

## ON A CONSTRUCTION OF INDECOMPOSABLE MODULES AND APPLICATIONS

By

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### 1. Introduction

One of the main purposes of this paper is to introduce a new method to get a family  $\{M_n\}_{n=1,2,\dots}$  of indecomposable modules over a commutative Noetherian local ring  $R$  with the maximal ideal  $\mathfrak{m}$ , which will be done in Theorem (2.1) when  $R$  possesses a finitely generated  $R$ -module  $C$  of  $\text{depth}_R C \geq 1$  such that  $C \otimes_R \hat{R}$  ( $\hat{R}$  is the completion of  $R$  with respect to the  $\mathfrak{m}$ -adic topology.) is indecomposable and the initial part of a minimal free resolution of  $C$  satisfies certain condition. Each  $M_n$  is a finitely generated  $R$ -module of  $\dim_R M_n = \dim_R C$  and  $\text{depth}_R M_n = 0$  and if  $C$  is Cohen-Macaulay, then  $M_n$  is Buchsbaum (see [9] for the definition of Buchsbaum module.). Furthermore  $M_n/H_{\mathfrak{m}}^0(M_n)$  ( $H_{\mathfrak{m}}^0(M_n) = \bigcup_{i \geq 1} [(0): \mathfrak{m}^i]_M$ ) is isomorphic to the direct sum of  $n$ -copies of  $C$ . Hence in this case there are “big” indecomposable  $R$ -modules without limit.

Another aim of us is to apply Theorem (2.1) to the Buchsbaum-representation theory in the one dimensional case. We say that a Noetherian local ring  $R$  has finite Buchsbaum-representation type if there are only finitely many isomorphism classes of indecomposable Buchsbaum  $R$ -modules  $M$  which are maximal, i. e.  $\dim_R M = \dim R$ . In [4] S. Goto determined the structure of one-dimensional complete Noetherian local rings  $R$  of finite Buchsbaum-representation type under the hypothesis that the residue class field of  $R$  is infinite, which will be removed in section 3 of this paper. Our family constructed by Theorem (2.1) has the suffix set of non-negative integers and this enables us to develop the same arguments in [4], not assuming the infiniteness of the residue class field.

Throughout this paper  $R$  is a Noetherian local ring with the maximal ideal  $\mathfrak{m}$ . We denote by  $\hat{R}$  the completion of  $R$  with respect to the  $\mathfrak{m}$ -adic topology and  $H_{\mathfrak{m}}^i(\cdot)$  is the  $i$ -th local cohomology functor of  $R$  relative to  $\mathfrak{m}$ . For each finitely generated  $R$ -module  $M$  let  $\mu_R(M)$  be the number of elements in a minimal system of generators for  $M$  and let  $M^n$  denote the direct sum of  $n$ -copies of

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$M$ . We regard each element of  $M^n$  as column vector with entries in  $M$ .

**2. Construction of indecomposable modules.**

Let  $C$  be a finitely generated  $R$ -module and let

$$\sigma: 0 \longrightarrow L \longrightarrow F \xrightarrow{\varepsilon} C \longrightarrow 0$$

be the initial part of a minimal free resolution of  $C$ . We define a homomorphism

$$\rho: \text{End}_R(C) \longrightarrow \text{End}_R(L/mL)$$

of algebras by

$$\rho(\phi)(\bar{z}) = \overline{\phi(z)}$$

for any  $\phi \in \text{End}_R(C)$  and  $z \in L$ , where  $\bar{\phantom{x}}$  denotes the reduction mod  $mL$  and  $\phi$  is an  $R$ -endomorphism over  $F$  with  $\varepsilon\phi = \phi\varepsilon$ . The well definedness of  $\rho$  is verified as follows. If  $\phi'$  is another  $R$ -endomorphism over  $F$  with  $\varepsilon\phi' = \phi\varepsilon$ , then  $\phi' = \phi + \delta$  for some  $\delta \in \text{End}_R(F)$  with  $\delta(F) \subset L$ . Notice that  $\delta(L) \subset mL$  because  $L \subset mF$ . Then we have  $\overline{\phi'(z)} = \overline{\phi(z)}$  for any  $z \in L$ . We put  $A_\sigma = \text{Im } \rho$  and we regard  $L/mL$  as a (left)  $A_\sigma$ -module. If  $\text{End}_R(C)$  is generated by  $\phi_1, \phi_2, \dots, \phi_r$  as  $R$ -module, then  $\rho(\phi_1), \rho(\phi_2), \dots, \rho(\phi_r)$  generate  $A_\sigma$  over  $R/m$ . Especially  $A_\sigma$  is equal to  $R/m$  if  $\text{End}_R(C)$  is a cyclic  $R$ -module.

