

## Polynomial rings over Krull orders in simple Artinian rings

Dedicated to professor Goro Azumaya  
for his 60th birthday

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(Received May 18, 1979)

### Introduction

Let  $Q$  be a simple artinian ring. An order  $R$  in  $Q$  is called Krull if there are a family  $\{R_i\}_{i \in I}$  and  $S(R)$  of overrings of  $R$  satisfying the following :

(K 1)  $R = \bigcap_{i \in I} R_i \cap S(R)$ , where  $R_i$  and  $S(R)$  are essential overrings of  $R$  (cf. Section 2 for the definition), and  $S(R)$  is the Asano overring of  $R$ ;

(K 2) each  $R_i$  is a noetherian, local, Asano order in  $Q$ , and  $S(R)$  is a noetherian, simple ring ;

(K 3) if  $c$  is any regular element of  $R$ , then  $cR_i \neq R_i$  for only finitely many  $i$  in  $I$  and  $R_k c \neq R_k$  for only finitely many  $k$  in  $I$ .

If  $S(R) = Q$ , then  $R$  is said to be bounded. Author mainly investigated the ideal theory in bounded Krull orders in  $Q$  (cf. [10], [11], [12] and [13]). The class of Krull orders contains commutative Krull domains, maximal orders over Krull domains, noetherian Asano orders and bounded noetherian maximal orders. It is well known that if  $D$  is a commutative Krull domain, then the polynomial and formal power series rings  $D[\mathbf{x}]$  and  $D[[\mathbf{x}]]$  are both Krull, where the set  $\mathbf{x}$  of indeterminates is finite or not.

The purpose of this paper is to show how the results above can be carried over to non commutative Krull orders by using prime  $v$ -ideals and localization functors. After giving some fundamental properties on polynomial rings (Section 1), we shall show, in Section 2, that if  $R$  is a Krull order in  $Q$  and if  $\mathbf{x}$  is a finite set, then so is  $R[\mathbf{x}]$ . In case  $\mathbf{x}$  is an infinite set, we can not show whether  $R[\mathbf{x}]$  is Krull or not. But we shall show that  $R[\mathbf{x}]$  satisfies some properties interesting in multiplicative ideal theory as follows :

(i)  $R[\mathbf{x}] = \bigcap_P R[\mathbf{x}]_P \cap S(R[\mathbf{x}])$ , where  $P$  ranges over all prime  $v$ -ideals of  $R[\mathbf{x}]$ , the local ring  $R[\mathbf{x}]_P$  is a noetherian and Asano order in the quotient ring of  $R[\mathbf{x}]$  and the Asano overring  $S(R[\mathbf{x}])$  is a simple ring.

(ii) The integral  $v$ -ideals of  $R[\mathbf{x}]$  satisfies the maximum condition.

In Section 4, we shall discuss on Krull orders over commutative Krull domains. If  $A$  is a Krull  $D$ -order, where  $D$  is a commutative Krull domain, then it is shown that  $A[\mathbf{x}]$  and  $A[[\mathbf{x}]]$  are both Krull  $D[\mathbf{x}]$  and  $D[[\mathbf{x}]]$ -orders, respectively, where  $\mathbf{x}$  is finite or not.

### 1. Preliminaries

Throughout this paper, each ring will be assumed to have an identity,  $Q$  will denote a simple artinian ring and  $R$  will denote an order in  $Q^v$ . We refer to N. Jacobson [9] concerning the terminology on orders.

Let  $\mathbf{x} = \{x_\alpha\}_{\alpha \in A}$  be an arbitrary set of indeterminates over  $R$  subject to the condition that  $rx_\alpha = x_\alpha r$  for any  $r \in R$  and for any  $x_\alpha \in \mathbf{x}$ , where  $A$  is an index set. The polynomial ring  $R[\mathbf{x}]$  is defined to be the union of the rings  $R[\mathbf{x}'] = R[x_{\alpha_1}, \dots, x_{\alpha_n}]$ , where  $\mathbf{x}' = \{x_{\alpha_i}\}_{i=1}^n$  ranges over all finite subsets of  $\mathbf{x}$ . If  $x$  is an indeterminate over  $R$ , then  $Q[x]$  is a principal ideal ring by Example 6.3 of [16] and so it has a simple artinian quotient ring  $Q(Q[x])^2$ . Since  $Q[x]$  is an essential extension of  $R[x]$  as  $R[x]$ -modules and  $R[x]$  is a prime ring,  $Q(R[x]) = Q(Q[x])$ . So  $R[x]$  is an order in  $Q(R[x])$ . Therefore  $R[\mathbf{x}']$  is also an order in  $Q(R[\mathbf{x}'])$  for any finite subset  $\mathbf{x}'$  of  $\mathbf{x}$ . Finally if  $\mathbf{x}'$  and  $\mathbf{x}''$  are subsets of  $\mathbf{x}$  and if  $\mathbf{x}' \subseteq \mathbf{x}''$ , then we note that  $Q(R[\mathbf{x}']) \subseteq Q(R[\mathbf{x}''])$ .

LEMMA 1.1. *Let  $R$  be an order in  $Q$ . Then  $R[\mathbf{x}]$  has a simple artinian quotient ring and  $Q(R[\mathbf{x}]) = \cup Q(R[\mathbf{x}'])$ , where  $\mathbf{x}'$  runs over all finite subsets of  $\mathbf{x}$ , and  $\dim R = \dim R[\mathbf{x}]$  ( $\dim R$  is always the Goldie dimension of  $R$ ).*

PROOF. The lemma will be proved in four steps.

(i) Let  $A$  and  $B$  be any non-zero ideals of  $R[\mathbf{x}]$ . There exists a finite subset  $\mathbf{x}'$  of  $\mathbf{x}$  such that  $A \cap R[\mathbf{x}'] \neq 0$  and  $B \cap R[\mathbf{x}'] \neq 0$ , because  $A = \cup (A \cap R[\mathbf{x}''])$ , where  $\mathbf{x}''$  ranges over all finite subsets of  $\mathbf{x}$ . Since  $R[\mathbf{x}']$  is a prime ring, we get  $0 \neq (A \cap R[\mathbf{x}']) (B \cap R[\mathbf{x}']) \subseteq AB$ . Hence  $R[\mathbf{x}]$  is a prime ring. It is evident that the ring  $S = \cup Q(R[\mathbf{x}'])$  is an essential extension of  $R[\mathbf{x}]$  as  $R[\mathbf{x}]$ -modules.

(ii) If  $\dim R = n$ , then we shall prove that  $\dim R[\mathbf{x}'] = n$  for any finite subset  $\mathbf{x}'$  of  $\mathbf{x}$ . It suffices to prove that  $\dim R[x] = n$ . Since  $Q$  is the total matrix ring  $(K)_n$  over a division ring  $K$ , we have  $Q[x] = (K)_n[x] \simeq (K[x])_n$  and  $K[x]$  is an Ore domain. Hence  $n = \dim Q[x] = \dim R[x]$ , because  $Q(Q[x]) = Q(R[x])$ .

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- 1) Conditions assumed on rings will always be assumed to hold on both sided; for example, an order always means a right and left order.
  - 2) The quotient ring of a ring  $T$  will be denoted by  $Q(T)$ .

(iii) If  $U$  is a uniform right ideal of  $R$ , then  $U[\mathbf{x}']$  is also a uniform right ideal of  $R[\mathbf{x}']$  by (ii) for any finite subset  $\mathbf{x}'$  of  $\mathbf{x}$  and so  $UQ(R[\mathbf{x}'])$  is a minimal right ideal of  $Q(R[\mathbf{x}'])$ . It follows that  $US$  is a minimal right ideal of  $S$ .

(iv) If  $U_1 \oplus \dots \oplus U_n$  is an essential right ideal of  $R$ , where  $U_i$  are uniform right ideals of  $R$ , then, since  $(U_1 \oplus \dots \oplus U_n)Q = Q$ , we have  $S = (U_1 \oplus \dots \oplus U_n)S = U_1S \oplus \dots \oplus U_nS$  and the  $U_iS$  are minimal right ideals of  $S$  by (iii). Hence  $S$  is a simple artinian ring and is the classical right quotient ring of  $R[\mathbf{x}']$ . Similarly, it is the classical left quotient ring of  $R[\mathbf{x}]$ . It is evident that  $\dim R = \dim R[\mathbf{x}]$ .

LEMMA 1.2. *Let  $A$  be a non-zero ideal of  $R[x]$  and let  $r(x), c(x) = c_n x^n + \dots + c_0$  be elements of  $R[x]$  such that  $c_n$  is a regular element of  $R$ . If  $r(x)A \subseteq c(x)A$  and  $\deg r(x) < \deg c(x)$ , then  $r(x) = 0$  ( $\deg r(x)$  is the degree of the polynomial  $r(x)$ ).*

PROOF. Let  $k$  be the minimum number of the set  $\{\deg f(x) \mid A \ni f(x) \neq 0\}$  and  $A_0 = \{f(x) \in A \mid \deg f(x) = k\} \cup \{0\}$ . Then it is an  $(R, R)$ -bimodule and so  $A_0R[x]$  is an ideal of  $R[x]$ . If  $r(x) \neq 0$ , then  $0 \neq r(x)A_0R[x] \subseteq c(x)A$ . For any non-zero element  $r(x)f(x)$  ( $f(x) \in A_0$ ), we have  $\deg r(x)f(x) \leq \deg r(x) + k$ . But the degree of non-zero element of  $c(x)A$  is larger than  $\deg r(x) + k$ , because  $c_n$  is a regular element of  $R$  and  $\deg r(x) < \deg c(x)$ . This contradiction implies that  $r(x) = 0$ .

