

## A maximal inequality associated to Schrödinger type equation

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(Received January 20, 2005; Revised October 12, 2005)

**Abstract.** In this note, we consider a maximal operator  $\sup_{t \in \mathbb{R}} |u(x, t)| = \sup_{t \in \mathbb{R}} |e^{it\Omega(D)} f(x)|$ , where  $u$  is the solution to the initial value problem  $u_t = i\Omega(D)u$ ,  $u(0) = f$  for a  $C^2$  function  $\Omega$  with some growth rate at infinity. We prove that the operator  $\sup_{t \in \mathbb{R}} |u(x, t)|$  has a mapping property from a fractional Sobolev space  $H^{\frac{1}{4}}$  with additional angular regularity in which the data lives to  $L^2((1 + |x|)^{-b} dx)$  ( $b > 1$ ). This mapping property implies the almost everywhere convergence of  $u(x, t)$  to  $f$  as  $t \rightarrow 0$ , if the data  $f$  has an angular regularity as well as  $H^{1/4}$  regularity.

*Key words:* Schrödinger type equation, maximal operator, angular regularity.

### 1. Introduction

We consider the following free Schrödinger type equation:

$$\frac{\partial}{\partial t} u(x, t) = i\Omega(D)u(x, t) \quad \text{in } \mathbb{R}^{n+1} \quad (n \geq 2), \quad u(x, 0) = f(x),$$

where  $\Omega(D)$  is a generalized differential operator defined by a  $C^2$  function  $\Omega$  and  $D = (-\Delta)^{1/2}$ . For smooth initial data  $f$ , the solution  $u(x, t) = e^{it\Omega(D)} f$  can be written as

$$u(x, t) = \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} e^{i(x \cdot \xi + t\Omega(\xi))} \widehat{f}(\xi) d\xi, \quad f \in \mathcal{S}(\mathbb{R}^n),$$

where  $\widehat{f}(\xi) = \int e^{-ix \cdot \xi} f(x) dx$ . In this note, we assume that the initial data  $f$  has  $H^s$  regularity for some  $s > 0$  as well as some regularity in the angular direction. For  $\alpha, \beta \geq 0$ , we define an initial data space  $H_r^\alpha H_\omega^\beta$  by

$$H_r^\alpha H_\omega^\beta = \left\{ f : \|f\|_{H_r^\alpha H_\omega^\beta} := \|(1 - \Delta)^{\alpha/2} f\|_{L_r^2 H_\omega^\beta} < \infty \right\},$$

where  $\|g\|_{L_r^2}^2 = \int_0^\infty |g(r)|^2 r^{n-1} dr$ ,  $\|g\|_{L_r^2 H_\omega^\beta} = \| \|(1 - \Delta_\omega)^{\beta/2} f(r\omega)\|_{L_\omega^2} \|_{L_r^2}$  (here,  $(r, \omega) \in \mathbb{R}_+ \times S^{n-1}$  is the spherical coordinates), and  $\Delta_\omega$  is the Laplace-Beltrami operator on  $S^{n-1}$ . Since  $\Delta_\omega$  commutes with  $\Delta$ , one can

readily check that  $\|g\|_{H_r^\alpha H_\omega^\beta} \sim \|(1 - \Delta_\omega)^{\beta/2} g\|_{H^\alpha}$  (for instance, see [9]). There is no embedding from or into a usual Sobolev space because not every function in  $H_r^\alpha H_\omega^\beta$  has radial regularity higher than  $\alpha$ . In particular, it should be noted that  $H_r^\alpha H_\omega^\beta \not\subseteq H^{\alpha+\gamma}$  ( $0 < \gamma < \beta$ ) and  $H_r^\alpha H_\omega^\beta \not\supseteq H^{\alpha+\gamma}$  ( $\gamma \geq \beta$ ).

We also assume that  $\Omega \in C^2(\mathbb{R}^n)$  is radially symmetric and satisfies

$$c_1 |\rho|^{a-k} \leq |\Omega^{(k)}(\rho)| \leq c_2 |\rho|^{a-k} \quad (k = 0, 1, 2), \quad \text{if } |\rho| \geq N$$

for some  $c_1, c_2, a > 0$  with  $a \neq 1$  and a large  $N > 0$ . With the above assumptions, let us define a maximal function  $u^*(x)$  by  $u^*(x) = \sup_{t \in \mathbb{R}} |u(x, t)|$ .

