### ON DEDEKIND SUMS AND ANALOGS

By

Don REDMOND, R. Sitaramachandra RAO and R. SIVARAMAKRISHANAN

**Abstract** The purpose of this paper is to point out a connection between an identity due to Subrahmanyam and the Peterson-Knopp identity for the classical Dedekind sum. We then consider the same connection with regard to the Apostol-Vu generalization of the Dedekind sum. We also consider some sums related to the classical Dedekind sum.

#### 1. Introduction.

We define the function ((x)) by

$$((x)) = \begin{cases} x - [x] - 1/2 & \text{if } x \text{ is not an integer} \\ 0 & \text{otherwise.} \end{cases}$$

Let h and k be positive integers. The Dedekind sum S(h, k) is defined as

(1.1) 
$$S(h, k) = \sum_{n \in \text{mod } k} \left( \left( \frac{n}{k} \right) \right) \left( \left( \frac{nh}{k} \right) \right).$$

In [9] H. Rademacher and E. Grosswald have given a survey of the properties of S(h, k). P. Subrahmanyam in [10] has shown that

(1.2) 
$$\sum_{b \in \text{mod } n} S(h+bk, nk) = \sum_{d \mid n} \mu(d) S(hd, k) \sigma(n/d),$$

where  $\mu(n)$  is the Möbius function and  $\sigma(n)$  is the sum of divisors function. In [5] M.I. Knopp proved the following identity for S(a, h):

(1.3) 
$$\sum_{\substack{a,d=n\\d>0}} \sum_{b \pmod{d}} S(ah+bk, dk)\sigma(n)S(h, k).$$

This generalized an older identity of Petersson. Proofs of (1.3) have been given by Goldberg in [4] using the identity (1.2) and Parson in [7] using Hecke operators. In [8] Parson and Rosen have extended Knopp's identity to generalized Dedekind sums.

Suppose A(x) and B(x) are given functions which are defined on the rationals and satisfy a relation of the form

Received July 13, 1987.

$$\sum_{b \in \text{mod } q} F(x+b/q) = q^{\nu(F)} F(qx),$$

for every positive integer q, every rational number x and some constant  $\nu(F)$  depending only on F. In [1] Apostol and Vu define a class of functions

$$f(h, k) = \sum_{n \pmod{k}} A(n/k)B(hn/k),$$

which are called Dedekind sums of type  $(\nu(A), \nu(B))$ . It may be observed that the classical Dedekind sum S(h, k), defined by (1.1), is of type (0, 0) and is obtained by taking A(x)=B(x)=((x)).

In [1] Apostol and Vu generalize (1.2) and (1.3). Let f(h, k) be a Dedekind sum of type  $(\nu(A), \nu(B))$ . Let  $\lambda=1-\nu(A)-\nu(B)$  and

$$\sigma_{\lambda}(n) = \sum_{d \mid n} d^{\lambda}$$
.

They prove the following results. If k is a given integer, then

(1.5) 
$$\sum_{b \in \text{mod } n} f(h+bk, nk) = n^{1-\lambda} \sum_{d+n} \mu(d) d^{-\nu(A)} f(hd, k) \sigma_{\lambda}(n/d)$$

and if n is a positive integer, then

(1.6) 
$$\sum_{\substack{ad=n\\d>0}} d^{-\nu(B)} \sum_{b \pmod{d}} f(ah+bk, dk) = n^{\nu(A)} \sigma_{\lambda}(n) f(h, k).$$

The purpose of the paper is to point out the intrinsic connection between Subrahmanyan's identity and Knopp's identity as well as qetween Apostol and Vu's generalizations of these identities via a basic inversion principle. Incidentally, we derive a few analogues of Knopp's identity. In this connection, we also introduced two sums T(h, k) and S'(h, k) which are related to S(h, k).

### 2. An Inversion Principle.

Let f(m, n) and g(m, n) be complex valued functions defined for all positive in tegers m and n. Define the two arithmetic functions  $e_0(n)$  and e(n) by  $e_0(n) = [1/n]$  and e(n)=1 for all positive integers n. Let  $\varepsilon(n)$  and  $\eta(n)$  be two arithmetic functions related by the identity

(2.1) 
$$\sum_{d\mid n} \varepsilon(n/d)\eta(d) = e_0(n).$$

We say that  $\varepsilon$  and  $\eta$  are Dirichlet inverses of each other.

THEOREM 1. With  $\varepsilon$  and  $\eta$  as above we have

$$f(m, n) = \sum_{d \mid n} g(md, n/d) \varepsilon(d)$$

if and only if

$$g(m, n) = \sum_{d \mid n} f(md, n/d) \eta(d).$$

PROOF. We have

$$\sum_{d \mid n} \eta(d) f(md, n/d) = \sum_{d \mid n} \eta(d) \sum_{ts=n/d} \varepsilon(t) g(mdt, s)$$

$$= \sum_{dts=n} \eta(d) \varepsilon(t) g(mdt, s)$$

$$= \sum_{s \mid n} g(mn/s, s) \sum_{dt=n/s} \eta(d) \varepsilon(t)$$

$$= \sum_{s \mid n} g(mn/s, s) e_0(n/s)$$

$$= g(m, n),$$

by (2.1) and the definition of  $e_0$ .

This proves that

$$\sum_{d \mid n} g(md, n/d) \varepsilon(d) = f(m, n) \text{ implies } \sum_{d \mid n} f(md, n/d) \eta(d) = g(m, n)$$

The proof of the reverse implication is similar and is omitted. This completes the proof of Theorem 1.

COROLLARY 1.1. We have

$$f(m, n) = \sum_{d \mid n} g(md, n/d)$$

if and only if

$$g(m, n) = \sum_{d \mid n} \mu(d) f(md, n/d).$$

PROOF. The result follows immediately from Theorem 1 if we take  $\varepsilon(n) = e(n) = 1$  and  $\eta(u) = \mu(n)$ , since it is known (see [6, Theorem 4.6]) that (2.1) is valid for this choice of  $\varepsilon$  and  $\eta$ .

