

WEAKLY UNIFORM DISTRIBUTION MOD m
FOR CERTAIN RECURSIVE SEQUENCES
AND FOR MONOMIAL SEQUENCES

By

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0. Introduction.

In my preceding paper [2], recursive sequences defined by

$$(1) \quad u_{n+1} \equiv a \cdot u_n + b \cdot u_n^{-1} \pmod{m}$$

were considered. We investigated conditions for which above defined recursive sequence with $a=b=1$ did not terminate and introduced the notion of uniform distribution in $(\mathbf{Z}/m\mathbf{Z})^*$ for non-terminating recursive sequences defined by (1). It was proved that every non-terminating recursive sequence defined by (1) was not uniformly distributed in $(\mathbf{Z}/m\mathbf{Z})^*$ except one special case.

In order to avoid the repetition of the word, “non-terminating”, we define weakly uniform distribution mod m according to W. Narkiewicz [4]. Let $a = \{a_n\}_{n=1,2,\dots}$ be a sequence of integers. For integers $N \geq 1$, $m \geq 2$, and j ($0 \leq j \leq m-1$), let us define $A_N(a; j, m)$ as the number of terms among a_1, a_2, \dots, a_N satisfying the congruence $a_n \equiv j \pmod{m}$ and similarly $B_N(a; m)$ as the number of terms a_n , $1 \leq n \leq N$, that are relatively prime to m .

A sequence $a = \{a_n\}_{n=1,2,\dots}$ of integers is said to be weakly uniformly distributed mod m if, for all j prime to m ,

$$\lim_{N \rightarrow \infty} \frac{A_N(a; j, m)}{B_N(a; m)} = \frac{1}{\phi(m)},$$

provided

$$\lim_{N \rightarrow \infty} B_N(a; m) = \infty,$$

where $\phi(\cdot)$ denotes the Euler totient function.

For recursive sequences defined by (1), uniform distributions in $(\mathbf{Z}/m\mathbf{Z})^*$ are equivalent to weakly uniform distributions mod m .

In this note, we shall consider recursive sequences defined by

$$(2) \quad v_{n+1} \equiv a_k(v_n^k + v_n^{-k}) + a_{k-1}(v_n^{k-1} + v_n^{-(k-1)}) + \dots \\ + a_1(v_n + v_n^{-1}) + a_0 \pmod{m},$$

which is symmetric with respect to v_n and v_n^{-1} . We shall consider also recursive sequences defined by

$$(3) \quad w_{n+1} \equiv a \cdot w_n^k + b \cdot w_n^{-k} \pmod{m}.$$

It will be proved that these recursive sequences are not weakly uniformly distributed mod m except for some special cases.

Uniform distribution properties mod m of monomial sequences are known by B. Zane [5]. We obtain almost similar results for weakly uniform distribution mod m of monomial sequences in the last section.

1. Symmetric recursion formula.

We considered in [2] a recursive sequence $u = \{u_n\}_{n=1,2,\dots}$ defined by

$$(4) \quad u_{n+1} \equiv u_n + u_n^{-1} \pmod{m}.$$

We introduced a function g_1 corresponding to the recursion formula (4) defined by $g_1(s) = s + s^{-1}$ on the multiplicative group $G_m = (\mathbf{Z}/m\mathbf{Z})^*$.

If the sequence u is weakly uniformly distributed mod m , then the corresponding function g_1 is necessarily bijective on G_m . The function g_1 satisfies a functional equation

$$(5) \quad g_1(s) = g_1(s^{-1})$$

for all s in G_m , which gave Theorem 5 in [2] together with the bijectivity of g_1 .

We now determine recursion formulae to which corresponding functions g satisfy the same functional equation as (5). Let us consider the function g_1 as a function h_1 with two variables, s and s^{-1} . The functional equation (5) is identical to the symmetricness of the function h_1 . It is now enough to determine all symmetric functions of s and s^{-1} .

Every symmetric function can be represented as a polynomial of fundamental symmetric functions. In this case, two fundamental symmetric functions are $s + s^{-1}$ and $s \cdot s^{-1} = 1$, and so every symmetric function $h(s, s^{-1})$ is a polynomial of $(s + s^{-1})$.

