

Farrell and Mergelyan Sets for H^p Spaces ($0 < p < 1$)

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Dedicated to professor Ernst Selmer on the occasion of his 70th birthday

1. Introduction

Let F be a relatively closed subset of the open unit disc \mathbf{D} of the complex plane \mathbf{C} , and let A be a space of analytic functions on \mathbf{D} endowed with a topology τ such that the polynomials are τ -dense in A . The set F is said to be a *Farrell set for* (A, τ) if for each function $f \in A$ whose restriction $f|_F$ is bounded there exists a sequence $(p_\nu)_{\nu=1}^\infty$ of polynomials satisfying:

- (1) $p_\nu \rightarrow f$ in the τ -topology, as $\nu \rightarrow \infty$,
- (2) $p_\nu \rightarrow f$ pointwise on F , as $\nu \rightarrow \infty$, and
- (3) $\|p_\nu\|_F \rightarrow \|f\|_F$, as $\nu \rightarrow \infty$.

As usual, $\|g\|_B$ denotes $\sup\{|g(z)|: z \in B\}$. Similarly, F is said to be a *Mergelyan set for* (A, τ) if, for each function $f \in A$ whose restriction to F is uniformly continuous, there exists a sequence $(p_\nu)_{\nu=1}^\infty$ of polynomials such that

- (α) $p_\nu \rightarrow f$ in the τ -topology, as $\nu \rightarrow \infty$, and
- (β) $p_\nu \rightarrow f$ uniformly on F , as $\nu \rightarrow \infty$.

Farrell and Mergelyan sets have been described for several cases: (a) A is the space $H^\infty(\mathbf{D})$ of all bounded analytic functions and τ the topology of pointwise convergence on \mathbf{D} [9]; (b) A is the Hardy space H^p ($1 \leq p < \infty$) and τ is the weak topology [8] or the norm topology [7]; (c) A is the space $H(\mathbf{D})$ of all analytic functions on \mathbf{D} with the topology of uniform convergence on compact subsets of \mathbf{D} .

A holomorphic function in \mathbf{D} is said to belong to the Nevanlinna class N if its characteristic function

$$T(r, f) = \frac{1}{2\pi} \int_0^{2\pi} \log(1 + |f(re^{i\theta})|) d\theta$$

is bounded for $0 \leq r < 1$. In this case $N(f) = \sup_{0 \leq r < 1} T(r, f)$. A function $f \in N$ is said to belong to the Smirnov class N^+ if there hold

$$\lim_{r \rightarrow 1} \int_0^{2\pi} \log(1 + |f(re^{i\theta})|) d\theta = \int_0^{2\pi} \log(1 + |f(e^{i\theta})|) d\theta.$$

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For $0 < p < q < \infty$ it is well known that $H^\infty \subset H^q \subset H^p \subset N^+ \subset N$ with all inclusion relations being proper (see, e.g., [3]). The class H^p , $0 < p < 1$, does not form a Banach space but is a complete metric topological vector space with the metric

$$(1) \quad \|f - g\|_p^p = \frac{1}{2\pi} \int_0^{2\pi} |f(e^{i\theta}) - g(e^{i\theta})|^p d\theta, \quad f, g \in H^p,$$

and there are bounded linear functionals on H^p enough to separate functions in H^p . ($(H^p)^*$ can be identified with a Lipschitz space of order α , $0 < \alpha < 1$, α depending on p ; cf. [4].) An analogous affirmation can be made for the Smirnov class N^+ , which is a complete metric topological vector algebra with the distance functions

$$(2) \quad \rho(f, g) = \lim_{r \rightarrow 1} \frac{1}{2\pi} \int_0^{2\pi} \log(1 + |f(re^{i\vartheta}) - g(re^{i\vartheta})|) d\vartheta,$$

$f, g \in N^+$. N^+ also has a separating dual space $(N^+)^*$ which can be identified with the space of all the functions f in the disc algebra whose Taylor coefficients satisfy $\hat{g}(n) = O(\exp[-c\sqrt{n}])$ for a positive constant c [13]. Hence we can consider the weak topologies on H^p ($0 < p < 1$) and N^+ .

In this paper we prove the following theorem, extending the main result in [8] but using different methods.

