# On the Riesz bases, frames and minimal exponential systems in $L^2[-\pi,\pi]$

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**Abstract.** P. G. Casazza, O. Christensen, S. Li, and A. Lindner proved in [3] that some families of complex exponentials were either Riesz bases or not frames in  $L^2[-\pi,\pi]$ . First, we shall advance their results in this note. Sedletskii constructed in [9] an exponential system which is complete, minimal and not uniformly minimal with separable spectrum in  $L^2[-\pi,\pi]$ . Next, we shall construct a similar example with nonseparable spectrum in  $L^2[-\pi,\pi]$ .

Key words: Riesz basis, frame, minimal, uniformly minimal.

#### 1. Introduction

A sequence  $\{x_n\}$  in a Hilbert space H is said to be *complete* in H if the linear subspace span $\{x_n\}$  spanned by the distinct elements  $x_n$  is dense in H, i.e.,  $\overline{\text{span}}\{x_n\} = H$ . The sequence  $\{x_n\}$  is said to be *minimal* in H if each element of  $\{x_n\}$  lies outside the closed linear span of the others, i.e.,  $x_k \notin \overline{\text{span}}\{x_n\}_{n\neq k}$ . If we write  $M_k = \overline{\text{span}}\{x_n\}_{n\neq k}$  for each k, this means that

$$d_k = \operatorname{dist}(x_k, M_k) = \inf_{x \in M_k} ||x_k - x|| > 0.$$

Also, it is said to be uniformly minimal if

$$d_k \ge \delta \|x_k\|$$

for each k, where  $\delta$  is a positive constant independent of k. It is well known that if  $\{x_n\}$  is basis, it is uniformly minimal (see [11, Theorem 3.1]). Also,  $\{x_n\}$  is said to be  $\omega$ -independent if  $\sum_n \alpha_n x_n = 0$  implies that  $\alpha_n = 0$  for all n. It is trivial that if  $\{x_n\}$  is minimal, then it is  $\omega$ -independent.

Next we say that  $\{x_n\}$  is a *frame* for H if there exist constants A, B > 0 such that

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$$A||x||^2 \le \sum_{n=1}^{\infty} |(x, x_n)|^2 \le B||x||^2.$$

If we replace H with  $\overline{\text{span}}\{x_n\}$ , we say that  $\{x_n\}$  is a frame sequence.

We say that  $\{x_n\}$  is a *Riesz basis* for H if it is complete in H and there exist constants A, B > 0 such that

$$A\sum |c_n|^2 \le \left\|\sum c_n x_n\right\|^2 \le B\sum |c_n|^2$$

for all finite scalar sequences  $\{c_n\}$ . If we replace H with  $\overline{\text{span}}\{x_n\}$ , we say that  $\{x_n\}$  is a Riesz sequence. It is well known that if  $\{x_n\}$  is a frame and is  $\omega$ -independent, then it is a Riesz basis (see the proof of Proposition 2.1).

A sequence  $\Lambda = \{\lambda_n\}_{n \in \mathbb{Z}}$  of complex numbers is said to be separable if

$$\inf_{n\neq m}|\lambda_n-\lambda_m|>0.$$

In this note, we consider  $H = L^2[-\pi, \pi]$  and  $\{x_n\} = \{e^{i\lambda_n t}\}_{n \in \mathbb{Z}}$  for a sequence  $\mathbf{\Lambda} = \{\lambda_n\}_{n \in \mathbb{Z}}$  of distinct complex numbers with  $\sup_n |\operatorname{Im} \lambda_n| < \infty$ . Then,  $\mathbf{\Lambda}$  is said to be a *spectrum* with respect to the system  $\{e^{i\lambda_n t}\}_{n \in \mathbb{Z}}$ . And we raise the relationships between Riesz bases (Riesz sequences) and frames (frame sequences).

P. G. Casazza, O. Christensen, S. Li, and A. Lindner [3] obtained the next result using the result of Balan [2].

**Theorem A** ([3, Proposition 16.10]) Let  $\{\lambda_k\}_{k\in\mathbb{Z}}$  be a sequence of real numbers such that

$$\sup_{k \in \mathbb{Z}} |\lambda_k - k| = \frac{1}{4}.\tag{1.1}$$

Then either  $\{e^{i\lambda_k t}\}_{k\in\mathbb{Z}}$  is a Riesz basis for  $L^2[-\pi,\pi]$  or it is not a frame for  $L^2[-\pi,\pi]$ .