Our main result is the following.

**Theorem 1.1** *For any  $\varepsilon > 0$  and  $b > 1$ , if  $f \in H_r^{1/4} H_\omega^{(n-1)/2-1/4+\varepsilon}$ , then there exists a constant  $C$ , depending only on  $a, c_1, c_2, N, n, \varepsilon, b$ , such that*

$$\|u^*\|_{L^2((1+|x|)^{-b} dx)} \leq C \|f\|_{H_r^{1/4} H_\omega^{(n-1)/2-1/4+\varepsilon}}.$$

Now let us define a linear operator  $T$  and a maximal operator  $T^*$  for a fixed  $s > 0$  by

$$Tf(x, t) = w(|x|) \int e^{i(x \cdot \xi + t\Omega(\xi))} \widehat{f}(\xi) \frac{d\xi}{(1 + |\xi|^2)^{s/2}},$$

where  $w(r) = (1 + r)^{-b/2}$ ,  $b > 0$  and

$$T^*f(x) = \sup_{t \in \mathbb{R}} |Tf(x, t)|.$$

Then Theorem 1.1 follows immediately from

**Theorem 1.2** *For any  $\varepsilon > 0$  and  $b > 1$ , if  $f \in L_r^2 H_\omega^{(n-1)/2-s+\varepsilon}$  for some  $s \in [1/4, 1/2)$ , there exists a constant  $C$ , depending only on  $a, c_1, c_2, N, n, s, \varepsilon, b$ , such that*

$$\|T^*f\|_{L^2} \leq C \|f\|_{L_r^2 H_\omega^{(n-1)/2-s+\varepsilon}}.$$

The maximal function  $u^*$  and operator  $T^*$  have been studied by many authors ([1, 2, 3, 4, 5, 7, 8, 10, 11, 12, 13, 14, 18, 19, 21]). P. Sjölin [14] and L. Vega [19] showed that for a ball  $B_R$  of radius  $R$

$$\|u^*\|_{L^2(B_R)} \leq C \|f\|_{H^s}, \tag{1.1}$$

only if  $s \geq 1/4$ . It has been known that (1.1) is true, when  $n = 1$  ([5, 8]) or

the initial data is radial ([4, 12]), or  $s > 1/2$  and  $n \geq 2$  ([11, 19]). Recently, T. Tao [18] obtained (1.1) with  $\Omega = |\xi|^2$  for  $s > 2/5$  and  $n = 2$ . However, the sufficiency remains open.

Theorem 1.1 shows that it is true for  $s = 1/4$  if we assume the additional angular regularity. When the initial data is a finite linear combination of radial functions and spherical harmonics such that  $f = \sum_{k \leq L} f_k Y_k$ , it was proved by the authors of [4] that  $\|u^*\|_{L^{4n/(2n-1)}} \leq C_L \|f\|_{H^{1/4}}$ , where

$$C_L \leq CL^{1/2+\varepsilon}(n + 2L)^{(n+2L)/2} \max_{1 \leq k \leq L} \frac{\|Y_k\|_{L^{4n/(2n-1)}}}{\|Y_k\|_{L^2}} \quad (0 < \varepsilon \ll 1).$$

The factor  $(n + 2L)^{(n+2L)/2}$  is due to the asymptotic behavior of Bessel function ( $J_\nu(t) = b_+ t^{-1/2} e^{it} + b_- t^{-1/2} e^{-it} + O((n + 2\nu)^{(n+2\nu)/2}) t^{-3/2}$  for  $t > 1$ ). The tail  $t^{-3/2}$  was used crucially for the non-weighted global  $L^{4n/(2n-1)}$  ( $4n/(2n - 1) > 2$ ) estimate. It seems that one cannot avoid a big cost of  $C_L$  for this global estimate. In view of this point, Theorem 1.1 improves the dependency on the order of spherical harmonic up to  $L^{3/4+\varepsilon}$  (see (2.2) below). This improvement results from an estimate for the tail of Bessel function  $Ct^{-1}$  for  $t > 2\nu$ , which enables us to use the  $L^2$  method. The weighted  $L^2$  estimate as in Theorem 1.1 is necessary for a global estimate because the non-weighted global  $L^2$  estimate [11] and any local estimate in  $L^p$  ( $p > 2$ ) [22] are impossible for the data  $f \in H^{1/4}$ .