THEOREM. *Let F be a relatively closed subset of \mathbf{D} and $0 < p < \infty$. The following assertions are equivalent:*

- (i) *F is a Farrell set for $(H^p, \|\cdot\|_p)$.*
- (ii) *F is a Mergelyan set for $(H^p, \|\cdot\|_p)$.*
- (iii) *There exists a set $E \subset \mathbf{T} \cap \bar{F}$ with $m(E) = 0$, such that if $\zeta \in \bar{F} \cap \mathbf{T} \setminus E$ then there is a sequence $(\zeta_\nu) \subset F$ converging nontangentially to ζ .*
- (iv) *Suppose g is a uniformly continuous function on F and that $f \in H^p$ is bounded on F . Then there exist polynomials p_ν ($\nu = 1, 2, \dots$) such that $p_\nu \rightarrow f$ in H^p metric and $\lim_{\nu \rightarrow \infty} \|g - p_\nu\|_F = \|g - f\|_F$.*

2. Proof of the Theorem

We prefer to begin by showing the following proposition.

PROPOSITION. *Let Y be any of the metric spaces H^p ($0 < p < 1$) or N^+ and let F be a relatively closed subset of \mathbf{D} . If almost every point of $\bar{F} \cap \mathbf{D}$ is a nontangential limit of a sequence of points of F , then F is a Farrell set for Y .*

Proof. Let f be any function belonging to Y whose restriction $f|_F$ to F is bounded. Let $f = B \cdot G$ be the inner-outer factorization of f , G being its outer part

$$(3) \quad G(z) = \exp\left(\frac{1}{2\pi} \int_0^{2\pi} \frac{e^{it} + z}{e^{it} - z} u(t) dt\right), \quad z \in \mathbf{D},$$

where $u(t) = \log|f(e^{it})| \in L^1(\mathbf{T})$. We cut off the function $u(t)$ defining

$$(4) \quad u_n(t) = \min\{u(t), K_n\}, \quad n = 1, 2, \dots,$$

where K_n are positive constants and $K_n \rightarrow \infty$, and let G_n be an outer function whose modulus on \mathbf{T} is $u_n(t)$; that is,

$$G_n(z) = e^{i\alpha_n} \exp\left(\frac{1}{2\pi} \int_0^{2\pi} \frac{e^{it} + z}{e^{it} - z} u_n(t) dt\right),$$

$z \in \mathbf{D}$. It is not hard to show that $\{G_n\}_{n=1}^\infty$ is a sequence in $H^\infty(\mathbf{D})$ satisfying

$$(5) \quad \begin{aligned} \left| \frac{G_n(z)}{G(z)} \right| &\leq 1 \quad \text{for any } z \in \mathbf{D}; \\ \frac{G_n(\theta)}{G(\theta)} &\rightarrow 1 \quad \text{a.e. } d\theta \text{ on } \mathbf{T}. \end{aligned}$$

Furthermore, if we choose the constants α_n and K_n conveniently, it can be shown that

$$(6) \quad \frac{G_n}{G} \rightarrow 1 \quad \text{in } H^2\text{-norm, as } n \rightarrow \infty$$

(see [6, p. 85] for details). As $|G_n(z)| \leq |G(z)|$, we can suppose

$$(7) \quad |G_n(e^{i\theta})| \leq |G(e^{i\theta})|, \quad \text{a.e. } d\theta.$$

Now, the inequality $|G_n(\theta) - G(\theta)| = |G(\theta)| |1 - G_n(\theta)/G(\theta)| \leq 2|G(\theta)|$ and the dominated convergence theorem prove that $G_n \rightarrow G$ in the H^p metric if $Y = H^p$ (any p), while if $Y = N^+$ the inequality

$$\begin{aligned} \text{Log}(1 + |G_n(\theta) - G(\theta)|) &\leq \text{Log}(1 + |G_n(\theta)|) + \text{Log}(1 + |G(\theta)|) \\ &\leq 2 \text{Log}(1 + |G(\theta)|) \end{aligned}$$

leads to $G_n \rightarrow G$ in the ρ -metric. Next, we define the functions $f_n = B \cdot G_n$, $n = 1, 2, \dots$. According to the properties above for the G_n 's, it is clear that there exists $f_n \in H^\infty(\mathbf{D})$, $\|f_n\|_F \leq \|f\|_F$, $|f_n(z)| \leq |f(z)|$, $z \in \mathbf{D}$, $n = 1, 2, \dots$, such that f_n converge to f in the Y -metric, and, in particular, pointwise on \mathbf{D} . Moreover, since f is bounded on F , we get $\sup_n \|f_n\|_F = \|f\|_F$. In the next step, we shall use the geometric condition imposed on F in the hypothesis and, in order to be more clear, we regard two cases: $Y = H^p$ and $Y = N^+$. First, we suppose $f \in H^p$. Since F is a Farrell set for $H^\infty(\mathbf{D})$ with the boundedly pointwise convergence topology [9], each f_n can be approximated by a sequence $\{q_\nu^n\}_{\nu=1}^\infty$ of polynomials satisfying

