

ON COMPACTA WHICH ARE l -EQUIVALENT TO I^n

By

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1. Introduction.

All spaces considered in this paper are assumed to be *metrizable*. A compactum is a compact space. A continuum is a connected compactum, and a mapping is a continuous function. For a space X we denote by $C(X)$ the space of all real-valued mappings on X with the topology of *uniform convergence*. Then by Milutin's interesting work [8], we have known that for each pair of uncountable compacta X and Y , $C(X)$ is linearly isomorphic to $C(Y)$ (see [12] for the details and generalizations). On the other hand, for space X we denote by $C_p(X)$ the space of all real-valued mappings on X with the topology of *pointwise convergence*. Spaces X and Y are said to be *l -equivalent* [1] provided that $C_p(X)$ is linearly isomorphic to $C_p(Y)$, written $C_p(X) \cong C_p(Y)$. Recently, Pavlovskii [11] showed the following.

1.1. THEOREM. (1) *If locally compact spaces X and Y are l -equivalent, then for each non-empty open or closed set \tilde{X} of X , there exists a non-empty open set in \tilde{X} which can be embedded in Y . Therefore, $\dim X = \dim Y$ (see also [4] and [13]).*

(2) *Non-zero-dimensional compact polyhedra P and Q are l -equivalent if and only if $\dim P = \dim Q$.*

(3) *Let B be the Pontryagin's 2-dimensional continuum with the property $\dim(B \times B) = 3$. Then B is not l -equivalent to I^2 , where I is the unit interval $[0, 1]$.*

Being motivated by Theorem 1.1 (2), readers may consider that for $n \geq 1$, all n -dimensional compact ANR's are l -equivalent to I^n . However, by Theorem 1.1 (1) and [3, Theorem VI. (6.1)], we can easily see that *for each $n \geq 1$, there exists a collection of 2^{\aleph_0} n -dimensional compact AR's in R^{n+1} which are not l -equivalent to each other*. On the other hand, let X be a compactification of the half-open interval $[0, 1)$ whose remainder is I^n . Then X is l -equivalent to I^n , although X is not even locally connected. Therefore it seems to be difficult to

control n -dimensional compacta which are l -equivalent to I^n .

In this paper we will show a criterion of an n -dimensional locally compact space which is l -equivalent to an n -manifold. Concerning 1-dimensional compacta, Lelek [7] introduced the class of finitely Suslinian compacta, which contains all hereditarily locally connected continua, and therefore all 1-dimensional compact ANR's. We will also show a simple criterion of a curve (=1-dimensional continuum) which is l -equivalent to a finitely Suslinian compactum. Hence we can easily see that neither the Cantor fan nor the Knaster indecomposable curve are l -equivalent to any finitely Suslinian compacta. Moreover, we will investigate a class of curves which are l -equivalent to I . So we have a desired class of special compact ANR's which contains all graphs, and show that every continuum which is a one-to-one continuous image of $[0, \infty)$ is l -equivalent to I .

Most of our results can be applied to the theory of free topological groups in the sense of Graev [5]. So we may have interesting examples concerning free topological groups in the sense of Graev.

We denote by $\dim X$ the *covering dimension* of a space X . Let A be a subset of a space X . We denote its *interior* and *closure* in X by $\text{int } A$ and $\text{cl } A$, respectively. The symbol ANR is used to specify an *absolute neighborhood retract* for the class of all metric spaces. Undefined terms and notations in continuum theory may be found in [6] and [14].

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2. Criteria for being l -equivalent to special spaces.

First, we will discuss a compactum which is l -equivalent to I^n . A space X is *locally contractible at a point* x of X if for every open neighborhood U of x in X , there exists an open neighborhood V of x in X such that $V \subset U$ and V is contractible in U . We denote the set of all points of X at which X are locally contractible by $L_c(X)$. Now we have

2.1. THEOREM. *Let X be an n -dimensional locally compact space and \tilde{X} be the closure of the set of all points of X whose local dimensions are exactly n . If X is l -equivalent to an n -manifold, then $L_c(\tilde{X})$ is dense in \tilde{X} .*

PROOF. Note that $\dim A = n$ for any non-empty open subset A of \tilde{X} . Suppose that X is l -equivalent to an n -manifold M . First, we show that for an arbitrary open subset U of \tilde{X} , there is an open subset of U which is contractible in U . By Theorem 1.1 (1), there exists a non-empty open subset V of