PROPOSITION 1.3. *If  $R$  is a maximal order in  $Q$ , then  $R[\mathbf{x}]$  is a maximal order in  $Q(R[\mathbf{x}])$ .*

PROOF. Firstly we shall prove the assertion in case  $\mathbf{x} = \{x\}$ . Let  $A$  be any non-zero ideal of  $R[x]$  and let  $B$  be the ideal of all leading coefficients of polynomials in  $A$ . Let  $q = c(x)^{-1}r(x)$  be any non-zero element of  $O_l(A) = \{q \in Q(R[x]) \mid qA \subseteq A\}$ , the left order of  $A$ , and let  $c(x) = c_n x^n + \dots + c_0, r(x) = r_m x^m + \dots + r_0$  be non-zero elements in  $R[x]$ . By the same way as in Lemma 2 of [17], we may assume that  $c_n$  is a regular element of  $R$ . Since  $r(x)A \subseteq c(x)A$ , we have  $r_m B \subseteq c_n B$  and  $c_n^{-1}r_m \in O_l(B) = R$  by Lemma 1.2 of [2]. Thus  $r_m = c_n s_{m-n}$  for some  $s_{m-n} \in R$ . By Lemma 1.2,  $n \leq m$  and so  $r(x) = c(x)t_1(x) + r_1(x)$ , where  $t_1(x) = s_{m-n}x^{m-n}, r_1(x) \in R[x]$  and  $\deg r_1(x) < m$ , i. e.,  $c(x)^{-1}r(x) = t_1(x) + c(x)^{-1}r_1(x)$ . Hence  $(t_1(x) + c(x)^{-1}r_1(x))A \subseteq A$  and  $c(x)^{-1}r_1(x)A \subseteq A$ . If  $n \leq \deg r_1(x)$ , then the process is repeated and we get  $r_1(x) = c(x)t_2(x) + r_2(x)$  ( $t_2(x), r_2(x) \in R[x]$ ),  $\deg r_1(x) > \deg r_2(x)$  and  $c(x)^{-1}r_2(x)A \subseteq A$ . Continuing the process we obtain  $r_i(x) = c(x)t_{i+1}(x) + r_{i+1}(x)$  ( $t_{i+1}(x), r_{i+1}(x) \in R[x]$ ),  $\deg r_{i+1}(x) < \deg c(x)$  and  $c(x)^{-1}r_{i+1}(x)A \subseteq A$ . Then, by Lemma 1.2,  $r_{i+1}(x) = 0$  and therefore  $c(x)^{-1}r(x) = t_1(x) + \dots + t_{i+1}(x) \in R[x]$ . This implies that  $O_l(A) = R[x]$  and, by symmetry,  $R[x] = O_r(A)$ , the right order

of  $A$ . Hence  $R[x]$  is a maximal order in  $Q(R[x])$  by the remark to Lemma 1.2 of [2]. By induction,  $R[\mathbf{x}']$  is a maximal order in  $Q(R[\mathbf{x}'])$  for any finite subset  $\mathbf{x}'$  of  $\mathbf{x}$ . Nextly we shall prove the assertion in case  $\mathbf{x}$  is arbitrary. Let  $A$  be any non-zero ideal of  $R[\mathbf{x}]$  and let  $q$  be any element of  $O_l(A)$ . Then there exists a finite subset  $\mathbf{x}'$  of  $\mathbf{x}$  such that  $q \in Q(R[\mathbf{x}'])$  and  $0 \neq A \cap R[\mathbf{x}']$ . It follows that  $q(A \cap R[\mathbf{x}']) \subseteq A \cap Q(R[\mathbf{x}']) = A \cap R[\mathbf{x}']$  and so  $q \in O_l((A \cap R[\mathbf{x}'])) = R[\mathbf{x}']$ . Hence  $O_l(A) = R[\mathbf{x}]$  and, by symmetry,  $O_r(A) = R[\mathbf{x}]$ . This implies that  $R[\mathbf{x}]$  is a maximal order in  $Q(R[\mathbf{x}])$ .

Let  $I$  be a right  $R$ -ideal. Following [1], we define  $I^* = (I^{-1})^{-1}$ . If  $I = I^*$ , then it is said to be a *right v-ideal*. In the same way one defines *left v-ideals* and *v-ideals*.

LEMMA 1.4. *If  $R$  is a maximal order in  $Q$  and if  $I$  is a (one-sided)  $R$ -ideal, then  $I^{-1}[\mathbf{x}] = (I[\mathbf{x}])^{-1}$ . In particular, if  $I$  is a (one-sided)  $v$ -ideal, then so is  $I[\mathbf{x}]$ .*

PROOF. We shall prove the lemma when  $I$  is a right  $R$ -ideal. Since  $I^{-1}[\mathbf{x}] I[\mathbf{x}] \subseteq R[\mathbf{x}]$ , we get  $I^{-1}[\mathbf{x}] \subseteq (I[\mathbf{x}])^{-1}$ . To prove the inverse inclusion, let  $q$  be any element in  $(I[\mathbf{x}])^{-1}$ , i. e.,  $qI[\mathbf{x}] \subseteq R[\mathbf{x}]$ . Since  $qc \in R[\mathbf{x}]$  for any regular element  $c$  in  $I$ ,  $q \in Rc^{-1}[\mathbf{x}] \subseteq Q[\mathbf{x}]$ . Therefore all coefficients of  $q$  (as polynomials over  $Q$ ) are contained in  $I^{-1}$  and so  $q \in I^{-1}[\mathbf{x}]$ . Hence  $I^{-1}[\mathbf{x}] = (I[\mathbf{x}])^{-1}$ , as desired.

## 2. $R[x]$

Let  $R$  be an order in  $Q$  and let  $F$  be a right additive topology on  $R$ . We denote by  $R_F$  the ring of quotients of with respect to  $F$  (cf. [18]). An overring  $R'$  of  $R$  is said to be *right essential* if it satisfies the following two conditions:

- (i) There is a perfect right additive topology  $F$  on  $R$  such that  $R' = R_F$  (cf. p 74 of [18]).
- (ii) If  $I \in F$ , then  $R'I = R'$ .

If  $R_F$  is a right essential overring of  $R$ , then  $F$  consists of all right ideals  $I$  of  $R$  such that  $IR_F = R_F$ . So each element of  $F$  is an essential right ideal of  $R$ . So if  $R$  is a maximal order in  $Q$ , then  $R_F = \bigcup^{-1}(I \in F)$ .

An overring  $R'$  of  $R$  is said to be *essential* if it is right and left essential. If  $P$  is a prime ideal of  $R$ , then we denote by  $C(P)$  those elements of  $R$  which are regular mod.  $(P)$ . If  $R$  satisfies the Ore condition with respect to  $C(P)$ , then we denote by  $R_P$  the ring of quotients of  $R$  with respect to  $C(P)$ . We call an order  $R$  an *Asano order* if its  $R$ -ideals form a group under multiplication. An order  $R$  is said to be *local* if its Jacobson

radical  $J$  is the unique maximal ideal and  $R/J$  is an artinian ring. Let  $R$  be a noetherian, local and Asano order. Then, by Proposition 1.3 of [8],  $R$  is a bounded, hereditary, principal right and left ideal ring. Following [8], we define  $S(R) = \cup B^{-1}$ , where  $B$  ranges over all non-zero ideals of  $R$  and call it an *Asano overring* of  $R$ .

Let  $R$  be a maximal order in  $Q$  and let  $P$  be an ideal of  $R$ . Then the following are equivalent (cf. p. 11 and Theorem 4.2 of [1]):

- (i)  $P$  is a prime  $v$ -ideal of  $R$ .
- (ii)  $P$  is a maximal element in the lattice of integral  $v$ -ideals of  $R$ .
- (iii)  $P$  is a meet-irreducible in the lattice of integral  $v$ -ideals of  $R$ .

If  $P$  satisfies one of the conditions above, then it is a minimal prime ideal of  $R$  by Theorem 1.6 of [2]. The set  $D(R)$  of all  $v$ -ideals becomes an abelian group under the multiplication “ $\circ$ ” defined by  $A^* \circ B^* = (AB)^* = (A^*B)^* = ((AB^*)) = (A^*B^*)^*$  for any  $R$ -ideals  $A$  and  $B$  (cf. Lemma 2 of [12]). If the integral  $v$ -ideals satisfies the maximum condition, then  $D(R)$  is a direct product of infinite cyclic groups with prime  $v$ -ideals as their generators (cf. Theorem 4.2 of [1]). These results are frequently used in this paper without references.