In case that  $\Omega(D) = -\Delta$ , recently G. Gigante and F. Soria [6] showed a local  $L^2$  estimate that  $\|u^*\|_{L^2(B_R)} \leq CL^{1/2+\varepsilon} \|f\|_{H^{1/4}}$ . They used a finer asymptotic behavior of Bessel function  $J_\nu(t)$  for  $\nu + \nu^{1/3} \leq t \leq 2\nu$  but their method does not seem to be applied directly to the general phase  $\Omega$  like ours.

Obvious examples of our  $\Omega$  are  $\Omega(\xi) = |\xi|^a$ ,  $a > 0$ ,  $\Omega(\xi) = \sum_{i=1}^l m_i |\xi|^{a_i}$  for any number  $a_l > a_{l-1} > \dots > a_1 > 0$ ,  $a_i \neq 1$  and  $m_i \in \mathbb{R}$ . For more general phase  $\Omega$ , we refer the readers to [3] in which a weighted  $L^2$  estimate is discussed with the phase  $\Omega$  which allows  $\nabla\Omega$  to have zeros or singularities. Another use of angular regularity can be found in [9] where the endpoint Strichartz estimates of 3-d wave and Klein-Gordon equations are considered.

If not specified, throughout this paper,  $C$  denotes a generic constant that depends on  $a, c_1, c_2, N, n, s, b, \varepsilon$ . We use the notation  $A \lesssim B$  and  $A \sim B$  to denote  $|A| \leq CB$  and  $C^{-1}B \leq |A| \leq CB$  respectively.

**2. Proof of Theorem 1.2**

We begin with reviewing some properties of the spherical harmonic expansion. If  $f(r\omega) = g(r)Y_k(\omega)$  for a radial function  $g$  and a spherical harmonic  $Y_k$  of order  $k$ , then we have

$$\widehat{f}(\rho\theta) = G(\rho)Y_k(\theta), \quad \|g\|_{L^2_r} = \|G\|_{L^2_r},$$

where

$$G(\rho) = c_{n,k} \int_0^\infty g(r)r^{n-1}(r\rho)^{-(n-2)/2} J_\nu(r\rho) dr$$

with  $|c_{n,k}| \leq C$  and  $\nu = (2k+n-2)/2$  (see e.g. [16] or [22]). Since  $-\Delta_\omega Y_k = k(k+n-2)Y_k$ , we also have  $\|f\|_{L^2_r H^\beta_\omega} \sim (1+k^2)^{\beta/2} \|g\|_{L^2_r} \|Y_k\|_{L^2_\omega}$ . Furthermore, if  $h \in L^2_r H^\beta_\omega$ , then there exist radial functions  $\{h^l_k\}$  and spherical harmonics  $\{Y^l_k\}$  such that

$$h(r\omega) = \sum_{k \geq 0} \sum_{1 \leq l \leq d(k)} h^l_k(r) Y^l_k(\omega) \quad \text{in } L^2_r H^\beta_\omega,$$

where  $d(k)$  is the dimension of the space of spherical harmonics of degree  $k$ , and

$$\|h\|_{L^2_r H^\beta_\omega}^2 \sim \sum_{k \geq 0} \sum_{1 \leq l \leq d(k)} (1+k^2)^\beta \|h^l_k\|_{L^2_r}^2 \|Y^l_k\|_{L^2_\omega}^2. \tag{2.1}$$

Thus for the proof of theorem, we have only to consider the case  $f(r\omega) = g(r)Y_k(\omega)$  and to show that for large  $k$

$$\|T^* f\|_{L^2} \lesssim k^{1/2-s} \|g\|_{L^2_r} \|Y_k\|_{L^2_\omega}, \tag{2.2}$$

since for the function  $h(r\omega) = \sum_{k \geq 0} \sum_{1 \leq l \leq d(k)} h^l_k(r) Y^l_k(\omega)$  in  $L^2_r H^\beta_\omega$ , using (2.2), we have

$$\begin{aligned} \|T^* h\|_{L^2} &\lesssim \sum_k \sum_{1 \leq l \leq d(k)} k^{1/2-s} \|h^l_k\|_{L^2_r} \|Y^l_k\|_{L^2_\omega} \\ &\lesssim \sum_k k^{1/2-s} d(k)^{1/2} \left( \sum_{1 \leq l \leq d(k)} \|h^l_k\|_{L^2_r}^2 \|Y^l_k\|_{L^2_\omega}^2 \right)^{1/2} \\ &\lesssim \sum_k k^{(n-1)/2-s} \left( \sum_{1 \leq l \leq d(k)} \|h^l_k\|_{L^2_r}^2 \|Y^l_k\|_{L^2_\omega}^2 \right)^{1/2} \end{aligned}$$

$$\lesssim \left( \sum_k \sum_{1 \leq l \leq d(k)} k^{n-1-2s+\varepsilon} \|h_k^l\|_{L^2_r}^2 \|Y_k^l\|_{L^2_\omega}^2 \right)^{1/2}.$$

