

# Isomorphic Operator Algebras and Conjugate Inner Functions

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## I. Introduction

Let  $D$  denote the open unit disk in the complex plane,  $D = \{z : |z| < 1\}$ , and let  $m$  be normalized arclength measure on the boundary  $\partial D$  of  $D$ . If  $\phi$  is a nonconstant inner function on  $D$ , then  $C = C_\phi$  denotes the composition operator on  $H^2 = H^2(D)$  determined by  $\phi$ — $C_\phi(f) = f \circ \phi$ . Here  $\circ$  denotes function composition. That  $C_\phi$  is bounded is proven in [7; 8]. The operator  $C_\phi$  does not tell everything about the analytic function  $\phi$ . Indeed, if  $e_n$  is the function  $e_n(z) = z^n$ , then  $C_{e_n}(e_m) = e_{nm}$  so that, for  $n > 1$ ,  $C_{e_n}$  is the direct sum of a 1-dimensional identity operator and a pure isometry of infinite multiplicity. As such, they are all unitarily equivalent to each other. On the other hand,  $e_n$  covers the disk  $n$  times so that these functions are not the same.

Each  $f$  in  $H^\infty$  defines the analytic Toeplitz operator  $T_f$  on  $H^2$  by  $T_f(h) = fh$ . Let  $\mathbf{A} = \mathbf{A}_\phi$  denote the norm closed algebra generated by  $C_\phi$  and all the analytic Toeplitz operators. Note that  $C_\phi T_f = T_{f \circ \phi} C_\phi$ , so that  $\mathbf{A}$  is commutative just in case  $\phi$  is the identity function  $\phi(z) = z$ . From here on, the same notation will be used to denote the  $H^\infty$  function, its boundary function, its Toeplitz operator, and even its Gelfand transform. This convention is convenient and will cause no confusion.

Two inner functions  $\phi$  and  $\psi$  are conjugate if there is an analytic homeomorphism  $\tau$  of  $D$  satisfying  $\tau \circ \psi = \phi \circ \tau$ . We prove the following:

**THEOREM 1.** *If  $\phi$  and  $\psi$  are nonconstant, nonperiodic inner functions, then they are conjugate if and only if the algebras  $\mathbf{A}_\phi$  and  $\mathbf{A}_\psi$  are isomorphic.*

Here,  $\phi$  is periodic if  $\phi^{(n)}(z) = z$ , where  $\phi^{(n)}$  denotes the  $n$ -fold iterate of  $\phi$ . The analytic homeomorphisms of  $D$  are the Möbius transformations

$$\tau(z) = c \frac{z - a}{1 - \bar{a}z},$$

where  $|a| < 1$  and  $|c| = 1$ . Theorem 1 is just the analytic version of what is done in [1; 2; 4; 5] for composition operators on  $L^2$  spaces.

If  $\tau$  is a homeomorphism as in the theorem, then  $C_\tau C_\phi C_\tau^{-1} = C_\psi$  and  $C_\tau f C_\tau^{-1} = f \circ \tau$ , so that the map  $\Gamma(a) = C_\tau a C_\tau^{-1}$  is an isomorphism of  $\mathbf{A}_\phi$

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onto  $\mathbf{A}_\psi$ . The interesting part of the theorem, then, is in the other direction. We must first study the algebra  $\mathbf{A}_\phi$  more carefully.

## II. The Algebra $\mathbf{A}$

The measure  $m \circ \phi^{-1}$  given by  $m \circ \phi^{-1}(E) = m(\phi^{-1}(E))$  is absolutely continuous with respect to  $m$ , and

$$\frac{dm \circ \phi^{-1}}{dm} = P_{\phi(0)}(z) = \operatorname{Re} \left( \frac{z + \phi(0)}{z - \phi(0)} \right)$$

is the Poisson kernel for evaluation at  $\phi(0)$  [7]. Let  $h(z)$  be the reciprocal of the normalized Cauchy kernel:

$$h(z) = \frac{1 - \overline{\phi(0)}z}{(1 - |\phi(0)|^2)^{1/2}}.$$

Then  $h$  and  $h^{-1}$  are in  $H^\infty$ , and  $|h|^2 = (P_{\phi(0)})^{-1}$  almost everywhere on  $\partial D$ . For any measurable function  $f$  on  $\partial D$ ,  $\int |h|^2 \circ \phi f \circ \phi dm = \int f dm$  and  $U = U_\phi = T_{h \circ \phi} C_\phi$  is an isometry. If  $f$  is in  $H^\infty$  then so is  $C_\phi(f)$ . Since  $m \circ \phi^{-1}$  is not only absolutely continuous but also equivalent to  $m$ ,  $C_\phi$  is an isometry on  $H^\infty$ . Note that the set of operators of the form  $\sum_{n=0}^N f_n U^n$ , with  $f_n$  in  $H^\infty$ , is dense in  $\mathbf{A}$ . These operators will be called polynomials. Clearly  $(C_\phi)^n = C_{\phi^{(n)}}$ . On the other hand,