Applying Newton's binomial theorem to the expansion of $(s + s^{-1})^n$, the coefficient of s^k is $\binom{n}{(n+k)/2}$ which coincides with that of s^{-k} , where the symbol $\binom{x}{r}$ is the generalized binomial coefficient [1]. Hence the function satisfying (5) can be represented by

$$(6) \quad g(s) = a_k(s^k + s^{-k}) + a_{k-1}(s^{k-1} + s^{-(k-1)}) + \cdots + a_1(s + s^{-1}) + a_0,$$

and the corresponding recursion formula is (2).

We shall prove the

THEOREM 1. *No recursive sequence $v = \{v_n\}_{n=1,2,\dots}$ is weakly uniformly distributed mod m except for*

$$v_{n+1} \equiv v_n + v_n^{-1} \pmod{3}$$

and for

$$v_{n+1} \equiv v_n^2 + v_n + 1 + v_n^{-1} + v_n^{-2} \pmod{3}.$$

NOTE. The sequence defined by the latter congruence is substantially identical with the sequence defined by the former, since

$$v_n^2 \equiv v_n^{-2} \equiv 1 \pmod{3} \text{ for all } n.$$

PROOF. If a recursive sequence $v = \{v_n\}_{n=1,2,\dots}$ is weakly uniformly distributed mod m , then the function g in (6) corresponding to the recursion formula (2) is necessarily bijective from $G_m = (\mathbf{Z}/m\mathbf{Z})^*$ to G_m . The function g satisfies $g(s) = g(s^{-1})$, from which and from the bijectivity of g we deduce that

$$s \equiv s^{-1} \pmod{m},$$

or equivalently to

$$(7) \quad s^2 \equiv 1 \pmod{m},$$

for all s in G_m .

(i) *Case of odd m 's.* For any odd integer m , the multiplicative group G_m contains 2 as an element. Substituting 2 in (7), we obtain $m=3$.

From Fermat's theorem, $s^3 \equiv s \pmod{3}$ for all s in $\mathbf{Z}/3\mathbf{Z}$, then we may restrict ourselves to the following recursion formulae:

$$v_{n+1} \equiv a_2(v_n^2 + v_n^{-2}) + a_1(v_n + v_n^{-1}) + a_0 \pmod{3}.$$

Direct calculation shows that only the following two recursion formulae:

$$v_{n+1} \equiv v_n + v_n^{-1} \pmod{3}$$

and

$$v_{n+1} \equiv v_n^2 + v_n + 1 + v_n^{-1} + v_n^{-2} \pmod{3}$$

generate weakly uniformly distributed sequences mod 3.

(ii) *Case of even m 's.* We denote r the smallest positive odd integer other

than the unit element in the multiplicative group $G_m = (\mathbf{Z}/m\mathbf{Z})^*$. Substituting r in (7), we have $m = r^2 - 1$. The smallestness of $r \neq 1$ in G_m assures that m is divisible by all primes p_j less than r , which signifies

$$(8) \quad \prod_{j=1}^{n(r)-1} p_j < r^2 - 1.$$

The inequality (8) holds, from the prime number theorem, for only small values of r . Indeed (8) is valid only for $r = 3, 5, 7$ and 9 . Considering prime factors of $r^2 - 1$ for above values of r satisfying (8), it is enough to consider the following two cases: $m = 8$ and $m = 24$.

On $G_8 = (\mathbf{Z}/8\mathbf{Z})^*$, the function $g_1(s)$ takes only two distinct values, from which g is not bijective on G_8 . Similarly g is neither bijective on $G_{24} = (\mathbf{Z}/24\mathbf{Z})^*$. Thus we complete the proof.

2. Recursive sequences defined by $w_{n+1} \equiv a \cdot w_n^k + b \cdot w_n^{-k} \pmod{m}$.

We now consider recursive sequences $w = \{w_n\}_{n=1,2,\dots}$ defined by

$$(3) \quad w_{n+1} \equiv a \cdot w_n^k + b \cdot w_n^{-k} \pmod{m},$$

that is a generalization of the recursion formula (1) considered in [2]. We obtain

THEOREM 2. *No recursive sequence $w = \{w_n\}_{n=1,2,\dots}$ defined by (3) is weakly uniformly distributed mod m except for $a = b = k = 1$ and $m = 3$.*

PROOF. The corresponding function f to the recursion formula (3) is

$$\begin{aligned} f(s) &= a \cdot s^k + b \cdot s^{-k} \\ &= a \cdot s^k + b(s^k)^{-1}. \end{aligned}$$

If a recursive sequence $w = \{w_n\}_{n=1,2,\dots}$ is weakly uniformly distributed mod m , then the function f from $G_m = (\mathbf{Z}/m\mathbf{Z})^*$ is bijective to G_m , from which we deduce that the function f_k from G_m defined by

$$f_k(s) = s^k$$

is also bijective to G_m , since f may be considered as a function of s^k . Then the following congruential equation

$$(9) \quad s^k \equiv c \pmod{m}$$

has only one solution in G_m for all c in G_m .