An order  $R$  in  $Q$  is called *Krull* if there are a family  $\{R_i\}_{i \in I}$  and  $S(R)$  of overrings of  $R$  satisfying the following:

(K 1)  $R = \cap_{i \in I} R_i \cap S(R)$ , where  $R_i$  and the Asano overring  $S(R)$  are essential overrings of  $R$ ,

(K 2) each  $R_i$  is a noetherian, local, Asano order, and  $S(R)$  is a noetherian, simple ring, and

(K 3) for every regular element  $c$  in  $R$  we have  $cR_i \neq R_i$  for only finitely many  $i$  in  $I$  and  $R_k c \neq R_k$  for only finitely many  $k$  in  $I$ .

If  $R$  is a Krull order in  $Q$ , then it is a Krull ring in the sense of [10]. In non-commutative rings, it seems to me that the definition above is more natural than one of Krull rings in [10].

In this section,  $P'_i$  will denote the unique maximal ideal of  $R_i$  and  $P_i = P'_i \cap R$  ( $i \in I$ ). By Proposition 1.1 of [10],  $P_i$  is a prime ideal of  $R$  and  $R_i = R_{P_i}$ .

PROPOSITION 2.1. *Let  $R$  be a Krull order in  $Q$ . Then*

- (1)  $R$  is a maximal order in  $Q$ .
- (2) *The integral right and left  $v$ -ideals satisfy the maximum condition.*
- (3) *If  $A$  is a non-zero ideal of  $R$ , then  $AS(R) = S(R)A = S(R)$ .*
- (4) *Let  $P$  be an ideal of  $R$ . Then it is a prime  $v$ -ideal of  $R$  if and only if  $P = P_i$  for some  $i$  in  $I$ .*

PROOF. Since a simple ring is a maximal order, (1) follows from the same way as in Proposition 1.3 of [11].

(2) Let  $I$  be any right  $v$ -ideal. Then  $I = \bigcap_i IR_i \cap IS(R)$  by Corollary 4.2 of [10]. So (2) is evident from the definition of Krull orders.

(3) Let  $S(R) = R_F = R_{F_l}$ , where  $F$  and  $F_l$  are perfect right and left additive topologies on  $R$ , respectively. Since  $S(R)AS(R) = S(R)$ , we write  $1 = \sum_{i=1}^n t_i a_i s_i$ , where  $t_i, s_i \in S(R)$  and  $a_i \in A$ . There are elements  $B$  and  $C$  in  $F$  and  $F_l$  respectively, such that  $Ct_i, s_i B \subseteq R$ . So  $CB \subseteq A$ , which implies that  $S(R) \supseteq S(R)A \supseteq S(R)CB = S(R)$ . Hence  $S(R) = S(R)A$  and, by symmetry  $S(R) = AS(R)$ .

(4) Let  $P$  be a prime  $v$ -ideal. Then  $P = \bigcap_i PR_i \cap S(R)$ . There are finitely many  $1, \dots, k \in I$  only such that  $PR_i \neq R_i$  ( $1 \leq i \leq k$ ). Since  $R_i$  is bounded, there are natural numbers  $n_i$  such that  $P_i^{n_i} \subseteq PR_i$ . It follows that  $P_1^{n_1} \cap \dots \cap P_k^{n_k} \subseteq P$ . Hence  $P_i \subseteq P$  for some  $i$  and thus  $P_i = P$ . The fact that each  $P_i$  is a prime  $v$ -ideal follows from the same way as in Lemma 1.5 of [11].

LEMMA 2.2. *Let  $R$  be a maximal order in  $Q$  and let  $S(R)$  be the Asano overring of  $R$ . If  $AS(R) = S(R) = S(R)A$  for every non-zero ideal  $A$  of  $R$ , then  $S(R)$  is an essential overring of  $R$  and is a simple ring.*

PROOF. Let  $F = \{I \mid I \text{ is a right ideal of } R \text{ and contains a non-zero ideal of } R\}$ . We shall prove that  $F$  is a right additive topology on  $R$ . To prove this let  $I$  be any element of  $F$  and let  $A$  be a non-zero ideal of  $R$  such that  $I \supseteq A$ . Then, for any  $r \in R$ , we have  $r^{-1}I = \{x \in R \mid rx \in I\} \supseteq r^{-1}A \supseteq A$  and so  $r^{-1}I \in F$ . If  $I \in F$  and  $J$  is a right ideal of  $R$  such that  $a^{-1}J \in F$  for all  $a \in I$ , then we obtain  $S(R) \supseteq JS(R) \supseteq \sum_{a \in I} a(a^{-1}J)S(R) = \sum_{a \in I} aS(R) = IS(R) = S(R)$ . Hence  $S(R) = JS(R)$ . Put  $1 = \sum_{i=1}^n a_i t_i$ , where  $a_i \in J$  and  $t_i \in S(R)$ . There is a non-zero ideal  $B$  of  $R$  such that  $t_i B \subseteq R$ . It follows that  $B \subseteq J$  and  $J \in F$ . Thus  $F$  is a right additive topology on  $R$  by Lemma 3.1 of [18]. By the assumption, it is clear that  $S(R) = R_F$  and that it is a right essential overring of  $R$ . By symmetry,  $S(R)$  is a left essential overring of  $R$  and therefore it is an essential overring of  $R$ . It is clear that  $S(R)$  is a simple ring.

LEMMA 2.3. *Let  $R$  be an order in  $Q$  and let  $R$  be a simple ring. Then*

(1) *The correspondence*

$$(*) \quad P \longrightarrow P' = PQ[x]$$

*is one-to-one between the family of all maximal ideals of  $R[x]$  and the family of all maximal ideals of  $Q[x]$ . The inverse of (\*) is given by*

the correspondence  $P' \rightarrow P' \cap R[x]$ .

(2)  $R[x]_P = Q[x]_{P'}$ , and is a noetherian, local, Asano order for every maximal ideal  $P$  of  $R[x]$ .

(3)  $S(R[x])$  is an essential overring of  $R[x]$ , is a simple ring and  $S(R[x]) \subseteq S(Q[x])$ . In particular, if  $R$  is noetherian, then so is  $S(R[x])$ .

PROOF. The same proof as in Example 6.1 of [16] gives that  $R[x]$  is an ipri and ipli-ring. So  $R[x]$  is an Asano order in  $Q(R[x])$ .

(1) Let  $P'$  be a maximal ideal of  $Q[x]$  and  $P = P' \cap R[x]$ . It is evident that  $P$  is a maximal ideal of  $R[x]$ . Since  $Q[x]$  is an essential overring of  $R[x]$  by Lemma 5.3 of [10], we have  $P' = PQ[x] = Q[x]P$ . Conversely let  $P$  be a maximal ideal of  $R[x]$  and let  $P' = Q[x]PQ[x]$ . Assume that  $P' = Q[x]$  and write  $1 = \sum_{i=1}^n q_i p_i g_i$ , where  $q_i, g_i \in Q[x]$  and  $p_i \in P$ . There are regular elements  $c, d$  in  $R$  such that  $cq_i, g_i d \in R[x]$ . It follows that  $R = RcdR \subseteq P$ , which is a contradiction. Hence  $P'$  is a proper ideal of  $Q[x]$  so that  $P' \cap R[x]$  is also a proper ideal of  $R[x]$ . This implies that  $P = P' \cap R[x]$  and thus  $P' = PQ[x] = Q[x]P$ , since  $Q[x]$  is an essential overring of  $R[x]$ . It is clear that  $P'$  is a maximal ideal of  $Q[x]$ .

(2) By Example 6.3 of [16],  $Q[x]$  is a Dedekind prime ring. So  $Q[x]_{P'}$  is a noetherian, local, Asano order in  $Q(R[x])$  by Theorem 2.6 of [8]. Since  $P = P' \cap R[x]$ , we get  $Q[x]_{P'} = R[x]_P$  by Proposition 1.1 and Lemmas 5.2, 5.3 of [10].

(3) Since  $R[x]$  is an Asano order in  $Q(R[x])$ ,  $S(R[x])$  is an essential overring of  $R[x]$  and is a simple ring by Lemma 2.2. Let  $A = P_1^{n_1} \dots P_t^{n_t}$  be any non-zero ideal of  $R[x]$ , where  $P_i$  are maximal ideals of  $R[x]$ . Then we get  $A^{-1} \subseteq Q[x]A^{-1} = (AQ[x])^{-1} = (P_1^{n_1} \dots P_t^{n_t})^{-1} \subseteq S(Q[x])$ . Hence  $S(R[x]) \subseteq S(Q[x])$ . If  $R$  is a noetherian and simple ring, then so is  $S(R[x])$  by [8, p. 446], because  $R[x]$  is a noetherian Asano order.