Our main theorem is stated as follows with the above notations.

**THEOREM (2.1).** *Let  $C$  be a finitely generated  $R$ -module such that  $\text{depth}_R C \geq 1$  and  $C \otimes_R \hat{R}$  is indecomposable and let*

$$\sigma: 0 \longrightarrow L \longrightarrow F \xrightarrow{\varepsilon} C \longrightarrow 0$$

be the initial part of a minimal free resolution of  $C$ . Suppose there exist elements  $x$  and  $y$  of  $L$  such that  $\bar{x}$  and  $\bar{y}$  are linearly independent over  $A_\sigma$ . We denote, for each integer  $n \geq 1$ , by  $N_n$  the  $R$ -submodule of  $L^n$  generated by

$$\begin{pmatrix} x \\ 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \begin{pmatrix} y \\ x \\ 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ y \\ x \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \dots, \begin{pmatrix} 0 \\ \vdots \\ 0 \\ 0 \\ y \\ x \end{pmatrix}$$

and  $mL^n$ . We put  $M_n = F^n/N_n$ . Then the following statements hold.

- (1)  $M_n$  is indecomposable if  $A_\sigma$  is commutative.
- (2)  $M_n \cong M_m$  if  $n = m$ .

(3)  $M_n$  is a maximal Buchsbaum  $R$ -module if  $C$  is maximal Cohen-Macaulay.

Before the proof of Theorem (2.1) we show the next lemma, which may be well-known, since it plays a key role.

LEMMA (2.2). *Let  $A$  be a commutative ring with an identity element and  $T$  be an  $A$ -module. Suppose there are elements  $x, y$  of  $T$  which are linearly independent over  $A$  and  $P, Q$  are  $n \times n$  ( $n \geq 1$ ) matrices with entries in  $A$ . Then if*

$$P \begin{bmatrix} x & y & & & \\ & x & y & & 0 \\ & & \cdot & \cdot & \cdot \\ 0 & & & \cdot & y \\ & & & & x \end{bmatrix} Q = \left[ \begin{array}{c|c} \Pi_1 & 0 \\ \hline 0 & \Pi_2 \end{array} \right]$$

for some matrices  $\Pi_1$  and  $\Pi_2$  with entries in  $T$ , either  $P$  or  $Q$  is singular.

PROOF. Assume that both  $P$  and  $Q$  are regular. Let

$$N = \begin{bmatrix} 0 & 1 & & & \\ & 0 & 1 & & \\ & & \cdot & \cdot & \cdot \\ & & & \cdot & 1 \\ & & & & 0 \end{bmatrix}.$$

As  $x$  and  $y$  are linearly independent over  $A$ , we have

$$PQ = \left[ \begin{array}{c|c} \Phi_1 & 0 \\ \hline 0 & \Phi_2 \end{array} \right] \text{ and } PNQ = \left[ \begin{array}{c|c} \Psi_1 & 0 \\ \hline 0 & \Psi_2 \end{array} \right]$$

for some matrices  $\Phi_i$  and  $\Psi_i$  with entries in  $A$  of the same size as  $\Pi_i$ . Since  $PQ$  is a regular matrix,  $\Phi_i$  must be square and regular. Hence we get

$$PNP^{-1} = \left[ \begin{array}{c|c} \Omega_1 & 0 \\ \hline 0 & \Omega_2 \end{array} \right].$$

where  $\Omega_i = \Psi_i \Phi_i^{-1}$ . Take a maximal ideal  $J$  of  $A$ . For any matrix  $X$  with entries in  $A$  we denote by  $\bar{X}$  the matrix of which entries are the classes of the entries of  $X$  in  $A/J$ . Then  $\bar{P}$  is still regular and

$$\bar{P}\bar{N}(\bar{P})^{-1} = \left[ \begin{array}{c|c} \bar{\Omega}_1 & 0 \\ \hline 0 & \bar{\Omega}_2 \end{array} \right].$$

But this contradicts the uniqueness of the Jordan's normalform.