We shall obtain the result which except the condition (1.1) from Theorem A in Section 2.

Next, Sedletskii constructed in [9, Theorem 3] an exponential system which is complete, minimal and not uniformly minimal with separable spectrum. We shall construct a similar example with nonseparable spectrum in

Section 3.

## 2. Riesz bases and frames

In this section, we examine relations of Riesz bases (Riesz sequences) and frames (frame sequences) aiming at generalizing Theorem A.

**Proposition 2.1** If  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is  $\omega$ -independent, then it is either a Riesz sequence or not a frame sequence in  $L^2[-\pi,\pi]$ .

*Proof.* We suppose that  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is a frame sequence. If we refer to the proof of Theorem 12 in Young [13, Ch.4, Section 7], we see that if a frame is  $\omega$ -independent, then it is a Riesz basis. Consequently,  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is a Riesz sequence since it is  $\omega$ -independent.

The next result is well known.

**Theorem B** (Schwarz, 1943; see Alexander and Redheffer [1, p. 61, Remark 4]) If  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is incomplete, then it is minimal.

This result is one of the intrinsic properties of complex exponential systems. If it is not a complex exponential system, we easily have a counterexample.

# Example 2.1 The system

$$\{e^{it} + e^{i2t}, e^{it}, e^{i2t}, e^{i3t}, \dots, e^{int}, \dots\}$$

is incomplete, but not minimal in  $L^2[-\pi, \pi]$ .

Corollary 2.1 If  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is incomplete, then it is either a Riesz sequence or not a frame sequence in  $L^2[-\pi,\pi]$ .

*Proof.* If  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is incomplete, it is minimal by Theorem B. Consequently, the result follows from Proposition 2.1.

Now, we define the notion of excess introduced by Paley and Wiener (see Redheffer [7, p. 2]). We say the system  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  has  $excess\ N$  if it remains complete and becomes minimal when N terms  $e^{i\lambda_n t}$  are removed and we define

$$E(\mathbf{\Lambda}) = N.$$

Conversely we define the excess

$$E(\mathbf{\Lambda}) = -N$$

if it becomes complete and minimal when N terms

$$e^{i\mu_1t},\ldots,e^{i\mu_Nt}$$

are adjoined. By convention we define  $E(\mathbf{\Lambda}) = \infty$  if arbitrarily many terms can be removed without losing completeness and  $E(\mathbf{\Lambda}) = -\infty$  if arbitrarily many terms can be adjoined without getting completeness. We see that  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is minimal if and only if  $E(\mathbf{\Lambda}) \leq 0$  from Theorem B. And it is obvious that  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is to be complete and minimal if and only if  $E(\mathbf{\Lambda}) = 0$ .

**Corollary 2.2** Let a, b be nonnegative constants and  $\{\varepsilon_n\}_{n\in\mathbb{Z}}$  be a complex sequence such that

$$\varepsilon_0 = 0$$
,  $\sup_{n \neq 0} |\operatorname{Re} \varepsilon_n| < \frac{1}{4}$ ,  $\sup_{n \neq 0} |\operatorname{Im} \varepsilon_n| < \infty$ .

If we define the sequence  $\Lambda = {\lambda_n}_{n \in \mathbb{Z}}$  as follows,

$$\lambda_n = \begin{cases} n + \varepsilon_n + a, & n > 0, \\ 0, & n = 0, \\ n + \varepsilon_n - b, & n < 0, \end{cases}$$

then  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is either a Riesz sequence or not a frame sequence in  $L^2[-\pi,\pi]$ .

Proof. If

$$\begin{cases} \mu_0 = 0 \\ \mu_n = n + \varepsilon_n, & n \neq 0, \end{cases}$$

then  $\{e^{i\mu_n t}\}_{n\in\mathbb{Z}}$  is a Riesz basis ([13, p. 164, Corollary 2]). By [4], we have

$$E(\mathbf{\Lambda}) \leq E(\boldsymbol{\mu}) = 0.$$

Hence  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is minimal. The conclusion follows from Proposition 2.1.