Here we used the estimate

$$d(k) = \frac{n + 2k - 2}{k} \binom{n + k - 3}{k - 1} \lesssim k^{n-2}$$

for the third inequality (see [16]).

Now if  $\widehat{f}(\rho\omega) = G(\rho)Y_k(\omega)$ , from the definition of  $T$ ,

$$\begin{aligned} & Tf(r\omega, t) \\ &= w(r) \int_{S^{n-1}} \int_0^\infty e^{i(r\omega \cdot \rho\theta + t\Omega(\rho))} G(\rho)Y_k(\theta)\rho^{n-1} \frac{d\rho}{(1 + \rho^2)^{s/2}} d\theta \\ &= c_{n,k}w(r) \int_0^\infty e^{it\Omega(\rho)} (r\rho)^{-(n-2)/2} J_\nu(r\rho)\rho^{n-1} G(\rho) \frac{d\rho}{(1 + \rho^2)^{s/2}} Y_k(-\omega). \end{aligned}$$

We define an operator  $S$  by

$$\begin{aligned} SG(r, t) &= c_{n,k}r^{(n-1)/2}w(r) \\ &\quad \times \int_0^\infty e^{it\Omega(\rho)} (r\rho)^{-(n-2)/2} J_\nu(r\rho)\rho^{(n-1)/2} G(\rho) \frac{d\rho}{(1 + \rho^2)^{s/2}}. \end{aligned}$$

Let us denote by  $\|F\|_{L^p L^q}$  the mixed norm  $\|(\|F(r, t)\|_{L^q(dt)})\|_{L^p(dr)}$ . Here we use the notation  $\|F\|_{L^p(dr)}^p$  for  $\int |F(r)|^p dr$  to avoid the confusion with  $\|F\|_{L^p}$ . To prove (2.2) it suffices to show that

$$\|S\tilde{G}\|_{L^2 L^\infty} \lesssim k^{1/2-s} \|\tilde{G}\|_{L^2(dr)}, \tag{2.3}$$

where  $\tilde{G}(\rho) = \rho^{(n-1)/2}G(\rho)$ . Now the dual operator  $S^d$  of  $S$  is given by

$$S^d F(\rho) = \frac{c_{n,k}}{(1 + \rho^2)^{s/2}} \int_{\mathbb{R}} \int_0^\infty e^{-it\Omega(\rho)} (r\rho)^{1/2} J_\nu(r\rho)w(r)F(r, t) dr dt$$

for  $F \in C_0^\infty(\mathbb{R}_+ \times \mathbb{R})$ . Then, by duality (2.3) follows from

$$\|S^d F\|_{L^2(dr)} \leq Ck^{1/2-s} \|F\|_{L^2 L^1}. \tag{2.4}$$

Choose smooth cut-off functions  $\phi_0, \phi_1$  and  $\phi_3$  so that  $\phi_0 = 1$  on  $\{|s| < 1/4\}$ ,  $\phi_0 = 0$  on  $\{|s| > 1/2\}$ ,  $\phi_1 = 1$  on  $\{|s| \sim 1\}$ ,  $\phi_1 = 0$  otherwise,  $\phi_2 = 0$  on  $\{|s| < 2\}$ ,  $\phi_2 = 1$  on  $\{|s| > 3\}$ , and  $\phi_0 + \phi_1 + \phi_2 = 1$ .