THEOREM 2. Suppose f(m, n) and g(m, n) are related by

(2.2) 
$$f(m, n) = \sum_{d \mid n} g(md, n/d).$$

If G and H are arithmetic functions which are related by

$$(2.3) G(n) = \sum_{d+n} H(d),$$

then

$$\sum_{a d=n} G(a)g(ah, d) = \sum_{d+n} H(d)f(hd, n/d).$$

PROOF. By Theorem 4.7 of [6], we have, from (2.3),

$$(2.4) H(n) = \sum_{d+n} \mu(d)G(n/d).$$

By (2.2) and Corollary 1.1, we have

$$\sum_{a d=n} G(a)g(ah, d) = \sum_{a d=n} G(a) \sum_{st=d} \mu(s)f(ahs, t)$$

$$= \sum_{a st=n} G(a)\mu(s)f(ahs, t)$$

$$= \sum_{t \mid n} f(ht, n/t) \sum_{a s=n} G(a)\mu(s)$$

$$= \sum_{t \mid n} H(t)f(ht, n/t),$$

by (2.4). This completes the proof of Theorem 2.

THEOREM 3. The two identities (1.2) and (1.3) are equivalent.

PROOF. We have only to appeal to Corollary 1.1 with appropriate choices of f(m, n) and g(m, n). We take

$$f(m, n) = \sigma(n)S(m, n)$$

and

$$g(m, n) = \sum_{b \in \text{mod } n} S(m+bn, mn).$$

Then (1.2) is equivalent to

$$g(m, n) = \sum_{d \mid n} \mu(d) f(md, n/d),$$

which, by Corollary 1.1, is equivalent to

$$f(m, n) = \sum_{d \mid n} g(md, n/d).$$

This establishes the equivalence and completes the proof.

Theorem 4. Let G and H be arithmetic functions which are related by (2.3). Then

$$(2.5) \qquad \sum_{ad=n} G(a) \sum_{b \in \mathbf{mod} \ d} S(ah+bk, \ dk) = \sum_{d+n} H(d)S(hd, \ k)\sigma(n/d).$$

PROOF. Denote the left hand side of (2.5) by L. Then, by (1.2), we have

$$L = \sum_{a,d=n} G(a) \sum_{c \mid d} \mu(c) S(ahc, k) \sigma(d/c).$$

If we let m=ac, we have

$$L = \sum_{\substack{m \mid n \\ m \mid d = c \, n}} \sum_{c \mid m} G(m/c) \mu(c) S(mh, k) \sigma(n/m)$$

$$= \sum_{\substack{m \mid n \\ m \mid d = c \, n}} S(mh, k) \sigma(n/m) \sum_{c \mid m} G(m/c) \mu(c)$$

$$= \sum_{\substack{m \mid n \\ m \mid n}} H(m) S(mh, k) \sigma(n/m),$$

by (2.4). This completes the proof of the theorem.

COROLLARY 4.1. We have

(1) 
$$\sum_{\substack{a d=n \ (a,m)=1}} \sum_{b \pmod{d}} S(ah+bk, dk) = \sum_{d \mid (m,n)} \mu(d)S(hd, k)\sigma(n/d),$$

for any positive integer m,

(2) 
$$\sum_{a^2d=n}\sum_{b \pmod{d}} S(a^2h+bk, dk) = \sum_{d\mid n} \lambda(d)S(hd, k)\sigma(n/d),$$

where  $\lambda$  is Liouville's function,

(3) 
$$\sum_{\substack{a d = n \\ a \mid m}} a \sum_{b \in \text{mod } d} S(ah + bk, dk) = \sum_{d \mid n} c(m, d) S(hd, k) \sigma(n/d),$$

where c(m, n) is Ramanujan's trigonometric sum defined by

(2.6) 
$$c(m, n) = \sum_{\substack{K \pmod{n} \\ (k, n) = 1}} \exp(2\pi i k m/n),$$

(4) 
$$\sum_{a,d=n} a \sum_{b \pmod{d}} S(ah+bk, dkk) = \sum_{d+n} \varphi(d)S(hd, k)\sigma(n/d),$$

where  $\varphi$  denotes Euler's quotent function, and

(5) 
$$\sum_{ad=n} \log a \sum_{b \in \text{mod } d} S(ah+bk, dk) = \sum_{d \mid n} \Lambda(d)S(hd, k)\sigma(u/d),$$

where  $\Lambda$  is the von-Mangoldt function defined by

$$\Lambda(n) = \begin{cases} \log p & \text{if n is a power of the prime p} \\ 0 & \text{otherwise.} \end{cases}$$

PRRF OF (1). If we take  $G(n)=e_0((m, n))$ , then, by (2.4),  $H(n)=\mu(n)$ . The result follows from Theorem 4.

PROOF OF (2). Recall that  $\lambda(n)$  is defined by  $\lambda(n) = (-1)^{\Omega(n)}$ , where  $\Omega(n)$  counts the total number of prime factors of n. Then, we have

$$\sum_{d \mid n} \lambda(d) = \begin{cases} 1 & \text{if } n \text{ is a perfect square} \\ 0 & \text{otherwise.} \end{cases}$$

(see [4, p. 111]). The result follows from Theorem 4 if we take  $H(n)=\lambda(n)$  and

$$G(n) = \begin{cases} 1 & \text{if } n \text{ is a perfect square} \\ 0 & \text{otherwise.} \end{cases}$$

PROOF OF (3). From (2.6) we have

$$\sum_{d|n} c(m, d) = \begin{cases} n & \text{if } n \mid m \\ 0 & \text{otherwise.} \end{cases}$$

Thus, if we take H(n)=c(m, n) and

$$G(n) = \begin{cases} n & \text{if } n \mid m \\ 0 & \text{otherwise,} \end{cases}$$

then the result follows from Theorem 4.

PROOF OF (4). Here we take  $H(n) = \varphi(n)$  and G(n) = n. Then for this choice of G and H we see that (2.3) holds by Theorem 2.17 of [4]. The result then follows from Theorem 4.

PROOF OF (5). By the definition of  $\Lambda(n)$  and unique factorization we see that

$$\sum_{d \mid n} \Lambda(d) = \log n$$
.

Thus, if we take  $H(n)=\Lambda(n)$  and  $G(n)=\log n$  in Theorem 4, then the result follows from Theorem 4.

This completes the proof of the corollary.

Note that (1) of Corollary 4.1 is a generalization of the Petersson-Knopp identity (1.3), which is the case m=1.

THEOREM 5. The two identities (1.5) and (1.6) are equivalent.