$$(8) \quad \begin{aligned} q_\nu^n(z) &\rightarrow f_n(z), \quad \nu \rightarrow \infty, \quad \text{any } z \in \mathbf{D}; \\ \|q_\nu^n\|_\infty &\leq \|f_n\|_\infty \quad (\nu = 1, 2, \dots); \\ \|q_\nu^n\|_F &\leq \|f_n\|_F \quad (\nu = 1, 2, \dots); \end{aligned}$$

and taking a subsequence if necessary, we can even suppose

$$(9) \quad \sup_\nu \|q_\nu^n\|_F = \lim_{\nu \rightarrow \infty} \|q_\nu^n\|_F = \|f_n\|_F,$$

and $q_\nu^n(e^{i\theta}) \rightarrow f_n(e^{i\theta})$ a.e. $d\theta$ on \mathbf{T} , as $\nu \rightarrow \infty$. So, the second estimation in (8) and dominated convergence theorem lead to $q_\nu^n \rightarrow f_n$, as $\nu \rightarrow \infty$, in the H^p

metric. Now, if we fix an arbitrary positive integer n and f_n is such that $\|f - f_n\|_F^p \leq 1/2n$, and for this such f_n we choose a polynomial $q_\nu^n = p_n$ satisfying $\|f_n - p_n\|_p^p < 1/2n$, we can then find a sequence of polynomials converging to f in H^p metric, with $\lim_{n \rightarrow \infty} \|p_n\|_F = \|f\|_F$ by (8) and (9).

If $Y = N^+$, we can do exactly the same we did in the case before, even being able to approximate each f_n by polynomials (and boundedly on F) in any H^p metric ($0 < p < \infty$). Here the hypothesis on F and results in [8] and [7] have been used again. The proof is now complete. \square

REMARK. With the notation as in the above proof, assume f admits continuous extension to $\mathbf{T} \setminus \bar{F}$. Let V denote a compact annulus centered at a point of \mathbf{T} and assume $V \cap \mathbf{T} \subset (\mathbf{T} \setminus \bar{F}) \cup F_0$, where F_0 denotes the interior of $\bar{F} \cap \mathbf{T}$ relative to \mathbf{T} .

In this special situation we observe that $\|f\|_{V \cap \mathbf{D}} < \infty$, and we claim that the approximant polynomials p_n can be chosen to satisfy $\|p_n\|_{V \cap \mathbf{D}} \leq K$, with K independent of n . This additional property of $\{p_n\}$ will be crucial at a later stage.

To justify the claim, we first remark that the functions $f_n = B \cdot G_n$ constructed above will extend continuously to $(\mathbf{T} \setminus \bar{F}) \cap V$ if n is large enough. Also, $\|f_n\|_{V \cap \mathbf{D}} \leq \|f\|_{V \cap \mathbf{D}}$ by construction. So fix n large, and put $H = f_n$. Given $\epsilon > 0$, we choose a smooth function φ with $0 \leq \varphi \leq 1$, $\varphi \equiv 1$ in a neighbourhood of \bar{F} , $\varphi \equiv 0$ near $\mathbf{T} \cap V \setminus \bar{F}$, and

$$|(\varphi H)(z)| \leq \|H\|_F + \epsilon \quad \text{if } z \in (V \cap \mathbf{D}) \setminus \bar{F}.$$

Since H is continuous on $(V \cap \bar{\mathbf{D}}) \setminus \bar{F}$, this is possible. Since the implication (iii) \Rightarrow (iv) in the Theorem holds if $p = \infty$ (see [11]), we may apply it to the relatively closed subset $F \cup (V \cap \mathbf{D})$ with the function u defined as $u \equiv 0$ on \bar{F} and $u = (1 - \varphi)H$ on $(V \cap \bar{\mathbf{D}}) \setminus \bar{F}$. Then we can find polynomials p_ν converging to H pointwise boundedly in \mathbf{D} and in addition satisfying

$$\|p_\nu - u\|_{F \cup (V \cap \mathbf{D})} \rightarrow \|H - u\|_{F \cup (V \cap \mathbf{D})} \quad \text{as } \nu \rightarrow \infty.$$

But $\|H - u\|_{F \cup (V \cap \mathbf{D})} \leq \|H\|_F + \epsilon \leq \|f\|_F + \epsilon$, and since $|u| \leq |H|$ on $V \cap \mathbf{D}$, it follows that $|p_\nu| \leq \|f\|_F + \epsilon + \|f\|_{V \cap \mathbf{D}}$ on $V \cap \mathbf{D}$, independently of ν and the number n used to define H as $B \cdot G_n$.