$$(U_\phi)^n = \left( \prod_{k=1}^n T_{h \circ \phi^{(k)}} \right) C_{\phi^{(n)}},$$

which is generally not the same as  $U_{\phi^{(n)}} = T_{h_n \circ \phi^{(n)}} C_{\phi^{(n)}}$  where  $h_n$  is the outer function satisfying

$$|h_n| = \left[ \frac{dm \circ \phi^{-(n)}}{dm} \right]^{-1/2} = [P_{\phi^{(n)}(0)}]^{-1/2}$$

almost everywhere on  $\partial D$ .

Let  $\Sigma$  denote the  $\sigma$ -algebra of Borel subsets of  $\partial D$  and  $\Sigma_n = \phi^{-(n)}(\Sigma) = \{\phi^{-(n)}(S) : S \in \Sigma\}$ , and let  $E_n$  denote the conditional expectation given  $\Sigma_n$ . So if  $f$  is any positive or integrable function on  $\partial D$ , then  $E_n(f)$  is  $\Sigma_n$  measurable and  $\int_S E_n(f) dm = \int_S f dm$  for each  $S$  in  $\Sigma_n$ . If  $f$  is  $\Sigma_n$  measurable then  $E_n(fg) = fE_n(g)$  for any function  $g$ . Also,  $E_n(f)$  is positive whenever  $f$  is positive, and  $\|E_n(f)\|_\infty \leq \|f\|_\infty$  if  $f$  is bounded. There is a function  $g$  satisfying  $f = g \circ \phi^{(n)}$  if and only if  $E_n(f) = f$ , and in this case  $g$  is unique up to a set of measure 0. We shall write  $g = f \circ \phi^{-(n)}$ .

PROPOSITION 2.

1.  $E_n \left( \prod_{k=1}^n |h \circ \phi^{(k)}|^2 \right) = \left( \frac{dm \circ \phi^{-(n)}}{dm} \circ \phi^{(n)} \right)^{-1}$ .

2. If  $f$  is in  $H^2$ , then  $fU^n$  defines a bounded operator if and only if  $E_n(|f|^2)$  is bounded and

$$\|fU^n\|^2 = \left\| E_n \left( |f|^2 \prod_{k=1}^n |h \circ \phi^{(k)}|^2 \right) \frac{dm \circ \phi^{-(n)}}{dm} \circ \phi^{(n)} \right\|_\infty.$$

*Proof.* 1. Both  $U^n$  and  $U_{\phi^{(n)}}$  are isometries, so if  $S \in \Sigma$  then  $\|U^n(\chi_S)\|^2 = \|U_{\phi^{(n)}}(\chi_S)\|^2 = m(S)$ . That is,

$$\int \left( \prod_{k=1}^n |h \circ \phi^{(k)}|^2 \right) \chi_{\phi^{-(n)}(S)} dm = \int \left( \frac{dm \circ \phi^{-(n)}}{dm} \circ \phi^{(n)} \right)^{-1} \chi_{\phi^{-(n)}(S)} dm$$

as desired.

2. Suppose  $f$  is in  $H^2$ . Since  $|h|^2$  and  $dm \circ \phi^{-(n)}/dm$  are just Poisson kernels, there are constants  $K_1$  and  $K_2$  such that

$$K_1 |f|^2 \leq |f|^2 \prod_{k=1}^n |h \circ \phi^{(k)}|^2 \frac{dm \circ \phi^{-(n)}}{dm} \circ \phi^{(n)} \leq K_2 |f|^2.$$