PROOF. Let f(h, k) be a Dedekind sum of type  $(\nu(A), \nu(B))$ . Let

$$F(h, n) = n^{\nu(A)} \sigma_{\lambda}(n) f(h, k)$$

and

$$G(h, n) = n^{-\nu(B)} \sum_{b \pmod{n}} f(h+bk, nk),$$

where  $\lambda = 1 - \nu(A) - \nu(B)$ .

The identity (1.5) then states that

$$\sum_{b \pmod{n}} f(h+bk, nk) = n^{1-\lambda} \sum_{d \mid n} \mu(d) d^{-\nu(A)} f(hd, k) \sigma_{\lambda}(n/d)$$

$$= n^{\nu(A)+\nu(B)} \sum_{d \mid n} \mu(d) d^{-\nu(A)} f(hd, k) \sigma_{\lambda}(n/d)$$

$$= n^{\mu(B)} \sum_{d \mid n} \mu(d) (n/d)^{\nu(A)} f(hd, k) \sigma_{\lambda}(n/d)$$

$$= n^{\nu(B)} \sum_{d \mid n} \mu(d) F(hd, n/d)$$

or

(2.7) 
$$G(h, n) = \sum_{d \mid n} \mu(d) F(hd, n/d).$$

By Theorem 2, (2.7) is equivalent to

$$F(h, n) = \sum_{d \mid n} G(hd, n/d)$$

or

$$n^{\nu(A)}\sigma_{\lambda}(n)f(h, k) = \sum_{d+n} d^{-\nu(B)} \sum_{b \in \text{mod } d} f(hd+bk, dk),$$

which is (1.6).

Thus (1.5) implies (1.6). The reverse implication is obtained by taking the above steps in reverse order. This completes the proof of the theorem.

THEOREM 6. Let G and H be arithmetical functions related by

$$G(n) = n^{\nu(B)} \sum_{d \mid n} H(d)$$
.

Then

$$\sum_{ad=n} G(a) \sum_{b \in \text{mod } d} f(ah+bk, dk) = n^{1-\lambda} \sum_{d|n} H(d) d^{-\nu(A)} f(hd, k) \sigma_{\lambda}(n/d),$$

where f is a Dedekind sum of type  $(\nu(A), \nu(B))$  and  $\lambda=1-\nu(A)-\nu(B)$ .

This result generalizes (1.6). The proof is similar to that of Theorem 4 and so we omit it.

#### 3. Analogues of Knopp's Identity.

THEOREM 7. If (h, k)=1, then

$$\sum_{a d = n} \sum_{b \in \text{mod } d} \mu((b, d)) S(a h(b, d), k) = \varphi(n) S(h, k).$$

Proof. We have

$$\begin{split} \sum_{a\,d=n} \sum_{b \in \text{mod } d} \mu((b,\,d)) S(a\,h(b,\,d),\,k) &= \sum_{a\,d=n} \sum_{c \mid d} \mu(c) S(a\,hc,\,k) \varphi(d/c) \\ &= \sum_{\substack{m \mid n \\ m\,d=c\,n}} S(mh,\,k) \varphi(n/m) \sum_{c \mid m} \mu(c) \\ &= \varphi(n) S(h,\,k), \end{split}$$

by Theorem 4.6 of [4]. This completes the proof of Theorem 7.

THEOREM 8. If f is an arithmetic function, then

(3.1) 
$$\sum_{a \pmod{n}} f((a, n)) \sum_{b \pmod{n/(a, n)}} S((a, n)h + bk, nk/(a, n))$$

$$= \sum_{rs=n} S(rh, k)\sigma(s) \sum_{c \mid r} \mu(c)\varphi(cs)f(r/c).$$

PROOF. If we denote by L the left hand side of (3.1), we have, by (1.2),

$$L = \sum_{dt=n} \varphi(t) f(d) \sum_{c \mid t} \mu(c) S(hdc, k) \sigma(t/c).$$

$$= \sum_{\substack{m \mid n \\ d = nc}} \sum_{c \mid m} \mu(c) S(mh, k) \sigma(n/m) f(m/c) \varphi(cn/m)$$

$$= \sum_{rs=n} S(rh, k) \sigma(s) \sum_{c \mid r} \mu(c) f(r/c) \varphi(cs),$$

which gives the right hand side of (3.1) and completes the proof of Theorem 8.

COROLLARY 8.1. If n is square-free, then

$$\sum_{a \pmod{n}} \mu((a, n)) \sum_{b \pmod{n/(a, n)}} S((a, n)h + bk, nk/(a, n))$$

$$= \sum_{s=n} r \mu(r) S(rh, k) \sigma(s) \varphi(s).$$

PROOF. Let  $f(n)=\mu(n)$  in Theorem 8. Since n is square-free and  $r\mid n$  we see that r is square-free. Thus

$$\mu(c)\mu(r/c) = \mu(r)$$

for all c|r. Thus, if  $f(n)=\mu(n)$ , then the right hand side of (3.1) is equal to

$$(3.2) \qquad \sum_{r,s=n} S(rh, k)\sigma(s) \sum_{c \mid r} \mu(c)\mu(r/c)\varphi(cs) = \sum_{r,s=n} S(rh, k)\sigma(s)\mu(r) \sum_{c \mid r} \varphi(cs).$$

Again n square-free and rs=n implies that (r, s)=1. Since  $c \mid r$  in the inner sum we see that (c, s)=1 and since  $\varphi$  is a multiplicative function [4, Theorem 2.15], we see that the inner sum in (3.2) is equal to

$$\sum_{c \mid r} \varphi(cs) = \varphi(s) \sum_{c \mid r} \varphi(c) = r \varphi(s)$$
,

by Theorem 2.17 of [4]. If we combine these results we get the result of the corollary and complete the proof.

Before giving our next analogue of the Petersson-Knopp identity (1.3) we prove some lemmas.