The proposition above shows that (iii) \Rightarrow (i). Trivially (iv) \Rightarrow (i) (take $g \equiv 0$) and (iv) \Rightarrow (ii) (take $g = f|_F$). To conclude the proof of the theorem, we shall develop the following steps. First, we show that (ii) \Rightarrow (iii), then (iii) \Rightarrow (iv), and finally (i) \Rightarrow (ii).

(ii) \Rightarrow (iii): Suppose (iii) fails. Using Detraz's construction [2], there exists a function $f \in H^\infty$ not identically zero such that $f|_F$ is uniformly continuous and such that $f(z) \rightarrow 0$ as $z \rightarrow \zeta \in B$, $z \in F$, where $B \subset \bar{F} \cap \mathbf{T}$ and $m(B) > 0$. Let $\{p_\nu\}$ be a sequence of polynomials converging to f in H^p metric, and uniformly on F . We can assume that $f(0) \neq 0$. Since $p_\nu(0) \rightarrow f(0)$, Jensen inequality leads to a contradiction as follows:

$$\text{Log}|p_\nu(0)| \leq \int_{\mathbf{T}} \text{Log}|p_\nu| dm \leq \int_B + \int_{\mathbf{T} \setminus B} \quad (\nu = 1, 2, \dots).$$

Since $p_\nu \rightarrow f$ in the N^+ metric, p_ν converge to f in measure, and by passing to a subsequence if necessary, $p_\nu \rightarrow f$ almost everywhere with respect to m on \mathbf{T} . Then

$$\begin{aligned} \int_{\mathbf{T} \setminus B} \text{Log} |p_\nu| dm &\leq \int_{\mathbf{T} \setminus B} \text{Log}^+ |p_\nu| dm \\ &\leq \int_{\mathbf{T} \setminus B} \text{Log}^+ |p_\nu - f| + \int_{\mathbf{T} \setminus B} \text{Log}^+ |f| + \int_{\mathbf{T} \setminus B} \text{Log} 2 \end{aligned}$$

and $\lim_{\nu \rightarrow \infty} \int_{\mathbf{T} \setminus B} \text{Log} |p_\nu| dm < \infty$. On the other hand, $\int_B \text{Log} |p_\nu| \rightarrow -\infty$, as $\nu \rightarrow \infty$, and $f(0)$ should vanish.

(iii) \Rightarrow (iv): We use an idea from [12]. Suppose now F satisfies the geometrical condition (iii). By the Proposition, F must be a Farrell set. To show that F even satisfies the formally stronger condition in (iv), we apply the simple but useful observation that $F \cap \Delta$ is a Farrell set whenever Δ is a disc and $F \cap \Delta \neq \emptyset$.

Given f and g as in (iv), we choose a finite covering of \bar{F} by discs $\Delta_1, \Delta_2, \dots, \Delta_N$ such that

- (a) $z, z' \in \Delta_j \Rightarrow |g(z) - g(z')| < \epsilon, 1 \leq j \leq N$.
- (b) If $\zeta \in \mathbf{T} \cap \partial \Delta_j$ for some j , then f has a nontangential limit at ζ .
- (c) If $\zeta \in \mathbf{T} \cap \partial \Delta_j$ for some j , then either $\zeta \notin \bar{F}$ or ζ belongs to the interior F_0 of $\bar{F} \cap \mathbf{T}$ relative to \mathbf{T} .

Such a choice is possible by Fatou's theorem. Let $E = \bigcup_{j=1}^N \partial \Delta_j$. In the proof of (iii) \Rightarrow (iv) we shall assume that f is bounded in $V \cap \mathbf{D}$ for some neighbourhood V of E . We shall justify this assumption at the end of the proof.