Now let us start the proof of Theorem (2.1).

(3). Applying [4, Lemma (2.3)] to the exact sequence

$$\tau: 0 \longrightarrow L^n \longrightarrow F^n \xrightarrow{\begin{bmatrix} \epsilon & & \\ & \ddots & \\ & & \epsilon \end{bmatrix}} C^n \longrightarrow 0$$

and  $N_n$  we get that  $M_n$  is a maximal Buchsbaum  $R$ -module if  $C$  is maximal Cohen-Maculay.

(2). The exact sequence

$$0 \longrightarrow L^n/N_n \longrightarrow F^n/N_n \longrightarrow C^n \longrightarrow 0$$

induced from  $\tau$  yields  $H_m^0(M_n) = L^n/N_n$  and so  $M_n/H_m^0(M_n) \cong C^n$ . Hence  $M_n \not\cong M_m$  if  $n \neq m$ .

(1). We shall prove that  $M_n$  is indecomposable in the following. Assume  $M_n = X_1 \oplus X_2$  with non-zero  $R$ -submodules  $X_i$ . Then  $\bar{X}_1 \oplus \bar{X}_2 \cong C^n$ , where  $\bar{X}_i = X_i/H_m^0(X_i)$ . Since the category of finitely generated  $\hat{R}$ -modules is a Krull-Schmidt category and since  $C \otimes_R \hat{R}$  is indecomposable, so  $\bar{X}_i \otimes_R \hat{R} \cong C^{s_i} \otimes_R \hat{R}$  for some integers  $s_i$  with  $s_1 + s_2 = n$ . So we have  $\bar{X}_i \cong C^{s_i}$  by [8, Lemma 5.8]. Because  $H_m^0(X_i) \subset \mathfrak{m}X_i$  by  $H_m^0(M_n) \subset \mathfrak{m}M_n$ , we get a commutative diagrams

$$\begin{array}{ccccccc} & & & & 0 & & \\ & & & & \uparrow & & \\ 0 & \longrightarrow & L^{s_i} & \longrightarrow & F^{s_i} & \longrightarrow & C^{s_i} \longrightarrow 0 \\ & & \uparrow & & \parallel & & \uparrow \\ 0 & \longrightarrow & N'_i & \longrightarrow & F^{s_i} & \longrightarrow & X_i \longrightarrow 0 \\ & & \uparrow & & & & \uparrow \\ & & 0 & & & & H_m^0(X_i) \\ & & & & & & \uparrow \\ & & & & & & 0 \end{array}$$

with exact rows and columns for  $i=1, 2$ . Then  $F^{s_i}/N'_i \cong X_i$  and  $\mathfrak{m}L^{s_i} \subset N'_i \subset L^{s_i}$ . Let  $t_i = \mu_R(N'_i)$  and let  $N'_i$  be generated by

$$\left[ \begin{array}{c} z_{1,1}^{(i)} \\ \vdots \\ z_{s_i,1}^{(i)} \end{array} \right], \left[ \begin{array}{c} z_{1,2}^{(i)} \\ \vdots \\ z_{s_i,2}^{(i)} \end{array} \right], \dots, \left[ \begin{array}{c} z_{1,t_i}^{(i)} \\ \vdots \\ z_{s_i,t_i}^{(i)} \end{array} \right] \quad (z_{\nu,\mu}^{(i)} \in L).$$

Let  $N'$  be an  $R$ -submodule of  $L^n = L^{s_1} \oplus L^{s_2}$  which is generated by

$$\begin{pmatrix} z_{1,1}^{(1)} \\ \vdots \\ z_{s_1,1}^{(1)} \\ \hline 0 \\ \vdots \\ 0 \end{pmatrix}, \dots, \begin{pmatrix} z_{1,t_1}^{(1)} \\ \vdots \\ z_{s_1,t_1}^{(1)} \\ \hline 0 \\ \vdots \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ \vdots \\ 0 \\ \hline z_{1,1}^{(2)} \\ \vdots \\ z_{s_2,1}^{(2)} \end{pmatrix}, \dots, \begin{pmatrix} 0 \\ \vdots \\ 0 \\ \hline z_{1,t_2}^{(2)} \\ \vdots \\ z_{s_2,t_2}^{(2)} \end{pmatrix}.$$