Then we decompose  $S^d$  as

$$S^d F(\rho) = S_0 F + S_1 F + S_2 F,$$

where for  $i = 0, 1, 2$ ,

$$S_i F(\rho) = \frac{c_{n,k}}{(1+\rho^2)^{s/2}} \times \int_{\mathbb{R}} \int_0^\infty e^{-it\Omega(\rho)} (r\rho)^{1/2} J_\nu(r\rho) \phi_i\left(\frac{r\rho}{\nu}\right) w(r) F(r, t) dr dt.$$

Now we need to show each  $S_i$  satisfies (2.4) in the place of  $S^d$ . Each estimate is to be shown using the following asymptotic behavior of Bessel functions:

$$|J_\nu(t)| \leq C \exp(-C\nu), \quad \text{if } t \leq \frac{\nu}{2}, \tag{2.5}$$

$$\frac{1}{r} \int_0^r |J_\nu(t)|^2 t dt \leq C \quad \text{for all } r > 0, \tag{2.6}$$

$$J_\nu(t) \phi_2\left(\frac{t}{\nu}\right) = t^{-1/2} (b_+ e^{it} + b_- e^{-it}) \phi_2\left(\frac{t}{\nu}\right) + \Phi_\nu(t) \phi_2\left(\frac{t}{\nu}\right), \tag{2.7}$$

where  $|\Phi_\nu(t)| \leq C/t$ ,  $|b_\pm| \leq C$  and the constant  $C$  is independent of  $\nu$ . For the proof of (2.5), see [17]. The mean value estimate (2.6) can be found in Section 4.10 of [20]. Invoking the Schläfli's integral representation (see p. 176 in [23]):

$$J_\nu(t) = \frac{1}{2\pi} \int_0^{2\pi} e^{i(t \sin \theta - \nu \theta)} d\theta - \frac{\sin(\nu\pi)}{\pi} \int_0^\infty e^{-\nu\tau - t \sinh \tau} d\tau,$$

(2.7) follow from the easy estimate

$$\left| \frac{\sin(\nu\pi)}{\pi} \int_0^\infty e^{-\nu\tau - t \sinh \tau} d\tau \right| \leq \frac{C}{\nu + t}$$

and the method of stationary phase, which gives

$$\frac{1}{2\pi} \int_0^{2\pi} e^{i(t \sin \theta - \nu \theta)} d\theta = (b_+ e^{it} + b_- e^{-it}) t^{-1/2} + O(t^{-3/2})$$

for  $t > 2\nu$ .

Using (2.5), we now see

$$|S_0 F(\rho)| \lesssim \nu^{1/2} e^{-C\nu} (1 + \rho^2)^{-s/2} \int_0^{\nu/\rho} w(r) \|F(r, \cdot)\|_{L^1} dr$$

$$\begin{aligned}
 &= \nu^{1/2} e^{-C\nu} (1 + \rho^2)^{-s/2} \left( \int_0^{\min(\nu/\rho, 2)} \|F(r, \cdot)\|_{L^1} dr \right. \\
 &\quad \left. + \int_0^{\nu/\rho} \chi_{[2, \infty)}(r) w(r) \|F(r, \cdot)\|_{L^1} dr \right) \\
 &\lesssim \nu^{1/2} e^{-C\nu} (1 + \rho^2)^{-s/2} \\
 &\quad \times \left( \left( \min\left(\frac{\nu}{\rho}, 2\right) \right)^{1/2} + \chi_{[0, \nu/2]}(\rho) \right) \|F\|_{L^2 L^1}.
 \end{aligned}$$

Thus

$$\begin{aligned}
 &\|S_0 F\|_{L^2(dr)} \\
 &\lesssim \nu^{1/2} e^{-C\nu} \left( \int_0^\infty (1 + \rho^2)^{-s} \left( \min\left(\frac{\nu}{\rho}, 2\right) + \chi_{[0, \nu/2]}(\rho) \right) d\rho \right)^{1/2} \|F\|_{L^2 L^1} \\
 &\lesssim \nu^{1-s} e^{-C\nu} \|F\|_{L^2 L^1}. \tag{2.8}
 \end{aligned}$$

For  $S_1$ ,

$$\begin{aligned}
 |S_1 F(\rho)| &\lesssim (1 + \rho^2)^{-s/2} \left( \int_0^\infty J_\nu^2(r\rho) r \rho \phi_1^2\left(\frac{r\rho}{\nu}\right) w(r)^2 dr \right)^{1/2} \|F\|_{L^2 L^1} \\
 &\lesssim (1 + \rho^2)^{-s/2} \left( \int_0^2 + \int_2^\infty \right)^{1/2} \|F\|_{L^2 L^1}.
 \end{aligned}$$