Let us select constants $u_j = g(z_j)$ for some fixed points $z_j \in \Delta_j, 1 \leq j < N$. Since $F \cap \Delta_j$ is a Farrell set for H^p we choose polynomials p_n^j such that

$$\|(f - u_j) - p_n^j\|_p^p < \frac{1}{n} \quad \text{and} \quad \|p_n^j\|_{F \cap \Delta_j} \leq \|f - u_j\|_F + \frac{1}{n}$$

for $n = 1, 2, \dots$ and $1 \leq j \leq N$. Then we define

$$(10) \quad q_n = \begin{cases} p_n^1 + u_1 & \text{in } \Delta_1, \\ p_n^j + u_j & \text{in } \Delta_j \setminus \bigcup_{k=1}^{j-1} \Delta_k, \quad j = 2, 3, \dots, N, \\ f_n & \text{in } \mathbf{D} \setminus \bigcup_{k=1}^N \Delta_k, \end{cases}$$

where $f_n(z) = f((1 - 1/n)z), n = 1, 2, \dots$. Since f is locally bounded near E , we can arrange it so that q_n has the same property with a bound independent of n . This is possible in light of the remark following the Proposition above. We observe that

$$(11) \quad \frac{1}{2\pi} \int_{\mathbf{T}} |q_n - f|^p d\theta \leq \frac{N+1}{n}$$

and

$$(12) \quad \|q_n - g\|_F \leq \|f - g\|_F + 2\epsilon + \frac{1}{n},$$

since $|g - u_j| < \epsilon$ on $F \cap \Delta_j$. The functions q_n may be discontinuous on E , but as n increases the situation gets better, since $q_n \rightarrow f$ uniformly on compact subsets of \mathbf{D} .

To modify q_n , we use a well-known idea from rational approximation. If h is a locally integrable function and φ is a continuously differentiable function with compact support, the Vitushkin operator is defined by

$$T_\varphi(h)(\zeta) = \frac{1}{\pi} \iint_{\mathbf{C}} \frac{h(z) - h(\zeta)}{z - \zeta} \frac{\partial \varphi}{\partial \bar{z}} dx dy, \quad z = x + iy,$$

and by the Green formula we also have

$$T_\varphi(h)(\zeta) = \varphi(\zeta)h(\zeta) - \frac{1}{\pi} \iint_{\mathbf{C}} \frac{h(z)}{z - \zeta} \frac{\partial \varphi}{\partial \bar{z}} dx dy.$$

We refer to [5] for more details and a proof of the following useful identity:

$$(13) \quad \frac{\partial}{\partial \bar{z}} (T_\varphi(h)) = \varphi \frac{\partial h}{\partial \bar{z}},$$

which is also valid when $\partial/\partial \bar{z}$ is taken in the sense of distributions. Now we select a finite covering of E by discs $\{D_k\}$ and functions $\varphi_k \in C_0^1(D_k)$ such that

$$(14) \quad \sum_k \varphi_k \equiv 1 \quad \text{near } E.$$

Let us numerate $\{D_k\}$ such that $D_k \subset \mathbf{D}$ for $1 \leq k \leq k_0$. If $k > k_0$, $D_k \cap \mathbf{T} \neq \emptyset$ and we assume then that D_k is centered at some $\zeta_k \in E$. The radius δ_k of D_k will be chosen so small that q_n is bounded on D_k , independently of n and $k > k_0$, and we also require that

$$(15) \quad \left\| \frac{\partial \varphi_k}{\partial \bar{z}} \right\|_\infty \leq \frac{4}{\delta_k} \quad \text{if } k > k_0.$$

If g is any of the functions q_n in (10) or f , we assume g has been extended to \mathbf{C} by the equation $g(1/\bar{z}) = g(z)$, $z \in \mathbf{D}$. We can finally define

$$(16) \quad \tilde{q}_n = q_n - \sum_{k=1}^{k_0} T_{\varphi_k}(q_n - f) - \sum_{k>k_0} T_{\varphi_k}(q_n - \lambda_k),$$

where λ_k is the nontangential limit of f at the center ζ_k of D_k . This limit exists inside any cone Γ_k terminating at ζ_k . Hence the area of $D_k \cap \mathbf{D} \setminus \Gamma_k$ compared to $D_k \cap \Gamma_k$ can be made as small as we please, simply by selecting Γ_k properly.

Since $f \approx \lambda_k$ in $\Gamma_k \cap D_k$ and $q_n \rightarrow f$ as $n \rightarrow \infty$, we can choose the discs D_k so small that

$$(17) \quad \left| \sum_{k>k_0} T_{\varphi_k}(q_n - \lambda_k) \right| \leq \left| \sum \varphi_k(q_n - \lambda_k) \right| + \epsilon$$

if n is sufficiently large. This follows from the uniform boundedness of $\{q_n\}$ in D_k and estimating the integrals

$$(18) \quad \frac{1}{\pi} \iint_{\mathbf{C}} \frac{q_n - \lambda_k}{z - \zeta} \frac{\partial \varphi_k}{\partial \bar{z}} dx dy$$

using Hölder inequality and the above considerations about f , λ_k , and Γ_k .