Then  $F^n/N' \cong X_1 \oplus X_2$  and so  $F^n/N' \cong F^n/N_n$ . Hence applying [4, Lemma (2.3)] to  $N_n, N'$  and  $\tau$  we have  $\phi(N_n) = N'$  for some  $\phi \in \text{Aut}_R(F^n)$  with  $\phi(L^n) \subset L^n$ . Let  $\xi \in \text{End}_R(L^n/mL^n)$  be the endomorphism induced from  $\phi$ . We identify  $\text{End}_R(L^n/mL^n)$  with the matrix algebra  $M_n(\Gamma)$ , where  $\Gamma = \text{End}_R(L/mL)$ . Put  $\xi = [\xi_{ij}]_{1 \leq i, j \leq n}$ . Since there is an automorphism  $\phi \in \text{Aut}_R(C^n)$  which makes the following diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & L^n & \longrightarrow & F^n & \longrightarrow & C^n \longrightarrow 0 \\ & & & & \phi \downarrow & & \phi \downarrow \\ 0 & \longrightarrow & L^n & \longrightarrow & F^n & \longrightarrow & C^n \longrightarrow 0 \end{array}$$

commutative, we have  $\xi_{ij} \in A_\sigma$  for any  $1 \leq i, j \leq n$  and  $[\xi_{ij}]_{1 \leq i, j \leq n}$  is a regular matrix of  $M_n(A_\sigma)$ . Furthermore because  $\xi(N_n/mL^n) = N'/mL^n$ , we have

$$[\xi_{ij}] \begin{bmatrix} x & y & & & \\ & x & y & & \\ & & \ddots & \ddots & \\ & & & \ddots & y \\ & & & & x \end{bmatrix} Q = \begin{bmatrix} \overline{z_{1,1}^{(1)}} & \cdots & \overline{z_{1,t_1}^{(1)}} & | & 0 & \cdots & 0 \\ \vdots & & \vdots & | & \vdots & & \vdots \\ \overline{z_{s_1,1}^{(1)}} & \cdots & \overline{z_{s_1,t_1}^{(1)}} & | & 0 & \cdots & 0 \\ \hline 0 & \cdots & 0 & | & \overline{z_{1,1}^{(2)}} & \cdots & \overline{z_{1,t_2}^{(2)}} \\ \vdots & & \vdots & | & \vdots & & \vdots \\ 0 & \cdots & 0 & | & \overline{z_{s_2,1}^{(2)}} & \cdots & \overline{z_{s_2,t_2}^{(2)}} \end{bmatrix}$$

for some  $n \times n$  regular matrix  $Q$  with entries in  $R/m$  (Hence  $Q \in M_n(A_\sigma)$ ). But this is a contradiction by Lemma (2.2) and the proof is completed.

We note the following corollary which is a special case of Theorem (2.1).

COROLLARY (2.3). *Let  $C$  and*

$$\sigma : 0 \longrightarrow L \longrightarrow F \longrightarrow C \longrightarrow 0$$

*be as in Theorem (2.1) and let  $A_\sigma = R/m$ . Then if  $\mu_R(L) \geq 2$ , there exists a family  $\{M_n\}_{n=1,2,\dots}$  of finitely generated indecomposable  $R$ -modules such that  $M_n \cong M_m$  for  $n \neq m$  and  $M_n$  is maximal Buchsbaum if  $C$  is maximal Cohen-Macaulay.*

The typical example such that  $A_\sigma$  is not equal to  $R/m$  is the next

EXAMPLE (2.4). *Let  $k$  be any field, then the semi-group ring  $R = k[[t^3, t^4, t^5]]$*

has a family  $\{M_n\}_{n=1,2,\dots}$  of indecomposable maximal Buchsbaum  $R$ -modules such that  $M_n \cong M_m$  if  $n \neq m$ .