**THEOREM 2.4.** *If  $R$  is a Krull order in  $Q$ , then  $R[x]$  is a Krull order in  $Q(R[x])$ .*

PROOF. Let  $R = \bigcap_i R_{P_i} \cap S$  ( $i \in I$ ), where  $P_i$  ranges over all prime  $v$ -ideals of  $R$  and  $S = S(R)$  is the Asano overring of  $R$ . Then  $R[x] = \bigcap_i R[x]_{P_i[x]} \cap Q[x] \cap S[x]$  by the proof of Theorem 5.4 of [10]. Since  $Q[x]$  and  $S[x]$  are both noetherian Asano orders by Example 6.1 of [16], we obtain  $Q[x] = \bigcap_{j \in J} Q_j^* \cap S(Q[x])$  and  $S[x] = \bigcap_{j \in J} S_j^* \cap S(S[x])$  by Theorem 3.1 of [8]. Here  $Q_j^* = S_j^*$  are noetherian, local, Asano orders,  $S(S[x]) \subseteq S(Q[x])$ , and  $S(S[x])$  is a noetherian, simple ring and is an essential overring of  $R[x]$  by Lemmas 5.2, 5.3 of [10] and Lemma 2.3. Let  $Q_j$  be the unique maximal ideal of  $Q_j^*$  ( $j \in J$ ). We consider the following diagram ;

$$\begin{array}{cccc} R[x] \subseteq S[x] \subseteq Q[x] \subseteq Q_j^* \\ \cup \quad \cup \quad \cup \quad \cup \\ Q_j \subseteq Q_j''' \subseteq Q_j'' \subseteq Q_j \end{array}$$

where  $Q_j = R[x] \cap Q_j'$ ,  $Q_j''' = S[x] \cap Q_j'$  and  $Q_j'' = Q[x] \cap Q_j'$ . Then  $Q_j^* = R[x]_{Q_j}$  by Proposition 1.1 of [10]. Thus we have

$$(*) \quad R[x] = \bigcap_{i \in I} R[x]_{P_i[x]} \cap \bigcap_{j \in J} R[x]_{Q_j} \cap S(S[x]).$$

In the expression (\*) of  $R[x]$ , we get, as in Theorem 5.4 of [10] and Proposition 2.1, the following:

- (i)  $R[x]$  satisfies the condition (K 3).
- (ii) The integral one-sided  $v$ -ideals satisfies the maximum condition.
- (iii)  $P_i[x]$ ,  $Q_j$  ( $i \in I, j \in J$ ) are all prime  $v$ -ideals of  $R[x]$ .

To prove that these only are prime  $v$ -ideals of  $R[x]$ , let  $P$  be a prime  $v$ -ideal of  $R[x]$ . If  $P \cap R \neq 0$ , then, since  $(P \cap R)^*[x] = ((P \cap R)[x])^* \subseteq P^* = P$  by Lemma 1.4,  $P \cap R$  is also a prime  $v$ -ideal of  $R$  so that  $P \cap R = P_i$  for some  $i \in I$  by Proposition 2.1. Hence  $P \supseteq P_i[x]$  and thus  $P = P_i[x]$ . If  $P \cap R = 0$ , then it follows that  $Q[x] \not\subseteq P \subseteq Q[x]$ , and so  $Q[x] \subseteq P \subseteq Q_j''$  for some  $j \in J$ . Since  $\{Q_j'' | j \in J\}$  are the set of maximal ideals of  $Q[x]$ . Hence  $P \subseteq Q_j$  so that  $P = Q_j$ , as claimed. It remains to prove that  $S(S[x]) = S(R[x])$ . To prove this let  $A$  be a non-zero ideal of  $R[x]$ . We write  $A^* = (P_1[x]^{m_1} \cdots P_s[x]^{m_s} \cdot Q_1^{n_1} \cdots Q_t^{n_t})^*$ . Then  $S[x] \supseteq A^* S[x] \supseteq Q_1^{n_1} \cdots Q_t^{n_t} S[x] = Q_1'''^{n_1} \cdots Q_t'''^{n_t}$  by Proposition 2.1. Thus we have  $S(S[x]) \supseteq A^* S(S[x]) \supseteq Q_1'''^{n_1} \cdots Q_t'''^{n_t} S(S[x]) = S(S[x])$  and so  $S(S[x]) = A^* S(S[x])$ . It follows that  $A^{-1} \subseteq A^{-1} S(S[x]) = A^{-1} A^* S(S[x]) \subseteq S(S[x])$ . Hence  $S(R[x]) \subseteq S(S[x])$ . To prove the inverse inclusion, let  $q$  be any element of  $S(S[x])$ . We may assume that  $q$  is a regular element in  $Q(R[x])$  by Lemma 2.2 of [10]. There is a non-zero ideal  $B'$  of  $S[x]$  such that  $qB' \subseteq S[x]$  and so  $qB \subseteq S[x]$ , where  $B = B' \cap R[x]$ . Write  $B^* = (b_1 R[x] + \cdots + b_n R[x])^*$  for some elements  $b_i$  of  $B$ . Then there exists a non-zero ideal  $C$  of  $R$  such that  $qb_i C \subseteq R[x]$  so that  $qb_i C[x] \subseteq R[x]$ . It follows that  $q(b_1 R[x] + \cdots + b_n R[x]) C[x] \subseteq R[x]$  and thus we have  $R[x] \supseteq (q(b_1 R[x] + \cdots + b_n R[x]) C[x])^* = q((b_1 R[x] + \cdots + b_n R[x])^* C[x])^* = q(B^* C[x])^* = q(BC[x])^*$  by Lemma 2 of [12], which implies  $q \in (BC[x])^{-1} \subseteq S(R[x])$ . Hence  $S(R[x]) \supseteq S(S[x])$  and  $S(R[x]) = S(S[x])$ , as desired.

**COROLLARY 2.5.** *If  $R$  is a Krull order in  $Q$ , then  $R[x_1, \dots, x_n]$  is a Krull order in  $Q(R[x_1, \dots, x_n])$ .*



### 3. $R[\mathbf{x}]$

In the remainder of this paper,  $\mathbf{x} = \{x_\alpha | \alpha \in \mathbf{A}\}$  denotes an arbitrary set of indeterminates over  $R$  which commutes with any element of  $R$ . We shall study, in this section, the polynomial ring  $R[\mathbf{x}]$  over Krull order  $R$ .

LEMMA 3.1. *Let  $R$  be a Krull order in  $Q$  and let  $P$  be a prime  $v$ -ideal of  $R$ . Then*

(1)  $R[\mathbf{x}]$  satisfies the Ore condition with respect to  $C(P[\mathbf{x}])$  and  $R[\mathbf{x}]_{P[\mathbf{x}]} = \bigcup_{\mathbf{x}'} R[\mathbf{x}']_{P[\mathbf{x}']}$ , where  $\mathbf{x}'$  ranges over all finite subsets of  $\mathbf{x}$ .

(2)  $R[\mathbf{x}]_{P[\mathbf{x}]}$  is a noetherian, local and Asano order in  $Q(R[\mathbf{x}])$ .

PROOF. (1) Let  $\mathbf{x}'$  and  $\mathbf{x}''$  be any finite subsets of  $\mathbf{x}$  such that  $\mathbf{x}' \not\subseteq \mathbf{x}''$ . Since  $R[\mathbf{x}''] = R[\mathbf{x}'][\mathbf{x}'' - \mathbf{x}']$  and  $P[\mathbf{x}''] = P[\mathbf{x}'][\mathbf{x}'' - \mathbf{x}']$ , where  $\mathbf{x}'' - \mathbf{x}'$  is the complement set of  $\mathbf{x}'$  in  $\mathbf{x}''$ , it is evident that  $C(P[\mathbf{x}']) \subseteq C(P[\mathbf{x}''])$ . Firstly we shall prove that  $C(P[\mathbf{x}]) = \bigcup_{\mathbf{x}'_0} C(P[\mathbf{x}'_0])$ , where  $\mathbf{x}'_0$  ranges over all finite subsets of  $\mathbf{x}$ . If  $c(\mathbf{x}')f(\mathbf{x}) \in P[\mathbf{x}]$ , where  $\mathbf{x}'$  is a finite subset of  $\mathbf{x}$ ,  $c(\mathbf{x}') \in C(P[\mathbf{x}'])$  and  $f(\mathbf{x}) \in R[\mathbf{x}]$ , then there exists a finite subset  $\mathbf{x}'' (\supseteq \mathbf{x}')$  of  $\mathbf{x}$  such that  $f(\mathbf{x}) \in R[\mathbf{x}'']$  and  $c(\mathbf{x}')f(\mathbf{x}) \in P[\mathbf{x}'']$ . Hence  $f(\mathbf{x}) \in P[\mathbf{x}'']$  and so  $C(P[\mathbf{x}']) \subseteq C(P[\mathbf{x}])$ . Conversely, let  $c(\mathbf{x})$  be any element of  $C(P[\mathbf{x}])$  and assume that  $c(\mathbf{x}) \in R[\mathbf{x}']$ . If  $c(\mathbf{x})g(\mathbf{x}) \in P[\mathbf{x}']$ , where  $g(\mathbf{x}) \in R[\mathbf{x}']$ , then  $g(\mathbf{x}) \in R[\mathbf{x}'] \cap P[\mathbf{x}] = P[\mathbf{x}']$ . This implies that  $c(\mathbf{x}) \in C(P[\mathbf{x}'])$ . Hence  $C(P[\mathbf{x}]) = \bigcup_{\mathbf{x}'_0} C(P[\mathbf{x}'_0])$ . Next we shall prove that  $R[\mathbf{x}]$  satisfies the Ore condition with respect to  $C(P[\mathbf{x}])$ . To prove this let  $c(\mathbf{x})$  and  $a(\mathbf{x})$  be any element of  $R[\mathbf{x}]$  with  $c(\mathbf{x}) \in C(P[\mathbf{x}])$ . Then there is a finite subset  $\mathbf{x}'$  of  $\mathbf{x}$  such that  $a(\mathbf{x}), c(\mathbf{x}) \in R[\mathbf{x}']$ . By Proposition 2.1 and Corollary 2.5, there exist  $b(\mathbf{x}), d(\mathbf{x})$  in  $R[\mathbf{x}']$  and  $d(\mathbf{x}) \in C(P[\mathbf{x}'])$  such that  $a(\mathbf{x})d(\mathbf{x}) = c(\mathbf{x})b(\mathbf{x})$ . Hence  $R[\mathbf{x}]$  satisfies the right Ore condition with respect to  $C(P[\mathbf{x}])$  and  $R[\mathbf{x}]_{P[\mathbf{x}]} = \bigcup_{\mathbf{x}'} R[\mathbf{x}']_{P[\mathbf{x}']}$ . The other Ore condition is shown to hold by a symmetric proof.