We are particularly interested in the case of which  $\{\varepsilon_n\}_{n\in\mathbb{Z}}$  is a real sequence and a = b = 1/4 in Corollary 2.2, i.e.,

$$\lambda_n = \begin{cases} n + \frac{1}{4} + \varepsilon_n, & n > 0, \\ 0, & n = 0, \\ n - \frac{1}{4} + \varepsilon_n, & n < 0, \end{cases}$$
 (2.1)

with  $\sup_{n\neq 0} |\varepsilon_n| < \frac{1}{4}$ . Now let  $\alpha$  be a real number and we consider the isometric isomorphism

$$\phi(t) \longmapsto e^{i\alpha t}\phi(t)$$
 (2.2)

on  $L^2[-\pi,\pi]$ . Besides we know the next result:

**Proposition A** (e.g. [6, Corollary 1.1]) We suppose that  $\sup_n |\operatorname{Im} \lambda_n| <$  $\infty$  and  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is a basis. If we replace finitely many points  $\lambda_n$  by the same number of points  $\mu_n \notin \{\lambda_n\}$ ,  $\mu_n \neq \mu_m$ ,  $n \neq m$ , then the basis property of  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is not violated. Consequently the same applies to any Riesz

Using the above isomorphism and Proposition A, if we consider the next sequence,

$$\mu_n = \begin{cases} n - \frac{1}{4} + \varepsilon_n, & n > 0, \\ n + \frac{1}{4} + \varepsilon_n, & n < 0, \end{cases}$$

then we see that  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  with the  $\lambda_n$  given by (2.1) has the same basis properties as  $\{e^{i\mu_n t}\}_{n\neq 0}$ . Hence we see by Corollary 2.2 that  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  and  $\{e^{i\mu_n t}\}_{n\neq 0}$  are either Riesz sequences or not frame sequences in  $L^2[-\pi,\pi]$ . If we choose  $\{\varepsilon_n\}_{n\in\mathbb{Z}}$  in (2.1) such that

$$\varepsilon_n \begin{cases} \le 0, & n > 0, \\ \ge 0, & n < 0, \end{cases}$$

and  $\inf_{n\neq 0} |\varepsilon_n| = 0$ , then we again obtain Theorem A.

Besides Redheffer and Young [8] proved the next result for the case  $\varepsilon_n = \beta/\log n \ (n \ge 2)$ .

**Theorem C** ([8, Theorem 3]) Let

$$\mu_n = \begin{cases} 0, & n = 0, \\ 1, & n = 1, \\ n + \frac{1}{4} + \frac{\beta}{\log n}, & n \ge 2, \\ -\mu_{-n}, & n < 0, \end{cases}$$

then  $\{e^{i\mu_n t}\}_{n\in\mathbb{Z}}$  is complete in  $L^2[-\pi,\pi]$  if  $0\leq\beta\leq 1/4$  and not if  $\beta>1/4$ .

We remark that the sequence  $\boldsymbol{\mu} = \{\mu_n\}_{n \in \mathbb{Z}}$  does not satisfy the condition (1.1) in Theorem A for  $\beta > 0$ . We have proved in [6, Theorem 2.1 and Section 3] that  $\{e^{i\mu_n t}\}_{n \in \mathbb{Z}}$  is not a Riesz basis for  $0 \le \beta \le 1/4$  and not a Riesz sequence for  $\beta > 1/4$ . Consequently, we conclude by Corollary 2.2 that  $\{e^{i\mu_n t}\}_{n \in \mathbb{Z}}$  is not a frame for  $0 \le \beta \le 1/4$  and not a frame sequence for  $\beta > 1/4$ .

The next result is proved inductively by using Young [13, p. 156, Lemma 6].

**Proposition B** (see [13, p. 156, Lemma 6]) Let  $\{f_n\}_{n\in\mathbb{N}}$  be a frame in a Hilbert space H and I be a finite subset of  $\mathbb{N}$ ,

$$I = \{n_1, n_2, \dots, n_m\}.$$

Then  $\{f_n\}_{n\in\mathbb{N}-I}$  leaves either a frame or an incomplete set.

We have the next result from Proposition B.

**Theorem 2.1** Let  $\Lambda = {\lambda_n}_{n \in \mathbb{Z}}$  be a sequence of complex numbers satisfying

$$|\lambda_n - n| \le L, (2.3)$$

where L is a positive constant and assume that  $E(\Lambda) = m \ge 1$ , and let

$$I = \{n_1, n_2, \dots, n_m\} \subset \mathbb{Z}.$$

Then  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}-I}$  is a Riesz basis if and only if  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is a frame.