LEMMA 9.1. If x is any real number, we have

$$\sum_{\substack{n \pmod{k} \\ (n,k)=1 \\ (n,k)=1}} \left( \left( \frac{n}{k} + x \right) \right) = \mu(d)((kx/d)).$$

Proof. We have

$$\sum_{\substack{n \pmod{k} \\ (n,k)=1}} \left( \left( \frac{n}{k} + x \right) \right) = \sum_{n \pmod{k}} \left( \left( \frac{n}{k} + x \right) \right) \sum_{\substack{d \mid n \\ d \mid k}} \mu(d)$$

$$= \sum_{d \mid k} \mu(d) \sum_{\substack{n \pmod{k} \\ d \mid n}} \left( \left( \frac{n}{k} + x \right) \right)$$

$$= \sum_{d|k} \mu(d) \sum_{m \in \text{mod } k/d} \left( \left( \frac{m}{kd} + x \right) \right)$$
$$= \sum_{d|k} \mu(d) ((kx/d)),$$

by Lemma 1 of [9]. This completes the proof.

LEMMA 9.2. If x is any real number, then

$$\sum_{m \in \text{mod } k} \left( \left( x + \frac{am}{k} \right) \right) = (a, k) \left( \left( \frac{kx}{(k, a)} \right) \right).$$

PROOF. Let g=(a, k) and define a' and k' by k'=k/g and a'=a/g. It follows from the definition of ((x)) that it is periodic of period 1. Thus, for any integer n, we have ((x+n))=((x)). Thus

$$\sum_{m \pmod{k}} \left( \left( x + \frac{am}{k} \right) \right) = \sum_{m \pmod{g}} \sum_{k'} \left( \left( x + \frac{a'm}{k'} \right) \right)$$

$$= \sum_{n=0}^{k'-1} \sum_{m=0}^{g-1} \left( \left( x + \frac{a'(k'm+n)}{k'} \right) \right)$$

$$= \sum_{n=0}^{k'-1} \sum_{m=0}^{g-1} \left( \left( x + a'm + \frac{a'n}{k'} \right) \right)$$

$$= g \sum_{n \pmod{k'}} \left( \left( x + a'm + \frac{a'n}{k'} \right) \right)$$

$$= g \sum_{m \pmod{k'}} \left( \left( x + \frac{a'n}{k'} \right) \right)$$

$$= g \sum_{m \pmod{k'}} \left( \left( x + \frac{m}{k'} \right) \right)$$

$$= g((k'x)),$$

by Lemma 1 of [9] and the fact that since (a', k')=1 as n runs through a complete residue system modulo k' so does a'n. This completes the proof.

LEMMA 9.3. For any real number x and integers a and k we have

$$\sum_{\substack{n \pmod{k} \\ (n,k)=1}} \left( \left( x + \frac{an}{k} \right) \right) = \sum_{d+k} \frac{\mu(d)}{d} (k, ad) \left( \left( \frac{kx}{(k, ad)} \right) \right).$$

PROOF. By Lemma 9.2, we have

$$\sum_{\substack{n \pmod k \\ (n, k) = 1}} \left( \left( x + \frac{an}{k} \right) \right) = \sum_{n \pmod k} \left( \left( x + \frac{an}{k} \right) \right) \sum_{\substack{d \mid n \\ d \mid k}} \mu(d)$$

$$= \sum_{d \mid k} \mu(d) \sum_{n \pmod k/d} \left( \left( x + \frac{am}{k/d} \right) \right)$$

$$= \sum_{d+k} \mu(d)(a, k/d) \left( \left( \frac{kx/d}{(a, k/d)} \right) \right)$$
$$= \sum_{d+k} \frac{\mu(d)}{d} (k, ad) \left( \left( \frac{kx}{(k, ad)} \right) \right),$$

which proves the result.

THEOREM 9. We have

$$\sum_{\substack{b \pmod{n} \\ (b,n)=1}} S(h+bk, nk) = \sum_{d+n} \frac{\mu(d)}{d} \sum_{rs+n} \mu(s) r S(hs, k(rs, d)),$$

PROOF. By Lemma 9.3, we have

$$\sum_{\substack{b \pmod{n} \\ (b,n)=1}} S(h+bk, nk) = \sum_{\substack{b \pmod{n} \\ (b,n)=1}} \sum_{m \pmod{n}} \left( \left( \frac{m}{nk} \right) \right) \left( \left( \frac{(h+bk)m}{nk} \right) \right)$$

$$= \sum_{m \pmod{n}} \left( \left( \frac{m}{nk} \right) \right) \sum_{\substack{b \pmod{n} \\ (b,n)=1}} \left( \left( \frac{mh}{nk} + \frac{bm}{n} \right) \right)$$

$$= \sum_{m \pmod{n}} \left( \left( \frac{m}{nk} \right) \right) \sum_{d \mid n} \frac{\mu(d)}{d} (n, md) \left( \left( \frac{mh}{k(n, md)} \right) \right)$$

$$= \sum_{d \mid n} \frac{\mu(d)}{d} \sum_{m \mid n \pmod{n}} \left( \left( \frac{m}{nk} \right) \right) (n, md) \left( \left( \frac{mh}{k(n, md)} \right) \right)$$

$$= \sum_{d \mid n} \frac{\mu(d)}{d} \sum_{r \mid n} r \sum_{m \mid n \pmod{n} \\ (n, md) = r} \left( \left( \frac{m}{nk} \right) \right) \left( \left( \frac{mh}{kr} \right) \right)$$

$$= \sum_{d \mid n} \frac{\mu(d)}{d} \sum_{r \mid st = n} \mu(s) r S(hst, k(rs, d)t)$$

$$= \sum_{d \mid n} \frac{\mu(d)}{d} \sum_{r \mid st = n} \mu(s) r S(hst, k(rs, d)t),$$

since by (5) of [1], we have

$$(3.3) S(qh, qk) = S(h, k)$$

for any positive integer q, since the classical Dedekind sum is a Dedekind sum of type of (0,0). This completes the proof.

# 4. Carlitz's sum $b_r(h, k)$ .

Let  $B_r(x)$  denote the rth Bernoulli polynomial and let  $\bar{B}_r(x)=B_r(x-[x])$ . In [2] Carlitz defined, for (h, k)=1,

(4.1) 
$$C_r(h, k) = \sum_{n \pmod{k}} \bar{B}_{p+1-r}(n/k)\bar{B}_r(hn/k).$$

In [1] it is stated that  $C_r(h, k)$  is a Dedekind sum of type (r-p, 1-r). Thus, by Theorem 1 of [1], we have

(4.2) 
$$\sum_{b \in \text{mod } d} C_r(h+bk, dk) = d^{1-p} \sum_{t+d} \mu(t) t^{p-r} C_r(ht, k) \sigma_p(d/t).$$

Further, in [2], Carlitz defines the sums

(4.3) 
$$b_r(h, k) = \sum_{s=0}^r (-1)^{r-s} {r \choose s} h^{r-s} C_s(h, k).$$

In [2] Carlitz proves (4.2) in the case when d is a prime q and then remarks that it does not seem possible a similar result for the sums in (4.3). We now state such a result, which is an analog of Subrahmanyam's identity.