U and there exist maps $f: V \rightarrow M$ and $g: f(V) \rightarrow V$ such that $gf = 1_V$. Since $f(V)$ is the n -dimensional subset of M , $\text{int } f(V) \neq \emptyset$. Hence there is a point x_0 of V and there is an open subset W of M such that $f(x_0) \in W \subset \text{cl } W \subset \text{int } f(V)$ and $\text{cl } W$ is homeomorphic to I^n . Particularly, W is contractible in $f(V)$, and therefore there is a homotopy $G: W \times I \rightarrow f(V)$ such that $g(y, 0) = y$ and $G(y, 1) = f(x_0)$ for all $y \in W$. Take an open subset V_0 in V such that $x_0 \in V_0$ and $f(V_0) \subset W$ and define a homotopy $H: V_0 \times I \rightarrow U$ by $H(x, t) = gG(f(x), t)$ for $(x, t) \in V_0 \times I$. Then $H(x, 0) = x$ and $H(x, 1) = x_0$ for all $(x, t) \in V_0 \times I$. Hence V_0 is contractible in U .

Next, we show that $L_c(\tilde{X})$ is dense in \tilde{X} . Let U an arbitrary non-empty open subset of \tilde{X} . By the first part of the proof, we have a sequence $\{U_n\}_{n \geq 0}$ of non-empty open subsets of \tilde{X} such that for every $n = 0, 1, 2, \dots$,

- (1) $\text{cl } U_{n+1} \subset U_n$, where $U_0 = U$
- (2) $\text{diam } [U_n] < \frac{1}{n}$, and
- (3) U_{n+1} is contractible in U_n .

Then by (1) and (2), we have a point $x_* \in \bigcap_{n \geq 0} U_n \subset U$, and by (2) and (3), we can see that $x_* \in L_c(\tilde{X})$. Therefore $L_c(\tilde{X})$ is dense in \tilde{X} .

2.2. COROLLARY. *Let X be an n -dimensional compactum and \tilde{X} be the closure of the set of all points of X whose local dimensions are exactly n . Then if X is l -equivalent to I^n , $L_c(\tilde{X})$ is dense in \tilde{X} .*

Next, we will consider the case of curves. A compactum X is *finitely Suslinian* [7] if for every $\varepsilon > 0$, each collection of pairwise disjoint subcontinua of X having diameters greater than ε is finite. We note that every finitely Suslinian continuum is at most 1-dimensional, and that every hereditarily locally connected continuum is finitely Suslinian. Hence every 1-dimensional compact ANR is finitely Suslinian, and there exist finitely Suslinian compacta which are not ANR's. In order to show a criterion of a curve which is l -equivalent to I , we introduce a notation as follows. A space X is *locally connected at a point x* of X if for every open neighborhood U of x in X , there exists a connected open neighborhood V of x in U . By $L(X)$, we denote the set of all points of X at which X is locally connected. Clearly a space X is locally connected if and only if $L(X) = X$. Then we have

2.3. THEOREM. *If a curve X is l -equivalent to a finitely Suslinian compactum, then the following conditions are satisfied:*

- (i) $L(X)$ is dense in X , and
- (ii) $L(X)$ has non-empty interior in X .

PROOF. Suppose that X is l -equivalent to a finitely Suslinian compactum Y but $L(X)$ is not dense in X . Then there is a non-empty open subset U of X such that $U \cap L(X) = \emptyset$. By Theorem 1.1 (1), there is a non-empty open subset V of U such that $clV \subset U$ and there exists an embedding $f: clV \rightarrow Y$. Since $V \cap L(X) = \emptyset$, by [14, Theorem I.12.1], there exist a positive number $\varepsilon > 0$ and a sequence K_0, K_1, K_2, \dots of pairwise disjoint subcontinua of clV such that

$$\text{diam}[K_i] > \varepsilon \quad \text{for all } i \geq 0, \quad \text{and} \quad K_0 = \text{Lim}_i K_i.$$

Then the sequence $f(K_0), f(K_1), f(K_2), \dots$ consists of pairwise disjoint subcontinua in Y and satisfies the following properties:

$$f(K_0) = \text{Lim}_i f(K_i), \quad \text{and} \quad \text{diam}[f(K_0)] > 0.$$

But this contradicts to the assumption that Y is finitely Suslinian, because $\text{diam}[f(K_i)] \geq 1/2 \text{diam}[f(K_0)]$ for almost all $i \geq 1$. Namely, the curve X satisfies the condition (i).

If $\text{int} L(X) = \emptyset$, then $X - L(X)$ is dense in X . Hence we can similarly prove that the condition (ii) is satisfied.