This and the fact that  $E_n$  preserves inequalities yields that  $E_n(|f|^2)$  is bounded if and only if

$$E_n \left( |f|^2 \prod_{k=1}^n |h \circ \phi^{(k)}|^2 \right) \frac{dm \circ \phi^{-(n)}}{dm} \circ \phi^{(n)}$$

is. If  $g \in H^2$  then

$$\begin{aligned} \|fU^n(g)\|^2 &= \int |f|^2 \prod_{k=1}^n |h \circ \phi^{(k)}|^2 |g \circ \phi^{(n)}|^2 dm \\ &= \int E_n \left( |f|^2 \prod_{k=1}^n |h \circ \phi^{(k)}|^2 \right) |g \circ \phi^{(n)}|^2 dm \\ &= \int E_n \left( |f|^2 \prod_{k=1}^n |h \circ \phi^{(k)}|^2 \right) \circ \phi^{-(n)} \left( \frac{dm \circ \phi^{-(n)}}{dm} \right) |g|^2 dm \\ &\leq \left\| E_n \left( |f|^2 \prod_{k=1}^n |h \circ \phi^{(k)}|^2 \right) \circ \phi^{-(n)} \left( \frac{dm \circ \phi^{-(n)}}{dm} \right) \right\|_\infty \|g\|_2^2 \\ &= \left\| E_n \left( |f|^2 \prod_{k=1}^n |h \circ \phi^{(k)}|^2 \right) \left( \frac{dm \circ \phi^{-(n)}}{dm} \circ \phi^{(n)} \right) \right\|_\infty \|g\|_2^2. \end{aligned}$$

The last equality follows because  $C_\phi$  is an isometry on  $H^\infty$ . This shows that

$$\|fU^n\|^2 \leq \left\| E_n \left( |f|^2 \prod_{k=1}^n |h \circ \phi^{(k)}|^2 \right) \frac{dm \circ \phi^{-(n)}}{dm} \circ \phi^{(n)} \right\|_\infty.$$

To show equality, pick  $g$  in  $H^2$  so that  $|g|$  approximates, in the  $L^2$  sense, the characteristic function of the set on which

$$E_n \left( |f|^2 \prod_{k=1}^n |h \circ \phi^{(k)}|^2 \right) \circ \phi^{-(n)} \left( \frac{dm \circ \phi^{-(n)}}{dm} \right)$$

is almost its maximum.  $\square$

We next define coordinate maps  $\Pi_n$  on  $\mathbf{A}$  such that  $\Pi_n(\sum_{k=0}^N f_k U^k) = f_n$ . Since  $fU^n$  may be a bounded operator even if  $f$  is not a bounded function, it

is necessary to define coordinate spaces that may be larger than  $H^\infty$ . Let  $K_n = \{f \in H^2 : E_n(|f|^2) \in L^\infty\}$  and  $\|f\|_n = \|fU^n\|$ .

PROPOSITION 3. For each  $n$ ,  $K_n$  is a Banach space,  $H^\infty \subseteq K_n \subseteq K_{n+1} \subseteq H^2$ , and the inclusion operators are bounded.

We let  $K_0$  be  $H^\infty$ ,  $E_0$  the identity operator, and  $\|\cdot\|_0 = \|\cdot\|_\infty$ .

PROPOSITION 4. If  $\phi$  is not periodic, then for each  $n = 0, 1, 2, 3, \dots$  there is a map  $\Pi_n$  from  $\mathbf{A}$  to  $K_n$  such that  $\|\Pi_n(a)\|_n \leq \|a\|$  and  $\Pi_n(\sum_{k=0}^N f_k U^k) = f_n$ .

*Proof.* It suffices to show that  $\|f_n\|_n \leq \|\sum_{k=0}^N f_k U^k\|$ . Let  $a = \sum_{k=0}^N f_k U^k$ . If, for some  $n$ ,  $\{z : |z| = 1, \phi^{(n)}(z) = z\}$  has positive measure, then  $\phi^{(n)}(z) = z$  for almost all  $z$  on  $\partial D$ . This case has been excluded. Consequently, the set of fixed points of  $\phi^{(n)}$  on  $\partial D$  has measure zero; that is,  $\phi$  is aperiodic. As in Halmos [6], if  $E \subseteq \partial D$  with  $m(E) > 0$  and if  $k$  is a natural number, then there is a subset  $F$  of  $E$  with  $m(F) > 0$  such that the sets  $\phi^{-n}(F)$ ,  $n = 1, 2, \dots, k$ , are pairwise disjoint.