THEOREM 10. We have

$$\sum_{m \in \text{mod } n} b_r(h + mk, nk) = n^{1-p} \sum_{d \mid n} d^{p-r} \mu(d) \sigma_p(u/d) b_r(hd, k).$$

PROOF. This follows immediately from (4.2) and (4.3) and so we omit the details.

We can also give an analog of the Petersson-Knopp identity.

THEOREM 11. We have

$$\sum_{\substack{=a,d \\ d > 0}} d^{r-1} \sum_{\substack{m \pmod{d}}} b_r(ah + mk, dk) = n^{r-p} \sigma_p(n) b_r(n, k).$$

PROOF. If in Corollary 1.1 we take

$$f(m, n) = n^{r-p} \sigma_p(n) b_r(m, n)$$
 and  $g(m, n) = n^{r-1} \sum_{b \in \text{mod } n} b_r(m+bk, nk)$ , then the result follows immediately.

# 5. The Sum T(h, k).

For  $x \ge 0$  we define the fractional part of x by

$$\{x\} = x - \lceil x \rceil$$
.

It is known [3] that

$$\sum_{b \in \text{mud } d} \left\{ x + \frac{b}{q} \right\} = \frac{1}{2} (q-1) + \{qx\}.$$

Thus, if q>1, then

$$\sum_{b \in \mathbf{mod} \ d} \left\{ x + \frac{n}{q} \right\} \neq \left\{ qx \right\}$$

for all x. Therefore, the sum defined for relatively prime integers h and k by

(5.1) 
$$T(h, k) = \sum_{n \pmod{k}} \left\{ \frac{n}{k} \right\} \left\{ \frac{nh}{k} \right\}$$

is not a sum of Dedekind type. However, T(h, k) and S(h, k) are closely related

382

to one another.

THEOREM 12. We have

(5.2) 
$$T(h, k) = S(h, k) + k/4.$$

PROOF. From [9] we have

$$\sum_{n \in \text{mod } k} \left( \left( \frac{n}{k} \right) \right) = 0$$

and if (h, k)=1, then

$$\sum_{n \pmod k} \left( \left( \frac{nh}{k} \right) \right) = 0.$$

Thus

$$T(h, k) = \sum_{n \pmod{k}} \left( \left( \left( \frac{n}{k} \right) \right) + \frac{1}{2} \right) \left( \left( \left( \frac{nh}{k} \right) \right) + \frac{1}{2} \right)$$

$$= \sum_{n \pmod{k}} \left( \left( \frac{n}{k} \right) \right) \left( \left( \frac{nh}{k} \right) \right) + \frac{1}{2} \sum_{n \pmod{k}} \left( \left( \frac{n}{k} \right) \right)$$

$$+ \frac{1}{2} \sum_{n \pmod{k}} \left( \left( \frac{n}{k} \right) \right) \left( \left( \frac{nh}{k} \right) \right) + \frac{k}{4}$$

$$= \sum_{n \pmod{k}} \left( \left( \frac{n}{k} \right) \right) \left( \left( \frac{nh}{k} \right) \right) + \frac{k}{4},$$

which completes the proof.

THEOREM 13. If (h, k)=1, then

(5.3) 
$$T(h, k) + T(k, h) = \frac{1}{4}(h+k-1) + \frac{1}{12}\left(\frac{h}{k} + \frac{k}{h} + \frac{1}{hk}\right).$$

PROOF. The reciprocity law for S(h, k) is given by

(5.4) 
$$S(h, k) + S(k, h) = -\frac{1}{4} (1/2) \left( h/k + k/h + \frac{1}{h k} \right)$$

(see [9]). Thus

$$T(h, k)+T(k, h)=S(h, k)+S(k, h)+(h+k)/4$$

and the result follows from (5.4) and completes the proof.

#### 6. The Sum S'(n, k).

We define the sum

(6.1) 
$$S'(h, k) = \sum_{\substack{n \pmod{k} \\ (n-k)=1}} \left( \left(\frac{n}{k}\right) \right) \left( \left(\frac{nh}{k}\right) \right).$$

Our first result is a relationship between the two sums S(h, k) and S'(h, k),

which, unfortunately, is not symmetric in h and k.

THEOREM 14. We have

$$S'(h, k) = \sum_{d \mid k} \mu(d)S(h, k/d)$$
.

PROOF. We have

$$\begin{split} S'(h, k) &= \sum_{n \in \text{mod } k} \left( \left( \frac{n}{k} \right) \right) \left( \left( \frac{nh}{k} \right) \right) \sum_{\substack{d \mid n \\ d \mid k}} \mu(d) \\ &= \sum_{d \mid k} \mu(d) \sum_{n \in \text{mod } k} \left( \left( \frac{n}{k} \right) \right) \left( \left( \frac{nh}{k} \right) \right) \\ &= \sum_{d \mid k} \mu(d) \sum_{n \in \text{mod } k/d} \left( \left( \frac{n}{k/d} \right) \right) \left( \left( \frac{hn}{k/d} \right) \right) \\ &= \sum_{d \mid k} \mu(d) S(h, k/d), \end{split}$$

which proves the result.

The next theorem gives three results that are the exact analogues of the corresponding results for the classical Dedekind sum.

THEOREM 15. (1) If  $h' \equiv \pm h \pmod{k}$ , then  $S'(h', k) = \pm S'(h, k)$ .

- (2)  $h\bar{h} \equiv \pm 1 \pmod{k}$ , then  $S'(\bar{h}, k) = \pm S'(h, k)$ .
- (3) If  $h^2+1\equiv 0 \pmod{k}$ , then S'(h, k)=0.