Setting $c = a$ and $c = b$, we denote the unique solution in (9) a_0 and b_0 , respectively. Then the function f corresponding to (3) satisfies a functional equation:

$$(10) \quad f(s) = f(b_0 \cdot a_0^{-1} \cdot s^{-1})$$

for all s in G_m . The bijectivity of f and (10) shows that

$$(11) \quad s \equiv b_0 \cdot a_0^{-1} \cdot s^{-1} \pmod{m}$$

for all s in the multiplicative group G_m .

Substituting for $s=1$, we have

$$c \equiv d \pmod{m},$$

which is a special case in Theorem 1. Thus the proof is completed.

3. Monomial Sequences.

In the preceding section, the solvability of (9) is a necessary condition for weakly uniform distribution mod m of $w = \{w_n\}_{n=1,2,\dots}$. Thus we are naturally led to consider distribution properties of monomial sequences.

Let us consider, for nonnegative integer k , monomial sequences $m(k; a) = \{a \cdot n^k\}_{n=1,2,\dots}$. If a monomial sequence $m(k; a)$ is weakly uniformly distributed mod m , then the following congruential equation

$$(12) \quad a \cdot s^k \equiv c \pmod{m}$$

has a unique solution in $G_m = (\mathbf{Z}/m\mathbf{Z})^*$ for all c in G_m and a is necessarily prime to m . Then multiplying a^{-1} to (12), it is enough to consider the unique solvability of (9) for all c in the multiplicative group G_m .

Let m be a composite integer such that

$$(13) \quad m = p_1^{\alpha_1} \cdot p_2^{\alpha_2} \cdots p_r^{\alpha_r} \quad (\alpha_i \geq 1),$$

where p_1, p_2, \dots, p_r are distinct primes. Then (9) has only one solution if and only if

$$(14) \quad s^k \equiv c \pmod{p_i^{\alpha_i}}$$

has only one solution for each i , $1 \leq i \leq r$. In order to determine whether a monomial sequence $m(k; a)$ is weakly uniformly distributed mod m , it is enough to consider (14) for each i .

Starting from small values of k , we trivially obtain from the theory of linear congruences

THEOREM 3. *Monomial sequence $m(1; a)$ of degree one is weakly uniformly distributed mod m if and only if a is relatively prime to m .*

Likewise to uniformly distributed sequences of integers, we call an integer sequence $b = \{b_n\}_{n=1,2,\dots}$ to be weakly uniformly distributed if b is weakly uniformly distributed mod m for all integers $m \geq 2$. Dirichlet's prime number theorem

asserts us that the sequence of prime numbers is an example of weakly uniformly distributed sequences of integers.

From Theorem 3, we derive

COROLLARY. $m(1; a)$ is not weakly uniformly distributed except for $a = \pm 1$.

For monomial sequences $m(2l; a)$ of even degree, we get a negative answer to weakly uniform distribution mod m .

THEOREM 4. No monomial sequence $m(2l; a)$ of even degree is weakly uniformly distributed mod m except for $m=2$ and odd integer a .

PROOF. For the case of $l=0$, the statement of the Theorem is evident.

Setting now that $l \geq 1$ and we suppose that a monomial sequence $m(2l; a)$ is weakly uniformly distributed mod m , where m is of the form (13). Then, the congruence

$$(15) \quad s^{2l} \equiv c \pmod{p_i^{a_i}}$$

has only one solution. From the unique existence of (15) for all c in $G_{p_i^{a_i}} = (\mathbf{Z}/p_i^{a_i}\mathbf{Z})^*$, we deduce that $2l$ and $\phi(p_i^{a_i})$ are relatively prime, which is impossible for odd prime p .

We now restrict ourselves to the modulus of the form 2^α and next Proposition (Theorem 63 in [3]) is useful.

PROPOSITION. The numbers $\pm 5, \pm 5^2, \dots, \pm 5^{2^\beta - 2}$ form a reduced residue system modulo 2^β when $\beta \geq 3$.