Changing variables  $r \mapsto r/\rho$ , the first part in the middle parenthesis is bounded by  $\chi_{[\nu/4, \infty)}(\rho) (1/\rho) \int_0^{2\rho} J_\nu^2(r) r \rho \phi_1^2(r/\nu) dr$ . By (2.6), it follows that

$$\int_0^2 \lesssim \nu \rho^{-1} \chi_{[\nu/4, \infty)}(\rho).$$

For the second part, by the change of variable  $r \mapsto r/\rho$  and (2.6)

$$\int_2^\infty \lesssim \rho^{b-1} \int_{\max(2\rho, \nu/2)}^{3\nu} J_\nu^2(r) r^{1-b} dr \lesssim \nu \rho^{b-1} \left( \max\left(2\rho, \frac{\nu}{2}\right) \right)^{-b}.$$

We thus obtain

$$\begin{aligned}
 &\|S_1 F\|_{L^2(dr)} \\
 &\lesssim \left( \int_0^\infty (1 + \rho^2)^{-s} \left( \nu \rho^{-1} \chi_{[\nu/4, \infty)}(\rho) + \nu \rho^{b-1} \left( \max\left(2\rho, \frac{\nu}{2}\right) \right)^{-b} \right) d\rho \right)^{1/2} \\
 &\quad \times \|F\|_{L^2 L^1} \\
 &\lesssim \nu^{1/2-s} \|F\|_{L^2 L^1}. \tag{2.9}
 \end{aligned}$$

Now we estimate  $S_2F$ . Let us set  $S_2F = S_+F + S_-F + S_3F$ , where

$$S_{\pm}F(\rho) = \frac{c_{n,k}b_{\pm}}{(1+\rho^2)^{s/2}} \int_{\mathbb{R}} \int_0^{\infty} e^{i(\pm r\rho - t\Omega(\rho))} \phi_2\left(\frac{r\rho}{\nu}\right) w(r) F(r, t) dr dt,$$

$$S_3F(\rho) = \frac{c_{n,k}}{(1+\rho^2)^{s/2}} \int_{\mathbb{R}} \int_0^{\infty} e^{-it\Omega(\rho)} (r\rho)^{1/2} \\ \times \Phi_{\nu}(r\rho) \phi_2\left(\frac{r\rho}{\nu}\right) w(r) F(r, t) dr dt.$$

For the estimate  $S_{\pm}F$ , it suffices to consider  $S_+F$ . We decompose it into two parts as follows:

$$S_+F(\rho) = I + II$$

where

$$I = \frac{c_{n,k}b_+}{(1+\rho^2)^{s/2}} \int_{\mathbb{R}} \int_0^{\infty} e^{i(r\rho - t\Omega(\rho))} w(r) F(r, t) dr dt,$$

$$II = \frac{c_{n,k}b_+}{(1+\rho^2)^{s/2}} \int_{\mathbb{R}} \int_0^{\infty} e^{i(r\rho - t\Omega(\rho))} \left(\phi_2\left(\frac{r\rho}{\nu}\right) - 1\right) w(r) F(r, t) dr dt.$$

For  $II$ , we have

$$|II(\rho)| \lesssim (1+\rho^2)^{-s/2} \int_0^{3\nu/\rho} w(r) \|F(r, \cdot)\|_{L^1} dr \\ \lesssim (1+\rho^2)^{-s/2} \left( \int_0^{3\nu/\rho} w(r)^2 dr \right)^{1/2} \|F\|_{L^2L^1}$$

and hence by the same computation as in (2.8)

$$\|II\|_{L^2(dr)} \lesssim \nu^{1/2-s} \|F\|_{L^2L^1}. \quad (2.10)$$

Now we estimate  $I$ . Since  $F$  is in  $C_0^\infty(\mathbb{R}_+ \times \mathbb{R})$ , obviously we may assume

$$I = \frac{c_{n,k}b_+}{(1+\rho^2)^{s/2}} \int_{\mathbb{R}^2} e^{i(r\rho - t\Omega(\rho))} w(|r|) F(r, t) dr dt.$$

Squaring and integrating  $I$  over  $\{|\rho| \leq N\}$  (here  $N$  is the number in the condition of  $\Omega$ ), we have

$$\int_{|\rho| < N} |I|^2 d\rho \leq C \|F\|_{L^2L^1}^2. \quad (2.11)$$



It is easy to see

$$\begin{aligned} & \int_{|\rho|>N} |I|^2 d\rho \\ & \leq C \iiint |K(r-r', t-t') w(|r|) |F(r, t)| w(|r'|) |F(r', t')| dr dr' dt dt', \end{aligned}$$

where

$$K(r, t) = \int_{|\rho|>N} e^{i(r\rho - t\Omega(\rho))} \frac{d\rho}{|\rho|^{2s}}.$$

To estimate  $K$ , we use a lemma which gives a uniform bound for kernel  $K$  in  $t$ .