PROOF OF (1). By Theorem 4.1 of [6] we see that ((x)) satisfies  $((\pm x)) = \pm ((x))$  and recall that ((x)) is periodic of period 1 so that for all integers m, n and q we have

$$\left(\left(\frac{m+qn}{n}\right)\right) = \left(\left(\frac{m}{n}\right)\right).$$

Thus

$$S'(h', k) = \sum_{\substack{n \pmod{k} \\ (n,k)=1}} \left( \left( \frac{n}{k} \right) \right) \left( \left( \frac{h'n}{k} \right) \right)$$

$$= \sum_{\substack{n \pmod{k} \\ (n,k)=1}} \left( \left( \frac{n}{k} \right) \right) \left( \left( \pm \frac{hn}{k} \right) \right)$$

$$= \pm \sum_{\substack{n \pmod{k} \\ (n,k)=1}} \left( \left( \frac{n}{k} \right) \right) \left( \left( \frac{hn}{k} \right) \right)$$

$$= \pm S'(h, k).$$

PROOF OF (2). By part (1), we need only prove the case  $h\bar{h}\equiv 1\pmod k$  since  $h\bar{h}\equiv -1\pmod k$  implies  $h(-\bar{h})\equiv 1\pmod k$ . Also  $h\bar{h}\equiv 1\pmod k$  implies that  $(h,\,k)=1$ . Thus hn covers a reduced residue system modulo k if n does.

Thus, by part (1),

$$S'(\bar{h}, k) = \sum_{\substack{n \pmod{k} \\ (n,k)=1}} \left( \left( \frac{n}{k} \right) \right) \left( \left( \frac{\bar{h}n}{k} \right) \right)$$

$$= \sum_{\substack{n \pmod{k} \\ (n,k)=1}} \left( \left( \frac{hn}{k} \right) \right) \left( \left( \frac{\bar{h}hn}{k} \right) \right)$$

$$= \sum_{\substack{n \pmod{k} \\ (n,k)=1}} \left( \left( \frac{nh}{k} \right) \right) \left( \left( \frac{n}{k} \right) \right)$$

$$= S'(h, k).$$

PROOF OF (3). If  $h^2+1\equiv 0 \pmod{k}$ , then  $hh\equiv -1 \pmod{k}$ . Thus, by part (2), S'(h, k)=-S'(h, k) or S'(h, k)=0.

This completes the proof.

THEOREM 16. If  $\omega(k)$  counts the number of distinct prime factors of k and  $\gamma(k)$  equals their product, then

$$S'(1, k) = \frac{\varphi(k)}{12} + \frac{(-1)^{\omega(k)}}{6k^2} \varphi(k) \gamma(k).$$

PROOF. We have

(6.2) 
$$S'(1, k) = \sum_{\substack{n=1\\(n,k)=1}}^{k} \left( \left( \frac{n}{k} \right) \right)^{2}$$

$$= \sum_{\substack{n=1\\(n,k)=1}}^{k-1} \left( \frac{n}{k} - \frac{1}{2} \right)^{2}$$

$$= \frac{1}{k^{2}} \sum_{\substack{n=1\\(n,k)=1}}^{k-1} n^{2} - \frac{1}{k} \sum_{\substack{n=1\\(u,k)=1}}^{k-1} n + \frac{1}{4} \sum_{\substack{n=1\\(n,k)=1}}^{k-1} 1$$

$$= \frac{1}{k^{2}} S_{2}(k) - \frac{1}{k} S_{1}(k) + \frac{1}{4} S_{0}(k),$$

say. By definition we see that  $S_0(k) = \varphi(k)$ . The values of  $S_1(k)$  and  $S_2(k)$  are reasonably well-known (see [6, pp. 51 and 114]). For future reference we give them explicitly:

$$(6.3) S_{i}(k) = \frac{k\varphi(k)}{2}$$

and

(6.4) 
$$S_2(k) = \frac{k^2 \varphi(k)}{3} + (-1)^{\omega(k)} \frac{\varphi(k) \gamma(k)}{6}.$$

If we combine (6.2), (6.3) and (6.4) we obtain the result of the theorem and complete the proof.

THEOREM 17. If  $\zeta = \exp(2\pi i/k)$ , then

$$S'(h, k) = \frac{1}{4k^2} \sum_{m=1}^{k-1} \frac{1+\zeta^m}{1-\zeta^m} \sum_{n=1}^{k-1} \frac{1+\zeta^n}{1-\zeta^n} c(hm+n, k),$$

where c(m, n) is Ramanujan's sum (2.6).

PROOF. On p. 114 of [9] the following identity is given

(6.5) 
$$\left( \left( \frac{n}{k} \right) \right) = \frac{1}{k} \sum_{m=1}^{k-1} \left( \frac{\zeta^m}{1 - \zeta^m} + \frac{1}{2} \right) \zeta^{mn}$$

$$= \frac{1}{2k} \sum_{m=1}^{k-1} \frac{1 + \zeta^m}{1 - \zeta^m} \zeta^{mn} .$$

Thus, by (6.1) and (6.5), we have

$$S'(h, k) = \sum_{\substack{n \pmod{k} \\ (n,k)=1}} \frac{1}{2k} \sum_{r=1}^{k-1} \frac{1+\zeta^r}{1-\zeta^r} \frac{1}{2k} \sum_{s=1}^{k-1} \frac{1+\zeta^s}{1-\zeta^s} \zeta^{nr+hsn}$$

$$= \frac{1}{4k^2} \sum_{r=1}^{k-1} \frac{1+\zeta^r}{1-\zeta^r} \sum_{s=1}^{k-1} \frac{1+\zeta^s}{1-\zeta^s} \sum_{\substack{n \pmod{k} \\ (n,k)=1}} \zeta^{n(r+hs)}$$

$$= \frac{1}{4k^2} \sum_{r=1}^{k-1} \frac{1+\zeta^r}{1-\zeta^r} \sum_{s=1}^{k-1} \frac{1+\zeta^s}{1-\zeta^s} c(r+hs, k),$$

which proves the result.

COROLLARY 17.1. We have

$$S'(h, k) = \frac{1}{4k^2} \sum_{r=1}^{k-1} \sum_{s=1}^{k-1} \cot\left(\frac{\pi s}{k}\right) \cot\left(\frac{\pi s}{k}\right) c(r+hs, k).$$

PROOF. This follows immediately from Theorem 17 since

$$\cot\left(\frac{\pi r}{k}\right) = \frac{\zeta^r + 1}{\zeta^r - 1}$$
 and  $\cot\left(\frac{\pi s}{k}\right) = \frac{\zeta^s + 1}{\zeta^s - 1}$ .