2.4. COROLLARY. *Neither the Cantor fan nor the Knaster indecomposable curve (see [6, Example 1, p. 204]) are l -equivalent to any finitely Suslinian compactum.*

A space X has a *decomposable local system* if every non-empty open subset of X contains a non-degenerate decomposable continuum. For example, n -manifolds, polyhedra, hereditarily decomposable continua, the Knaster indecomposable curve, the dyadic solenoid have decomposable local system. By Theorem 1.1 (1), we can easily show the following.

2.5. LEMMA. *No compactum which has a decomposable local system is l -equivalent to any hereditarily indecomposable continuum.*

Considering the arc, the Knaster indecomposable curve and the pseudo-arc [2], by Corollary 2.4 and Lemma 2.5, we have.

2.6. COROLLARY. *There exist three arc-like continua which are not l -equivalent to each other.*

Finally, we will construct a finitely Suslinian continuum which is not locally

contractible at any point. Namely, for a curve X , the density of $L(X)$ is a criterion for being l -equivalent to a finitely Suslinian compactum but is not one for being l -equivalent to I .

2.7. EXAMPLE. Let S_0 be the unit circle in the plane R^2 . Let $\{a_i | i \geq 1\}$ be a countable dense subset of S_0 . Then we can take a sequence $\{S_{1,i} | i \geq 1\}$ of pairwise disjoint circles inside of S_0 satisfying the conditions;

- (1) $S_0 \cap S_{1,i} = \{a_i\}$ for every $i \geq 1$, and
- (2) $\text{diam}[S_{1,i}] \leq \frac{1}{2^i}$ for every $i \geq 1$.

Define

$$X_1 = S_0 \cup \left(\bigcup_{i \geq 1} S_{1,i} \right).$$

For $n \geq 1$, assume that we have constructed a sequence $\{S_{n,i} | i \geq 1\}$ of pairwise disjoint circles and a continuum X_n of the form $X_{n-1} \cup \left(\bigcup_{i \geq 1} S_{n,i} \right)$, where $X_0 = S_0$, such that for every $i \geq 1$,

- (3) $X_{n-1} \cap S_{n,i} = \{a_{n,i}\}$, $X_{n-2} \cap S_{n,i} = \emptyset$,
- (4) $\text{diam}[S_{n,i}] \leq \frac{1}{n \cdot 2^i}$,
- (5) $\{a_{n,i} | i \geq 1\}$ is dense in X_{n-1} .

Then for every $i \geq 1$, take a countable subset $\{b_{i,j} | j \geq 1\}$ of $S_{n,i} - X_{n-1}$ which is dense in $S_{n,i}$. Further let us take a sequence $\{S_{n,i,j} | j \geq 1\}$ of pairwise disjoint circles inside of $S_{n,i}$ such that for every $i \geq 1$,

- (6) $X_n \cap S_{n,i,j} = \{b_{i,j}\}$, and
- (7) $\text{diam}[S_{n,i,j}] \leq \frac{1}{(n+1) \cdot 2^{i^2+j}}$.

Then define

$$X_{n+1} = X_n \cup \left[\bigcup_{i \geq 1} \left(\bigcup_{j \geq 1} S_{n,i,j} \right) \right].$$

It is easily seen that X_{n+1} can be represented in the form which satisfies the inductive assumptions (3)-(5) in replacement of X_n by X_{n+1} . So we define a curve

$$X = \bigcup_{n \geq 1} X_n.$$

Now we can rewrite X as follows;

$$Y_i = S_{1,i} \cup \left(\bigcup_{j \geq 1} S_{1,i,j} \right) \cup \left(\bigcup_{j \geq 1} \left(\bigcup_{k \geq 1} S_{1,i,j,k} \right) \right) \cup \dots \quad \text{for } i \geq 1, \text{ and } X = \bigcup_{i \geq 1} Y_i.$$

By the construction, every subcontinuum of X having diameter greater than $1/2^i$, which intersects Y_i , must contain a_i . Hence it is easily seen that X is

finitely Suslinian. By the conditions (3)–(7), every non-empty open subset of X contains simple closed curves. Hence $L_c(X) = \emptyset$. Therefore the curve X is the required one.

3. Curves which are l -equivalent to I .

In this section we will show that certain curves are l -equivalent to I . We need the following lemma as elementary and key tools for calculations.

3.1. LEMMA (Pavlovskii [8]). (1) For a closed subset S of I , $C_p(I) \cong C_p(S) \times C_p(I; S)$, where for a subset A of a space X , we define $C_p(X; A) = \{f \in C_p(X) \mid f(A) = 0\}$, and if $A = \{a\}$, we write $C_p(X; A) = C_p(X; a)$.

