1$ , we have  $\tau\xi = \xi$ , that is,  $\xi \in \mathbf{R}$ , hence  $\xi = \pm 1$ . The case of  $\xi = -1$  is impossible. (Although it follows from the connectedness of  $(E_6)^{\tau\sigma_3}$ , we will give an elementary proof). Suppose  $\xi = -1$ . Let  $\alpha = \phi(\theta)\beta$ ,  $\theta \in U(1)$ ,  $\beta \in Spin(10)$ . Then  $-E_1 = \alpha E_1 = \phi(\theta)\beta E_1 = \theta^4 E_1$ , hence  $\theta^4 = -1$ . Now, for  $t \in C^\perp$ , we can put  $\beta F_1(t) = \eta E_2 - \tau\eta E_3 + F_1(x)$ ,  $\eta \in C$ ,  $x \in \mathfrak{C}$ . Then  $\phi(\phi)\beta F_1(t) = \pm i\eta E_2 \mp i\tau\eta E_3 \pm iF_1(x)$ . Since  $\tau\sigma_3\alpha = \alpha\tau\sigma_3$ , we have  $\eta \in i\mathbf{R}$ ,  $x \in C$ . This shows that for  $V = \{F_1(t) | t \in C^\perp\}$ ,  $\dim V = 6$ ,  $\dim(\alpha V) \leq 3$ , which contradicts the regularity of  $\alpha$ . Thus Lemma 5.2 is proved.

The group  $Spin(7)$  is defined by

$$\begin{aligned} (E_6)_{E_1, E, F_1(s)} &= \{\alpha \in E_6 | \alpha E_1 = E_1, \alpha E = E, \alpha F_1(s) = F_1(s) \text{ for all } s \in C\} \\ &= \{\alpha \in F_4 | \alpha E_1 = E_1, \alpha F_1(s) = F_1(s) \text{ for all } s \in C\} \\ &= \{\alpha \in Spin(9) | \alpha F_1(1) = F_1(1), \alpha F_1(e) = F_1(e)\} \end{aligned}$$

which is the covering group of  $SO(7) = SO(V')$  where  $V' = \{\xi(E_2 - E_3) + F_1(t) | \xi \in \mathbf{R}, t \in C^\perp\}$ .

LEMMA 5.3.  $\phi(A) \in \phi(SU(2))$  and  $\beta \in Spin(7)$  commute with each other.

PROOF. For  $A = \begin{pmatrix} a & -\bar{b} \\ b & \bar{a} \end{pmatrix} \in SU(2)$ ,  $\beta \in Spin(7)$ , we shall show

$$\beta\phi(A)X = \phi(A)\beta X, \quad X \in \mathfrak{Z}^c. \quad (i)$$

$$\begin{aligned} \beta\phi(A)(E_2 - E_3) &= \beta(E_2 - E_3) = \xi(E_2 - E_3) + F_1(t) \quad (\text{for some } \xi \in C, t \in (C^\perp)^c) \\ &= \phi(A)(\xi(E_2 - E_3) + F_1(t)) = \phi(A)\beta(E_2 - E_3), \end{aligned}$$

$$\begin{aligned}\beta\phi(A)(E_2+E_3) &= \beta(|a|^2 - |b|^2)(E_2+E_3) - 2iF_1(\bar{a}b) = \phi(A)(E_2+E_3) \\ &= \phi(A)\beta(E_2+E_3).\end{aligned}$$

Thus (i) is true for  $X=E_2, E_3$ . For  $X=E_1$ , (i) is trivial.

$$\begin{aligned}\beta\phi(A)F_1(z) &= \beta\phi(A)F_1(s+t) \quad (z=s+t \in \mathbf{C}^c \oplus (\mathbf{C}^+)^c = \mathfrak{C}^c) \\ &= \beta(-2i(s, \bar{b}a)(E_2+E_3) + F_1(a^2s - \bar{b}^2\bar{s}) + F_1(t)) \\ &= -2i(s, \bar{b}a)(E_2+E_3) + F_1(a^2s - \bar{b}^2\bar{s}) + (\xi(E_2-E_3) + F_1(t'))\end{aligned}$$