The original aim in deriving the identities of Theorems 16 and 17 was to follow along the lines of various proofs of the reciprocity theorem for S(h, k), (5.4), to prove a reciprocity theorem for S'(h, k). Unfortunately, we have not succeeded in this goal. As seems to be indicated by the above results on S'(h, k), as well as those that follow, the results for S'(h, k) correspond closely to those for S(h, k). Thus, a reciprocity theorem like (5.4) does not seem totally out of the question.

As another indication of how closely related S(h, k) and S'(h, k) we give the following congruence satisfied by the sum S'(h, k).

THEOREM 18. If  $k \ge 3$  and (h, k) = 1, then

(6.6) 
$$6kS'(h, k) \equiv 2hk\varphi(k) + (-1)^{\omega(k)}h\varphi(\gamma(k)) - 3k\varphi(k)/2 \pmod{6}.$$

PROOF. We have

$$S'(h, k) = \sum_{\substack{n \pmod k \\ (n, k) = 1}} \left( \left( \frac{n}{k} \right) \right) \left( \left( \frac{nh}{k} \right) \right)$$

$$= \sum_{\substack{n=1 \\ (n, k) = 1}}^{k-1} \left( \left( \frac{n}{k} \right) \right) \left( \left( \frac{nh}{k} \right) \right)$$

$$= \sum_{\substack{n=1 \\ (n, k) = 1}}^{k-1} \left( \left( \frac{n}{k} - \frac{1}{2} \right) \right) \left( \left( \frac{nh}{k} \right) \right)$$

$$= \sum_{\substack{n=1 \\ (n, k) = 1}}^{k-1} \frac{n}{k} \left( \left( \frac{nh}{k} \right) \right) - \frac{1}{2} \sum_{\substack{n=1 \\ (n, k) = 1}}^{k-1} \left( \left( \frac{nh}{k} \right) \right).$$

Since ((x)) is periodic of period 1 and also an odd function and since (n, k)=1 if and only if (k-n, k)=1 we see that

$$\sum_{\substack{n=1\\(n,k)=1}}^{k-1} \left( \left( \frac{nh}{k} \right) \right) = 0.$$

Thus

$$S'(h, k) = \sum_{\substack{n=1\\(n,k)=1}}^{k-1} \frac{n}{k} \left( \frac{hn}{k} - \left( \frac{hn}{k} \right) - \frac{1}{2} \right)$$

$$= h \sum_{\substack{n=1\\(n,k)=1}}^{k-1} \frac{n^2}{k^2} - \frac{1}{2} \sum_{\substack{n=1\\(n,k)=1}}^{k-1} \frac{n}{k} \sum_{\substack{n=1\\(n,k)=1}}^{k-1} \frac{n}{k} \left( \frac{hn}{k} \right),$$

and so, using the notation above,

$$6k^2S'(h, k) = 6hS_2(k) - 3kS_1(k) - 6k \sum_{\substack{n=1\\(n,k)=1}}^{k-1} n\left(\frac{nh}{k}\right).$$

Since

$$\sum_{\substack{n=1\\(n,k)=1}}^{k-1} n\left(\frac{h\,k}{k}\right)$$

is an integer we see that

$$6k^2S'(h, k) \equiv 6hS_2(k) - 3kS_1(k) \pmod{6k}$$
.

Thus, by (6.3) and (6.4), we have

(6.7) 
$$6k^2S'(h, k) \equiv 2h k^2 \varphi(k) + (-1)^{\omega(k)} h \varphi(k) \gamma(k) - 3k^2 \varphi(k)/2 \pmod{6k}.$$
 Note that

(6.8) 
$$\varphi(k)\gamma(k) = k \prod_{p+k} \left(1 - \frac{1}{p}\right) \prod_{p+k} p = k \prod_{p+k} (p-1) = k\varphi(\gamma(k)).$$

Thus, by (6.7) and (6.8), we have

$$6k^2S'(h, k) \equiv 2hk^2\varphi(k) + (-1)^{\omega(k)}kh\varphi(\gamma(k)) - 3k^2\varphi(k)/2 \pmod{6k}$$

or, dividing by k.

$$6kS'(h, k) \equiv 2hk\varphi(k) + (-1)^{\omega(k)}h\varphi(\gamma(k)) - 3k\varphi(k)/2 \pmod{6}$$

which proves our result.

COROLLARY 18.1. If  $k \ge 3$  and (h, k) = 1, then

- 1) 6kS'(h, k) is an integer, and
- 2) if  $3 \mid h$ , then  $6kS'(h, k) \equiv 0 \pmod{3}$ , and so 2kS'(h, k) is an integer.

PROOF. 1) Since  $k \ge 3$  implies that  $\varphi(k)$  is an even integer we see that the right hand side of (6.6) is an integer and then so is the left hand side, which is 6kS'(h, k).

2) If  $3 \mid h$ , then 3 divides the right hand side of (6.6) and so 3 divides the left hand side of (6.6). Thus, since the left hand side of (6.6) is 3 times some integer we see that we must have that 2kS'(h, k) is an integer.

This completes the proof of the corollary.

As a final indication of the close correspondence between the two sums S(h, k) and S'(h, k) we give the S'-analogues for the identities (1.2) and (1.3).

We begin with the analogue of Subrahmanyam's identity. First we prove a lemma about the classical Dedekind sum.

LEMMA 19.1. If l is a positive integer, then

$$\sum_{b \in \text{mod } d} S(h+blk, dk) = \sum_{rs+d} r\mu(s)S(hs, k(l, sr)),$$