(2) Let A be a closed subset of a space X , which is a neighborhood retract of X . Then $C_p(X) \cong C_p(A) \times C_p(X; A)$.

(3) Let X_1 and X_2 be closed subsets of a space X such that $X = X_1 \cup X_2$, $X_0 = X_1 \cap X_2$ is a neighborhood retract of X and $C_p(X_0) \cong C_p(X_0) \times C_p(X_0)$. Then $C_p(X) \cong C_p(X_1) \times C_p(X_2)$.

(4) $C_p(I) \times C_p(I) \cong C_p(I)$.

3.2. THEOREM. Every dendrite (=1-dimensional compact AR) with finite ramification points is l -equivalent to I .

PROOF. By Theorem 1.1 (2), we consider only a dendrite which is not a tree. Let X be a dendrite with ramification points x_1, x_2, \dots, x_n . Let A be a tree in X which contains all x_i . Then by Lemma 3.1 (2) and (4),

$$\begin{aligned} C_p(X) &\cong C_p(A) \times C_p(X; A) \cong C_p(I) \times C_p(X/A; [A]) \\ &\cong C_p(I) \times R \times C_p(X/A; [A]) \\ &\cong C_p(I) \times C_p(X/A), \end{aligned}$$

where $[A]$ is the identification point of A in X/A . Since X/A is a dendrite with exactly one ramification point, by Lemma 3.1 (4), it suffices to show the case of dendrites with exactly one ramification point.

Let p be the pole (i.e., the origin) in the polar coordinate system in the plane R^2 . Define in the polar coordinate (r, θ) ,

$$p_n = \left(\frac{1}{n}, \frac{1}{n} \right) \quad \text{for every } n \geq 1,$$

and let

$$Y = \bigcup_{n \geq 1} \overline{p p_n},$$

where \overline{xy} stands for the straight line segment joining x and y . Now it is easily

seen that every dendrite, which is not a tree and has exactly one ramification point, is homeomorphic to Y . Hence it suffices to prove that

$$(*) \quad C_p(Y) \cong C_p(I).$$

Let $S = \{0, 1, \frac{1}{2}, \frac{1}{3}, \dots, \frac{1}{n}, \dots\}$. Then by Lemma 3.1 (2),

$$C_p(I) \cong C_p(S) \times C_p(I; S) \cong R \times C_p(S; 0) \times C_p(I; S)$$

We note that we can identify each $\alpha \in C_p(S; 0)$ with the sequence $\{a_n\}_{n \geq 1}$ defined by $a_n = \alpha(1/n)$, which converges to 0. So for each $(\alpha, f) \in C_p(S; 0) \times C_p(I; S)$, we define $\varphi(\alpha, f) \in C_p(Y; p)$ by the formula;

$$\varphi(\alpha, f)\left(r, \frac{1}{n}\right) = f\left(\frac{r+1}{n+1}\right) + nra_n \quad \text{for each } r, 0 \leq r \leq \frac{1}{n}, n \geq 1.$$

Namely, we have the continuous linear function $\varphi: C_p(S; 0) \times C_p(I; S) \rightarrow C_p(Y; p)$. On the other hand, for each $g \in C_p(Y; p)$, $\psi_1(g) \in C_p(S; 0)$ and $\psi_2(g) \in C_p(I; S)$ are defined as follows;

$$\psi_1(g)(t) = \begin{cases} g(p_n) & \text{if } t = \frac{1}{n} \text{ for some } n \geq 1, \\ 0 & \text{if } t = 0, \end{cases}$$

$$\psi_2(g)(t) = \begin{cases} g\left((n+1)t - 1, \frac{1}{n}\right) + \{n - n(n+1)t\}g(p_n) & \\ \text{if } t \in \left[\frac{1}{n+1}, \frac{1}{n}\right] \text{ for some } n \geq 1, \\ 0 & \text{if } t = 0. \end{cases}$$

Hence we have the continuous linear function $\psi: C_p(Y; p) \rightarrow C_p(S; 0) \times C_p(I; S)$ given by $\psi(g) = (\psi_1(g), \psi_2(g))$. Then we can see that $\varphi\psi = 1_{C_p(Y; p)}$ and $\psi\varphi = 1_{C_p(S; 0) \times C_p(I; S)}$. Hence $C_p(S; 0) \times C_p(I; S) \cong C_p(Y; p)$. Therefore we have

$$(*) \quad C_p(I) \cong R \times C_p(S; 0) \times C_p(I; S) \cong R \times C_p(Y; p) \cong C_p(Y).$$

3.3. COROLLARY. *Every 1-dimensional compact ANR with finite ramification points is l -equivalent to I .*

PROOF. Let X be a 1-dimensional compact ANR with finite ramification points. By Lemma 3.1 (4) and (3), we may assume that X is connected. We will prove by the induction on the number of simple closed curves in X . If there is no simple closed curve in X , then X is a dendrite. Hence by Theorem 3.2, the assertion holds.