(for some  $\xi \in C, t' \in (\mathbf{C}^+)^c$ )

$$= \phi(A)(F_1(s) + \xi(E_2-E_3) + F_1(t')) = \phi(A)\beta F_1(s+t) = \phi(A)\beta F_1(z).$$

$$\begin{aligned}\beta\phi(A)F_2(z) &= \beta(F_2(\bar{a}z) - iF_3(\bar{z}b)) \\ &= 4\beta((F_1(1) \times F_2(z)) \times F_1(a)) - 4i\beta((F_1(1) \times F_3(\bar{z})) \times F_1(\bar{b})) \\ &= 4(\beta F_1(1) \times \beta F_2(z)) \times \beta F_1(a) - 4i(\beta F_1(1) \times \beta F_3(\bar{z})) \times \beta F_1(\bar{b})\end{aligned}$$

(put  $\beta F_2(z) = F_2(x) + F_3(y)$ ,  $x, y \in \mathfrak{C}^c$ , then  $\beta F_3(\bar{z}) = \beta(2F_1(1) \times F_2(z)) = 2F_1(1) \times \beta F_2(z) = 2F_1(1) \times (F_2(x) + F_3(y)) = F_2(\bar{x}) + F_3(\bar{y})$ )

$$\begin{aligned}&= 4(F_1(1) \times (F_2(x) + F_3(y))) \times F_1(a) - 4i(F_1(1) \times (F_3(\bar{x}) + F_2(\bar{y}))) \times F_1(\bar{b}) \\ &= F_2(\bar{a}x) + F_3(\bar{y}\bar{a}) - i(F_3(\bar{x}b) + F_2(b\bar{y})) \\ &= F_2(\bar{a}x) - iF_3(\bar{x}b) - iF_2(b\bar{y}) + F_3(\bar{y}\bar{a}) \\ &= \phi(A)F_2(x) + \phi(A)F_3(y) = \phi(A)(F_2(x) + F_3(y)) = \phi(A)\beta F_2(z).\end{aligned}$$

Similarly,  $\beta\phi(A)F_3(z) = \phi(A)\beta F_3(z)$ . Thus (i) is proved.

LEMMA 5.4.  $\phi(SU(2))$  and  $Spin(7)$  are contained in  $(E_6)^{\tau\sigma_3}$ .

PROOF.  $\phi(SU(2)) \subset (E_6)^{\tau\sigma_3}$  is clear, noting that  $\begin{pmatrix} -e & 0 \\ 0 & e \end{pmatrix} \begin{pmatrix} a & -\bar{b} \\ b & \bar{a} \end{pmatrix} \begin{pmatrix} -e & 0 \\ 0 & e \end{pmatrix}^{-1} = \begin{pmatrix} a & \bar{b} \\ -b & \bar{a} \end{pmatrix}$ ,  $\tau i = -i$  and  $b, i$  appear simultaneously in  $\phi(A)X$ . Next,  $\beta \in Spin(7) \subset F_4$  implies  $\tau\beta = \beta\tau$  and  $\sigma_3\beta = \beta\sigma_3$  (Lemma 5.3). Hence  $Spin(7) \subset (E_6)^{\tau\sigma_3}$ .

THEOREM 5.5.  $(E_6)^{\tau\sigma_3} \cong (SU(2) \times Spin(7))/\mathbf{Z}_2$ ,  $\mathbf{Z}_2 = \{(E, 1), (-E, \sigma)\}$ .

PROOF. We define a mapping  $\phi: SU(2) \times Spin(7) \rightarrow (E_6)^{\tau\sigma_3}$  by

$$\phi(A, \beta) = \phi(A)\beta.$$

Then  $\phi$  is well-defined (Lemma 5.4) and is a homomorphism (Lemma 5.3). We

shall show that  $\phi$  is onto. (Although it suffices to show  $\dim((e_6)^{\tau\sigma_3})=24$ , we will give a direct proof). Let  $\alpha \in (E_6)^{\tau\sigma_3}$ . Then we can put

$$\alpha(i(E_2+E_3))=i\eta(E_2+E_3)+F_1(s), \quad \eta^2+|s|^2=1, \eta \in \mathbf{R}, s \in \mathbf{C}.$$