Let

$$E = \left\{ z : |z| = 1, \left( E_n \left( |f|^2 \prod_{i=1}^n |h \circ \phi^{(i)}|^2 \right) \right)^{1/2} \circ \phi^{(-n)} \left( \frac{dm \circ \phi^{-(n)}}{dm} \right)^{1/2} > \|f_n\|_n - \epsilon \right\}$$

Now pick  $F \subset E$  and  $m(F) > 0$  such that the sets  $\phi^{(-k)}(F)$  are pairwise disjoint for  $1 \leq k \leq N$ . The operator  $a$  can act on  $L^2(m)$  as well as  $H^2$ . We temporarily use  $\|a\|_L$  and  $\|a\|_H$  to denote the norms of these two operators. Pick  $g$  in  $L^2(m)$  such that  $\|g - \chi_F\| \leq \epsilon/\|a\|_L$ ,  $\|g\| \leq \|\chi_F\|$ , and  $z^s g \in H^2$  for some  $s$ . Then

$$\begin{aligned} (\|f_n\|_n - \epsilon)^2 \|\chi_F\|^2 &\leq \int \left( E_n \left( |f|^2 \prod_{i=1}^n |g \circ \phi^{(i)}|^2 \right) \right) \circ \phi^{(-n)} \left( \frac{dm \circ \phi^{-(n)}}{dm} \right) \chi_F dm \\ &= \int E_n \left( |f_n|^2 \prod_{i=1}^n |g \circ \phi^{(i)}|^2 \right) \chi_{F \circ \phi^{(n)}} dm \\ &= \int |f_n|^2 \prod_{i=1}^n |g \circ \phi^{(i)}|^2 \chi_{F \circ \phi^{(n)}} dm \\ &\leq \int \sum_{k=0}^N |f_k|^2 \prod_{i=1}^k |g \circ \phi^{(i)}|^2 (\chi_{F \circ \phi^{(k)}}) |(\phi^{(k)})^s|^2 dm \\ &= \int \left| \sum_{k=0}^N f_k \prod_{i=1}^k g \circ \phi^{(i)} (\chi_{F \circ \phi^{(k)}}) (\phi^{(k)})^s \right|^2 dm \\ &= \int |a(z^s \chi_F)|^2 dm = \|a(z^s \chi_F)\|^2 \leq (\|a(z^s g)\| + \epsilon)^2 \\ &\leq (\|a\|_H \|z^s h\| + \epsilon)^2 = (\|a\|_H \|g\| + \epsilon)^2 \\ &\leq (\|a\|_H \|\chi_F\| + \epsilon)^2. \end{aligned}$$

Here, the two sums are equal because the function  $\chi_F \circ \phi^{(k)}$  is supported on  $\phi^{-k}(F)$ , and these sets are disjoint.  $\square$

PROPOSITION 5. *If  $\phi$  is not periodic, then the coordinate maps satisfy the product rule*

$$\Pi_k(ab) = \sum_{i=0}^k (\Pi_i(a))(\Pi_{k-i}(b) \circ \phi^{(i)}).$$

*Proof.* This identity is true if  $a$  and  $b$  are polynomials. That it is true for all  $a$  and  $b$  follows by continuity.  $\square$

PROPOSITION 6. *The closed ideal of  $\mathbf{A}$  generated by  $U^{n+1}$  is  $\bigcap_{i=0}^n \ker \Pi_i$ .*

*Proof.* That the intersection of these kernels is a closed ideal that contains  $U^{n+1}$  follows from the product rule and the continuity of the  $\Pi_i$ . Conversely, suppose  $\Pi_i(a) = 0$  for  $i \leq n$ . Let  $p_k$  be a sequence of polynomials in  $\mathbf{A}$  that converges to  $a$ ; then  $p_k - \sum_{i=0}^n \Pi_i(p_k)U^i$  is in the ideal generated by  $U^{n+1}$  and converges to  $a$ . Thus  $a$  is in the ideal generated by  $U^{n+1}$ .  $\square$

It is necessary to examine the multiplicative linear functionals on  $\mathbf{A}$ . Let  $M$  denote the space of nonzero multiplicative functionals on  $\mathbf{A}$ , and let  $\Delta$  be the maximal ideal space of  $H^\infty$ . We will think of  $D$  as a subset of  $\Delta$  and write  $f(z)$  instead of  $z(f)$ . For  $z \in \Delta$ , let  $M_z = \{\alpha \in M : \alpha(f) = f(z) \text{ for all } f \text{ in } H^\infty\}$ . If  $\phi$  is not periodic then no  $M_z$  is empty. Indeed, if  $\alpha_z$  is defined by  $\alpha_z(a) = \Pi_0(a)(z)$ , then  $\alpha_z$  is in  $M_z$ .