**Lemma 2.1** (see Lemma 2.3 in [4]) *For any real number  $A, B$  ( $A \neq 0$ ) and  $s \in [1/2, 1)$ , there exists a constant  $C$ , independent of  $A$  and  $B$ , such that*

$$\left| \int_{|\rho|>N} e^{i(A\Omega(\rho) + B\rho)} \frac{d\rho}{|\rho|^s} \right| \leq C |B|^{-(1-s)}.$$

Applying Lemma 2.1 with  $2s$  ( $1/4 \leq s < 1/2$ ) and  $B = r - r'$ , from fractional integration and Hölder inequality it follows

$$\begin{aligned} & \int_{|\rho|>N} |I|^2 d\rho \\ & \lesssim \iint |r - r'|^{-(1-2s)} w(|r|) \|F(r, \cdot)\|_{L^1(dt)} w(|r'|) \|F(r', \cdot)\|_{L^1(dt)} dr dr' \\ & \lesssim \|\mathcal{I}_{2s}(w\|F\|_{L^1(dt)})\|_{L^p(dr)} \|w\|F\|_{L^1(dt)}\|_{L^{p'}(dr)} \quad \left(\frac{1}{p} = \frac{1}{p'} - 2s\right) \\ & \lesssim \|wF\|_{L^{2/(1+2s)}L^1}^2 \lesssim \|w\|_{L^{1/s}}^2 \|F\|_{L^2L^1}^2 \quad \left(\frac{b}{2} \cdot \frac{1}{s} > 1\right) \\ & \lesssim \|F\|_{L^2L^1}^2, \end{aligned} \tag{2.12}$$

where  $\mathcal{I}_{2s}$  is the Riesz potential of order  $2s$ .

Finally, we estimate  $S_3F$ . From the uniform bound of  $\Phi_\nu$  on  $\nu$ , for small  $\varepsilon > 0$ , we have

$$|S_3F(\rho)| \lesssim \frac{1}{(1 + \rho^2)^{s/2}} \int (r\rho)^{-1/2} \phi_2\left(\frac{r\rho}{\nu}\right) w(r) \|F(r, \cdot)\|_{L^1} dr$$

$$\begin{aligned}
&\lesssim \rho^{-s-1/2} \chi_{[\nu, \infty)}(\rho) \int_{2\nu/\rho}^2 r^{-1/2} \|F(r, \cdot)\|_{L^1} dr \\
&\quad + \rho^{-s-1/2} \int_{\max(2, 2\nu/\rho)} r^{-1/2-b/2} \|F(r, \cdot)\|_{L^1} dr \\
&\lesssim \nu^{-\delta} \rho^{-s-1/2+\delta} \chi_{[\nu, \infty)}(\rho) \int_{2\nu/\rho}^2 r^{-1/2+\delta} \|F(r, \cdot)\|_{L^1} dr \\
&\quad + \rho^{-s-1/2} \left( \max\left(2, \frac{2\nu}{\rho}\right) \right)^{-b/2} \|F\|_{L^2 L^1} \\
&\lesssim \left( \nu^{-\delta} \rho^{-s-1/2+\delta} \chi_{[\nu, \infty)}(\rho) + \rho^{-s-1/2} \left( \max\left(2, \frac{2\nu}{\rho}\right) \right)^{-b/2} \right) \\
&\qquad \qquad \qquad \times \|F\|_{L^2 L^1}.
\end{aligned}$$

Choosing  $\delta$  as  $1/8$ , we obtain

$$\|S_3 F\|_{L^2(dr)} \lesssim \nu^{-s} \|F\|_{L^2 L^1}. \quad (2.13)$$

Combining all the estimates from (2.8) to (2.13) and recalling  $\nu = (2k + n - 2)/2$ , we get (2.4) and hence Theorem 1.2.

**Acknowledgements.** The authors thank the referees for their valuable comments, which improve the presentation of this paper. The first author was supported by JSPS, the second author in part by the Post-doctoral Fellowship Program of Korea Science & Engineering Foundation (KOSEF) and the third author in part by KOSEF Interdisciplinary Research Program Grant no. 1999-2-102-003-5.

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