(2) Let  $P'$  be the unique maximal ideal of  $R_P$  and let  $\mathbf{x}'$  be any finite subset of  $\mathbf{x}$ . Since  $R[\mathbf{x}']_{P[\mathbf{x}']}$  is a noetherian, local and Asano order, we obtain that  $P[\mathbf{x}']R[\mathbf{x}']_{P[\mathbf{x}']} = R[\mathbf{x}']_{P[\mathbf{x}']}P[\mathbf{x}']$  and that it is the Jacobson radical of  $R[\mathbf{x}']_{P[\mathbf{x}']}$ . Let  $P' = pR_P = R_P p$  for some regular element  $p$  in  $P$ . Then we have  $pR[\mathbf{x}']_{P[\mathbf{x}']} = P[\mathbf{x}']R[\mathbf{x}']_{P[\mathbf{x}']} = R[\mathbf{x}']_{P[\mathbf{x}']}p$ , because  $R[\mathbf{x}']_{P[\mathbf{x}']} = (R_P[\mathbf{x}'])_{P'[\mathbf{x}']}$ . Put  $P'' = P[\mathbf{x}]R[\mathbf{x}]_{P[\mathbf{x}]}$ . Then we obtain that  $P'' = pR[\mathbf{x}]_{P[\mathbf{x}]} = \bigcup_{\mathbf{x}''} (pR[\mathbf{x}'']_{P[\mathbf{x}'']}) = \bigcup (R[\mathbf{x}'']_{P[\mathbf{x}'']}p) = R[\mathbf{x}]_{P[\mathbf{x}]}p = R[\mathbf{x}]_{P[\mathbf{x}]}P[\mathbf{x}]$ , where  $\mathbf{x}''$  ranges over all finite subsets of  $\mathbf{x}$ . Hence  $P''$  is an ideal of  $R[\mathbf{x}]_{P[\mathbf{x}]}$  and is invertible. It is evident that  $P'' \cap R[\mathbf{x}]_{P[\mathbf{x}]} = P[\mathbf{x}]$ . Since  $R[\mathbf{x}]/P[\mathbf{x}] \simeq R/P[\mathbf{x}]$  and  $R[\mathbf{x}]_{P[\mathbf{x}]} / P''$  is the quotient ring of  $R[\mathbf{x}] / P[\mathbf{x}]$ , it follows that  $R[\mathbf{x}]_{P[\mathbf{x}]} / P''$  is a simple, artinian ring. So  $P''$  is a maxima ideal of  $R[\mathbf{x}]_{P[\mathbf{x}]}$ . To prove that  $P''$  is the

Jacobson radical of  $R[\mathbf{x}]_{P[\mathbf{x}]}$ , let  $V$  be any maximal right ideal of  $R[\mathbf{x}]_{P[\mathbf{x}]}$ . Assume that  $V \not\supseteq P''$ . Then  $R[\mathbf{x}]_{P[\mathbf{x}]} = V + P''$ . Write  $1 = v + p'$ , where  $v \in V$  and  $p' \in P''$ . There is a finite subset  $\mathbf{x}'$  of  $\mathbf{x}$  such that  $v \in R[\mathbf{x}']_{P[\mathbf{x}']}$  and  $p' \in P[\mathbf{x}'] R[\mathbf{x}']_{P[\mathbf{x}']}$ . Then  $v$  is a unit in  $R[\mathbf{x}']_{P[\mathbf{x}']}$  and so it is a unit in  $R[\mathbf{x}]_{P[\mathbf{x}]}$ . Thus we get  $V = R[\mathbf{x}]_{P[\mathbf{x}]}$ , which is a contradiction. Hence  $V \supseteq P''$  and so  $P''$  is the Jacobson radical of  $R[\mathbf{x}]_{P[\mathbf{x}]}$ . Let  $I$  be any essential right ideal of  $R[\mathbf{x}]_{P[\mathbf{x}]}$ . Then there is a finite subset  $\mathbf{x}'$  of  $\mathbf{x}$  such that  $I \cap R[\mathbf{x}']_{P[\mathbf{x}']}$  is an essential right ideal of  $R[\mathbf{x}']_{P[\mathbf{x}']}$ . It follows that  $I \cap R[\mathbf{x}']_{P[\mathbf{x}']} \supseteq (P[\mathbf{x}'] R[\mathbf{x}']_{P[\mathbf{x}']})^n$  for some natural number  $n$ . Hence we have  $I \supseteq P''^n$ . This implies that the essential right ideals of  $R[\mathbf{x}]_{P[\mathbf{x}]}$  satisfies the maximum condition, because  $R[\mathbf{x}]_{P[\mathbf{x}]} / P''$  is artinian and  $P''$  is invertible. Further, since  $\dim R[\mathbf{x}]_{P[\mathbf{x}]}$  is finite,  $R[\mathbf{x}]_{P[\mathbf{x}]}$  is right noetherian. Similarly, it is left noetherian. Hence  $R[\mathbf{x}]_{P[\mathbf{x}]}$  is a noetherian, local and Asano order in  $Q(R[\mathbf{x}])$  by Proposition 1.3 of [8].

Let  $I$  be a right  $R[\mathbf{x}]$ -ideal. Then  $qI \subseteq I$  for some regular element  $q$  in  $Q(R[\mathbf{x}])$ . There is a finite subset  $\mathbf{x}'_0$  of  $\mathbf{x}$  such that  $q \in Q(R[\mathbf{x}'_0])$  and  $I \cap Q(R[\mathbf{x}'_0])$  is a right  $R[\mathbf{x}'_0]$ -ideal, because  $I = \cup (I \cap Q(R[\mathbf{x}']))$ , where  $\mathbf{x}'$  runs over all finite subsets of  $\mathbf{x}$ . For any finite subset  $\mathbf{x}''$  of  $\mathbf{x}$  with  $\mathbf{x}'' \supseteq \mathbf{x}'_0$ ,  $I \cap Q(R[\mathbf{x}''])$  is a right  $R[\mathbf{x}'']$ -ideal. Thus we have  $I = \cup (I \cap Q(R[\mathbf{x}']))$ . Here  $\mathbf{x}'$  ranges over all finite subsets of  $\mathbf{x}$  such that each  $I \cap Q(R[\mathbf{x}'])$  is a right  $R[\mathbf{x}']$ -ideal. We define  $\tilde{I} = \cup (I \cap Q(R[\mathbf{x}']))^*$ . Clearly  $I \subseteq \tilde{I}$  and especially, for right  $v$ -ideals, we have

LEMMA 3.2. *Let  $R$  be a maximal order in  $Q$  and let  $I$  be a right  $v$ -ideal of  $Q(R[\mathbf{x}])$ . Then  $I = \tilde{I}$ .*

PROOF. Let  $c$  be a unit in  $Q(R[\mathbf{x}])$ . It is evident that  $cR[\mathbf{x}] = c\tilde{R}[\mathbf{x}]$ . So the lemma immediately follows from Proposition 4.1 of [10].

LEMMA 3.3. *Let  $R$  be a maximal order in  $Q$  and let  $P$  be a proper ideal of  $R[\mathbf{x}]$ . Then  $P$  is a prime  $v$ -ideal if and only if  $P = P'[\mathbf{x} - \mathbf{x}']$ , where  $\mathbf{x}'$  is a finite subset of  $\mathbf{x}$  and  $P'$  is a prime  $v$ -ideal of  $R[\mathbf{x}']$ .*

PROOF. The sufficiency is clear from Lemma 1.4. Assume that  $P$  is a prime  $v$ -ideal. There is a finite subset  $\mathbf{x}'$  of  $\mathbf{x}$  such that  $P \cap R[\mathbf{x}']$  is a non-zero. It is a prime ideal of  $R[\mathbf{x}']$ . If  $(P \cap R[\mathbf{x}'])^* = R[\mathbf{x}']$ , then  $P = R[\mathbf{x}]$  by Lemma 3.2, which is a contradiction. Hence  $(P \cap R[\mathbf{x}'])^* \subsetneq R[\mathbf{x}']$  so that  $P \cap R[\mathbf{x}']$  is a prime  $v$ -ideal of  $R[\mathbf{x}']$  by Proposition 1.7 of [2]. Thus  $(P \cap R[\mathbf{x}'])[\mathbf{x} - \mathbf{x}']$  is a prime  $v$ -ideal of  $R[\mathbf{x}]$  contained in  $P$ . Therefore  $P = (P \cap R[\mathbf{x}'])[\mathbf{x} - \mathbf{x}']$ , as desired.