The inner function  $\phi$  can be extended from  $D$  to a transformation of  $\Delta$  as follows. If  $f$  is in  $H^\infty$ , let  $T(f) = f \circ \phi$ , and let  $T^*$  be the adjoint transformation of  $T$ . Then, if  $z \in \Delta$  and  $f$  and  $g$  are in  $H^\infty$ , it is easily verified that  $T^*(z)(fg) = T^*(z)(f)T^*(z)(g)$  so that  $T^*$  maps  $\Delta$  to  $\Delta$ , and if  $z$  is in  $D$  then  $T^*(z)(f) = z(f \circ \phi) = f(\phi(z))$  or  $T^*(z) = \phi(z)$ . The restriction of  $T^*$  to  $\Delta$  is the desired extension of  $\phi$  and will be called  $\phi$  as well.

Let  $A_r$  denote the disk algebra on the closed disk  $\bar{D}_r = \{|z| \leq r\}$ ;  $A_r$  is thus the uniform closure of the polynomials in the algebra of all continuous functions on  $\bar{D}_r$ . We write  $A$  for  $A_1$ , and  $A_0$  is just the field of complex numbers.

PROPOSITION 7. *Suppose that  $\phi$  is not periodic.*

- (a) *If  $z \in \Delta$  and  $\phi(z) \neq z$ , then  $M_z = \{\alpha_z\}$ .*
- (b) *If  $z \in \Delta$  and  $\phi(z) = z$ , then there is an  $r$  ( $0 \leq r \leq 1$ ) and a bounded algebra homomorphism  $\rho_z$  of  $\mathbf{A}$  to  $A_r$  such that  $\alpha \in M_z$  if and only if there is a  $\xi$  in  $\bar{D}_r$  such that  $\alpha(a) = \rho_z(a)(\xi)$  for all  $a$  in  $\mathbf{A}$ .*
- (c) *If  $z \in D$  and  $\phi(z) = z$ , then  $r = 1$  and  $\rho_z$  maps onto  $A$ .*

*Proof.* (a) If  $z$  is not a fixed point of  $\phi$ , then pick  $f$  in  $H^\infty$  with  $f(z) \neq f(\phi(z))$ . Then, for  $\alpha \in M_z$ ,  $\alpha(U)f(z) = \alpha(U)\alpha(f) = \alpha(Uf) = \alpha((f \circ \phi)U) = \alpha((f \circ \phi)\alpha(U)) = f(\phi(z))\alpha(U)$ . Thus  $\alpha(U) = 0$ . So  $\alpha$  agrees with  $\alpha_z$  on sums of the form  $\sum_{i=0}^n f_i U^i$ . But such sums are dense in  $\mathbf{A}$ , so  $\alpha = \alpha_z$ .

(b) Suppose  $z$  is a fixed point of  $\phi$ . Let  $r = \sup\{|\alpha(U)| : \alpha \in M_z\}$ . Since  $M_z$  is compact, there is a  $\beta$  in  $M_z$  such that  $|\beta(U)| = r$ . If  $f$  is in  $H^\infty$ , then  $fU^n \in \mathbf{A}$  and  $|f(z)|r^n = |\beta(fU^n)| \leq \|fU^n\| = \|f\|_n$ . Suppose  $|\xi_0| < r$ . If  $a = \sum_{n=0}^N f_n U^n$ , then  $\|f_n\|_n \leq \|a\|$  and

$$\left| \sum_{n=0}^N f_n(z) \xi_0^n \right| \leq \sum_{n=0}^N |f_n(z)| |\xi_0|^n \leq \sum_{n=0}^N \|a\| \left( \frac{|\xi_0|}{r} \right)^n \leq \frac{\|a\|}{1 - |\xi_0|/r}.$$

Hence the map  $\alpha(\sum_{n=0}^N f_n U^n) = \sum_{n=0}^N f_n(z) \xi_0^n$  extends to all of  $\mathbf{A}$ . Clearly  $\alpha \in M_z$  and so  $\|\alpha\| = 1$ . If  $\rho_z(\sum_{n=0}^N f_n U^n)$  is the polynomial in  $\xi$   $\sum_{n=0}^N f_n(z) \xi^n$ , then  $\rho_z(\sum_{n=0}^N f_n U^n)(\xi_0)$  is just  $\alpha(\sum_{n=0}^N f_n U^n)$ . Consequently

$$\left\| \rho_z \left( \sum_{n=0}^N f_n U^n \right) \right\| \leq \left\| \sum_{n=0}^N f_n U^n \right\|,$$

where the former norm is the supremum on  $D_r$ ; thus  $\rho_z$  extends to be continuous on all of  $\mathbf{A}$ . Note that the set  $\{\alpha(U) : \alpha \in M_z\}$  is closed and so must be  $\bar{D}_r$ .