PROOF. Put  $S = k[[t]] = R + Rt + Rt^2$  and let

$$\sigma: 0 \longrightarrow L \longrightarrow R^3 \xrightarrow{\varepsilon} S \longrightarrow 0$$

be the initial part of a minimal free resolution with

$$\varepsilon(e_1) = 1, \quad \varepsilon(e_2) = t \quad \text{and} \quad \varepsilon(e_3) = t^2,$$

where  $e_1, e_2, e_3$  are the canonical basis of  $R^3$ . Since  $S$  is an indecomposable maximal Cohen-Macaulay  $R$ -module, by Theorem (2.1) it is sufficient to show that  $A_\sigma$  is commutative and there exist elements  $x$  and  $y$  of  $L$  such that  $\bar{x}$  and  $\bar{y}$  are linearly independent over  $A_\sigma$ , where  $\bar{\phantom{x}}$  denotes the reduction mod  $\mathfrak{m}L$  ( $\mathfrak{m} = t^3S$ ). As  $\text{End}_R(S)$  is a commutative  $R$ -algebra which is generated by  $\mathbf{1}_S$ ,  $t\mathbf{1}_S$  and  $t^2\mathbf{1}_S$  as  $R$ -module, so  $A_\sigma$  is commutative and  $\rho(\mathbf{1}_S) = \mathbf{1}_{L/\mathfrak{m}L}$ ,  $\rho(t\mathbf{1}_S)$  and  $\rho(t^2\mathbf{1}_S)$  generate  $A_\sigma$  over  $k$ . We put  $\xi_i = \rho(t^i\mathbf{1}_S)$  for  $i=1, 2$ . Let  $\alpha_1$  and  $\alpha_2$  be the  $R$ -endomorphisms over  $R^3$  defined by the matrices

$$\begin{bmatrix} 0 & 0 & t^3 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} 0 & t^3 & 0 \\ 0 & 0 & t^3 \\ 1 & 0 & 0 \end{bmatrix}$$

respectively. Then  $\varepsilon\alpha_i = (t^i\mathbf{1}_S)\varepsilon$  for  $i=1, 2$ . Hence  $\xi_i$  is induced from  $\alpha_i$ . Put

$$x = \begin{bmatrix} t^4 \\ -t^3 \\ 0 \end{bmatrix}, \quad x_1 = \begin{bmatrix} 0 \\ t^4 \\ -t^3 \end{bmatrix}, \quad x_2 = \begin{bmatrix} -t^6 \\ 0 \\ t^4 \end{bmatrix}, \quad y = \begin{bmatrix} t^5 \\ -t^4 \\ 0 \end{bmatrix}, \quad y_2 = \begin{bmatrix} 0 \\ t^5 \\ -t^4 \end{bmatrix}, \quad y_3 = \begin{bmatrix} -t^7 \\ 0 \\ t^5 \end{bmatrix}.$$

Then we have  $\xi_i\bar{x} = \bar{x}_i$  and  $\xi_i\bar{y} = \bar{y}_i$ . Assume

$$(a_0\mathbf{1}_{L/\mathfrak{m}L} + a_1\xi_1 + a_2\xi_2)\bar{x} + (b_0\mathbf{1}_{L/\mathfrak{m}L} + b_1\xi_1 + b_2\xi_2)\bar{y} = 0$$

with  $a_i \in k$  and  $b_j \in k$ . Then we get

$$a_0\bar{x} + a_1\bar{x}_1 + a_2\bar{x}_2 + b_0\bar{y} + b_1\bar{y}_1 + b_2\bar{y}_2 = 0.$$

Since  $\bar{x}, \bar{x}_1, \bar{x}_2, \bar{y}, \bar{y}_1, \bar{y}_2$  are linearly independent over  $k$ , so

$$a_0 = a_1 = a_2 = b_0 = b_1 = b_2 = 0.$$

Hence  $\bar{x}$  and  $\bar{y}$  are linearly independent over  $A_\sigma$ .