LEMMA 3.4. *Let  $R$  be a Krull order in  $Q$ . Then the integral  $v$ -ideals of  $R[\mathbf{x}]$  satisfies the maximum condition.*

PROOF. Let  $P_1, \dots, P_s$  be any prime  $v$ -ideals of  $R[\mathbf{x}]$  and let  $n_1, \dots, n_s$  be any natural numbers. Then we obtain by the same as in Asano orders that the integral  $v$ -ideals containing  $(P_1^{n_1} \dots P_s^{n_s})^*$  are the ideals  $(P_1^{m_1} \dots P_s^{m_s})^*$  only ( $0 \leq m_i \leq n_i$ ). So it suffices to prove that any integral  $v$ -ideal of  $R[\mathbf{x}]$  contains an integral  $v$ -ideal of such forms. To prove this let  $A$  be any proper integral  $v$ -ideal of  $R[\mathbf{x}]$ . There exists a finite subset  $\mathbf{x}'$  of  $\mathbf{x}$  such that  $(A \cap R[\mathbf{x}'])^*$  is a proper integral  $v$ -ideal of  $R[\mathbf{x}']$ . Write  $(A \cap R[\mathbf{x}'])^* = (P_1^{n_1} \dots P_t^{n_t})^*$ , where  $P_i$  are prime  $v$ -ideals of  $R[\mathbf{x}']$ . By Lemmas 1.4 and 3.2, we get  $A \supseteq (A \cap R[\mathbf{x}'])^* [\mathbf{x} - \mathbf{x}'] = (P_1^{n_1} \dots P_t^{n_t} [\mathbf{x} - \mathbf{x}'])^* = ((P_1 [\mathbf{x} - \mathbf{x}']^{n_1} \dots (P_t [\mathbf{x} - \mathbf{x}']^{n_t})^*$ . Each  $P_i [\mathbf{x} - \mathbf{x}']$  is a prime  $v$ -ideal of  $R[\mathbf{x}]$  by Lemma 3.3.

LEMMA 3.5. *Let  $R$  be a Krull order in  $Q$ . Then  $S(R[\mathbf{x}]) = \cup_{\mathbf{x}'} S(R[\mathbf{x}'])$ , where  $\mathbf{x}'$  ranges over all finite subsets of  $\mathbf{x}$ , it is an essential overring of  $R[\mathbf{x}]$  and is a simple ring.*

PROOF. Let  $A$  be any non-zero ideal of  $R[\mathbf{x}']$ , where  $\mathbf{x}'$  is a finite subset of  $\mathbf{x}$ . Then we have  $A^{-1} \subseteq A^{-1} [\mathbf{x} - \mathbf{x}'] = (A [\mathbf{x} - \mathbf{x}'])^{-1}$  and  $A [\mathbf{x} - \mathbf{x}']$  is an ideal of  $R[\mathbf{x}]$ . Hence  $S(R[\mathbf{x}]) \supseteq \cup_{\mathbf{x}'} S(R[\mathbf{x}'])$ . Conversely let  $q$  be any element of  $S(R[\mathbf{x}])$ . There is an ideal  $B$  of  $R[\mathbf{x}]$  such that  $qB \subseteq R[\mathbf{x}]$ . Since  $B^{-1} B = B^{-1}$ , we may assume that  $B$  is a  $v$ -ideal. Write  $B = (P_1^{n_1} \dots P_t^{n_t})^*$ , where  $P_i$  are prime  $v$ -ideals of  $R[\mathbf{x}]$ . There are finite subsets  $\mathbf{x}', \mathbf{x}'_i$  ( $1 \leq i \leq t$ ) of  $\mathbf{x}$  and prime  $v$ -ideals  $P'_i$  of  $R[\mathbf{x}'_i]$  such that  $q \in Q(R[\mathbf{x}'])$ ,  $P_i = P'_i [\mathbf{x} - \mathbf{x}'_i]$  by Lemma 3.3. We set  $\mathbf{x}'' = \mathbf{x}' \cup \mathbf{x}'_1 \cup \dots \cup \mathbf{x}'_t$  and  $P''_i = P'_i [\mathbf{x}'' - \mathbf{x}'_i]$ , which is a prime  $v$ -ideal of  $R[\mathbf{x}'']$ . It follows that  $q \in Q(R[\mathbf{x}''])$  and  $P_i = P''_i [\mathbf{x} - \mathbf{x}'']$ . Hence we have  $B = ((P''_1 [\mathbf{x} - \mathbf{x}'']^{n_1} \dots (P''_t [\mathbf{x} - \mathbf{x}'']^{n_t})^* = ((P''_1)^{n_1} \dots (P''_t)^{n_t}) [\mathbf{x} - \mathbf{x}'']^*$  and so  $B^{-1} = (P''_1)^{n_1} \dots (P''_t)^{n_t} [\mathbf{x} - \mathbf{x}'']^{-1}$ . Hence  $q \in (P''_1)^{n_1} \dots (P''_t)^{n_t} [\mathbf{x} - \mathbf{x}'']^{-1} \cap Q(R[\mathbf{x}'']) = (P''_1)^{n_1} \dots (P''_t)^{n_t} [\mathbf{x} - \mathbf{x}'']^{-1}$ , which implies that  $S(R[\mathbf{x}]) \subseteq \cup_{\mathbf{x}'} S(R[\mathbf{x}'])$ . Hence  $S(R[\mathbf{x}]) = \cup_{\mathbf{x}'} S(R[\mathbf{x}'])$ . To prove that  $S(R[\mathbf{x}])$  is an essential overring of  $R[\mathbf{x}]$ , let  $C$  be any non-zero ideal of  $R[\mathbf{x}]$ . Then there is a finite subset  $\mathbf{x}'$  of  $\mathbf{x}$  such that  $0 \neq C \cap R[\mathbf{x}']$ . By Proposition 2.1 and Corollary 2.5,  $(C \cap R[\mathbf{x}']) S(R[\mathbf{x}']) = S(R[\mathbf{x}'])$  and hence  $CS(R[\mathbf{x}]) = S(R[\mathbf{x}])$  and, by symmetry,  $S(R[\mathbf{x}]) C = S(R[\mathbf{x}])$ . Hence  $S(R[\mathbf{x}])$  is an essential overring of  $R[\mathbf{x}]$  and is a simple ring by Lemma 2.2.

LEMMA 3.6. *Let  $R$  be a Krull order in  $Q$  and let  $P$  be a prime  $v$ -ideal of  $R[\mathbf{x}]$ . Then  $R[\mathbf{x}] = P^{-1} \cap R[\mathbf{x}]_P$ .*

PROOF. Clearly  $R[\mathbf{x}] \subseteq P^{-1} \cap R[\mathbf{x}]_P$ . Since  $P^{-1} \cap R[\mathbf{x}]_P$  is an  $R[\mathbf{x}]$ -ideal contained in  $P^{-1}$ , we get, by Lemma 2 of [12], the following:

$$\begin{aligned} P^{-1} \cap R[\mathbf{x}]_P &\subseteq P^{-1} \circ P \circ (P^{-1} \cap R[\mathbf{x}]_P)^* = P^{-1} \circ (P(P^{-1} \cap R[\mathbf{x}]_P))^* \\ &\subseteq P^{-1} \circ (PP^{-1} \cap PR[\mathbf{x}]_P)^* \subseteq P^{-1} \circ (R[\mathbf{x}] \cap PR[\mathbf{x}]_P)^* = P^{-1} \circ P = R[\mathbf{x}]. \end{aligned}$$

Hence  $R[\mathbf{x}] = P^{-1} \cap R[\mathbf{x}]_P$ .

THEOREM 3.7. *Let  $R$  be a Krull order in  $Q$ . Then*

(1)  $R[\mathbf{x}] = \bigcap R[\mathbf{x}]_P \cap S(R[\mathbf{x}])$ , where  $P$  ranges over all prime  $v$ -ideals of  $R[\mathbf{x}]$ .  $R[\mathbf{x}]_P$  is a noetherian, local, Asano order.  $S(R[\mathbf{x}])$  is a simple ring and is an essential overring of  $R[\mathbf{x}]$ .

(2)  $R[\mathbf{x}]$  satisfies the condition (K3).