(c) If  $z \in D$  is a fixed point then  $r = 1$ . Indeed, if  $|z| < 1$  then evaluation at  $z$  has norm  $(1 - |z|^2)^{-1/2}$  as a linear functional on  $H^2$ . Thus, if  $a = \sum_{n=0}^N f_n U^n$  is in  $\mathbf{A}$ , then

$$\begin{aligned} \sum_{n=0}^N |f_n(z)| |\xi|^n &\leq \sum_{n=0}^N \|f_n\|_2 (1 - |z|^2)^{-1/2} |\xi|^n \\ &\leq \sum_{n=0}^N \|f_n\|_n (1 - |z|^2)^{-1/2} |\xi|^n \leq \|a\| (1 - |z|^2)^{-1/2} \sum_{n=0}^N |\xi|^n. \end{aligned}$$

Hence the map  $a \rightarrow \sum_{n=0}^N f_n(z) \xi^n$  extends to  $\mathbf{A}$  as long as  $|\xi| < 1$ . Therefore  $r = 1$ . It remains to show that  $\rho_z$  maps  $\mathbf{A}$  onto  $A$ . But if  $g$  is in  $A$  then  $g(U)$  is defined by the functional calculus,  $g(U)$  is in  $\mathbf{A}$ , and  $\rho_z(g(U)) = g$ .  $\square$

The map  $z \rightarrow \alpha_z$  naturally imbeds  $\Delta$  as a subset of  $M$ . Similarly, if  $z$  is a fixed point of  $\phi$  such that the "radius"  $r$  of  $M_z$  is positive, then part (b) of Proposition 7 identifies  $M_z$  with the disk  $\bar{D}_r$ . So  $M$  is the union of disks, one of which looks like  $\Delta$  and the others like true disks  $\bar{D}$ . Furthermore,  $\mathbf{A}$  acts as an algebra of analytic functions of these disks, like  $H^\infty$  on  $\Delta$  and like  $A$  on the others.

DEFINITION. A subset  $C$  of  $M$  is an analytic disk for  $\mathbf{A}$  if

- (a)  $C$  is the closure of its interior, and
- (b) if  $a \in \mathbf{A}$  and  $\alpha(a) = 0$  for all  $\alpha$  in some nonempty open subset of  $C$ , then  $\alpha(a) = 0$  for all  $\alpha$  in  $C$ .

PROPOSITION 8. *The maximal analytic disks in  $M$  are  $\Delta$  and those  $M_z$  with positive radius.*

*Proof.* That  $\Delta$  and the nontrivial  $M_z$  are analytic disks is clear. Since  $U$  vanishes only on  $\Delta$  and  $z - z_0$  vanishes only on  $M_{z_0}$ , these are maximal analytic

disks. If  $C$  is any analytic disk, then the interior of  $C$  must have nontrivial intersection with the interior of either  $\Delta$  or one of the  $M_{z_0}$ . In the first case  $U$  must vanish on  $C$ , in the latter case  $z - z_0$  does. In either case,  $C$  must be contained in one of the indicated disks.  $\square$

### III. Proof of Theorem 1

Suppose  $\phi$  and  $\psi$  are two aperiodic inner functions and  $\Gamma$  is an algebra isomorphism from  $\mathbf{A}_\phi$  to  $\mathbf{A}_\psi$ . Let  $M$  and  $N$  denote the spaces of multiplicative functionals on  $\mathbf{A}_\phi$  and  $\mathbf{A}_\psi$ . Then  $\Gamma$  induces a map  $\gamma$  from  $N$  to  $M$  given by  $\alpha(\Gamma(a)) = \gamma(\alpha)(a)$ . The map  $\gamma$  is a homeomorphism since the topologies on  $M$  and  $N$  are determined by their corresponding algebras. The defining equation for  $\gamma$  shows that it maps analytic disks to analytic disks. In particular,  $\gamma(\Delta)$  is a maximal analytic disk in  $M$ . But since no  $M_z = \bar{D}_r$  is homeomorphic to  $\Delta$ , it must be that  $\gamma(\Delta) = \Delta$ . That is, if  $\Pi_n$  denotes the  $n$ th coordinate map for both  $\mathbf{A}_\phi$  and  $\mathbf{A}_\psi$ , and if (for  $w$  in  $\Delta$ )  $\beta_w$  is the functional in  $N$  given by  $\beta_w(b) = \Pi_0(b)(w)$ , then there is a  $z = \tau(w)$  in  $\Delta$  such that  $\gamma(\beta_w) = \alpha_z$ . So for  $a$  in  $\mathbf{A}_\phi$ ,  $\beta_w(\Gamma(a)) = \alpha_{\tau(w)}(a)$ ; in particular, if  $f$  is in  $H^\infty$  then  $f(\tau(w)) = \Pi_0(\Gamma(f))(w)$ .