**3. Curve singularities of finite Buchsbaum-representation type.**

This section is devoted to verifying that we can avoid the restriction on the residue class field in [4, Theorem (1.1)]. But we will not look over the whole arguments developed in [4] since it is sufficient to prove only [4, Corollary (2.4)]. [4, Theorem (2.7)], [4, Theorem (3.1)] and [4, Proposition (6.1)] without the hypothesis that the residue class field is infinite. Throught this section we assume that  $R$  is complete and  $\dim R=1$ .

We begin with the following

**PROPOSIEION (3.1)** (cf. [4, Corollary (2.4)]). *Let  $R$  have finite Buchsbaum-representation type and  $I$  be an ideal of  $R$  such that  $R/I$  is a Cohen-Macaulay ring of  $\dim R/I=1$ . Then  $\mu_R(I)\leq 1$ .*

**PROOF.** Applying Corollary (2.3) to the exact sequence

$$\sigma: 0 \longrightarrow I \longrightarrow R \longrightarrow R/I \longrightarrow 0,$$

we have  $\mu_R(I)\leq 1$ .

**THEOREM (3.2)** (cf. [4, Theorem (2.7)]). *Let  $R$  be a Cohen-Macaulay ring with the canonical module  $K_R$ . If  $R$  has finite Buchsbaum-representation type, then  $v(R)\leq 2$ , where  $v(R)$  denotes the embedding dimension of  $R$ .*

**PROOF.** Let

$$\sigma: 0 \longrightarrow M \longrightarrow F \longrightarrow K_R \longrightarrow 0$$

be the initial part of a minimal free resolution of  $K_R$ . Since  $\text{End}_R(K_R)=R$ , we have  $A_\sigma=R/\mathfrak{m}$ . Hence  $\mu_R(M)\leq 1$  by Corollary (2.3). Then the proof of [4, Theorem (2.7)] works for the rest.

**THEOREM (3.3)** (cf. [4, Theorem (3.2)]). *Let  $P$  be a regular local ring of  $\dim P=2$  and let  $R=P/fP$  with  $f\in P$ . We denote the integral closure of  $R$  in its total quotient ring by  $\bar{R}$ . If  $\bar{R}$  is module-finite over  $R$  and  $e(R)\geq 3$ , where  $e(R)$  denote the multiplicity of  $R$ , then there exists a family  $\{M_n\}_{n=1,2,\dots}$  of indecomposable maximal Buchsbaum  $R$ -modules such that  $M_n\cong M_m$  if  $n\neq m$ .*

**PROOF.** Let  $L$  be the first syzygy module of  $\mathfrak{m}$ . Since  $\mathfrak{m}$  is an indecomposable maximal Cohen-Macaulay  $R$ -module, the minimal free resolution of  $\mathfrak{m}$  is periodic of period 2 and  $L$  is indecomposable by [2] and [7]. Hence we have an exact sequence

$$\sigma: 0 \longrightarrow \mathfrak{m} \longrightarrow F \longrightarrow L \longrightarrow 0$$

with  $F$   $R$ -free. We put  $A = \{x \in \bar{R} \mid xm \subset m\}$ , which we identify with  $\text{End}_R(m)$  as algebras. Then by [4, Proposition (3.4)] there is an element  $h \in A$  such that  $A = R + Rh$  and  $hm \subset m^2$ . Hence  $\text{End}_R(m/m^2) = A_\sigma = R/m$ . Since  $R$  is not regular,  $\mu_R(m) \geq 2$  and so we can get the required family by Corollary (2.3).

By Theorem (3.2), Theorem (3.3) and [1] we have the next

**THEOREM (3.4)** (cf. [4, Theorem (3.1)]). *Let  $R$  be a Cohen-Macaulay ring. Then  $R$  is reduced and  $e(R) \leq 2$  if  $R$  has finite Buchsbaum-representation type.*

Finally we prove the following

**PROPOSITION (3.5)** (cf. [4, Proposition (6.1)]). *If  $R$  has finite Buchsbaum-representation type, then  $e(R) \leq 2$  and  $v(R) \leq 2$ .*