PROOF. (1) Let  $P$  be a prime  $v$ -ideal of  $R[\mathbf{x}]$ . By Lemma 3.3, there exist a finite subset  $\mathbf{x}'$  of  $\mathbf{x}$  and a prime  $v$ -ideal  $P'$  of  $R[\mathbf{x}']$  such that  $P = P'[\mathbf{x} - \mathbf{x}']$ . Hence, by Corollary 2.5 and Lemma 3.1,  $R[\mathbf{x}]$  satisfies the Ore condition with respect to  $C(P)$  and  $R[\mathbf{x}]_P$  is a noetherian, local, Asano order. The Asano overring  $S(R[\mathbf{x}])$  is a simple ring and essential overring of  $R[\mathbf{x}]$  by Lemma 3.5. It remains to prove that  $R[\mathbf{x}] = \bigcap R[\mathbf{x}]_P \cap S(R[\mathbf{x}])$ . But, by using Lemmas 3.4 and 3.6, the proof of this proceeds just like that of Theorem 3.1 of [8].

(2) Let  $V(P)$  be the set of all prime  $v$ -ideals of  $R[\mathbf{x}]$  and, for any finite subset  $\mathbf{x}'$  of  $\mathbf{x}$ , let  $V(P_{\mathbf{x}'})$  be the set of all prime  $v$ -ideals  $P$  such that  $P = P'[\mathbf{x} - \mathbf{x}']$  for some prime  $v$ -ideal  $P'$  of  $R[\mathbf{x}']$ . If  $c$  is a regular element of  $R[\mathbf{x}]$ , then there is a finite subset  $\mathbf{x}_0$  of  $\mathbf{x}$  such that  $c \in R[\mathbf{x}_0]$ . By Corollary 2.5,  $cR[\mathbf{x}_0]_{P_0} \neq R[\mathbf{x}_0]_{P_0}$  for only finitely many prime  $v$ -ideals  $P_0$  of  $R[\mathbf{x}_0]$  and so, by Lemma 3.1,  $cR[\mathbf{x}]_P \neq R[\mathbf{x}]_P$  for only finitely many  $P$  in  $V(P_{\mathbf{x}_0})$ . Hence it suffices to prove that  $cR[\mathbf{x}]_P = R[\mathbf{x}]_P$  for all  $P$  in  $V(P) - V(P_{\mathbf{x}_0})$ . To prove this let  $P$  be any element in  $V(P) - V(P_{\mathbf{x}_0})$ . There are a finite subset  $\mathbf{x}'$  of  $\mathbf{x}$  and a prime  $v$ -ideal  $P'$  of  $R[\mathbf{x}']$  such that  $P = P'[\mathbf{x} - \mathbf{x}']$  by Lemma 3.3, *i. e.*,  $P \in V(P_{\mathbf{x}'})$ . Since  $P \in V(P_{\mathbf{x}' \cup \mathbf{x}_0})$  and  $P \notin V(P_{\mathbf{x}_0})$ , we may assume that  $\mathbf{x}'$  is a minimal element of the set  $\{\mathbf{x}' \mid \mathbf{x}' \not\supseteq \mathbf{x}_0 \text{ and } P \in V(P_{\mathbf{x}'})\}$ . Let  $x$  be any element in  $\mathbf{x}'$  but not in  $\mathbf{x}_0$  and let  $\mathbf{x}'' = \mathbf{x}' - \{x\}$ . In case  $\mathbf{x}'' = \mathbf{x}_0$ , we consider the following;

$$\begin{array}{ccc} Q(T) & \subset & Q(T)[x] \\ \bigcup & & \bigcup \\ T = R[\mathbf{x}_0] & \subset & T[x] (= R[\mathbf{x}']). \end{array}$$

In case  $\mathbf{x}'' \not\supseteq \mathbf{x}_0$ , we consider the following;

$$\begin{array}{ccc} Q(R[\mathbf{x}_0]) & \subset & Q(T) \subset Q(T)[x] \\ \bigcup & & \bigcup \\ R[\mathbf{x}_0] & \subset & T = R[\mathbf{x}''] \subset T[x] (= R[\mathbf{x}']). \end{array}$$

In both cases, there is a prime ideal  $Q'$  of  $Q(T)[x]$  such that  $P' = Q' \cap R[\mathbf{x}']$  and  $R[\mathbf{x}']_{P'} = Q(T)[x]_{Q'}$  by the proof of Theorem 2.4. Since  $c$  is a unit in  $Q(R[\mathbf{x}_0])$ , it is a unit in  $R[\mathbf{x}']_{P'}$ . Hence, since  $R[\mathbf{x}]_P \supseteq R[\mathbf{x}']_{P'}$ , we

have  $cR[\mathbf{x}]_P = R[\mathbf{x}]_P$ , as desired. By a symmetric proof, we have  $R[\mathbf{x}]_P c \neq R[\mathbf{x}]_P$  for only finitely many  $P$  in  $V(P)$ .

#### 4. Polynomial and Formal Power Series Extensions

In this section,  $D$  will denote a commutative Krull domain with field of quotients  $K$ . As is well known,  $D[\mathbf{x}]$  and  $D[[\mathbf{x}]]$  are both Krull domains (cf [6, p. 532] and Theorem 2.1 of [5]). Here the formal power series ring  $D[[\mathbf{x}]]$  is defined to be the union of the rings  $D[[\mathbf{x}']]$ , where  $\mathbf{x}'$  ranges over all finite subsets of  $\mathbf{x}$ . We denote the fields of quotients of  $D[\mathbf{x}]$  and  $D[[\mathbf{x}]]$  by  $K(\mathbf{x})$  and  $K((\mathbf{x}))$ , respectively.

Let  $\Sigma$  be a central simple  $K$ -algebra with finite dimension over  $K$  and let  $A$  be a  $D$ -order in  $\Sigma$  in the sense of [4]. Then  $\Sigma(\mathbf{x}) = \Sigma \otimes_K K(\mathbf{x})$  is a central simple  $K(\mathbf{x})$ -algebra and  $A[\mathbf{x}] (\cong A \otimes_D D[\mathbf{x}])$  is a  $D[\mathbf{x}]$ -order in  $\Sigma(\mathbf{x})$ . So, from Proposition 4.2 of [11] and Proposition 1.3, we have.

**PROPOSITION 4.1.** *Let  $\Sigma$  be a central simple  $K$ -algebra and let  $A$  be a maximal  $D$ -order in  $\Sigma$ . Then  $A[\mathbf{x}]$  is a maximal  $D[\mathbf{x}]$ -order in  $\Sigma(\mathbf{x})$ .*

In case  $\mathbf{x}$  is a finite set, this result was obtained by Fossum (cf. Theorem 1.11 of [4]).

**LEMMA 4.2.** *Let  $\Sigma$  be a central simple  $K$ -algebra and let  $A$  be a  $D$ -order in  $\Sigma$ . Then*

- (1) *The quotient ring  $Q(A[[\mathbf{x}]])$  of  $A[[\mathbf{x}]]$  is  $A[[\mathbf{x}]] \otimes_{D[[\mathbf{x}]}} K((\mathbf{x}))$  and is a simple artinian ring with finite dimension over  $K((\mathbf{x}))$ .*
- (2)  *$Q(A[[\mathbf{x}]])$  is central as a  $K((\mathbf{x}))$ -algebra.*
- (3)  *$A[[\mathbf{x}]]$  is a  $D[[\mathbf{x}]]$ -order in  $Q(A[[\mathbf{x}]])$ .*

**PROOF.** First we note that  $A[[\mathbf{x}]]$  is a prime ring and that each non-zero element of  $D[[\mathbf{x}]]$  is regular in  $A[[\mathbf{x}]]$ .

(1) By Proposition 1.1 of [4], there exists a finitely generated  $D$ -free module  $F$  in  $\Sigma$  such that  $A \subseteq F$ . Then  $F[[\mathbf{x}]]$  is a finitely generated  $D[[\mathbf{x}]]$ -free module and so  $F[[\mathbf{x}]] \otimes_{D[[\mathbf{x}]]} K((\mathbf{x}))$  is a finite dimensional  $K((\mathbf{x}))$ -space. Thus  $A[[\mathbf{x}]] \otimes_{D[[\mathbf{x}]]} K((\mathbf{x}))$  is also a finite dimensional  $K((\mathbf{x}))$ -space, which implies that it is an artinian ring. Further,  $A[[\mathbf{x}]] \otimes_{D[[\mathbf{x}]]} K((\mathbf{x}))$  is an essential extension of  $A[[\mathbf{x}]]$  as  $D[[\mathbf{x}]]$ -modules (hence, as  $A[[\mathbf{x}]]$ -modules). It follows that  $A[[\mathbf{x}]] \otimes_{D[[\mathbf{x}]]} K((\mathbf{x}))$  is a simple artinian ring and is a quotient ring of  $A[[\mathbf{x}]]$ , since  $A[[\mathbf{x}]]$  is a prime ring.