LEMMA 9.  $\Pi_0(\Gamma(U_\phi)) = 0$ .

*Proof.* If  $w \in \Delta$ , then

$$\Pi_0(\Gamma(U_\phi))(w) = \beta_w(\Gamma(U_\phi)) = \alpha_{\tau(w)}(U_\phi) = \Pi_0(U_\phi)(\tau(w)) = 0. \quad \square$$

LEMMA 10.  $\Pi_1(\Gamma(U_\phi)) \neq 0$ .

*Proof.* If  $\Pi_1(\Gamma(U_\phi)) = 0$ , then  $\Gamma(U_\phi)$  is in the closed ideal of  $\mathbf{A}_\psi$  generated by  $(U_\psi)^2$ . Then the isomorphism  $\Gamma$  induces a homomorphism of the quotient Banach algebra  $\mathbf{A}_\phi/\mathbf{A}_\phi U_\phi$  onto the algebra  $\mathbf{A}_\psi/\mathbf{A}_\psi(U_\psi)^2$ , where  $\mathbf{A}_\phi U_\phi$  and  $\mathbf{A}_\psi(U_\psi)^2$  denote (respectively) the closed ideals of  $\mathbf{A}_\phi$  and  $\mathbf{A}_\psi$  generated by  $U_\phi$  and  $(U_\psi)^2$ . But this is impossible because the former quotient is commutative and the latter is not.  $\square$

The homeomorphism  $\tau$  of  $\Delta$  has been constructed. It remains to show that  $\phi \circ \tau = \tau \circ \psi$ . If  $f \in H^\infty$  then  $U_\phi f = (f \circ \phi)U_\phi$ . Hence,

$$\Pi_1(\Gamma(U_\phi)\Gamma(f)) = \Pi_1(\Gamma(f \circ \phi)\Gamma(U_\phi)).$$

But

$$\begin{aligned} \Pi_1(\Gamma(U_\phi)\Gamma(f)) &= \Pi_1(\Gamma(U_\phi))\Pi_0(\Gamma(f)) \circ \psi + \Pi_0(\Gamma(U_\phi))\Pi_1(\Gamma(f)) \\ &= \Pi_1(\Gamma(U_\phi))\Pi_0(\Gamma(f)) \circ \psi \end{aligned}$$

by the product rule and the fact that  $\Pi_0(\Gamma(U_\phi)) = 0$ . Furthermore,

$$\begin{aligned} \Pi_1(\Gamma(f \circ \phi)\Gamma(U_\phi)) &= \Pi_1(\Gamma(f \circ \phi))\Pi_0(\Gamma(U_\phi)) \circ \psi + \Pi_0(\Gamma(f \circ \phi))\Pi_1(\Gamma(U_\phi)) \\ &= \Pi_0(\Gamma(f \circ \phi))\Pi_1(\Gamma(U_\phi)). \end{aligned}$$

Therefore,

$$\begin{aligned} 0 &= \Pi_1(\Gamma(U_\phi))\Pi_0(\Gamma(f))\circ\psi - \Pi_0(\Gamma(f\circ\phi))\Pi_1(\Gamma(U_\phi)) \\ &= \Pi_1(\Gamma(U_\phi))[\Pi_0(\Gamma(f))\circ\psi - \Pi_0(\Gamma(f\circ\phi))]. \end{aligned}$$

Here the product of two functions, analytic in  $D$ , is the zero function. One of the functions is not identically zero, so the other must be:

$$\Pi_0(\Gamma(f))\circ\psi - \Pi_0(\Gamma(f\circ\phi)) = 0.$$

Recalling that  $\Pi_0(\Gamma(g)) = g\circ\tau$  for  $g$  in  $H^\infty$ , we have  $f\circ\tau\circ\psi = f\circ\phi\circ\tau$ . But  $f \in H^\infty$  is arbitrary, so  $\tau\circ\psi = \phi\circ\tau$ . Finally, if  $e$  is the identity function of  $D$ ,  $e(z) = z$ , then

$$\tau(z) = e(\tau(z)) = \alpha_{\tau(z)}(e) = \alpha_z(\Gamma(z)) = \Pi_0(\Gamma(e))(z),$$

so  $\tau$  is analytic on  $D$ .

#### IV. The Periodic Case

What happens if  $\phi$  is periodic? Which  $\phi$  are periodic?