If $k \leq k_0$ then $q_n \rightarrow f$ uniformly on D_k . So by increasing n further we have

$$(19) \quad \left| \sum_{k \leq k_0} T_{\varphi_k}(q_n - f)(\zeta) \right| \leq \epsilon$$

uniformly in ζ .

Let us now estimate $\|\tilde{q}_n - g\|_F$ for n large. In $F \cap D_k$ ($k > k_0$) we have

$$\begin{aligned} |\tilde{q}_n - g| &\leq |q_n - \varphi_k(q_n - \lambda_k) - g| + 2\epsilon \\ &\leq |(1 - \varphi_k)(q_n - g)| + |\varphi_k(\lambda_k - g)| + 2\epsilon \\ &\leq |1 - \varphi_k|(\|f - g\|_F + 2\epsilon + n^{-1}) + |\varphi_k|\|f - g\|_F + 3\epsilon. \end{aligned}$$

In the last inequality we used (12). We also used that $|\lambda_k - g|_F \leq \|f - g\|_F + \epsilon$. This last inequality holds since $|f(e^{i\theta}) - g(e^{i\theta})| \leq \|f - g\|_F$ almost everywhere on $\bar{F} \cap \mathbf{T}$ with respect to linear measure m . Hence if the interior of $\bar{F} \cap \mathbf{T}$ with respect to \mathbf{T} is nonempty, we may assume $\{\Delta_j\}$ has been selected so that $|g - \lambda_k| \leq \|f - g\|_F$ at the center ζ_k of D_k . We conclude that $\|\tilde{q}_n - f\|_F \leq \|f - g\|_F + 6\epsilon$ if n is large enough. It is also clear that we can get

$$(20) \quad \int_{\mathbf{T}} |\tilde{q}_n - q_n|^p d\theta$$

as small as we please by choosing $\{D_k\}$ carefully.

It remains to prove that \tilde{q}_n can be uniformly approximated on \mathbf{D} by polynomials. Recall that the T_φ operator preserves continuity and analyticity [5]. Therefore \tilde{q}_n is continuous on $\bar{\mathbf{D}} \setminus E$. By (13) it follows that

$$\frac{\partial}{\partial \bar{z}}(\tilde{q}_n) = 0 \quad \text{near } E,$$

and hence \tilde{q}_n is continuous in $\{z: |z| \leq 1\}$ and analytic in \mathbf{D} . This completes the proof that (iii) \Rightarrow (iv), except that we must justify our assumption about f being bounded near E . We have the following.

LEMMA. *Let F be a relatively closed subset of \mathbf{D} and assume $f \in H^p$ is bounded on F . Then there are $f_n \in H^p$ ($n = 1, 2, \dots$) such that $f_n \rightarrow f$ in H^p , $f_n \rightarrow f$ uniformly on F , and each f_n extends to be analytic across $\mathbf{T} \setminus \bar{F}$.*

Proof. It is sufficient to prove this if $p > 1$. The general case can be reduced to this situation since any H^p -function is the sum of two nonvanishing H^p -functions [3, p. 79].

Let f be given as above. Using Lemma 3 of [10], we can find $g_n \in H^p$ such that $|f - g_n| \leq 1/n$ on $F \cup \{z: |z| \leq 1 - 1/n\}$, g_n is analytic across $\mathbf{T} \setminus \bar{F}$, and $\|g_n\|_p \leq C_p \|f\|_p$, where C_p depends only on p .

In particular, $g_n \rightarrow f$ weakly in H^p . Since $p > 1$, H^p is reflexive, and hence we can obtain convex combinations $\{f_n\}$ from $\{g_n\}$ such that the Lemma holds.

(i) \Rightarrow (ii): Finally, let f be a function in H^p and let $f|_F$ be uniformly continuous on F , and suppose (i) holds. In order to approximate f in H^p and uniformly on F by polynomials, it is enough to replace g by f in proving the implication above. This completes the proof of the theorem. □

3. Remarks

Looking at the proof of the theorem, one notes that it holds even for the Smirnov class N^+ . Hence, we can say that the geometrical condition in (iii) is sufficient in order for F to be a Farrell set or a Mergelyan set for H^p or N^+ with its weak topologies.

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