**PROOF.** Our method of proof is almost the same as the proof of [4, Proposition (6.1)] and so see it for the detail.

Let  $I = H_m^0(R)$ . Then  $R/I$  is a Cohen-Macaulay ring of finite Buchsbaum-representation type. Hence we get by Theorem (3.4) that  $R/I$  is reduced and  $e(R/I) = e(R) \leq 2$ . As  $\mu_R(I) \leq 1$  by Proposition (3.1) and as  $v(R/I) \leq 2$  by Theorem (3.2), we have  $v(R) \leq 3$ . We show  $v(R) \neq 3$  in the following. Assume  $v(R) = 3$ . Then  $v(R/I) = 2$  and  $\mu_R(I) = 1$ , hence  $e(R/I) = 2$ . We put  $I = zR$ . By [4, Claim 1 in the proof of Proposition (6.1)]  $R/I$  is an integral domain. We denote the normalization of  $R/I$  by  $S$ . Let  $\bar{m}$  be the maximal ideal of  $R/I$  and let  $\bar{\cdot}$  denote the reduction mod  $I$ . Then there are elements  $x$  and  $y$  of  $m$  such that  $\bar{m} = (\bar{x}, \bar{y})$  and  $S = R + Rt$ , where  $t = \bar{x}/(\bar{y})^n$  for suitable  $n \geq 1$ . Furthermore we get an exact sequence

$$R^4 \xrightarrow{\begin{bmatrix} z & 0 & x & bx+ay^n \\ 0 & z & y^n & x \end{bmatrix}} R^2 \xrightarrow{\epsilon} S \rightarrow 0$$

for some  $a \in R$  and  $b \in R$  with  $t^2 = \bar{a} + \bar{b}t$ , where

$$\epsilon \begin{bmatrix} 1 \\ 0 \end{bmatrix} = 1 \quad \text{and} \quad \epsilon \begin{bmatrix} 0 \\ 1 \end{bmatrix} = -t.$$

We note if  $a \in m$ , then  $b \in m$ . Let  $L = \text{Ker } \epsilon$ . Then  $L$  is generated by

$$v_1 = \begin{bmatrix} z \\ 0 \end{bmatrix}, \quad v_2 = \begin{bmatrix} 0 \\ z \end{bmatrix}, \quad v_3 = \begin{bmatrix} x \\ y^n \end{bmatrix}, \quad v_4 = \begin{bmatrix} bx+ay^n \\ x \end{bmatrix}.$$

We apply Theorem (2.1) to the exact sequence



$$\sigma : 0 \longrightarrow L \longrightarrow R^2 \xrightarrow{\epsilon} S \longrightarrow 0.$$

Since  $\text{End}_R(S)$  is a commutative  $R$ -algebra which is generated by  $\mathbf{1}_S$  and  $t\mathbf{1}_S$  as  $R$ -module, so  $A_\sigma$  is commutative and  $\rho(\mathbf{1}_S)=\mathbf{1}_{L/mL}$  and  $\rho(t\mathbf{1}_S)$  generate  $A_\sigma$  over  $R/m$ . We put  $\xi=\rho(t\mathbf{1}_S)$ . Because the following diagram

$$\begin{array}{ccc} \begin{bmatrix} 0 & a \\ 1 & -b \end{bmatrix} & \begin{array}{c} R^2 \\ \downarrow \\ R^2 \end{array} & \begin{array}{ccc} \xrightarrow{\epsilon} & & S \\ & \searrow \epsilon & \downarrow t\mathbf{1}_S \\ & & S \end{array} \end{array}$$

is commutative, we have

$$\begin{aligned} \xi\bar{v}_1 &= \bar{v}_2, & \xi\bar{v}_2 &= (a \bmod m)\bar{v}_1 - (b \bmod m)\bar{v}_2, \\ \xi\bar{v}_3 &= \bar{v}_4 - (b \bmod m)\bar{v}_3, & \xi\bar{v}_4 &= (a \bmod m)\bar{v}_3, \end{aligned}$$

where  $\bar{v}_i = v_i \bmod mL$  for  $1 \leq i \leq 4$ . Hence if  $a \in m$ , then  $\bar{v}_1$  and  $\bar{v}_4$  are linearly independent over  $A_\sigma$  and if  $a \in m$ , then  $\bar{v}_1$  and  $\bar{v}_3$  are linearly independent over  $A_\sigma$ . But this is a contradiction by Theorem (2.1).

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