In fact, since  $\alpha \in (E_6)^{\tau\sigma_3} \subset (E_6)_{E_1} = Spin(10)$  (Lemma 5.2), we can put  $\alpha(i(E_2+E_3)) = \xi E_2 - \tau \xi E_3 + F_1(s+t)$ ,  $\xi \in \mathbf{C}$ ,  $s+t \in \mathbf{C} \oplus \mathbf{C}^+ = \mathfrak{C}$ . From  $\tau\sigma_3\alpha = \alpha\tau\sigma_3$ , we have  $\xi \in i\mathbf{R}$ ,  $t=0$ . And  $2(\eta^2+|s|^2) = \langle \alpha(i(E_2+E_3)), \alpha(i(E_2+E_3)) \rangle = \langle i(E_2+E_3), i(E_2+E_3) \rangle = 2$ . Now put

$$P = \frac{1}{\sqrt{2(1-\eta)}} \begin{pmatrix} \bar{s} & 1-\eta \\ -1+\eta & s \end{pmatrix} \quad (\text{if } \eta=1, \text{ put } P=E).$$

Then  $P \in SU(2)$  and  $\phi(P)(i\eta(E_2+E_3)+F_1(s)) = i(E_2+E_3)$ . Hence  $\phi(P)\alpha(i(E_2+E_3)) = i(E_2+E_3)$ , that is,  $\delta = \phi(P)\alpha \in (F_4)_{E_1} = Spin(9)$ , moreover  $((Spin(9))^{\tau\sigma_3} = (Spin(9))^{\sigma_3} \subset (Spin(10))^{\sigma_3}$ . Hence we can put

$$\delta F_1(1) = F_1(s_0), \quad \delta F_1(e) = F_1(es_0), \quad s_0 \in \mathbf{C}, |s_0|=1$$

(Lemma 3.4). Choose  $a \in \mathbf{C}$  such that  $a^2 = \bar{s}_0$  and put  $\beta = D_a \delta$ , then  $\beta(i(E_2+E_3)) = i(E_2+E_3)$ ,  $\beta F_1(1) = F_1(1)$ ,  $\beta F_1(e) = F_1(e)$ , that is,  $\beta = D_a \phi(P)\alpha \in Spin(7)$ . Therefore we have a presentation such that

$$\alpha = \phi(P^{-1}A)\beta, \quad P^{-1}A \in SU(2) \quad (\text{where } A = \begin{pmatrix} \bar{a} & 0 \\ 0 & a \end{pmatrix}), \quad \beta \in Spin(7).$$

Thus  $\phi$  is onto.  $\text{Ker } \phi = \mathbf{Z}_2 = \{(E, 1), (-E, \sigma)\}$ . In fact, let  $\phi(A)\beta = 1$ ,  $A = \begin{pmatrix} a & -\bar{b} \\ b & \bar{a} \end{pmatrix} \in SU(2)$ ,  $\beta \in Spin(7)$ . Then  $E = \phi(A)\beta E = \phi(A)E = E_1 + (|a|^2 - |b|^2)(E_2 + E_3)$ .

Hence  $|a|^2 - |b|^2 = 1$ . Together with  $|a|^2 + |b|^2 = 1$ , we have  $b=0$ , so  $A = \begin{pmatrix} a & 0 \\ 0 & \bar{a} \end{pmatrix}$ .

From  $F_1(1) = \phi(A)\beta F_1(1) = \phi(A)F_1(1) = F_1(a^2)$ , we have  $a^2 = 1$ , hence  $a = \pm 1$ , so  $A = \pm E$ . Then  $\beta = \phi(E) = 1$  or  $\beta = \phi(-E) = \sigma$ . Thus we have the required isomorphism.

## References

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Ichiro Yokota  
Department of Mathematics  
Shinshu University  
Asahi, Matsumoto  
Nagano, Japan

Osamu Shukuzawa  
Department of Mathematics  
Yamanashi University  
Takeda, Kofu  
Yamanashi, Japan