**PROPOSITION 11.** *If  $\phi$  is an inner function of period  $n$ , then  $\phi$  is conjugate to a rotation  $\rho(z) = cz$ , where  $c^n = 1$ .*

*Proof.* According to [3], an analytic homeomorphism  $\phi$  of  $D$  has either one fixed point in  $D$ , or one on the boundary of  $D$ , or two on the boundary of  $D$ . Furthermore, if  $\phi$  has boundary fixed points, then one of them is attractive in the sense that  $\phi^{(n)}(z)$  converges to this fixed point for each  $z$  in  $D$ . But this cannot happen if  $\phi$  is periodic, so  $\phi$  must have its fixed point  $\alpha$  in  $D$ . Let  $\tau(z) = (z - \alpha)/(\bar{\alpha}z - 1)$ . Then  $\tau^{-1}\circ\phi\circ\tau$  has period  $n$  and fixes 0, and so must be a periodic rotation of  $D$ .  $\square$

A proposition similar to Proposition 4 is true for periodic  $\phi$ .

**PROPOSITION 12.** *If  $\phi$  has period  $n$ , then for each  $k < n$  there is a bounded coordinate map  $\Pi_k$  of  $\mathbf{A}$  onto  $H^\infty$  such that*

$$a = \sum_{k=0}^{n-1} \Pi_k(a)U^k$$

for each  $a$  in  $\mathbf{A}$ .

*Proof.* It is first shown that if  $a = \sum_{i=0}^{n-1} f_i U^i$  then  $\|f_k\| \leq \|a\|$ . Let  $\tau$  be an analytic homeomorphism of  $D$  such that  $\tau^{-1}\circ\phi\circ\tau$  is a periodic rotation. If  $E = \{z \in \partial D : |f_k(a)| \geq \|f_k\| - \epsilon\}$ , then  $E$  intersects one of the sets  $\{\tau(e^{i\theta}) : (j-1)2\pi/n \leq \theta < j2\pi/n\}$  in a set of positive measure. Let  $F$  be that set. Then the sets  $\phi^{(-i)}(F)$ ,  $0 \leq i < n$ , are disjoint. The desired inequality now follows as in the proof of Proposition 4. Set  $\Pi_k(\sum_{i=0}^{n-1} f_i U^i) = f_k$  and extend  $\Pi_k$

continuously to all of  $\mathbf{A}$ . Every  $a$  in  $\mathbf{A}$  can be written as  $\sum_{k=0}^{n-1} \Pi_k(a)U^k$ , since this is true for polynomials and the set of polynomials is dense in  $\mathbf{A}$ .  $\square$

In case  $\phi$  has period  $n$ ,  $\mathbf{A}_\phi$  has only  $n$  complex homomorphisms.

**PROPOSITION 13.** *If  $\phi$  has period  $n$  then  $M = M_w$ , where  $w$  is the fixed point of  $\phi$  and  $\{\alpha(U) : \alpha \in M\}$  is just the set on  $n$ th roots of unity.*

*Proof.* If  $\alpha \in M$  then  $\alpha(U)^n = \alpha(U^n) = 1$ , so  $\alpha(U)$  is a root of unity. If  $e$  is the identity function in  $H^\infty$ ,  $e(z) = z$ , and if  $\alpha \in M_w$ , then  $\alpha(U)w = \alpha(U)\alpha(e) = \alpha(Ue) = \alpha(e \circ \phi U) = \phi(w)\alpha(U)$ . Thus  $w$  is the fixed point of  $\phi$ . Conversely, if  $d^n = 1$ , then setting  $\alpha(a) = (\sum_{i=0}^{n-1} \Pi_i(a)(w)d^i)$  defines a complex homomorphism with  $\alpha(U) = d$ .  $\square$

**COROLLARY 14.** *If  $\mathbf{A}_\phi$  and  $\mathbf{A}_\psi$  are isomorphic and  $\phi$  has period  $n$ , then so does  $\psi$ .*

So  $\mathbf{A}_\phi$  is quite simple when  $\phi$  is periodic, yet our theorem fails miserably in this case. If  $\phi$  and  $\psi$  are rotations of period  $n$ , then for some  $i$  and  $j$  ( $0 < i, j < n$ ),  $\phi^{(i)} = \psi$  and  $\psi^{(j)} = \phi$ , so that  $\mathbf{A}_\phi$  and  $\mathbf{A}_\psi$  are not only isomorphic but equal. Yet a simple computation shows that  $\phi$  and  $\psi$  will be conjugate only if they are the same.

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