That signifies

$$(16) \quad G_{2^\alpha} = (\mathbf{Z}/2^\alpha\mathbf{Z})^* = \{\pm 5, \pm 5^2, \dots, \pm 5^{2^\alpha - 2}\}.$$

Suppose further that

$$(17) \quad 2l = 2^r \cdot l', \text{ where } l' \text{ is an odd integer,}$$

and consider the following congruence

$$(18) \quad s^{2l} \equiv c \pmod{2^\alpha}.$$

From (16), we may put, for $\alpha \geq 3$,

$$(19) \quad c \equiv (-1)^\lambda \cdot 5^h \pmod{2^\alpha},$$

$$(20) \quad s \equiv (-1)^\mu \cdot 5^x \pmod{2^\alpha},$$

where h, x, λ and μ are nonnegative integers. By introducing (19) and (20) in (18), we get

$$5^{2xl} \equiv (-1)^l \cdot 5^h \pmod{2^\alpha}.$$

Hence the number λ is even. Then again from (16) and introducing (17), we obtain

$$2^r \cdot l \cdot x \equiv h \pmod{2^{\alpha-2}}.$$

This implies $h \equiv 0 \pmod{2^r}$.

Then we derive that the congruential equation (18) has solutions if $c \equiv 5^h \pmod{2^\alpha}$ with $h \equiv 0 \pmod{2^r}$; otherwise it has no solution. We henceforth conclude that no monomial sequence $m(2l; a)$ is weakly uniformly distributed mod 2^α when $\alpha \geq 3$.

For $\alpha=1$ and $\alpha=2$, we examine $m(2l; a)$ directly and obtain that $m(2l; a)$ is weakly uniformly distributed mod 2 for odd a . Thus we complete the proof.

For monomial sequences of odd degree we obtain first positive answers to weakly uniform distribution mod m .

THEOREM 5. *Monomial sequences $m(k; a)$ of odd degree are weakly uniformly distributed mod 2^α for every $\alpha \geq 1$, provided a is odd.*

PROOF. For $\alpha=1$ and $\alpha=2$, direct calculations gives the statement of the Theorem 5.

For $\alpha \geq 3$, using the same representations as in (19) and (20),

$$(21) \quad s^k \equiv c \pmod{2^\alpha}$$

may be rewritten by

$$(-1)^\mu \cdot 5^{xk} \equiv (-1)^\lambda \cdot 5^h \pmod{2^\alpha}.$$

Hence $\mu \equiv \lambda \pmod{2}$ and again from Proposition

$$x \cdot k \equiv h \pmod{2^{\alpha-2}}.$$

Since k is odd, this linear congruential equation has only one solution. Therefore, the congruence (21) has exactly one solution for all c in G_{2^α} , which completes the the proof.

THEOREM 6. *If k is odd, then there exist infinitely many primes p such that a monomial sequence $m(k; a)$ is weakly uniformly distributed mod p^α for all $\alpha \geq 1$, provided a and p are relatively prime.*

PROOF. Theorem 3 asserts the statement of Theorem 6 for $k=1$. Hence we suppose that k is greater than 1.

From the proof of Theorem 4 and Theorem 5, we know that $m(k; a)$ is weakly uniformly distributed mod p^α if k is prime to $\phi(p^\alpha)$. By Dirichlet's theorem the arithmetic progression

$$2+k, 2+2k, \dots, 2+mk, \dots$$

contains an infinite number of primes. Let $p=2+mk$ be any such prime satisfying $p>a$. If d is a divisor of $p-1=1+mk$ and if d is also a divisor of k , then k must be a divisor of 1. It follows that k is relatively prime to $\phi(p^a)=p^{a-1}(p-1)$. The proof is now completed.

We get, however, a negative answer to weakly uniform distribution mod m for monomial sequences of odd degree greater than one.

THEOREM 7. *If k is an odd integer greater than one, then there exist infinitely many primes p such that $m(k; a)$ is not weakly uniformly distributed mod p .*

PROOF. It is enough to prove the existence of an infinite number of primes p for which $p-1$ are not prime to k . Again by Dirichlet's theorem, there exist infinitely many primes p in the following arithmetic progression

$$1+k, 1+2k, \dots, 1+mk, \dots$$

Let $p=1+mk>k$ be any such prime, then

$$(k, p-1)=(k, mk)=k>1,$$

where (a, b) denotes the greatest common divisor of two integers a and b . Thus the proof is finished.

REMARK. No monomial sequence $m(k; a)$ is weakly uniformly distributed except for $m(1; \pm 1)$.

References

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