Assume that the assertion holds for ANR's which has at most $n-1$ simple

closed curves, where $n \geq 1$. Let X be 1-dimensional compact ANR which has n simple closed curves. Take a simple closed curve L in X . Then X/L is a 1-dimensional compact ANR and has at most $n-1$ simple closed curves, because a 1-dimensional locally connected continuum with the finite Betti number is an ANR. Hence by the assumption, Theorem 1.1 (2) and Lemma 3.1,

$$\begin{aligned} C_p(X) &\cong C_p(L) \times C_p(X; L) \cong C_p(I) \times C_p(X/L; [L]) \\ &\cong C_p(I) \times C_p(X/L) \cong C_p(I) \times C_p(I) \\ &\cong C_p(I). \end{aligned}$$

Therefore X is also l -equivalent to I . The induction is completed.

3.4. COROLLARY. *Let X be a dendrite. If there exists an increasing finite sequence $X_0 \subset X_1 \subset \dots \subset X_{n+1} = X$, $n \geq 0$, of subcontinua of X such that*

- (1) *X has at most finite ramification points, and*
- (2) *for $i=0, 1, \dots, n$, the continuum X_{i+1}/X_i has at most finite ramification points,*

then X is l -equivalent to I .

Next, we will give other curves which are l -equivalent to I .

3.5. THEOREM. *Every continuum which is a one-to-one continuous image of $[0, \infty)$ is l -equivalent to I .*

PROOF. Let X be a continuum which admits a bijective map $f: [0, \infty) \rightarrow X$. Then by [9, Structure Theorem and its Remark], X can be written in the form $X = \alpha \cup C \cup L$, where α is an arc or a point, C is an arc-like continuum with at most two arc-components, L is an arc, $L \cap C$ is exactly the two non-cutpoints of L which are also opposite endpoints of C , and $\alpha \cap (C \cup L)$ is a single point of C which is a non-cutpoint of α and which, if C is not an arc (i.e., $C \cup L$ is not a simple closed curve), is the non-cutpoint not in $L \cap C$ of the arc-component of C which is an arc. In fact, by the proof, there are real numbers $0 \leq a \leq b < c$ such that $\alpha = f([0, a])$, $C = f([a, b]) \cup f([c, \infty))$ and $L = f([b, c])$.

If $a=b$, namely, $C \cup L$ is a simple closed curve, by Theorem 1.1 (2), X is l -equivalent to I . So we may assume that $a < b$. Let define

$$X_1 = \alpha \cup C,$$

and

$$X_2 = f([0, d]), \quad \text{where } d \text{ is an arbitrary real number with } d > c.$$

Then by Lemma 3.1 (2) and (4),

$$\begin{aligned} C_p(X_1) &\cong C_p(f([0, b])) \times C_p(X_1/f([0, b]); [f([0, b])]) \\ &\cong C_p(I) \times C_p(I; 0) \\ &\cong C_p(I) \end{aligned}$$

Note that $X = X_1 \cup X_2$ and $X_0 = X_1 \cap X_2$ is a disjoint union of two arcs. Hence by Lemma 3.1 (3) and (4),

$$C_p(X) \cong C_p(X_1) \times C_p(X_2) \cong C_p(I) \times C_p(I) \cong C_p(I)$$

Therefore such a curve X is l -equivalent to I .

3.6. COROLLARY. *Every continuum which is a one-to-one continuous image of the real line R is l -equivalent to I .*

Curves described in Theorem 3.5 and Corollary 3.6 are called *half-real curves* and *real curves*, respectively [10]. By Theorem 3.5 and Corollary 3.6, we see that the property of being l -equivalent to I does not imply even local connectivity. Hence Theorem 2.1 and Theorem 2.3 may be interesting properties. As mentioned in Introduction, for each $n \geq 1$, there exist uncountable many n -dimensional compact AR's which are not l -equivalent to each other. Hence characterizations of continua or compact AR's which are l -equivalent to I^n are important. In the case of curves we pose the following problem related to our result;

PROBLEM. *Characterize dendrites which are l -equivalent to I . Particularly, is the converse of Corollary 3.4 valid?*

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