*Proof.* First, we remark that  $E(\mathbf{\Lambda})$  is finite under the condition (2.3) by [7, Theorem 47]. We suppose that  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}-I}$  is a Riesz basis. We prove only the case m=1 since the argument of the case  $m\geq 2$  is similar to one of m=1. If  $\{e^{i\lambda_n t}\}_{n\neq n_1}$  is a Riesz basis, then it is a frame with same bounds. Hence there exist positive constants A, B such that

$$|A||f||^2 \le \sum_{n \ne n_1} |(f, e^{i\lambda_n t})|^2 \le B||f||^2$$

for  $\forall f \in L^2[-\pi, \pi]$ . It is trivial that

$$\left| (f, e^{i\lambda_{n_1} t}) \right| \le C \|f\|,$$

where C is a positive constant. Consequently we have

$$A||f||^2 \le \sum_{n \ne n_1} |(f, e^{i\lambda_n t})|^2 + |(f, e^{i\lambda_{n_1} t})|^2$$
  
 
$$\le (B + C^2)||f||^2.$$

Hence  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is a frame.

Conversely, we suppose that  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is a frame. Since  $E(\mathbf{\Lambda})=m$ ,  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}-I}$  is complete. Then it is a frame by Proposition B. Besides it is also minimal, hence  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}-I}$  is a Riesz basis.

**Remark 2.1** Let  $\{\lambda_n\}_{n\in\mathbb{Z}}$  be a sequence of real numbers such that

$$\sup_{n\in\mathbb{Z}}|\lambda_n-n|=\frac{1}{4},$$

then we have  $E(\mathbf{\Lambda}) = 0$  or 1 by [7, Theorem 47]. If  $E(\mathbf{\Lambda}) = 1$ ,  $\{e^{i\lambda_n t}\}_{n \in \mathbb{Z}}$  is not a Riesz basis. Hence it is not a frame by Theorem A. Consequently,  $\{e^{i\lambda_n t}\}_{n \neq n_1}$  for any  $n_1 \in \mathbb{Z}$  is not a Riesz basis by Theorem 2.1.

Finally, we give some examples.

## Example 2.2 Let

$$\mu_n = \begin{cases} n - \frac{1}{4}, & n > 0, \\ n + \frac{1}{4}, & n < 0, \end{cases}$$

then the system  $\{e^{i\mu_n t}\}_{n\neq 0}$  is complete and minimal by Levinson [5, p. 67] (see [13, Remark, p. 105]) and it is not a basis (Young [12, Theorem 2]). Therefore, since it is also not a Riesz basis, it is not a frame by Proposition 2.1.

The following example is also given by [3, Example 16.11].

## Example 2.3 Let

$$\lambda_n = \begin{cases} n - \frac{1}{4}, & n > 0, \\ 0, & n = 0, \\ n + \frac{1}{4}, & n < 0, \end{cases}$$

then  $E(\mathbf{\Lambda})=1$  and  $\{e^{i\lambda_n t}\}_{n\neq 0}$  is not a Riesz basis as shown by the above example. Consequently,  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is not a frame by Theorem 2.1. Incidentally, using the result which the system  $\{e^{i\mu_n t}\}_{n\neq 0}$  in Example 2.2 is not a basis, if we follow the argument in Singer [11, Ch.I, Section 6, Example 6.1-b)], we see that  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is an example which is  $\omega$ -independent, but not minimal.

## 3. Minimal exponential system with nonseparable spectrum

In this section, we construct an exponential system which is complete and minimal with nonseparable spectrum, hence not uniformly minimal.

**Proposition C** (see Sedletskii [10, p. 3569]) If  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is complete in  $L^2[-\pi,\pi]$ , then the following statements are equivalent:

- (i)  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is uniformly minimal.
- (ii)  $\inf_k d_k = \inf_k \operatorname{dist}(e^{i\lambda_k t}, M_k) > 0,$ where  $M_k = \overline{\operatorname{span}}\{e^{i\lambda_n t}\}_{n \neq k}.$
- (iii) There exists a sequence of coefficient functionals  $\{f_n\}_{n\in\mathbb{Z}}\subset L^2[-\pi,\pi]$  associated to  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  such that  $\sup_n \|f_n\| < \infty$ .

Since  $\sup_n |\operatorname{Im} \lambda_n| < \infty$ , we have

$$0 < \inf_{n} \|e^{i\lambda_n t}\| \le \sup_{n} \|e^{i\lambda_n t}\| < \infty.$$

Hence, it is trivial that (i) is equivalent to (ii). The equivalence of (ii) and (iii) follows from the Hahn-Banach theorem and the fact that the sequence  $\{f_n\}_{n\in\mathbb{Z}}$  of coefficient functionals associated to  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is uniquely determined.