(2) Since  $A[[\mathbf{x}]]$  is  $D[[\mathbf{x}]]$ -torsion-free, we may assume that

$$A[[\mathbf{x}]] \otimes_{D[[\mathbf{x}]]} K((\mathbf{x})) = A[[\mathbf{x}]] K((\mathbf{x}))$$

as in [3, p. 1045], and hence it contains  $\Sigma$ . let  $\{f_i\} q$  be any element of

$A[[\mathbf{x}]] \otimes_{D[[\mathbf{x}]}} K((\mathbf{x}))$ , where  $\{f_i\}_{i=1}^{\infty} \in A[[\mathbf{x}']]$  for some finite subset  $\mathbf{x}' = \{x_1, \dots, x_s\}$  of  $\mathbf{x}$ , each  $f_i \in A[\mathbf{x}']$  and  $f_i$  is either 0 or a form of degree  $i$ . Suppose that  $\{f_i\}q$  is an element in the center of  $A[[\mathbf{x}]] \otimes K((\mathbf{x}))$  and that  $\{f_i\}q \neq 0$ . Then  $\sigma(\{f_i\}q) = (\{f_i\}q)\sigma$  for every  $\sigma \in \Sigma$ . Since  $\{\sigma f_i\}q = \{f_i\sigma\}q$ , we get  $\sigma f_i = f_i\sigma$  for all  $i$ . Write  $f_i = a_{i1}x_1^{n_{i1}} \cdots x_s^{n_{is}} + \cdots + a_{it}x_1^{n_{t1}} \cdots x_s^{n_{ts}}$ , where  $n_{j1} + \cdots + n_{js} = i$  for  $j=1, \dots, t$  and  $a_{ij} \in A$ . Then  $a_{ij}\sigma = \sigma a_{ij}$  implies that  $a_{ij}$  belongs to the center of  $A$  and so  $a_{ij} \in D$ . Hence  $\{f_i\}q \in K((\mathbf{x}))$ . This implies that  $Q(A[[\mathbf{x}]])$  is central as  $K((\mathbf{x}))$ -algebras.

(3) It only remains to prove that each element of  $A[[\mathbf{x}]]$  is integral over  $D[[\mathbf{x}]]$ . To prove this let  $\mathfrak{p}$  be a minimal prime ideal of  $D[[\mathbf{x}]]$ . Then  $A[[\mathbf{x}]] \otimes_{D[[\mathbf{x}]}} D[[\mathbf{x}]]_{\mathfrak{p}} \subseteq F[[\mathbf{x}]] \otimes_{D[[\mathbf{x}]}} D[[\mathbf{x}]]_{\mathfrak{p}}$ , where  $F$  is a finitely generated  $D$ -free module in  $\Sigma$  such that  $F \supseteq A$ , the latter is finitely generated as  $D[[\mathbf{x}]]_{\mathfrak{p}}$ -modules and so is the former. Hence each element of  $A[[\mathbf{x}]] \otimes_{D[[\mathbf{x}]}} D[[\mathbf{x}]]_{\mathfrak{p}}$  is integral over  $D[[\mathbf{x}]]_{\mathfrak{p}}$  by Theorem 8.6 of [15]. Hence each element of  $A[[\mathbf{x}]]$  is integral over  $D[[\mathbf{x}]]$  by Theorem 1.14 of [15], because  $A[[\mathbf{x}]] \subseteq \bigcap A[[\mathbf{x}]] \otimes_{D[[\mathbf{x}]}} D[[\mathbf{x}]]_{\mathfrak{p}}$  and  $D[[\mathbf{x}]] = \bigcap D[[\mathbf{x}]]_{\mathfrak{p}}$ , where  $\mathfrak{p}$  ranges over all minimal prime ideals of  $D[[\mathbf{x}]]$ .

PROPOSITION 4.3. *Let  $\Sigma$  be a central simple  $K$ -algebra and let  $A$  be a maximal  $D$ -order in  $\Sigma$ . Then  $A[[\mathbf{x}]]$  is a maximal  $D[[\mathbf{x}]]$ -order in  $A[[\mathbf{x}]] \otimes_{D[[\mathbf{x}]}} K((\mathbf{x}))$ .*

PROOF. By Proposition 4.2 of [11] and Lemma 4.2, it suffices to prove that  $A[[\mathbf{x}]]$  is a maximal order in  $Q(A[[\mathbf{x}]])$  as rings. Firstly we shall prove this in case  $\mathbf{x} = \{x\}$ . Let  $A$  be any non-zero ideal of  $A[[x]]$  and  $q$  be any element of  $O_l(A)$ . By the same way as Lemma 2' of [17], there is a regular element  $c(x) = c_n x^n + c_{n+1} x^{n+1} + \cdots$  ( $c_n$ : regular) of  $A[[x]]$  such that  $c(x)q = \lambda(x) \in A[[x]]$ . We get  $c(x)^{-1} = x^{-n}d(x)$  for some  $d(x) \in \Sigma[[x]]$  by the method of [6, p. 7]. Thus  $q = c(x)^{-1}\lambda(x) = x^{-n}d(x)\lambda(x)$  and put  $e(x) = d(x)\lambda(x) = e_0 + e_1 x + \cdots + e_n x^n + \cdots \in \Sigma[[x]]$ . We set  $A_i = \{a_i | a_i x^i + a_{i+1} x^{i+1} + \cdots \in A\} \cup \{0\}$  for non-negative integers  $i$  and set  $A^* = \bigcup_i A_i$ . Assume that  $A_0 = A_1 = \cdots = A_{i-1} = 0$  and  $A_i \neq 0$ . Since  $A_i$  is an ideal of  $A$ , there is a regular element  $a_i$  in  $A_i$  by Goldie's theorem [7] and is an element  $a(x) \in A$  such that  $a(x) = a_i x^i + a_{i+1} x^{i+1} + \cdots$ . Then we get that  $qa(x) = x^{-n}e(x)a(x) \in A$  and  $e(x)a(x) \in x^n A$ . Hence  $e_0 = e_1 = \cdots = e_{n-1} = 0$ , because  $(x^n A)_0 = \cdots = (x^n A)_{n+i-1} = 0$  and  $a_i$  is regular. Hence  $q = x^{-n}e(x) \in \Sigma[[x]]$ , and write  $q = q_0 + q_1 x + \cdots + q_n x^n + \cdots$ , where  $q_i \in \Sigma$ . For any non-zero element  $b_k$  of  $A^*$ , there exists  $b(x) = b_k x^k + b_{k+1} x^{k+1} + \cdots$  in  $A$ . Then  $q_0 b_k \in A^*$ , because  $qb(x) \in A$  and so  $q_0 \in O_l(A^*) = A$ . Assume that  $q_0, \dots, q_{j-1} \in A$  and put  $q_j(x) = q(x) - (q_0 + q_1 x + \cdots + q_{j-1} x^{j-1})$ . Then since  $q_j(x)A \subseteq q(x)A - (q_0 + q_1 x + \cdots + q_{j-1} x^{j-1})A \subseteq A$ , it follows that  $q_j \in A$  by the same way as the above.

Hence  $q \in A[[x]]$  by an induction. Thus  $O_l(A) = A[[x]]$  and, by symmetry,  $O_r(A) = A[[x]]$ . Hence  $A[[x]]$  is a maximal order in  $Q(A[[x]])$ . In particular if  $\mathbf{x}$  is finite, then  $A[[\mathbf{x}]]$  is a maximal order in  $Q(A[[\mathbf{x}]])$ . Assume that  $\mathbf{x}$  is infinite and let  $B$  be any non-zero ideal of  $A[[\mathbf{x}]]$ . If  $q$  is any element of  $O_l(B)$ , then there exists a finite subset  $\mathbf{x}'$  of  $\mathbf{x}$  such that  $B \cap A[[\mathbf{x}']]$  is non-zero and  $q \in Q(A[[\mathbf{x}']])$ . It follows that  $q(B \cap A[[\mathbf{x}']]) \subseteq B \cap Q(A[[\mathbf{x}']]) = B \cap Q(A[[\mathbf{x}']]) \cap A[[\mathbf{x}']] = B \cap A[[\mathbf{x}']]$ . Hence  $q \in O_l(B \cap A[[\mathbf{x}']]) = A[[\mathbf{x}']]$  and thus  $O_l(B) = A[[\mathbf{x}]]$ . By symmetric proof, we get  $O_r(B) = A[[\mathbf{x}]]$  and therefore  $A[[\mathbf{x}]]$  is a maximal order in  $Q(A[[\mathbf{x}]])$ .

REMARK. (1) In case  $\mathbf{x} = \{x\}$  and  $D$  is a regular local ring, the proposition was proved by Ramras [14].

(2) Let  $\Sigma$  be a central simple  $K$ -algebra and let  $A$  be a  $D$ -order in  $\Sigma$ . If  $A$  is a Krull order in  $\Sigma$ , then  $A[x]$  and  $A[[x]]$  are both Krull orders by Proposition 4.2 of [11] and Propositions 4.1 and 4.3.

(3) Let  $R$  be a noetherian prime Goldie ring with quotient ring  $Q$ . By [17],  $R[[x]]$  is also a noetherian prime Goldie ring with quotient ring  $Q(R[[x]])$ . The same proof as Proposition 4.3 gives that if  $R$  is a maximal order in  $Q$ , then  $R[[x]]$  is a maximal order in  $Q(R[[x]])$ .

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