PROOF. We have, by Lemma 9.2,

$$\sum_{b \in \text{mod } d} \sum_{d} \sum_{k \in \text{mod } d} \sum_{n \in \text{mod } d} \sum_{n \in \text{mod } d} \left( \left( \frac{n}{dk} \right) \right) \left( \left( \frac{(h+blk)n}{dk} \right) \right)$$

$$= \sum_{n \in \text{mod } d} \sum_{d} \sum_{k} \left( \left( \frac{n}{dk} \right) \right) \sum_{k \in \text{mod } d} \left( \left( \frac{hn}{dk} + \frac{(nl)b}{d} \right) \right)$$

$$= \sum_{n \in \text{mod } d} \sum_{d} \sum_{k} \left( \left( \frac{n}{dk} \right) \right) \left( d, nl \right) \left( \left( \frac{d}{dk} - \frac{nh}{dk} \right) \right)$$

$$= \sum_{r \mid d} r \sum_{n \in \text{mod } dk} \left( \left( \frac{n}{dk} \right) \right) \left( \left( \frac{nh}{rk} \right) \right)$$

$$= \sum_{r \mid d} \sum_{n \in \text{mod } dk} \left( \left( \frac{n}{dk} \right) \right) \left( \left( \frac{nh}{rk} \right) \right) \sum_{r \mid d} \mu(s)$$

$$= \sum_{r \mid d} r \mu(s) \sum_{n \in \text{mod } dk} \left( \left( \frac{n}{dk} \right) \right) \left( \left( \frac{nh}{rk} \right) \right)$$

$$= \sum_{r \mid d} r \mu(s) \sum_{v \mid r \mid (l, rs) \in \text{mod } rstk} \left( \left( \frac{v}{(l, sr)tk} \right) \right) \left( \left( \frac{ush}{(l, rs)k} \right) \right)$$

$$= \sum_{r \mid d} r \mu(s) \sum_{v \mid r \mid (l, rs) \in \text{mod } rstk} \left( \left( \frac{v}{tk(l, sr)} \right) \right) \left( \left( \frac{sthv}{tk(l, sr)} \right) \right)$$

$$= \sum_{rst=d} r\mu(s)S(hts, tk(l, sr))$$
$$= \sum_{rst=d} r\mu(s)S(hs, k(l, sr)),$$

by (3.3). This completes the proof.

THEOREM 19. If d is square-free and (d, k)=1, then

$$\sum_{b \in \text{mod } d} S'(h+bk, dk) = \sum_{t+dk} \mu(t) \sum_{s+d} \mu(s) S(hst, k) \sigma(d/s),$$

PROOF. We have, by Theorem 14 and (3.3),

$$\sum_{b \pmod{d}} S'(h+bk, dk) = \sum_{b \pmod{d}} \sum_{m+dk} \mu(m) S(h+bk, dk/m)$$

$$= \sum_{m+dk} \mu(m) \sum_{b \pmod{d}} S(hm+bmk, dk)$$

$$= \sum_{m+dk} \mu(m) \sum_{sr+d} r \mu(s) S(hms, k(m, sr)),$$

by Lemma 19.1. If  $m \mid dk$ , we see that since (d, k)=1 we can write  $m=m_1m_2$ , where  $m_1 \mid d$  and  $m_2 \mid k$ . Then  $(m, sr)=(m_1m_2, sr)=(m_1, sr)$  since  $sr \mid d$  and  $(m_2, d)=1$ . Since d is square, free we have  $(m_1, sr)=1$ . Thus

$$\sum_{b \pmod{d}} S'(h+bk, dk) = \sum_{m_1 m_2 \mid dk} \mu(m_1 m_2) \sum_{rs \mid d} r \mu(s) S(hsm_1 m_2, k)$$

$$= \sum_{m_1 d_k} \mu(m) \sum_{s \mid d} \mu(s) S(hs, mk) \sigma(d/s),$$

which completes the proof.

We now give the S'-analogue of the Petersson-Knopp identity (1.3).

THEOREM 20. If n is square-free and (n, k)=1, then

$$\sum_{\substack{a d=n \\ d>0}} \sum_{b \in \text{mod } d} S'(ah+bk, dk) = \sigma(n)S'(nh, nk).$$

PROOF. We have, by Theorem 19,

$$\sum_{\substack{ad=n\\d>0}} \sum_{b \in \text{mod } q} S'(ah+bk, dk) = \sum_{\substack{ad=n\\d>0}} \sum_{t+dk} \mu(t) \sum_{s+d} \mu(s) S(ahst, k) \sigma(d/s).$$

If we let m=as, then we have

(6.9) 
$$\sum_{\substack{ad=n \ d>0}} \sum_{b \pmod{d}} S'(ah+bk, dk) = \sum_{\substack{m+n \ md=ns}} \sum_{t+dk} \mu(t) \sum_{s+m} \mu(s) S(mht, k) \sigma(n/m)$$
$$= \sum_{\substack{m+n \ md=ns \ m>0}} \sum_{s+dk} \mu(t) S(mht, k) \sigma(n/m) \sum_{s+m} \mu(s)$$
$$= \sum_{t+nk} \mu(t) S(ht, k) \sigma(n),$$

by Theorem 4.6 of [6].

By (3.3) we have

(6.10) 
$$\sum_{t \mid n_k} \mu(t) S(ht, k) = \sum_{t \mid n_k} \mu(t) S(nh, nk/t)$$
$$= S'(nh, nk),$$

by Theorem 14. If we combine (6.9) and (6.10) we obtain the result and complete the proof of the theorem.

#### References

- [1] Apostol, T.M, and Vu, T.H., Identities for sums of Dedekind type, J. Number Theory 14 (1982), 319-396.
- [2] Carlitz, C., Some theorems on generalized Dedekind sums, Pac. J. Math. 3 (1953), 513-522.
- [3] Gandhi, J.M. and Williams, K.S., On certain sums of fractional parts, Arch. Math. 25 (1974), 41-44.
- [4] Goldberg, L.A., An elementary proof of Knopp's theorem on Dedekind sums, J. Number Theory 12 (1980), 541-542.
- [5] Knopp, M.I., Hecke operators and an identity for Dedekind sums, J. Number Theory 12 (1980), 2-9.
- [6] Niven, I. and Zuckerman, H.S., An Introduction to the Theory of Numbers, 4th ed., Wiley, New York, 1980.
- [7] Parson, L.A., Dedekind sums and Hecke operators, Math. Proc. Cambridge Phil. Soc. 88 (1980), 11-14.
- [8] Parson, L.A. and Rosen, K., Hecke operators and Lambert series, Math. Scand. 49 (1981), 5-14.
- [9] Rademacher, H. and Grosswald, E., Dedekind Sums, Math. Assn. America, 1971.
- [10] Subrahmanyam, P., On sums involving the integer part of x, Math. Student 45 (1977), 8-12.

Don Redmond Southern III. Univ. Carbondale, IL 62901 USA

R. Sitaramachandra Rao Univ. of Toledo Toledo, OH 43606 USA

R. Sivaramakrishnan Univ. of Calicut Calicut 673635 INDIA