Using Proposition C, we have the next result. Sedletskii obtained a same result in [10, p. 3569].

**Proposition 3.1** If  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is uniformly minimal, the spectrum  $\mathbf{\Lambda} = \{\lambda_n\}_{n\in\mathbb{Z}}$  is separable.

Proof.

$$\begin{aligned} \|e^{i\lambda_k t} - e^{i\lambda_n t}\| &= \|\{e^{i(\lambda_k - \lambda_n)t} - 1\}e^{i\lambda_n t}\| \\ &\leq C \|e^{i(\lambda_k - \lambda_n)t} - 1\| \\ &= C \|\sum_{j=1}^{\infty} \frac{\{i(\lambda_k - \lambda_n)\}^j}{j!} t^j\| \\ &\leq C \sum_{j=1}^{\infty} \frac{|\lambda_k - \lambda_n|^j}{j!} \pi^j \\ &\leq C (e^{|\lambda_k - \lambda_n|\pi} - 1). \end{aligned}$$
(3.1)

Now, since  $\{e^{i\lambda_n t}\}_{n\in\mathbb{Z}}$  is uniformly minimal, we have by Proposition C,

$$||e^{i\lambda_k t} - e^{i\lambda_n t}|| \ge d_k \ge \delta \tag{3.2}$$

for a positive constant  $\delta$ . However, if

$$\inf_{n \neq k} |\lambda_k - \lambda_n| = 0,$$

then we obtain by (3.1)

$$\inf_{n \neq k} \left\| e^{i\lambda_k t} - e^{i\lambda_n t} \right\| = 0.$$

This contradicts (3.2).

Sedletskii showed in [9] that the converse of Proposition 3.1 did not hold. He constructed the exponential system with real separable spectrum such that it was complete and minimal, but not uniformly minimal.

**Theorem D** ([9, Theorem 3]) Let V be the sequence of all integers in the intervals

$$I_s = [2^s, 2^s + [\log s]], \quad s \ge 3.$$

Let

$$\mathbf{\Lambda} = (n: n < 0, \ n \in V) \cup \left(n - \frac{1}{2}: n \in \mathbb{N} \backslash V\right).$$

Then  $\{e^{i\lambda_n t}\}_{n\in\Lambda}$  is complete and minimal, but not uniformly minimal in  $L^2[-\pi,\pi]$ .

This example can be written as follows:

$$\lambda_n = \begin{cases} n - \frac{1}{2}, & n \in \mathbb{N} \backslash V, \\ n, & n < 0 \text{ or } n \in V. \end{cases}$$
 (3.3)

By the way, Redheffer and Young showed that  $\{e^{i\mu_n t}\}_{n\in\mathbb{Z}}$  with the spectrum given by the following (3.4) was complete and minimal in [8, Lemma 1 and Remark]. Moreover, they showed that the coefficient functionals of the system were uniformly bounded, consequently the system were uniformly minimal.

**Theorem E** ([8, Theorem 5]) Let

$$\mu_n = \begin{cases} n + \frac{1}{4}, & n > 0, \\ 0, & n = 0, \\ n - \frac{1}{4}, & n < 0, \end{cases}$$
 (3.4)

then the sequence  $\{f_n\}$  of coefficient functionals associated to the system  $\{e^{i\mu_n t}\}_{n\in\mathbb{Z}}$  satisfies  $\sup_n \|f_n\| < \infty$ .

Appling the isomorphism defined by (2.2) to the system  $\{e^{i\mu_n t}\}_{n\in\mathbb{Z}}$  in Theorem E, we see that the exponential system  $\{e^{i\gamma_n t}\}_{n\neq 0}$  with the following spectrum  $\{\gamma_n\}_{n\neq 0}$ ,

$$\gamma_n = \begin{cases} n - \frac{1}{2}, & n > 0, \\ n, & n < 0, \end{cases}$$
 (3.5)

is also uniformly minimal. We remark that the example of Sedletskii given by (3.3) is gained by moving a part of the spectrum given by (3.5). Now, the spectrum  $\Lambda = \{\lambda_n\}$  in (3.3) is separable. Hence we construct a similar example with nonseparable spectrum. We state the known results which we need.

**Theorem F** (Schwarz, 1959; see [13, p. 117, Theorem 15]) If  $\Lambda = \{\lambda_n\}$  is a sequence of real numbers such that  $\sum 1/|\lambda_n| < \infty$ , then  $\{e^{i\lambda_n t}\}$  fails to be complete in  $L^2[-A,A]$  for any positive number A.

**Theorem G** ([7, Theorem 47]) For  $-\infty < n < \infty$ , let  $\Lambda \equiv \{\lambda_n\}$  be a sequence of complex numbers satisfying  $|\lambda_n - n| \le h$  where h is a positive constant. Then  $E(\Lambda)$  satisfies

$$-\left(4h + \frac{1}{2}\right) < E(\mathbf{\Lambda}) \le 4h + \frac{1}{2}.$$

Next, we denote by n(t) the number of points  $\lambda_n$  inside the disk  $|z| \leq t$  and we put

$$N(r) = \int_{1}^{r} \frac{n(t)}{t} dt.$$

Levinson obtained the following result in [5].

**Theorem H** ([5, Theorem III]; see [13, p. 99, Theorem 3]) The set  $\{e^{i\lambda_n t}\}$  is complete in  $L^2[-\pi, \pi]$  whenever

$$\limsup_{r \to \infty} \left( N(r) - 2r + \frac{1}{2} \log r \right) > -\infty.$$

**Lemma 3.1** We define the sequence  $\Lambda = \{\lambda_n\}$  as follows:

$$\mathbf{\Lambda} = \left\{2, 2 + \frac{1}{2}, 2^2, 2^2 + \frac{1}{2^2}, \dots, 2^n, 2^n + \frac{1}{2^n}, \dots\right\}.$$

Then  $\{e^{i\lambda_n t}\}$  is minimal and  $\Lambda$  is nonseparable.

Proof. Since

$$\sum_{n=1}^{\infty} \frac{1}{\lambda_n} = \sum_{n=1}^{\infty} \frac{1}{2^n} + \sum_{n=1}^{\infty} \frac{1}{2^n + \frac{1}{2^n}} < \infty,$$

we see that  $\{e^{i\lambda_n t}\}$  is minimal by Theorem B and Theorem F.  $\Lambda$  is nonseparable obviously.

Using this lemma, we obtain the next result.

**Theorem 3.1** The system  $\{e^{i\lambda_n t}\}$  in Lemma 3.1 can be extended to a complete, minimal and not uniformly minimal exponential system  $\{e^{i\mu_n t}\}$  with nonseparable spectrum in  $L^2[-\pi,\pi]$ .

*Proof.* We define the sequence  $\mu = \{\mu_n\}$  as follows:

$$\mu_n = \begin{cases} 2^k + \frac{1}{2^k}, & n = 2^k + 1, \\ n, & n \neq 2^k + 1, \end{cases}$$

for n = 0, 1, 2, ... and k = 1, 2, ... and let

$$\mu_{-n} = -\mu_n, \ n = 1, 2, \dots$$

Obviously,  $\Lambda = \{\lambda_n\} \subset \{\mu_n\}$  and we have

$$|\mu_n - n| \le 1, \ \forall n.$$

Consequently, by Theorem G, the excess  $E(\mu)$  satisfies

$$-4 \le E(\boldsymbol{\mu}) \le 4.$$

Let denote by  $n_1(t)$  and  $n_2(t)$  the number of integers in  $|z| \leq t$  and the number of points  $\mu_n$  in  $|z| \leq t$ , respectively. We put

$$N_1(r) = \int_1^r \frac{n_1(t)}{t} dt, \quad N_2(r) = \int_1^r \frac{n_2(t)}{t} dt.$$

Since  $N_1(r) \leq N_2(r)$ , we have

$$\limsup_{r \to \infty} \left( N_2(r) - 2r + \frac{1}{2} \log r \right) \ge \limsup_{r \to \infty} \left( N_1(r) - 2r + \frac{1}{2} \log r \right) > -\infty.$$

Hence, we see that  $\{e^{i\mu_n t}\}$  is complete by Theorem H, consequently,

$$0 \le E(\boldsymbol{\mu}) \le 4$$
.

If we delete  $4 \mu_n$ 's  $\notin \Lambda$  from  $\mu$  at most, the rest system  $\{e^{i\mu_n t}\}$  is complete and minimal in  $L^2[-\pi,\pi]$ . Since  $\mu$  is nonseparable,  $\{e^{i\mu_n t}\}$  is not uniformly minimal by Proposition 3.1.

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